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# Highly Efficient Pyridylpyrazole Ligands for the Heck Reaction. A Combined Experimental and Computational Study 

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#### Abstract

Several $\left[\mathrm{PdCl}_{2}(\mathrm{~L})\right]$ complexes, where L is a pyridylpyrazole ligand, have been used as pre-catalysts in the Heck reactions between phenyl halides and tert-butyl acrylate. The used ligands differ from each other in the substitution in N1. The best results are obtained when this substituent is a hydroxyethyl group and the corresponding complexes yield good results even for the reaction of chlorobenzene. Theoretical studies have shown that the presence of an OH group in the N 1 -substituent favors de $\mathrm{Pd}-\mathrm{X}$ dissociation, since it stabilizes the resulting cationic complex and the dissociation becomes thermodynamically favorable even in the absence of a coordinating solvent molecule.


## Introduction

The Heck reaction is one of the most widely used palladium-catalyzed reactions in organic synthesis. ${ }^{1-3}$ The reaction consists in the vinylation of aryl halides and it was first reported by Mizoroki and Heck in the early 1970s ${ }^{4}$ (Scheme 1). In the following decades, the chemical community has searched for active and stable palladium catalysts, which should be versatile and efficient. ${ }^{5-9}$

## Insert Scheme1

For several years, aryl bromides and iodides were preferably used as substrates in such reactions, because aryl chlorides are transformed very sluggishly by standard palladium catalysts, due to the strength of the $\mathrm{C}-\mathrm{Cl}$ bond. There has been a growing
interest in finding catalytic systems that can successfully catalyze cross-coupling reactions with aryl chlorides, ${ }^{10}$ since they are widely available, industrially important, and generally less expensive than their bromide and iodide counterparts. In the past few years, important advances have been done in this direction, with part of this success owed to the development of new palladium complexes which contain electron-rich and bulky ligands (namely phosphines, carbenes and N -heterocycles) ${ }^{11}$ that improve their catalytic activity in coupling reactions.

The most studied reaction is normally carried out in the presence of phosphine ligands and a base under an inert atmosphere. However, phosphine ligands are expensive, toxic and unrecoverable. For this reason, much interest has been devoted to the search for new phosphine-free Pd catalysts. In particular, N,N-ligands, have shown to yield high-TON palladium catalysts in simple Heck reactions. ${ }^{11}$

The Heck reaction has also been the subject of theoretical studies. ${ }^{12-23}$ Different kinds of ligands have been considered. In particular, Albert et al. ${ }^{12}$ have studied the reaction between ethylene and bromobenzene catalyzed by a palladium complex coordinated to model diaminocarbene ligands. On the other hand, Deeth et al. ${ }^{13,14,21}$ have studied several steps of the reaction using a model diphosphino ligand. A similar kind of ligand has been used by Sundermann et al. ${ }^{16}$ in the study of the complete reaction path for the reaction between iodobenzene and ethylene. Finally, von Schlenck et al. ${ }^{15,17}$ have studied the olefin insertion step in several (diimine) $\operatorname{Pd}($ II ) cationic complexes.

Recently, the synthesis and characterization of several pyridylpyrazole derived ligands with different substitutions in the $3,5-$ position ${ }^{24-27}$ has been reported in the literature, and the reactivity of some of these ligands with $\operatorname{Pd}(\mathrm{II})$ has been studied in our laboratory. ${ }^{26,28}$ A common problem in the coordination chemistry of pyridylpyrazole
ligands to metal ions is the low solubility of these complexes in organic solvents, mainly caused by $\pi-\pi$ stacking interactions. This solubility can be increased by incorporating an alkyl or hydroxyalkyl group at the N1-position of the pyrazole ring. In recent papers, we have reported the synthesis and characterization of several N -alkyl-3,5-pyrazole derived ligands with ethyl, octyl ${ }^{29}$ and hydroxyethyl groups at the N1position ${ }^{26}$. We have also studied their reactivity towards $\operatorname{Pd}($ II $) .{ }^{28,30}$ Very recently, we have shown that cationic pyridylpyrazole allylpalladium $\left[\mathrm{Pd}\left(\eta^{3}-\mathrm{C}_{3} \mathrm{H}_{5}\right)(\mathrm{L})\right]\left(\mathrm{BF}_{4}\right)$ complexes undertake an apparent allyl rotation in solution. ${ }^{31}$ This process presents low $\Delta \mathrm{G}^{\ddagger}$ values and is favored by coordinating solvents or traces of water present in the solvent. This process was also observed in a non-coordinating solvent as dichloromethane with the ligand (2-(5-phenyl-3-pyridin-2-yl-pyrazol-1-yl)ethanol which contained a hydroxyethyl group at the N1-position. In this case, the process would take place through an intramolecular associative mechanism which implies a hemilabile coordination of the OH to the metal.

In a continuation of our investigations we describe in this paper the study of the catalytic activity of $\left[\mathrm{PdCl}_{2}(\mathrm{~L})\right]$ complexes with pyridylpyrazole (L) ligands in the Heck reaction of vinylation of aryl halides with the main objective of checking the influence of the hydroxyethyl fragment in this reaction. Most of the studied complexes are active catalysts and some of them have shown a remarkable versatility being very active with aryl chlorides. Experimental results have been rationalized through theoretical calculations.

## Results and discussion

Synthesis and characterization of complex 2a. The ligand 1a (see Figure 2) was prepared in good yields using the method described in the literature ${ }^{31}$. The reaction of
$\left[\mathrm{PdCl}_{2}\left(\mathrm{CH}_{3} \mathrm{CN}\right)_{2}\right]$ with 1a in acetonitrile at room temperature gives the new palladium(II) complex (2a) as orange crystals in quantitative yield. Complex 2a has been fully characterized by elemental analysis, conductivity measurements, IR and ${ }^{1} \mathrm{H}$ NMR and ${ }^{13} \mathrm{C}\left\{{ }^{1} \mathrm{H}\right\}$ NMR spectroscopies. Moreover, its structure was confirmed by Xray crystallography (see Figure 1 and the supporting information). The crystal structure of complex 2a is similar to that of related complexes found in the literature ${ }^{26,28,30}$.

