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2

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5 traits and drought impacts in a Mediterranean mixed forest

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8

9 Running head: SEASONAL PHOTOSYNTHESIS MORPHOLOGY MIXED FOREST

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11 D. Sperlich<sup>1,2\*</sup>, C.T. Chang<sup>1,2</sup>, J. Peñuelas<sup>2,3</sup>, C. Gracia<sup>1,2</sup>, S. Sabaté<sup>1,2</sup>

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13 <sup>1</sup> Departament d'Ecologia, Facultat de Biologia, Universitat de Barcelona, Diagonal 645, 08028 Barcelona, Spain,

14 <sup>2</sup> CREAM, Cerdanyola del Vallès, 08193 Barcelona, Catalonia, Spain

15 <sup>3</sup> CSIC, Global Ecology Unit CREAM-CSIC-UAB, Cerdanyola del Vallès, 08193 Barcelona, Catalonia, Spain

16 \* Author for correspondence

17 *Dominik Sperlich*

18 *Tel.: +34 9358 14850*

19 *E-mail: Dominik@creaf.uab.es*

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30

31 **Abstract**

32 The Mediterranean region is a hot spot of climate change vulnerable to increased  
33 droughts and heat waves. Scaling carbon fluxes from leaf to landscape levels is  
34 particularly challenging under drought conditions. We aimed to improve the  
35 mechanistic understanding of the seasonal acclimation of photosynthesis and  
36 morphology in sunlit and shaded leaves of four Mediterranean trees (*Quercus ilex* L.,

37 *Pinus halepensis* Mill., *Arbutus unedo* L., and *Q. pubescens* Willd.) under natural  
38 conditions.  $V_{c,max}$  and  $J_{max}$  were not constant, and mesophyll conductance was not  
39 infinite, as assumed in most terrestrial biosphere models, but varied significantly  
40 between seasons, tree species, and leaf position. Favourable conditions in winter led to  
41 photosynthetic recovery and growth in the evergreens. Under moderate drought,  
42 adjustments in the photo/biochemistry and stomatal/mesophyllic diffusion behaviour  
43 effectively protected the photosynthetic machineries. Severe drought, however, induced  
44 early leaf senescence mostly in *A. unedo*, *Q. pubescens*, and significantly increased leaf  
45 mass per area in *Q. ilex* and *P. halepensis*. Shaded leaves had lower photosynthetic  
46 potentials but cushioned negative effects during stress periods. Species-specificity,  
47 seasonal variations, and leaf position are key factors to explain vegetation responses to  
48 abiotic stress and hold great potential to reduce uncertainties in terrestrial biosphere  
49 models especially under drought conditions.

50

## 51 ***Introduction***

52 The Mediterranean region is dominated by arid or semi-arid ecosystems where high evaporative  
53 demand and low soil-water content during the summer dry period are the main ecological  
54 limitations to plant growth (Specht 1969, Di Castri 1973). The resilience of plants to drought  
55 and heat waves is determined by their frequency and duration, which are projected to become  
56 much more severe under current climate change scenarios - particularly in the Mediterranean  
57 region (Somot et al. 2008, Friend 2010, IPCC 2013). Increased drought-induced defoliation  
58 (Poyatos et al. 2013) associated with the depletion of carbon reserves (Galiano et al. 2012) can  
59 ultimately lead to catastrophic hydraulic failure and tree mortality (Urli et al. 2013, Choat  
60 2013). Drought-induced forest impacts and diebacks in the Mediterranean region have been  
61 reported in numerous studies (Peñuelas et al. 2001, Martínez-Vilalta and Piñol 2002,  
62 Raftoyannis et al. 2008, Allen et al. 2010, Carnicer et al. 2011, Matusick et al. 2013) and can  
63 lead to shifts in vegetation composition (Jump and Penuelas 2005, Anderegg et al. 2013) and to  
64 a higher risk of forest fires (Piñol et al. 1998, Pausas et al. 2008). The challenge in the  
65 Mediterranean region in the coming years will be to learn how carbon uptake and growth in  
66 species and communities will respond to these changes, and how forest management strategies  
67 can be adapted to cushion the negative impacts of climate change on forests (Sabaté 2002,  
68 Bugmann et al. 2010).

69 In past decades, ecosystem models on regional or global level contributed substantially  
70 to our understanding of the implications of climate change on a coarse scale where field  
71 experiments are limited (Luo 2007). Much uncertainty, however, remains in the modelled  
72 feedback of the global carbon cycle to climatic warming (Friedlingstein et al. 2014) and in the

73 understanding and modelling of species responses to climate change (Luo 2007, McDowell et  
74 al. 2008, Beaumont et al. 2008). Photosynthesis is generally overestimated in the main Earth  
75 system models, with significant regional variations (Anav et al. 2013). Two critical parameters,  
76 the maximum rate of carboxylation ( $V_{c,max}$ ) and the maximum rate of electron transport ( $J_{max}$ ),  
77 are a prerequisite for scaling foliar photosynthesis to the canopy level at which global dynamic  
78 models operate (Friedlingstein et al. 2006, Friedlingstein and Prentice 2010). These two  
79 parameters describe the biochemical limitations to carbon assimilation, but are not easily  
80 measured. So relatively little data of their variability between species or seasons are available.  
81  $V_{c,max}$  and  $J_{max}$  are thus often used as constants for various plant functional types and seasons or,  
82 in some cases, are derived from other parameters such as leaf nitrogen content (Grassi and  
83 Magnani 2005, Walker et al. 2014). Moreover, extreme climatic conditions and inter-annual  
84 variability in arid and semi-arid regions are challenging for scaling carbon assimilation patterns  
85 from one year to another (Reynolds et al. 1996, Morales et al. 2005, Gulías et al. 2009).  
86 Simulations of ecosystem carbon fluxes are consequently limited, first, by underrepresented  
87 temporal variability of photosynthetic parameters and soil-water patterns, and second by our  
88 limited understanding of the effects of water stress on both carbon uptake and release (Hickler  
89 et al. 2009, Niinemets and Keenan 2014). The modelling performance in Mediterranean-type  
90 ecosystems is thus particularly poor and stresses the need for a better mechanistic description of  
91 photosynthetic processes under water stress (Morales et al. 2005, Keenan et al. 2011, Zheng et  
92 al. 2012, Vargas et al. 2013). Mesophyll conductance,  $g_m$ , might play a future key role in  
93 improving model performance of photosynthesis under drought conditions (Keenan et al. 2010).

94 The photosynthetic limitations of Mediterranean vegetation, especially under drought,  
95 have been extensively studied (for a review see Flexas *et al.*, 2014), but fewer studies have  
96 thoroughly assessed the seasonal behaviour of photosynthesis and morphology under natural  
97 conditions in a mixed mature forest. The information gained from seedlings under controlled  
98 conditions can only poorly represent the physiological mechanisms of the long-term acclimation  
99 to variable environmental conditions in mature trees (Flexas et al., 2006; Mittler, 2006;  
100 Niinemets, 2010). Seedlings or saplings are characterised by higher metabolism and enzymatic  
101 function, lower leaf dry mass per unit area (LMA), and higher photosynthetic potential relative  
102 to mature trees (Johnson & Ball, 1996; Bond, 2000; Niinemets, 2014). Responses to short-term  
103 stress are related to the mechanisms of prompt reactions (Flexas et al., 2006). Under natural  
104 conditions, however, mature trees acclimate to gradually developing water stress through the  
105 photosynthetic pathway (biochemical, stomatal or mesophyllic) (e.g. Martin-StPaul et al. 2013),  
106 but also through foliar traits such as nitrogen, LMA etc. (Poorter et al. 2009). Less work has  
107 evaluated simultaneously the variations of photosynthetic and morphological traits in response  
108 to abiotic stress conditions. The variation of these traits is largely species specific (Orshan 1983,  
109 Chaves et al. 2002, Gratani and Varone 2004, Krasteva et al. 2013), although within-canopy

110 gradients can play an additional overriding role (Valladares and Niinemets 2008, Sperlich et al.  
111 2014). Mixed forests provide ideal test conditions where we can observe distinct species-  
112 specific strategies coping equally with the yearly variability of environmental conditions.

