

1   **CD69 limits early inflammatory diseases associated with immune response to**  
2   ***Listeria monocytogenes* infection**

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22   **Running title:** CD69 limits immune response to infection

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1      **Summary**

2      Mouse infection with intracellular bacteria induces a potent inflammatory response that  
3      requires protective mechanisms to avoid infection-induced immune pathology. CD69 is  
4      expressed in all leukocytes during activation following infection with a wide range of  
5      microbial pathogens. This study explores the way in which CD69 affects cell activation  
6      following *Listeria monocytogenes* (Lm) infection and its effects on host protection. We  
7      show that infectivity and bacterial clearance capability are unaltered in CD69<sup>-/-</sup> peritoneal  
8      macrophages, bone marrow-derived macrophages and dendritic cells. We found no major  
9      altered cell populations in splenocytes of Lm-infected CD69<sup>-/-</sup> mice. However, an  
10     increase in the expression of Th1 cytokines was observed after infection, with increased  
11     production of types I and II interferon (IFN). In addition, CD69<sup>-/-</sup> splenocytes showed  
12     increased apoptosis, consistent with IFN enhancement of lymphocyte apoptosis in  
13     response to Lm infection. CD69<sup>-/-</sup> mice showed liver and spleen damage, and greatly  
14     increased susceptibility to Lm infection, compared to wild-type controls. However,  
15     CD69<sup>-/-</sup>RAG2<sup>-/-</sup> mice were more efficient at controlling Listeria infection. Therefore,  
16     although Lm-specific T cells was decreased in CD69<sup>-/-</sup> mice, the fact that the difficulties  
17     in the control of listeriosis arise as early as day one post-infection point to that the defect  
18     in the response in CD69-/- mice is mediated by lymphocytes. These results highlight a  
19     role for CD69 in preventing infection-induced immunopathology..

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21     **Key words:** Bacterial Infection; Knockout; Rodent; T Cells

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1    **Introduction**

2    Immunity to infection is controlled by fine interplay among distinct cell programs.  
3    Cytokines are critically involved in microbicidal function, activating both macrophages  
4    and NK cells, and linking innate and adaptive immune responses. Cytokine effector  
5    mechanisms are crucial for host protection and resolution of otherwise potentially fatal  
6    disease processes, but can induce immune-mediated pathology if not correctly regulated.  
7    Cytokines with immunosuppressive properties, such as IL-10 and TGF- $\beta$ , are thus  
8    produced to prevent infection-induced immune pathology. IL-10 production by dendritic  
9    cells and macrophages has been demonstrated in response to infection by *Bordetella*  
10   *pertussis* and Lm<sup>1-4</sup>. Other mainly pro-inflammatory cytokines, such as TNF $\alpha$  and IFN $\gamma$ ,  
11   also have a regulatory role in the response to intracellular infection by controlling  
12   inflammation-induced damage<sup>5,6</sup>. In addition, strategies have been identified that  
13   sabotage protective Th1 responses and prolong pathogen persistence; for example, the  
14   intracellular bacteria Lm induces apoptosis during early stages of the immune response to  
15   infection by inducing type I IFN, while the inability to respond to type I IFN in mice  
16   greatly improves control of bacterial challenge<sup>7-10</sup>. Induced apoptosis associated with  
17   pathologic or ineffective immune responses to infection by other bacteria and protozoa  
18   has also been reported<sup>11,12</sup>. We analyzed CD69-regulated protection against intracellular  
19   bacteria using an experimental model of Lm infection in mice, due to its well-studied  
20   immune response (reviewed in Pamer<sup>13</sup>). Lm is a facultative, gram-positive bacterium  
21   that invades phagocytes efficiently. Infection is restricted principally to liver and spleen,  
22   where it infects mainly macrophages and hepatocytes. After Lm infection, phagocytosis  
23   and proinflammatory cytokine release are induced in resident macrophages. TNF $\alpha$ , IL-1 $\beta$   
24   and IL-12 release induces NK and T cells to produce IFN- $\gamma$ , thus activating macrophages  
25   to become bactericidal, and inducing Th1 lymphocyte differentiation.

1 CD69, a broadly expressed leukocyte receptor <sup>14</sup> with rapid kinetic onset after activation,  
2 is a disulfide-linked homodimeric membrane type II C-type lectin <sup>15</sup>. Previous studies  
3 showed that CD69 regulates the immune response by modulating the expression of  
4 various cytokines. CD69<sup>-/-</sup> mice show increased anti-tumor and autoimmune responses  
5 caused at least in part through increased pro-inflammatory cytokine and chemokine  
6 production <sup>16,17</sup>. CD69 targeting by a non-depleting anti-CD69 antibody similarly  
7 increases anti-tumor responses by enhancing natural killer (NK) cell activity and  
8 treatment of NK cells with this antibody results in increased cytotoxic activity and IFN $\gamma$   
9 production <sup>16,18</sup>. CD69 thus regulates anti-tumor immune responses by modulating the  
10 expression of various cytokines, including TGF $\beta$  and IFN $\gamma$ .

11 Although the role of CD69 has been studied extensively, its function in the immune  
12 response against infective intracellular pathogens has not yet been elucidated. This study  
13 examines the role of CD69 in various cell types in response to Lm infection and compares  
14 the immune response of CD69<sup>-/-</sup> and WT mice to Lm. CD69<sup>-/-</sup> mice develop an intense  
15 tissue-inflammatory response and die shortly after Lm challenge. Increased susceptibility  
16 to infection was found to be associated with increased number of bacteria in target organs  
17 and elevated type I and II IFN cytokines. Our results show that CD69 is an important  
18 regulator of immune response-mediated pathology.

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1    **Material and methods**

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3    **Mice**

4    Mice were bred at the Centro Nacional de Biotecnología (Madrid, Spain) and at the  
5    University of Barcelona under specific pathogen-free conditions. The experiments were  
6    performed using mice on the C57BL/6 genetic background, except when Balb/c and  
7    RAG2-/- Balb/c mice were used as indicated. CD69<sup>-/-</sup> mice were backcrossed on the  
8    C57BL/6 and the Balb/c backgrounds at least nine times<sup>19</sup>. OT-I and CD45.1 mice were  
9    bred under specific pathogen-free conditions at the mouse facility at the Technical  
10    University Munich. All procedures involving animals and their care were approved by the  
11    University of Barcelona Ethics Committee and were conducted according to institutional  
12    guidelines in compliance with local (Generalitat de Catalunya decree 214/1997, DOGC  
13    2450; and the government of Bavaria) and international (Guide for the Care and Use of  
14    Laboratory Animals, NIH 85-23, 1985) laws and policies.

15

16    ***In vivo* experiments**

17    Lm strain EGD was stored as 30% glycerol stocks at -80°C and diluted into pyrogen-free  
18    saline for injection into mice. Lm was injected intravenously at a dose of  $7.5 \times 10^3$   
19    Lm/mouse, except where indicated. To determine organ Lm burden, spleen and liver  
20    were homogenized in RPMI-1640 medium without antibiotics plus 0.05% Triton X-100.  
21    Serial dilutions of homogenate were plated on brain-heart infusion (BHI) agar, and  
22    bacterial colony-forming units (CFU) were assessed after overnight growth at 37°C.  
23    Small portions of spleen and liver were also fixed in 3% paraformaldehyde and stained  
24    with hematoxylin/eosin (H&E). In some instances, mice were observed for mortality for  
25    10 days after challenge.

