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Near real time vegetation monitoring at global scale

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Abstract

The NRT algorithm for near real time estimation of global LAI, FAPAR and FCOVER variables from VEGETATION (VGT) satellite data is here described. It consists of three steps (1) neural networks (one for each variable) to provide instantaneous estimates from daily VGT-P reflectances, (2) a multi-step filtering approach to eliminate data mainly affected by atmospheric effects and snow cover, and (3) Savitzky–Golay and climatology temporal smoothing and gap filling techniques to ensure consistency and continuity as well as short term projection of the product dynamics. Performances of NRT estimates were evaluated by comparison with other products over the 2005-2008 period: (1) the offline estimates from the application of the algorithm over historical time series (HIST), (2) the geoland2 version 1 products also issued from VGT (GEOV1/VGT) and (3) ground data. NRT rapidly converges closely to the HIST processing after 6 dekads with major improvement after 2 dekads. Successive reprocessing will therefore correct for some instabilities observed in the presence of noisy and missing data. The RMSE between NRT and HIST LAI is lower than 0.4 in all cases. It shows a rapid exponential decay with the number of observations in the composition window with convergence when 30 observations are available. NRT products are in good agreement with ground data (RMSE of 0.69 for LAI, 0.09 for FAPAR and 0.14 for FCOVER) and consistent with GEOV1/VGT products with a significant improvement in terms of continuity (only 1% of missing data) and smoothness, especially at high latitudes and Equatorial areas.

Index Terms— Near real time; continuity; consistency; biophysical variables; global scale; VEGETATION

1. Introduction

Near real time (NRT) estimation of global biophysical variables from moderate spatial resolution satellite sensors are of high interest in a range of application areas including numerical weather forecasting or monitoring of rapid land surface changes (e.g. droughts, hurricanes, forest fires, floods). These NRT variables are also required to support policies on environment and water management, agriculture and food security (White and Nemani 2006). The NRT concept refers to the minimum delay required to deliver the product. This delay corresponds to the time necessary to acquire and process the images for daily products corresponding to a single image acquisition. However, most products are derived after compositing the images acquired successively within a compositing window that extends generally symmetrically before and after the date of the product. The delay associated to the NRT product has thus to be extended by half the compositing window. To reduce this delay, the compositing window needs to be dissymmetric by reducing the part after the date of the product. This may be achieved by short term projection from the past values at the product date. Although many studies point out the crucial need of NRT vegetation products (Fraser and Latifovic 2005; Ghulam et al. 2007; Running et al. 2004), only very few deal with near real time series (White and Nemani 2006; Xiao et al. 2011), and up to now, there is no delivery of NRT global vegetation product. Apart from this

47 NRT requirement, consistent and continuous long time series of global land surface variables are also essential
48 to identify trends and anomalies and point out high risk areas.

49
50 Europe develops operational land monitoring services within the Copernicus initiative previously known as
51 GMES (Global Monitoring of the Environment and Security). It will provide a series of bio-geophysical products
52 describing the status and evolution of land surfaces at the global scale from long time series of remote sensing
53 observations including near real time products. This project focuses on Leaf Area Index (LAI) and Fraction of
54 Absorbed Photosynthetic Active Radiation (FAPAR) variables recognized as Essential Climate Variables by
55 GCOS (GCOS 2011). It targets the vegetation cover fraction (FCOVER) variable as well. The Copernicus global
56 land component benefits from the pre-operational geoland2 FP7 project (Lacaze et al. 2010), in which the
57 GEOV1 products were developed.

58
59 GEOV1 products are derived from SPOT/VEGETATION data (hereafter called VGT) for the period 1999-
60 present (Baret et al. 2013). GEOV1 has been demonstrated to outperform current existing products both in
61 terms of accuracy and precision (Camacho et al. 2013). VGT time series archive was subsequently extended
62 back in time using AVHRR/LTDR data for the period 1981-2000 (Verger et al. 2012). The second version of
63 vegetation products (GEOV2) aims at being consistent with GEOV1/VGT in terms of accuracy while being
64 produced in near real time. NRT estimates will be complemented with offline time series from 1999 to present
65 which are expected to improve GEOV1/VGT in terms of continuity and consistency, especially at high latitudes
66 and Equatorial areas. Similarly to the GEOV1/VGT previous version, global GEOV2/VGT products at 10-day
67 time step and 1/112° spatial resolution will be freely delivered at Copernicus portal (land.copernicus.eu).

68
69 This paper focuses on the NRT aspect of forthcoming GEOV2/VGT products. The principles of the algorithm
70 to generate near real time estimates of global biophysical variables from VGT data are first described. Then
71 NRT estimates are evaluated based on the comparison with GEOV1/VGT and ground data. Particular
72 attention is paid to the influence of noise and missing data on the estimation performances.

73 2. Algorithm outline

74 The NRT algorithm capitalizes on the efforts undertaken in the first version of GEOV1/VGT products (Baret et
75 al. 2013) as well as in GEOV1/AVHRR (Verger et al. 2012) processing line. The main innovative relies in the
76 near real time estimation achieved by performing short term projection of the product dynamics. It consists of
77 using (1) neural networks to provide instantaneous variable estimates from VGT-P reflectances, (2) a multi-
78 step filtering approach to eliminate data mainly affected by atmospheric effects and snow cover, and (3)
79 temporal techniques to ensure consistency and continuity. The main steps of the NRT algorithm (Fig. 1) are
80 summarized hereafter (further details are provided in Baret et al. (2012)).

81 [Fig. 1]

82 2.1. Instantaneous estimates from VGT-P data

83 The derivation of the instantaneous biophysical $P^{VGT-P}(d_1)$ 1-day products (LAI, FAPAR, FCOVER) is based
84 on neural networks (NNT) trained using VGT-P reflectance data and fused MODIS and CYCLOPES LAI,
85 FAPAR, FCOVER products similarly as in GEOV1/VGT (Baret et al. 2013). One NNT was calibrated for each
86 of the three P variables (LAI, FAPAR, FCOVER) considered.

87 The inputs of the NNT are (1) the top of the atmosphere VGT-P reflectances in the four VGT bands (B0 (450
88 nm, $\Delta\lambda=40$ nm); B2 (645 nm, $\Delta\lambda=70$ nm); B3 (835 nm, $\Delta\lambda=110$ nm); SWIR (1165 nm, $\Delta\lambda=170$ nm)) , (2)
89 acquisition geometry information: cosine of the view zenith, sun zenith and relative azimuth angles, and (3)
90 atmospheric conditions: the ozone and water contents extracted from the VGT-P product. Correction for
91 Rayleigh and aerosol effects is expected to be achieved implicitly through the direct training of the networks
92 over the surface level biophysical products.