113 The aim of this study was to investigate the impact of seasonal environmental changes  
114 (above all drought) on foliar photosynthetic and morphological traits of the winter-deciduous  
115 sub-Mediterranean *Quercus pubescens*, two evergreen sclerophyllous species (*Quercus ilex* and  
116 *Arbutus unedo*) and an early-successional drought-adapted conifer, *Pinus halepensis*. *P.*  
117 *halepensis* is characterised as isohydric following a water saving and photoinhibition-tolerant  
118 strategy (Martínez-Ferri et al. 2004, Baquedano and Castillo 2006, Sperlich et al. 2014). *Q. ilex*  
119 L. is a late-successional, slow growing, water-spending, photoinhibition-avoiding, anisohydric  
120 tree species with a plastic hydraulic and morphological behaviour (Villar-Salvador et al. 1997,  
121 Fotelli et al. 2000, Corcuera et al. 2004, Ogaya and Peñuelas 2006, Limousin et al. 2009). The  
122 winter-deciduous anisohydric *Q. pubescens* follows a similar drought- avoiding strategy as *Q.*  
123 *ilex*, but maximizes gas exchange during a shorter growing season (Baldochi et al. 2009),  
124 resulting in high transpiration rates throughout the summer (Poyatos et al. 2008). Over extensive  
125 areas of the Mediterranean region *Q. ilex* and *Q. pubescens* form the terminal point of secondary  
126 succession (Lookingbill and Zavala 2000). *A. unedo* - relict of the humid-subtropical Tertiary  
127 tree flora (Gratani and Ghia, 2002a and references therein) – is typically occurring as shrub or  
128 small tree in the macchia ecosystems and holding a intermediate position concerning stomatal-  
129 (Beyschlag et al. 1986, Vitale and Manes 2005, Barbeta et al. 2012) and photoinhibition-  
130 sensitivity (Sperlich et al. 2014). Prolonged climate stress might disadvantage *A. unedo* being  
131 more drought sensitive than the companion species (Ogaya and Peñuelas 2004, Barbeta et al.  
132 2012).

133 Our particular interests were to distinguish the species-specific strategies and to explore  
134 the eco-physiological mechanism behind drought responses by examining the fine tuning of  
135 foliar photosynthetic potentials/rates and foliar morphological traits. We hypothesized that i)  
136 seasonal environmental changes (above all drought) affect the photosynthetic and ii)  
137 morphological traits, iii) mesophyllic diffusion conductance ( $g_m$ ) strongly constrains  
138 photosynthesis under drought conditions, iv) the seasonal acclimation varies qualitatively and  
139 quantitatively with species and v) light environment (leaf canopy position). We thus created a  
140 matrix of photosynthetic parameters that could be incorporated into process-based ecosystem  
141 models to improve estimates of carbon flux in the Mediterranean region.

142

## 143 **Materials and methods**

### 144 ***Field site***

145 The experimental site Can Balasc is located in the coastal massif of the Collserola Natural Park  
146 (8500 ha), in the province of Barcelona, northeastern Spain (41° 25' N, 2° 04' E, 270 m a.s.l.).  
147 Seasonal summer droughts, warm temperatures and mild winters characterise the typical  
148 Mediterranean climate with a mean August temperature of 22.8 °C and a mean January  
149 temperature of 7.9 °C. Mean annual precipitation and temperature are 723 mm and 15.1 °C  
150 (1951-2010), respectively (Ninyerola et al. 2007a, 2007b). Sensors for measuring air  
151 temperature (HMP45C, Vaisala Oyj, Finland) and solar radiation (SP1110 Skye Instruments  
152 Ltd., Powys, UK) were installed at a height of 3 m, in a clearing *ca.* 1 km from the plot.

### 153 ***Stand structure***

154 Our study site is characterised by a dense forest stand (1429 stems ha<sup>-1</sup>) with a two-layered  
155 canopy consisting of a dense layer of *Quercus* species surmounted by shelter trees of the early-  
156 successional and fast growing Aleppo Pine (*P. halepensis* Mill.). The mean heights of each  
157 layer are 9.9 m and 17.1 m, respectively. The *Quercus* species are the late-successional  
158 evergreen Holm Oak (*Q. ilex* L.) and the deciduous Pubescent Oak (*Q. pubescens* Willd.). The  
159 Strawberry tree (*A. unedo* L.) grows usually as a shrub being widely abundant in the macchia  
160 ecosystems of the Iberian peninsula (Beyschlag et al. 1986, Reichstein et al. 2002). In our study  
161 site, however, *A. unedo* occurs scattered in the tree canopy (mean height 8.1 m) enriching the  
162 forest diversity with its flowering and fruiting habit. The trees with the biggest dimensions are  
163 the pines followed by the two *Quercus* species and at last by *A. unedo* (mean DBH of 33.7,  
164 12.9, 9.6 cm, respectively). The forest succession has reached the final stage: The dense  
165 *Quercus* canopy is out-competing the early-successional *P. halepensis* by suppressing the  
166 growth of the light demanding pine seedlings and saplings. More details of stand history and  
167 field site are described in Sperlich *et al.* (2014).

### 168 ***Sampling method***

169 We conducted eight field campaigns from June 2011 to February 2013. The sampling periods  
170 are presented in Table 2 and Figure 1. We avoided difficulties encountered during field  
171 measurements such as deviations from the standard temperature (25 °C) or unpredictable plant  
172 responses (patchy stomatal conductance) (Mott and Buckley 1998, 2000) by analysing sampled  
173 twigs in the laboratory. We cut twigs with a pruning pull from sunlit and shaded leaf positions,  
174 optimally at similar heights. The twigs were immediately re-cut under water in the field,  
175 wrapped in plastic bags to minimise transpiration, stored in water buckets, and transported to  
176 the laboratory. Five replicates of each leaf position and tree species were collected for the  
177 analysis of gas exchange. The twigs were pre-conditioned in the laboratory at room temperature

178 (24-28 °C) in dim light for 1-3 d and were freshly cut every morning. More details and  
179 references can be found in Sperlich *et al.* (2014).

### 180 ***Analyses of gas exchange and chlorophyll fluorescence***

181 Gas exchange and chlorophyll fluorescence were measured with a Li-Cor LI-6400XT Portable  
182 Photosynthesis System equipped with a LI-6400-40 Leaf Chamber Fluorometer (Li-Cor, Inc.,  
183 Lincoln, USA). Response curves for foliar net assimilation versus CO<sub>2</sub> concentration were  
184 recorded in parallel with the chlorophyll fluorescence measurements. In some cases the sunlit  
185 leaves of *Q. ilex* were too small to fill the leaf cuvette (2 cm<sup>2</sup>) and so the measured parameters  
186 were adjusted after the measurements. For *P. halepensis*, we positioned a layer of needles (ca.  
187 10-15) on the leaf cuvette, avoiding gaps and overlays, and sealed the gaskets with Blu-tack  
188 (Bostik SA, La Plaine St Denis, France) to keep the needles in position. The preparation and  
189 acclimation of the leaves prior to recording the response curves were conducted as in Sperlich *et*  
190 *al.* (2014).

### 191 ***CO<sub>2</sub> experiments***

192 The CO<sub>2</sub>-response curves were recorded at a leaf temperature (T<sub>Leaf</sub>) of 25 °C and a quantum  
193 flux density of 1000 μmol photons m<sup>-2</sup> s<sup>-1</sup>. The CO<sub>2</sub> concentrations in the leaf chamber (C<sub>a</sub>) used  
194 to generate the response curves were 400→300→200→150→100→50→400→400→600→  
195 800→1200→2000 μmol CO<sub>2</sub> mol air<sup>-1</sup>. The minimum and maximum times for stabilising net  
196 assimilation rate (A<sub>net</sub> in μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>), stomatal conductance (g<sub>s</sub> in mol H<sub>2</sub>O m<sup>-2</sup> s<sup>-1</sup>), and  
197 stomatal internal CO<sub>2</sub> concentrations (C<sub>i</sub> in μmol CO<sub>2</sub> mol air<sup>-1</sup>) for each log were set to 4 and 6  
198 min, respectively.

