

1 **Contrasting growth and water use strategies in four co-occurring Mediterranean**  
2 **tree species revealed by concurrent measurements of sap flow and stem diameter**  
3 **variations**

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11 **Abstract**

12 Drought limits tree water use and growth of Mediterranean trees. However, growth and  
13 water use strategies are rarely addressed simultaneously across species and drought  
14 conditions. Here, we investigate the link between stem diameter variations and sap flow  
15 in four co-existing Mediterranean trees (*Pinus halepensis* Mill., *Quercus pubescens*  
16 Willd., *Quercus ilex* L. and *Arbutus unedo* L.), under relatively wet (2011) and dry  
17 (2012) conditions. Continuous stem diameter variations were converted to basal area  
18 increment (BAI) and de-trended to estimate tree water deficit ( $\Delta W$ ), an indicator of stem  
19 hydration. *P. halepensis* and *Q. pubescens* showed the most and the least conservative  
20 sap flow density ( $J_S$ ) regulation under drought, respectively, with *Q. ilex* and *A.unedo*  
21 showing intermediate drought responses. All species, except *A. unedo*, showed some  
22 between-year variability in the environmental control of  $J_S$ . Seasonal stem shrinkage in  
23 response to drought (i.e. increasing  $\Delta W$ ) and subsequent trunk rehydration after rainfall  
24 (i.e. decreasing  $\Delta W$ ) occurred in all species. Vapour pressure deficit (VPD) and soil  
25 moisture ( $\theta$ ) interacted to determine seasonal variation in  $\Delta W$ . Interestingly, in the dry  
26 year, 2012, more species-specific differences were found in the responses of  $\Delta W$  to  $\theta$   
27 and VPD. Across species,  $J_S$  and  $\Delta W$  began to decline at similar soil moisture thresholds,  
28 underpinning the tight link between  $J_S$  and  $\Delta W$  under varying drought conditions.  
29 Annual BAI decreased proportionally more than tree-level transpiration ( $J_T$ ) between  
30 the wet (2011) and the dry (2012) year, hence growth-based WUE ( $WUE_{BAI}=BAI/J_T$ )  
31 decreased for all species, albeit less acutely for *P. halepensis*. Overall, despite their  
32 contrasting leaf habit and wood type, the studied Mediterranean tree species show  
33 coordinated responses of transpiration, water storage dynamics and growth-based WUE  
34 which allow them to cope with seasonal and interannual drought.

35 *Keywords: Automatic dendrometer, water availability, tree transpiration, tree water*  
36 *deficit, growth-based water use efficiency.*

## 37 **1. Introduction**

38 Water availability is the most limiting factor for plants in Mediterranean forest  
39 ecosystems, where droughts occur every summer and display varying duration and  
40 intensity from year to year (Blondel and Aronson 1999). Despite that Mediterranean  
41 woody flora is generally regarded as drought-tolerant, extreme drought episodes and  
42 recent region-wide increases in aridity are already causing severe tree defoliation and  
43 increasing mortality rates in some species (Peñuelas et al. 2001, Carnicer et al. 2011,  
44 Ogaya et al. 2014). Given the projected increases in temperature and reductions in  
45 precipitation for the Mediterranean basin (Collins et al. 2013, Lindner et al. 2014), there  
46 is an urgent need to identify species-specific patterns in drought sensitivity of water-  
47 related physiological processes in Mediterranean forests.

48 The recent land-use history of Mediterranean forests has resulted in the coexistence of  
49 tree species of different functional type and evolutionary background, associated with  
50 contrasted successional stages (Romane and Terradas 1992). These species often show  
51 different leaf habits, wood anatomical properties and contrasting water use strategies.  
52 For example, early successional pines may show high rates of gas exchange under well-  
53 watered conditions, but they display a strict stomatal control under drought conditions  
54 (Borghetti et al. 1998, Maseyk et al. 2008). Evergreen sclerophyllous trees also display  
55 year-round transpiration and a wide range in stomatal control of transpiration during  
56 drought (Martínez-Vilalta et al. 2003, Mereu et al. 2009). In contrast, winter deciduous  
57 oaks must maximize gas exchange during a shorter growing season, resulting in  
58 relatively high transpiration rates throughout the summer (e.g. Baldocchi et al. 2009).

59 Likewise, drought responses of stem radial increment across Mediterranean tree species  
60 are also varied. After growth initiation in spring, summer drought slows down stem  
61 increments in most species. Cell division and enlargement and carbohydrate availability  
62 are reduced with increasing water stress (Zweifel et al. 2006), but drought sensitivity of  
63 these processes is species-dependent (Sanz-Pérez et al. 2009). As a result, some  
64 Mediterranean species enter a state of cambium quiescence in summer (Vieira et al.  
65 2013) while others can keep growing, albeit at very small rates (Cherubini et al. 2003).  
66 Radial stem increments following autumn rains are mostly related to stem rehydration,  
67 but wood formation has also been shown in some Mediterranean species in early  
68 autumn (Camarero et al. 2010).

69 Transpiration-induced depletion of water stored in sapwood and bark tissues produces  
70 fluctuations in stem diameter at daily and seasonal timescales (Zweifel and Häsler 2001),  
71 which may result in prolonged stem shrinking during drought (e.g. Vieira et al. 2013).  
72 De-trended stem diameter fluctuations (tree water deficit,  $\Delta W$ ) provide a metrics of  
73 whole-tree water status relative to a fully hydrated state (Zweifel et al. 2005);  $\Delta W$   
74 values close to zero are indicative of ample water storage conditions within the trunk  
75 and  $\Delta W$  increases as drought progresses. In absolute value,  $\Delta W$  generally increases with  
76 vapour pressure deficit and decreasing soil water availability in a manner that is species-  
77 dependent (Zweifel et al. 2005, Drew et al. 2011, Köcher et al. 2013). In temperate  
78 forests, conifers usually display higher sensitivity of  $\Delta W$  to soil water availability  
79 compared to co-occurring deciduous oaks (Zweifel et al. 2005, Zweifel et al. 2007), but  
80 little is known on the environmental controls of  $\Delta W$  across Mediterranean tree species.  
81 Moreover, the link between sap flow and  $\Delta W$  remains relatively unexplored, despite its  
82 potential to reveal species-specific differences in physiological regulation of  
83 transpiration (Zweifel et al. 2007).

84 Productivity and water use show different sensitivities to water availability, the former  
85 being more sensitive to water deficits (Hsiao 1973). This relative variability is  
86 conveniently encapsulated in water use efficiency (WUE), the ratio between  
87 productivity and water use (Jones 1992), where productivity can be expressed in terms  
88 of basal area increment (BAI) (Bréda and Granier 1996) or biomass production  
89 (Forrester et al. 2010). Isotopic- and gas exchange-based WUE measurements have  
90 indeed shown contrasted species-specific variation related to drought in Mediterranean  
91 forests (Ferrio et al. 2003), but a limited interannual variation (Damesin et al. 1998).  
92 However, neither of these measurements integrates both, the whole-plant level and the  
93 annual timescale, the relevant ecological scales to address patterns in forest resource use  
94 efficiency (Binkley 2004). The response of growth-based WUE to drought across  
95 species may be used to study the relative sensitivities of transpiration and growth to  
96 water deficits in different ecological contexts (McCarthy et al. 2011, Morán-López et al.  
97 2014, Smith and Sperry 2014).

98 Here, we monitored seasonal sap flow and stem radius variations for almost two years  
99 in four tree species growing in a Mediterranean forest: the winter-deciduous sub-  
100 Mediterranean *Quercus pubescens* Willd., two evergreen sclerophyllous species  
101 (*Quercus ilex* L. and *Arbutus unedo* L.) and *Pinus halepensis* Mill., an early  
102 successional, drought-adapted pine. The main aim of this study is twofold: (1) to assess  
103 interspecific drought responses of sap flow, BAI and  $\Delta W$  under relatively wet (2011)  
104 and dry (2012) conditions and (2) to study the coordination of growth and water use  
105 strategies in this ecological context using growth-based WUE. Pines often display a  
106 more conservative water use compared to co-occurring deciduous oaks (Poyatos et al.,  
107 2008; Zweifel et al., 2007), hence we hypothesized that (1a) *P. halepensis* would show  
108 the strongest reductions of sap flow and  $\Delta W$  in response to drought (at the seasonal and

109 annual scales), in contrast with *Q. pubescens*; for both, sap flow and  $\Delta W$ , the  
110 sclerophyllous *Q. ilex* and *A. unedo* would show intermediate responses. Given the tight  
111 link between transpiration and  $\Delta W$  (Zweifel et al., 2007), we also hypothesize a close  
112 correspondence between the soil moisture controls on both sap flow and  $\Delta W$  across  
113 species and years (1b). Moreover, because of its drought-adapted character and its high  
114 priority for stem growth (Sanz-Pérez et al., 2009) we postulated that *P. halepensis*  
115 would show the highest BAI and the lowest BAI reduction in the dry year. We also  
116 expected that, given its preference for mesic habitats in the region (De Dios et al. 2009),  
117 *Q. pubescens* would show the higher BAI reduction under drought. Because of the  
118 different relative sensitivity of radial growth and water use in response to drought (e.g.  
119 Hsiao, 1973), we hypothesized that (3) annual BAI would decrease proportionally more  
120 than water use in the dry year of 2012, compared to 2011 across all species (i.e.  
121  $WUE_{BAI}$  would decline). Finally, we hypothesized (4) that *P. halepensis*, because of its  
122 strict stomatal control, and *Q. pubescens*, because of its shorter leafy period, would  
123 display higher annual  $WUE_{BAI}$  compared to *A. unedo* and *Q. ilex*.

## 124 **2. Materials and Methods**

### 125 *2.1. Study site, plot characteristics and environmental monitoring*

126 Field measurements were conducted in the Can Balasc experimental site (41° 25' 51.56''  
127 N, 2° 04' 25'' E, 270 m a.s.l.) located in the coastal massif of Collserola (NE Spain).  
128 Climate is typically Mediterranean with warm, dry summers and mild winters. Mean  
129 annual precipitation and temperature (1951-2010) are 608 mm and 15.2 °C, according  
130 to a spatially-explicit climatic database (Ninyerola et al. 2007a, Ninyerola et al. 2007b).  
131 Soils are predominantly developed above lithological strata of shales and granite  
132 (Espelta et al. 2008) and are *ca.* 50 cm deep; according to the USDA textural soil

133 classification (Cosby et al. 1984), the soil type is sandy-loam. The study plot is located  
134 in a 0.7 ha stand area, dominated by holm oak (*Quercus ilex* L.), accompanied by  
135 pubescent oak (*Quercus pubescens* Mill.) and strawberry tree (*Arbutus unedo* L.). Some  
136 scattered Aleppo pines (*Pinus halepensis* Mill.) are also present, growing above the  
137 main canopy (Table 1). The understory is a dense stratum mainly consisting of *Pistacia*  
138 *lentiscus*, *Erica arborea* L., *Phillyrea latifolia* L., *Rhamnus alaternus*, *Cistus* pp,  
139 *Crataegus monogyna*, *Bupleurum fruticosum* and other less abundant species. A more  
140 detailed description of the stand's recent history can be found in Sperlich et al. (2014).

141 Sensors for measuring air temperature and relative humidity (HMP45C, Vaisala Oyj,  
142 Finland), precipitation (ARG100, Environmental Measurements Ltd., Sunderland, UK),  
143 solar radiation (SP1110 Skye Instruments Ltd., Powys, UK) and wind speed (05103-5,  
144 R.M. Young Company, Traverse City, MI, USA) were installed at a height of 3 m, in a  
145 clearing, located ca. 1 km from the plot. Vapour pressure deficit (VPD) was calculated  
146 from air temperature and relative humidity. Meteorological data were recorded every 30  
147 seconds and stored as 15 minutes average in a data logger (CR800 Datalogger,  
148 Campbell Scientific, Inc., Logan, UT, USA). Average volumetric soil water content ( $\theta$ )  
149 ( $\text{cm}^3 \text{ cm}^{-3}$ ) in the upper 0-30 cm of soil was recorded continuously using five vertically-  
150 inserted frequency domain reflectometers (CS616, Campbell Scientific Inc.), installed  
151 randomly within the plot to obtain a representative measure of soil moisture for the  
152 whole plot.

