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1 **Antibiotic-resistance genes in the bacteriophage DNA fraction of human fecal**  
2 **samples**

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9

10 **Running Head:** antibiotic-resistance genes in phages from human feces

11 **Key words:** antibiotic resistance, bacteriophage, feces, human, horizontal genetic transfer

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26 **Abstract**

27 A group of antibiotic-resistance genes (ARGs) (*bla*<sub>TEM</sub>, *bla*<sub>CTX-M-1</sub>, *mecA*, *armA*, *qnrA* and  
28 *qnrS*) were analyzed by real-time qPCR in bacteriophage DNA isolated from feces of 80  
29 healthy humans. Seventy-seven percent of samples in phage DNA were positive for one  
30 or more ARGs. *bla*<sub>TEM</sub>, *qnrA* and, *bla*<sub>CTX-M-1</sub> were the most abundant and *armA*, *qnrS* and  
31 *mecA* were less prevalent. Free bacteriophages carrying ARGs may contribute to the  
32 mobilization of ARGs in intra- and extra-intestinal environments.

33

34 Antibiotic resistance could be obtained by spontaneous mutations or acquired by the  
35 incorporation of antibiotic-resistance genes (ARGs) (1). ARGs spread between cells using  
36 genetic platforms known as mobile genetic elements (MGEs). The most commonly studied  
37 MGEs are plasmids, transposons, integrons and, more recently, bacteriophages (2).

38

39 Bacteriophages or phage related elements carry ARGs in Gram-positive (3-6) and in  
40 Gram-negative bacteria (7-10). Recently, some studies have suggested that the role of  
41 phages carrying ARGs in the environment is much more important than previously thought  
42 (2,11-13). Abundant ARGs have been reported in the bacteriophage DNA fraction of  
43 fecally-contaminated water (14-16) and metagenomic analyses indicate that there are  
44 abundant ARGs in viral DNA (17). As a result of their higher incidence in clinical settings,  
45 much effort has been devoted to the study of plasmids, integrons and transposons.  
46 However, there is little information on phages carrying ARGs in clinical settings.

47

48 This study analyzes a group of ARGs in phage DNA isolated from stool samples. The  
49 ARGs studied include two groups of beta-lactamase genes from Gram-negative bacteria  
50 (*bla*<sub>TEM</sub> and *bla*<sub>CTX-M-1-group</sub>); *mecA*, responsible for resistance to methicillin in  
51 *Staphylococcus* spp.; *armA*, a gene which confers high-level resistance to  
52 aminoglycosides in Gram-negative bacteria and *qnrA* and *qnrS*, plasmid-mediated genes  
53 that provide some degree of reduced quinolone susceptibility.

54

55 The study was performed on 80 human fecal samples from 46 females and 34 males aged  
56 from 6 months to 102 years who attended the Sant Pau Hospital (Barcelona) during a six  
57 months period. Stool samples were processed according to conventional protocols for  
58 isolation of enteropathogenic bacteria, rotavirus, adenovirus and were microscopically  
59 examined for protozoa. Only samples that were negative for these pathogens were

60 included in the study. None of the patients selected was involved in a food-borne outbreak  
61 or showed any severe gastrointestinal pathology. To our knowledge, none of the patients  
62 were following antibiotic treatment during the time of the study, although previous antibiotic  
63 treatments could not be excluded.

64

65 Fecal samples were homogenized 1:5 (*w:v*) in PBS by magnetic stirring for 15 minutes.  
66 Fifty-ml of the homogenate was centrifuged at 3,000*xg* and the phage lysate was purified  
67 and concentrated as described previously (15, 16). Phage suspensions were treated with  
68 DNase (100 U/ml) to eliminate free DNA outside the phage particles. To confirm total  
69 removal of non-encapsidated DNA, eubacterial 16S rDNA and the different ARGs (Table  
70 S1) were evaluated in the sample after DNase treatment and before its desencapsidation.

71

72 Phage DNA was extracted from the suspension as previously described (16, 18). Total  
73 DNA (including Gram-positive and Gram-negative bacterial and viral DNA) was extracted  
74 from 200  $\mu$ l of the homogenate using a QIAamp DNA Stool Mini Kit (Qiagen Inc., Valencia,  
75 USA), following the manufacturer's instructions.

