

1 Atmospheric pollutants in peri-urban forests of *Quercus ilex*: evidence 2 of pollution abatement and threats for vegetation.

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12 **Keywords**

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14 **Abstract**

15 Peri-urban vegetation is generally accepted as a significant remover of atmospheric pollutants, but it could
16 also be threatened by these compounds, with origin in both urban and non-urban areas. To characterize the
17 seasonal and geographical variation of pollutant concentrations and to improve the empirical understanding
18 of the influence of Mediterranean broadleaf evergreen forests on air quality, four forests of *Quercus ilex*
19 (three peri-urban and one remote) were monitored in different areas in Spain. Concentrations of nitrogen
20 dioxide (NO₂), ammonia (NH₃), nitric acid (HNO₃) and ozone (O₃) were measured during two years in
21 open areas and inside the forests and aerosols (PM₁₀) were monitored in open areas during one year. Ozone
22 was the only air pollutant expected to have direct phytotoxic effects on vegetation according to current
23 thresholds for the protection of vegetation. The concentrations of N compounds were not high enough to
24 directly affect vegetation but could be contributing through atmospheric N deposition to the eutrophication
25 of these ecosystems. Peri-urban forests of *Quercus ilex* showed a significant below-canopy reduction of
26 gaseous concentrations (particularly NH₃, with a mean reduction of 29–38%), which indicated the
27 feasibility of these forests to provide an ecosystem service of air quality improvement. Well-designed
28 monitoring programs are needed to further investigate air quality improvement by peri-urban ecosystems
29 while assessing the threat that air pollution can pose to vegetation.

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39 GAW/ACTRIS monitoring networks).

1 **1. Introduction**

2 The continuous growth of urban population has turned air quality into one of the main
3 environmental concerns worldwide. Current urban development needs to consider designs and
4 strategies that minimize atmospheric pollution to improve well-being and human health. In the
5 last years, particular attention has been paid to investigate the role of urban and peri-urban
6 vegetation in improving air quality. Vegetation can remove air pollutants via dry deposition,
7 through interception in the canopy surfaces, and via absorption of gases through the stomata. In
8 particular, urban and peri-urban vegetation has been proposed as a method to reduce air pollutants
9 such as ozone, nitrogen oxides and particulate matter (Alonso et al. 2011; Kroeger et al. 2014;
10 Nowak et al. 2014; Sgrigna et al. 2015). On the other hand, air pollution can affect these forests,
11 impairing their capacity to provide ecosystem services.

12 Peri-urban areas are transition zones between the denser urban core and the rural hinterland,
13 where natural habitats can be exposed to intermediate concentrations of pollutants linked to both
14 urban and rural activities. Among the most common gaseous pollutants, nitrogen oxides (NO₂,
15 NO) reach peri-urban areas transported from human agglomerations and highways where they are
16 produced as a result of combustion processes. Nitrogen oxides are in turn precursors for the
17 formation of photochemical oxidants such as ozone (O₃) and nitric acid (HNO₃). Ozone is one of
18 the most important and pervasive air pollutants currently affecting vegetation (Kroeger et al.
19 2014). This pollutant is particularly important in the Mediterranean region, where the highest
20 concentrations in Europe are registered (EEA 2013). Ozone levels are usually greater in peri-
21 urban and rural areas than in busy urban centres, due to its rapid destruction by reacting with the
22 NO emitted in the cities (The Royal Society 2008). Nitric acid is one of the main components of
23 photochemical smog, together with ozone, and with a similar spatial distribution (Bytnerowicz et
24 al. 1999). In contrast, ammonia (NH₃) is mainly emitted from agricultural and livestock activities
25 in rural areas. Ammonia and nitric acid can quickly react with each other, or with other
26 atmospheric gases, to form secondary inorganic aerosols (SIA), that can represent an important
27 fraction of the particulate matter (PM) concentration measured at regional background stations
28 (EEA 2013). Although atmospheric N pollutant levels are usually not high enough to directly
29 damage vegetation, atmospheric N deposition can contribute to both eutrophication and
30 acidification of ecosystems, which is a bigger problem than the direct exposure to these
31 compounds (Dise et al. 2011; EEA 2013). Atmospheric N deposition can be particularly
32 important in peri-urban areas that are receiving contributions of N compounds from both urban
33 and agricultural activities. In fact, Mediterranean forests and mountain scrublands close to
34 Barcelona and Madrid cities have been reported to be threatened by N deposition (García-Gómez
35 et al. 2014).

1 Air pollutant gases and particles are removed from the atmosphere through both wet and dry
2 deposition. In Mediterranean environments, atmospheric deposition can be dominated by dry
3 deposition, which can represent up to 50–95% of the total deposition in Mediterranean forests
4 (Bytnerowicz and Fenn 1996). In this sense, urban and peri-urban vegetation, through increasing
5 dry deposition, can represent a good strategy to improve air quality, particularly in this region.
6 Dry deposition to vegetation is a function of multiple factors, such as air concentration, chemical
7 properties of the depositing species, atmospheric turbulence, moisture and reactivity of receptor
8 surfaces, and vegetation structure and activity (Fowler et al. 2009).

9 Measuring pollutant concentrations outside and within peri-urban forests can provide an insight
10 into the role of vegetation in removing air pollutants (Cavanagh et al. 2009; Setälä et al. 2013;
11 Grundström and Pleijel 2014). Although urban vegetation is accepted as an efficient remover of
12 air pollutants, most of the studies are based on large-scale modelling (e.g. Nowak et al. 2014) or
13 laboratory studies (e.g. Chaparro-Suárez et al. 2011), but there are few empirical evidences of the
14 reduction in pollutant concentrations inside urban forested areas (Cavanagh et al. 2009;
15 Grundström and Pleijel 2014). Besides, atmospheric pollution represents a risk for the urban and
16 peri-urban vegetation and should be monitored, particularly in forest potentially withstanding
17 other stressful conditions. Interestingly, NH_3 and HNO_3 concentrations are scarcely measured in
18 the main air-quality networks, despite being major drivers of atmospheric N dry deposition to
19 vegetation (Bytnerowicz et al. 2010).

20 In order to study tropospheric O_3 , gaseous N compounds, and suspended PM in peri-urban forests
21 in Spain, three peri-urban forests of holm oak (*Quercus ilex* L.) were selected near to three cities
22 in Spain with increasing population and with different influences of traffic and agricultural
23 pollution sources (based on their distances to highways, percentage of agricultural land use and
24 presence of livestock). Another holm oak forest site, far from anthropogenic emissions of air
25 pollutants, was established for comparison. Holm oak is an evergreen broadleaf tree species
26 representative of the Mediterranean Basin and it is present over a wide range of environments in
27 the region, from cold semi-arid to temperate humid bioclimates. This study was enclosed in the
28 EDEN project (*Effects of nitrogen deposition in Mediterranean evergreen holm oak forests*),
29 whose main goal was to determine and characterize the nitrogen inputs to holm oak forests in the
30 Iberian Peninsula and the effects in the nitrogen biogeochemical cycle. In the present study, air
31 quality measurements from EDEN project are presented and discussed, with the following
32 objectives: 1) to analyse the main air pollutants that could be affecting holm oak forests close to
33 cities, 2) to characterize air pollutant temporal and geographical variation, and 3) to compare air
34 pollutant concentrations outside and inside the forest to improve the empirical understanding of
35 the influence of vegetation on air quality.