1 For the study of the specific CD8+ T cell response, mice were infected with recombinant  
2 ovalbumin-expressing *Listeria monocytogenes* (Lm-Ova, kindly provided by H. Shen,  
3 Philadelphia, PA) intravenously<sup>19</sup>

4

5 **Flow cytometry**

6 Spleen cells ( $1 \times 10^6$ ) were stained (30 min, on ice) with FITC- or PE-conjugated  
7 antibodies or with biotinylated antibodies followed by streptavidin-PE or -PE-Cy5  
8 ([Becton Dickinson](#)). The following antibodies and multimers were used: anti-DX5  
9 (DX5), -B220/CD45 (RA3-6B2), -CD4 (GK1.5), -CD8 (53-6.7), -CD11b (M1/70), -  
10 CD11c (HL3), -CD25 (PC16), -CD43 (1B11), -CD69 (H1.2F3), -IFNg (XMG1.2), -TNFa  
11 ([MP6-XT22](#)) and -IL-10 ([JES5-16E3](#)), all from [BD Biosciences](#)) and H2-Kb/SIINFEKL  
12 or H2-M3/fMIGWII multimers (Streptamers; IBA, Germany)<sup>20</sup>. Cells were washed and  
13 analyzed on a FACScan flow cytometer ([Becton Dickinson](#)), counting  $10^4$  target cells  
14 using CELLQuest software ([Becton Dickinson](#)). For intracellular cytokine staining,  
15 spleen cells were collected 1 day after intravenous infection with Lm ( $1 \times 10^6$ ) and  
16 cultured in the presence of brefeldin A (10 mg/ml; Sigma), alone or together with phorbol  
17 12-myristate 13-acetate (25 ng/mL) and ionomycin (1  $\mu$ M) for 5 h. Cells were then  
18 surface-labeled, fixed and permeabilized with cytofix/cytoperm ([Becton Dickinson](#)),  
19 stained with anti-IFN $\gamma$ -FITC, anti-TNF $\alpha$ -PE or anti-IL-10-PE antibody (BD Pharmingen)  
20 and analyzed by flow cytometry as above.

21

22 **Adoptive transfer experiments.**

23 CD3+ CD8+ CD44 low cells were purified from blood of CD69+/+ and CD69-/- OT-I  
24 mice by FACS sorting (MoFlo XDP, Dako) with anti-CD3 (145-2C11, BD Bioscience),

1 anti-CD8-PE (5H10, Caltag) and anti-CD44-FITC (IM7, BD Biosciences). The purity  
2 was greater than 99%. 100 naïve CD8+ OT-I T cells were transferred intraperitoneally.

3

4 **Preparation of bone marrow-derived myeloid dendritic cells (BMDC), bone**  
5 **marrow-derived macrophages (BMDM) and peritoneal elicited macrophages (PEM)**

6 Bone marrow was collected from femurs of mice and cultured to generate BMDM and  
7 BMDC. For BMDM, cells were cultured for 7 days in complete RPMI 1640 containing  
8 20% heat-inactivated FCS (HI-FCS), and 20% culture supernatant from L929 cells as a  
9 source of granulocyte-colony stimulating factor (G-CSF). After day 7, cells were washed  
10 and cultured in the above medium without L929 supernatant and without antibiotics in a  
11 96-well plate. To generate BMDC, cells were cultured for 7 days in 10 ml RPMI  
12 1640/10% HI-FCS and 20 ng/ml granulocyte-macrophage colony stimulating factor (GM-  
13 CSF) replacing half of the media for fresh media every 3 days. After day 7, non-adherent  
14 BMDC were collected and cultured in medium without GM-CSF and without antibiotics  
15 in a 96-well plate.

16 Resident peritoneal elicited macrophages (PEM) were obtained by peritoneal lavage,  
17 plated ( $10^5$  cells/well in 100  $\mu$ l) in RPMI 1640 / 10% HI-FCS without antibiotics on 96-  
18 well plates, and incubated (overnight, 37°C). Cells were washed three times in antibiotic-  
19 free complete media. The remaining adherent cells were typical peritoneal macrophages.

20

21 ***Ex vivo* Lm infection**

22 To assess intracellular Lm growth in BMDM, BMDC and PEM, cells were plated in 96-  
23 well plates in antibiotic-free complete medium. For infection, medium was removed, Lm  
24 (multiplicity of infection (MOI) of 5, except where indicated) were added to each well  
25 and incubated (30 min, 37°C), washed and incubated for an additional 15 min. Medium

1 was then aspirated and replaced with medium containing gentamicin (10 µg/ml, Sigma),  
2 capable of killing any remaining extracellular Lm but unable to affect growth of  
3 intracellular bacteria. Plates were maintained at 37°C throughout the assay. Time 0 was  
4 taken after 30 min incubation with gentamicin. At various times post-infection, cells  
5 were washed with antibiotic-free Dulbecos's Phosphate Buffered Saline (DPBS) (Gibco),  
6 and lysed in 100 µl DPBS containing 0.05% Triton X100; serial dilutions of lysates were  
7 plated on BHI agar plates, incubated (24 h, 37°C), and bacterial colonies were counted.  
8 To quantify and locate Lm within peritoneal macrophages, 1 x 10<sup>5</sup> PEM were plated on  
9 coverslips in 24-well plates, and Lm infection was performed as above. At different  
10 times post-infection, cells were washed in DPBS and fixed with DPBS containing 2%  
11 paraformaldehyde (20 min, room temperature). For immunofluorescent staining,  
12 coverslips were washed again in DPBS and cells permeabilized with DPBS containing 20  
13 mM glycine and 0.05% saponin (15 min). Cells were stained with rabbit anti-Lm serum  
14 (Difco) diluted in DPBS containing 20 mM glycine, 1% BSA (Sigma) and 0.025%  
15 saponin (1 h), then washed in DPBS with 20 mM glycine and 0.025% saponin.  
16 Secondary staining was performed with Alexa 488 goat anti-rabbit (Molecular Probes,  
17 Eugene, OR) and Alexa 594 phalloidin diluted in DPBS with 20 mM glycine, 1% BSA  
18 and 0.025% saponin. Phalloidin staining identifies only Lm in host cell cytosol, as Lm  
19 within this compartment polymerize host cell actin on their surface <sup>21</sup>. Cells were then  
20 washed and analyzed directly on an LSC microscope (Compucyte Corp, Cambridge, MA)  
21 to quantify bacterial fluorescence. To compare data from four different experiments,  
22 each time point is represented as the percentage of the maximum fluorescence obtained in  
23 each experiment. Alternatively, cells were washed and mounted in Aqua Poly/Mount  
24 (Polysciences, Warrington, PA) to localize cytosolic Lm in a Fluoview confocal laser  
25 scanning microscope (Olympus, Japan).

1  
2 **Quantitative real-time RT-PCR analyses**  
3 Total RNA was extracted from fractionated splenocytes using Tri Reagent ([Sigma-](#)  
4 [Aldrich](#)) as recommended. The enriched lymphocyte fraction was obtained by depletion  
5 of adherent cells by adhesion to plastic followed by depletion of B cells by panning with  
6 anti-rat IgM (Dako). The cells remaining were highly enriched in T lymphocytes. The  
7 adherent cells obtained before panning with anti-rat IgM were used as the adherent  
8 fraction. Real-time PCR was performed in a rapid thermal cycler system (Lightcycler;  
9 Roche Diagnostics) with primers from different exons that generated products of ~200 bp.  
10 Results for each cytokine are normalized to Hypoxanthine-guanine  
11 phosphoribosyltransferase (HPRT) expression and measured in parallel in each sample.

12  
13 **Cell death assays**  
14 Splenocytes from infected mice (3 d,  $7.5 \times 10^3$  Lm) were collected and cultured for 24 h  
15 and cell death was determined by annexin V-FITC (BD) and PI staining. Flow  
16 cytometric analysis was performed on a FacScan cytometer.

17  
18 **Statistical analysis**  
19 Data were plotted using Prism software (Graphpad, Inc.). A Mann-Whitney unpaired test  
20 with two-tailed p-values and 95% confidence intervals was used for all statistical analyses  
21 except for a 2-way anova test that was used to compare cell death between WT and  
22 CD69<sup>-/-</sup> splenocytes ex vivo and a Log rank test that was used to compare survival  
23 among CD69<sup>+/+</sup> and CD69<sup>-/-</sup> mice.

24

25

1    **Results**

3    **Major leukocyte populations are not altered in Lm-infected CD69<sup>-/-</sup> mice**

4    To study the role of CD69 in the immune response to Lm infection, we compared various  
5    parameters of listeriosis in CD69<sup>-/-</sup> and WT mice. To determine whether Lm infection  
6    altered leukocyte populations in CD69<sup>-/-</sup> compared to WT mice, we performed flow  
7    cytometry using specific antibodies that define major spleen cell populations. In mice  
8    infected with  $5 \times 10^3$  Lm, we detected no differences at one or three days post-infection in  
9    B cells, CD4 T cells, CD8 T cells and NK lymphocyte percentages or in lymphocyte  
10    subpopulations, including CD4<sup>+</sup> T cells expressing the activation markers CD25 or CD43,  
11    or CD11b<sup>+</sup> cells (Table I). There were also no major differences between CD69<sup>-/-</sup> and

12    WT mice infected with  $10^6$  Lm, although we found a small increase in B220<sup>+</sup> cells at this  
13    dose, correlating with a minor decrease in CD4<sup>+</sup> and CD8<sup>+</sup> T cells. Cell subtype  
14    proportions were thus similar in CD69<sup>-/-</sup> and WT mouse spleens following Lm infection.