## Insert Figure 1

Heck reactions using Pd(II) complexes with pyridylpyrazole ligands 1. Complex $2 \mathbf{2}$ and other $\left[\mathrm{PdCl}_{2} \mathrm{~L}\right]$ complexes (2) containing different pyridylpyrazole ligands ${ }^{26,28,30}$ (1) (see Figure 2) have been used as pre-catalysts in the Heck reaction between phenyl halides and tert-butyl acrylate. In some cases, the reaction has also been studied using styrene as olefin.

## Insert Figure 2

The reaction progress was analyzed by GLC. In all cases, the reactions led to the formation of trans-compound exclusively ( $\left.{ }^{1} \mathrm{H}-\mathrm{NMR}\right)$. The results obtained are summarized in Table 1.

Preliminary catalytic studies of complex $\mathbf{2 b}(0.1 \%)$ in a Heck reaction between bromobenzene and tert-butyl acrylate at $100^{\circ} \mathrm{C}$ with $\mathrm{NEt}_{3}$ as base and solvent showed that the reaction did not take place, so that we decided to change the conditions using the same reagents but DMF as solvent at $140^{\circ} \mathrm{C}$ and $\mathrm{NBu}_{4} \mathrm{Br}$ as additive. Under these conditions, a yield of $66 \%$ is obtained in 78 h ., with a turnover number (TON) of 671 (entry 6).

During the reaction, a black solid precipitated from the reaction mixture. This solid was identified as $\operatorname{Pd}(0)$ through the mercury poisoning test ${ }^{32}$.

## Insert Table 1

In order to study the influence of the alkylic chain in N1 we performed the reaction of phenyl bromide with tert-butyl acrylate in the presence of complex $\mathbf{2 c}$, which contains a shorter $\mathrm{R}^{2}$ chain than $\mathbf{2 b}$. In this case, an increase on efficiency was observed (entry 8). We also tested a ligand without N 1 -substitution (1d) observing a major conversion in a shorter time (entry 10). The same reaction was also studied by changing the solvent from DMF to $\mathrm{H}_{2} \mathrm{O}$. In this case, the efficiency decreases probably due to the low solubility of complex 2d in water (entry 11).

To study the influence of the substituent in the 5-position, we carried out the Heck reaction with complexes containing an electron withdrawing group like $\mathrm{CF}_{3}$ (ligands $\mathbf{1 f}, \mathbf{1 g}$, and $\mathbf{1 h}$ ). For complexes $\mathbf{2 f}$ and $\mathbf{2 g}$ the conversion was similar or lower than for analogous complexes ( $\mathbf{2 b}$ and $\mathbf{2 c}$, respectively) in the same conditions (entries 17 and 18). In contrast, for complex $\mathbf{2 h}$ (entry 20) a low conversion is observed, probably because in solution this complex is not neutral since the base $\left(\mathrm{NEt}_{3}\right)$ can extract the $\mathrm{N}-\mathrm{H}$ acidic proton of the pyrazolic ligand producing an ionic complex with different properties.

Moreover, to study the influence of the nature of the N1-substituent, we have performed the reaction with complexes containing a N1-hydroxyethyl substituent (complexes 2a and 2e). Surprisingly, in these cases we obtained the best results (entries 1 and 13), better than results reported in the literature with a similar catalyst ${ }^{33}$. In order to know if these excellent results were due to a possible hydrogen-bond interaction between the hydroxylethyl substituent of the complexes and tert-butyl acrylate, we
performed the reaction with $\mathbf{2 a}$ and $\mathbf{2 e}$ using styrene as olefin (entries 4 and 15). With this substrate a minor conversion was observed attributable to the different electron withdrawing character of the substituent (phenyl). The decrease of conversion is similar to that observed for $\mathbf{2 g}$ (entries 18 and 19), where the ligand does not contain any oxygen atom. So, the possible hydrogen bond interaction with tert-butyl acrylate does not seem to play any role.

In order to establish if the high conversion observed for $\mathbf{2 a}$ and $\mathbf{2 e}$ is due to the hydroxyethyl substituent or only to the oxygen atom, we studied the reaction with complex $2 \mathbf{i}$ which contains a N1-polyether. The conversion for the reaction of bromobenzene (entry 23 ) is similar to that obtained for $\mathbf{2 f}$ (entry 17 ), where the $R^{2}$ has a similar length but does not contain any oxygen atom.

The efficiency of the reaction depends on the aryl halide and the best results are obtained, as expected, for iodobenzene (entries 2,14 and 22). However, for the reaction of chlorobenzene a good conversion is obtained with complexes $\mathbf{2 a}$ and $\mathbf{2 e}$ in a long reaction time (entries 5 and 16). These results are excellent when compared with the available literature ${ }^{33}$ and confirm the potentialities of pyridylpyrazole ligands in the Heck reaction.

Finally, to optimize the process we have utilized a lower proportion of catalysts to increase the TON. Similar conversions are obtained when reducing the precatalyst concentration from 0.1 to $0.01 \%$ in most cases (entries $3,7,12$ ), whereas a slight decrease is observed for $\mathbf{1 h}$ (entries 20 and 21).

Theoretical calculations. In order to understand the role of the $\mathrm{R}^{2}$ group in the Heck reactions, we have studied the reaction between iodobenzene and methyl acrylate (MA) catalyzed by $\operatorname{Pd}(0)$ complexes bearing model ligands $\mathbf{1 j} \mathbf{( 3 j})$ and $\mathbf{1 k} \mathbf{( 3 k})$ (see Figure 2 ).

Acetonitrile has been used as solvent since its dielectrical constant is similar to that of DMF used in experiments. The complete reaction mechanism is summarized in Scheme 2.