36

1 2. Material and methods

2 2.1. Study sites

3 Three holm-oak (*Quercus ilex*) forests were selected in the vicinity of three cities in Spain with
4 increasing population (Fig. 1, Table 1). The Can Balasc (CB) site is placed in a forest located in a
5 natural protected area 4 km away from Barcelona with acidic soils and Mediterranean sub-humid
6 climate. The Tres Cantos site (TC) is a forest located in a natural protected area at 9 km from
7 Madrid, growing on acidic sandy soil with Mediterranean semi-arid climate. The Carrascal site
8 (CA) is located in an agricultural area close to Pamplona (15 km), with calcareous soil and
9 Mediterranean humid climate, and it is the most agricultural-influenced among the three peri-
10 urban forests. The canopy in all the sites is dominated by *Quercus ilex*, mixed with *Q. humilis*
11 CB. In the case of TC, vegetation was historically managed as a traditional *dehesa* (a savannah-
12 like agrosilvopastoral system) of *Q. ilex*, but the low management intensity during the last
13 decades has allowed vegetation to grow as a moderately open forest. An additional holm oak
14 forest was selected as a non-urban reference in La Castanya (LC), a long-term biogeochemical
15 study site in a protected mountainous area (Parc Natural del Montseny), situated 40 km away
16 from Barcelona (Fig. 1) and is included in the GAW/ACTRIS monitoring networks (“MSY”
17 station). This site presents moderately acidic soils and montane Mediterranean climate and it is
18 relatively sheltered from the surrounding lowland sources of atmospheric pollutants (Hereter and
19 Sánchez 1999). The description of the sites was complemented with land use cover and livestock
20 density data obtained from the Corine Land Cover 2006 of the European Environment Agency
21 (<http://www.eea.europa.eu/data-and-maps/data/corine-land-cover-2006-raster-3>) and from the
22 Spanish National Statistic Institute (<http://www.ine.es>) respectively (Table 1). ArcGIS software
23 (version 9.2; Environmental Systems Research Institute Inc., Redlands, CA, USA) was employed
24 to summarize these data using a buffer of 25 km radius around the sampling sites. Meteorological
25 variables were monitored in CB, TC and LC sites, and data from the closest meteorological
26 station were collected for the CA site.

27 2.2. Air pollution monitoring

28 Atmospheric concentrations of ozone (O₃), ammonia (NH₃), nitrogen dioxide (NO₂) and nitric
29 acid vapour (HNO₃) were monitored during two years using passive samplers. In every location,
30 two plots were installed: an open-field plot (O) and a below-canopy plot (F –forest plot). Open
31 and below-canopy plots were selected in order to maintain the same orientation, exposure and
32 elevation. Two replicate samplers per gaseous species were exposed at 2 m height in each plot.
33 Gases were measured during two-week-long periods between February 2011 and February 2013;
34 except O₃ in CA, where the sampling survey was only extended until April 2012. Exceptionally,
35 some sampling periods (3% of the total monitoring time) lasted approximately four weeks. In

1 these cases, the same result has been used for the two corresponding regular sampling periods..
2 During every exposure period, unexposed samplers were used as blanks for each site and type of
3 passive sampler. After collection, all samples were kept refrigerated (4° C) in darkness until they
4 were analysed in the laboratory.

5 Tube-type samplers (Radiello®) were used to measure atmospheric concentrations of NH₃, NO₂
6 and O₃. Laboratory analyses were performed according to Radiello's specifications (Fondazione
7 Salvatore Maugeri, 2006). Atmospheric concentrations of HNO₃ were measured by means of
8 badge-type samplers manufactured following Bytnerowicz et al. (2005). In CA, Passam® passive
9 samplers and methods were employed during the second year for monitoring NO₂ after checking
10 their comparability with Radiello®. For these sampling periods, correction proposed by Plaisance
11 (2011) was applied to avoid biases caused by high wind speeds. The variability of the duplicate
12 passive samplers for each air pollutant averaged from 7% for O₃ to 28% for HNO₃.

13 Additionally, concentration of O₃ and nitrogen oxides (NO and NO₂) were continuously
14 monitored in open-field locations in LC and TC sites with active monitors (in LC: MCV® 48AV
15 and Thermo Scientific® 42i-TL, respectively; in TC:ML® 9810B and ML® 9841, respectively).
16 Simultaneous measurements with passive samplers and active monitors were used to estimate
17 mean experimental sampling rates, which were applied to calculate atmospheric concentrations.
18 The experimental sampling rates obtained in LC were employed in CB and CA calculations as
19 well, after checking the similarity with concentrations registered at the closest air quality
20 monitoring stations.

21 Using the data from the active monitors, accumulated O₃ exposure was calculated as AOT40,
22 which is the accumulated amount of hourly O₃ concentrations over the threshold value of 40 nl l⁻¹.
23 Following the Ambient Air Quality Directive 2008/50/EC, AOT40 was calculated for the period
24 May–July with the hourly mean values from 8 to 20 hours. Additionally, following the
25 recommendations from the Convention on Long-range Transboundary Air Pollution (CLRTAP
26 2011), AOT40 was calculated for the entire year (the growing season for *Q. ilex*) during daylight
27 hours.

28 **2.3. Particulate matter sampling**

29 Particulate matter with diameter up to 10 µm (PM₁₀) was collected with 150 mm quartz micro-
30 fibre filters (2500 QAO-UP, Pall Life Sciences) using high volume samplers installed in open-
31 field plots of TC, CA and LC sites (Digitel® DH80 in LC -MSY monitoring station; MCV® CAV-
32 A/mb in TC and CA). Samples were collected from February 2012 to February 2013 once a
33 week, using a flow of 30 m³ h⁻¹ during 24-h periods. The day of the week for PM₁₀ collection
34 changed weekly. The concentration was gravimetrically determined and main secondary
35 inorganic aerosols (SO₄²⁻, NO₃⁻ and NH₄⁺) were water-extracted and analysed by ion

1 chromatography. For statistical comparison purposes with gaseous pollutant concentrations, PM_{10}
2 data were grouped and averaged in accordance to passive sampling periods (except for the
3 comparison of the natural dust events with the rest of the samples).

4 **2.4. Statistical analysis**

5 Non-parametric statistics was selected for this study because most of the variables did not show a
6 normal distribution according to Shapiro-Wilk test and normal probability plots. Differences
7 among seasons or sites were analysed using the Kruskal-Wallis test; when significant differences
8 were found, differences between pairs of sites were assessed with the Mann-Whitney U test.
9 Correlation between variables was tested with the Spearman rank order correlation coefficient.
10 Differences in pollutant concentration between O and F plots were analysed by applying the
11 Wilcoxon matched pair test to the entire sampling period. The temporal variability is described in
12 this study by the coefficient of variation ($CV = \text{standard deviation} / \text{mean}$) of the two-week
13 concentrations for the entire study period. The variability of the duplicate passive samplers for
14 each air pollutant is also described by their respective CV. In this work, seasons were considered
15 as periods of three consecutive months, beginning on 1st January. Statistica software (version 12;
16 StatSoft, Tulsa, OK) was used for statistical analysis. Alfa level was set at 0.05.