15    Myeloid cells are both Lm targets and key cells for total Lm clearance. To test the ability  
16    to kill intracellular Lm and Lm infectivity in CD69<sup>-/-</sup> and WT macrophages, we

17    performed *in vitro* infections of peritoneal elicited macrophages (PEM). PEM were  
18    infected at a MOI of 5 or 1, and intracellular bacteria were quantified by counting  
19    bacteria CFU (Fig. 1A) or by measuring the mean fluorescence intensity of macrophages  
20    after immunofluorescence staining of intracellular Lm (Fig. 1H). WT and CD69<sup>-/-</sup> PEM  
21    were infected with similar efficiency and had similar Lm growth kinetics (Fig. 1A, H).

22    CD69<sup>-/-</sup> and WT macrophages showed identical intracellular location of Lm, as indicated  
23    by Lm co-localization with actin (Fig. 1B-G). Moreover, we recovered similar numbers  
24    of Lm from infected BMDC and BMDM in CD69<sup>-/-</sup> and WT mice (Fig. 1I, J), although  
25    high CD69 levels are expressed in WT BMDM 6 h after infection (Fig. 1K). The results

1 indicate that there is no alteration in infectivity or bacterial clearance in CD69<sup>-/-</sup> myeloid  
2 cells.

3

4 **Increased expression of Th1 cytokines in infected CD69<sup>-/-</sup> splenocytes**

5 Our previous work supports a role for CD69 as a negative regulator of proinflammatory  
6 cytokine production in autoimmune and anti-tumor responses<sup>16,17</sup>. To test whether  
7 CD69<sup>-/-</sup> mice control expression of key cytokines following Lm infection, we quantified  
8 cytokine mRNA levels in spleen lymphocyte and adherent cell fractions. IFN $\alpha$  and  
9 IFN $\beta$  expression were increased in lymphocytes and adherent cells from spleens of  
10 infected CD69<sup>-/-</sup> mice compared to WT mice, with a two-fold increase in IFN $\beta$  mRNA  
11 induction in CD69<sup>-/-</sup> adherent cells and an important increment of this cytokine in  
12 lymphocytes at 1 and 3 days post-infection (Fig. 2). IFN $\alpha$  mRNA expression was  
13 markedly increased in mouse T lymphocytes at 3 days after infection, with a seven-fold  
14 increase, and a two-fold increase in T lymphocytes at 1 day post-infection, while a 30 to  
15 50% increase in adherent cells was observed at 1 and 3 days post-infection. IFN $\gamma$  also  
16 showed a considerable increase at 3 days post-infection in spleen lymphocytes and  
17 adherent cells (Fig. 2). We also found significant differences in IFN $\gamma$  but not TNF $\alpha$   
18 expression at 1 day post-infection in splenocytes of mice infected with a high Lm dose  
19 (Fig. 3A). The increase in IFN $\gamma$  is predominant in CD8 T lymphocytes of infected CD69<sup>-/-</sup>  
20 mice, both in lymphocytes reactivated in vitro or in lymphocytes incubated only with  
21 brefeldin A (Fig. 3A,B), pointing to a role for CD69 in the CD8 response in innate  
22 immunity. We also analyzed the expression of immunosuppressive cytokines that are  
23 induced following Lm infection as part of the Lm-evasion mechanism. We observed a  
24 small increase in TGF $\beta$  mRNA at 1 day post-infection (Fig. 2), and a non-significant  
25 increase in IL-10 intracellular protein (Fig. 3A). The mRNA level of other

1 proinflammatory cytokines such as IL-1 $\beta$  and the chemokine CCL5 was not affected in  
2 infected CD69 $^{-/-}$  splenocytes (data not shown). CD69 thus modulates cytokine production  
3 during the response to Lm infection, with the absence of CD69 leading to exacerbated  
4 IFN production.

5

6 **Increased tissue damage in infected CD69 $^{-/-}$  mice**

7 Production of type I IFN in Lm-infected WT mice is associated with apoptosis induction  
8 in lymphocytes, which impairs the anti-Lm response; we therefore studied whether the  
9 increased production of type I IFN observed in Lm-infected CD69 $^{-/-}$  mice further  
10 increased lymphocyte death. We found that at 3 days post-infection CD69 $^{-/-}$  mice showed  
11 increased apoptotic lesions compared to WT counterparts, corresponding to an overall  
12 increase in liver and spleen damage (Fig. 4). We observed centro-nodular depletion and  
13 disorganization of spleen white pulp in all CD69 $^{-/-}$  mice, compared to the well-preserved  
14 white pulp in WT mouse spleens (Fig. 4A, B). The liver showed increased lymphoid  
15 infiltration foci and an increased number of apoptotic hepatocytes in CD69 $^{-/-}$  mice, while  
16 only small numbers of apoptotic foci were found in WT mice (the comparative degree of  
17 severity, according to a histologist's subjective scoring from 0 - no damaged tissue - to 5 -  
18 highly damaged tissue- was 1 for WT and 3 for CD69 $^{-/-}$  mice) (Fig. 4C, D). In  
19 accordance, we observed an increase in Annexin $^+$ PI $^+$  cells when splenocytes obtained 3  
20 day post-infection were cultured *in vitro* for an additional 24 h, with medium alone or  
21 with antibiotics (penicillin and streptomycin) (Fig. 4E).

22

23 **Increased susceptibility of CD69 $^{-/-}$  mice to Lm infection**

24 Since the anti-Lm immune response in CD69 $^{-/-}$  mice is altered by increased  
25 proinflammatory cytokine production, augmented apoptosis, we studied listeriosis

1 susceptibility and survival in CD69<sup>-/-</sup> and WT mice. Following a primary Lm infection  
2 using a sublethal dose of bacteria, the number of Lm in CD69<sup>-/-</sup> mouse spleens was 10-50  
3 times greater than in WT mice at 3 days post-infection (Fig. 5A); only small, although  
4 significant differences were found as soon as at 1 day after infection (Fig. 5A). Greater  
5 differences were observed when we compared bacteria recovered from livers of Lm-  
6 infected CD69<sup>-/-</sup> and WT mice 3 days post-infection, with 10<sup>2</sup> to 10<sup>3</sup> times more bacteria  
7 in CD69<sup>-/-</sup> mouse livers (Fig. 5A). Greater recoveries of Lm in CD69<sup>-/-</sup> mice were  
8 observed when mice were infected intravenously or intraperitoneally with different  
9 sublethal doses, independent of the mouse strain used (BALB/c or C57BL/6) (data not  
10 shown and Supplementary figure 1). CD69<sup>-/-</sup> mice had thus an impaired anti-Lm immune  
11 response associated with increased tissue damage.

12 The consequence of increased Lm growth and early damage to spleen and liver in  
13 infected CD69<sup>-/-</sup> animals was a lower survival rate compared to WT mice (Fig. 5B). Only  
14 a 20% of CD69<sup>-/-</sup> mice survived an Lm dose that was sublethal in WT mice.

15

16 **Augmented innate response but defective specific response in infected CD69<sup>-/-</sup> mice.**

17 The fact that CD69 deficiency contribute to defective response to Lm as early as day post  
18 infection indicate an involvement of innate component in the diseases progression. To  
19 analyze the contribution of innate immune response to the defective control of infection  
20 by CD69<sup>-/-</sup> mice, infections were performed in CD69<sup>-/-</sup> and CD69<sup>+/+</sup> in a RAG2<sup>-/-</sup>  
21 background. CD69 deficient RAG2<sup>-/-</sup> had not impaired capacity to control Lm infection  
22 but, on the contrary, showed lower levels of listeriosis than CD69<sup>+/+</sup> mice (Fig. 6A).