## 153 2.2. Sap flow measurements

154 In September 2010, we installed sap flow sensors in trees selected to cover the range of  
155 diameter classes, except the smallest trees (<10 cm), which may be problematic for sap  
156 flow measurements and have the lowest contribution to stand transpiration (*Q. ilex*  $n=7$ ,

157 *Q. pubescens*  $n=7$ , *A. unedo*  $n=4$  and *P. halepensis*  $n=3$ ). In 2012, the number of  
158 operative sensors was reduced due to probe failure (*Q. ilex*  $n=5$ , *Q. pubescens*  $n=4$ , *A.*  
159 *unedo*  $n=3$  and *P. halepensis*  $n=3$ ). The measurement period for sap flow (and stem  
160 radial variations) spanned from February 2011 (DOY 50) until the end of the year 2012  
161 (DOY 365).

162 Stem sap flow was monitored with constant thermal dissipation sensors constructed in  
163 our own laboratories according to Granier (1985, 1987). These two probes were  
164 installed at a height of 1.20 m, with a vertical separation of ca. 12 cm between them. To  
165 minimise the influence of natural temperature gradients in the stem, the installation was  
166 carried out in the north face side of each tree and isolated with aluminium-covered  
167 material to avoid direct solar radiation. Temperature differences ( $dT$ ) were recorded at  
168 30 s intervals and stored as 15 min average in a data logger (CR1000, Campbell  
169 Scientific, Inc.). The monitored trees had estimated sapwood depths of 42-45 mm for  
170 *A.unedo*, 44-48 mm for *P.halepensis*, 35-40 mm for *Q.pubescens*, and 47-69 mm for  
171 *Q.ilex*. Although this range of sapwood depths reduced the risk of underestimating sap  
172 flow due to the contact of the probe with non-conducting xylem, steep gradients in sap  
173 flow density within the probe length can also cause underestimation of average sap flow  
174 density (Clearwater et al 1999). Hence, we installed short sensors (10 mm) in all tree  
175 species except Aleppo pine (20 mm), which does not display a steep radial variation of  
176 sap flow within the outer ~20 mm of xylem (Cohen et al. 2008).

177 Natural temperature gradients were measured over 137 days to determine that the upper  
178 (95 %) and lower (5 %) quantiles were  $0.3 \pm 0.02$  and  $0.2 \pm 0.02$  °C (across-trees mean  
179  $\pm$  SE), suggesting only a minor effect on sap flow measurements (Do and Rocheteau  
180 2002). Nevertheless, we accounted for the effect of natural temperature gradients by

181 first modelling them as a function of environmental variables (including lagged  
182 variables) for each sensor. We then used these tree-specific models (across-trees  
183 average  $R^2 = 0.44$ ) to predict natural temperature gradients in the stem and corrected the  
184 raw  $dT$  signal; corrected sap flow density was  $3.5 \pm 1.1$  % (across-trees mean  $\pm$  SE)  
185 larger than the uncorrected values. For a robust  $dT_{\max}$  estimation (i.e.  $dT$  under zero  
186 flow) we selected the highest  $dT$  of the previous night, only if the average of the  
187 minimum evaporative demand was less than 0.05 kPa during 2 hours and the coefficient  
188 of variation of the four highest  $dT$  values was less than 0.5 %. When these conditions  
189 were not met,  $dT_{\max}$  was linearly interpolated from nearby days (Oishi et al. 2008).

190 Sap flow density per unit sapwood area ( $J_S$ ) ( $\text{kg m}^{-2}$  sapwood  $\text{s}^{-1}$ ), was calculated  
191 following the original calibration derived empirically by Granier (1985, 1987),  
192 multiplied by a radial correction coefficient ( $C_r$ ) to account for the spatial variation of  
193 sap flow along the xylem radius.  $C_r$  was obtained for each species from published  
194 values of generic radial patterns of sap flow (Table 1) (Cohen et al. 2008, Poyatos et al.  
195 2008, Mereu et al. 2009, Aguadé et al. 2011). Instantaneous values of  $J_S$  were then  
196 averaged daily for each monitored tree. Missing data due to occasional sensor failure  
197 ( $17 \pm 4$  % of individual tree data) was gap-filled using linear regressions with other  
198 sensors, choosing the one displaying the best fit, according to  $R^2$  (range between 0.7 and  
199 0.9).

200 Whole-tree sap flow rates ( $J_T$ ) ( $\text{kg s}^{-1}$ ) were obtained by multiplying  $J_S$  by sapwood area  
201 ( $A_S$ ) ( $\text{m}^2$ ). As we could not core the trees with sap flow sensors due to the long-term  
202 nature of the experimental set-up, sapwood area was estimated from a linear regression  
203 between stem basal area ( $A_B$ ) and  $A_S$  obtained in the bibliography for the same tree  
204 species within the same region (Martínez-Vilalta et al. 2003, Gracia et al. 2004, Poyatos

205 et al. 2007, Aguadé et al. 2011). These estimations were validated using visual  
206 measurements based on wood translucency in fresh disks obtained in a nearby area *ca.* 8  
207 km from the plot (Can Catà, Collserola Natural Park); we found an excellent agreement  
208 between literature-derived estimations and field measurements (see supplementary  
209 Figure S1).

### 210 2.3. Basal area increment, tree water deficit and growth-based water use efficiency

211 Automatic band dendrometers (DRL26, EMS Brno, Czech Republic), which measure  
212 the linear displacement of a stainless steel band embraced around the trunk, were used  
213 to monitor stem circumference variation continuously. Displacement has an accuracy of  
214 1  $\mu\text{m}$  over an adjusted range of 60 mm. Dendrometers were installed at height of about  
215 1.30 m, above the sap flow sensors. Outer bark was removed before the installation of  
216 the instrument. Almost all trees with sap flow probes had a dendrometer installed (*Q.*  
217 *ilex*  $n=6$ , *Q. pubescens*  $n=6$ , *A. unedo*  $n=4$  and *P. halepensis*  $n=3$ ), recording data every  
218 15 min. Dendrometer data were converted to stem diameter variations and to basal area  
219 increment (BAI) ( $\text{cm}^2$ ). We also calculated the BAI of each monitored tree relative to its  
220 basal area at the beginning of 2011 (BAI/ $A_B$ ).

221 Dendrometer time series were de-trended in order to extract growth-independent  
222 diameter fluctuations caused by changes in sapwood and bark water content as a result  
223 of the daily and seasonal dynamics of stored water in the transpiration stream (Zweifel  
224 et al. 2005, Drew et al. 2011). Tree water deficit ( $\Delta W$ ) was calculated as the difference  
225 between the constant growth line and stem actual diameter variations and provides an  
226 index of whole-tree water status (see supplementary Figure S3). Here we assume that,  
227 having defined  $\Delta W$  as a ‘deficit’ relative to an optimal hydrated state ( $\Delta W = 0$ ), the  
228 value of  $\Delta W$  takes increasingly positive values as drought-stress increases, consistent

229 with Zweifel et al. (2005). As we focused on the seasonal variation in  $\Delta W$ , not the daily  
230 cycles, here we report daily-averaged values.

231 Total annual BAI was transformed into annual aboveground carbon production (AGC,  
232 kg C year<sup>-1</sup>) per tree using site- and species-specific allometric equations and carbon  
233 concentration data from IEFC (Gracia et al. 2004). See supplementary Table S1 for  
234 details of the equations. We then aggregated annual tree-level  $J_T$  and calculated water  
235 use efficiency with respect to annual BAI ( $WUE_{BAI}=BAI/J_T$ ) and with respect to  
236 aboveground carbon production ( $WUE_{AGC}= AGC/ J_T$ ).

#### 237 2.4. Data analysis

238 All statistical analyses were carried out with R Statistical Software version 3.0.2 (R  
239 Development Core Team 2010). We used mixed-effects models (package nlme),  
240 specifying tree identity as a random factor, to analyse environmental and species-  
241 specific controls on  $J_S$  and  $\Delta W$ , and to analyse the relationship between  $J_S$  and  $\Delta W$   
242 (Pinheiro and Bates 2000). The functional relationship between  $J_S$  and VPD was  
243 analysed fitting a nonlinear mixed model (nlme function) expressed as:

$$244 \quad J_S = b \cdot \ln(\text{VPD}) + a \quad (1)$$

245 Where  $a$  is the sap flow when VPD is equal to 1 kPa and the slope  $b$  represents absolute  
246  $J_S$  sensitivity to VPD. In this analysis, two individuals of *Q. pubescens* were not used  
247 due to lack of fit. In order to capture the VPD response without other variables col-  
248 limiting sap flow, we only included days with solar radiation  $> 50 \text{ W m}^{-2}$  and  $\theta > 0.15$   
249  $\text{cm}^2 \text{ cm}^{-2}$ . We also calculated the ratio between parameters  $b$  and  $a$  ( $b/a$ ) as a measure of  
250 relative sensitivity to VPD, in an analogous way to the well-known stomatal model by  
251 Oren et al. 1999.

252 The response of  $J_S$  to  $\theta$  was analysed using a three-parameter sigmoid function, based  
253 on similar functional responses reported elsewhere (Duursma et al. 2008, Poyatos et al.  
254 2013):

$$255 \quad J_S = \frac{J_{S,Asym}}{1 + \exp[(\theta_{mid} - \theta)/\theta_{scal}]} \quad (2)$$

256 Where  $J_{S,Asym}$  is the horizontal asymptote,  $\theta_{mid}$  the value of  $\theta$  where  $J_S$  is 50 % of  $J_{S,Asym}$   
257 and  $\theta_{scal}$  a scaling parameter. The value of  $\theta_{scal}$  represents the difference between  $\theta_{mid}$   
258 and the value of  $\theta$  at which  $J_S$  is 75 % of  $J_{S,Asym}$ , with lower values of  $\theta_{scal}$  representing a  
259 steeper curve (see supplementary Figure S2). In order to capture the  $\theta$  response without  
260 evaporative demand col-limiting sap flow, we only included days solar radiation  $> 50$   
261  $\text{W m}^{-2}$  and VPD  $> 0.5$  kPa.

262 We analysed  $\Delta W$  using a linear mixed model, with tree as a random factor and species,  
263  $\theta$ , and VPD and their interactions as fixed factors. Exploratory data analyses showed an  
264 exponential-shaped relationship between  $\Delta W$  and  $\theta$ , and therefore we log-transformed  
265  $\Delta W$  in this model. The response of  $J_S$  and  $\Delta W$  was also analysed using a linear mixed  
266 model including the species factor and its interaction with (log-transformed)  $\Delta W$ .

267 Model selection for  $J_S$  and  $\Delta W$  responses was based on the minimisation of the Akaike  
268 Information Criterion (AIC); models within 2 units of AIC were considered equivalent  
269 and, in this case, we retained the more parsimonious one (i.e. the one with less  
270 parameters). We graphically inspected residual plots and implemented appropriate  
271 variance structures to minimise heteroscedasticity in the residuals (varPower structure in  
272 nlme/lme functions). In sap flow models, day of the year (DOY) was used as a  
273 continuous time covariate (corCAR1 in function nlme/lme functions). We assessed the  
274 statistical significance of fixed effects using Wald  $t$ -tests. For all statistical tests,

275 significance was accepted at  $P < 0.05$ . We calculated pseudo- $R^2$  values for linear mixed  
276 models, based on a likelihood ratio test between the target model and a null (intercept-  
277 only) model, as implemented in the R-package MuMIn (Bartoń 2014).

### 278 3. Results

#### 279 3.1. Seasonal course of environmental variables and sap flow

280 Over the studied period, meteorology was typically Mediterranean (Figure 1a). For  
281 2011 and 2012, the mean annual air temperature was similar to the climatic mean value  
282 of 15.2 °C (1951-2010) but annual precipitation in 2011 (804 mm) was 32 % higher  
283 than the climatic average, whereas in 2012 it was 21 % lower (479 mm) (Figure 1c). In  
284 particular, the 2012 winter period presented 90 % less precipitation (25 mm) than the  
285 same period of 2011 (254 mm) and 36 % less compared to the climatic average. Low  
286 values of  $\theta$  were achieved in both summer periods (ca. 0.12 cm<sup>3</sup> cm<sup>-3</sup>), but in 2012 these  
287 coincided with higher values of VPD (Figure 1a, d); mean daily VPD during summer  
288 2011 was lower than in 2012 (0.9 and 1.2 kPa, respectively) (Figure 1a).

