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2 Original article

3 Nanomedicine: Nanotechnology, Biology and Medicine

4 Sheltering DNA in self-organizing, protein-only nano-shells as artificial viruses for gene
5 delivery.

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40

41 **Abstract**

42 By recruiting functional domains supporting DNA condensation, cell binding,
43 internalization, endosomal escape and nuclear transport, modular single-chain
44 polypeptides can be tailored to associate with cargo DNA for cell-targeted gene
45 therapy. Recently, an emerging architectonic principle at the nanoscale has permitted
46 tagging protein monomers for self-organization as protein-only nanoparticles. We have
47 studied here the accommodation of plasmid DNA into protein nanoparticles assembled
48 with the synergistic assistance of end terminal poly-arginines (R9) and poly-histidines
49 (H6). Data indicate a virus-like organization of the complexes, in which a DNA core is
50 surrounded by a solvent-exposed protein layer. This finding validates end-terminal
51 cationic peptides as pleiotropic tags in protein building blocks for the mimicry of viral
52 architecture in artificial viruses, representing a promising alternative to the conventional
53 use of viruses and virus-like particles for nanomedicine and gene therapy.

54

55 **Background**

56 Non-viral gene therapy and in general emerging nanomedicines aim to mimic viral
57 activities in tuneable nanoparticles, for the cell-targeted delivery of cargo nucleic acids
58 and other drugs [1;2]. Among a diversity of tested materials (including lipids, natural
59 polymers, quantum dots, carbon nanotubes and dendrimers), proteins offer full
60 biocompatibility, biodegradability, and a wide spectrum of functionalities that can be
61 further adjusted by genetic engineering. Such a functional versatility is in contrast with
62 the null control so far exercised over the supramolecular organization of *de novo*
63 designed building blocks for protein-based complexes [3]. While protein nanoparticles
64 based on natural cages, mainly infectious viruses [4], virus-like particles (VLPs) [5],
65 eukaryotic vaults [6] and bacterial microcompartments (BMCs) [7] take advantage of
66 the evolutionarily optimized self-assembling activities of their building blocks, fully the
67 *de novo* multifunctional protein monomers fail to reach predefined nanoscale organization.
68 Only a very limited number of approaches, based on the engineering of oligomerization
69 domains present in nature have resulted in the successful construction of efficient
70 building blocks for protein shell generation [8]. Complexes of DNA and cationic proteins
71 often result in polydisperse soluble aggregates probably derived from intrinsically
72 disordered protein-protein interactions [9;10], or in which the DNA itself plays a leading
73 architectonic role, stabilizing aggregation-prone protein monomers in form of
74 monodisperse nanoparticles [11]. Self-assembling peptides, that organize as different
75 types of nanostructured materials [12], promote unspecific aggregation when fused to
76 larger proteins [13;14], making them useless as fine architectonic tags. In summary,
77 the rational *de novo* design of protein monomers with self-assembling activities has
78 remained so far unreachable. Very recently [15], we have described that pairs of
79 'architectonic' peptides consisting of an N-terminal cationic stretch plus a C-terminal
80 polyhistidine, when combined in structurally diverse scaffold proteins (GFP, p53 and
81 others), generate strongly dipolar charged monomers that spontaneously self-
82 assemble. The resulting protein oligomers, ranging from 10 to 50 nm, show fast nuclear

83 migration (compatible with cytoskeleton-linked active transport) and penetrability [16],
84 high stability and proper biodistribution upon systemic administration [17]. Important
85 levels of gene expression were also achieved when the protein was associated to
86 plasmid DNA [18]. Yet these protein particles efficiently bind plasmid DNA for
87 transgene expression and are very promising tools in nanomedicine [18], their
88 supramolecular organization remains so far unexplored. The purpose of this study is to
89 investigate the architectonic properties of the polyplexes formed by expressible DNA
90 and the paradigm protein R9-GFP-H6, to better understand the basis of the high cell
91 penetrability and at which extent the resulting complexes adopt virus-like organization.
92 A solid comprehension of how multifunctional proteins interact with exogenous DNA
93 should enable the design and efficient biofabrication of true artificial viruses.