23 Therefore, it seems that there is an inadequate interaction between lymphocytes and  
24 innate immune cells.

1 Even if differences, start arising at early time points, given that CD69<sup>-/-</sup> mice start to  
2 dying on day 4, we check whether CD69 deficiency affects the listeriosis at later time  
3 points, when the initial specific response start to contribute to the disease progression.  
4 Results at 5 days post infection, using a low dose of Listeria (5x10<sup>3</sup>), showed even more  
5 increased listeriosis in the CD69<sup>-/-</sup> surviving mice (75%) compare to CD69<sup>+/+</sup>  
6 counterparts (Fig.6B). To study the specific T cell response to Lm, we analyzed  
7 splenocytes of mice at seven days post-infection with Lm-OVA, reactive with H2-  
8 Kb/SIINFEKL or H2-M3/fMIGWII multimers. As shown in Figure 6C, CD69<sup>-/-</sup> mice  
9 presented lower frequencies of H2-Kb/SIINFEKL multimer stained CD8+ T lymphocytes  
10 (Fig. 6C and D) In contrast, the frequencies of H2-M3/fMIGWII multimer stained  
11 CD8+T cells were not different (Fig. 6E). However, the diminished lower frequency of  
12 specific CD8+ T cells observed in CD69<sup>-/-</sup> mice cannot be explained by a defect intrinsic  
13 to the T cells, since transferred CD69<sup>-/-</sup> OT-I CD8+ T lymphocytes were not recovered in  
14 lower frequencies from the spleens of 7 and 12 days LM-OVA infected mice than  
15 CD69<sup>+/+</sup> OT-I CD8+ T lymphocytes. Interestingly, they neither had impaired IFN $\gamma$   
16 production(Supplementary figure 2).  
17 Thus, CD69 deficiency enhance innate response to Lm, but has detrimental effects in the  
18 specific and unspecific lymphoid function in response to the infection.  
19  
20

1    **Discussion**

2    We studied the role of CD69 in protective immune responses to the intracellular pathogen  
3    Lm, and show that CD69 is associated with control of the immune response to Lm  
4    infection. Bacterial clearance capability of CD69<sup>-/-</sup> innate myeloid cells is unaltered, as  
5    demonstrated by in vitro experiments and by the fact that CD69<sup>-/-</sup> RAG2<sup>-/-</sup> mice can  
6    control the infection even better than the CD69<sup>+/+</sup> counterparts. However, potent cell-  
7    mediated protective immunity was induced in CD69<sup>-/-</sup> mice with increased type I and II  
8    IFN production. In CD69<sup>-/-</sup> mice, the uncontrolled Th1 inflammatory response is in  
9    agreement with increased damage to liver and spleen, increased susceptibility to Lm  
10    infection, and rapid death. Cytokines induced during the innate phase of infection, such as  
11    type I IFN, sustain expansion and survival of antigen-activated T cells<sup>10,22-25</sup>. However,  
12    IFN $\alpha/\beta$  impairs the anti-Lm immune response by making lymphocytes and macrophages  
13    more sensitive to the pathogen's listeriolysin-O (LLO) mediated toxicity<sup>8-10,26,27</sup>.

14    Actually, it is being speculated that, even if the pathways activated by Lm and viruses are  
15    the same, the lower type I IFN levels secreted in response to Lm is probably to restrict  
16    massive LLO-induced of apoptosis.

17    In our study, the CD69<sup>-/-</sup> T cells, under the observed higher IFN $\alpha/\beta$  levels, might be  
18    exposed to increased activating signals, probably reflected by the observed increased  
19    IFN $\gamma$  production by CD8 $^+$  T cells. In this CD8 $^+$  T cells, the INF $\gamma$  production at such an  
20    early time point as one day post-infection must be due to unspecific activation of CD44  
21    high memory T cells, which have been seen to react to innate cytokines such as INF $\alpha$ <sup>28</sup>.  
22    LLO-mediated toxicity of activated sensitized cells may be responsible for the increase in  
23    cell death followed by tissue necrosis observed in Lm-infected CD69<sup>-/-</sup> mice. One  
24    possible scenario is that in Lm-infected CD69<sup>-/-</sup> mice, antigen presenting cells produce

1 an increased INF $\alpha/\beta$  response, sustained *in vivo* by other factors. Indeed IFN $\gamma$  may  
2 support *in vivo* feed back regulation of INF $\alpha/\beta$  production<sup>29</sup>.

3 Therefore, we consider the INF $\gamma$ , which is increased during Lm infection in CD69 $^{-/-}$   
4 mice, as an important player in cell death induction. In addition to the functions of  
5 stimulating phagocyte bactericidal activity and the development of the Th1 response,  
6 INF $\gamma$  also mediates apoptosis by the induction of the expression of many proapoptotic  
7 molecules, including Caspase 1, PKR, DAPs, cathepsin D, and surface expression of Fas  
8 and TNF $\alpha$  receptors<sup>30-37</sup>. IFN $\gamma$  is required for direct activation-induced death of T  
9 lymphocytes, as was demonstrated *in vitro*<sup>6</sup>. In addition, IFN $\gamma$ -activated macrophages  
10 indirectly trigger CD4 T cell apoptosis during mycobacterial infection, where adherent  
11 cells are needed to mediate this effect<sup>5</sup>. Splenocytes from Lm-infected CD69 $^{-/-}$  mice  
12 showed a considerable increase in IFN $\gamma$  mRNA levels in spleen lymphocytes and  
13 adherent cells at 3 days post-infection; we also found an important increase in IFN $\gamma$   
14 protein expression in NK and CD8 $^{+}$  T cells from Lm-infected CD69 $^{-/-}$  mice.

15 In Lm-infected CD69 $^{-/-}$  mice, increased IFN $\gamma$  production in the early phase may induce a  
16 stronger T cell activation that, in the presence of infected apoptotic macrophages, may  
17 lead to increased apoptosis of T cells and tissue necrosis.

18 CD69 deficiency also induced a detrimental adaptive immune response to Lm infection,  
19 which could contribute to the deficient control of the infection from day three onwards<sup>38</sup>,  
20 when newly primed specific T cells play a major role in infection control. However, this  
21 diminished response is not due to a defect in the capacity of CD69 $^{-/-}$ -CD8 $^{+}$ T cells to  
22 respond to Lm infection, because they function similarly to CD69 $^{+/+}$ -CD8 $^{+}$ T cells when  
23 transferred to infected CD69 $^{+/+}$  mice. It has been shown similar cross-presentation  
24 capability between CD69 $^{-/-}$  and CD69 $^{+/+}$  mice in adoptive transfer experiments (Alari  
25 E., 2010 unpublished data).

1 A diminished specific response could contribute to the deficient control of the infection,  
2 but it cannot explain the already increased listeriosis at day one post-infection. Since a  
3 defective innate response is neither the reason for the increased susceptibility in CD69-/-  
4 mice, given that CD69-/- RAG2-/- mice better control the infection, we hypothesize that a  
5 defective interaction between innate cells and lymphocytes contribute to the defective  
6 infection resolution.

7 The regulation of T cell activation and homeostasis is thus of major importance in the  
8 control of Lm pathogenesis, and CD69 may control Th1 immune activation by controlling  
9 the expression of type I and II IFN, restricting T lymphocyte number and activation  
10 during intracellular Lm infection. These mechanisms pertain to those that control  
11 expanded effector cells aimed to maintain T cell homeostasis <sup>39</sup>.

12 In summary, we demonstrate a role for CD69 in the control of Th1-mediated  
13 inflammation during Lm infection. Our studies in CD69<sup>-/-</sup> mice show that CD69  
14 contributes to the regulation of type I and II IFN, and has an important role in T cell  
15 homeostasis. Increased pro inflammatory responses can also induce pathological damage  
16 in autoimmune and inflammatory disorders, and indeed, CD69 has been implicated in  
17 autoimmune disease development <sup>17</sup>. Our previous studies in models of autoimmune and  
18 anti-tumor responses showed the anti-inflammatory/immune properties of CD69. Here,  
19 we provide evidence that CD69 is a critical negative regulator of immune activation  
20 during intracellular bacterial infection, protecting infected mice from lethal tissue  
21 damage.