17 **3. Results**

18 **3.1. Temporal and spatial patterns of gaseous pollutants**

19 Seasonal and annual pollutant concentrations and differences among sites are described below
20 based on concentrations in the O plots (Fig. 2; Table 2).

21 The annual mean of atmospheric NO_2 concentration ranged from $4.3 \mu\text{g m}^{-3}$ in LC to $16.2 \mu\text{g m}^{-3}$
22 in CB (Table 2). The highest two-week concentration reached 39.3 and $37.1 \mu\text{g m}^{-3}$ registered in
23 CB and TC respectively during the winter 2012 (Supplement, S1). On average for the four sites,
24 temporal variability of NO_2 concentration was 53%. Levels of NO_2 tended to peak during the
25 coldest seasons (autumn and winter). Significant seasonal differences were detected in the sites
26 closest to the big cities of Barcelona and Madrid (CB and TC). LC experienced the lowest
27 concentrations and the lowest inter-seasonal variability (Fig. 2).
28

29 Atmospheric NH_3 concentration (Table 2) was the highest in CA ($2.5 \mu\text{g m}^{-3}$) and the lowest in
30 TC and LC ($0.7 \mu\text{g m}^{-3}$). The maximum two-week value ($5.3 \mu\text{g m}^{-3}$) was recorded in CA during
31 late winter (Supplement, S2). The temporal variability showed a mean of 55% across sites. A
32 consistent seasonal pattern was found in TC, where NH_3 concentration increased during spring
33 and summer and decreased during autumn and winter (Fig. 2; Supplement, S2). LC showed a
34 similar seasonal pattern but differences were not statistically significant ($p = 0.06$). On the
35 contrary, in CB and CA, the highest seasonal concentrations occurred in winter.

1 The concentration of HNO_3 tended to be higher in the sites closest to the Mediterranean coast
2 (CB and LC), but differences among sites were not statistically significant (Table 2). The
3 maximum two-week concentrations found in CB and LC (14.5 and $13.9 \mu\text{g m}^{-3}$ in summer of
4 2012, respectively) were twice the maximum values found in TC and CA (Supplement, S3). The
5 temporal variability in HNO_3 concentration was higher than the variability found for the other air
6 pollutants, with an average value of 110%. A general seasonal pattern was detected in HNO_3
7 concentrations, with higher values during spring and summer and lower values in autumn and
8 winter (Fig. 2).

9 The annual mean of atmospheric O_3 concentrations (Table 2) were significantly lower in the sites
10 closest to the big cities of Barcelona and Madrid ($57.0 \mu\text{g m}^{-3}$ in CB and $69.1 \mu\text{g m}^{-3}$ in TC) than
11 in the more rural ones ($77.4 \mu\text{g m}^{-3}$ and $78.2 \mu\text{g m}^{-3}$ in CA and LC, respectively). Ozone was the
12 air pollutant showing the smallest temporal variability with a mean value of 32%. All sites
13 showed similar seasonal patterns with higher O_3 concentration during spring and summer than in
14 autumn and winter (Fig. 2). Ozone exposure accumulated during May-July expressed as AOT40
15 ranged from 3.9 ppm h in CA in 2011 to 28.3 ppm h in TC in 2012 (Table 3). When accumulating
16 O_3 exposure throughout the growing season, AOT40 values ranged from 8.2 ppm h in CA in
17 2011 to 49.6 ppm h in TC in 2012 (Table 3).

18 **3.2. Temporal and spatial patterns of particulate matter**

19 The concentration of PM_{10} was higher in CA and TC than in LC (Table 2), although differences
20 were only significant between CA and LC, which showed the lowest annual concentration (18.0
21 $\mu\text{g m}^{-3}$). Temporal variability in PM_{10} concentrations was 50% on average for the three sites.
22 Significant seasonal variations were found in TC and LC, with the highest PM_{10} concentrations
23 registered in summer and the lowest in autumn (Fig. 3A). Saharan dust events represented 10% of
24 the total amount of samples, and occurred more frequently during the summer season. In the three
25 sites, the highest 24h-concentrations of PM_{10} (up to $126.4 \mu\text{g m}^{-3}$) were collected during these
26 natural dust events, generally doubling the levels found in the rest of the samples (Fig. 3B).

27 Regarding SIA composition, no differences among sites were found in particulate ammonium
28 (NH_4^+), while particulate nitrate (NO_3^-) was significantly the highest in CA (Table 2). Apparently,
29 Saharan dust intrusions did not affect the NH_4^+ and NO_3^- concentration in PM_{10} (data not shown).
30 The atmospheric concentration of both water-soluble nitrogen aerosols showed a marked
31 seasonality, with higher values detected in winter than in the rest of seasons (Figs. 3C and 3D).
32 However, only for NO_3^- in CA and LC, these differences were statistically significant. Gaseous
33 nitrogen forms generally predominated over the particulate forms, particularly in spring and
34 summer (Figs. 3E and 3F). However, NO_3^- clearly predominated over HNO_3 during winter in TC
35 and CA and during autumn in LC, and NH_4^+ predominated over NH_3 during winter in TC.

1 Additionally, no seasonal variations were recorded in ammonium gas/particle ratio in CA (Fig.
2 3F).

3 **3.3. Differences in gaseous pollutant concentrations between open-field and below-canopy** 4 **plots**

5 Below-canopy concentrations of gaseous pollutants were, in general, smaller than levels found in
6 the open-field plots (Fig. 4). These differences were more remarkable for NH₃, which showed an
7 annual mean concentration in F plots 40% lower than in the O plots in average for the four sites
8 (56% in LC, and 29–38% in the peri-urban forests). In the case of NO₂, differences were not
9 significant in CB, while the concentrations were significantly lower in the F plots in the rest of
10 sites (41% in CA, 13% in TC and 6% in LC). For HNO₃, the reduction detected inside the forest
11 was significant in TC and CA, showing average concentrations 11–13% lower in the F plot
12 compared to the O plot. Ozone concentrations were significantly lower inside the forests in TC
13 and LC (annual mean difference of 7% and 5%, respectively).

14 The reduction of air pollutant concentrations inside the forest showed few evident seasonal
15 patterns. Nitrogen dioxide experienced the highest decrease in concentrations below-canopy
16 (Supplement, S1) during autumn and winter in TC and CA (none and 34% on average for both
17 seasons, respectively), while in LC this difference was larger in spring (18%). The differences in
18 NH₃ levels were consistent most of the time (31% on average; Supplement, S2), although smaller
19 during the summer in the three peri-urban forests. Regarding HNO₃ (Supplement, S3), differences
20 between forest and open plots were slightly higher during spring and autumn in TC and CA (24%
21 in both sites, averaged for both seasons). The reduction of O₃ concentrations inside the forest
22 resulted slightly larger during summer and autumn (8% in TC and 7% in LC, averaged for both
23 seasons; Supplement, S4).

24 **3.4. Correlation analysis of pollutant concentrations and meteorology**

25 Atmospheric concentrations of NO₂ were poorly correlated with meteorological variables, with
26 the exception of TC site, where NO₂ levels were negatively correlated to temperature, daily solar
27 radiation and wind speed, and positively correlated to relative humidity. In the rest of sites, NO₂
28 concentrations were negatively correlated with precipitation in CB and LC, and with wind speed
29 in CA (Table 4). In the case of NH₃ concentrations, no correlation was found in CA. In the other
30 sites, relative humidity was negatively correlated to NH₃ concentration, while temperature and
31 daily solar radiation were positively correlated in TC and LC, and negatively in CB.
32 Concentrations of HNO₃ and O₃ were positively correlated with temperature and daily solar
33 radiation, and negatively with relative humidity in all sites. Besides, HNO₃ and O₃ concentrations

1 showed a positive correlation with wind speed in TC and CA, and a negative correlation with
2 precipitation in TC (Table 4).

