

STATE DIAGRAM FOR OPERATORS WITH NULL SPACE OR
CONULL SPACE IN AN IDEAL OF BANACH SPACES

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1.- Introduction

Let B be the class of all Banach spaces; the scalar field K is either the real field or the complex field. All operators acting between Banach spaces which appear in this article are supposed to be linear. For $X, Y \in B$, $\mathcal{L}(X, Y)$ is the space of all operators from X into Y , the class of all operators from X into Y with dense domain is denoted by $\mathcal{L}_D(X, Y)$, I_X denotes the identity operator on X , J_X is the embedding map of X into X'' , and $X \subset_Q Y$ means that X is a quotient space of Y . For $T \in \mathcal{L}(X, Y)$, $D(T)$, $N(T)$ and $R(T)$ will denote the domain, null space and range of T respectively, and we also write $CON(T) := Y/R(T)$, $\overline{CON}(T) := Y/\overline{R(T)}$, while $\alpha(T)$, $\beta(T)$ and $\overline{\beta}(T)$ will denote the dimension of $N(T)$, $CON(T)$ and $\overline{CON}(T)$ respectively. We shall consider

$$\begin{aligned} \mathcal{NS}(X, Y) &:= \{T \in \mathcal{L}(X, Y) : T \text{ is normally solvable}\} \\ L(X, Y) &:= \{T \in \mathcal{L}(X, Y) : T \text{ is bounded}\} \end{aligned}$$

Let A be an ideal of Banach spaces. For informations and notations about operator ideals and space ideals we refer to [5]. We consider the ideals, S , R or F , the ideals of all separable, reflexive or finite dimensional Banach spaces respectively.

Some notations will be used without explanation because their meaning is obvious.

In this paper we obtain a state diagram of a linear operator with dense domain between Banach spaces and its conjugate operator, and we prove that this diagram is complete.

2. "GENERALIZED" CLASSIFICATION OF (T, T') : STATE DIAGRAM

2.1. THEOREM. Let A be an ideal and $T \in \mathcal{L}_D(X, Y)$. Then:

- (i) $\alpha(T') = \bar{\beta}(T)$, $\alpha(T) \leq \bar{\beta}(T')$; in general the inequality is strict. If, in addition $T \in \mathcal{NS}$, then $\alpha(T) = \bar{\beta}(T')$.
- (ii) Let A be a completely symmetric ideal, then:
- (ii₁) $N(T') \in A$ if and only if $\overline{\text{CON}}(T) \in A$
- (ii₂) $T \in \mathcal{NS}$: $N(T) \in A$ if and only if $\text{CON}(T') \in A$.
- (ii₃) Suppose A surjective, if $\overline{\text{CON}}(T') \in A$ then $N(T) \in A$.

For arbitrary ideals, the properties are not valid, in general.

Proof. (i) It is an obvious consequence of the duality relations,

$$\overline{\text{CON}}(T)' \simeq \overline{R(T)}^\circ = N(T'),$$

$$N(T)' = X'/N(T)^\circ = (X'/\overline{R(T')})/((N(T)^\circ/\overline{R(T')}) \subset_q \overline{\text{CON}}(T')$$

To see that, in general, the inequality $\alpha(T) \leq \bar{\beta}(T')$ is strict we define $T \in \mathcal{EL}(1)$ by $T(\alpha_1, \alpha_2, \dots, \alpha_n, \dots) = (0, \alpha_1, 2^{-1} \alpha_2, \dots, n^{-1} \alpha_n, \dots)$, $(\alpha_n) \in 1$. Its conjugate operator is $T'(\beta_n) = (n^{-1} \beta_{n+1})$, $(\beta_n) \in 1_\infty$. It is obvious that $N(T) = \{0\}$, $1_\infty / c_0 \subset_q \overline{\text{CON}}(T')$ since $R(T') \subset c_0$. Clearly $\overline{\text{CON}}(T') \not\subset R$ since if $1_\infty / c_0 \in R$ then c_0 has a subspace isomorphic to 1_∞ ; that contradicts $c_0 \in \mathcal{S}$ and $1_\infty \notin \mathcal{S}$.

(ii₁) It suffices to notice that $N(T)' \simeq \overline{\text{CON}}(T)'$ and that A is completely symmetric.