76

77 Standard and qPCR procedures for *bla*<sub>TEM</sub>, *bla*<sub>CTX-M-1-group</sub> and *mecA* were performed as  
78 previously described (16). *armA* qPCR assay was designed using the sequence of *armA* in  
79 plasmid pMUR050 (NC\_007682.3) from an *E. coli* pig isolate (19). pMUR050 was also  
80 used to generate standard curves (16). The *armA* qPCR assay has an average efficiency  
81 of 98.4% and a detection limit of 2.74 gene copies (GC). The *qnrA* qPCR assay detects  
82 seven variants (*qnrA*1-7) and the *qnrS* qPCR assay detects six variants (*qnrS*1-6) (20).  
83 The 565-bp fragment of *qnrA* was obtained by from *E. coli*, strain 266 and the 425-bp  
84 fragment of *qnrS* from the environmental *Enterobacter cloacae* strain 565 isolated from  
85 sewage. Both fragments were cloned in pGEM®-T-Easy Vector (Promega, Barcelona,

86 Spain) to generate the standard curves (16). The *qnrA* qPCR assay showed 98.2%  
87 efficiency and a detection limit of 3.1 GC/ $\mu$ l and *qnrS* assay showed 99.4% efficiency and  
88 a detection limit of 8.3 GC/ $\mu$ l. All qPCR assays (Table S1) were performed under standard  
89 conditions (15, 16). To screen for PCR inhibition, dilutions of the standard of each gene  
90 were spiked with the DNA isolated from the samples, and the results were compared to  
91 the true number of GC of the target genes in the standards. No inhibition of the PCR by  
92 the samples was detected. Sequencing was performed as previously described (16).

93

94 When analyzing the ARGs in the total DNA, all samples were positive for one or more  
95 ARGs (Fig. 1A). When using purified phage DNA, 22.5% of the samples were negative for  
96 all ARGs and 77.5% harbored one or more ARGs. All the ARGs analyzed were present in  
97 our samples and the distribution of the ARGs in phage DNA was found to follow the same  
98 order of prevalence as in total DNA (Fig. 1A). No correlation was found between the  
99 patient's age or gender and the presence of ARGs in total or phage DNA.

100

101 When quantifying the different ARGs found per gram of fecal sample (Fig. 1B), the highest  
102 values were seen in total DNA with differences in  $\log_{10}$  units between total and phage DNA  
103 ranging from 1.2 for *armA* to 2.8 for *mecA*. In phage DNA, *bla*<sub>TEM</sub> showed the highest  
104 prevalence and abundance with values as high as 6.8  $\log_{10}$ GC/g. The second most  
105 prevalent gene was *qnrA*. *bla*<sub>CTX-M<sup>-</sup>group1</sub> was the third most prevalent gene, although the  
106 densities were lower than the two previous ARGs. *armA*, showed a low prevalence of only  
107 five positive samples, but remarkably high densities (up to 6  $\log_{10}$  units). There were a  
108 small number of positive samples for *qnrS* and *mecA* in phage DNA, which did not allow  
109 us to draw conclusions regarding their abundance.

110

111 The prevalence of the genes in this study corroborates the descriptions found in the  
112 literature. *bla*<sub>TEM</sub> is probably the most prevalent ARG worldwide (21, 22) and in phage DNA  
113 in wastewater (15, 16). *bla*<sub>CTX-M-1-group</sub>, includes the *bla*<sub>CTX-M-15</sub>, which over the past decade  
114 has become one of the most prevalent extended-spectrum beta-lactamases (23). The  
115 horizontally transferable *qnrA* and *qnrS* genes (24, 25) are widely distributed in our region  
116 and clinically relevant (20), particularly *qnrA* that was the first quinolone-resistance gene  
117 described and it is the most commonly found (26, 27). *armA* is also highly prevalent in  
118 *Enterobacteriaceae* and it is spreading worldwide (19, 28, 29). *mecA* was not prevalent in  
119 this study, may be because *Staphylococcus* spp. is not commonly found in the intestinal  
120 tract. The previous detection of *mecA* in phages from sewage (16) could be attributable to  
121 non-fecal origin.

122

123 The qPCR assays produce a short amplicon, and to better confirm the identity of the ARGs  
124 detected in phage DNA by sequencing, we amplified longer fragments by conventional  
125 PCR. Sequences were performed with forward and reverse primers and by duplicate. The  
126 consensus of all sequences generated fragments of different size of *bla*<sub>TEM</sub>, *bla*<sub>CTX-M-group1</sub>,  
127 *qnrA* and *qnrS* that matched 100% previously described sequences of the corresponding  
128 ARGs from different bacterial genera available in GenBank database  
129 (<http://www.ncbi.nlm.nih.gov/genbank/index.html>) (Table 1). *ArmA* was not amplified by  
130 conventional PCR in the few samples that showed positive for this gene. The specific  
131 variant of the ARG sequenced was not determined because of the length and location of  
132 some of these fragments and because the limited amount of DNA obtained from the  
133 samples did not allow the amplification of the complete ARGs.

134

135 The high prevalence of ARGs in phage DNA isolated from fecally polluted environments  
136 (14-16) indicates that phages could play a role in the mobilization of ARGs. The question  
137 we address here is whether the origin of these phages could be free phage particles  
138 excreted in feces, free phages present in those environments or phages induced from  
139 bacteria (allochthonous or autochthonous) occurring in those environments. The results of  
140 the present study clearly indicate that free phages encoding ARGs are directly excreted  
141 from healthy individuals via feces. The phage particles could be infectious or not to a given  
142 host, but as previously shown, the genes harbored by the phages are functional and able  
143 to confer resistance to a given antibiotic (16). This would make it likely that a phage  
144 harboring ARGs infects a new host and transfers the ARG that could be incorporated into  
145 the host genome by recombination.