93 The output is the corresponding $P^{VGT-P}(d_1)$ instantaneous value of the biophysical variable (LAI, FAPAR or
94 FCOVER). To be consistent with GEOV1/VGT algorithm, this output is computed similarly by fusing
95 CYCLOPES version 3.1 (Baret et al. 2007) and MODIS collection 5 products (Yang et al. 2006). It consists in
96 a weighted average of both products. The weighing, w , is designed to enhance the specific advantage of each
97 product while limiting their deficiencies (Baret et al. 2013).

98

$$w = \frac{1}{0.982} \left(1 - \frac{1}{(1 + \exp(-2 \cdot LAI_{CYCV31} + 4))} \right)$$

99

$$\begin{cases} LAI_{fused} &= LAI_{MODC5} \cdot (1 - w) + LAI_{CYCV31} \cdot w \\ FAPAR_{fused} &= FAPAR_{MODC5} \cdot (1 - w) + FAPAR_{CYCV31} \cdot w \end{cases} \quad (1)$$

100 This smooth weighing function limits the brutal change observed for $LAI_{CYCV31} = 4$ in GEOV1 products (Fig.
101 2). Similarly to GEOV1, $w = 0.5$ when $LAI_{CYCV31} = 2$. Note that for FCOVER, no fusion was completed since
102 CYCLOPES was the only existing product.

103

[Fig. 2]

104 To make the training process computationally tractable, it was achieved for the 2005-2008 period over the
105 Benchmark Land Multisite Analysis and Intercomparison of Products (BELMANIP2) (Baret et al. 2006) sub-
106 sample of sites which are representative of surface types and conditions over the Earth. To improve the NNT
107 performances, the learning data base was filtered to remove input data contaminated by large atmospheric
108 BRDF effects (very high sun and view zenith angles) and by clouds, snow or water-bodies (blue reflectance
109 values $B0 > 0.25$ and points lying below the soil line in the B2, B3 and SWIR bands). The 84789 samples
110 retained after the filtering process were used to train the NNT.

111

2.2. Multi-step outlier rejection process

112 Despite the fact that water vapor and ozone were explicitly introduced as inputs in the NNT, that MODIS and
113 CYCLOPES products are derived from atmospherically corrected reflectances, and that the training data set
114 was filtered, the resulting instantaneous product estimates are still contaminated by residual cloud and
115 atmospheric effects (Fig. 3). The remaining outliers are filtered using a three-step process: (1) data are
116 excluded if they do not belong to the definition domain of reflectances defined by the convex hull formed by
117 the training dataset or if the product is out of the physical range of variation of the variable (0-7 for LAI, 0-0.94
118 for FAPAR and 0-1 for FCOVER), (2) a 3-iteration Savitzky-Golay filter (Verger et al. 2011) which leads to a
119 smoothed curve fitted to the upper envelope of values in the time series (Fig. 3) and (3) a specific procedure
120 for very noisy data (high latitude and equatorial forests) based on prior knowledge of the expected seasonality
121 (further details are provided in Baret et al. (2012)).

122

[Fig. 3]

123

2.3. Temporal composition

124 A temporal composition was finally applied over the filtered daily $P_F^{VGT-P}(d_1)$ estimates to generate the
125 biophysical $P(d_{10})$ products at 10-day step. It combines TSGF (Verger et al. 2011) and CACAO (Verger et al.
126 2013) techniques. TSGF (Temporal Smoothing Gap Filling) fits a second-degree polynomial over an
127 asymmetric temporal window. The window is made of past and future semi-windows of adaptive length varying
128 between 30 and 60 days. The length of the semi-window is determined by the availability of 6 valid
129 observations the closest to the date of the dekad at which the product is estimated (Verger et al. 2011). If less
130 than 6 observations exist in a 60 day semi-window, CACAO values evenly distributed every 10-days are used
131 to fill gaps before the application of TSGF.
132

133 CACAO (Consistent adjustment of Climatology to Actual Observations) consists in fitting the climatology to
134 actual observations for each growth season by scaling the magnitude and shifting the phenology. CACAO
135 allows to better cope with missing and noise contaminated data as compared to standard methods as found in
136 Verger et al. (2013) and Kandasamy et al. (2013). The climatology is computed as the inter-annual average of
137 GEOV1/VGT time series over the 1999-2012 period. If it is available for a given pixel, the CACAO method
138 allows filling all the gaps in the time series, even for missing data during long periods. Indeed, $P^{CACAO}(d_1)$ is
139 closer to the $P_F^{VGT-P}(d_1)$ data than the original climatology $P^{CLIM}(d_1)$ (Fig. 4). However, the main limitation of
140 CACAO reconstruction method is its inability to capture underlying atypical modes of seasonality including
141 rapid natural and human induced disturbances in the time series that strongly differ from the average
142 climatology (e.g. flood or fire events, changes in the land cover) (Verger et al. 2013). To prevent from such
143 drawback, priority is given to TSGF smoothing since it is closer than CACAO to the actual $P_F^{VGT-P}(d_1)$
144 observations, while CACAO is only used to fill large gaps in the time series before the application of TSGF.

145 The combination of the TSGF local fitting and the projection capacity of CACAO allows to process in near real
146 time (NRT) when only past observations are available. It allows also to process in offline mode the historical
147 time series (HIST) when observations are available before and after the considered date. In the NRT case,
148 CACAO is applied systematically to provide data every 10-days in the 60-day period after the NRT date. TSGF
149 is then applied using this 60 days semi-window in the future, while the past semi-window spreads over 30 to
150 60 days length depending on the availability of 6 valid observations.

151 The HIST and NRT processing is illustrated for LAI estimation in Fig. 4. For the NRT situation, although fitted
152 only with past data, CACAO (dashed blue line) estimates are generally closer to the instantaneous valid LAI
153 estimates from VGT-P (black circles) than the original climatology (dotted blue line). However, it can differ
154 from the historical processing (HIST continuous blue line) for periods with rapid LAI variations (from
155 senescence to dormancy in site #188) or when significant noise is observed in the data (e.g. second growing
156 season in site #233). In this case, the climatology fitting benefits from the availability of data before and after
157 the date at which LAI is estimated. The TSGF application partially mitigates the problems of CACAO and the
158 resulting NRT estimates (green line) shows a better local adaptation to the data than the original CACAO
159 (dashed blue line). Note that NRT (green line) and HIST (black line) time series are very similar although some
160 instabilities in NRT solution are found for very noisy data (e.g. January-April in site #233).

161 To avoid the instability in NRT estimates and improve the consistency with HIST time series, the products are
162 updated each time a new dekad is available and processed (real time estimates). This results in the delivery of
163 n successive updates of the n recent past values of the products in the convergence (CONV) period. To
164 determine n , the difference between the CONV- n product and the HIST processing was computed for the year
165 2008 over the BELMANIP2 sites. It was found that after 6 dekads the CONV-6 converges closely towards the
166 HIST processing (Fig. 5). Therefore, products will be updated during 6 dekads and then remain stable.