289 The seasonal patterns of sap flow showed high  $J_S$  rates during spring across species for  
290 both years, and drought-related reductions in  $J_S$  beginning in August 2011 and June  
291 2012, respectively (Figure 2). Autumn rainfall and the consequent rise in  $\theta$ , helped to  
292 recover sap flow rates (Figure 2), except for *Q. pubescens* which reduced  $J_S$  coinciding  
293 with leaf senescence and maintained some residual sap flow during the leafless winter  
294 period. During winter, *A. unedo* and *Q. ilex* were the species with highest values of  $J_S$ .  
295 Spring  $J_S$  values were lowest for *P. halepensis* in both years and highest for *Q.*  
296 *pubescens* in 2011 and for *Q. ilex* in 2012 (see supplementary Table S2 and Figure 2). *Q.*  
297 *pubescens* displayed a lower  $J_S$  drop from spring to summer (10 % in 2011 and 20 % in  
298 2012) compared to the rest of species (25 – 40 % in 2011 and 50 – 75 % in 2012), and

299 was able to maintain the highest summer  $J_S$  values. No significant differences in  $J_S$  for a  
300 given seasonal period were found between years for either *A. unedo* or *Q. ilex* (see  
301 supplementary Table S2). As for tree-level sap flow, *Q. ilex* showed higher  $J_T$  values  
302 than the rest of species, while *Q. pubescens*, in general, displayed the lowest values.  
303 Intermediate  $J_T$  values were found for *A. unedo* and *P. halepensis* (see supplementary  
304 Table S2 and Figure 2).

### 305 3.2. Environmental responses of sap flow across species and years

306 Daily  $J_S$  was positively and non-linearly related to  $\theta$  for all species, plateauing at  
307 moderate moisture values (Figure 3a, b). For 2011 and 2012, the higher  $\theta_{mid}$  values (i.e.,  
308 the  $\theta$  value at which sap flow is 50% of  $J_{S,Asym}$ ) were observed for *P. halepensis*. The  
309 rest of the species showed lower  $\theta_{mid}$  values and no significant differences were found  
310 between years (Table 2). In contrast, the species-specific patterns of  $J_{S,Asym}$  did vary  
311 between years; in 2011,  $J_{S,Asym}$  was significantly higher for *Q. pubescens*, *Q. ilex*  
312 showed higher  $J_{S,Asym}$  compared to *P. halepensis*, whereas  $J_{S,Asym}$  of *A. unedo* was  
313 similar to *Q. ilex* and *P. halepensis* (Table 2). In 2012,  $J_{S,Asym}$  was significantly higher  
314 for *Q. ilex*, whereas *Q. pubescens* and *A. unedo* showed higher  $J_{S,Asym}$  compared to *P.*  
315 *halepensis* (Table 2).

316 The relationship between  $J_S$  and VPD was also non-linear and well described by a  
317 logarithmic response (Figure 3c, d). The highest values of both VPD parameters were  
318 found for *Q. pubescens* in 2011 and *Q. ilex* in 2012. The slope of the relationship  
319 between  $J_S$  and VPD (parameter  $b$ ) in 2011 was similar for *P. halepensis*, *Q. ilex* and *A.*  
320 *unedo* (Table 2). In contrast, in 2012, parameter  $b$  for *P. halepensis* was not  
321 significantly different from the value observed for *Q. pubescens*. *P. halepensis* always  
322 showed the highest values of  $b/a$  (i.e. higher relative sensitivity of  $J_S$  to VPD) while *Q.*

323 *pubescens* showed a high interannual variation in  $b/a$ . *A. unedo* and *Q. ilex* displayed  
324 similar and consistent values of  $b/a$  across years (Table 2).

### 325 3.3. Basal area increment across species and years

326 *P. halepensis* BAI was significantly higher than the rest of the species for both years  
327 (Table 3 and Figure 4a) but  $BAI/A_B$  revealed that all the species showed a similar  
328 seasonal pattern of BAI (Figure 4b). Spring rainfall in 2011 was followed by increases  
329 in BAI across species (Figure 4), while the onset of the 2011 summer period coincided  
330 with *ca.* 3 months of stem shrinkage for *A. unedo*, *Q. ilex* and, to a lesser extent, *Q.*  
331 *pubescens*. In contrast, *P. halepensis* appeared to maintain a nearly-constant BAI during  
332 the 2011 summer period. Autumn rainfall led to a quick stem swelling in all the species  
333 (Figure 4b). In 2012, the spring rise in BAI was much lower than in 2011 for all the  
334 species. The stem shrinkage period associated with the 2012 summer season was longer  
335 than in 2011 (at least *ca.* 4 months), but punctuated by small rainfall events that  
336 produced only transient stem refilling (Figure 4b). Stem shrinkage in 2012 was  
337 especially intense for *A. unedo*.

338 In 2011, *Q. pubescens* was the species with the highest values of annual  $BAI/A_B$   
339 followed by *P. halepensis* (Table 3 and Figure 4b). Nevertheless, for 2012, significant  
340 differences among species were found, being *Q. pubescens* and *P. halepensis* the  
341 species with the highest values of  $BAI/A_B$  (Table 3 and Figure 4b). In 2012, most  
342 species showed significantly lower values than in 2011 (Table 3) and even some trees  
343 presented a negligible annual BAI (see supplementary Table S3). BAI reductions in  
344 2012 compared to 2011 were around 90 % for *Q. ilex* and *A. unedo*, and 60 % for *P.*  
345 *halepensis*, but they were non-significant for *Q. pubescens*.

### 346 3.4. Environmental controls on $\Delta W$

347 The seasonal course of daily  $\Delta W$  was similar for all the species over the two study years  
348 (Figure 4c). The higher values of  $\Delta W$  were observed during summer and autumn,  
349 coinciding with a decline in  $\theta$  and the increase of VPD, although moderate values (~0.2-  
350 0.3 mm) were also observed during wintertime. The longest period with sustained high  
351 values of  $\Delta W$  occurred in 2012, the intense drought year. In general, evergreen  
352 sclerophyllous species presented the highest values of  $\Delta W$  (Figure 4c).

353 Daily  $\Delta W$  was inversely and non-linearly related to  $\theta$  for all species, approaching 0 (i.e.  
354 the fully hydrated state) at values of  $\theta$  above *ca.*  $0.15 \text{ cm}^3 \text{ cm}^{-3}$  (Figure 5). An increasing  
355 trend in  $\Delta W$  with respect to VPD was observed more clearly for 2012 (Figure 5). The  
356 best models describing the variation in  $\Delta W$  as a function of water supply and demand  
357 differed between years, and both included the species effect, the interaction between  $\theta$   
358 and VPD and the interaction between  $\theta$  and species (Table 4), albeit this latter effect  
359 was not significant in 2011. In 2012, we detected an additional interaction between  
360 VPD and species (Table 4). Only at low values of  $\theta$ , we observed that the relationship  
361 between  $\Delta W$  and  $\theta$  became steeper as VPD increased (Figure 6). *A. unedo* and *Q. ilex*  
362 displayed the strongest responses of  $\Delta W$  to  $\theta$ , and *Q. ilex* showed a particularly steep  
363 response in 2012. Likewise,  $\Delta W$  only showed a clear increase with VPD when  $\theta$  was  
364 low (see supplementary Figure S4); *P. halepensis* and *Q. pubescens* both displayed a  
365 low sensitivity of  $\Delta W$  to VPD, whereas it was higher for *A. unedo* and *Q. ilex*. In general,  
366 for a given, low  $\theta$  ( $0.11 \text{ cm}^3 \text{ cm}^{-3}$ ) and moderate values of VPD = 1 kPa,  $\Delta W$  was higher  
367 in 2012 compared to 2011 (see supplementary Figure S4).

### 368 3.5. Relationship between sap flow and $\Delta W$

369  $J_s$  declined nonlinearly with increasing  $\Delta W$ , following a steeper relationship in 2012  
370 compared to 2011 across all species, except for *Q. pubescens* (Figure 7a, b), which, at

371  $\Delta W$  of 50% of its year-specific maximum, maintained  $J_S$  at *ca.* 65% of the maximum  
372 value, when the rest of species only reached 25-40% (data not shown). The sensitivity  
373 of  $J_S$  to log-transformed  $\Delta W$  varied across all species for 2012, while for 2011, *A. unedo*  
374 and *Q. ilex* ( $P=0.731$ ), *Q. pubescens* and *Q. ilex* ( $P=0.051$ ) and *Q. pubescens* and *P.*  
375 *halepensis* ( $P=0.362$ ) showed no differences in the sensitivity of  $J_S$  to log  $\Delta W$  (Table 5).  
376 Overall, *Q. ilex* and *A.unedo* showed the highest sensitivity of  $J_S$  to  $\Delta W$  and *P.*  
377 *halepensis* and *Q. pubescens* showed the lowest.

### 378 3.6. Growth-based water use efficiency

379 Variation of  $WUE_{BAI}$  and  $WUE_{AGC}$  across years and species was identical (Table 3),  
380 hence we will only discuss  $WUE_{BAI}$  results. *P. halepensis* showed high BAI with  
381 comparatively low transpiration, leading to the highest values of  $WUE_{BAI}$  among the  
382 studied species for both years (Table 3). In contrast, *Q. ilex* presented higher  
383 transpiration as well as low growth, and therefore it showed low  $WUE_{BAI}$  during both  
384 years. *A. unedo* also showed low  $WUE_{BAI}$ , whereas *Q. pubescens* presented  
385 intermediate  $WUE_{BAI}$  values (Table 3).  $WUE_{BAI}$  reductions in 2012 compared to 2011  
386 were strong in *Q. ilex* (89 %) and, *A. unedo* (85 %), compared to only 29 % for *P.*  
387 *halepensis*; a non-significant reduction of 58 % was observed for *Q. pubescens*.

## 388 4. Discussion

### 389 4.1. Sap flow: seasonal course and environmental controls

390 Seasonal variability in sap flow differed across species, and it was mainly associated  
391 with their leaf habit and response to drought. Deciduous *Q. pubescens* presented a  
392 residual sap flow during the leafless period, which could be attributed to transpiration  
393 through the bark (e.g. Stöhr and Lösch 2004) and to the fact that one *Q. pubescens* tree  
394 kept its leaves functional all year round. Remarkably, winter sap flow was generally

395 higher than in summer for all three evergreen species (see supplementary Table S2),  
396 particularly *Q. ilex* and *A. unedo*.

397 Responses of sap flow to water supply and demand varied across species and most  
398 inter-annual variability was observed for *Quercus* species, which displayed the highest  
399  $J_S$ , but showed opposite species-specific patterns with drought intensity. In the dry year  
400 (2012), *Q. ilex* increased  $J_{S,Asym}$  and parameter  $a$ , because it displayed the highest fluxes  
401 in in late winter and spring, in response to frequent rainfall which maintained soil water  
402 availability during these periods (Figure 1c, d). In contrast, both  $J_{S,Asym}$  and parameter  $a$   
403 decreased in 2012 for *Q. pubescens*. Reduced precipitation during late autumn and early  
404 winter 2012 could have precluded the recharge of deep soil water, which is a preferred  
405 water source for the deciduous *Q. pubescens* (Valentini et al. 1992), causing a reduction  
406 in maximum sap flow rates.