22

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12

13

14

1 **Figure 1. Similar intracellular growth of Lm in WT and CD69<sup>-/-</sup> myeloid cells.**  
2 (A) Peritoneal elicited macrophages (PEM) were obtained and infected *in vitro* with a  
3 MOI of 1 or 5 as indicated. At the times indicated, macrophages were lysed and the  
4 number of intracellular Lm was quantified. One representative experiment is shown of  
5 four with similar results. (B-G) Intracellular location of Lm in PEM from WT (B, C and  
6 D) or CD69<sup>-/-</sup> (E, F and G) was detected by staining actin (B and E) and intracellular Lm  
7 (C and F); yellow color indicates co-localization (D and G). (H) The mean fluorescence  
8 of stained intracellular Lm was quantified at the times indicated. The graph shows data  
9 grouped from four independent experiments (see Methods). (I) BMDC obtained from  
10 WT or CD69<sup>-/-</sup> mice were infected *in vitro* at a MOI of 5. At the times indicated, cells  
11 were lysed and the number of intracellular Lm quantified. (J) BMDM obtained from WT  
12 or CD69<sup>-/-</sup> mice were infected *in vitro* at the indicated MOI. At the times indicated, cells  
13 were lysed and the number of intracellular Lm quantified. The experiment with BMDC is  
14 representative of four while the experiment with BMDM is representative of three with  
15 similar results. (K) BMDM were stained with anti-CD69-PE and F4/80-FITC at the  
16 times indicated after *in vitro* infection with Lm.

17  
18 **Figure 2. Increased Th1 cytokine and chemokine expression in CD69<sup>-/-</sup> mice after**  
19 **Lm infection.** Mice were infected with a sublethal dose of Lm and cytokines from  
20 adherent and T lymphocyte (LT)-enriched splenocytes were measured by real time RT-  
21 PCR at 1 and 3 days post-infection. All data were normalized with HPRT. Pools of three  
22 mice were used for quantification. One experiment representative of two with similar  
23 results.

24

1 **Figure 3. Increased IFNg protein expression in CD69<sup>-/-</sup> mice.** (A, B) Splenocytes  
2 from mice infected intravenously with a high Lm dose ( $10^6$ ) for 24 h were cultured with  
3 brefeldin-A (BFA) (B) or phorbol myristate acetate (PMA), ionomycin (Io) and BFA (A)  
4 for 4 h, then stained for IFN $\gamma$ , TNF $\alpha$  and IL-10 and cell surface markers. Bars represent  
5 mean  $\pm$  SD;  $n = 4$  (WT),  $n = 6$  (CD69<sup>-/-</sup>). \*p<0.05 \*\*p<0.01

6

7 **Figure 4. Increased cell death and tissue damage in infected CD69<sup>-/-</sup> mice.** (A-D)  
8 Spleen (100X magnification) and liver (40X magnification) sections obtained one and  
9 three days after infection with a sublethal Lm dose ( $7.5 \times 10^3$ ) were hematoxylin/eosin  
10 stained. White pulp is clearly observed in WT spleen sections (A), while centro-nodular  
11 depletion and disorganization of white pulp is found in CD69<sup>-/-</sup> sections (B). In the liver,  
12 WT sections are well preserved (C), while CD69<sup>-/-</sup> sections show increased lymphocyte  
13 infiltration and apoptotic foci (D). Sections shown are representative of two experiments  
14 with similar results; n = 3 per group per experiment. (E) Splenocytes obtained 3 days  
15 after infection with a sublethal Lm dose ( $7.5 \times 10^3$ ) were cultured for 24 hours alone or  
16 with antibiotics (penicillin/streptomycin), then stained with Annexin V and PI to detect  
17 cell death. Bars represent the mean  $\pm$  SD;  $n = 3$  (WT),  $n = 4$  (CD69<sup>-/-</sup>). p < 0,05 between  
18 Wt and CD69<sup>-/-</sup> mice by 2-way Anova analysis.

19

20 **Figure 5. Increased susceptibility of CD69<sup>-/-</sup> mice to Lm.** (A) Mice were infected with  
21  $7.5 \times 10^3$  CFU/mouse and colony counts in the spleen were determined at 1 and 3 days  
22 post-infection. Colony counts in the liver were quantified after 3 days. Bars represent the  
23 mean  $\pm$  SD. Spleen samples:  $n = 7$  (3 dpi),  $n = 4$  (1 dpi). One experiment representative  
24 of seven with similar results. Liver samples:  $n = 3$  (WT),  $n = 4$  (CD69<sup>-/-</sup>). One  
25 experiment representative of four with similar results. \*p<0.05 \*\*p<0.01. (B) WT (filled

1 triangles) and CD69<sup>-/-</sup> mice (empty squares) were inoculated i.v. with  $7.5 \times 10^3$   
2 CFU/mouse and monitored for survival.  $p = 0.0135$  by the log rank test;  $n = 5$  per group.  
3 One experiment representative of five with similar results.

4

5 **Figure 6. Adaptive versus innate response contribution to response to Lm infection**  
6 in CD69<sup>-/-</sup> mice. (A) CD69 deficiency does not impair the innate immune response to  
7 Lm in RAG2<sup>-/-</sup> mice. RAG2<sup>-/-</sup> CD69<sup>+/+</sup> and CD69<sup>-/-</sup> mice in Balb/c background were  
8 infected with  $5 \times 10^3$  CFU and 4 days later c.f.u. were determined in the spleen.  $n = 3$  for  
9 the WT group (not considering the 1 mouse that had succumbed on day 4) and  $n = 4$  for  
10 the CD69<sup>-/-</sup> group. Experiment representative of two similar experiments with similar  
11 results. (B) Increased listeriosis in CD69<sup>-/-</sup> at later time points in the acute infection.  
12 Mice were infected with  $5 \times 10^3$  CFU and spleen and liver colony counts were determined  
13 after 5 days.  $n = 8$  for the WT group and  $n = 6$  for the CD69<sup>-/-</sup> group (2 mice being found  
14 dead at 5 dpi). \*\*\*  $p < 0.001$ . One experiment representative of two similar experiments  
15 with similar results. (C) Decreased adaptive immune response in infected CD69<sup>-/-</sup> mice.  
16 CD69<sup>-/-</sup> or WT mice were infected with  $5 \times 10^3$  Lm-OVA, and 5 days later splenocytes  
17 were collected and analysed for specific CD8 T cells. Representative dot plots showing  
18 splenocytes stained with PE multimers detecting SIINFEKL specific CD8<sup>+</sup> T cells. (D)  
19 Percentage of SIINFEKL-multimer positive CD8<sup>+</sup> T cells gated on live splenocytes or  
20 CD8<sup>+</sup> cells. (E) Graph showing percentage of fMIGWII-multimer positive H2-M3  
21 restricted CD8 T cells gated on live splenocytes or CD8<sup>+</sup> cells. \* $p < 0.05$ .  $n = 8$  for WT  
22 group and  $n = 11$  for CD69<sup>-/-</sup> group.

23

24

25

1 **Table I.** Distribution of main subpopulations in Lm-infected mice

Lm dose:	7.5 x 10 <sup>3</sup>		7.5 x 10 <sup>3</sup>		1 x 10 <sup>6</sup>	
	1 dpi <sup>1</sup>		3 dpi <sup>2</sup>		1 dpi <sup>3</sup>	
	WT	CD69 <sup>-/-</sup>	WT	CD69 <sup>-/-</sup>	WT	CD69 <sup>-/-</sup>
<b>B220+</b>	63.05 ± 3.97	65.35 ± 4.36	71.93 ± 3.33	68.55 ± 7.42	<b>62.95 ± 2.77</b>	<b>68.34 ± 2.01</b>
<b>CD4+</b>	19.56 ± 0.99	19.35 ± 3.76	18.68 ± 4.92	20.02 ± 5.75	<b>23.56 ± 2.72</b>	<b>19.33 ± 1.41</b>
<b>CD8+</b>	10.76 ± 1.8	9.44 ± 1.76	9.81 ± 3.16	10.38 ± 3.56	<b>9.60 ± 0.87</b>	<b>8.15 ± 0.94</b>
<b>DX5+</b>	2.12 ± 0.41	2.07 ± 0.43	2.88 ± 0.14	3.25 ± 0.60	2.03 ± 0.49	2.12 ± 0.21
<b>CD11b+</b>	2.20 ± 0.25	2.41 ± 0.66	4.24 ± 1.98	3.88 ± 0.58	ND	ND
<b>CD11c+</b>	ND	ND	5.00 ± 0.60	4.06 ± 0.91	ND	ND
<b>CD4/CD25</b>	12.85 ± 0.52	14.25 ± 1.78	16.44 ± 1.36	14.97 ± 1.43	4.60 ± 0.16	4.25 ± 0.26
<b>CD4/CD43</b>	ND	ND	8.13 ± 1.77	7.96 ± 1.72	ND	ND
<b>CD69+</b>	5.77 ± 0.83	0.16 ± 0.04	22.36 ± 11.46	0.32 ± 0.24	ND	ND