146

147 The significant prevalence of phages in human feces has been shown by recent  
148 metagenomics studies (30-32). Among these, many remark on the high number of  
149 sequences of ARGs in the virome fraction of the human gut (17, 33). A recent report  
150 indicates that the number of ARGs in the “phageome” is significant and that the ARG  
151 content in the phage DNA fraction of the gut microbiome increases after antibiotic  
152 treatment (34). Specific phages could carry ARGs of Gram-positive (4-6) and Gram-  
153 negative bacteria (7-10). Although these reports do not indicate the nature of the phage  
154 particles, some authors suggest they could have been generated by means of generalized  
155 transducing phages that can mobilize chromosomal genes and plasmids (4, 8, 35).

156

157 As phages harboring ARGs are excreted in human feces from healthy individuals (or  
158 animals) (14-16), there must be many of these phages circulating in the population. These  
159 phages probably exist in some food and water, but they will not normally be detected by  
160 regular quality controls. They could be ingested as free particles and cause conversion of



161 susceptible hosts within the gut that could be later selected by the presence of  
162 antimicrobial agents. At present, phages seem to be suitable vehicles for the mobilization  
163 and transmission of ARGs, and probably many other genes, in both intra- and extra-  
164 intestinal environments.

165

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273  
274

275 **Table 1-** Sequence homology of some of the ARGs amplified from phage DNA of fecal  
 276 samples. The fragment size corresponds to the length of the consensus sequence  
 277 generated with the forward and reverse sequences of the PCR amplimer, performed in  
 278 duplicate, and is the fragment used to search for homologies. No PCR amplimer was  
 279 obtained for *ArmA* gene with the samples that showed positive for this ARG.

Fragment size (bp)	ARG assay	First sequence homologue	Genbank Code
574	<i>bla</i> <sub>TEM</sub>	<i>bla</i> <sub>TEM-1</sub>	GQ470444.1
571	<i>bla</i> <sub>TEM</sub>	<i>bla</i> <sub>TEM-1</sub>	JN002397.1
580	<i>bla</i> <sub>TEM</sub>	<i>bla</i> <sub>TEM-116</sub>	AY832935.1 NZ_ADUR01000022 NZ_ADFT01000030 AY265885.1 JF327796.1
576	<i>bla</i> <sub>TEM</sub>	<i>bla</i> <sub>TEM-1</sub>	JN002397.1 AY832935.1
386	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-15</sub>	JX129219.1
380	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-15-like</sub> <i>bla</i> <sub>CTX-M-33</sub> <i>bla</i> <sub>CTX-M-15-like</sub>	KC107824.1 AY238472.1 KC107824.1
360	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-114</sub>	GQ351346.1
359	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-15</sub> <i>bla</i> <sub>CTX-M-33</sub>	NC_013122.1 AY238472.1
359	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-15</sub> <i>bla</i> <sub>CTX-M-109</sub> <i>bla</i> <sub>CTX-M-33</sub>	JX129219.1 JF274248.1 AY238472.1
355	<i>bla</i> <sub>CTX-M</sub>	<i>bla</i> <sub>CTX-M-15</sub> <i>bla</i> <sub>CTX-M-15</sub>	EF158301.1 EU979556.1 HQ256746.1
412	<i>mecA</i>	<i>bla</i> <sub>CTX-M-15-like</sub> <i>mecA</i>	JX268658.1
437			KC243783.1
466			JQ764731.1
436			HE978800.1 HE978798.1 GU301100.1 GU301101.1
452	<i>qnrA</i>	<i>qnrA1</i>	JN687470.1 JF728153.1 JF969163.1 HQ184955.1 GU324551.1
456	<i>qnrA</i>	<i>qnrA1</i>	JN687470.1 JF728153.1 JF969163.1 GQ891753.1 GU295955.1
352	<i>qnrS</i>	<i>qnrS2</i>	HE616910.2 JN315883.1 JF773350.1 DQ485530.1

280

281

282 **Figure legend**

283

284 **Figure 1-** A: Proportion of each ARG studied among 80 samples in total and phage DNA.

285 In total DNA, values were expressed for 80 positive samples. In total DNA, all samples

286 were positive for at least one ARG, while in phage DNA 18 samples were negative for all

287 ARGs (Neg). B: Box plot chart with the averaged values obtained from all ARGs in positive

288 samples for total and phage DNA. Within the box plot chart, the cross-pieces of each box

289 plot represent (from top to bottom) maximum, upper-quartile, median (black bar), lower-

290 quartile and minimum values. The black diamond shows the mean value. The grey boxes

291 in the box plot chart include samples showing values within the 75<sup>th</sup> percentile and white

292 box samples show values within the 25<sup>th</sup> percentile. N indicates the number of positive

293 samples for each ARG.

294