94

95 **Methods**

96 Protein production and DNA binding

97 The modular organization of R9-GFP-H6 [18], T22-GFP-H6 [17] and HNRK [11] has
98 been described elsewhere. GFP-H6 is a parental version of R9-GFP-H6 and T22-
99 GFP-H6 that does not self-assemble under physiological conditions [15;18]. Apart
100 from their architectonic capability, R9 (RRRRRRRRR) acts as a cell penetrating
101 peptide and nuclear localization signal [18] and T22 (RRWCYRKCYKGYCYRKCR) as
102 a powerful ligand of the cell surface receptor CXCR4 [17]. Both stretches, being
103 cationic, are potentially able to bind DNA. H6 (HHHHHH) is at the same time a useful
104 tag for one-step chromatographic protein purification and a potent endosomolytic
105 agent [19]. Precise amino acid sequences at the links between GFP and the fused
106 peptides can be found elsewhere [17]. The protein constructs indicated above were
107 produced in bacteria following conventional procedures and purified in a single step by
108 His-based affinity chromatography [15], through activities assisted by the Protein
109 Production Platform (CIBER-BBN) (<http://www.bbn.ciber->
110 [bbn.es/programas/plataformas/equipamiento](http://www.bbn.ciber-bbn.es/programas/plataformas/equipamiento)). Protein-DNA complexes were

111 generated by incubation at appropriate ratios in HBS buffer (pH 5.8) for 60 min at
112 room temperature.

113

114 Cell culture, confocal microscopy and transmission electron microscopy (TEM)

115 HeLa (ATCC-CCL-2) cell line was cultured as previously described [16] and always
116 monitored in absence of fixation to prevent internalization artefacts. Nuclei were
117 labelled with 200 ng/ml Hoechst 33342 (Molecular Probes, Eugene, Oregon, USA)
118 and plasma membranes with 2.5 µg/ml CellMask™ Deep Red (Molecular Probes,
119 Invitrogen, Carlsbad, CA, USA) for 5 min. Cells exposed to nanoparticles were
120 recorded with a TCS-SP5 confocal laser scanning microscope (Leica Microsystems,
121 Heidelberg, Germany) with a Plan Apo 63x / 1.4 (oil HC x PL APO lambda blue)
122 objective. Three-dimensional cell models were generated with the Imaris v. 6.1.0
123 software (Bitplane; Zürich, Switzerland). For TEM, protein/DNA complexes were
124 contrasted by evaporation of 1 nm platinum layer in carbon-coated grids and then
125 visualized in a Hitachi H-7000 transmission electron microscope.

126

127 DNA protection assay

128 In the buffers optimal for their respective stability [11;15], R9-GFP-H6 and GFP-H6
129 (HBS pH 5.8), T22-GFP-H6 (carbonate buffer, pH 5.8) and HNRK (HBS + dextrosa pH
130 5.8) were mixed with 1 µg of plasmid DNA (pTurboFP635, [18]) at 1 and 2 retardation
131 units. Mixtures were incubated at room temperature for 1 h and then treated with 0.5
132 µg/ml DNase I (Roche) at 37° C, in presence of 2.5 mM MgCl₂ and 0.5 mM CaCl₂.
133 Samples were collected just before DNase I addition and at 5, 20 and 60 min of the
134 digestion reaction. DNase I was inactivated by adding EDTA 2.3 µM final
135 concentration and by heating the samples for 20 min at 70° C. The remaining DNA
136 was released from protein complexes by adding 10 U of Heparin followed by 2 hours
137 incubation at 25° C. Subsequently, samples were analyzed in 1% agarose gels. DNA

138 signals in agarose gel were interpreted and analyzed with Quatity One software (Bio-
139 Rad). Experiments were performed by triplicate.

140

141 Determination of particle size and Z potential

142 Volume size distributions of self-assembled protein nanoparticles and protein-DNA
143 complexes were determined by triplicate using a dynamic light scattering (DLS)
144 analyser at the wavelength of 633 nm, combined with non-invasive backscatter
145 technology (NIBS) (Zetasizer Nano ZS, Malvern Instruments Limited, Malvern, U.K.). Z
146 Potencial of these materials was determined in the same device in HBS buffer (pH 5.8,
147 10 µg/mL final protein concentration). Measurements were carried out at 25 °C using a
148 disposable plastic cuvette. Each sample was analysed by triplicate.