### 199 ***Calculation of chlorophyll fluorescence parameters***

200 F<sub>m</sub>' and F<sub>s</sub> were used to estimate the effective quantum yield of photosystem II (Φ<sub>PSII</sub>, unitless)  
201 as:

$$202 \quad \Phi_{\text{PSII}} = \frac{(F_{\text{m}}' - F_{\text{s}})}{F_{\text{m}}'} \quad (1)$$

203 where F<sub>s</sub> is the steady-state fluorescence of a fully light-adapted sample, and F<sub>m</sub>' is the maximal  
204 fluorescence yield reached after a pulse of intense light. The effective quantum yield of PSII  
205 represents the fraction of photochemically absorbed photons for a light-adapted leaf. The  
206 electron-transport rate based on the effective quantum yield of PSII (J<sub>CF</sub> in μmol electron m<sup>-2</sup> s<sup>-1</sup>)  
207 was calculated as

$$208 \quad J_{\text{CF}} = \varepsilon * \Phi_{\text{PSII}} * \alpha_{\text{L}} \quad (2)$$

209 ε is a scaling factor accounting for the partitioning of intercepted light between photosystem I  
210 (PSI) and PSII. We assumed that light was equally distributed between both photosystems (ε =  
211 0.5) (Bernacchi *et al.* 2002, Niinemets *et al.* 2005). α<sub>L</sub> (unitless) is the foliar absorbance; we

212 used the following values: 0.932 for *Q. ilex* and 0.912 for *P. halepensis* for both sunlit and  
 213 shaded leaves, 0.935 for sunlit leaves of *A. unedo*, 0.917 for shaded leaves of *A. unedo*, 0.939  
 214 for sunlit leaves of *Q. pubescens*, and 0.900 for shaded leaves of *Q. pubescens*. For the  
 215 determination of  $\alpha_L$ , foliar reflectance and transmittance were measured at midday in August  
 216 2012 using a UniSpec Spectral Analysis System spectroradiometer (PP Systems, Haverhill,  
 217 USA). The ambient photosynthetic electron transport ( $J_{amb}$ ) was defined as the value of  $J_{CF}$  at a  
 218  $CO_2$  concentration of  $400 \mu mol CO_2 mol air^{-1}$  and a PPFD of  $1000 \mu mol photons m^{-2} s^{-1}$ . The  
 219 relationship between  $J_{amb}$  and the net assimilation rate ( $J_{amb}/A_{net}$ ) was used for the analyses of  
 220 alternative electron sinks other than carbon metabolism. Calculations of  $F_v/F_m$  and NPQ can be  
 221 found in the supplementary material (Note S1).

### 222 ***Estimation of mesophyll conductance***

223 We estimated  $g_m$  (in  $mol m^{-2} s^{-1} bar^{-1}$ ) using the variable- $J$  method by Harley et al. (1992):

$$224 \quad g_m = \frac{A_{net}}{C_i - \frac{[\Gamma^* J_{CF} + 8(A_{net} + R_d)]}{J_{CF} - 4(A_{net} + R_d)}} \quad (6)$$

225 where  $\Gamma^*$  is the  $CO_2$  concentration at which the photorespiratory efflux of  $CO_2$  equals the rate of  
 226 photosynthetic  $CO_2$  uptake, and  $R_d$  is the mitochondrial respiration of a leaf in light conditions  
 227 and was estimated from the light-response curves combining gas exchange and measurements  
 228 with the CF- method proposed by Yin et al. (2009). See supplementary material for details  
 229 (Note S2). The chloroplastic  $CO_2$  concentration ( $C_c$  in  $\mu mol CO_2 mol air^{-1}$ ) was determined as:

$$230 \quad C_c = C_i - \frac{A_{net}}{g_m} \quad (7)$$

### 231 ***Photosynthesis model***

232 The photosynthesis model of Farquhar et al. (1980) considers photosynthesis as minimum of the  
 233 potential rates of Rubisco activity ( $A_c$ ) and ribulose-1,5-bisphosphate (RuBP) regeneration ( $A_j$ ).  
 234 The model was further complemented with a third limitation ( $A_p$ ) that considers the limitation  
 235 by triose-phosphate use (TPU) at high  $CO_2$  concentrations when the  $CO_2$  response shows a  
 236 plateau or decrease (Sharkey 1985). However, we rarely detected  $A_p$  limitations and TPU was  
 237 therefore discarded in our analyses.  $A_{net}$  was then determined by the minimum of these two  
 238 potential rates from an  $A/C_c$  curve:

$$239 \quad A_{net} = \min\{A_c, A_j\} \quad (8)$$

240 where

$$241 \quad A_c = V_{cmax} * \left[ \frac{C_c - \Gamma^*}{C_c + K_c \left(1 + \frac{o}{K_o}\right)} \right] - R_d \quad (9)$$

242 where  $V_{c,max}$  (in  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ ) is the maximum rate of Rubisco carboxylation,  $K_c$  is the  
243 Michaelis-Menten constant of Rubisco for  $\text{CO}_2$ ,  $O$  is the partial pressure of  $\text{O}_2$  at Rubisco, and  
244  $K_o$  is the Michaelis-Menten constant of Rubisco for  $\text{O}_2$ , taken from Bernacchi et al. (2002). The  
245 equation representing photosynthesis limited by RuBP regeneration is:

$$246 \quad A_j = J * \left[ \frac{C_c - \Gamma^*}{4C_c + 8\Gamma^*} \right] - R_d \quad (10)$$

247 where  $J$  (in  $\mu\text{mol electron m}^{-2} \text{ s}^{-1}$ ) is the rate of electron transport. We assumed that  $J$  becomes  
248  $J_{max}$  under light and  $\text{CO}_2$  saturation when the maximum possible rate of electron transport is  
249 theoretically achieved, although we may have underestimated the true  $J_{max}$  (for further details  
250 see Buckley & Diaz-Espejo, 2014).  $V_{c,max}$  and  $J_{max}$  define the biochemical potential to drive  
251 photosynthesis and are summarised in the term “photosynthetic potential” (Niinemets et al.  
252 2006). Curves were fit, and diffusion leakage was corrected, as in Sperlich *et al.* (2014).

### 253 ***Foliar morphology, chemical analyses, and assessment of crown*** 254 ***condition***

255 Foliar morphological traits were measured on fully expanded leaves ( $n = 60$  per leaf position  
256 and species) from the excised twigs in five sampling campaigns in spring and autumn 2011<sup>a</sup>  
257 (2011<sup>a</sup> indicates sampling during a drought), and winter, spring, and summer 2012. Immediately  
258 after the gas exchange analyses, we measured fresh weight (FW, mg) and projected leaf surface  
259 area (LA,  $\text{cm}^2$ ) (including petioles) with Photoshop from scanned leaves at 300 dpi. We oven-  
260 dried the leaves at  $70^\circ\text{C}$  for 48 h and weighed the leaves for dry weight (DW, mg) and  
261 measured leaf thickness (LT, mm) with a portable dial thickness gauge (Baxlo Precisión,  
262 Barcelona, Spain). We then calculated the percentage of the leaf water content (WC) as  $[1 -$   
263  $(\text{DW}/\text{FW})] * 100$ . Leaf mass per area (LMA) ( $\text{mg cm}^{-2}$ ) was calculated as the ratio of DW to LA  
264 and leaf tissue density (D,  $\text{mg cm}^{-3}$ ) as the ratio of LMA to LT. Foliar Succulence (S) was  
265 calculated as  $(\text{FW} - \text{DW})/\text{LA}$ . We ground the leaves to a fine powder using a MM400 mixer mill  
266 (Retsch, Hahn, Germany), encapsulated a sample of 0.7 mg in tin foil and determined carbon  
267 and nitrogen contents by EA/IRMS (Elemental Analyzer/Isotope Ratio Mass Spectrometry) and  
268 GC/C/IRMS (Gas Chromatography/Combustion/IRMS). The crown condition was assessed  
269 using ‘International Co-operative Programme on Assessment and Monitoring of Air  
270 Pollution Effects on Forests’ (ICP Forests) standards (Eichhorn et al. 2010).