## Insert Scheme 2

The catalytic cycle begins with the oxidative addition of PhI to the $\mathrm{Pd}(0)$ complex (3). This process takes place in two steps. In the first one, iodobenzene coordinates to the Pd atom of $\mathbf{3}$ to form a $\eta^{2}$-CC complex without energy barrier. The second step involves the cleavage of the C-I bond and the formation of the $\operatorname{Pd}(I I)$ complex $\mathbf{4}$. The most stable isomers of $\mathbf{4} \mathbf{j}$ and $\mathbf{4 k}$ are the ones in which the iodide ligand is cis with respect to the pyrazole ring. The alternative trans isomers are $1.3 \mathbf{( 4 \mathbf { j } )}$ and 1.5 $(\mathbf{4 k}) \mathrm{kcal}_{\mathrm{mol}}{ }^{-1}$ higher in energy at the B3LYP/LANL2DZ(d) level of calculation. Table 2 presents the Gibbs reaction and activation energies computed for the addition of PhI to $\mathbf{3 j}$ and $\mathbf{3 k}$. For comparison, we have also considered the addition of bromobenene and $\mathbf{1}$ chlorobenzene to $\mathbf{3} \mathbf{j}$. Figure $\mathbf{3}$ shows the structures of the stationary points corresponding to the oxidative addition of PhI to $\mathbf{3} \mathbf{j}$.

## Insert Figure 3

As we can observe, the two Pd-N distances in $\mathbf{3 j}$ differ from each other by $0.6 \AA$, the Pd-N(pyrazole) distance being the shortest one. A more symmetrical structure ( $\mathbf{3} \mathbf{\prime} \mathbf{j}$ ) has also been obtained with an energy only $0.03 \mathrm{kcal} \mathrm{mol}^{-1}$ higher than $\mathbf{3 j}$ at the B3LYP/LANL2DZ(d) level of calculation. However, it becomes less favored in terms of Gibbs energy both in the gas phase ( $1.0 \mathrm{kcal} \mathrm{mol}^{-1}$ ) and in solution $\left(3.3 \mathrm{kcal} \mathrm{mol}^{-1}\right)$. We have also obtained an additional structure, $\mathbf{3} \mathbf{\prime} \mathbf{\prime} \mathbf{j}$, in which the ligand is also coordinated through the OH group, but it is $2.8 \mathrm{kcal} \mathrm{mol}^{-1}$ higher in energy than $\mathbf{3 j}$ at the B3LYP/LANL2DZ(d) level.

The oxidative addition of PhI is kinetically and thermodynamically very favorable both for $\mathbf{3} \mathbf{j}$ and $\mathbf{3 k}$. The transition states corresponding to C-I cleavage are below the $\mathbf{3}+\mathrm{PhI}$ asymptotes by $-0.8(\mathbf{3 j})$ and $-9.4(\mathbf{3 k}) \mathrm{kcal} \mathrm{mol}^{-1}$ in acetonitrile and by $-10.5(\mathbf{3 j})$ and $-11.3(\mathbf{3 k}) \mathrm{kcal} \mathrm{mol}^{-1}$ in the gas phase. The latter results, can be compared with those reported by Sundermann et al. ${ }^{15}$ for the addition of PhI to (diphosphinoethane)Pd, in which the transition state was $2.0 \mathrm{kcal} \mathrm{mol}^{-1}$ above the reactants. These results show that pyridylpyrazole ligands are more efficient than diphosphino ligands in the activation of aryl halides.

Table 2 shows that $\mathbf{3 j}$ may also be efficient in the activation of bromobenzene and chlorobenzene, since the corresponding transitions states lie only $2.3(\mathrm{PhBr})$ and 4.4 $(\mathrm{PhCl}) \mathrm{kcal} \mathrm{mol}^{-1}$ above the reactants. The results corresponding to the addition of PhBr may be compared with those reported by Lee et al., ${ }^{23}$ which place the transition state about $25 \mathrm{kcal} \mathrm{mol}^{-1}$ above the reactants using either non-chelate N -heterocyclic carbene or phosphine ligands.

## Insert Table 2

The oxidative addition of the phenyl halide is accepted to be the rate determining step of the overall process. For this reason it is interesting to compare the effect of different chelate ligands. Figure 4 shows the molecular orbitals of a chelate $\operatorname{Pd}(0)$ complex which intervene in the interaction with the incoming aryl halide. The energies of these two orbitals have been computed for several PdL complexes, including the model ligands $\mathbf{1} \mathbf{j}$ and $\mathbf{1 k}$, and the experimentally used ligands $\mathbf{1 a}$ and $\mathbf{1 c}$ (see Table 3). We have also included two diphosphine and one dicarbene complexes. The interaction between Pd and the aryl halide molecule at the oxidative addition transition state is mainly due to the charge transfer from the donor orbital D to the $\sigma^{*}{ }_{\mathrm{c}-\mathrm{x}}$ orbital of PhX .

## Insert Figure 4

## Insert Table 3

We can observe that complexes with pyridylpyrazole ligands $\mathbf{1}$ are better electron donors than diphosphino complexes. However, none of them reaches the donor ability of the (dmmdiy)Pd complex. On the other hand, the complex with the model ligand $\mathbf{1} \mathbf{j}$ has similar donor ability than the complex with $\mathbf{1 a}$. The relative donor abilities of complexes with $\mathbf{1 a}$ and $\mathbf{1 e}$ are in good agreement with their experimentally observed catalytic activities (see Table 1).

After oxidative addition, the next step in the mechanism involves the coordination of the alkene molecule, so that a vacant coordination site has to be created in 4. There are two different ways for such a process: dissociation of the Pd-I bond, to form a cationic complex 5, or dissociation of one of the Pd-N bonds. Table 4 shows the Gibbs reaction energies for such processes.

## Insert Table 4

For $\mathbf{4} \mathbf{j}$ the Pd -I dissociation is much more favorable than $\mathrm{Pd}-\mathrm{N}$ dissociation, so that the formation of the $\mathbf{5} \mathbf{j}$ cationic complex is expected to be favored and the reaction to proceed through a cationic catalytic cycle. $\mathbf{5 j}$ is stabilized through the coordination of the oxygen atom of the hydroxyethyl group.

This stabilization is not possible in $\mathbf{5 k}$ in such a way that for $\mathbf{4 k}$ the dissociation of the Pd-I bond is not so favorable. As a consequence, the dissociation of the PdN (Pyrazole) bond becomes slightly favored. In this case, the cationic mechanism may be in competition with a neutral mechanism.