167 [Fig. 4]

168 [Fig. 5]

169 3. Evaluation of near real time estimates

170 The NRT algorithm was applied over time series of VGT-P data by considering only observations in the past
171 period of the date being processed. The performances of NRT and CONV- n estimates are assessed by
172 comparison both with (1) the HIST solution resulting from the application of the algorithm in offline mode with
173 observations before and after the date being evaluated; (2) and GEOV1/VGT products as well as the few
174 ground data available. This was achieved over the BELMANIP2 (Baret et al. 2006) and DIRECT sites
175 (Garrigues et al. 2008) where the variables were measured at the ground level. The temporal profiles over a
176 sample of sites are first discussed. Then, the performance of NRT and CONV- n estimates is assessed
177 focusing on the influence of noise and missing data. Finally, the accuracy of NRT estimates is assessed as
178 compared to ground data. For the sake of brevity, results focus on LAI which, among the three derived
179 products (LAI, FAPAR and FCOVER), is the most used by the scientific community and the most sensitive to
180 uncertainties in the satellite data.

181 3.1. Temporal profiles for a sample of sites

182 Few BELMANIP2 and DIRECT sites showing typical features have been selected to illustrate the performance
183 of NRT estimates as compared to HIST processing, GEOV1 product and ground based measurements
184 (Garrigues et al. 2008).

185 For regular sites having enough high quality data (Fig. 6), NRT and HIST solutions are very close and show a
186 good agreement with GEOV1 product and ground measurements. Reasonable performances and no
187 significant differences were found between the NRT estimates, the intermediate solutions in the convergence
188 period (CONV-3) and the consolidated one (CONV-6). The NRT estimates show more instability due to the
189 non-availability of actual observations in the semi compositing window after the date being processed
190 (climatology values are used in this case).

191 For sites near the equator, having a significant fraction of missing data and noise due to persistent clouds (Fig.
192 7), NRT still provides reliable solutions and clearly outperforms GEOV1 in terms of consistency and continuity.
193 The background information provided by the climatology fitting allows to efficiently fill the gaps: NRT estimates
194 show less than 1% of missing data over the BELMANIP2 sites for the 2003-2010 period as compared to the
195 20% (up to 40% for needleleaf and evergreen broadleaf forests) of gaps in GEOV1 product (Verger et al.

196 2014). Further, the outlier rejection applied for very noisy situations (e.g. Tapajos site) allows eliminating
197 contaminated data while keeping the expected level of LAI as indicated by the good agreement with ground
198 data.

199 For sites located at very high latitudes ($\text{Lat} > 50^\circ$) (Fig. 8), GEOV1 shows some artifacts and anomalous
200 seasonality in winter time (e.g. unexpected increase of LAI in October-November for Tundra and site #93).
201 These problems are probably due to the instabilities in the Bidirectional Reflectance Distribution Function
202 (BRDF) correction in extreme illumination conditions as well as possible residual snow pixel contamination
203 (Baret et al. 2007). These artifacts were corrected in NRT estimates because (1) the sun angle was explicitly
204 considered in the NNT, (2) outliers were rejected (e.g. site #93) and (3) climatology background information
205 regularizes the estimation leading to smooth and continuous temporal profiles. Some underestimation
206 problems also occurred in GEOV1 for these high latitude sites in the summer period partly due to the
207 significant amount of noise in the data. Although mostly corrected in the HIST and the consolidated CONV-6
208 products, they can still be observed for NRT and CONV-3 (e.g. site #107, #93 and #418). This is further
209 investigated by evaluating the impact of noise and missing data on NRT product in the next section.

210 [Fig. 6]

211 [Fig. 7]

212 [Fig. 8]

213 3.2. Sensitivity analysis to the number of observations and data noise

214 To better assess the performance of NRT estimates and their expected convergence towards HIST, the root
215 mean square error (RMSE) between HIST and CONV- n for n varying from $n=0$ (initial solution corresponding
216 to the NRT case with no observations after the date of estimation) to $n=6$ (consolidated product) is
217 investigated as a function of the noise in the data and the number of available valid observations before the
218 date of the estimate in the compositing window. The RMSE between the daily P_F^{VGT-P} observations and the
219 HIST values is used as an estimate of the noise.

220 The RMSE between CONV- n and HIST LAI linearly increases with the amount of noise in the data (Fig. 9a).
221 The RMSE slope of CONV- n versus RMSE of P_F^{VGT-P} relationship (both RMSE computed as compared to
222 HIST) is higher for the lower n order of CONV- n solutions: the initial NRT solution ($n=0$) is the most affected by
223 noisy data conversely to the consolidated solution (CONV-6). A rapid convergence is observed for the
224 intermediate solutions which show a similar pattern after 2 dekads (CONV-2). In all the cases including the
225 initial NRT (CONV-0), the slope of RMSE as a function of the noise is lower than 1 which indicates an
226 improvement in the performance of NRT estimates as compared to the original instantaneous P_F^{VGT-P} .

227 Regarding the relationship with the number of available observations (Fig. 9b), the RMSE between CONV- n
228 and HIST shows a rapid exponential decay. It is almost zero for 30 observations, i.e. the maximum number of
229 observations before the date of the estimate corresponding to the length of the half compositing window. The
230 RMSE values when no data is acquired before the date of the estimation (Fig. 9b) are very similar to the
231 RMSE values for a 0.5 noise level (Fig. 9a). In all cases, including the situations when few observations are
232 available and/or data are associated to a high level of noise, the discrepancies between NRT and HIST are
233 reasonably low (RMSE < 0.4 for LAI).

234 The number of available observations and the RMSE values of P_F^{VGT-P} appear to be pertinent indicators of the
235 quality of the NRT, CONV- n and HIST products and may help the user exploiting the time series.

236 [Fig. 9]

237 The spatio-temporal distribution of the average number of valid P_F^{VGT-P} observations before the date of
238 estimation (Fig. 10a) shows obvious patterns, with fewer valid observations around the equator and in winter
239 for the higher northern and southern latitudes. The distribution of RMSE between NRT (Fig. 10b), CONV-3
240 (Fig. 10c), CONV-6 (Fig. 10d) and HIST show consistent spatio-temporal patterns with the number of valid
241 observations: higher RMSE values are observed at locations and periods corresponding to the lower number
242 of available data. It must be noticed that due attention to the phenology and the associated expected LAI value
243 is required for a correct interpretation of the RMSE distributions. For example, the observed difference

244 between NRT and HIST for high latitude ($\text{lat} > 50$) (Fig. 10b) are lower for the winter time than in the growing
245 season consistently with the expected lower LAI values in winter (Fig. 8). The comparison of NRT and CONV-
246 3 distributions of RMSE shows that a clear improvement of CONV-3 performances (compare Fig. 10b and Fig.
247 10c). Marginal differences exist between RMSE distributions for CONV-3 (Fig. 10c) and CONV-6 (Fig. 10d).
248 These results are consistent with the previous findings (Fig. 9b) indicating a rapid convergence of the solution
249 after 2 dekads.