### 271 ***Statistical analyses***

272 We performed the statistical analyses with the R version 3.0.2 (<http://www.r-project.org/>). The  
273 matrix of photosynthetic and morphological traits was subjected to principal component  
274 analyses (PCAs) to summarise the principal factors explaining the variation in these parameters.  
275 Differences in the parameters between sunlit and shaded leaves were determined with Student’s

276 *t*-tests ( $P \leq 0.05$ ). The normality of the data was tested with Shapiro-Wilk tests. If the data were  
277 not normally distributed, they were normalised. One-factorial analyses of variance (ANOVAs)  
278 with season as the main factor were used to test for differences in the parameters in each species  
279 and leaf position. Significant differences were determined at  $P \leq 0.05$  with Fisher's least  
280 significance difference (LSD) tests. Bonferroni correction was used for familywise error rate.  
281 Linear regression analyses were conducted to study the relationships among various leaf traits  
282 such as  $A_{\text{net}}/g_s$ ,  $A_{\text{net}}/g_m$ ,  $J_{\text{max}}/V_{c,\text{max}}$ ,  $g_m/g_s$ ,  $J_{\text{amb}}/A_{\text{net}}$ . With analyses of co-variance (ANCOVAs),  
283 we tested for differences in regression slopes and intercepts. We applied a non-linear regression  
284 analysis using the *nls* function in R to study the relationship of  $g_m/\text{LMA}$ .  
285

## 286 **Results**

### 287 ***Environmental and crown conditions***

288 The year 2011 was characterised by 30% more precipitation than the climatic average of 723  
289 mm (1951-2010) (Ninyerola et al. 2007a, 2007b) (Tab. 3, Fig. 1), and no drought-induced leaf  
290 shedding was observed. The winter from 1 December 2011 to 31 January 2012 was relatively  
291 mild with average maximum and minimum temperatures of 11.8 and 4.2 °C, respectively,  
292 coinciding with high photosynthetic potentials and shoot growth. The precipitation in 2012 was  
293 20% lower than the climatic average (Table 3). *A. unedo* and *Q. pubescens* were strongly  
294 defoliated during summer 2012; *Q. ilex* and *P. halepensis* to a lesser extent (Table 4). *Q. ilex*  
295 showed some discoloration in the more exposed sites. Only one individual of *P. halepensis*  
296 showed discoloration. The defoliated *Q. pubescens* trees recovered completely in 2013. In  
297 contrast, heavily affected individuals of *A. unedo* showed an irreversible dieback of the main  
298 leading branches but also vigorous re-sprouting in 2013.

### 299 ***Effect of season, tree species and leaf position on photosynthetic*** 300 ***parameters***

301 In Fig. 2a, we present the PCA for the morphological and photosynthetic parameters. No  
302 rotation was applied to the space of the PC's.  $V_{c,max}$ ,  $J_{max}$ , and  $g_s$  were negatively correlated with  
303  $N_{mass}$ ,  $C_{mass}$ , NPQ, and  $g_m$ .  $F_v/F_m$ ,  $g_s$ , and water content (WC) were negatively correlated with  
304 nitrogen and carbon per unit leaf area ( $N_{area}$ ,  $C_{area}$ ), LMA, and density (D). Nitrogen per unit leaf  
305 mass ( $N_{mass}$ ) and  $g_m$  correlated well with LT (Fig. 2).  $A_{net}$  was correlated negatively with  
306 succulence (S) and positively with  $g_m$ . PC1 and PC2 explained 37.2 and 20.4% of the variation,  
307 respectively. The datapoints within the cluster circles in Fig. 1b-d exhibited similar behaviours  
308 in photosynthetic and morphological traits. Leaf positions, seasons, and species could be  
309 separated. Sunlit leaves were characterised by higher values on the orthogonal axis. The  
310 horizontal axes separated *A. unedo* and *Q. pubescens* from *Q. ilex* and *P. halepensis*. The  
311 orthogonal axes separated *Q. ilex* from *P. halepensis* with generally positive values. The  
312 seasonality was further investigated for each species and leaf position with ANOVAs for each  
313 photosynthetic and morphological parameter.

#### 314 *Q. ilex*

315 *Q. ilex* had the most plastic response to the environmental conditions. The sunlit leaves of *Q.*  
316 *ilex* exhibited strong declines in several photosynthetic parameters from summer 2011 to  
317 autumn 2011<sup>a</sup>.  $V_{c,max}$ ,  $A_{net}$  and  $g_s$  were significantly ( $P < 0.05$ ), and  $J_{max}$  and  $g_m$  were marginally  
318 significantly lower ( $P < 0.10$ ) (Fig. 3 a1-b1). The means of the majority of the photosynthetic  
319 parameters recovered after the first rains in autumn 2011<sup>b</sup> (2011<sup>b</sup> indicates sampling after the  
320 drought), reaching pre-drought values, but accompanied by a high standard error. This recovery

321 was thus only significant for  $J_{\max}$  and  $g_m$ . Surprisingly,  $V_{c,\max}$  and  $J_{\max}$  peaked in winter and not,  
322 as expected, in spring. From that peak we observed significant declines from winter to spring to  
323 summer 2012. In contrast to the pattern of  $V_{c,\max}$  and  $J_{\max}$ ,  $F_v/F_m$ ,  $A_{\text{net}}$ , and  $g_s$  peaked in spring  
324 2012 (Figs. 3c1, 4a1-b1). These parameters then also declined significantly in summer 2012.  
325 Interestingly,  $g_m$  peaked in summer 2012 in parallel with a reduction in  $g_s$  (Fig. 4c1). The  
326 photosynthetic parameters of shaded leaves in *Q. ilex* showed a similar trend, declining after the  
327 drought in 2011 and recovering after the autumn rains (Figs. 3, 4). The parameter means of  
328 shaded leaves remained relatively stable throughout the season, in contrast to the pattern in  
329 sunlit leaves, except for a peak of  $V_{c,\max}$  and  $J_{\max}$  in spring 2012. The photosynthetic parameters  
330 in *Q. ilex* were significantly lower in shaded leaves. During periods of stress, however, the  
331 photosynthetic parameters of sunlit leaves declined and had values similar to those of shaded  
332 leaves (Table 6, Figs. 3, 4).

### 333 *P. halepensis*

334 Mean  $V_{c,\max}$ ,  $J_{\max}$ , and  $F_v/F_m$  were generally higher in sunlit leaves of *P. halepensis* than the  
335 other species (Figs. 3, 4). The seasonal variation of the photosynthetic potential was not as  
336 strongly pronounced as in *Q. ilex*, and mean  $V_{c,\max}$  and  $J_{\max}$  remained relatively high and stable  
337 in 2011 (Fig. 3a1-b1). The 2012 drought had comparatively stronger effects on  $V_{c,\max}$  and  $J_{\max}$   
338 than the 2011 drought. Mean  $A_{\text{net}}$ ,  $g_s$ , and  $g_m$ , however, were significantly lower in autumn 2011<sup>a</sup>  
339 (Fig. 4a1-c1). These values recovered quickly and significantly after the first autumn rains. The  
340 relatively high  $V_{c,\max}$ ,  $J_{\max}$ , and  $F_v/F_m$  during this period reflected a stronger limitation of  $g_s$  and  
341  $g_m$  than of the biochemistry imposed on  $A_{\text{net}}$ .  $A_{\text{net}}$  recovered in winter 2012 due to the mild  
342 conditions (Fig. 4a1). The 2012 summer drought significantly reduced the high values of  $A_{\text{net}}$   
343 observed in winter 2012, but not as much as after the 2011 drought (Fig. 4a1). Both  $g_s$  and  $g_m$   
344 remained relatively stable during this period, so the reductions in  $A_{\text{net}}$  were due to biochemical  
345 limitations ( $V_{c,\max}$  and  $J_{\max}$ ) (Figs. 3, 4). Sunlit and shaded leaves differed the least in *P.*  
346 *halepensis*; only  $V_{c,\max}$  and  $J_{\max}$  were significantly different (Table 6). The sunlit and shaded  
347 leaves of *P. halepensis* had similar patterns of seasonal variation, but changes between seasonal  
348 campaigns were not significant (Fig. 3a1-b1).

### 349 *A. unedo*

350 Similar to *Q. ilex*, the photosynthetic parameters in *A. unedo* varied strongly seasonally but had  
351 high standard errors (Figs. 3, 4).  $A_{\text{net}}$  decreased significantly in winter 2012, in contrast to  $J_{\max}$   
352 and  $V_{c,\max}$  that peaked in the same campaign (Figs. 3a1-b1 and 4a1). A decline in  $g_s$  and  $g_m$  in  
353 this campaign suggested that they more strongly regulated  $A_{\text{net}}$  (Fig. 4b1-c1).  $A_{\text{net}}$ ,  $g_s$ , and  $g_m$   
354 peaked in spring 2012. These increases were significant for  $A_{\text{net}}$  and  $g_s$  and marginally  
355 significant for  $g_m$  relative to the other field campaigns (Fig. 4a1-4c1). The photosynthetic  
356 parameters were generally lower in the shaded leaves of *A. unedo*, but with no clear pattern and  
357 high variability (Table 5).