The vacant coordination site created after Pd-I dissociation in $\mathbf{4 k}$ may be occupied by a solvent molecule. We have studied the coordination of an acetonitrile molecule in $\mathbf{5 k}$ and the computed Gibbs reaction energy is $-14.3 \mathrm{kcal} \mathrm{mol}^{-1}$. So, the
dissociation of the Pd-I bond in $\mathbf{3 k}$ assisted by a solvent molecule is thermodynamically favorable $\left(\Delta \mathrm{G}^{\mathrm{o}}=-2.0 \mathrm{kcal} \mathrm{mol}^{-1}\right)$ and the cationic mechanism is also expected to be operative for $\mathbf{4 k}$. Regarding the coordination of acetonitrile to $\mathbf{5 j}$, the process is also favorable, but the corresponding Gibbs reaction energy is only $-2.0 \mathrm{kcal} \mathrm{mol}^{-1}$. We have studied the cationic catalytic cycle both for $\mathbf{5} \mathbf{j}$ and $\mathbf{5 k}$. The corresponding Gibbs activation and reaction energies are presented in Table 5, while the structures of the stationary points corresponding to the reaction of $\mathbf{4} \mathbf{j}$ are shown in Figures 5 and 6. In both cases we have considered as a previous step the dissociation of a coordinated solvent molecule in 5 .

## Insert Table 5

## Insert Figure 5

## Insert Figure 6

The next step in the reaction mechanism is the coordination of methyl acrylate (MA). The most stable conformer of free methyl acrylate presents a $s$-cis arrangement of the carbonyl with respect to the $\mathrm{C}=\mathrm{C}$ bond. However, in complexes $\mathbf{6 j}$ and $\mathbf{6 k}$ a $s$ trans arrangement is preferred, specially for $\mathbf{6} \mathbf{j}$ due to a hydrogen bond stabilization. Methyl acrylate coordinates to $\mathbf{5}$ to form a $\eta^{1}$-O complex. The Gibbs formation energies of the complexes with $\mathbf{5} \mathbf{j}$ and $\mathbf{5 k}$ are, respectively, 8.9 and $-2.0 \mathrm{kcal} \mathrm{mol}^{-1}$. This large difference is due the fact that in $\mathbf{5 k}$ there is a vacant coordination site, whereas in $\mathbf{5 j}$ the coordination site is occupied by the OH group. If we calculate these values relative to the $\mathbf{5}-\mathrm{MeCN}+\mathrm{MA}$ asymptote, the corresponding Gibbs reaction energies are $10.9 \mathbf{( 5 j})$ and $12.3(\mathbf{5 k}) \mathrm{kcal} \mathrm{mol}^{-1}$. These $\eta^{1}-\mathrm{O}$ complexes rearrange to the $\eta^{2}$-CC complexes $\mathbf{6 j}$ and $\mathbf{6 k}$. The Gibbs activation energies reported in Table 5 correspond to this rearrangement transition states and have been referred to $5+$ MA.

The reaction proceeds with the insertion of the alkene in the $\mathrm{Pd}-\mathrm{Ph}$ bond leading to the formation of 7. This process involves the formation of a CC bond between the phenyl group and the non-substituted carbon atom of the alkene ligand. The formation of the bond involving the substituted carbon atom of the alkene has not been considered, since it involves a very large energy barrier. ${ }^{15}$

This step is notably more favorable for $\mathbf{6 j}$ than for $\mathbf{6 k}$, both kinetically and thermodynamically, due to the hydrogen bond interaction between the OH group of the pyridylpyrazole ligand in $\mathbf{6 j}$ and the carbonyl group of the ester.

The complex 7 is stabilized through an interaction between Pd and the phenyl group. A conformational rearrangement leads to 7’, stabilized through a C-H agostic interaction. This step is the one that determines which is the geometric isomer of the final product to be formed. In $7 \mathbf{} \mathbf{j}$ the dihedral angle around the $\mathrm{C}(\mathrm{Ph})-\mathrm{C}\left(\mathrm{CO}_{2} \mathrm{Me}\right)$ bond is $-141.6^{\circ}$. There is an alternative conformer, $7^{\prime}{ }^{\prime} \mathbf{j}$, with a dihedral angle of $-19.6^{\circ}$, which would lead to the $Z$ isomer of methyl cinnamate, but it is $5.0 \mathrm{kcal} \mathrm{mol}^{-1}$ higher in energy than $\mathbf{7}^{\mathbf{\prime}} \mathbf{j}$ at the B3LYP/LANL2DZ(d) level.

The next step is a $\beta$-hydride elimination which leads to the formation of the (alkene)(hydride)Pd cation 8. The reaction proceeds with the dissociation of the methyl cinnamate ligand and the formation of $\mathbf{9}$, which bears a vacant coordination site on Pd . In $\mathbf{9} \mathbf{j}$ this vacant coordination site is occupied by the OH of the hydroxyethyl group.

The dissociation of methyl cinnamate takes place in two steps. The first one is a $\eta^{2}-$ $\mathrm{CC} / \eta^{1}$-O rearrangement, which involves a transition state, and the second one is the decoordination of methyl cinnamate. The Gibbs activation energies shown in Table 3 correspond to these rearrangement transition states. The Gibbs reaction energies for the dissociation of the $\eta^{1}-\mathrm{O}$ complexes are $-11.4(\mathbf{8 j})$ and $0.8(\mathbf{8 k}) \mathrm{kcal} \mathrm{mol}^{-1}$.

The last part of the catalytic cycle involves a proton transfer between $\mathbf{9}$ and the base. We have studied this process using triethylamine and trimethylamine as bases. For trimethylamine we have done a more detailed study of the potential energy surface and the results are summarized in Table 6 and Figure 7.

## Insert Table 6

## Insert Figure 7

The interaction between $\mathbf{9 j}$ or $\mathbf{9 k}$ with trimethylamine leads to the formation a hydrogen-bond complex, which is stable in the gas phase, but becomes unfavored in solution. Then the proton is transferred leading to the formation of a $\mathbf{3}-\mathrm{HNMe}_{3}{ }^{+}$ complex. For $\mathbf{9 k}$ the potential energy surface for such a process is very flat and it has not been possible to locate a transition state. On the other hand, for $\mathbf{9 j}$ we have located the transition state and the computed Gibbs activation energy is $8.1 \mathrm{kcal} \mathrm{mol}^{-1}$.