2

3 <sup>1</sup> n = 4 mice/group4 <sup>2</sup> n = 7-11 (WT) and 9-13 (CD69<sup>-/-</sup>), except for CD11b, DX5 and CD43, where n = 4 (WT) and 4-6 (CD69<sup>-/-</sup>) mice5 <sup>3</sup> Mice infected i.v. with a high dose of Lm (10<sup>6</sup>), n = 4 (WT) and 6 (CD69<sup>-/-</sup>) mice

6 Data in bold p&lt;0.05

7

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9

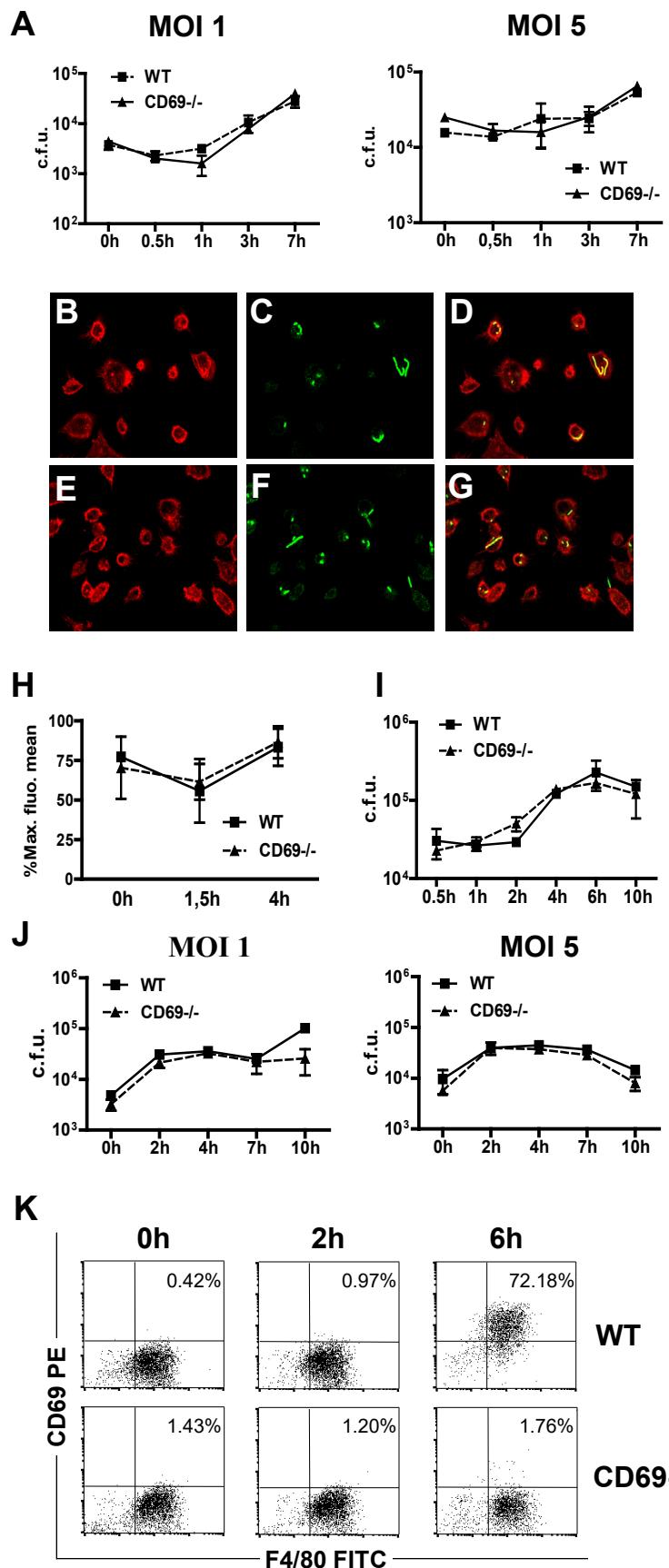
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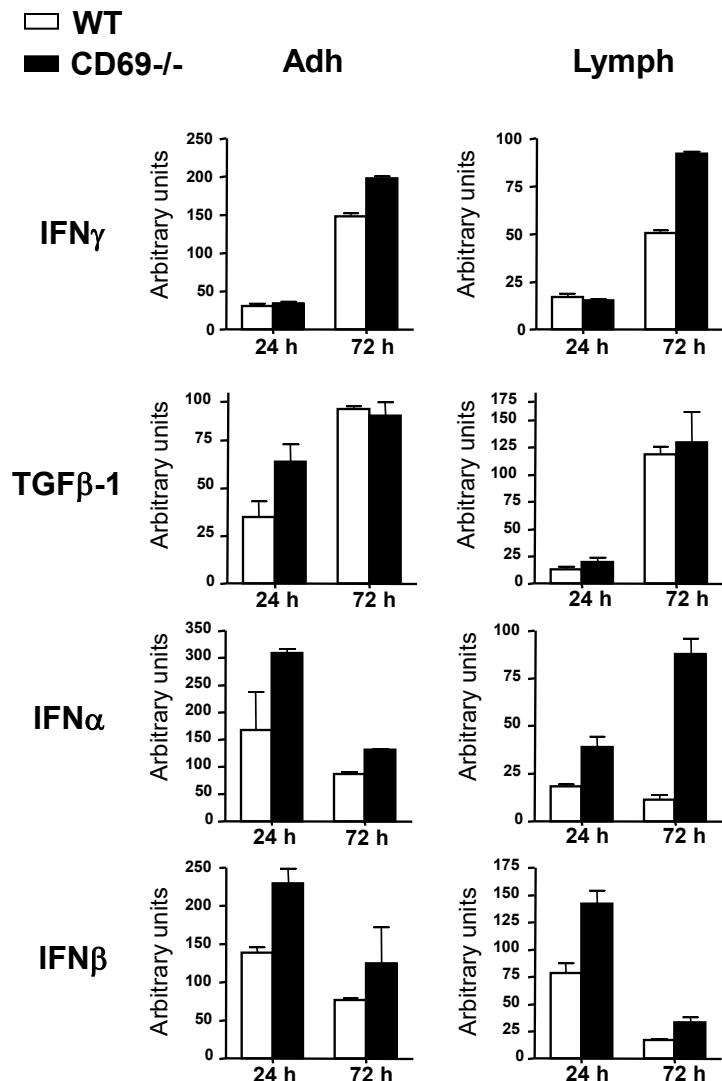
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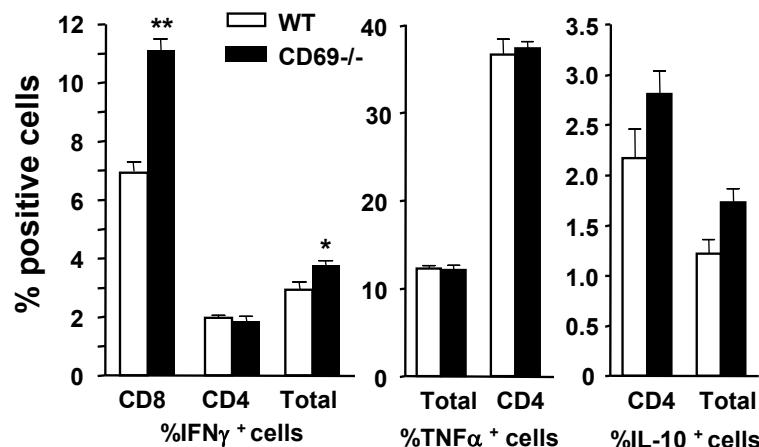
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**Fig 1**