407 *P. halepensis* and *Q. ilex* displayed a higher  $\theta$  threshold for  $J_S$  reductions (i.e. higher  
408  $\theta_{mid}$ ) (Table 2 and Figure 3), consistent with their strict stomatal control under soil  
409 drought (Borghetti et al. 1998, Tognetti et al. 1998, Maseyk et al. 2008). In contrast, *Q.*  
410 *pubescens* showed comparatively lower  $\theta_{mid}$  values, and was less sensitive to declining  
411 soil moisture than co-occurring species, in agreement with patterns observed elsewhere  
412 (Poyatos et al. 2005, Zweifel et al. 2007) and with the general trend of ring-porous  
413 species being less sensitive to soil drying (Meinzer et al. 2013). Absolute sensitivity of  
414  $J_S$  to VPD (parameter  $b$ ) was higher in species with higher potential  $J_S$  (parameter  $a$ ).  
415 Relative sensitivity ( $b/a$ ) did not vary greatly between years, and only *P. halepensis*, in  
416 line with its more conservative water use, tended to show a higher value of  $b/a$ . *A.*  
417 *unedo* and *Q. ilex*, displayed similar  $J_S$  rates during spring and summer, consistent with  
418 findings in other Mediterranean locations (i.e. Gratani and Ghia 2002). *A. unedo* also

419 showed a similar  $\theta_{\text{mid}}$  value compared to *Q. ilex*, but presented a steeper reduction in  $J_S$   
420 with lower  $\theta$  (Table 2 and Figure 3), consistent with mixed drought responses observed  
421 for these two sclerophyllous species (Martínez-Vilalta et al. 2003, Mereu et al. 2009).

422 Overall, our results largely support our hypothesis 1a (comparatively stronger drought  
423 physiological responses in *P. halepensis*), but, remarkably, the physiological parameters  
424 controlling transpiration sensitivity to drought, especially the response to  $\theta$ , only vary  
425 within a narrow range across species and years. In contrast, more structural traits ( $A_L:A_S$ ,  
426  $a$ ,  $J_{S,\text{Asym}}$ ) display much higher variability. Our results also highlight the importance of  
427 timing of drought in driving differences in water use regulation between *Quercus*  
428 species, possibly related to water partitioning at different soil depths. Unfortunately,  
429 because of the limited number of available soil moisture probes and the compromise  
430 between horizontal and vertical sampling, the influence of deeper soil water content on  
431 our results could not be assessed. Nevertheless, the lack of soil moisture measurements  
432 over the entire soil profile is unlikely to have confounded our interpretation of drought  
433 responses. Firstly, soils are relatively shallow and soil moisture probes measure 60% of  
434 the total soil profile. Secondly, although trees may switch to deeper root water uptake  
435 during drought, especially in the fractured substrate underlying the study site, a recent  
436 study in a similar setting found no species-specific differences in the seasonal variation  
437 of plant water sources (Barbeta et al. 2014). In addition, despite this availability of  
438 water at deeper soil layers, tree transpiration regulation may still be related to  $\theta$  in the  
439 uppermost soil, where most fine-root biomass is located (Warren et al. 2005, Duursma  
440 et al. 2008).

#### 441 4.2. Seasonal BAI in Mediterranean tree species

442 *P. halepensis* showed considerably higher BAI rates than the rest of the species (Figure  
443 4a), as expected for an early successional pine (hypothesis 2). However, in relative  
444 terms (i.e.  $BAI/A_B$ ), *Q. pubescens* showed slightly higher stem increment than *P.*  
445 *halepensis*, whereas *Q. ilex* and *A. unedo*, again, showed similar dynamics (Figure 4b).  
446 In 2012, all species reduced their BAI, but surprisingly, BAI was particularly reduced  
447 for *Q. ilex* and *A. unedo* (Table 3). Our results, thus, only partially support hypothesis 2,  
448 as we expected that drought-related decreases in BAI would be strongest for the sub-  
449 Mediterranean *Q. pubescens*.

450 The seasonal BAI curve of all species in 2011 clearly showed stem hydration and  
451 growth in spring and early summer, a contraction phase due to depletion of stored water  
452 during the summer (concurrent with increases in  $\Delta W$ ; Figure 4b, c) and stem  
453 rehydration after autumn rainfall. However, in 2012, a long winter period with  
454 negligible or even negative BAI was followed by very small stem increments in spring.  
455 For *A. unedo*, we did not observe a clear stem increment phase, which may be indicative  
456 that radial growth was nearly suppressed, in line with studies showing a high drought  
457 sensitivity of *A. unedo* radial growth (Cherubini et al. 2003, Ogaya et al. 2003).

458 The stem contraction phase during the summer was longer in 2012 compared to 2011  
459 (Figure 4b), and also longer than the *ca.* 3 months observed for *Pinus pinaster* (Vieira et  
460 al. 2013). Overall, the seasonal dynamics of  $BAI/A_B$  in summer 2012 appeared to be  
461 highly influenced by rainfall pulses; following a relatively long summer drought, most  
462 of the stem diameter recovery observed in autumn was probably water-related. However,  
463 late season growth has been reported for *Q. ilex* and *P. halepensis* (Campelo et al. 2007,  
464 Camarero et al. 2010; Nicault et al., 2001), although it may be less likely for *A. unedo*  
465 (De Lillis and Fontanella 1992) and *Q. pubescens* (Zweifel et al. 2007). Indeed, growth

466 curves extracted for  $\Delta W$  calculation, which have been reported to be closely related to  
467 the actual accumulation of new wood cells (Zweifel et al. 2007), suggest that detectable  
468 growth only occurred for *P. halepensis* (see supplementary Figure S5). Direct  
469 measurements of intrannual growth (i.e. repeated micro-coring or pinning) would be  
470 needed to confirm these patterns.

#### 471 4.3. Environmental control of dendrometer-based tree water deficit across 472 Mediterranean tree species

473 Maximum daily values of  $\Delta W$  were 0.2 - 0.5 mm for all trees, a similar range to that  
474 observed by Zweifel et al. (2005) for *Q. pubescens* (0.4 - 0.5 mm), but much lower than  
475 the values > 1 mm observed for the drought-resistant *Callitris intratropica* (Drew et al.  
476 2011). The species ranking of  $\Delta W$  was: *A. unedo* (semi ring-porous) > *Q. ilex* (diffuse  
477 porous) > *P. halepensis* (conifer) > *Q. pubescens* (ring-porous). Hence, the variability in  
478 the magnitude of  $\Delta W$  was not clearly associated with wood type. Given the tight  
479 relationship between leaf water potential and  $\Delta W$  (Drew et al. 2011), the observed  
480 higher values for the two evergreen sclerophylls would suggest that they underwent  
481 higher stress conditions (i.e. lower water potentials) compared to *Q. pubescens* and *P.*  
482 *halepensis*. Simultaneous monitoring of leaf water potentials and  $\Delta W$  would be needed  
483 to test for likely species-specific differences in the association between these two  
484 variables (Oberhuber et al. 2014).

485 Water supply ( $\theta$ ) and demand (VPD) interacted to determine the variation in  $\Delta W$ , as  
486 reported by Zweifel et al. (2005), despite that a recent study in a water-limited forest  
487 only reported additive effects of VPD and  $\theta$  (Drew et al. 2011). We observed that higher  
488  $\Delta W$  values were reached at similar conditions of water supply and demand in 2012 (dry  
489 year) compared to 2011 (wet year). These results imply that other factors, related to

490 unmeasured physiological processes (i.e. stomatal control) or environmental factors (i.e.  
491 soil moisture at deeper soil layers) could be driving the observed shifts in  $\Delta W$  between  
492 years. With regard to species-specific differences, *A. unedo* and *Q. ilex* (in 2012)  
493 showed the steepest increases in  $\Delta W$  with decreasing  $\theta$ , and, interestingly *A. unedo* also  
494 showed the steepest reductions in  $J_S$  with  $\theta$ . We did not observe a higher sensitivity to  
495 drought in  $\Delta W$  for *P. halepensis*, as hypothesized (hypothesis 1a). In general, across  
496 species, the  $\theta$  levels at which  $\Delta W$  and  $J_S$  began to decline were similar. Hence, the  $\theta$   
497 threshold for sap flow reduction seems to be related to the soil water availability where  
498 the tree shows only partial refilling of its water reserves (Köcher et al. 2013).  
499 Furthermore, our results show that a given increment in VPD causes a higher increase in  
500  $\Delta W$  under dry soil conditions, supporting the view that water is withdrawn more  
501 strongly from the tree's internal storage as the soil dries (Zweifel et al. 2005).

502 We observed a strong nonlinear decrease of  $J_S$  and  $\Delta W$  with  $\theta$  at similar  $\theta$  thresholds  
503 (compare Figure 3a, b with Figure 5a, b), as proposed in hypothesis 1b. All species  
504 showed a remarkably similar relationship between  $J_S$  and  $\Delta W$  in 2011, but not in 2012.  
505 *Q. pubescens*, especially in 2012, managed to maintain a comparatively higher  $J_S$  at a  
506 given  $\Delta W$ , as previously observed in a temperate forest (Zweifel et al. 2007). For the  
507 rest of species, more intense drought conditions in 2012 caused a steeper relationship  
508 between  $J_S$  and  $\Delta W$ . Hence, the nonlinear decrease of  $J_S$  with increasing  $\Delta W$  and the  
509 strong coupling of both variables with drying soil demonstrate the linkage between  
510 transpiration regulation and tree water storage dynamics. However,  $J_S$  and  $\Delta W$  could be  
511 temporally decoupled during relatively wet conditions; for example, for *Q. ilex*,  $\Delta W$   
512 showed some decline with decreasing  $\theta$  at values larger than  $0.15 \text{ cm}^3 \text{ cm}^{-3}$  (Figure 5a, b;  
513 Figure 6e, f), while  $J_S$  for this species remained relatively unchanged at such  $\theta$  levels  
514 (Figure 3a, b).

515 4.4. Drought-related variation in growth-based water use efficiency across  
516 Mediterranean tree species

517 The studied Mediterranean forest displays a high functional diversity of strategies with  
518 respect to growth and water use, and thus a wide range of  $WUE_{BAI}$ . Annual BAI was  
519 more reduced than water use in a dry year (2012) as compared to a wet year (2011),  
520 consistent with our hypothesis 3. Given the inverse relationship between WUE and  
521 VPD (e.g. Seibt et al. 2008), higher VPD in 2012 (0.68 kPa) vs 2011 (0.55 kPa) would  
522 be responsible for a 24 % lower  $WUE_{BAI}$  in 2012. However, we found stronger declines  
523 for all species, except for *P. halepensis*, suggesting that VPD effects alone could not  
524 explain  $WUE_{BAI}$  variation. This decrease in  $WUE_{BAI}$  with drought may seem  
525 counterintuitive if we consider the link between instantaneous, leaf-level photosynthesis  
526 and transpiration. However, a decrease in  $WUE_{BAI}$  with drought is consistent with a  
527 positive association between forest resource use efficiency and resource intensity use,  
528 when assessed at large spatiotemporal scales (whole-tree, annual measurements)  
529 (Binkley et al. 2004).

530 With respect to interspecific patterns, in 2011, *P. halepensis* and *Q. pubescens* achieved  
531 the highest  $WUE_{BAI}$  through opposite functional strategies (hypothesis 4): strict (*P.*  
532 *halepensis*) vs moderate (*Q. pubescens*) physiological control of transpiration in  
533 response to drought and evergreen (*P. halepensis*) vs winter-deciduous (*Q. pubescens*)  
534 leaf habit (see also McCarthy et al. 2011). However, in 2012 *P. halepensis* was clearly  
535 the species with the higher  $WUE_{BAI}$  in accordance with its high preferential allocation  
536 to aboveground growth (Sanz-Pérez et al. 2009) and its capacity to thrive in dry habitats  
537 (Maseyk et al. 2008). Across years, *Q. ilex* and *A. unedo* showed similar and  
538 consistently lower  $WUE_{BAI}$  values compared to *P. halepensis* and *Q. pubescens*. This

539 comparatively lower  $WUE_{BAI}$  in the two evergreen sclerophyllous species may be  
540 explained by (1) an increased C allocation to roots or increased fine root turnover  
541 (López et al. 1998), (2) enhanced carbohydrate storage or (3) higher respiratory costs  
542 (Sanz-Pérez et al. 2009).