The complete Gibbs energies profiles for both reactions are shown in Figure 8. For the Pd-I dissociation step we have considered the participation of a acetonitrile molecule both for $\mathbf{4} \mathbf{j}$ and $\mathbf{4 k}$. As we can observe, the profile corresponding to $R^{2}=$ Et generally lies below the one corresponding to $\mathrm{R}^{2}=$ hydroxyethyl. Experiments indicate that the complexes bearing a ligand with a hydroxyethyl group are more efficient than those with an ethyl group (see Table 1). The origin of this different behavior may be in the Pd-I dissociation step. As we have seen, the dissociation is much more favorable for $\mathbf{4 j}$ than for $\mathbf{4 k}$, in such a way that the process is favored even in the absence of a coordinating solvent molecule. On the other hand, for $\mathbf{4 k}$ the Pd-I dissociation would be in competition with the dissociation of the Pd-N(pyrazole) bond. Another effect of the hydroxyethyl ligand is its ability to form hydrogen bonds with the coordinated ester. This is especially evident in the olefin insertion step $(6 \rightarrow 7)$, which is more favorable both kinetically and thermodynamically for $\mathbf{6 j}$ than for $\mathbf{6 k}$ (see Table 3 ).

## Insert Figure 8

## Conclusions

Pd complexes with pyridylpirazole ligands have been shown to be active as catalysts in the Heck reaction between phenyl halides and tert-butyl acrilate. The most efficient catalyst are the ones in which the pyrazole ring has a hydroxyethyl substituent in N1. The presence of an OH group in $\mathrm{R}^{2}$ favors the $\mathrm{Pd}-\mathrm{X}$ dissociation, since it stabilizes the resulting cationic complex. In this way, the dissociation is thermodynamically favorable even in the absence of a coordinating solvent molecule.

## Experimental Section

General Methods. Standard Schlenk techniques were employed throughout the synthesis using a double manifold vacuum line with high purity dry nitrogen. All reagents were commercial grade materials and were used without further purification. All solvents were dried and distilled by standard methods. The elemental analyses (C, H, N) were carried out by the staff of the Chemical Analyses Service of the Universitat Autònoma de Barcelona on a Carlo Erba CHNS EA-1108 instrument. Conductivity measurements were performed at room temperature (r.t.) in $10^{-3} \mathrm{M}$ acetone employing a Crison, micro CM 2200 conductimeter. Infrared spectra were run on a Perkin-Elmer FT spectrophotometer series $2000 \mathrm{~cm}^{-1}$ as $\mathrm{NaCl}, \mathrm{KBr}$ pellets or polyethylene films in the range $4000-100 \mathrm{~cm}^{-1}$ under a nitrogen atmosphere. The ${ }^{1} \mathrm{H}$ NMR, ${ }^{13} \mathrm{C}\left\{{ }^{1} \mathrm{H}\right\}$ NMR, HMQC and NOESY spectra were run on a NMR-FT Bruker 250 MHz instrument. ${ }^{1} \mathrm{H}$ NMR and ${ }^{13} \mathrm{C}\left\{{ }^{1} \mathrm{H}\right\}$ NMR chemical shifts ( $\delta$ ) were determined relative to internal TMS and are given in ppm. Electronic impact mass spectra were measured on a HewlettPackard HP-5989.

The pyridylpyrazole ligands $\mathbf{1 b} \mathbf{- 1} \mathbf{i}$ and the $\mathrm{Pd}(\mathrm{II})$ complexes $\mathbf{2 b} \mathbf{- 2} \mathbf{i}$ were prepared according to the methods described in the literature ${ }^{25,27,29,31,34}$. Samples of $\left[\mathrm{PdCl}_{2}\left(\mathrm{CH}_{3} \mathrm{CN}\right)_{2}\right]{ }^{35}$ were prepared as described in the literature. The reaction progress was analysed by GLC (HP5480) and the \% conversion was measured relative to internal standard decane.

Synthesis of complex 2a. The ligand $\mathbf{1 a}(0.40 \mathrm{mmol} ; 0.10 \mathrm{~g})$ dissolved in dry acetonitrile $(20 \mathrm{ml})$ was added to a solution of $\left[\mathrm{PdCl}_{2}\left(\mathrm{CH}_{3} \mathrm{CN}\right)_{2}\right](0.40 ; 0.10 \mathrm{~g})$ in dry acetonitrile $(20 \mathrm{ml})$. The resulting solution was stirred at room temperature for 12 h . The solution was concentrated until a orange crystalline precipitated appeared. The solid was filtered off, washed with diethyl eter ( 5 ml ) and dried under vacuum.