149

150 Molecular modelling

151 To build R9-GFP-H6-based particles, a model of the monomer was first generated
152 using Modeller 9v2 [20] and the pdb structure "1qyo" as template. The arginine and
153 histidine tails were modeled using the loopmodel function of this package. The
154 structural models of the assembled monomers at pH 7 and pH 5.8 were then created
155 using HADDOCK 2.0 [21], with the protonation states chosen according to pH and
156 residue pKas, defining the 9 arginines at the N-terminus as active residues and the 6
157 histidines at the C-terminus as passive residues and enforcing C5 symmetry led to
158 star-shaped conformations. Alternative conformations were obtained using the tail
159 arginines as active residues and no passive ones. All these models where analysed
160 with FoldX using the function "AnalyseComplex" [22]. Defaults were taken for any
161 other simulation parameters. This protocol has been already used in a previous study
162 [18]. DNA was modeled for a 26 bp random sequence with the 3DDART server [23]
163 using default parameters. The structural model of the (1:1) DNA-protein complex was
164 created with HADDOCK2.0 using N-terminal-tail arginines and C-terminal-tail
165 histidines as active residues and all DNA bases as passive ones. Superposition of all

166 resulting solutions was performed with PROFIT [24] (an implementation of the
167 McLachlan algorithm, [25]) , using only the DNA molecule as subject of the structural
168 fit. The structural comparison of disks made of TMV coat protein and R9-GFP-H6 was
169 performed with SwissPdbViewer* [26] to superimpose the 2om3 PDB structure and
170 the modelled building block [27]. To facilitate the visualization of the resulting models,
171 images were generated using Chimera [28] as rendering tool.

172

173 **Results**

174 Hexahistidine tails, when combined in single chain polypeptides with N-terminal
175 cationic peptides, such as R9 or T22, promote assembling of these building blocks as
176 regular particles at neutral or slightly acidic pH values [15], at which the imidazol group
177 gets protonated and the tag moderately cationic [19]. When nanoparticles formed by
178 R9-GFP-H6 at pH 7 and 8 (Figure 1a) were incubated with DNA, particle size remained
179 close to 20 nm (Figure 1 b), the size previously observed in absence of DNA [15]. At
180 pH 4 and 10, protein-DNA complexes peaked at 0.8 and 2 μm respectively (Figure 1 b),
181 which is in agreement with the tendency of the protein alone to form amorphous
182 aggregates under denaturing conditions Figure 1 a). Interestingly, at slightly acidic pH
183 (5.8), where the transfection mediated by R9-GFP-H6 had resulted more efficient [15],
184 the population of polyplexes split in two fractions, peaking at 38 and 700-800 nm
185 respectively, with no symptoms of protein instability or aggregation (protein-only
186 nanoparticles peaked between 20 and 30 nm). The ability of these protein constructs to
187 bind DNA was generically confirmed by retardation mobility assays (Figure 1 c).

188

189 These polyplexes were examined by confocal microscopy during exposure to cultured
190 cells, taking advantage of the natural green fluorescence of the protein partner and
191 upon staining the DNA with the blue fluorescent dye Hoechst 33342. Small spherical
192 particles (Figure 2 a) and larger rod-shaped versions, some slightly twisted or ramified
193 (Figure 2 b) were observed, whose size fitted respectively to the two main peaks

194 determined by DLS (Figure 2 b). The blue DNA signal appeared coincident with the
195 green label, but its slightly smaller size suggested that DNA occurred in inner cavities
196 of protein entities. Qualitatively, rod-shaped nanoparticles seemed more efficient in
197 embedding DNA than the regular versions, as an important fraction of spheres, but not
198 rods, appeared to be empty (Figure 2 a, b). Fine confocal sections and 3D isosurface
199 reconstructions strongly suggested that a core DNA was shielded by a solvent-exposed
200 protein layer (Figure 2 c), in a virus-like architectonic scheme.