#### 543 4.5. Concluding remarks

544 Tree species with contrasting leaf habit, wood type and stomatal behaviour have shown  
545 coordinated drought responses of sap flow, water storage dynamics and growth-based  
546 WUE which allowed them to co-exist in the studied Mediterranean forest. However  
547 some species-specific variability in these responses may directly impact stand dynamics  
548 and composition, especially under the projected increases in aridity for the  
549 Mediterranean region (e.g. Collins et al. 2013). The comparatively stronger stomatal  
550 control displayed by *P. halepensis* probably allowed this species to avoid substantial  
551 leaf shedding in August 2012, when mostly *Q. pubescens* and *A. unedo* but also *Q. ilex*,  
552 to a lesser extent, were affected (Sperlich et al. in press). Indeed, *Q. pubescens* is  
553 especially vulnerable to hydraulic failure and subsequent leaf desiccation during intense  
554 summer droughts, but it may also show a rapid canopy recovery in the following year  
555 relying on carbohydrate reserves. Both *Q. pubescens* and *P. halepensis* maintained  
556 comparatively higher  $WUE_{BAI}$  in a dry year by a combination of different physiological  
557 (stomatal control) and structural (leaf habit, relative allocation to aboveground growth)  
558 mechanisms. In contrast, *Q. ilex* and *A. unedo* showed a relatively high physiological  
559 sensitivity to drought, which was somehow unexpected given the wide presence of both  
560 species in Mediterranean forests. Nevertheless, their sustained transpiration outside the  
561 main growing season probably indicates that they may take advantage of year-round  
562 photosynthetic activity. Moreover, they may be more competitive and resilient in the

563 long-term if their low  $WUE_{BAI}$  results from a higher relative allocation to roots and/or  
564 carbohydrate storage. In fact, the current low *P. halepensis* density and the  
565 predominance of *Q. ilex* may be revealing the ongoing ecological succession in the  
566 stand after the cessation of management practices during the 20<sup>th</sup> century (Sperlich et al.  
567 2014).

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777 **Figure captions**

778 **Figure 1.** Seasonal course of: (a) daily mean water vapour pressure deficit (VPD) (black  
779 dots) and air temperature (grey dots), (b) daily mean solar radiation, (c) daily total gross  
780 precipitation and (d) daily mean volumetric soil water availability over the top 30 cm of  
781 soil ( $\theta$ ) (solid line) and daily volumetric soil water availability for each sensor (n=5)  
782 (dot line). Labels on the x axis represent year in month/year format (i.e. January 2011 is  
783 1/2011).

784 **Figure 2.** Seasonal course of daily sap flow over the study period across trees mean sap  
785 flow per unit sapwood area ( $J_S$ ) for (a) *Q.ilex*, (b) *Q.pubescens*, (c) *A.unedo* and (d)  
786 *P.halepensis*; and sap flow per tree ( $J_T$ ) for (e) *Q.ilex*, (f) *Q.pubescens*, (g) *A.unedo* and  
787 (h) *P.halepensis*. For all the panels 2011 values are shown in line and 2012 values in  
788 dash line. Labels on the x axis represent day of the year (DOY).

789 **Figure 3.** Responses of sap flow density ( $J_s$ ) to (a) volumetric soil water availability ( $\theta$ )  
790 (data selected when  $VPD > 0.5$  kPa and solar radiation  $> 150$  W m<sup>-2</sup>) for each species in  
791 2011 and (b) 2012, (c) responses to vapour pressure deficit (VPD) (data selected when  
792  $\theta > 0.15$  cm<sup>3</sup> cm<sup>-3</sup> and solar radiation  $> 50$  W m<sup>-2</sup>) in 2011 and (d) 2012. *Q. ilex* (grey  
793 triangle and grey solid line), *Q. pubescens* (black diamond and black solid line), *A.*  
794 *unedo* (black empty circle and black dashed line) and *P. halepensis* (grey cross and grey  
795 dashed line). Average daily values for each species are shown.

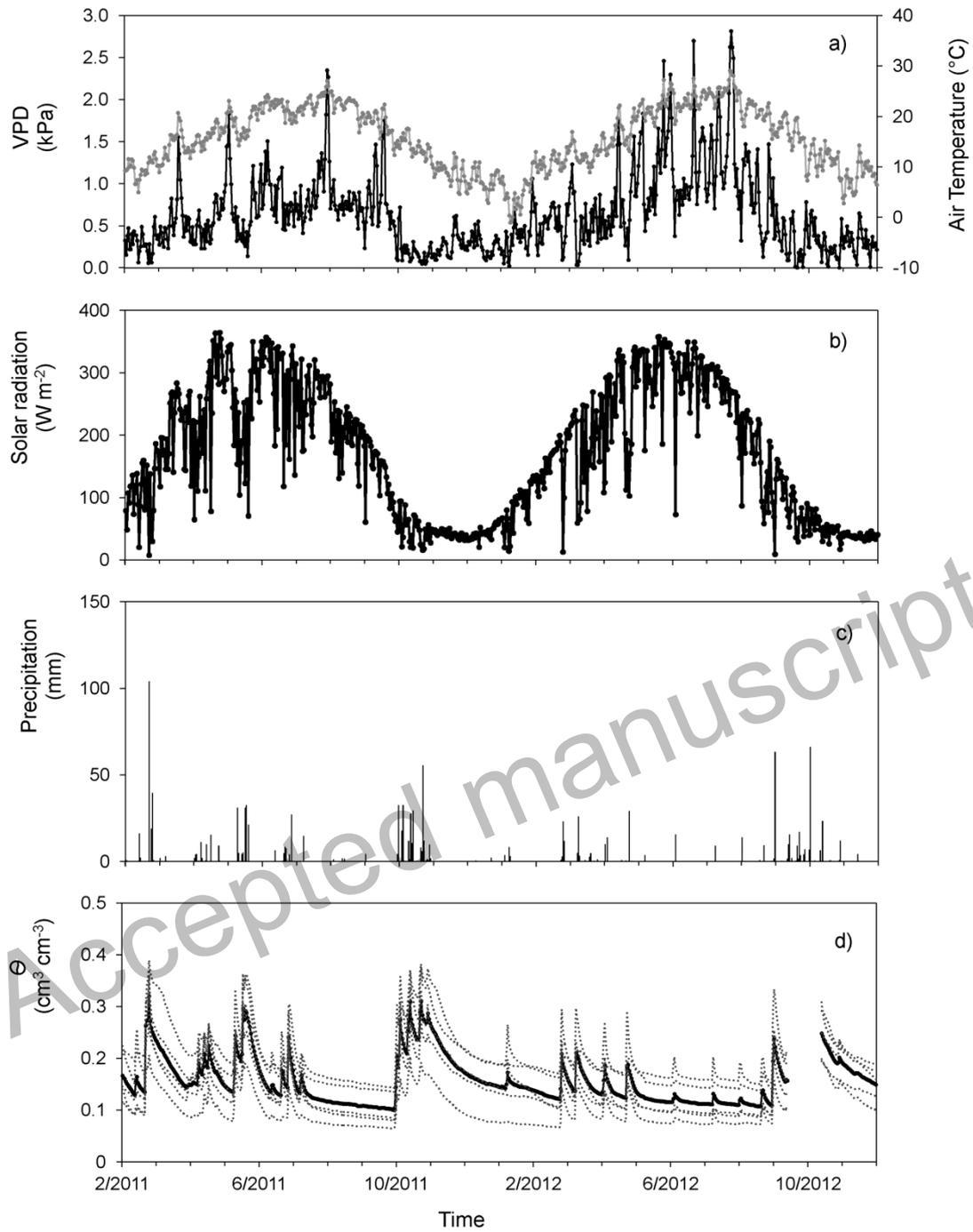
796 **Figure 4.** Seasonal course of (a) mean basal area increment (BAI), (b) BAI of each tree  
797 relative to its basal area at the beginning of 2011 (BAI/ $A_B$ ) and (c) tree water deficit  
798 ( $\Delta W$ ) over the study period for: *Q. ilex* (grey line), *Q. pubescens* (black line), *A. unedo*

799 (black dashed line) and *P. halepensis* (grey short dash line). Labels on the x axis  
800 represent year in month/year format (i.e. February 2011 is 2/2011). BAI curves in  
801 panels (a) and (b) are reset to zero at the beginning of 2012.

802 **Figure 5.** Responses of tree water deficit ( $\Delta W$ ) to (a) volumetric soil water availability  
803 ( $\theta$ ) (data selected when VPD>0.5 kPa and solar radiation>150 W m<sup>-2</sup>) for each species  
804 in 2011 and (b) 2012, (c) responses to vapour pressure deficit (VPD) (data selected  
805 when solar radiation>50 W m<sup>-2</sup>) in 2011 and (d) 2012. *Q. ilex* (grey triangle), *Q.*  
806 *pubescens* (black diamond), *A. unedo* (black empty circle) and *P. halepensis* (grey  
807 cross). Average daily values for each species are shown.

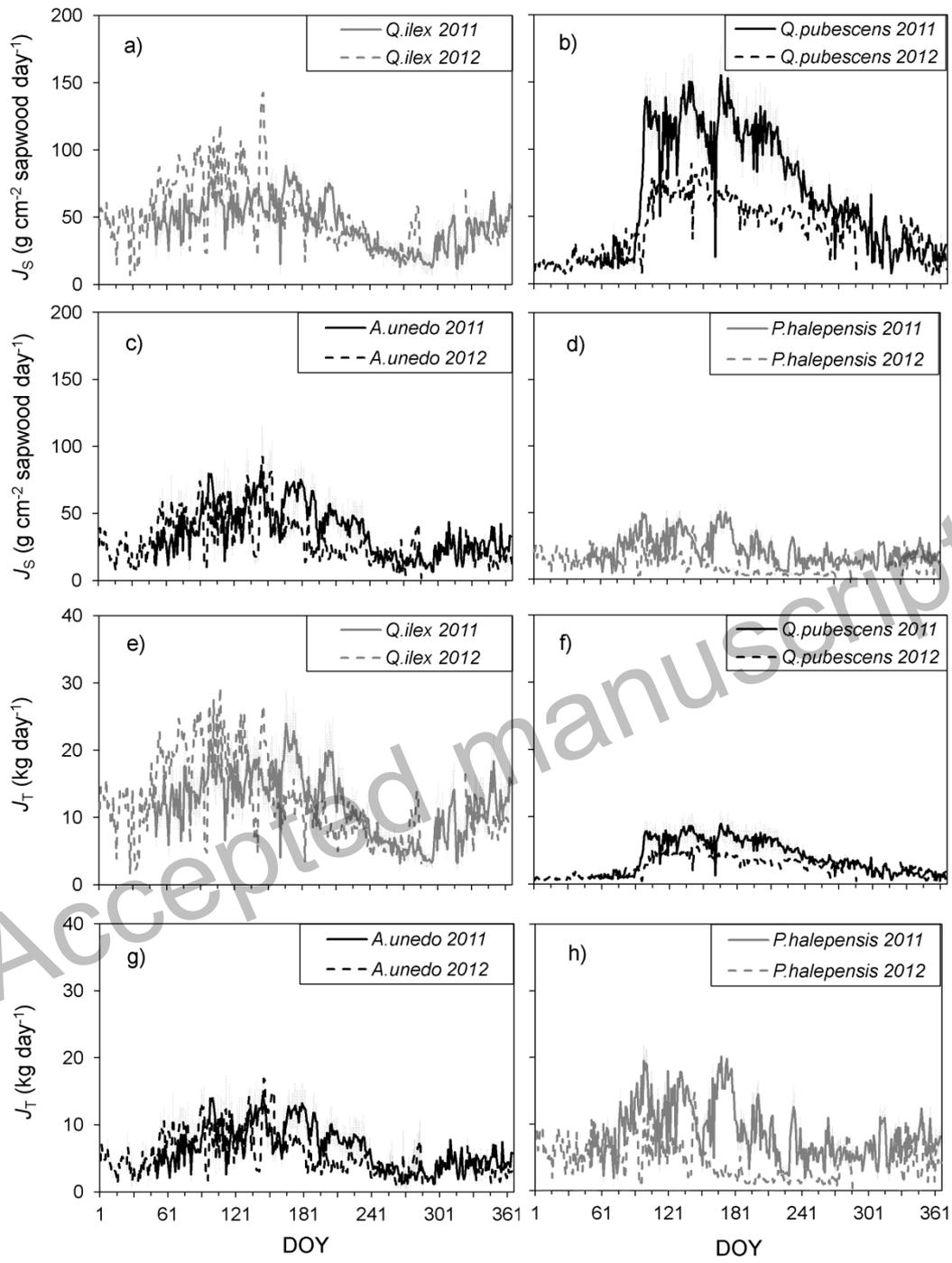
808 **Figure 6.** Modelled responses of tree water deficit ( $\Delta W$ ) to volumetric soil water  
809 availability ( $\theta$ ) at different values of vapour pressure deficit (VPD) (0.5, 1.0, 2.0 and 2.5  
810 kPa) for each species, according to model in Table 4. Panels on the left column depict  
811 results for 2011 and panels on the right, for 2012.