358 *Q. pubescens*

359 The photosynthetic potentials were much higher in *Q. pubescens* than in the other species but  
360 also had high standard errors (Fig. 3a1-b1). The 2012 summer drought led to a decline of the  
361 photosynthetic potentials by approximately one third. These decreases were only significant for  
362 the average of spring 2011 and spring 2012 relative to the average of summer 2011 and summer  
363 2012.  $A_{\text{net}}$  showed a similar trend, with a peak in spring 2012 being reduced significantly by the  
364 2012 summer drought (Fig. 4a1). Stomatal control was more strongly pronounced than  
365 mesophyllic control (Fig. 4b1-c1). Shaded leaves had higher  $A_{\text{net}}$ ,  $g_m$ , and  $g_s$  means throughout  
366 the campaigns, in contrast to lower means of  $V_{c,\text{max}}$  and  $J_{\text{max}}$  (Figs. 3a1-c2, 4a1-b2). Shaded  
367 leaves generally showed lower values than sunlit leaves and were less affected by the droughts  
368 (Figs. 3a1-c2, 4a1-c2).

### 369 ***Morphological parameters***

370 The foliar traits of *P. halepensis* and *Q. ilex* acclimated most strongly to drought. LMA was  
371 significantly higher in *P. halepensis* and *Q. ilex* in both shaded and sunlit leaves in summer  
372 2012 compared to the previous field campaigns (Fig. 5a1-a2). This was similar in *A. unedo* but  
373 less pronounced. LMA had no clear pattern in *Q. pubescens*. Elevated LMA was accompanied  
374 by higher values of leaf density (D), succulence (S), and carbon content, indicating a more  
375 sclerophyllic and succulent structure as response to the drier conditions in 2012 (Figs. S2, S3).  
376  $N_{\text{mass}}$  was significantly higher in spring and summer 2012 for *Q. ilex* and *P. halepensis* (shaded  
377 and sunlit leaves) and for shaded leaves of *A. unedo*, but not for *Q. pubescens* (Fig. 5b1-b2).

### 378 ***Relationships of photosynthetic and morphological parameters***

379 In order to analyse the general pattern of several relationships of the photosynthetic parameters  
380 and foliar traits, we used ANCOVAs to test for differences in the slopes between seasons across  
381 all species.

382 The slope the  $A_{\text{net}}/g_s$  relationship was significantly steeper in summer and autumn 2011<sup>a</sup> in all  
383 species compared to the other field campaigns (Fig. 6a1, Table S1), suggesting an increased  
384 intrinsic water-use efficiency during the dry period in 2011. Shaded leaves had a similar  
385 conservative water-use strategy in autumn 2011<sup>a</sup> (Fig. 6a2). Shallower slopes in autumn 2011<sup>b</sup>  
386 in both leaf positions represent rapid responses (less than one week) to the post-drought rains  
387 easing the strict stomatal control.

388 The  $A_{\text{net}}/g_m$  relationship in autumn 2011<sup>a</sup> also had a significantly steeper slope in both sunlit and  
389 shaded leaves recovering after the first rains in autumn 2011<sup>b</sup> (Fig. 6b1-2, Table S2). In the drier  
390 year 2012,  $g_m$  imposed less resistance on photosynthetic assimilation compared to the wet year  
391 2011. The slope of the  $A_{\text{net}}/g_m$  relationship was significantly higher for winter 2012 than spring  
392 and summer 2012, suggesting a stronger control of  $g_m$  on photosynthesis in winter. The autumn  
393 2011<sup>a</sup> and summer 2012 droughts had strong effects on the slope of  $A_{\text{net}}/g_m$  in shaded leaves.

394 With the ANCOVA of the relationship of  $g_m$  and  $g_s$ , we investigated the proportional diffusion  
395 limitation on photosynthesis. We observed seasonal differences across all species (Fig. 6c,  
396 Table S3). Mesophyllic control was stronger in the dry autumn 2011<sup>a</sup> and the two winter  
397 periods. In contrast, stomatal control was higher than mesophyllic control in the mild 2011  
398 summer drought. This was most strongly pronounced in *P. halepensis* and *Q. ilex* (data not  
399 shown).

400 The slope in the relationship of  $V_{c,max}$  and  $J_{max}$  was significantly steeper in autumn 2011<sup>a</sup> for  
401 both sunlit and shaded (Fig. 7a, Table S4) leaves due to a stronger reduction in  $V_{c,max}$  compared  
402 to  $J_{max}$ . The overall  $J_{max}/V_{c,max}$  ratios were 1.09 for sunlit and 1.24 for shaded leaves. The slope  
403 of the  $J_{amb}/A_{net}$  relationship in sunlit and shaded leaves was significantly lower in the more  
404 humid periods (autumn 2011<sup>b</sup>, winter 2012, and winter 2013), indicating lower protective  
405 energy dissipation and alternative electron pathways under favourable conditions (Fig. 7b, Table  
406 S5).

407 Increased foliar sclerophylly led to higher LMAs and thus to higher diffusion resistances in the  
408 mesophyll, as shown by the relationship between  $g_m$  and LMA (Fig. 8, Table S6). In spring  
409 2012 and summer 2012, we detected a less negative exponent (hence a gentler curve) (-0.953  
410 and -0.800, respectively) compared to winter 2012 and autumn 2011<sup>a</sup>) (-1.486 and -1.533,  
411 respectively). This shows that, regardless of the drier conditions and higher LMA in 2012,  $g_m$   
412 was higher in this period reflecting a regulatory mechanism of  $g_m$  in the CO<sub>2</sub> diffusion pathway  
413 (in line with the results of the  $g_m/g_s$  analyses).

414

## 415 *Discussion*

### 416 **Photosynthetic seasonality and effects of drought**

417 We found that  $V_{c,max}$  and  $J_{max}$  acclimated strongly to the seasonal changes in temperature and  
418 water availability in agreement with previous studies (Vitale and Manes 2005, Corcuera et al.  
419 2005, Misson et al. 2006, Ribeiro et al. 2009, Limousin et al. 2010). High radiation and water  
420 stress can have a combinatory negative effect on the photosynthetic apparatus, especially in  
421 sunlit leaves. Stomata close to avoid transpiration loss and hydraulic failure, but stomatal  
422 closure impairs the diffusion of the  $CO_2$  needed in the chloroplasts, the site of carboxylation.  
423  $V_{c,max}$  is a proxy for the maximum potential rate of carboxylation, which is carried out by  
424 Rubisco, a costly nitrogen-rich protein. The temporary unemployment of Rubisco due to limited  
425 substrate ( $CO_2$ ) availability leads to its de-activation and, during chronic water stress, to its  
426 decomposition (Parry 2002, Chaves and Oliveira 2004, Lawlor and Tezara 2009). High  
427 incoming radiation that cannot efficiently be dissipated in the Calvin cycle over-excites the  
428 photoreaction centres (photoinhibition) and produces reactive oxygen species (ROS) that  
429 damage the photosystems and the ATP synthase- needed for the carbon reactions (Epron et al.  
430 1993). Leaves prevent harmful excess energy with protective actions such as the reorganisation  
431 of the thylakoid membrane, closure of reaction centres, and reduced antennal size (Huner et al.  
432 1998, Maxwell and Johnson 2000, Ensminger et al. 2012, Verhoeven 2014). These actions  
433 reduce PSII efficiency and  $J_{max}$ , and enhance alternative energy pathways to prevent damage on  
434 the molecular level on the cost of a lower carbon assimilation.

435 The trees in our study site maintained considerable rates of  $A_{net}$  during moderate drought  
436 through improved water relations via  $g_s$  and  $g_m$  control. The relatively stable  $F_v/F_m$  values  
437 indicate that the protective actions against photoinhibitory stress were effective. The trees  
438 showed trunk rehydration after the first autumn rain (Sánchez-Costa *et al.*, unpublished results)  
439 and quickly recovered their photosynthetic potential, suggesting that the Rubisco content  
440 remained unaffected by moderate drought. The drought impacts were much more severe in the  
441 dry year 2012, illustrating the vulnerability of tree physiology to the depletion of soil-water  
442 reserves during the early growing season. The severity of drought strongly determined the  
443 relative limitations of  $g_s$  and  $g_m$  on photosynthesis, especially in *Q. ilex* and *P. halepensis*.  
444 Stomatal closure regulated photosynthesis during both the moderate and severe droughts;  $g_m$ , in  
445 contrast, decreased under moderate, but increased under severe drought. We postulate that  
446 altered  $g_m$  can ease the leaf internal  $CO_2$  diffusion needed for photosynthesis, especially under  
447 chronic water stress when depleted non-structural carbohydrates (NSCs) make plants  
448 particularly reliant on photosynthetic products for refinement, repair, and protective actions  
449 (Niinemets et al. 2009). Major changes of  $\Phi_{PSII}$ ,  $F_v/F_m$ , and photosynthetic potentials across all

450 species reflected these refinements of the photosynthetic apparatus as responses to chronic water  
451 stress in summer 2012.