201

202 In this regard, rod-shaped forms shown in Figure 2 a and c strongly evoked the
203 morphologies of capsid proteins observed in plant viruses. Furthermore, a
204 superimposition of the RNA-containing, rod-shaped tobacco mosaic virus (TMV) disk (a
205 structural intermediate in the construction of helical capsids) and an energetically
206 stable, planar, star-shaped molecular model of the self-assembled R9-GFP-H6 at pH
207 5.8 are presented (Figure 2 d), showing coincidence in diameter and in monomer
208 organization. Interestingly, a similar spatial distribution of arginines around the central
209 cavities was found in both viral and non-viral complexes (Figure 2 d, inset). TEM
210 images of material deposited on the grid in absence of cells indicated again a
211 prevalence of tubular structures (Figure 2 e), with a diameter compatible with the
212 particles observed by confocal analyses (between 20 and 30 nm) and with R9-GFP-H6
213 disks obtained by molecular modelling (Figure 2 d). Importantly, no DNA was found
214 associated to internalized R9-GFP-H6 protein-only nanoparticles (Figure 2 f). This
215 indicates that cellular nucleic acids that the protein complexes might eventually find
216 during the intracellular trafficking would result not available for binding, and that the
217 only cargo suitable to form artificial viruses is the nucleic acid loaded *in vitro*.

218

219 Furthermore, DNA embedded in R9-GFP-H6 shells resulted highly protected from
220 DNase I attack (Figure 3 a). This effect was similar to that promoted by the closely
221 related, self-assembling construct T22-GFP-H6. Contrarily, the short modular peptide

222 HNRK [18;29], that although being positively charged does not exhibit architectonic
223 properties, failed in protecting DNA from digestion (Figure 3 a). In the HNRK-DNA
224 polyplexes, from which DNA overhangs, the nucleic acid is the main architectonic
225 regulator of the resulting particles (of around 80 nm), the protein fraction being
226 clustered by DNA instead of entrapping it in shell-like structures [11]. The high
227 protection of R9-GFP-H6-linked DNA also indicates that whether DNA molecules are
228 externally associated to some protein particles as suggested by confocal analysis
229 (Figure 2), the fraction of such material is statistically low.

230

231 Why at slightly acidic pH and in presence of DNA, R9-GFP-H6 ~20 nm-nanoparticles
232 rearrange as alternative spherical or cylindrical shells remains to be solved, but it might
233 be speculated that the dipolar nature of the modular protein would permit a
234 reorganization of the building blocks, to orient the positive protein patches at the inner
235 surface of the shell, in contact with DNA. For that, spheres and cylinders would permit
236 appropriate protein-protein interactions. In agreement with this hypothesis, the
237 superficial charge of protein-only particles was -16.2 ± 1.8 mV, while in presence of
238 plasmid DNA (2 RU) it shifted to a more negative value (-24.5 ± 2.0 mV) (Figure 3 b).
239 Interestingly, by applying the same amount of protein, the number of nanoparticles was
240 reduced by more than 50 % in the presence of DNA, consistent with a higher protein
241 demand to form nanoparticles up to 800 nm than to form protein-only nanoparticles of
242 ~20 nm. On the other hand, the organization of protein shells as spheres or
243 alternatively as rods would require a certain degree of flexibility in monomer-monomer
244 contacts, allowing alternative arrangements of the oligomers. The in-equilibrium
245 protonation and charge profile of the histidine tail population ($pK \sim 6$) [19], would confer
246 enough structural versatility of these interactions supportive of spherical and disk-
247 based cylindrical organization. In agreement, alternative stable versions of R9-GFP-H6
248 oligomers (pentamers) resulted from the docking process, sustained by slightly
249 divergent styles of inter-molecular interactions (Figure 4 a). Such pentamers, similarly

250 distributed oligomers (eg hexamers) orf their combination, could support both spherical
251 and rod-shaped architectures as in the case of virus shells. After careful analysis of
252 these models, we have identified, apart from electrostatic interactions (-7.33 Kcal/mol),
253 van der Wals forces as the main components keeping the monomers together (-42.38
254 Kcal/mol), in some cases with hydrogen bonds (-29.13 Kcal/mol)
255 contributing significantly to the stability of the oligomers (data taken from the model
256 disk represented in Figure 1 d and in Figure 4 a, left).

257

258 Figure 4 b shows a potential mode of interaction between DNA and R9-GFP-H6, based
259 on unspecific charge-charge interactions between DNA and the GFP-overhanging tails.
260 This architecture would enable the organization of several GFP molecules around a
261 single DNA helix in a form similar to those shown in Figure 2 d for RNA, as suggested
262 by the superposition of the best 50 solutions of a (1:1) DNA-protein docking simulation,
263 which shows a uniform distribution of GFP-based building blocks around the DNA.