3 The concentrations of PM₁₀ were negatively correlated with precipitation in TC and CA and
4 positively with solar radiation and temperature in TC and LC. In TC, PM₁₀ was also negatively
5 correlated with humidity. Besides, PM₁₀ was negatively correlated with wind speed in LC.
6 Particulate nitrate was negatively related to temperature and solar radiation only in CA. NH₄⁺
7 concentrations did not show important correlations with meteorological variables. Particulate
8 SO₄²⁻ was positively correlated to temperature and solar radiation and negatively with wind speed
9 only in LC (Table 4).

10 No significant correlations among gaseous pollutant were found in CA. In the other sites, O₃ and
11 HNO₃ concentrations were positively correlated (Table 4). In TC, O₃ was also negatively
12 correlated to NO₂ and NH₃ was positively correlated to O₃ and HNO₃. Particulate NH₄⁺
13 concentration was correlated with particulate NO₃⁻ in the three sites, and with SO₄²⁻ in CA and
14 LC. However, NH₄⁺ was not correlated with NH₃ in any of the sites. Particulate nitrate was
15 positively related to NO₂ in TC and CA, and negatively correlated with HNO₃ only in CA (Table
16 4). Ammonia and HNO₃ concentrations were positively correlated to PM₁₀ in TC and LC. Finally,
17 scarce significant correlations with meteorological variables were found for the below-canopy
18 reductions of atmospheric pollutant concentrations (data not shown).

19

20 **4. Discussion**

21 **4.1. Air pollution affecting peri-urban forests**

22 The annual mean of atmospheric NO₂ concentrations decreased from CB to LC (from 16.2 to 4.3
23 µg m⁻³), indicating an order of influence of urban and traffic emissions (CB > TC ≥ CA > LC).

24 The levels of NO₂ in the three peri-urban forests (CB, TC and CA) were in the range of values
25 recorded in suburban background monitoring stations in 2012 (AirBase v8 dataset; EEA 2014).

26 Therefore, suburban stations might be considered representative of NO₂ concentration registered
27 in peri-urban forests. Concentrations of NO₂ in the three peri-urban forests followed the expected
28 seasonal pattern of monitoring stations influenced by urban emissions, with highest values
29 recorded during autumn and winter. This seasonal pattern is associated with increasing emissions
30 due to urban combustion for heating purposes and with the lower photochemical intensity during
31 the cold season (Karanasiou et al. 2014). The decrease of NO₂ with wind speed in TC and CA
32 pointed to a higher influence of local sources rather than regional contribution. Similar results
33 have been reported in other Mediterranean urban sites (Karanasiou et al. 2014). An analogous
34 response would be expected at CB, but the higher urban density around the site and the lower
35 wind speed (annual mean of 0.8 m s⁻¹) could be impairing pollutant dispersion. The forest site in

1 LC was more representative of background NO₂ concentrations, since the annual mean was close
2 to the average value of 3.7–3.5 µg m⁻³ recorded in background stations in Spain in 2011 and 2012
3 respectively (MAGRAMA 2014). Moreover, NO₂ concentrations in LC did not show clear
4 seasonal variations, demonstrating the lack of influence of urban emissions. After adding the
5 estimated NO concentration (from the active monitors), none of the sites are expected to reach the
6 critical level for the protection of vegetation (30 µg m⁻³, as annual mean) established in the
7 European Air Quality Directive.

8 The annual mean of NH₃ concentrations in CB, TC and LC were low and similar to the levels
9 recorded in Spanish background stations (0.9 µg m⁻³ in 2012; Hjellbrekke 2014). These values
10 were lower than concentrations measured in urban backgrounds of their respective closest cities
11 (1.7 µg m⁻³ in Madrid and 7.3 µg m⁻³ in Barcelona; Reche et al. 2014), and far from levels
12 registered in regions with intensive farming or livestock (up to 60 µg m⁻³; Fowler et al. 1998;
13 Pinho et al. 2012). The higher concentrations found in CA (annual mean of 2.5 µg m⁻³) probably
14 is related to the presence of livestock in the nearby area. The seasonal pattern of NH₃
15 concentrations in TC and LC, with higher values during spring and summer, could be explained
16 by an increasing volatilisation and emission of NH₃ from biological sources under warm
17 conditions. In the case of CB, the highest values recorded in autumn and winter might be related
18 to the emissions of NH₃ from an industrial area 6.5 km west of CB. Concentrations of NH₃ at this
19 site were significantly correlated with west winds ($p < 0.01$; data not shown), the most frequent
20 wind in autumn and winter. The winter maxima NH₃ levels in CA were in agreement with the
21 fertilization practices of cereal crops in the region during this season. Since the annual mean of
22 NH₃ concentrations did not exceed the 3 µg m⁻³ critical level proposed for the protection of higher
23 plants in any of the sites, these forests are not expected to experience relevant ammonia pollution
24 effects (CLRTAP 2011). Moreover, the critical level of 1 µg m⁻³ for the protection of lichens and
25 bryophytes (Cape et al. 2009; CLRTAP 2011) was only exceeded in CA.

26 No significant differences in HNO₃ annual concentration were detected among the sites included
27 in this study. The concentrations of HNO₃ in the three peri-urban forests were in the range of
28 values found in other peri-urban areas in the Mediterranean region (summer values of 2.8–4.2 µg
29 m⁻³; Danalatos and Glavas 1999) and higher than in urban sites (yearly averaged values of 0.8–1.5
30 µg m⁻³; Anatolaki and Tsitouridou 2007; Tzani et al. 2009). However, even the highest
31 concentrations were below the values reported in forested areas of San Bernardino Mountains in
32 Southern California, where topography, climate and emissions linked to high population favour
33 HNO₃ formation (Bytnerowicz and Fenn 1996; Jovan et al. 2012). The typical higher HNO₃
34 values recorded during spring and summer in the study sites can be explained by the
35 photochemical origin of this pollutant (Bytnerowicz et al. 2010; Tzani et al. 2009). In this sense,
36 positive correlations between solar radiation and HNO₃ concentration were found for all the sites.

1 The highest levels were found in LC, which must respond to pollutant-transport mechanisms
2 rather than to an in-situ formation of HNO₃, since this is a rural site with low concentration of
3 NO₂ (chemical precursor of HNO₃). In fact, ageing of air masses over the Iberian Peninsula and
4 recirculation along the Mediterranean coast have been reported as processes increasing levels of
5 oxidants, acidic compounds, aerosols and ozone (Escudero et al. 2014; Millán et al. 2002).
6 Although very little information is available on direct effects of HNO₃ on vegetation, the
7 concentrations found in this study are much lower than the levels reported for epicuticular
8 damage (Padgett et al. 2009).