250 [Fig. 10]

251 3.3. Accuracy assessment

252 Both NRT and HIST estimates show a relatively good agreement with the available ground measurements of
253 LAI as observed over different dates along the phenological cycle of sites displayed in Fig. 6, Fig. 7 and Fig. 8.
254 More quantitative assessment was achieved using the 19 available ground-based measurements acquired
255 over 15 different 3 km x 3 km sites in the 2003-2007 period and compiled by Garrigues et al. (2008). In
256 addition to LAI, validation was achieved for FAPAR and FCOVER variables. For comparison purposes,
257 GEOV1/VGT products were also validated over the same ground dataset. Each product was interpolated at
258 the date of the ground measurements if two valid dekadal data exist within a maximum period of ± 30 days.
259 The comparison of NRT with the ground-based observations of LAI, FAPAR and FCOVER variables shows
260 respectively an overall RMSE of 0.69, 0.09, 0.14 (Table 1, Fig. 11). Similar performances are found for HIST
261 products over the same ground dataset (Table 1). NRT and HIST slightly outperform GEOV1 (lower RMSE,
262 higher correlation and slopes and offset of the linear regression respectively closer to 1 and 0). However, this
263 validation is limited by the low number of available ground-based measurements that were mostly achieved in
264 non-problematic conditions close to the maximum peak of vegetation. Further confrontation with ground based
265 data is required, particularly over sites located at equatorial regions (Fig. 7) or very high latitude (Fig. 8) where
266 higher noise and occurrence of missing data is expected in the satellite surface reflectance data.

267 [Fig. 11]

268 [Table 1]

269 4. Conclusions

270 This paper presents the NRT algorithm to derive dekadal biophysical products both for the near real time
271 conditions, as well as for the processing of the historical archive of VGT data at global scale. It capitalizes on
272 the efforts undertaken in the first version of geoland2 products (GEOV1/VGT and GEOV1/AVHRR) through a
273 3-step procedure including (1) neural networks to provide instantaneous estimates from VGT-P reflectances,
274 (2) a multi-step filtering approach to eliminate data mainly affected by atmospheric effects and snow cover,
275 and (3) temporal techniques (TSGF Savitzky-Golay adaptive local filter and CACAO climatology fitting) to
276 ensure consistency and continuity as well as short term projection of the product dynamics.

277 The NRT method was applied over actual satellite daily VGT-P observations for the 2005-2008 period over the
278 BELMANIP2 and DIRECT ensemble of sites. Performances were evaluated by comparison of NRT estimates
279 (i.e. when no available observation is available after the date of estimation) with historical (HIST) processing
280 resulting from the application of the algorithm over the archive of VGT data (i.e. using observations before and
281 after the considered date), GEOV1/VGT products and available ground data. Results show the potential of the
282 NRT algorithm for continuous, consistent and near real time estimation of global biophysical products from
283 satellite observations.

284 NRT estimates show reasonable performances to reproduce the expected seasonality over a variety of
285 vegetation conditions although some instabilities in the solution were identified in presence of noise and gaps
286 in the data. To increase the robustness of the solution and improve the consistency with HIST time series, the
287 products are updated each time a new dekad is processed until reaching convergence. NRT rapidly converges
288 closely towards the HIST processing after 6 dekads (consolidated solution) with major improvements in the
289 patterns of intermediate solutions after 2 dekads. The performances of the algorithm are closely linked to the
290 number of observations available before the date of estimation and the noise in the data. Both of them are
291 provided along with the product values as quality indicators.

292 First validation results indicate that NRT products have high consistency with GEOV1/VGT products with a
293 significant improvement in terms of continuity (less than 1% of missing data over the BELMANIP2 sites as
294 compared to the 20% of gaps in GEOV1 products) and temporal consistency (smoother products less affected

295 by noise in the data), especially at high latitudes and Equatorial areas. Indeed, the use of the climatology
296 allows filling gaps and improves robustness in time series. Note however that for long periods of missing data,
297 NRT estimation is very challenging and solution may be affected by the inability of the climatology to capture
298 underlying atypical modes of seasonality. The user is advised to use quality metrics and quality flags
299 associated with the products. Finally, good agreement with the available ground measurements was observed
300 (root mean square error of 0.69 for LAI, 0.09 for FAPAR and 0.14 for FCOVER) although this validation needs
301 to be extended to other sites and years. Further, confrontations with other existing temporal methods for NRT
302 estimation (e.g. Jiang et al. 2010) and assimilation techniques including Kalman Filters (e.g. Xiao et al. 2011)
303 should also be conducted.

304 The proposed method will be implemented in the Copernicus GIO land programme and GEOV2/VGT global
305 biophysical products will be freely delivered through the Copernicus portal (land.copernicus.eu) at 1/112°
306 spatial resolution every 10 days in NRT as well as in offline mode (time series from 1999 to present). NRT
307 products are expected to support a wide range of applications and policies on the environment requiring
308 information of the status and evolution of land surface at global scale. Since GEOV2/VGT products are based
309 on the same principles as for the GEOV1/AVHRR (1981-2000) products (Verger et al. 2012), the combination
310 of both datasets is expected to provide continuous and consistent long time series of global LAI, FAPAR and
311 FCOVER variables for the last three decades. These long-term data records are expected to contribute to
312 global climate monitoring and earth science modeling applications.

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392 Table 1. Statistics of the comparison of NRT, HIST and GEOV1/VGT with ground measurements for LAI,
 393 FAPAR and FCOVER variables over the DIRECT sites for the 2003-2007 years: number of sites, number of
 394 samples (sites x dates), percentage of samples which meet GCOS requirements in terms of accuracy
 395 (max(20%, 0.5) for LAI, max(10%, 0.05) for FAPAR (and FCOVER) (GCOS 2011)), root mean square error
 396 (RMSE), correlation coefficient (R), slope and offset of the linear regression.