812 **Figure 7.** Responses of sap flow density ( $J_s$ ) to tree water deficit ( $\Delta W$ ) (data selected  
813 when VPD>0.5 kPa and solar radiation>150 W m<sup>-2</sup>) for each species in (a) 2011 and (b)  
814 2012. *Q. ilex* (grey triangle and grey solid line), *Q. pubescens* (black diamond and black  
815 solid line), *A. unedo* (black empty circle and black dashed line) and *P. halepensis* (grey  
816 cross and grey dashed line). Average daily values for each species are shown.



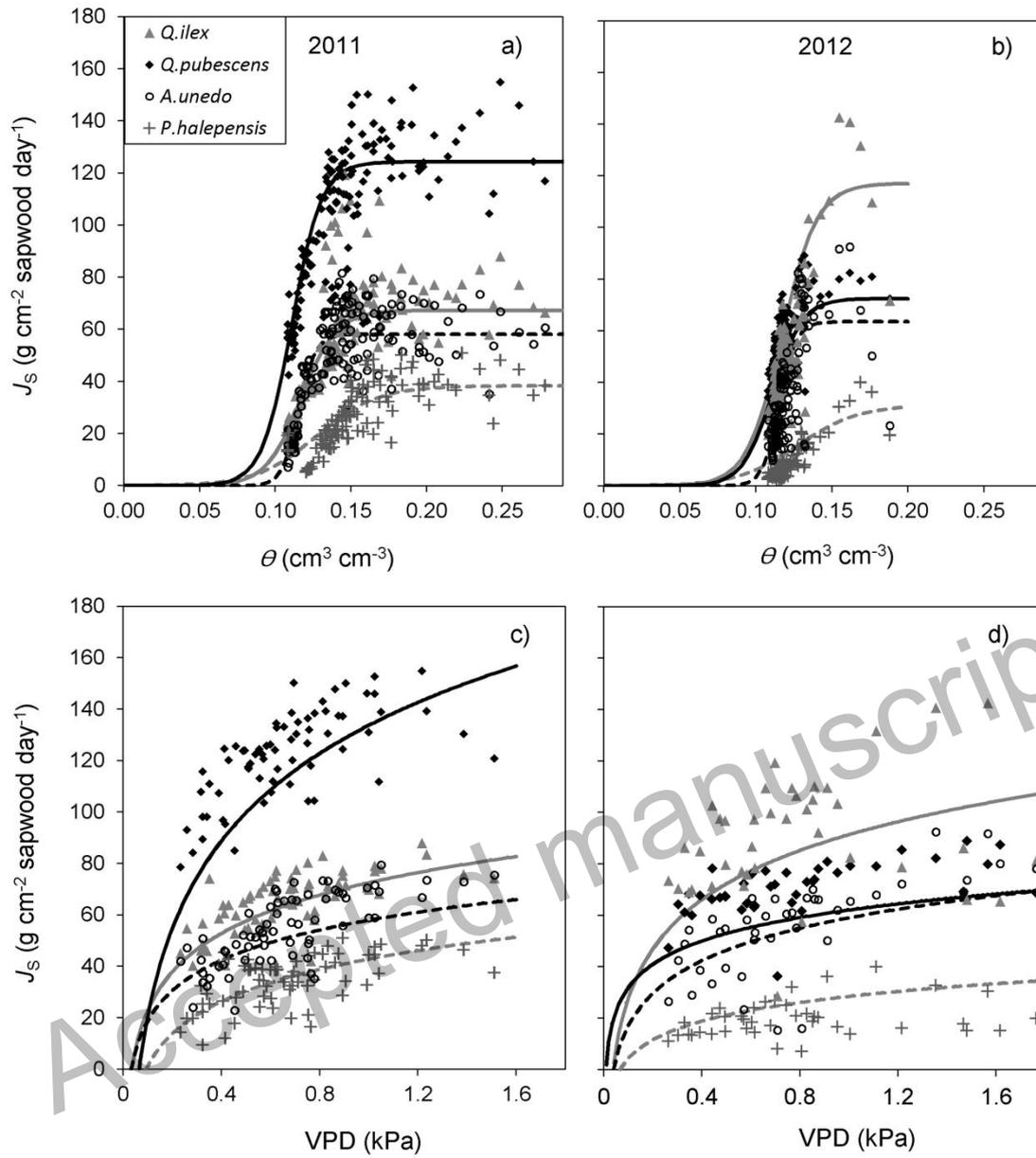
817

818 **Figure 1.**



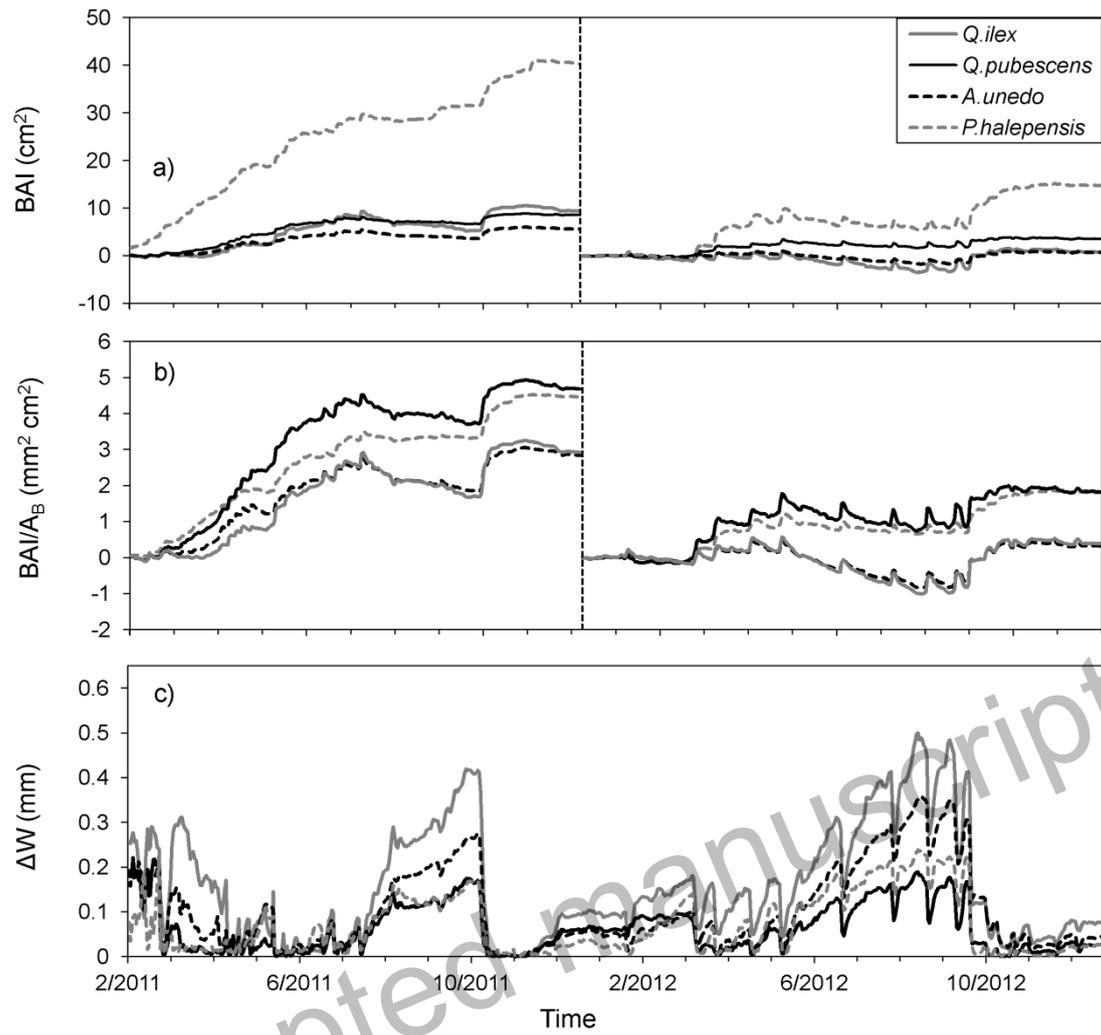
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821 **Figure 2.**



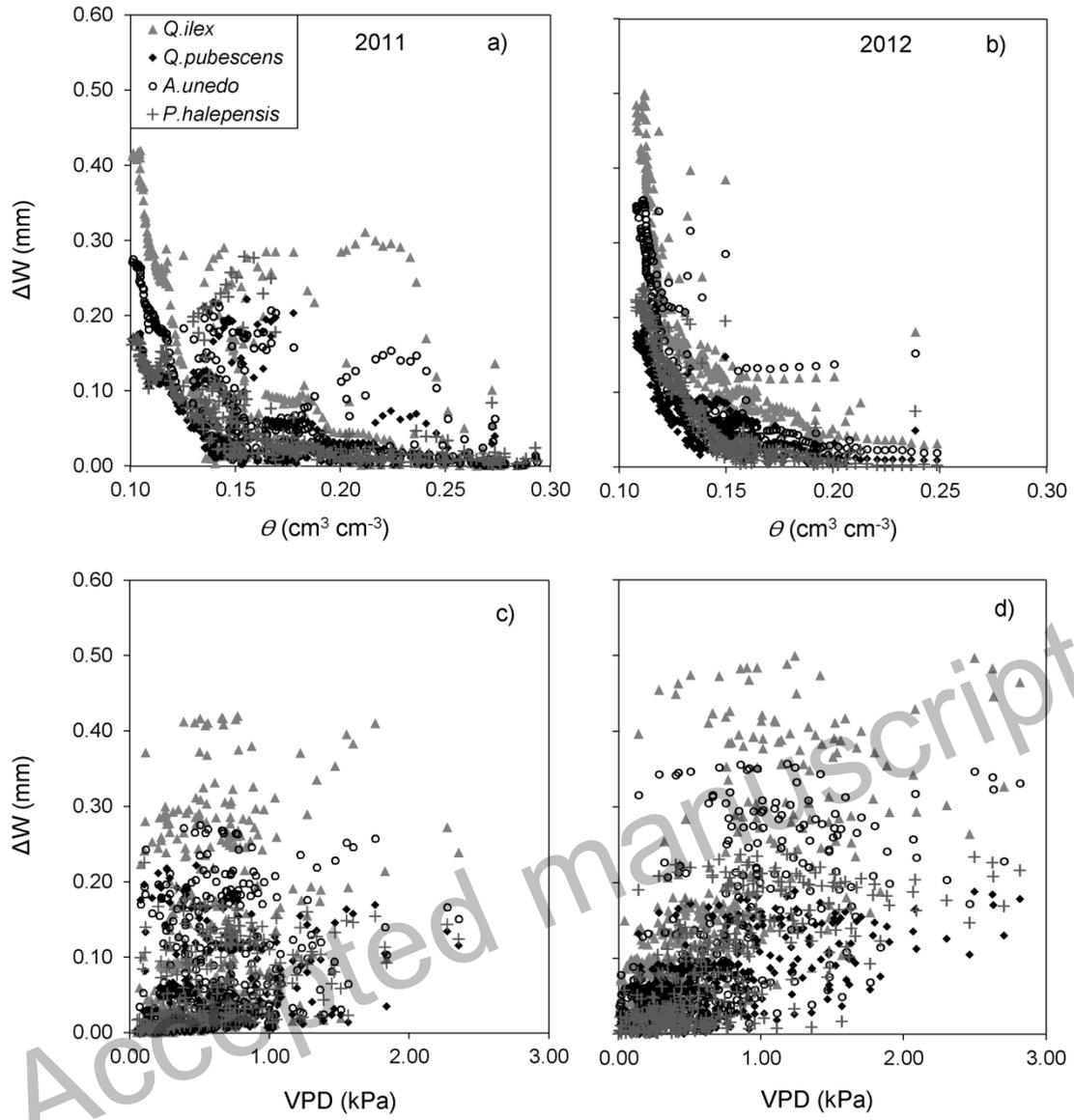
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823 **Figure 3.**