9 The annual mean concentration of O₃ increased from CB to LC, following an opposite order of
10 urban influence to the one found for NO₂ concentration. A similar behaviour has been described
11 in other studies around cities in the Mediterranean area (Domínguez-López et al. 2014; Escudero
12 et al. 2014). CB showed an annual mean similar to values found in 2012 in Spanish suburban
13 areas, while the other sites showed values clearly typical of rural areas (means of 59.0 and 67.8 µg
14 m⁻³, respectively; EEA 2014). Ozone concentrations in the peri-urban forests showed the typical
15 seasonal variations with higher levels during spring and summer, responding to the sum of the
16 hemispheric-scale spring maximum, the increased photochemical production and transport
17 processes, as well as the above mentioned ageing of air masses and recirculation (Cristofanelli
18 and Bonasoni 2009; Millán et al. 2002). In fact, ozone concentrations were significantly
19 correlated with temperature and solar radiation. Besides, the emission of biogenic volatile organic
20 compounds (BVOCs) by vegetation is known to be correlated with temperature, and can
21 exacerbate photochemical reactivity, and thus O₃ formation (Calfapietra et al. 2013). All the
22 calculated AOT40 values were above the concentration-based O₃ critical level proposed by the
23 CLRTAP for protecting forest trees (5 ppm h for the growing season; CLRTAP 2011). The
24 threshold levels for the protection of vegetation established in the European Directive 2008/50/EC
25 (9 ppm h for the period May–July) were also overreached, with the exception of CB site in 2011.
26 Moreover, experimental values of AOT40 similar to those found in this study have been proved to
27 cause a decrease of growth in seedlings of *Q. ilex* (Alonso et al. 2014; Gerosa et al. 2015).

28 In the two peri-urban forests with aerosol measurements (TC and CA), the annual mean
29 concentrations of PM₁₀ were close to the urban background levels measured in Spanish big cities
30 in 2012 (mean of 26 µg m⁻³; MAGRAMA, 2014), and well above the values measured in Spanish
31 background stations (12.9 µg m⁻³; Hjellbrekke 2014). On the other hand, concentrations of
32 particulate NO₃⁻ and NH₄⁺ were similar to the national background levels in TC (1.2 µg NO₃⁻ m⁻³,
33 and 0.4 µg NH₄⁺ m⁻³; Hjellbrekke 2014), but almost double in CA. The increased concentration of
34 NO₃⁻ and NH₄⁺ in CA could respond to the elevated NH₃ concentration caused by agricultural
35 activities, which, combined with the low temperatures, facilitates the formation and stability of
36 ammonium nitrate (NH₄NO₃). Moreover, at this site, NO₃⁻ and HNO₃ showed a negative

1 correlation, suggesting the existence of conversion of one into the other. The seasonality in PM₁₀
2 is in agreement with previous studies that attributed the higher summer concentrations to low
3 precipitation, high resuspension, photochemical oxidation and higher frequency of Saharan dust
4 outbreaks (Escudero et al. 2005; Querol et al. 2008; Rodríguez et al. 2002). Interestingly, the
5 natural events of Saharan dust did not modify NO₃⁻ and NH₄⁺ concentrations. The seasonality
6 observed on particulate N compounds was more related with the thermal instability of NH₄NO₃,
7 pointing out the importance of temperature-dependent processes within the SIA in the
8 Mediterranean region (Querol et al. 2008; Pey et al. 2009). Gaseous HNO₃ and NH₃ predominated
9 over particulate forms most of the year but aerosol fraction was important mainly during winter.
10 This seasonal variation in gas/aerosol ratios may have implications for N dry deposition
11 estimations and, therefore, should be further investigated. Little information is available on direct
12 effects of particles on vegetation and no threshold of aerosol concentration has been defined yet
13 for the protection of vegetation.

14 According to the established thresholds and the available scientific evidences, the results indicate
15 that O₃ is the only air pollutant considered in this work which is expected to have direct
16 phytotoxic effects on vegetation. The concentrations of N compounds seemed to be not high
17 enough to directly affect vegetation but could be contributing through atmospheric N deposition
18 to the eutrophication of these ecosystems. Moreover, although evergreen broadleaf Mediterranean
19 woody species are assumed to be tolerant to air pollution due to their sclerophyllic adaptations,
20 recent publications suggest that the addition and interaction of different stress factors (O₃, N
21 deposition, drought) can be affecting the growth of the trees (Alonso et al. 2014; Gerosa et al.
22 2015) and accompanying pastures (Calvete-Sogo et al. 2014). Thus, monitoring of nitrogen
23 compounds such as NH₃ and HNO₃ should be incorporated into air quality monitoring networks.

24 **4.2. Below-canopy reduction of atmospheric pollutant concentrations**

25 Air pollutant concentrations measured outside and inside the forest (O and F plots) were
26 compared to analyse the influence of vegetation in air quality. In general, the pollutants
27 considered showed lower concentrations inside the forests. Below-canopy reduction of NO₂
28 concentration in our study sites ranged from none in CB, to 41% in CA. This high reduction
29 detected in CA could be enhanced by the location of the sampling plots, which were at the same
30 distance, but on the opposite sides of a highway. As a result, the O and F plots were located
31 downwind and upwind from the highway, respectively, in relation to predominant winds
32 (Supplement, Figure S5). Statistically significant reductions of NO₂ concentrations inside holm
33 oak forests were found in TC and LC, with averaged values of 13% and 6%, respectively. These
34 reductions are comparable to (Grundström and Pleijel 2014) or higher than (Harris and Manning
35 2010; Setälä et al. 2013) values reported in similar empirical studies with deciduous forest

1 species. The larger differences in NO_2 levels in LC were detected during spring, the time when
2 holm oak forests usually show higher stomatal conductance (Alonso et al. 2008). Other authors
3 have reported that NO_2 deposition onto forest canopy is governed by plant stomatal aperture
4 (Chaparro-Suárez et al. 2011; Sparks 2009). This behaviour was not observed in TC and CA,
5 where the highest reductions were found during autumn and winter, suggesting that other
6 atmospheric and biogeochemical interactions could be implicated and need further research. In
7 this sense, the lack of below-canopy reduction in CB could not be explained by meteorological
8 variables or different pollutant exposure. Other authors have suggested that NO emissions from
9 forest soil in areas with high O_3 levels, could result in the formation of NO_2 below the canopy
10 (Harris and Manning, 2010; Fowler, 2002), diminishing the difference of NO_2 concentrations
11 between outside and inside the canopy. Since dry deposition of atmospheric pollutants depends
12 on multiple factors such as micrometeorology, spatial heterogeneity, plant structure and
13 physiology, and biochemical interaction, further research is needed to clarify the influence of
14 vegetation on air quality.

15 Below-canopy concentrations of NH_3 were on average 40% lower than in the open field,
16 suggesting that holm oak forests act as sinks of ammonia. This difference was relatively higher in
17 the most natural forest (56% in LC) than in the peri-urban ones (29–38%). Since NH_3 stomatal
18 fluxes are bi-directional, emission or deposition of NH_3 will occur depending on ecosystem N-
19 status, stomatal conductance, and the ratio between atmospheric and canopy NH_3 concentration
20 (Behera et al. 2013; Fowler et al. 2009). The below-canopy reductions of NH_3 were consistent
21 throughout most of the year, but smaller during the summer, a period of low plant physiological
22 activity in this type of forest. These results indicate a certain regulation of NH_3 fluxes by stomatal
23 uptake. However, NH_3 canopy retention was not the highest in spring, when plants usually
24 experience maximum stomatal conductance, thus other mechanisms must affect the overall
25 ammonia retention by the canopy in autumn and winter. Among other major drivers of
26 atmospheric NH_3 deposition into the canopy, leaf area density, and leaf surface wetness and
27 acidity can enhance the deposition onto the cuticles and epiphytic communities (Geiser et al.
28 2010; Massad et al. 2010).