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		Nb. site	Nb. sample	%OK GCOS	RMSE	R	slope	offset
LAI	NRT	15	19	89	0.69	0.94	0.89	-0.01
	HIST	15	19	80	0.78	0.92	0.86	0.03
	GEOV1	15	19	75	0.76	0.92	0.89	0.01
FAPAR	NRT	13	13	62	0.09	0.97	0.97	0.06
	HIST	13	13	54	0.09	0.95	0.90	0.07
	GEOV1	13	13	38	0.12	0.91	0.91	0.07
FCOVER	NRT	17	24	33	0.14	0.88	1.27	-0.10
	HIST	17	24	29	0.14	0.87	1.28	-0.10
	GEOV1	17	24	33	0.15	0.86	1.34	-0.14

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420 Fig. 1. Flow chart showing the general principles of the NRT algorithm used to derive biophysical products
421 from VGT-P reflectances. The three main steps identified in the text are highlighted in grey. The white ellipses
422 correspond to the applied methods. The original, final and intermediate products with specification of their
423 temporal sampling (d_1 for daily and d_{10} for dekadal) are indicated in rectangular white boxes. For step 1, fused
424 MODIS +CYCLOPES data are used only in the NNT training process.

425 Fig. 2. The weighing function used for the fusion between CYCLOPES and MODIS LAI and FAPAR products.
426 The dashed line corresponds to the weight used for generating GEOV1 products. The dotted line corresponds
427 to $w = 0.5$.

428 Fig. 3. Illustration of the 3-iterations of TSGF filtering (continuous line) to eliminate contaminated data (filled
429 circles). Empty circles correspond to valid data. The number of the BELMANIP2 site, the biome class
430 (following the GLOBCOVER map, (Defourny et al. 2009)) and the latitude and longitude are indicated.

431 Fig. 4. Illustration of the HIST and NRT LAI estimation over two BELMANIP2 sites. The number of each site,
432 the biome class (following GLOBCOVER map, (Defourny et al. 2009), the latitude and longitude are indicated.

433 Fig. 5. Evaluation of the differences between CONV- n and HIST processing over the BELMANIP2 sites for
434 year 2008 as a function of the number of dekads after the date being processed, n . Zero dekads ($n = 0$)
435 corresponds to the NRT case with data available only for the past. The several gray values correspond to 75%
436 (dark gray), 90% (medium gray) and 95% (light gray) of the population, and the dots to 5% percentile of
437 residual outliers. The bold back solid line (close to 0:0 line) corresponds to the median value of the
438 differences.

439 Fig. 6. Temporal profiles of the NRT, CONV- n for $n=3,6$ dekads after the date being processed, HIST and
440 GEOV1 LAI products for regular sites. The valid and filtered VGT-P LAI estimates as well as the available
441 ground measurements are shown. The title of each plot indicates the DIRECT site name or BELMANIP2 site
442 number, the GLOBCOVER (Defourny et al. 2009) biome class, the latitude and longitude in degrees.

443 Fig. 7. Same as Fig. 6 but for sites near the Equator.

444 Fig. 8. Same as Fig. 6 but for very high latitude sites.

445 Fig. 9. RMSE between CONV- n and HIST estimates as a function of (a) noise in the data computed as the
446 RMSE between the daily P_F^{VGT-P} observations and the HIST product and (b) number of valid observations in
447 the left semi-period of composition (i.e. before the date of estimation). $n=0, 1..6$ corresponds to the dekad
448 number after the date of estimation results shown for the LAI over the BELMANIP2 sites for the year 2008.
449 Zero dekads ($n = 0$) corresponds to the NRT case.

450 Fig. 10. Spatio-temporal distribution as a function of the latitude (10° steps) and the date of acquisition
451 (monthly step) of (a) the average number of valid daily VGT-P observations before the date of the NRT
452 estimates, (b) the RMSE between NRT and HIST, CONV- n and HIST for (c) $n=3$ and (d) $n=6$ where n is the
453 number of dekads after the date of estimation. Evaluation for LAI estimates over the 445 BELMANIP2 sites for
454 the year 2008.

455 Fig. 11. Comparison of NRT estimates with scaled ground measurements for LAI, FAPAR and FCOVER. The
456 different symbols correspond to the five biome classes as derived from the GLOBCOVER (Defourny et al.
457 2009) global landcover: Shrubs/Savana/Bare soil (SSB), Crops and Grassland (CG), Deciduous Broadleaf
458 Forests (DBF), Needleleaf Forest (NF), and Evergreen Broadleaf Forest (EBF). The dotted line corresponds to
459 the 1:1 line. The solid lines represent the GCOS accuracy criteria: max(20%, 0.5) for LAI, max(10%, 0.05) for
460 FAPAR (and FCOVER) (GCOS 2011). The statistics of the comparison are provided in Table 1.

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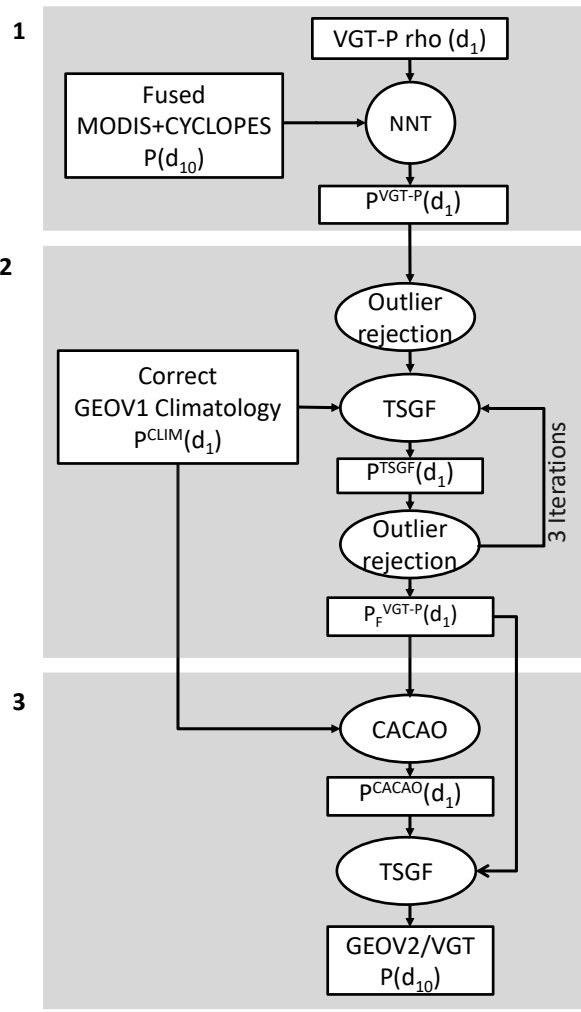
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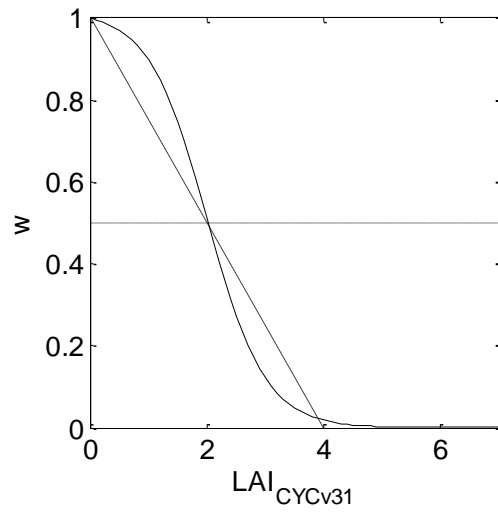
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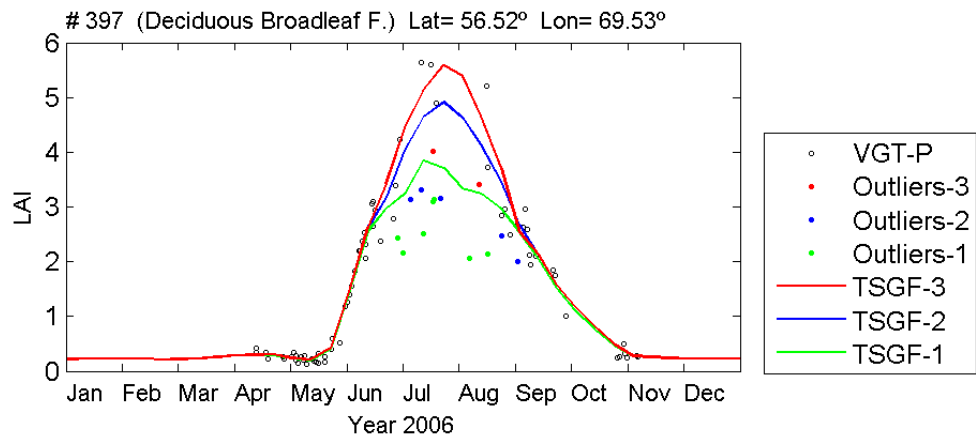