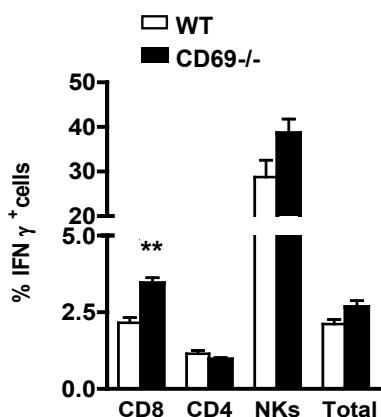
**Fig 2**

**Fig 3**

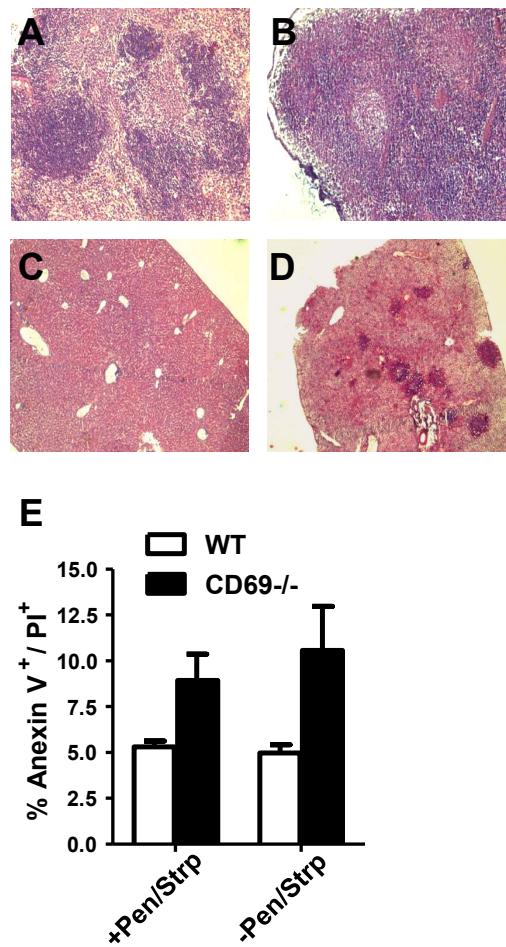
**A**



**B**

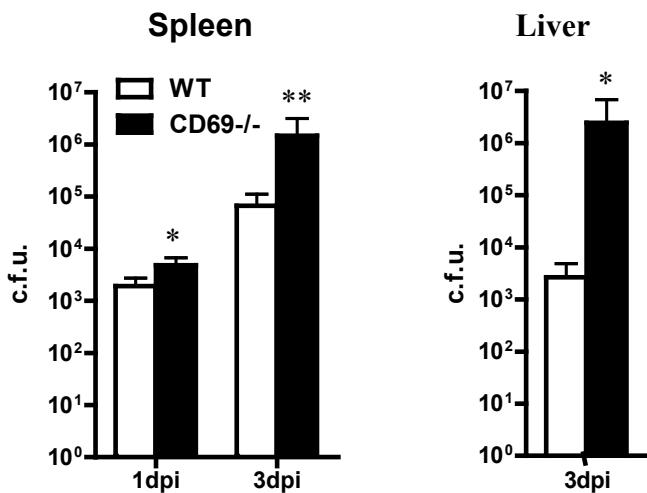


**Fig 4**

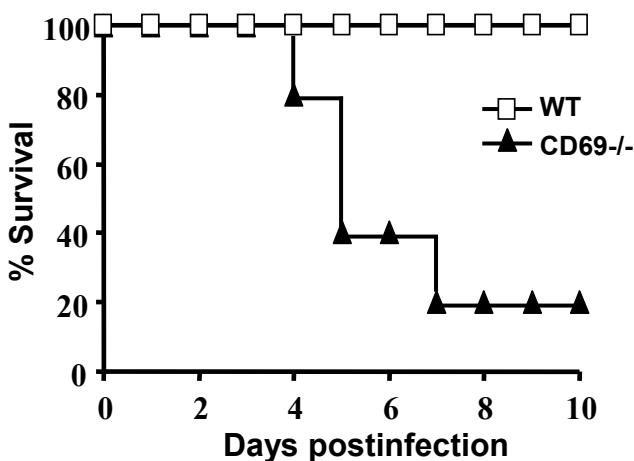


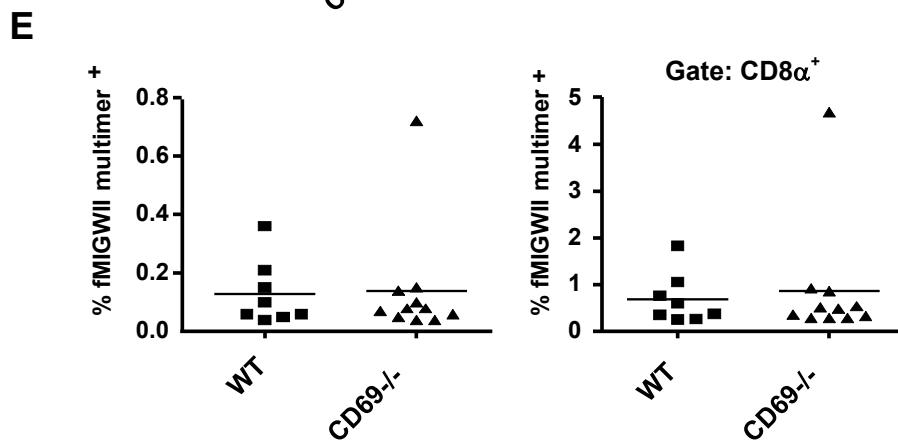
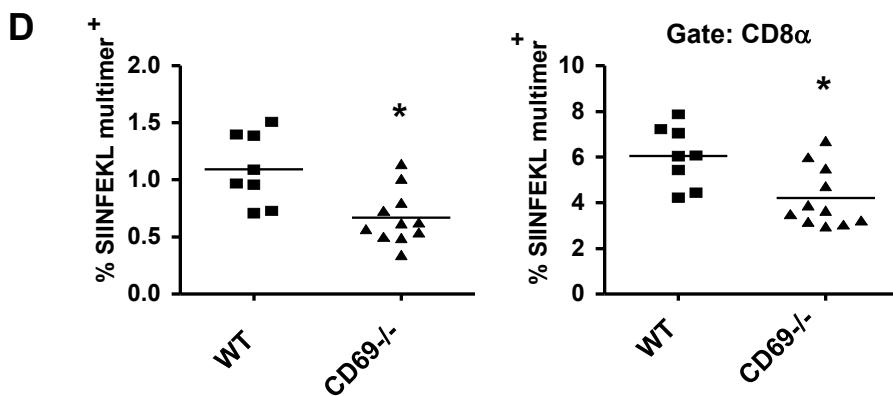
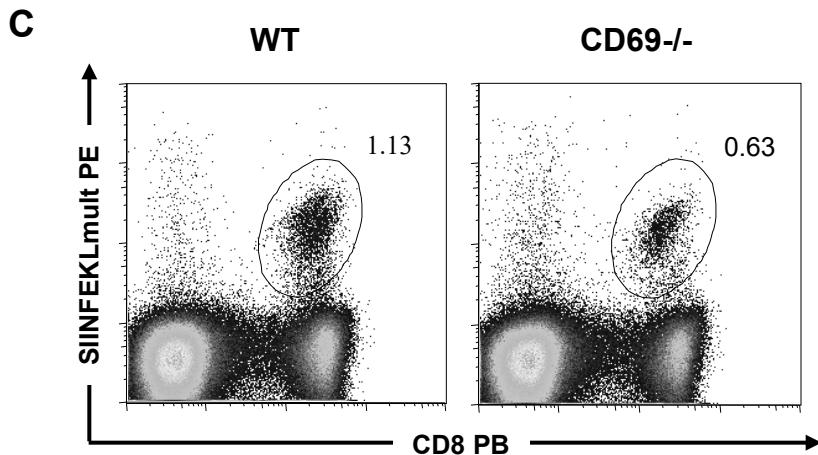
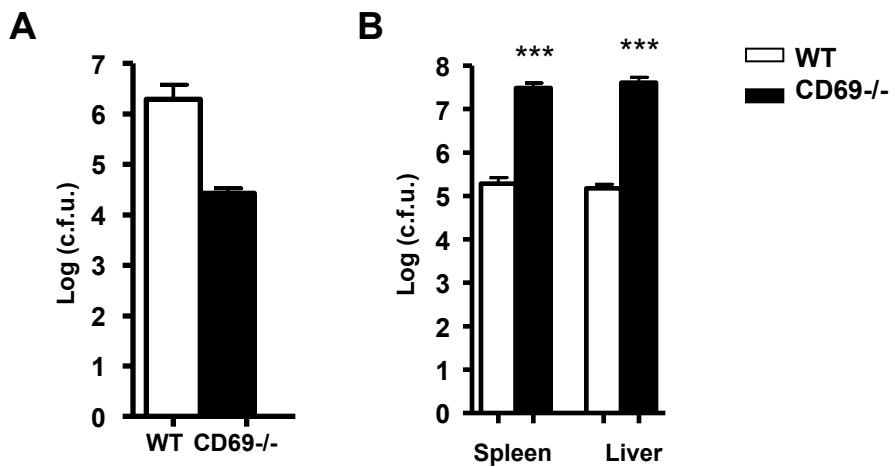
**Fig 5**