29 The differences in HNO_3 concentration between O and F plots were only significantly detected in
30 TC and CA, with reductions of 11–13% on annual average. Among the N gaseous pollutants,
31 HNO_3 is supposed to have the highest surface deposition velocity due to its highly reactive and
32 soluble nature, which should lead to large rates of deposition onto leaf surfaces (Fowler et al.
33 2009). However, the rates of below-canopy HNO_3 reduction are similar to those of NO_2 in TC
34 and LC, and lower than those of NH_3 . No clear seasonal patterns were found in the below-canopy
35 reduction of HNO_3 concentrations that could indicate the main processes involved in HNO_3 dry
36 deposition in these forests.

1 In regards to O₃ concentrations, urban and peri-urban vegetation has been proposed as a strategy
2 to absorb O₃ and diminish atmospheric concentrations (Alonso et al. 2011; Kroeger et al. 2014).
3 In our study, O₃ levels were significantly reduced inside the forests in TC and LC with an average
4 decrease of 5–7%. The largest below-canopy reduction of O₃ concentration occurred in summer
5 and autumn, suggesting that stomatal uptake was not the only process involved in this decline,
6 since stomatal conductance is usually low during the summer in these forests due to drought
7 stress. Actually, non-stomatal O₃ deposition in holm oak forests has been reported to account up
8 to ca. 60 % of the total ozone flux (Fares et al. 2014). Surface wetness of the canopy and other
9 forest surfaces can enhance non-stomatal deposition of O₃ (Altimir et al. 2006). This process
10 could explain the higher reductions of O₃ detected during autumn, the wettest season in all the
11 sites. Besides, increased BVOCs emissions linked to high temperatures during the summer could
12 be favouring the photochemical production of O₃ (Calfapietra et al. 2013). This formation of O₃
13 should be more apparent in the open-field plots due to their higher insolation, increasing the
14 difference in O₃ concentrations between O and F plots during this season.

15

16 **5. Conclusions**

17 Peri-urban forests are exposed to air pollutants coming from both urban and rural activities.
18 Ozone concentrations around Spanish cities are high enough to directly impact peri-urban
19 vegetation. The concentrations of N compounds would not directly threaten vegetation, but could be
20 contributing, through atmospheric N deposition, to the eutrophication of these ecosystems.
21 Besides, the interaction of different stress factors (O₃, N deposition, drought) could be affecting
22 plant growth and ecosystem functioning. On the other hand, peri-urban forests of *Quercus ilex*
23 have proved to experience a significant below-canopy reduction of pollutant concentrations,
24 particularly of NH₃, but also of NO₂, HNO₃ and O₃. These results provide scientific evidence of
25 the ability of these ecosystems to improve air quality in urban agglomerations, but further
26 research is still needed to quantify the relevance of this ecosystem service. The high variability
27 found in this study across sites and seasons points that processes and environmental factors
28 involved in air pollution removal must be characterized in order to manage these forests for
29 improving air quality. Well-designed monitoring programs of urban and peri-urban forests could
30 accomplish both objectives of further investigate air quality improvement while assessing the
31 threat that air pollution can pose to vegetation.

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1 **Table 1.**Characterization of the study sites.

Site code	CB	TC	CA	LC
Site name	Can Balasc	Tres Cantos	Carrascal	La Castanya
Province (administrative unit)	Barcelona	Madrid	Navarra	Barcelona
Type of site	Peri-urban	Peri-urban	Peri-urban	Rural
Altitude (m)	255	705	592	696
Longitude	2° 04' 54" E	3° 43' 59" O	1° 38' 40" O	2° 21' 29" E
Latitude	41° 25' 47" N	40° 35' 17" N	42° 39' 13" N	41° 46' 47" N
Mean annual temperature (°C) ¹	15.2	14.6	12.3	13.7
Mean annual rainfall (mm y ⁻¹) ¹	652	348	645	812
Distance to the nearest big city (km)	4	9	15	40
Population of the nearest big city (million inhabitants)	1.6	3.2	0.20	1.6
Distance to the nearest highway (km)	0.15	1.5	0.05	16
Average daily flow in the nearest road (thousand vehicles day ⁻¹) ²	40-50	50-60	20-30	20-30
Agricultural land-use cover ³	23%	21%	62%	23%
Artificial land-use cover ³	35%	28%	3.1%	7.6%
Livestock density (LU km ⁻²) ⁴	14.5	13.7	26.9	88.8

2 ¹ : Mean values calculated for the study period.

3 ²: Values for 2012 from the Spanish Ministry of Development (<http://www.fomento.gob.es/>).

4 ^{3,4}:From the Corine Land Cover 2006 (<http://www.eea.europa.eu/data-and-maps/data/corine-land-cover-2006-raster-3>) and the Spanish National Statistic Institute (<http://www.ine.es>), respectively, using a buffer of 25 km radius around the sampling sites.

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1 **Table 2.** Basic statistics of the monitored pollutant concentrations in open-field plots for
 2 the entire monitoring periods.

	SITE	MEAN	MIN. – MAX.	CV
NO₂ ($\mu\text{g m}^{-3}$)	CB	16.2 \pm 1.0 a	5.7 – 39.3	42%
	TC	11.1 \pm 1.1 b	3.8 – 37.1	71%
	CA	10.6 \pm 0.7 b	4.4 – 26.0	45%
	LC	4.3 \pm 0.3 c	0.8 – 9.4	52%
NH₃ ($\mu\text{g m}^{-3}$)	CB	1.0 \pm 1.0 b	0.3 – 2.6	53%
	TC	0.7 \pm 0.1 c	0.1 – 1.7	60%
	CA	2.5 \pm 0.2 a	0.6 – 5.3	47%
	LC	0.7 \pm 0.1 c	0.1 – 1.7	59%
HNO₃ ($\mu\text{g m}^{-3}$)	CB	2.7 \pm 0.6	0.0 – 14.5	134%
	TC	1.5 \pm 0.2	0.0 – 6.4	73%
	CA	2.3 \pm 0.3	0.3 – 9.7	98%
	LC	3.3 \pm 0.7	0.0 – 13.9	134%
O₃ ($\mu\text{g m}^{-3}$)	CB	57.0 \pm 2.4 c	10.8 – 86.1	30%
	TC	69.1 \pm 2.9 b	28.7 – 101.4	30%
	CA	77.4 \pm 4.7 a	25.3 – 122.3	32%
	LC	78.2 \pm 3.2 a	34.9 – 117.3	29%
PM₁₀ ($\mu\text{g m}^{-3}$)	TC	23.0 \pm 3.2 ab	5.2 – 61.0	67%
	CA	26.9 \pm 2.6 a	6.8 – 49.2	41%
	LC	18.0 \pm 1.5 b	4.8 – 32.8	41%
NO₃⁻ ($\mu\text{g m}^{-3}$)	TC	1.3 \pm 0.4 b	0.1 – 8.1	129%
	CA	2.2 \pm 1.5 a	0.5 – 8.8	99%
	LC	1.1 \pm 0.2 b	0.2 – 4.2	80%
NH₄⁺ ($\mu\text{g m}^{-3}$)	TC	0.6 \pm 0.1	0.2 – 2.7	54%
	CA	0.9 \pm 0.2	0.3 – 3.7	97%
	LC	0.5 \pm 0.1	0.0 – 1.6	71%
SO₄²⁻ ($\mu\text{g m}^{-3}$)	TC	1.2 \pm 0.2 b	0.1 – 4.2	70%
	CA	1.9 \pm 0.2 a	0.8 – 3.7	48%
	LC	1.7 \pm 0.2 a	0.4 – 3.3	52%

3 Mean: arithmetic mean \pm standard error. Min. – Max.: Minimum and maximum two-
 4 week values. CV: coefficient of variation, representing the temporal variability. Different
 5 letters indicate significant differences ($p < 0.05$) between sites. The absence of letters
 6 indicates no significant differences.