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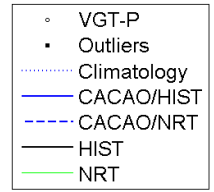
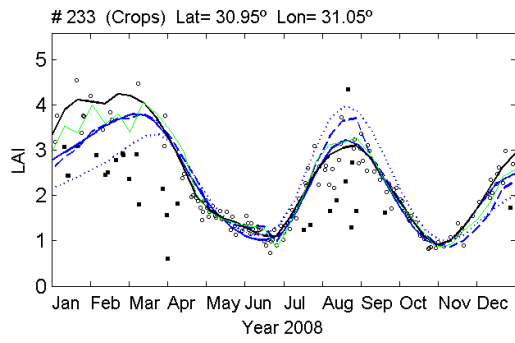
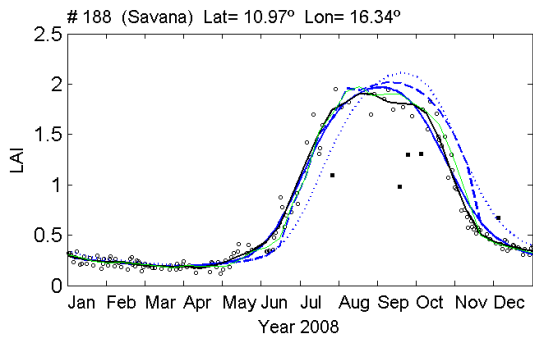
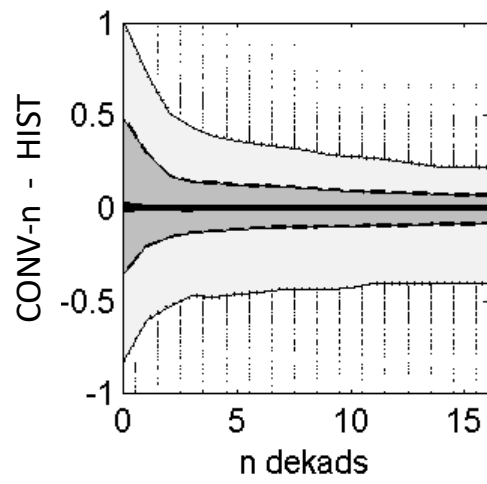