2a: Yield: $70 \%$. $\mathbf{C 1}_{6} \mathbf{H}_{15} \mathbf{N}_{3} \mathbf{O C l} 2 \mathbf{P d}$ (465.64). Anal. Calc. C, $43.44, \mathrm{H}, 3.39, \mathrm{~N}, 9.50$. Found: C, $43.22, \mathrm{H}, 3.28, \mathrm{~N}, 9.37 \%$. Conductivity $\left(\Omega^{-1} \mathrm{~cm}^{2} \mathrm{~mol}^{-1}, 9.8 \times 10^{-4} \mathrm{M}\right.$ in DMSO): 25.6. IR: $\left(\mathrm{KBr}, \mathrm{cm}^{-1}\right) 3475 \mathrm{v}(\mathrm{O}-\mathrm{H}), 3105 v(\mathrm{C}-\mathrm{H})_{\mathrm{ar}}, 2924 v(\mathrm{C}-\mathrm{H})_{\mathrm{al}}, 1615$ $v((\mathrm{C}=\mathrm{C}), v(\mathrm{C}=\mathrm{N}))_{\mathrm{ar}}, 1465,1444 \delta((\mathrm{C}=\mathrm{C}), \delta(\mathrm{C}=\mathrm{N}))_{\mathrm{ar}}, 781,701 \delta(\mathrm{C}-\mathrm{H})_{\mathrm{oop}} ;($ polyethylene, $\left.\mathrm{cm}^{-1}\right): 462 v(\mathrm{Pd}-\mathrm{N}), 345,327 v(\mathrm{Pd}-\mathrm{Cl}) .{ }^{1} \mathbf{H}$ NMR (DMSO solution, 250 MHz ) $\delta: 9.01$ $\left(1 \mathrm{H}, \mathrm{d},{ }^{3} J=5.9 \mathrm{~Hz}, H_{8}\right), 8.30\left(1 \mathrm{H}, \mathrm{t},{ }^{3} J=7.4 \mathrm{~Hz}, H_{10}\right), 8.22\left(1 \mathrm{H}, \mathrm{d},{ }^{3} J=6.4 \mathrm{~Hz}, H_{11}\right)$, 769-7.65 ( $6 \mathrm{H}, \mathrm{m}, \mathrm{H}_{9}, \mathrm{H}_{\mathrm{Ph}}$ ), $7.49\left(1 \mathrm{H}, \mathrm{s}, H_{4}\right), 4.85\left(2 \mathrm{H}, \mathrm{t},{ }^{3} J=5.2 \mathrm{~Hz}, \mathrm{pz}-\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{OH}\right)$, $4.73\left(1 \mathrm{H}, \mathrm{t},{ }^{3} J=4.8 \mathrm{~Hz}, \mathrm{OH}\right), 3.79\left(2 \mathrm{H}, \mathrm{dd},{ }^{3} J=5.2 \mathrm{~Hz},{ }^{3} J=4.8 \mathrm{~Hz}\right.$ pz $\left.-\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{OH}\right)$ ppm. ${ }^{13} \mathbf{C}\left\{{ }^{\mathbf{1}} \mathbf{H}\right\} \mathbf{N M R}(\mathrm{DMSO}$ solution, 63 MHz$) \delta: 152.2\left(C_{3}\right), 151.3\left(C_{6}\right), 149.1\left(C_{8}\right)$, $148.6\left(C_{5}\right) 141.1\left(C_{10}\right), 130.8\left(C_{12}\right), 129.7\left(C_{15}\right), 129.4\left(C_{13}, C_{17}\right), 128.7\left(C_{14}, C_{16}\right), 124.9$ $\left(C_{9}\right), 122.2\left(C_{11}\right), 105.5\left(C_{4}\right), 60.3\left(\mathrm{pz}-\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{OH}\right), 51.2\left(\mathrm{pz}-\mathrm{CH}_{2}-\mathrm{CH}_{2}-\mathrm{OH}\right) \mathrm{ppm}$.

General procedure for the Heck-type coupling reactions. Prescribed amount of catalyst, base (1.4 equiv), alkene (1.5 equiv), aryl halide (1.0 equiv) and decane were
placed in a round bottom flask. Solvent ( 2 ml ) was added and the mixture was heated to the prescribed temperature until reaction completion.

Computational details. The molecular geometries have been fully optimized through density functional calculations using the B3LYP functional ${ }^{36}$. The LANL2DZ basis set has been used for $\mathrm{Pd}, \mathrm{Cl}, \mathrm{Br}$, and I. This basis set included effective core potentials for the inner shells (up to 3 p for Pd ) and a double- $\zeta$ basis set for the valence shells ${ }^{37}$. For the remaining atoms the D95V basis set has been used ${ }^{38}$. A set of 3 d polarization functions has been included for all atoms except Pd and $\mathrm{H} .{ }^{39}$ This basis set will be named as LANL2DZ(d). Harmonic vibrational frequencies have been computed at this level of calculation for all structures to characterize them as energy minima (all frequencies are real) or transition states (one and only one imaginary frequency). The solvent effect has been included through the CPCM method ${ }^{40}$ using acetonitrile ( $\varepsilon=$ 36.64) as solvent. In these calculations a set of diffuse sp functions has been included in all atoms except H and Pd. ${ }^{41}$ All these calculations have been done using the Gaussian03 program ${ }^{42}$. Finally, the energies of all structures have been recomputed with the ADF program ${ }^{43}$ at the B3LYP ${ }^{36,44}$ level of calculation using an all-electron TZ2P basis set. Relativistic effects have been included using the ZORA method. ${ }^{44}$ Gibbs energies in solution were computed from energies computed with the TZ2P basis set, zero-point and thermal corrections to the energy and entropies computed with the LANL2DZ(d) basis set and solvation energies computed with the CPCM method. The reference state for the reported Gibbs energies in solution is 298.15 K and $1 \mathrm{~mol} \mathrm{~L}^{-1}$.

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Supporting Information Available. X-ray data (CIF) and crystallographic description of complex 2a, and energies and Cartesian coordinates of all calculated structures. This material is available free of charge via the Internet at http://pubs.acs.org.

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Table 1. Heck coupling reaction ${ }^{\text {a }}$ between phenyl halides and tert-butyl acrylate ${ }^{\mathrm{b}}$ in the presence of $\mathrm{PdCl}_{2} \mathrm{~L}$ pre-catalysts

| Entry | Halide | $L^{\text {c }}$ | \% pre-catalyst | solvent | T ( ${ }^{\circ} \mathrm{C}$ ) | t (h) | \% yield ${ }^{\text {d }}$ | TON | TOF |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Br | 1a | 0.1 | DMF | 140 | 2 | 98 | 1080 | 540 |
| 2 | I | 1a | 0.1 | DMF | 140 | 1 | 100 | 1101 | 1101 |
| 3 | I | 1a | 0.01 | DMF | 140 | 1 | 100 | 11016 | 11016 |
| $4^{\text {e }}$ | I | 1a | 0.01 | DMF | 140 | 5 | 91 | 10024 | 2004 |
| 5 | Cl | 1a | 0.01 | DMF | 140 | 51 | 97 | 10687 | 209 |
| 6 | Br | 1b | 0.1 | DMF | 140 | 78 | 66 | 671 | 8.6 |
| 7 | Br | 1b | 0.01 | DMF | 140 | 72 | 67 | 6816 | 94.8 |
| 8 | Br | 1c | 0.1 | DMF | 140 | 30 | 84 | 891 | 29.7 |
| 9 | Cl | 1c | 0.1 | DMF | 140 | 44 | 11 | 116 | 2.6 |
| 10 | Br | 1d | 0.1 | DMF | 140 | 14 | 96 | 1056 | 75.4 |
| 11 | Br | 1d | 0.1 | $\mathrm{H}_{2} \mathrm{O}$ | 100 | 30 | 5 | 54 | 1.8 |
| 12 | Br | 1d | 0.01 | DMF | 140 | 20 | 90 | 991 | 49.5 |
| 13 | Br | 1 e | 0.1 | DMF | 140 | 1 | 93 | 1005 | 1005 |
| 14 | I | 1e | 0.1 | DMF | 140 | 1 | 96 | 1038 | 1038 |
| $15^{\text {e }}$ | I | 1e | 0.01 | DMF | 140 | 6 | 87 | 9583 | 1597 |
| 16 | Cl | 1e | 0.01 | DMF | 140 | 59 | 89 | 9804 | 166 |
| 17 | Br | 1f | 0.01 | DMF | 140 | 47 | 54 | 584 | 12.4 |
| 18 | Br | 1g | 0.1 | DMF | 140 | 4 | 87 | 874 | 218 |
| $19^{\text {e }}$ | Br | 1 g | 0.01 | DMF | 140 | 10 | 78 | 8127 | 812 |
| 20 | Br | 1h | 0.1 | DMF | 140 | 23 | 63 | 627 | 27.3 |
| 21 | Br | 1h | 0.01 | DMF | 140 | 27 | 51 | 496 | 18.4 |
| 22 | I | 1 i | 0.01 | DMF | 140 | 14 | 100 | 11300 | 807 |
| 23 | Br | 1 i | 0.01 | DMF | 140 | 36 | 52 | 5626 | 156 |
| 24 | Cl | 1 i | 0.01 | DMF | 140 | 77 | 10 | 1081 | 14 |