264

265 **Discussion**

266 The severe biological risks and negative media perception associated to the
267 administration of natural viruses [30] have dramatically compromised the development
268 of viral gene therapy [31;32] and prompted researchers to explore manmade
269 alternatives as vehicles for the delivery of therapeutic genes. The artificial virus concept
270 [2] claims the use of nanoparticles, that upon convenient upstream design, biological
271 fabrication and engineering can successfully mimic properties of the viral infectious
272 cycle that are relevant to transgene delivery and expression [33]. Nanotechnologies
273 and material sciences offer interesting approaches to generate functional
274 nanostructured carriers, and a spectrum of materials are being explored in this regard
275 [34], even under suspicion of potential toxicity [35]. Among them, proteins are the most
276 versatile regarding structure and function, being fully biocompatible, suitable of
277 biological fabrication and not posing safety of toxicity concerns. In fact, vaults and

278 BMCs, or the recombinant version of viruses, namely VLPs, can be conveniently
279 adapted to embed cargo molecules for targeted delivery [36]. In a more versatile
280 approach, modular proteins containing cationic stretches for nucleic acid binding and
281 condensation, as well as other functional segments such as cell penetrating peptides,
282 ligands or nuclear localization signals, have been under continuous design to recruit
283 virus-like functions in single chain molecules [37-40]. However, despite the functional
284 versatility of these constructs they fail to reach ordered nanoscale structures, in most
285 cases being the DNA the main driving force of the polyplex architecture [11]. In fact,
286 the assembly of viral capsids results from a complex combination of intermolecular
287 interactions including hydrophobic, electrostatic, van der Waals, and hydrogen bonds
288 [41] that are excluded from a rational design in the novo designed recombinant
289 proteins. Recently, we have determined that a combination of a cationic peptide plus a
290 hexahistidine, placed at the amino and carboxy termini respectively of modular proteins
291 grant them with the ability to self-organize as regular protein-only nanoparticles, able to
292 penetrate target cells and to reach the nucleus in a very efficient way [15-17]. We have
293 here shown how at a slightly acidic pH and in presence of DNA, the contacts promoted
294 by the hexahistidine tail are able to accommodate structural rearrangements, among
295 others those promoting a re-orientation of cationic segments in the inner surface, that
296 convert plain oligomers into more complex supramolecular structures, namely closed
297 protein shells, in a virus-like fashion (Figures 1, 2). Both conventional isometric and
298 rod-shaped architectonic models occurring in natural viruses are spontaneously
299 reached by the self-assembling of tagged GFP-H6, efficiently embedding the foreign
300 DNA in the inner cavity of a protein-only shell (Figure 2). Such a dual construction
301 scheme at the nanoscale reminds the organization of viral proteins. The rotavirus VP6
302 capsid protein, whose essential organization is a trimer, assembles into either
303 nanotubes or nanospheres when produced as a recombinant version [42]. Cationic
304 peptides R9 and H6 promotes the oligomerization of a monomeric GFP into particles
305 whose size measured by DLS (Figure 1 a) is compatible with that of pentamers (or

306 eventually hexamers, Figure 4 a). The presence of exogenous DNA upon in vitro
307 incubation stimulates the arrangement of these building blocks in higher order, larger
308 complexes (Figure 1 b) with flexibility to form nanospheres and nanotubes (Figure 2).
309 The organizing ability of DNA over cationic proteins to form ordered protein-DNA
310 complexes has been reported previously ([11] and references therein), and cationic
311 interactions seem to be the driving force for the primary DNA-protein interaction (Figure
312 1 c), that result in nuclease attack protection (Figure 3). The ability of R9-GFP-H6
313 oligomers to bind and combine with nucleic acids is restricted to exogenous DNA, as
314 not protein-DNA complexes were observed when mammalian cells were exposed to
315 protein alone, which efficiently internalizes cultured cells ([16] and Figure 2 f). In
316 addition, the carrier DNA promotes important levels of gene expression, the whole R9-
317 GFP-H6-DNA complexes acting structurally and functionally like artificial viruses.