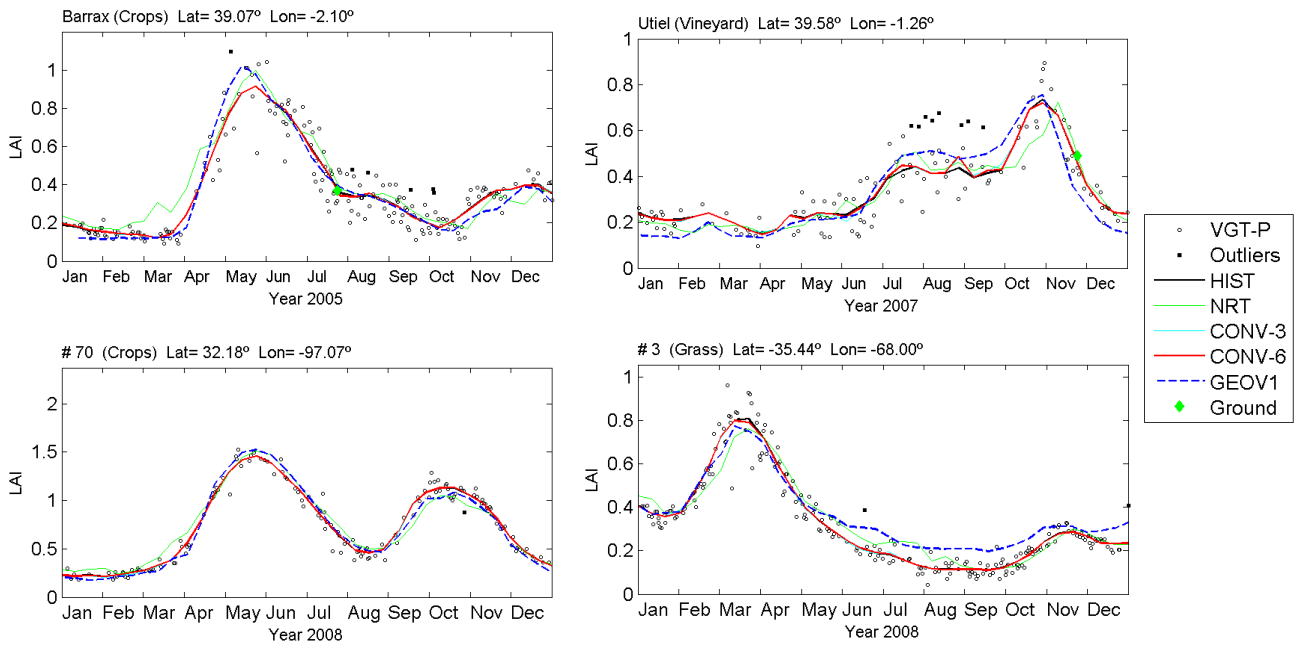
Fig. 4

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540 Fig. 5

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564 Fig. 6

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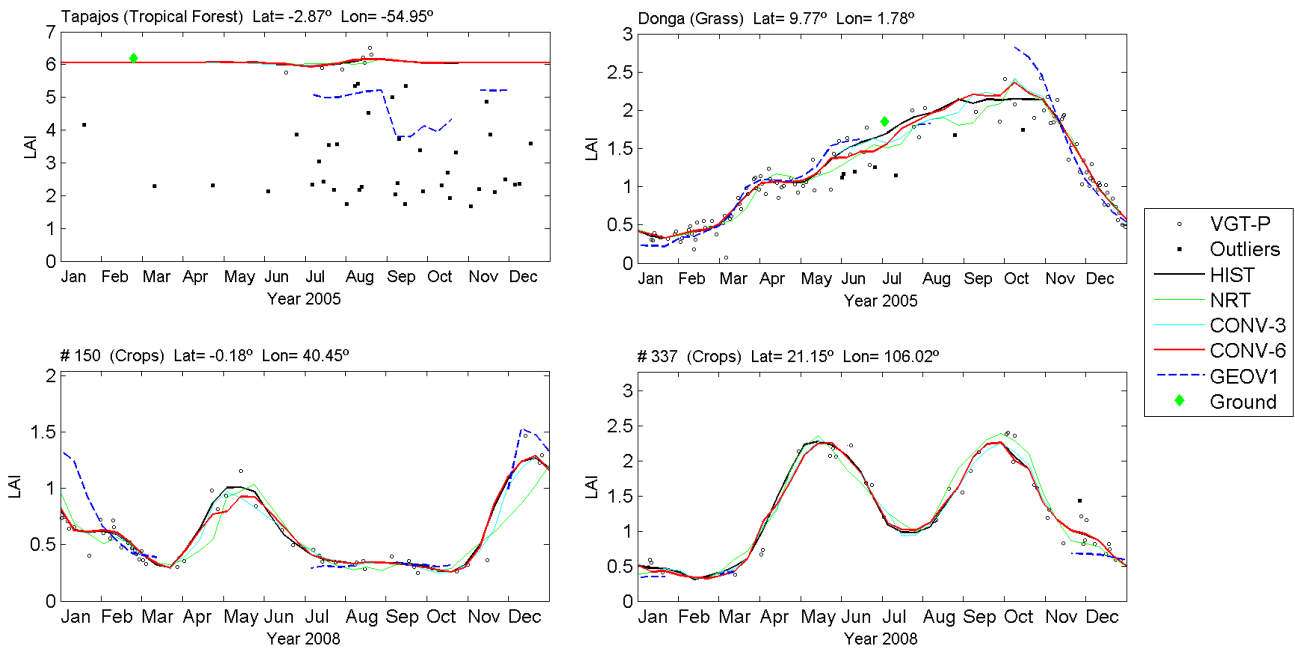
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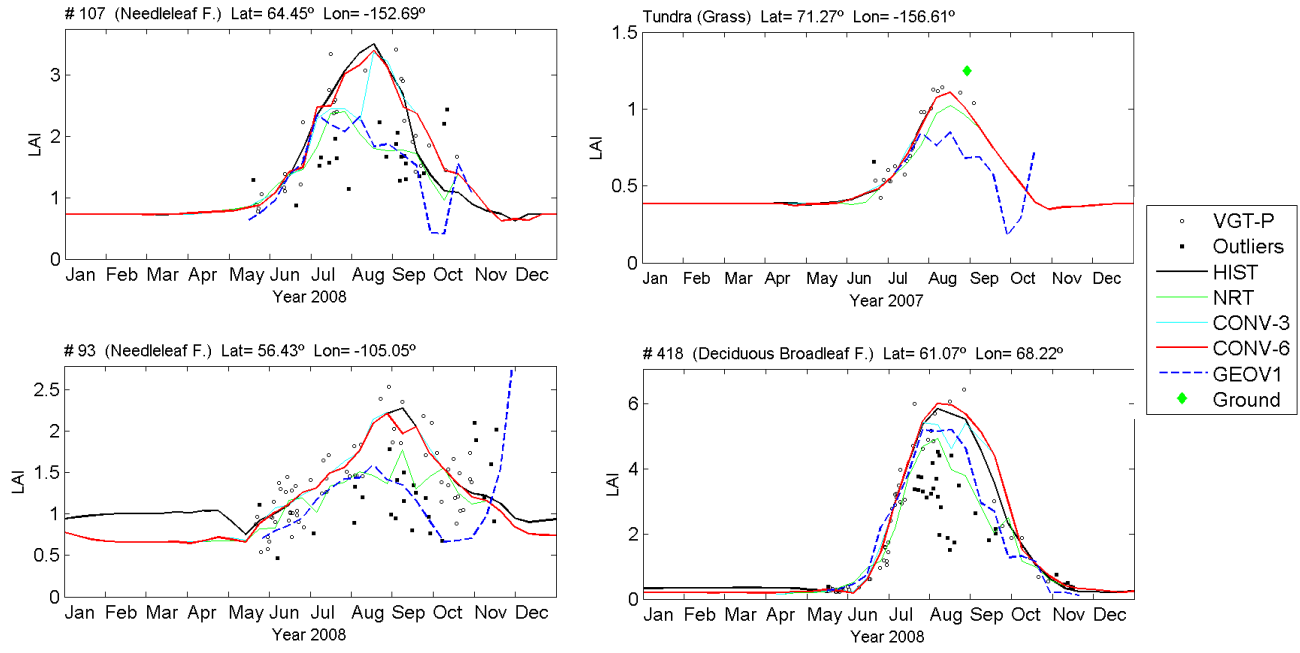
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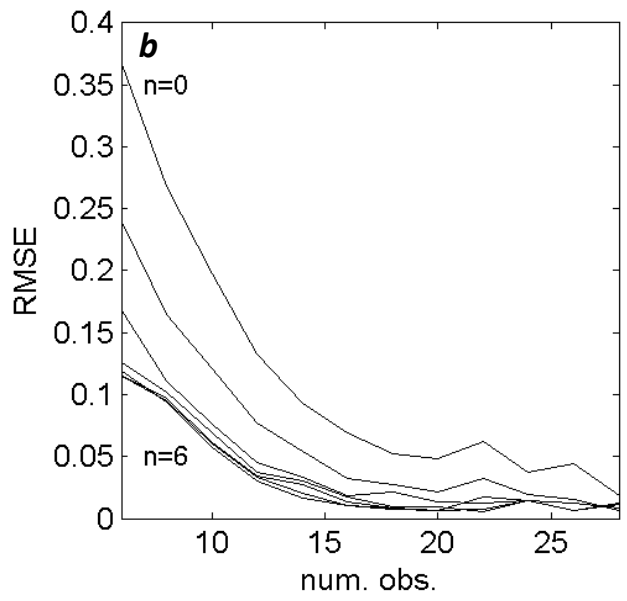
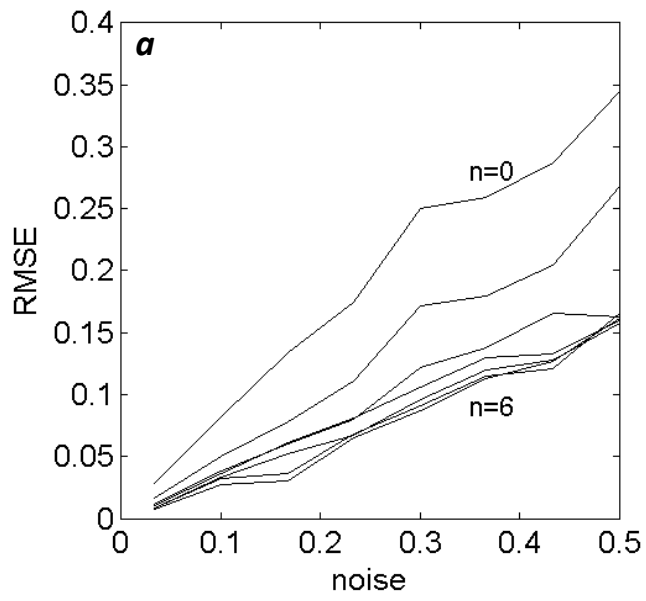