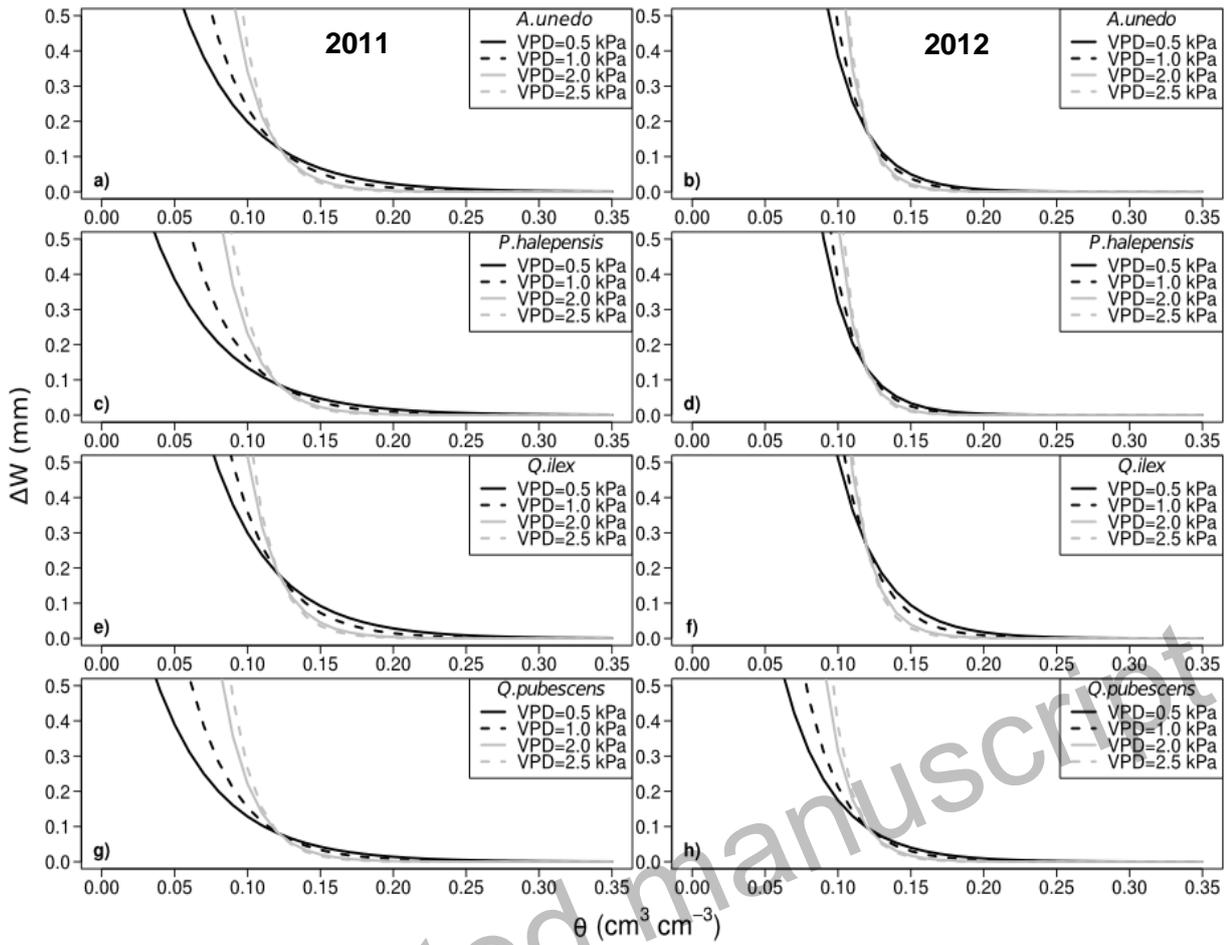
824

825 **Figure 4.**



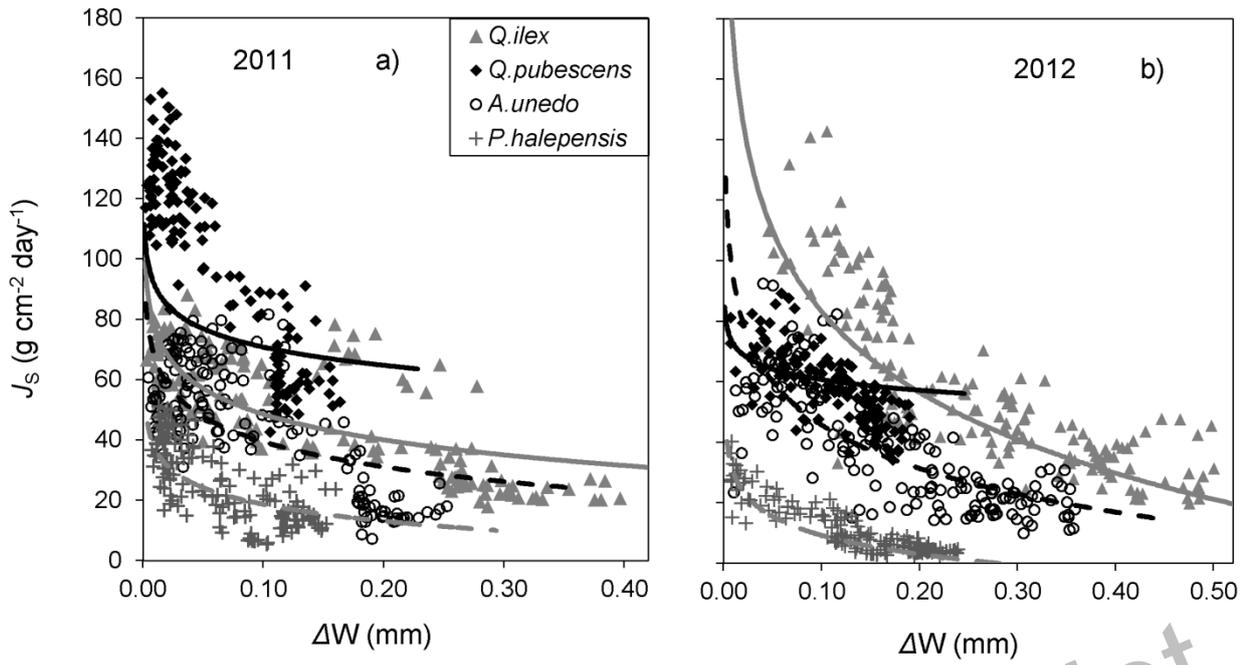
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827 **Figure 5.**



828

829 **Figure 6.**



830

831 **Figure 7.**

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832 **Table 1.** Main stand characteristics for each tree species. All data are reported at the  
 833 stand scale except mean height, which is the average height of the monitored trees.  
 834 Abbreviations: Mean DBH: Mean diameter at breast height;  $A_B$ : Basal area;  $A_S$ :  
 835 Sapwood area; LAI: Leaf area index;  $A_L/A_S$ : Leaf to sapwood area ratio;  $C_r$ : Radial  
 836 correction coefficient.

	<i>Q. ilex</i>	<i>Q. pubescens</i>	<i>A. unedo</i>	<i>P. halepensis</i>	Total
Density (stems ha <sup>-1</sup> )	1150	150	76	53	1429
Mean height (m) <sup>a</sup>	10.2 ± 0.6	9.6 ± 0.3	8.1 ± 0.3	17.1 ± 0.4	10.8 ± 0.6
Mean DBH (cm)	11.9 ± 0.7	12.0 ± 0.6	9.6 ± 0.5	33.7 ± 1.4	12.6 ± 0.2
$A_B$ (m <sup>2</sup> ha <sup>-1</sup> )	14.9	1.9	0.6	5.2	22.6
$A_S$ (m <sup>2</sup> ha <sup>-1</sup> ) <sup>b</sup>	11.5	0.8	0.5	2.2	15.1
$A_S/A_B$	0.8	0.4	0.9	0.4	0.7
LAI (m <sup>2</sup> m <sup>-2</sup> ) <sup>c</sup>	2.5	0.3	0.1	0.3	3.3
$A_L/A_S$ (m <sup>2</sup> cm <sup>-2</sup> )	0.2 ± 0.1	0.4 ± 0.1	0.3 ± 0.0	0.1 ± 0.0	-
$C_r$ <sup>d</sup>	0.5	0.7	1.0	0.7	-

837

838 <sup>a</sup> Mean height of all the monitored trees ( $n=7$ ,  $n=7$ ,  $n=4$  and  $n=3$  respectively)

839 <sup>b</sup> Data from this study and estimated according to Aguadé et al. 2011, Martínez-Vilalta  
 840 et al. 2003 and Poyatos et al. 2007.

841 <sup>c</sup> Based on allometric equations from the Ecological and Forest Inventory of Catalonia  
 842 (IEFC) for Collserola Natural Park (Gracia et al. 2004).

843 <sup>d</sup> Data adapted from Aguadé et al. 2011, Cohen et al. 2008, Poyatos et al. 2008 and  
 844 Mereu et al. 2009.

845 **Table 2.** Parameter values estimated of the nonlinear mixed models relating species sap  
 846 flow per unit sapwood area ( $J_S$ ) with volumetric soil water availability ( $\theta$ ) and vapour  
 847 pressure deficit (VPD) for 2011 and 2012. Different letter represents statistically  
 848 significant differences between species for a given parameter and year ( $P<0.05$ ). Bold  
 849 numbers represent statistically significant differences between years for a given  
 850 parameter and species ( $P<0.05$ ). The parameters have been extracted from the following  
 851 equations (see main text for more details):  $J_S = b \cdot \ln(\text{VPD}) + a$  and

$$852 \quad J_S = \frac{J_{S, \text{Asym}}}{1 + \exp[(\theta_{\text{mid}} - \theta) / \theta_{\text{scal}}]}$$

		<i>Q. ilex</i>	<i>Q. pubescens</i>	<i>A. unedo</i>	<i>P. halepensis</i>
$J_{S, \text{Asym}}$ (g cm <sup>-2</sup> day <sup>-1</sup> )	2011	<b>67.26 ± 7.16<sup>a</sup></b>	<b>124.30 ± 8.41<sup>b</sup></b>	58.17 ± 9.33 <sup>ac</sup>	38.35 ± 11.00 <sup>c</sup>
	2012	<b>118.20 ± 10.12<sup>a</sup></b>	<b>71.33 ± 12.77<sup>b</sup></b>	63.04 ± 12.78 <sup>b</sup>	31.50 ± 13.09 <sup>c</sup>
$\theta_{\text{mid}}$ (cm <sup>3</sup> cm <sup>-3</sup> )	2011	0.117 ± 0.002 <sup>a</sup>	0.111 ± 0.002 <sup>b</sup>	0.115 ± 0.002 <sup>ab</sup>	0.127 ± 0.005 <sup>c</sup>
	2012	0.123 ± 0.003 <sup>a</sup>	0.109 ± 0.004 <sup>b</sup>	0.118 ± 0.004 <sup>ab</sup>	0.133 ± 0.005 <sup>c</sup>
$\theta_{\text{scal}}$ (cm <sup>3</sup> cm <sup>-3</sup> )	2011	0.012 ± 0.001 <sup>ac</sup>	0.010 ± 0.001 <sup>a</sup>	0.005 ± 0.001 <sup>b</sup>	<b>0.020 ± 0.005<sup>c</sup></b>
	2012	0.011 ± 0.001 <sup>a</sup>	0.007 ± 0.001 <sup>b</sup>	0.007 ± 0.001 <sup>b</sup>	<b>0.010 ± 0.002<sup>ab</sup></b>
<i>a</i>	2011	<b>72.65 ± 6.31<sup>a</sup></b>	<b>133.84 ± 7.58<sup>b</sup></b>	58.05 ± 8.39 <sup>ac</sup>	42.93 ± 9.72 <sup>c</sup>
	2012	<b>91.49 ± 6.86<sup>a</sup></b>	<b>62.13 ± 8.36<sup>b</sup></b>	59.14 ± 9.67 <sup>b</sup>	28.53 ± 9.66 <sup>c</sup>
<i>b</i>	2011	<b>21.54 ± 1.32<sup>a</sup></b>	<b>49.04 ± 1.63<sup>b</sup></b>	16.99 ± 1.81 <sup>c</sup>	<b>18.00 ± 2.00<sup>ac</sup></b>
	2012	<b>27.56 ± 1.28<sup>a</sup></b>	<b>13.07 ± 1.53<sup>b</sup></b>	18.19 ± 1.77 <sup>c</sup>	<b>10.45 ± 1.77<sup>b</sup></b>
<i>b/a</i>	2011	0.30	0.37	0.29	0.42
	2012	0.30	0.21	0.31	0.37

853

854 **Table 3.** Main averaged parameters of growth production and water use for each tree  
855 species and year. Different letter represents statistically significant differences between  
856 species for a given parameter and year ( $P<0.05$ ). Bold numbers represent statistically  
857 significant differences between years for a given parameter and species ( $P<0.05$ ).  
858 Abbreviations:  $J_T$ : total tree transpiration; BAI: basal area increment;  $BAI/A_B$ : relative  
859 basal area increment; AGC: aboveground carbon production;  $WUE_{BAI}$ : water use  
860 efficiency calculated according to basal area increment;  $WUE_{AGC}$ : water use efficiency  
861 calculated according to aboveground carbon production.