318

319 Importantly, the ability of the end-terminal tags of cationic nature to promote protein
320 self-assembling seems to be irrespective of the polypeptide chosen as the core of the
321 assembly, or at least not limited to a particular protein species [15]. This opens a door
322 to select non-immunogenic homologous protein candidates as building blocks of
323 nanoparticles in order to avoid any immune response upon systemic administration,
324 what could be a critical bottleneck to the therapeutic use of artificial viruses based on
325 *de novo* designed self-assembling proteins.

326

327 In summary, we have demonstrated for the first time how protein-based artificial
328 viruses, namely functional nanoparticles formed by self-assembling protein shells
329 shielding a core DNA, can be generated by the fully *de novo* design of building blocks.
330 This fact not only validates R9 and H6 as pleiotropic peptides in vehicles for non-viral
331 gene therapy, but it also reveals an unexpected architectonic potential of these tags in
332 the generation of tuneable protein shells, whose properties can be further polished by
333 conventional protein engineering. These versatile agents are promising alternatives to

334 natural protein constructs, including viruses, VLPs, vaults and BMCs, which because of
335 several limitations including rigid architecture but also biosafety concerns, are less
336 suitable for engineering and adaptation to nanomedical purposes.

337

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466 **Figure 1.** Molecular architecture of R9-GFP-H6-DNA polyplexes. A) Size distribution of
467 R9-GFP-H6 in absence of DNA, at different pH values. Some of the data shown here
468 have been published previously [15]. B) Size distribution of R9-GFP-H6-DNA
469 polyplexes formed at different pH values. DNA alone is shown as a control. C) DNA
470 mobility assay (using pTurbo FP635 [11] as reporter DNA) of R9-GFP-H6-DNA
471 polyplexes formed at pH 5.8. GFP-H6 is shown as a control, non-binding protein.

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474 **Figure 2.** Microscopic analysis of R9-GFP-H6-DNA polyplexes. A) Left. Spherical-
475 shaped green fluorescent signal in HeLa cells exposed for 24 hours to R9-GFP-H6-
476 DNA polyplexes. Right. Spherical-shaped blue labels for the same field, corresponding
477 to the embedded DNA. B) Left. Rod-shaped green fluorescent signal in HeLa cells
478 exposed for 24 hours to R9-GFP-H6-DNA polyplexes. Right. The same field, showing
479 blue fluorescence corresponding to the embedded DNA. C) Isosurface representation
480 of polyplexes within a 3D volumetric x-y-z data field, showing the inner localization of
481 the cargo DNA. Magnification increases in the bottom image. D) Superimposition of
482 TMV nanodisks and a R9-GFP-H6 molecular model of a stable, planar oligomer [43].
483 Arginines in the TMV coat protein are located in a radial distribution surrounding the
484 inner hole (shadowed in yellow, inset), in parallel to those of the R9 tail in R9-GFP-H6
485 monomers. E) TEM analysis of cell-free R9-GFP-H6 nanoparticles. F) R9-GFP-H6
486 alone internalized into cultured HeLa cells (upon exposure for 24 h) showing the
487 absence of any associated DNA.

488

489 **Figure 3.** Functional and structural profiling of DNA-loaded nanoparticles. A)
490 Remaining plasmid DNA after treatment with DNase I, resulting from protection
491 mediated by protein shells at alternative retardation units. Different modular proteins
492 were tested as indicated. At the right, the digestion of protein-free DNA is shown under

493 the same conditions. T indicates time of digestion in min. B) Determination of the z-
494 potential of R9-GFP-H6 nanoparticles, with and without DNA.

495

496 **Figure 4.** Potential intermolecular contacts in R9-GFP-H6 protein oligomers and in R9-
497 GFP-H6-DNA polyplexes. A) Protein-protein model configurations were obtained by
498 docking simulations using HADDOCK at neutral pH, assuming a pentameric
499 composition that is in agreement with experimental size of protein-only particles. The
500 first model (left) was obtained using R9 residues as active and H6 residues as passive
501 [43] and it was used for the superimposition depicted in Figure 2 e. The remaining
502 three models derived from using R9 residues as active and no passive ones. No
503 significant differences in packing were obtained when performing the docking runs at
504 pH 5.8, i.e. with doubly-protonated His (not shown). B) Superposition of the 50
505 solutions with highest score from a (1:1) DNA-protein docking simulation. The structural
506 fitting is based on the DNA molecule, which is shown in red.

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