452 These acclimatisations occurred not only under dry and hot conditions, but also in winter at high  
453 radiation and low temperature. Nevertheless, favourable winter conditions in 2012 resulted in  
454 biochemical recovery (peak of  $V_{c,max}$  and  $J_{max}$ ), new shoot growth, and moderate transpiration  
455 across species (often exceeding summer values) (Sánchez-Costa *et al.*, unpublished results).  
456 Year-round growth patterns with several flushes during the year have also been reported in other  
457 studies (Alonso *et al.* 2003). Under novel climatic conditions, favourable conditions in winter  
458 may be crucial in the competition between evergreen and deciduous tree species.

459 We observed a highly species-specific pattern. *Q. ilex* and *A. unedo* followed a water-spending,  
460 anisohydric strategy that maintained  $A_{net}$  and  $g_s$  in parallel with lower  $V_{c,max}$  and  $J_{max}$ . In contrast,  
461 *P. halepensis* had significantly decreased  $g_s$ , consistent with the conservative water-use strategy  
462 and strict stomatal control of isohydric species (Borghetti *et al.* 1998, Martínez-Ferri *et al.*  
463 2000). *Q. ilex* generally responded most plastically by rapidly adjusting the photosynthetic  
464 machinery to the prevailing conditions (García-Plazaola *et al.* 1997, 1999, Martínez-Ferri *et al.*  
465 2004). *P. halepensis* was the most tolerant to photoinhibition and had the most robust  
466 photosynthetic machinery to combat abiotic stress (Baquedano and Castillo 2006, Sperlich *et al.*  
467 2014). The mesophyll diffusion limitation was lowest in *Q. pubescens* and *A. unedo*, as we  
468 claim, due to their deciduous/semi-evergreen foliar habits and lower LMAs (see also Tomás *et*  
469 *al.*, 2014). *Q. pubescens* must maximise gas exchange during a shorter growing season, leading  
470 to high photosynthetic potentials,  $A_{net}$  (Baldocchi *et al.* 2009) and transpiration rates throughout  
471 the summer (Sánchez-Costa *et al.*, unpublished results; Poyatos *et al.*, 2008).

#### 472 **Responses specific to leaf position**

473 The seasonality of photosynthetic parameters was qualitatively different between leaf positions  
474 (Niinemets *et al.* 2006, Vaz *et al.* 2011) and was mostly pronounced in sunlit leaves. Shaded  
475 leaves cushioned the negative climatic effects, maintaining their functionality compared to  
476 sunlit leaves. Foliar anatomy, morphology, and biochemistry were highly specialised and  
477 dependent on the light regime, leading to smaller but also thicker sunlit leaves and broader and  
478 thinner shaded leaves (Kull and Niinemets 1993, Terashima and Hikosaka 1995, Niinemets  
479 2001). Shaded leaves had lower N, photosynthetic potentials, carbon metabolisms and higher  
480  $J_{max}/V_{c,max}$  ratio (see also Le Roux *et al.* 2001). Shaded leaves invest in higher  $J_{max}$  relative to  
481  $V_{c,max}$  in order to increase the light-use efficiency. Responses specific to leaf position, however,  
482 differed among tree species due to distinct foliar morphologies and crown architectures. The  
483 sun-exposed crown position of *P. halepensis*, surmounting the forest canopy resulted in high  
484 photosynthetic potentials and a low  $J_{max}/V_{c,max}$  ratio throughout the crown. Pine needles attain  
485 nearly saturated photosynthetic rates over a wide range of diurnal and seasonal variation in  
486 radiation due to their cylindrical shape and steep angles (Jordan and Smith 1993, Lusk *et al.*

487 2003). Similarly, *Q. pubescens* showed a low differentiation between sunlit and shaded leaves.  
488 A low  $J_{\max}/V_{c,\max}$  ratio throughout the crown suggests a higher proportion of sunlit leaves. In  
489 contrast, the comparatively higher  $J_{\max}/V_{c,\max}$  ratio of sunlit leaves in *A. unedo* reflects a more  
490 shaded growth environment explained by its subordinated position in the forest canopy. The *Q.*  
491 *ilex* canopy was dense with a high proportion of shaded leaves, in line with its shade tolerance.  
492 Hence, leaf position specific responses were highest in *Q. ilex*. The comparatively higher  
493 photosynthetic values in sunlit leaves decreased partly below the level of shaded leaves under  
494 stress conditions (see also Sperlich *et al.*, 2014). Shaded leaves are less exposed to the dramatic  
495 changes in radiation and temperature in the outer canopy and can be of particular importance for  
496 *Q. ilex* to attain a positive net carbon ratio during stress periods (Valladares *et al.* 2008). We  
497 stress that the solar environment of the leaves is a crucial factor for assessing tree performance,  
498 especially in a competitive environment.

### 499 **Acclimation of foliar morphology**

500 Mediterranean trees acclimate to water deficits with higher investments in structural  
501 compounds, thereby increasing leaf density and succulence (Niinemets 2001, Ogaya and  
502 Peñuelas 2006, Poorter *et al.* 2009). Foliar traits are known to be good indicators for the ability  
503 of Maquis-species to respond to decreases in rainfall under climate change (Gratani and Varone  
504 2006, Ogaya and Peñuelas 2007). We confirm that severe water deficit resulted in increased LT  
505 and reduced LA and consequently in higher LMA. It was reported that the plasticity of leaf  
506 morphology is generally higher than the plasticity of foliar chemistry and assimilation rates over  
507 a wide range of woody species (Niinemets 2001). Under moderate drought, however, foliar  
508 morphology was less plastic than foliar chemistry and assimilation rates (Quero *et al.* 2006);  
509 severe water stress affected both to a similar extent. Leaf trait acclimation strongly constrained  
510 mesophyll conductance under severe drought, especially in *Q. ilex* and *P. halepensis* (see also  
511 Tomás *et al.* 2013). We postulate that foliar traits served best as proxies for drought acclimation  
512 in *Q. ilex* (Grossoni *et al.* 1998, Bussotti *et al.* 2000) and *P. halepensis* (Alonso *et al.* 2003),  
513 both characterised by high leaf-longevities. These changes may be accompanied by increased  
514 leaf vein density that may helped to increase the tolerance to foliar hydraulic dysfunction in  
515 Mediterranean plants (Nardini *et al.* 2014). The foliar traits of *A. unedo* and *Q. pubescens*  
516 acclimated the least, so leaves were susceptible to foliar hydraulic dysfunction and drought-  
517 deciduousness. We attribute this species-specificity in leaf trait acclimation to functional  
518 differences of leaf investment costs and distinct leaf shedding strategies between deciduous /  
519 semi-deciduous (*Q. pubescens* and *A. unedo*) to evergreen sclerophyllic species (*Q. ilex* and *P.*  
520 *halepensis*) which we will elaborate further in the following chapter.

### 521 **Crown defoliation in summer 2012**

522 The lack of rain in early 2012 predisposed the vegetation to leaf senescence observed in summer  
523 2012, with high variability across and within species. Leaf senescence was highest in *A. unedo*

524 and *Q. pubescens* – showing partly completely defoliated crowns. *Q. ilex* and mostly *P.*  
525 *halepensis* overcame this period with marginal leaf shedding. Stored NSCs strongly determine  
526 the recovery of xylem hydraulic conductivity by vessel refilling and the resistance of water  
527 transport to drought under prolonged evaporative demand (Ogasa et al. 2013). Depleted NSCs  
528 may limit the ability to recover from embolisms (Galiano et al. 2012). *A. unedo* is susceptible to  
529 hydraulic dysfunction induced by depleted NSC (e.g. Rosas et al., 2013) which might explain  
530 the severe branch dieback of *A. unedo* in our study. As shrubby species characteristic of  
531 Maquis-biomes (Beyschlag et al. 1986, Harley et al. 1986), *A. unedo* likely faced a trade-off  
532 between growing tall and risking hydraulic dysfunction due to high xylem tension under severe  
533 soil-water deficits (Choat et al. 2012). Though, *A. unedo* might contend with severe climatic  
534 stress through its strong capacity to resprout (see also Ogaya & Peñuelas, 2004).