		<i>Q. ilex</i>	<i>Q. pubescens</i>	<i>A. unedo</i>	<i>P. halepensis</i>
Total $J_T$ (m <sup>3</sup> year <sup>-1</sup> )	2011	4.22 ± 0.77 <sup>a</sup>	1.35 ± 0.28 <sup>b</sup>	2.06 ± 0.50 <sup>b</sup>	2.76 ± 0.48 <sup>ab</sup>
	2012	4.74 ± 0.93 <sup>a</sup>	1.00 ± 0.08 <sup>b</sup>	1.87 ± 0.57 <sup>b</sup>	1.50 ± 0.47 <sup>b</sup>
BAI (cm <sup>2</sup> year <sup>-1</sup> )	2011	<b>9.64 ± 0.93<sup>a</sup></b>	8.34 ± 1.39 <sup>a</sup>	<b>5.62 ± 1.35<sup>a</sup></b>	<b>39.55 ± 5.67<sup>b</sup></b>
	2012	<b>1.11 ± 0.32<sup>a</sup></b>	3.54 ± 1.81 <sup>a</sup>	<b>0.70 ± 0.36<sup>a</sup></b>	<b>14.73 ± 3.16<sup>b</sup></b>
$BAI/A_B$ (mm <sup>2</sup> cm <sup>-2</sup> year <sup>-1</sup> )	2011	<b>2.92 ± 0.43<sup>a</sup></b>	4.66 ± 0.81 <sup>a</sup>	<b>2.85 ± 0.64<sup>a</sup></b>	<b>4.56 ± 0.80<sup>a</sup></b>
	2012	<b>0.37 ± 0.16<sup>a</sup></b>	1.82 ± 0.24 <sup>b</sup>	<b>0.32 ± 0.17<sup>a</sup></b>	<b>1.81 ± 0.25<sup>b</sup></b>
AGC (kg C year <sup>-1</sup> )*	2011	<b>2.49 ± 0.26<sup>a</sup></b>	1.79 ± 0.33 <sup>a</sup>	<b>1.25 ± 0.30<sup>a</sup></b>	<b>7.77 ± 1.10<sup>b</sup></b>
	2012	<b>0.29 ± 0.08<sup>a</sup></b>	0.79 ± 0.45 <sup>a</sup>	<b>0.16 ± 0.08<sup>a</sup></b>	<b>2.94 ± 0.66<sup>b</sup></b>
$WUE_{BAI}$ (cm <sup>2</sup> m <sup>-3</sup> year <sup>-1</sup> )	2011	<b>2.53 ± 0.3<sup>a</sup></b>	8.86 ± 3.57 <sup>ab</sup>	<b>3.51 ± 1.23<sup>a</sup></b>	<b>14.60 ± 1.41<sup>b</sup></b>
	2012	<b>0.26 ± 0.08<sup>a</sup></b>	3.40 ± 1.53 <sup>b</sup>	<b>0.51 ± 0.37<sup>a</sup></b>	<b>10.16 ± 1.05<sup>c</sup></b>
$WUE_{AGC}$ (g C kg H <sub>2</sub> O <sup>-1</sup> year <sup>-1</sup> )	2011	<b>0.65 ± 0.07<sup>a</sup></b>	1.83 ± 0.69 <sup>ab</sup>	<b>0.78 ± 0.27<sup>a</sup></b>	<b>2.85 ± 0.24<sup>b</sup></b>
	2012	<b>0.07 ± 0.02<sup>a</sup></b>	0.76 ± 0.39 <sup>b</sup>	<b>0.12 ± 0.09<sup>a</sup></b>	<b>2.02 ± 0.19<sup>c</sup></b>

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863 **Table 4.** Summary statistics for the linear mixed models relating tree water deficit ( $\Delta W$ )  
864 with species, vapour pressure deficit (VPD) and volumetric soil water availability ( $\theta$ )  
865 for 2011 and 2012. *A. unedo* was used as the reference level. Bold numbers represent  
866 statistically significant results ( $P < 0.05$ ).  $R^2_{LR}$  is a likelihood-based pseudo- $R^2$  (cf. Data  
867 analysis).

Year	Model term	Estimate	SE	Df	t	P	$R^2_{LR}$
2011	<b>(Intercept)</b>	<b>-0.455</b>	<b>0.182</b>	<b>5371.000</b>	<b>-2.505</b>	<b>0.012</b>	0.643
	<b>VPD</b>	<b>2.048</b>	<b>0.138</b>	<b>5371.000</b>	<b>14.887</b>	<b>0.000</b>	
	<b><math>\theta</math></b>	<b>-13.441</b>	<b>1.001</b>	<b>5371.000</b>	<b>-13.425</b>	<b>0.000</b>	
	<i>P. halepensis</i>	-0.469	0.235	20.000	-1.997	0.060	
	<b><i>Q. ilex</i></b>	<b>0.585</b>	<b>0.189</b>	<b>20.000</b>	<b>3.091</b>	<b>0.006</b>	
	<i>Q. pubescens</i>	-0.402	0.209	20.000	-1.925	0.069	
	<b>VPD : <math>\theta</math></b>	<b>-16.849</b>	<b>1.079</b>	<b>5371.000</b>	<b>-15.617</b>	<b>0.000</b>	
	$\theta$ : <i>P. halepensis</i>	0.819	1.205	5371.000	0.680	0.497	
	$\theta$ : <i>Q. ilex</i>	-1.718	0.945	5371.000	-1.817	0.069	
	$\theta$ : <i>Q. pubescens</i>	-0.321	1.077	5371.000	-0.298	0.766	
	2012	<b>(Intercept)</b>	<b>1.981</b>	<b>0.154</b>	<b>5902.000</b>	<b>12.844</b>	
<b>VPD</b>		<b>2.310</b>	<b>0.081</b>	<b>5902.000</b>	<b>28.625</b>	<b>0.000</b>	
<b><math>\theta</math></b>		<b>-31.380</b>	<b>0.810</b>	<b>5902.000</b>	<b>-38.740</b>	<b>0.000</b>	
<i>P. halepensis</i>		0.264	0.222	19.000	1.189	0.249	
<b><i>Q. ilex</i></b>		<b>-0.412</b>	<b>0.172</b>	<b>19.000</b>	<b>-2.391</b>	<b>0.027</b>	
<b><i>Q. pubescens</i></b>		<b>-1.934</b>	<b>0.204</b>	<b>19.000</b>	<b>-9.490</b>	<b>0.000</b>	
<b>VPD : <math>\theta</math></b>		<b>-19.116</b>	<b>0.691</b>	<b>5902.000</b>	<b>-27.659</b>	<b>0.000</b>	
VPD : <i>P. halepensis</i>		-0.044	0.026	5902.000	-1.685	0.092	
<b>VPD : <i>Q. ilex</i></b>		<b>-0.035</b>	<b>0.017</b>	<b>5902.000</b>	<b>-2.094</b>	<b>0.036</b>	
VPD : <i>Q. pubescens</i>		-0.004	0.027	5902.000	-0.150	0.881	
<b><math>\theta</math> : <i>P. halepensis</i></b>		<b>-4.220</b>	<b>1.153</b>	<b>5902.000</b>	<b>-3.659</b>	<b>0.000</b>	
<b><math>\theta</math> : <i>Q. ilex</i></b>		<b>7.150</b>	<b>0.805</b>	<b>5902.000</b>	<b>8.883</b>	<b>0.000</b>	
<b><math>\theta</math> : <i>Q. pubescens</i></b>		<b>11.460</b>	<b>1.028</b>	<b>5902.000</b>	<b>11.146</b>	<b>0.000</b>	

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870 **Table 5.** Summary statistics for the linear mixed models relating species tree water  
 871 deficit ( $\Delta W$ ) with sap flow density ( $J_s$ ) for 2011 and 2012. *A. unedo* was used as the  
 872 reference level. Bold numbers represent statistically significant results ( $P < 0.05$ ).  $R^2_{LR}$  is  
 873 a pseudo- $R^2$  based on a likelihood ratio test (cf. Data analysis).

Year	Model term	Estimate	SE	df	T	P	$R^2_{LR}$
2011	(Intercept)	11.751	12.351	2884	0.951	0.342	0.921
	<b>log<math>\Delta W</math></b>	<b>-12.037</b>	<b>0.571</b>	<b>2884</b>	<b>-21.097</b>	<b>0.000</b>	
	<i>P. halepensis</i>	-11.558	18.860	15	-0.613	0.549	
	<b><i>Q. ilex</i></b>	<b>8.544</b>	<b>15.936</b>	<b>15</b>	<b>0.536</b>	<b>0.600</b>	
	<b><i>Q. pubescens</i></b>	<b>38.931</b>	<b>16.022</b>	<b>15</b>	<b>2.430</b>	<b>0.028</b>	
	<b>log<math>\Delta W</math>:<i>P. halepensis</i></b>	<b>4.014</b>	<b>0.811</b>	<b>2884</b>	<b>4.948</b>	<b>0.000</b>	
	log $\Delta W$ : <i>Q. ilex</i>	-0.254	0.738	2884	-0.344	0.731	
	<b>log<math>\Delta W</math>:<i>Q. pubescens</i></b>	<b>3.277</b>	<b>0.805</b>	<b>2884</b>	<b>4.070</b>	<b>0.000</b>	
2012	(Intercept)	-2.110	7.850	1745	-0.269	0.788	0.987
	<b>log <math>\Delta W</math></b>	<b>-20.816</b>	<b>0.851</b>	<b>1745</b>	<b>-24.470</b>	<b>0.000</b>	
	<i>P. halepensis</i>	-9.355	12.341	7	-0.758	0.473	
	<i>Q. ilex</i>	-3.345	10.495	7	-0.319	0.759	
	<b><i>Q. pubescens</i></b>	<b>51.121</b>	<b>13.005</b>	<b>7</b>	<b>3.931</b>	<b>0.006</b>	
	<b>log<math>\Delta W</math>:<i>P. halepensis</i></b>	<b>11.807</b>	<b>0.988</b>	<b>1745</b>	<b>11.947</b>	<b>0.000</b>	
	<b>log<math>\Delta W</math>:<i>Q. ilex</i></b>	<b>-17.715</b>	<b>1.638</b>	<b>1745</b>	<b>-10.812</b>	<b>0.000</b>	
	<b>log<math>\Delta W</math>:<i>Q. pubescens</i></b>	<b>15.705</b>	<b>1.810</b>	<b>1745</b>	<b>8.675</b>	<b>0.000</b>	

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