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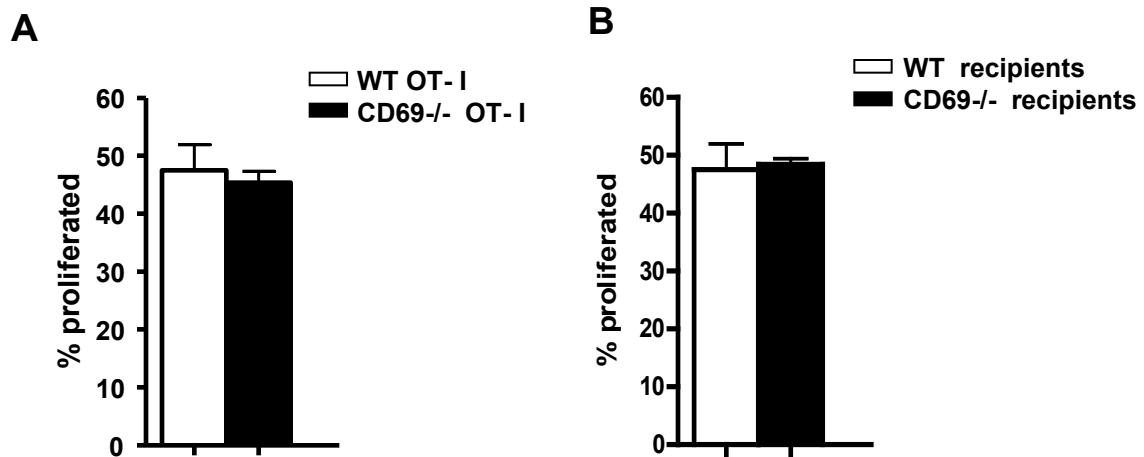


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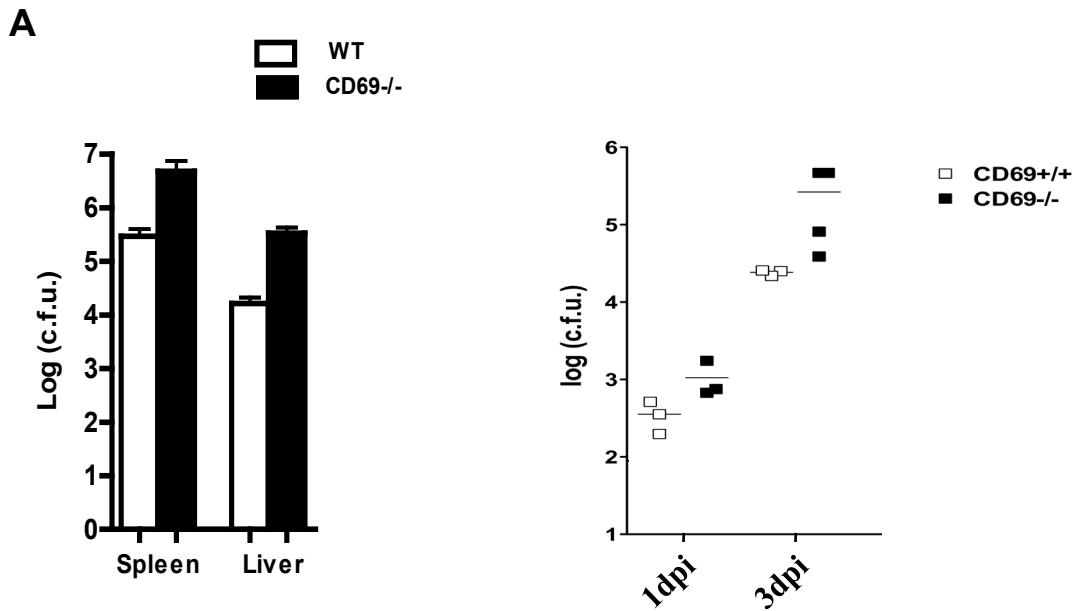


**Fig 6**

**Fig 7**



## Supplementary figure 1

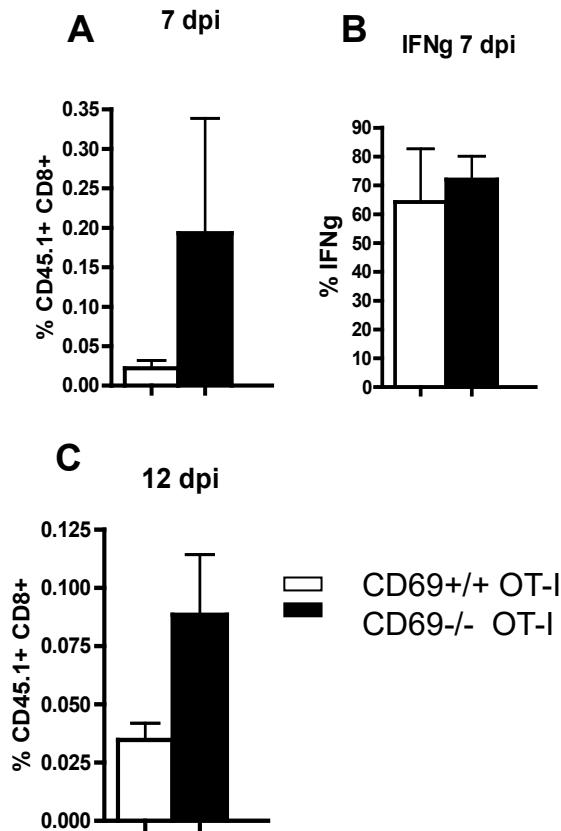


### Supplementary figure 3. Increased susceptibility of Balb/c CD69-/- mice to Lm

(A) Balb/c mice were infected with  $5 \times 10^3$  CFU/mouse and 4 days after infection colony counts were determined in the spleen and liver.  $n = 3$  mice per group. (B) Mice were infected intraperitoneally with  $7.5 \times 10^3$  CFU/mouse and colony counts in the spleen were determined at 1, 3 and 7 days post-infection.  $n = 3 - 4$  per group per time point, except for CD69-/- at 7dpi, when one of 3 mice died and is not shown. One experiment representative of at least three similar experiments with similar results.

WT (empty squares) and CD69-/- mice (filled squares)

## Supplementary figure 2



**Supplementary figure 1. CD69 deficiency on CD8+ T cells does not reduce their frequency during the specific response to Lm.** CD69<sup>+/+</sup> or CD69<sup>-/-</sup> naïve OT-I CD8+ T cells were intraperitoneally transferred into CD45.1 recipients (100 cells per mouse) which were subsequently infected with 5x10<sup>3</sup> Lm-OVA CFU. (A) Graph showing the frequency of CD45.2+ CD8+ cells in the spleens 7 days after infection. *n*= 3 mice per group. (B) Percentage of IFNg producing cells within the CD45.2+ CD8+ transferred cells. (C) Frequency of transferred cells 12 days after infection. *n*=4 mice per group.