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1 **Table 3.** Ozone exposure expressed as AOT40 for years 2011 and 2012, following
 2 criteria from the Convention on Long-range Transboundary Air Pollution (CLRTAP) and
 3 the Ambient Air Quality Directive 2008/50/EC.

AOT40 (ppm h)				
SITE	CLRTAP (Jan–Dec)		Directive 2008/50/EC (May–July)	
	2011	2012	2011	2012
CB	8.2	18.8	3.7	9.4
TC	31.8	49.6	17.4	28.3
CA	32.6	32.3	15.5	16.5
LC	27.3	34.9	12.5	18.3

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1 **FIGURE CAPTIONS**

2 **Fig. 1** Distribution of *Quercus ilex* habitats in Spain, and location of the study sites. LC: La
3 Castanya (Barcelona); CB: Can Balasc (Barcelona); CA: Carrascal (Navarra); TC: Tres Cantos
4 (Madrid).

5 **Fig. 2** Seasonal mean concentration of atmospheric pollutants in the open-field (O) plots of the
6 four study sites and standard error of the mean. Different letters indicate significant differences
7 among seasons.

8 **Fig. 3** Seasonal mean concentrations of aerosols and standard errors, and ratios of particulate to
9 gaseous pollutants in the three aerosol monitoring sites. A) PM₁₀ concentration; B) PM₁₀
10 concentration for measurements during Saharan dust events compared with the rest of the
11 samples; C) particulate nitrate concentrations; D) particulate ammonium concentrations; E)
12 concentrations ratios of nitric acid and particulate nitrate, expressed as percentage of the sum of
13 both compounds; F) concentrations ratios of ammonia and particulate ammonium, expressed as
14 percentage of the sum of both compounds. Different letters indicate significant differences
15 between seasons. One outlier value (CA, spring) was removed from the graphs C–F.

16 **Fig. 4** Mean concentration of pollutants in O plots (open field) and F plots (below canopy), and
17 standard error of the mean. Significance of the Wilcoxon matched pairs test: *: $p < 0.05$; **: $p <$
18 0.01 ; ***: $p < 0.001$.

1 Fig. 1

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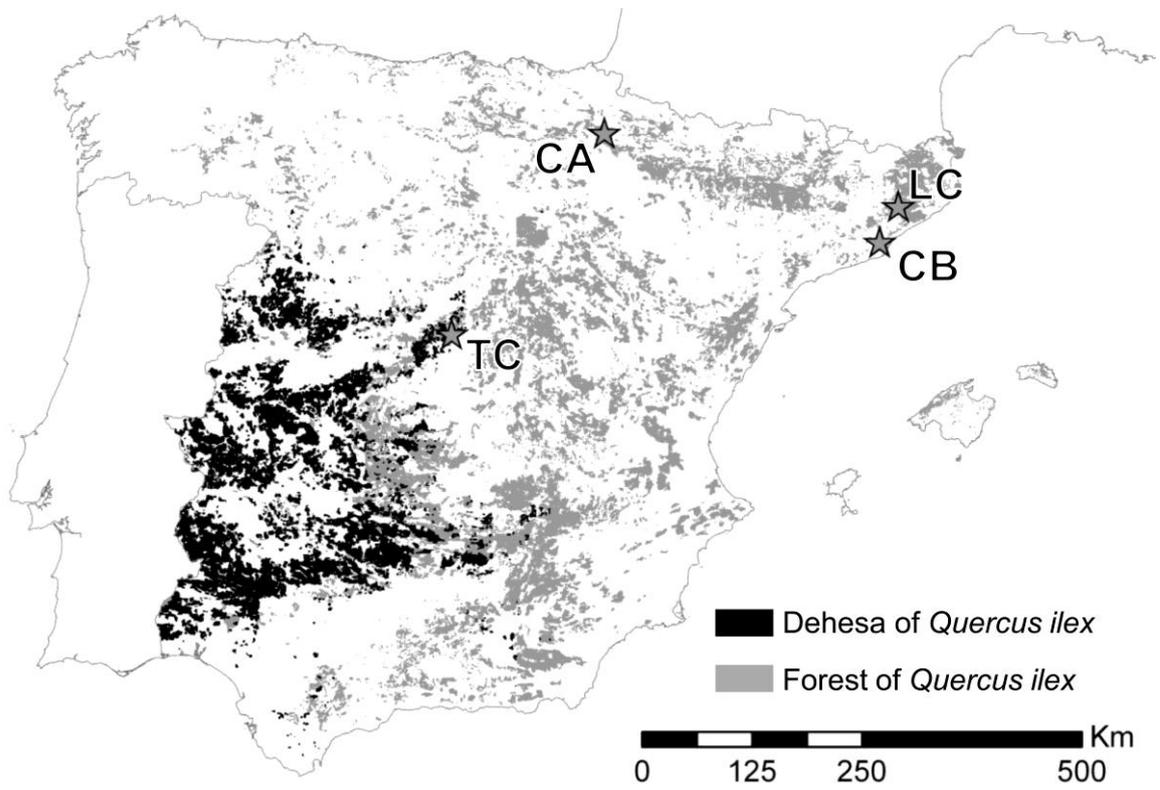
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1 Fig. 2