535 Pines follow a strategy of water conservation and embolism avoidance, because they have a low  
536 capacity to store carbohydrates (Meinzer et al. 2009). *P. halepensis* had a high growth-based  
537 water-use efficiency ( $WUE_{BAI} = \text{Basal area increment}/\text{Tree transpiration}$ ) during severe drought  
538 (Sánchez-Costa et al., unpublished results), through the combinatory effect of photosynthetic  
539 downregulation, foliar-trait acclimation, and improved gas exchange. Thus, this tree species is  
540 comparatively the most productive one, especially under drought, confirming its high  
541 competitiveness in dry habitats (Zavala and Zea 2004, Maseyk et al. 2008, de Luis et al. 2011).  
542 Sánchez-Costa et al. (unpublished results) observed a higher  $WUE_{BAI}$  in *Q. pubescens* compared  
543 to *Q. ilex* during the soil-moisture deficit in 2012. The “low-cost” leaves of the deciduous *Q.*  
544 *pubescens* facilitate drought senescence, so that the reduced transpiratory surface area can  
545 effectively avoid damage from hydraulic cavitation and xylem embolism (Ogaya and Peñuelas  
546 2006, Barbeta et al. 2013). Fully refoliated crowns in the following growing season was  
547 evidence of its success relative to *A. unedo*. The extraordinarily high photosynthetic potentials  
548 in the remaining leaves were probably due to a mechanism to compensate for the reduced total  
549 leaf area, as indicated by the higher translocation of leaf nitrogen before leaf shedding.

550 *Q. ilex* can effectively tolerate the effects of drought by reducing its LMA and by allowing low  
551 water potentials (anisohydric behaviour) (Villar-Salvador et al. 1997, Ogaya and Peñuelas 2006,  
552 Limousin et al. 2009). Its hydraulic features are highly plastic, because yearly vessel diameter  
553 and recovery are well coupled with annual rainfall (Fotelli et al. 2000, Corcuera et al. 2004). *Q.*  
554 *ilex*, however, was also severely effected in 2012, shedding leaves (Tognetti et al. 1998),  
555 reducing radial growth and  $WUE_{BAI}$  (Sánchez-Costa et al., unpublished results). The positive  
556  $A_{net}$ , despite the reduced  $WUE_{BAI}$ , suggests that photosynthetic products were used for the  
557 maintenance and recovery of xylem hydraulic conductivity instead of growth (Castell et al.  
558 1994). In fact, *Quercus* species show generally a good ability in vessel refilling after xylem  
559 embolism (Carnicer et al. 2013).

## 560 **Implications for the global carbon cycle and modelling**

561 There is evidence that the use of seasonally variable photosynthetic potentials reduces  
562 uncertainties in modelled ecosystem carbon fluxes relative to the use of constant values (Wilson  
563 et al. 2001, Tanaka et al. 2002, Kosugi et al. 2003, 2006, Medvigy et al. 2013). The significant  
564 seasonal acclimation of  $V_{c,max}$  and  $J_{max}$  observed in our study demonstrates that prognostic  
565 models should account for seasonal variation, especially in drought-prone areas. Also, the  
566 significant role of  $g_m$  under abiotic stress periods highlights its importance for estimating the  
567 whole-carbon gain. It is now widely accepted that the apparent values of  $V_{c,max}$  and  $J_{max}$  derived  
568 from  $A/C_i$  curves are, from a physiological point of view, incorrect. A recent study by Sun *et al.*  
569 (2014a) for nearly 130  $C_3$  species showed that the assumption of infinite  $g_m$  in the  
570 parameterization of  $CO_2$ -response curves underestimates  $V_{c,max}$  and  $J_{max}$  by up to 75 and 60%,  
571 respectively. Terrestrial biosphere models on regional or global scales are most commonly  
572 calibrated on  $A/C_i$ -based parameters and therefore use apparent values of  $V_{c,max}$  and  $J_{max}$ .  
573 Incorporating values of  $V_{c,max}$  and  $J_{max}$  parameterised on  $A/C_c$  curves would clearly lead to  
574 erroneous results, because their use requires the incorporation of  $g_m$  and different Rubisco  
575 kinetic parameters into the sub-models of photosynthesis. Therefore, the use of consistent  
576 equations and parameters when incorporating parameters from experimental studies into  
577 vegetation models is inevitable to correctly estimate photosynthesis (Rogers et al. 2014). From a  
578 modelling point of view, it might seem questionable why including  $g_m$  and  $A/C_c$ - based  
579 parameters would improve simulation results and not just increase model complexity.  
580 Terrestrial biosphere models are currently well calibrated against observational data despite  
581 their use of apparent  $V_{c,max}$  and  $J_{max}$ . Another criticism often raised is that there are still potential  
582 errors in various methods to estimate  $g_m$  (and subsequently  $V_{c,max}$  and  $J_{max}$ ) including the  
583 variable  $J$ - method (used in this study) (Pons et al. 2009, Tholen et al. 2012, Gu and Sun 2014).  
584 Nonetheless, large uncertainties remain in the simulations of the future  $CO_2$  fluxes of the global  
585 carbon cycle (Anav et al. 2013, Friedlingstein et al. 2014). Patterns of temperature and  
586 precipitation are highly uncertain in these models due to both a lack of scientific understanding  
587 and model representation (Booth et al. 2012).  
588 These uncertainties could partly explain the poor modelling performance for Mediterranean-  
589 type ecosystems, because the mechanistic description of the photosynthetic processes under  
590 water stress is not very well developed (Morales et al. 2005, Keenan et al. 2011, Zheng et al.  
591 2012, Vargas et al. 2013). As we have shown, the limitations imposed by  $g_m$  on photosynthetic  
592 assimilation can decrease relatively more than the limitations imposed by  $g_s$  or biochemistry  
593 ( $V_{c,max}$  and  $J_{max}$ ) under drought or winter stress. This distinction has important consequences for  
594 the control of water-use efficiency and holds great potential for improving the estimation of  
595 ecosystem carbon fluxes under drought conditions (Niinemets et al. 2009a). As already  
596 mentioned above, the issue of whether (and how) to include  $g_m$  in models is actively debated by  
597 physiologists and modellers (see also Rogers et al. 2014). Keenan *et al.* (2010a) showed that  $g_m$

598 was the missing constraint for accurately capturing the response of terrestrial vegetation  
599 productivity to drought. Yet relatively little information is available from modelling exercises  
600 that have included  $g_m$  in their algorithms, and more research in this field is needed.

601 Concluding the above, we underline that we need to consider the seasonality of photosynthetic  
602 potentials and mesophyll conductance to explain eco-physiological responses to abiotic stress.  
603 These two factors should deserves much more attention in terrestrial biosphere modelling  
604 because they hold great potential to reduce model uncertainties, especially under Mediterranean  
605 climatic conditions.

606

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617

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619

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- 1062

## 1063 **Figure captions**

1064 **Fig. 1.** Environmental variables are presented for the day of the year (DOY) from January 2011 until  
1065 February 2013; a) atmospheric vapour pressure deficit (VPD), b) rainfall in mm c) soil water content in  
1066  $\text{cm}^3 \text{cm}^{-3}$  (gap in data is due to power cut), d) maximum and minimum temperatures in  $^{\circ}\text{C}$  on the primary  
1067 y-axes (in dark circles) and radiation in  $\text{W m}^{-2}$  (in light crosses, foreground) on the secondary y-axes.  
1068 Field campaigns are indicated (acronyms of seasons are detailed in Tab. 2).