${ }^{\text {a }}$ Reactions conditions: 1.0 equiv of phenyl halide, 1.5 equiv of alkene, 1.4 equiv $\mathrm{NEt}_{3}$, 0.5 equiv $\mathrm{NBu} 4 \mathrm{Br}, 2 \mathrm{~mL}$ solvent. ${ }^{\mathrm{b}}$ except otherwise indicated. ${ }^{\text {c }}$ see Figure 2. ${ }^{\text {d }}$ determined by GC, based on the phenyl halide using decane as internal standard. ${ }^{\mathrm{e}}$ styrene was used as olefin instead of tert-butyl acrylate

Table 2. Gibbs activation and reaction energies ${ }^{\text {a }}$ for the oxidative addition of PhX to 3

|  | $\mathrm{R}^{2}=\mathrm{CH}_{2} \mathrm{CH}_{2} \mathrm{OH}$ |  | $\mathrm{R}^{2}=\mathrm{Et}$ |  |
| :--- | ---: | :---: | :---: | :---: |
|  | $\Delta \mathrm{G}^{\ddagger}$ | $\Delta \mathrm{G}^{\mathrm{o}}$ | $\Delta \mathrm{G}^{\ddagger}$ | $\Delta \mathrm{G}^{\mathrm{o}}$ |
| $\mathbf{3 +} \mathrm{PhI} \rightarrow \mathbf{3 - P h I}$ |  | -5.0 |  | -12.7 |
| $\mathbf{3 - P h I} \rightarrow \mathbf{4}$ | 4.2 | -36.6 | 3.3 | -36.1 |
| $\mathbf{3}+\mathrm{PhBr} \rightarrow \mathbf{3 - P h B r}$ |  | -4.2 |  |  |
| $\mathbf{3 - P h B r} \rightarrow \mathbf{4}_{\mathrm{Br}}$ | 6.5 | -38.2 |  |  |
| $\mathbf{3}+\mathrm{PhCl} \rightarrow \mathbf{3 - P h C l}$ |  | -4.1 |  |  |
| $\mathbf{3 - P h C l} \rightarrow \mathbf{4}_{\mathrm{Cl}}$ | 8.5 | -35.2 |  |  |

[^0]Table 3. Energies ${ }^{\mathrm{a}}$ of donor and acceptor molecular orbitals ${ }^{\mathrm{b}}$ of several chelate $\operatorname{Pd}(0)$ complexes

| Ligand $^{\mathrm{c}}$ | D | A |
| :---: | :---: | :---: |
| $\mathbf{1 a}$ | -0.13643 | -0.03807 |
| $\mathbf{1 e}$ | -0.14491 | -0.03986 |
| $\mathbf{1 j}$ | -0.13738 | -0.03793 |
| $\mathbf{1 k}$ | -0.12557 | -0.02827 |
| dpe | -0.17072 | -0.02585 |
| dppe | -0.15620 | -0.03225 |
| dmmdiy | -0.11846 | 0.00625 |

${ }^{\mathrm{a}}$ in a. u.
${ }^{\mathrm{b}}$ see Figure 4
${ }^{\mathrm{c}}$ see Figure 2 for ligands 1 . dpe $=1,2$-diphosphinoethane, dppe $=1,2-$
$\operatorname{Bis}($ diphenylphosphino)ethane, dmmdiy= 3,3'-dimethyl-1,1'-methylenediimidazol-2,2'-diylidene

Table 4. Gibbs reaction energies ${ }^{\mathrm{a}}$ for dissociation of Pd-ligand bonds in $\mathbf{4} \mathbf{j}$ and $\mathbf{4 k}$

|  | $\mathbf{4 j}$ | $\mathbf{4 k}$ |
| :---: | ---: | ---: |
| Pd-I | -2.5 | 12.0 |
| Pd-N(Pyrazole) | 7.9 | 11.4 |
| Pd-N(Pyridine) | 13.8 | 19.9 |

[^1]Table 5. Gibbs activation and reaction energies ${ }^{\mathrm{a}}$ for the reaction between 5 and methyl acrylate (MA).