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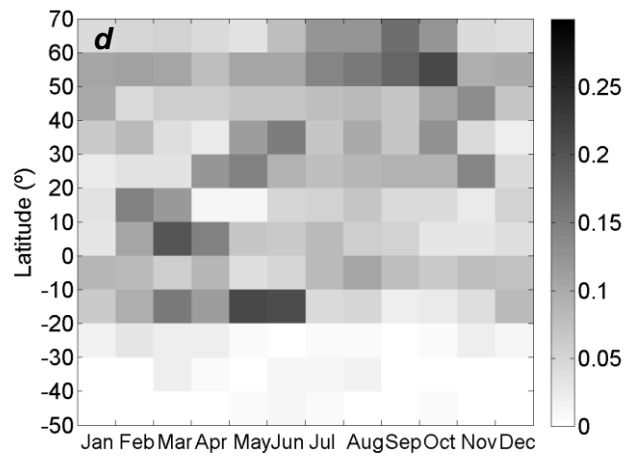
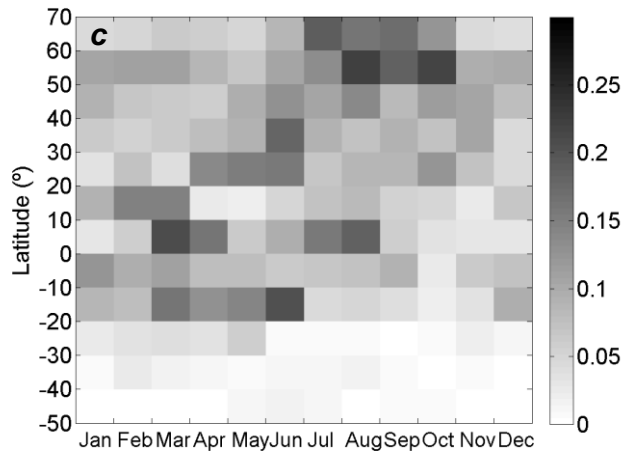
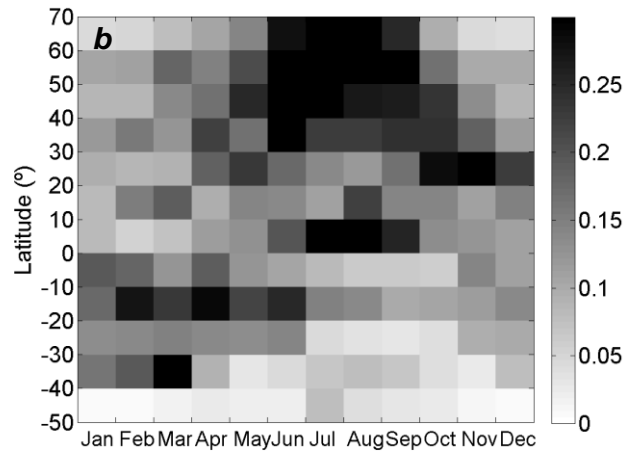
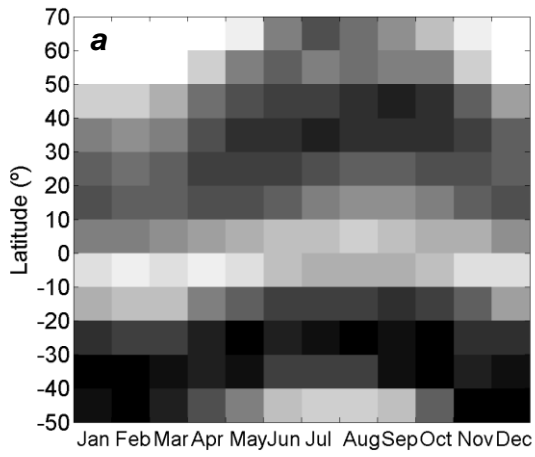
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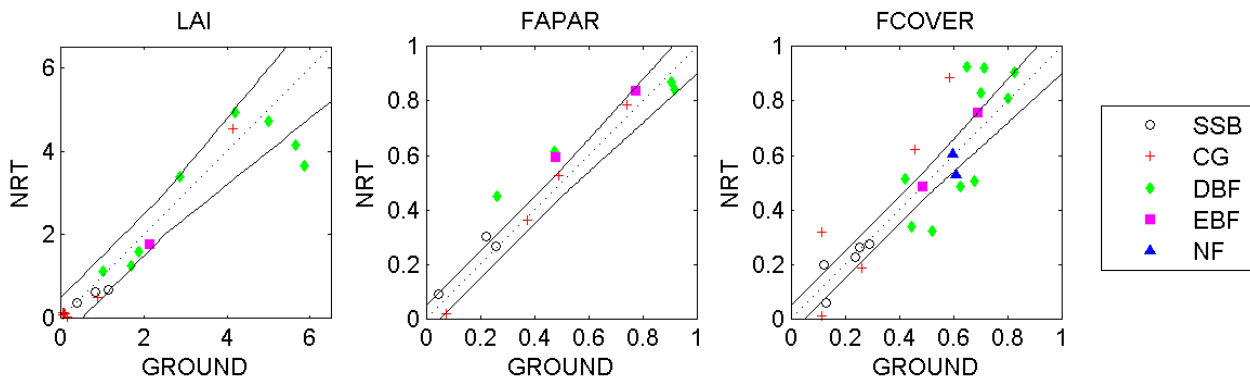


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654 Fig. 10

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671 Fig. 11

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