(ii₂) If $T \in \mathcal{NS}$ then $N(T)' \simeq \text{CON}(T')$.

(ii₃) Note that $N(T)' \subset_q \overline{\text{CON}}(T')$, A surjective and completely symmetric.

For arbitrary ideals, the above results are not guaranteed; for example, if $D = \{X \in B: J_X X \text{ is complemented in } X'\}$, T_1, T_2 the null maps on 1 , c_0 respectively, then

$$N(T_1) = 1_\infty \notin \mathcal{S}, \text{CON}(T_1) = 1 \in \mathcal{S}$$

$$N(T_2) = 1 \in D, \text{CON}(T_2) = c_0 \notin D,$$

$$N(T_1') = 1 \in \mathcal{S}, \text{CON}(T_1') = 1_\infty \notin \mathcal{S},$$

$$N(T_2') = c_0 \notin D, \text{CON}(T_2') = 1 \in D.$$

We now introduce the following classification of

$$T \in \mathcal{L}_D(X, Y).$$

- I : $\alpha(T) < \infty$.
- II : $\alpha(T) = \infty$ and $N(T) \in A$.
- III : $\alpha(T) = \infty$ and $N(T) \notin A$.
- 1 : $\bar{\beta}(T) < \infty$.
- 2 : $\bar{\beta}(T) = \infty$ and $\overline{\text{CON}}(T) \in A$.
- 3 : $\bar{\beta}(T) = \infty$ and $\overline{\text{CON}}(T) \notin A$.

By combining these possibilities we obtain nine different situations. This classification scheme may now be applied to the conjugate T' of T .

The properties of the (2.1) theorem on the language of the previous classification can be written as:

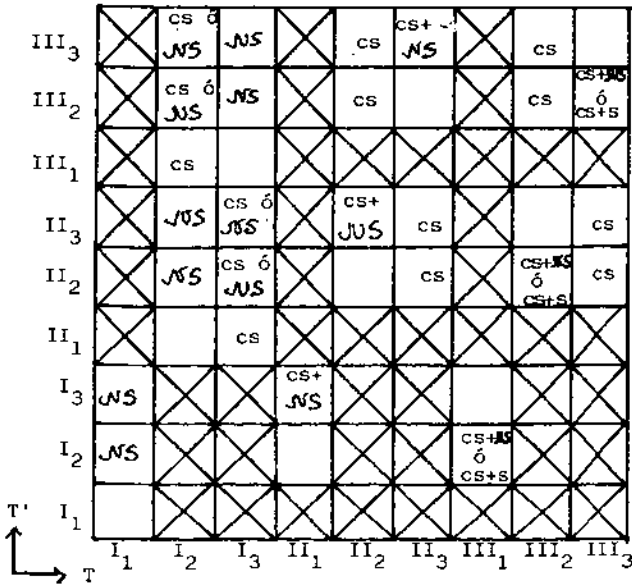
$$\begin{aligned} T' \in I &\Leftrightarrow T \in 1 \\ T \notin I &\Rightarrow T' \notin 1 \\ T \in NS : T \in I &\Leftrightarrow T' \in 1 \end{aligned}$$

A completely symmetric: $T' \in III \Leftrightarrow T \in 3$

A completely symmetric and surjective: $T \in III \Rightarrow T' \in 3$

A completely symmetric and $T \in NS$: $T \in III \Leftrightarrow T' \in 3$.

We shall proceed to construct a diagram. The shaded squares in the diagram correspond to states that are impossible by virtue of (2.1) theorem.



- cs : Impossible if A is completely symmetric
- cs + NS : Impossible if A is completely symmetric and $T \in NS$
- cs + s : Impossible if A is completely symmetric and surjective.
- NS : Impossible if $T \in NS$

We analyse if the diagram is complete, so, we prove that a procedure to construct state examples of $L(X, Y)$ in the Taylor-Haldberg classification, introduced in 1962 by Goldberg and Thorp [2], is valid for our classification.