1069  
1070 **Fig. 2.** Principal component analyses (PCA) for a) all trees species, leaf positions, and seasons, b) with  
1071 differentiation between sunlit and shaded leaves, c) with differentiation between seasonal campaigns, and  
1072 d) with differentiation between species. We used a subset of all data where both morphological and  
1073 photosynthetic information was available. Fifteen parameters were used in the PCA: net assimilation rate  
1074 ( $A_{\text{net}}$ ), stomatal conductance ( $g_s$ ), mesophyll conductance ( $g_m$ ), maximum carboxylation rate ( $V_{c,\text{max}}$ ),  
1075 maximum electron transport rate ( $J_{\text{max}}$ ), nonphotochemical quenching (NPQ), maximum quantum  
1076 efficiency of PSII ( $F_v/F_m$ ), leaf thickness (LT), leaf mass per area (LMA), leaf density (D), water content  
1077 (WC), nitrogen content per leaf unit area ( $N_{\text{area}}$ ), nitrogen content per leaf unit mass ( $N_{\text{mass}}$ ), carbon  
1078 content per leaf unit area ( $C_{\text{area}}$ ), and carbon content per leaf unit mass ( $C_{\text{mass}}$ ). The directions of the  
1079 arrows indicate the higher levels of the parameters. Principal component (PC) 1 explains 37.2% of the  
1080 variation, and PC 2 explained 20.4%. The ellipses are normal probability contour lines of 68% for the  
1081 factors in b) leaf positions, c) seasons, and d) species.

1082  
1083 **Fig. 3.** Line graphs depicting seasonal changes of a) maximum carboxylation rate ( $V_{c,\text{max}}$ ), b) maximum  
1084 electron-transport rate ( $J_{\text{max}}$ ), and c) maximum quantum efficiency of PSII ( $F_v/F_m$ ) for *Q. ilex*, *P.*  
1085 *halepensis*, *A. unedo*, and *Q. pubescens* in sunlit (1) and shaded (2) leaves. Seasonal campaigns were  
1086 conducted in spring 2011 (sp11), summer 2011 (su11), autumn 2011<sup>a</sup> (au11 a), autumn 2011<sup>b</sup> (au11b),  
1087 winter 2012 (wi12), spring 2012 (sp12), summer 2012 (su12), and winter 2013 (wi13). Missing data  
1088 points were due to limitations of labour and equipment. Vertical bars indicate standard errors of the  
1089 means ( $n = 3-5$ ).

1090  
1091 **Fig. 4.** Line graphs depicting seasonal changes of a) net assimilation ( $A_{\text{net}}$ ), b) stomatal conductance ( $g_s$ ),  
1092 and c) mesophyll conductance ( $g_m$ ) for *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in sunlit (1) and  
1093 shaded (2) leaves. Seasonal campaigns were conducted in spring 2011 (sp11), summer 2011 (su11),  
1094 autumn 2011<sup>a</sup> (au11 a), autumn 2011<sup>b</sup> (au11b), winter 2012 (wi12), spring 2012 (sp12), summer 2012  
1095 (su12), and winter 2013 (wi13). Missing data points were due to limitations of labour and equipment.  
1096 Vertical bars indicate standard errors of the means ( $n = 3-5$ ).

1097  
1098 **Fig 5.** Seasonal changes of the relationships between a) net assimilation ( $A_{\text{net}}$ ) and stomatal conductance  
1099 ( $g_s$ ), b)  $A_{\text{net}}$  and mesophyll conductance ( $g_m$ ), and c)  $g_m$  and  $g_s$  in sunlit (1) and shaded (2) leaves. The  
1100 regression lines represent the seasonal changes across species. For regression equations see Table S1-3.  
1101 The relationships are shown as a thin solid line for spring 2011, short dashes for summer 2011, dots-

1102 dashes for autumn 2011<sup>a</sup>), small dots for autumn 2011<sup>b</sup>), dashes for winter 2012, large dots for spring  
1103 2012, large dots-dashes for summer 2012, and a thick solid line for winter 2013. Statistical differences in  
1104 the slopes between seasonal campaigns were tested by ANCOVAs.

1105

1106 **Fig 6.** Seasonal changes of the relationships between a) the maximum electron-transport rate ( $J_{\max}$ ) and  
1107 the maximum carboxylation rate ( $V_{c,\max}$ ) and b) the electron-transport rate from chlorophyllic  
1108 fluorescence ( $J_{\text{amb}}$ ) and net assimilation ( $A_{\text{net}}$ ) at ambient  $\text{CO}_2$  concentrations and saturating light in sunlit  
1109 (a) and shaded (b) leaves. The regression lines represent the seasonal changes across species. For  
1110 regression equations see Table S4-5. The relationships are shown as a thin solid line for spring 2011,  
1111 short dashes for summer 2011, dots-dashes for autumn 2011<sup>a</sup>), small dots for autumn 2011<sup>b</sup>), dashes for  
1112 winter 2012, large dots for spring 2012, large dots-dashes for summer 2012, and a thick solid line for  
1113 winter 2013.

1114

1115 **Fig 7.** Seasonal changes of the relationship for all species and leaf positions between a) mesophyll  
1116 conductance (gm) and leaf mass per area (LMA). We used a subset of morphological and photosynthetic  
1117 data. Non-linear regression lines of the form  $y = x^{-b}$  were fitted to the data. The upper curve is for summer  
1118 2012 ( $b = 0.800$ ), the middle curve is for spring 2012 ( $b = 0.953$ ) and the lower two overlaying curves are  
1119 for autumn 2011a) ( $b = 1.533$ ) and winter 2012 ( $b = 1.486$ ).

1120

## 1121 Supporting information

1122 Additional supporting information may be found in the online version of this article.

1123 **Fig. S1.** Line graphs depicting seasonal changes of a) effective quantum efficiency of PSII  
1124 ( $\Phi_{\text{PSII}}$ ), and b) nonphotochemical quenching (NPQ) for *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q.*  
1125 *pubescens* in sunlit (1) and shaded (2) leaves. Missing data points were due to limitations of  
1126 labour and equipment. Vertical bars indicate standard errors of the means ( $n = 3-5$ ).

1127 **Fig. S2.** Bar charts depicting seasonal changes of a) succulence (S), b) leaf density (D), c) water  
1128 content (WC), and d) leaf thickness (LT) for *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens*  
1129 in sunlit (1) and shaded (2) leaves. Error bars indicate standard errors of the means ( $n = 3-5$ ).

1130 **Fig. S3.** Bar charts depicting seasonal changes of a) nitrogen per unit leaf area ( $N_{\text{area}}$ ) and b)  
1131 carbon per unit leaf mass ( $C_{\text{mass}}$ ) for *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in sunlit  
1132 (1) and shaded (2) leaves. Error bars indicate standard errors of the means ( $n = 3-5$ ).

1133 **Table S1.** Regression equations and coefficients of determination ( $R^2$ ) for  $A_{\text{net}}/g_s$  for sunlit and  
1134 shaded leaves of *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in eight sampling  
1135 campaigns

1136 **Table S2.** Regression equations and coefficients of determination ( $R^2$ ) for  $A_{\text{net}}/g_m$  for sunlit and  
1137 shaded leaves of *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in eight sampling  
1138 campaigns.

1139 **Table S3.** Regression equations and coefficients of determination ( $R^2$ ) for  $J_{\text{max}}/V_{c,\text{max}}$  for sunlit  
1140 and shaded leaves of *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in eight sampling  
1141 campaigns.

1142 **Table S4.** Regression equations and coefficients of determination ( $R^2$ ) for  $J_{\text{amb}}/A_{\text{net}}$  for sunlit and  
1143 shaded leaves of *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in eight sampling  
1144 campaigns.

1145 **Table S5.** Regression equations and coefficients of determination ( $R^2$ ) for  $g_m/g_s$  for sunlit and  
1146 shaded leaves of *Q. ilex*, *P. halepensis*, *A. unedo*, and *Q. pubescens* in eight sampling  
1147 campaigns.

1148 **Table S6.** Regression equations and coefficients of determination ( $R^2$ ) for a)  $g_m/\text{LMA}$  and b)  
1149  $V_{c,\text{max}}/N_{\text{area}}$  in four seasonal campaigns and for sunlit and shaded leaf positions for *Q. ilex*, *P.*  
1150 *halepensis*, *A. unedo*, and *Q. pubescens*.

1151 **Note S1** Calculation of maximum quantum yield of PSII and nonphotochemical quenching

1152 **Note S2** Light experiments and estimation of day respiration

1153 *This is a pre-copyedited, author-produced PDF of an article accepted for publication in Tree*  
1154 *physiology* following peer review. The version of record Sperlich, D., et al. "Seasonal variability of foliar  
1155 photosynthetic and morphological traits and drought impacts in a Mediterranean mixed forest" in *Tree*  
*physiology*, Vol. 35 issue 5 (May 2015), p. 501-520 is available online at: DOI 10.1093/treephys/tpv017