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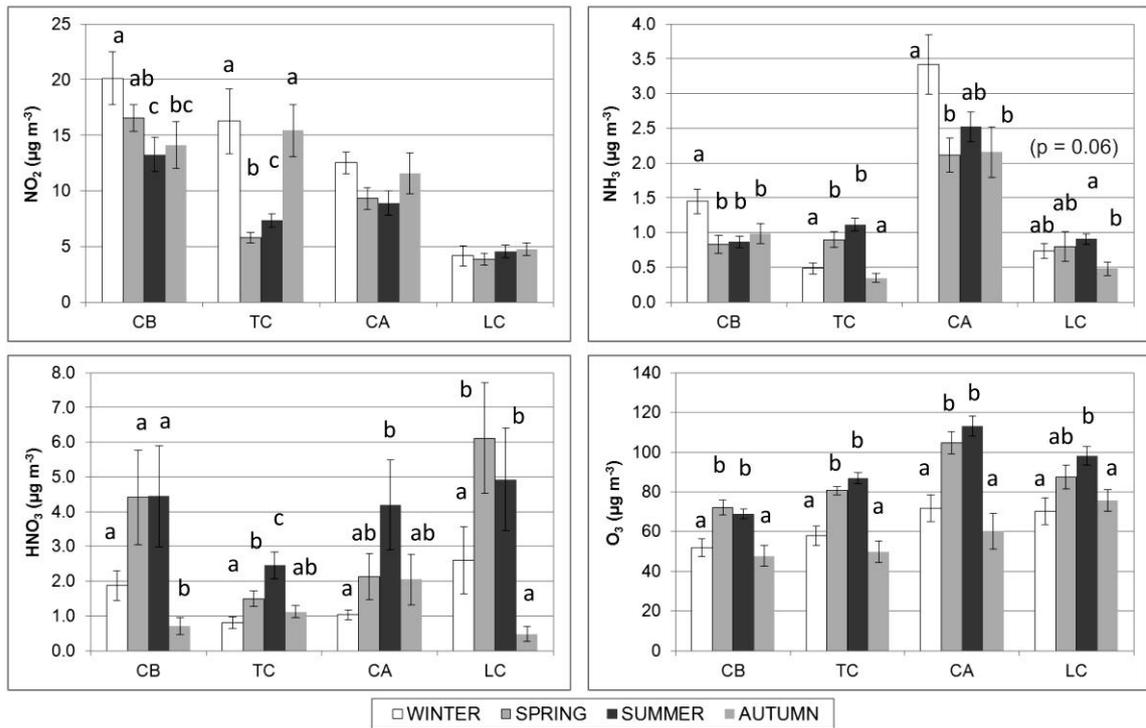
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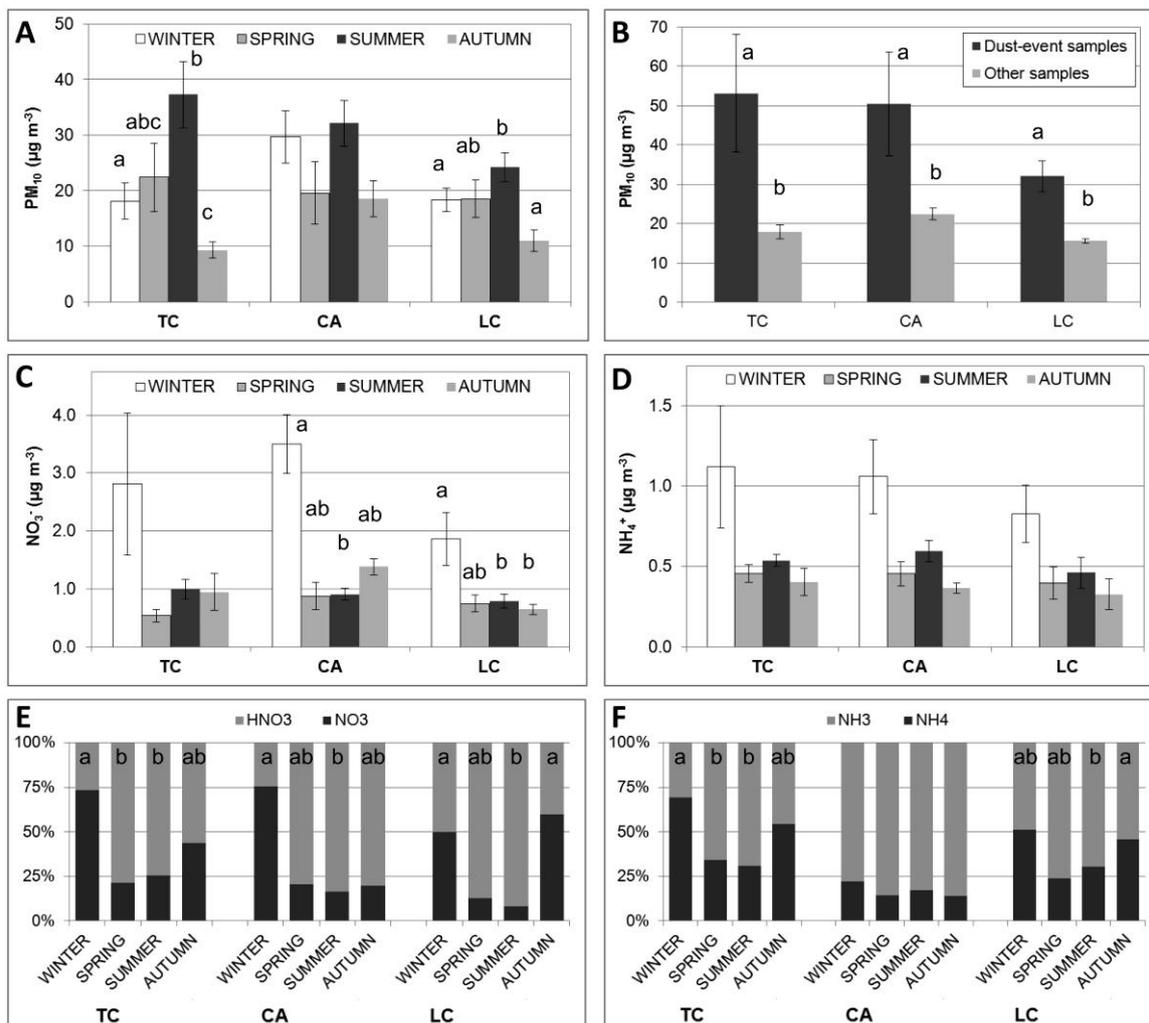
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1 Fig. 3

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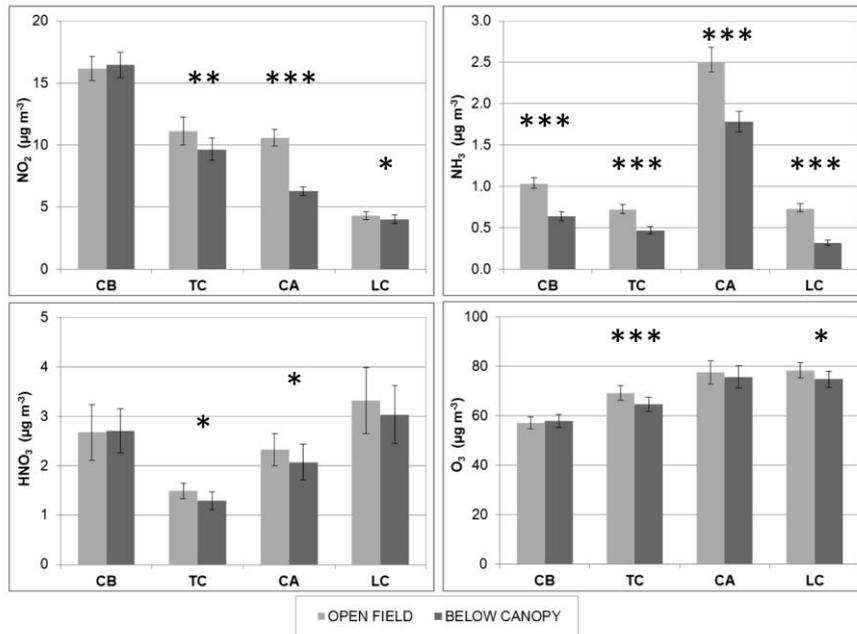
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1 Fig. 4

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