|  | $\mathrm{R}^{2}=\mathrm{CH}_{2} \mathrm{CH}_{2} \mathrm{OH}$ |  | $\mathrm{R}^{2}=\mathrm{Et}$ |  |
| :--- | ---: | ---: | ---: | ---: |
|  | $\Delta \mathrm{G}^{\ddagger}$ | $\Delta \mathrm{G}^{\mathbf{o}}$ | $\Delta \mathrm{G}^{\ddagger}$ | $\Delta \mathrm{G}^{\mathbf{o}}$ |
| $\mathbf{5 - M e C N ~} \boldsymbol{\rightarrow} \mathbf{5}+\mathrm{MeCN}$ |  | 2.0 |  | 14.3 |
| $\mathbf{5}+\mathrm{MA} \rightarrow \mathbf{6}$ | 29.2 | 16.9 | 15.1 | -1.1 |
| $\mathbf{6} \rightarrow \mathbf{7}$ | 5.8 | -12.5 | 10.3 | -6.8 |
| $\mathbf{7} \rightarrow \mathbf{7}$, | 9.0 | -3.3 | 8.6 | -3.7 |
| $\mathbf{7} \rightarrow \mathbf{8}$ | 3.0 | 2.9 | 2.6 | 1.8 |
| $\mathbf{8} \rightarrow \mathbf{9}+\mathrm{MC}$ | 15.6 | -14.1 | 14.2 | -4.9 |
| $\mathbf{9}+\mathrm{Me}_{3} \mathrm{~N} \rightarrow \mathbf{3}+\mathrm{Me}_{3} \mathrm{NH}^{+}$ |  | 15.0 |  | 12.3 |
| $\mathbf{9}+\mathrm{Et}_{3} \mathrm{~N} \rightarrow \mathbf{3}+\mathrm{Et}_{3} \mathrm{NH}^{+}$ |  | 14.0 |  | 11.4 |

[^2]Table 6. Gibbs reaction energies ${ }^{\text {a }}$ for the proton transfer between 9 and trimethylamine

|  | $\mathbf{9 k}$ | $\mathbf{9 j}$ |
| :--- | ---: | ---: |
| $\mathbf{9}+\mathrm{NMe}_{3} \rightarrow \mathbf{9}-\mathrm{NMe}_{3}$ | 16.8 | 17.0 |
| 9-NMe $\rightarrow$ 3- $\mathrm{HNMe}_{3}{ }^{+}$ | 6.2 | -2.3 |
| $\mathbf{3 -} \mathrm{HNMe}_{3}{ }^{+} \rightarrow \mathbf{3}+\mathrm{HNMe}_{3}{ }^{+}$ | -8.0 | -0.4 |

[^3]Scheme 1


## Scheme 2



Figure 1 ORTEP drawing of complex $\mathbf{2 a}$ (ellipsoids are shown at the $50 \%$ probability level)


Figure 2. Pyridylpyrazole derived ligands and NMR numbering scheme. $\mathbf{1 k}$ and $\mathbf{1 j}$ are the models used in theoretical calculations.



NMR Numering Scheme
when $\mathrm{R}^{1}=\mathrm{Ph}$

1a $\mathrm{R}^{1}=\mathrm{Ph}$
1b: $\mathrm{R}^{1}=\mathrm{Ph}$
1c: $\mathrm{R}^{1}=\mathrm{Ph}$
1d: $\mathrm{R}^{1}=\mathrm{Ph}$
1e: $\mathrm{R}^{1}=\mathrm{CF}_{3}$
1f: $\mathrm{R}^{1}=\mathrm{CF}_{3}$
$\mathbf{1 g}: \mathrm{R}^{1}=\mathrm{CF}_{3}$
1h: $\mathrm{R}^{1}=\mathrm{CF}_{3}$
1i: $\mathrm{R}^{1}=\mathrm{CF}_{3}$
$\mathbf{1 j}: \mathrm{R}^{1}=\mathrm{H}$
$\mathbf{1 k}: R^{1}=H$
$\mathrm{R}^{2}=$ Hydroxyethyl
$\mathrm{R}^{2}=$ Octyl
$\mathrm{R}^{2}=\mathrm{Et}$
$\mathrm{R}^{2}=\mathrm{H}$
$\mathrm{R}^{2}=$ Hydroxyethyl
$\mathrm{R}^{2}=$ Octyl
$\mathrm{R}^{2}=\mathrm{Et}$
$\mathrm{R}^{2}=\mathrm{H}$
$\mathrm{R}^{2}=\left(\mathrm{CH}_{2} \mathrm{CH}_{2} \mathrm{O}\right)_{3} \mathrm{CH}_{3}$
$\mathrm{R}^{2}=$ Hydroxyethyl
$\mathrm{R}^{2}=\mathrm{Et}$

Figure 3. Structures of stationary points corresponding to the reaction between $\mathbf{3 j}$ and phenyl iodide. Selected interatomic distances in $\AA$.


Figure 4. Schematic representation of donor (D) and acceptor (A) molecular orbitals of a chelate $\mathrm{PdL}_{2}$ complex.



Figure 5. Structure of stationary points corresponding to reaction between $\mathbf{5 j}$ and methyl acrylate. Selected interatomic distances in $\AA$.


Figure 6. Structures of stationary points corresponding to $\beta$-hydride elimination from $\mathbf{7}^{\mathbf{j}} \mathbf{j}$. Selected interatomic distances in $\AA$.





9j

TS(8j-9j)

Figure 7. Structures of stationary points corresponding to proton transfer between $\mathbf{9 j}$ and trimethylamine. Selected interatomic distances in $\AA$.


Figure 8. Gibbs energy profiles for the catalytic cycle corresponding to the reaction between phenyl iodide and methyl acrylate catalyzed by $\mathbf{3 j}$ (in blue) and $\mathbf{3 k}$ (in red) in acetonitrile solution.


## Synopsis TOC

Highly Efficient Pyridylpyrazole Ligands for the Heck Reaction. A Combined Experimental and Computational Study

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[^0]:    ${ }^{\mathrm{a}}$ in acetonitrile solution at 298.15 K and $1 \mathrm{~mol} \mathrm{~L}^{-1}$. All values in $\mathrm{kcal} \mathrm{mol}^{-1}$.

[^1]:    ${ }^{\mathrm{a}}$ in acetonitrile solution at 298.15 K and $1 \mathrm{~mol} \mathrm{~L}^{-1}$. All values in $\mathrm{kcal}_{\mathrm{mol}}{ }^{-1}$.

[^2]:    ${ }^{\mathrm{a}}$ in acetonitrile solution at 298.15 K and $1 \mathrm{~mol} \mathrm{~L}^{-1}$. All values in $\mathrm{kcal}_{\mathrm{mol}}{ }^{-1}$.

[^3]:    ${ }^{\mathrm{a}}$ in acetonitrile solution at 298.15 K and $1 \mathrm{~mol} \mathrm{~L}^{-1}$. All values in $\mathrm{kcal} \mathrm{mol}^{-1}$.