If $E_1, E_2 \in B$ then the map

$$\Lambda : h \in (E_1 \times E_2)' \longrightarrow (h_1, h_2) \in E_1' \times E_2' \text{ where } h_1(x_1) := h(x_1, 0),$$

$$h_2(x_2) := h(0, x_2), x_i \in E_i, i = 1, 2 \text{ is an isomorphism, thus we can}$$

identify $E_1' \times E_2'$ to $(E_1 \times E_2)'$ through the formula

$$(\$) \quad (h_1, h_2)(x_1, x_2) := h(x_1, x_2) := h_1(x_1) + h_2(x_2) \in K \text{ where}$$

$$(x_1, x_2) \in E_1 \times E_2.$$

For $T_1 \in \mathcal{C}_D(X_1, Y_1), T_2 \in \mathcal{C}_D(X_2, Y_2)$ it is possible to identify

$T_1' \times T_2'$ to $(T_1 \times T_2)'$ by using the (\$) formula to consider

$(T_1' h_1, T_2' h_2)$ as an element of $(X_1 \times X_2)'$. Also it is clear that if we

define the product between two states of our classification by using the formula $(A_a, B_b) \times (C_c, D_d) := (\max(A, C)_{\max(a, c)}, \max(B, D)_{\max(b, d)})$

then the state of the operator $T_1 \times T_2$ is the product of the T_1 and T_2 states.

(2.2) THEOREM. The state diagram for (T, T') is complete.

Proof

(I_1, I_1) : Let T be the identity operator in X .

(I_1, I_2) : Let $A = \mathbb{R}, (x_i)_{i \in I}$ a normalized Hamel basis of $l_2(\mathbb{N}),$

$(e_i)_{i \in I}$ an orthonormal basis of $l_2(I)$. Define

$$T: D(T) \subset l_2(I) \longrightarrow l_2(\mathbb{N})$$

$$e_i \longrightarrow Te_i := x_i$$

where $D(T)$ is the linear span of the e_i 's. Clearly $D(T)$ is

dense in $l_2(I), R(T) = l_2(\mathbb{N})$ and $N(T) = \{0\}$.

Let $(e_{n_k})_{k \in \mathbb{N}} \subset C(e_i)_{i \in I}$ be a sequence of different vectors and $x_m^n = \sum_{k=1}^m e_{n_k} / k^2 \in D(T)$, $m \in \mathbb{N}$. Then $x_m^n \rightarrow x^n = \sum_{k=1}^{\infty} e_{n_k} / k^2$, $Tx_m^n \rightarrow y^n = \sum_{k=1}^{\infty} x_{n_k} / k^2 \in R(T)$ if $m \rightarrow \infty$; hence there exists $z^n \in D(T)$ such that $Tz^n = y^n$, moreover $x^n - z^n \neq 0$ since $x^n \notin D(T)$. Consequently for $y \in D(T')$ we have that $\langle x^n - z^n, T'y \rangle = 0$ thus $x^n - z^n \in R(T')^\circ$.

We can choose $(e_{n_k})_{k \in \mathbb{N}} \subset C(e_i)_{i \in I}$ disjoint sequences, thus for $n \in \mathbb{N}$ we obtain $(x^n - z^n)_{n \in \mathbb{N}} \subset R(T')^\circ$; moreover, $x^n - z^n$ are linearly independent, hence $\dim \overline{R(T')^\circ} = \infty$.

Clearly $I_2(I) / \overline{R(T')} \in R$.

- (I_1, I_3) : Let $A = R$ and T be the operator in (2.1) theorem
- (I_2, II_1) : Let A be completely symmetric, $X \in F$, $Y \in A - F$, T the null map from X into Y .
- (I_2, III_1) : Let A be non completely symmetric, $X \in F$, $Y \in A$, $Y' \notin A$, T the null map from X into Y
- (I_3, II_1) : Let A be non completely symmetric, $X \in F$, $Y \notin A$, $Y' \in A$, T the null map from X into Y
- (I_3, III_1) : Let A be completely symmetric, $M \subset X \notin A$, $M \in A$, $X/M \notin A$, T the inclusion from X into Y
- (II_1, I_2) : In the example (I_2, II_1) it suffices to replace T by the conjugate operator.
- (III_1, I_2) : In the example (I_2, III_1) it suffices to replace T by the conjugate operator
- (III_1, I_3) : In the example (I_3, III_1) it suffices to replace T by the conjugate operator.

We can obtain the remaining allowed states by application of the previous procedure.

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