

1 | **Visible ozone-like injury, defoliation, and mortality in two *Pinus uncinata* stands in**
2 **the Catalan Pyrenees (NE Spain)**

3 Maria Díaz-de-Quijano^{1,2,*}, Shawn Kefauver², Romà Ogaya², Pierre Vollenweider³,
4 Àngela Ribas², Josep Peñuelas^{1,2}

5 ¹CSIC, Global Ecology Unit CREAM-CEAB-UAB, Cerdanyola del Vallès 08193, Catalonia, Spain.

6 ²CREAF, Cerdanyola del Vallès 08193, Catalonia, Spain.

7 ³Swiss Federal Institute for Forest, Snow and Landscape Research WSL, Birmensdorf, Switzerland.

8 * Corresponding author. Tel.: +34 93 581 48 50; fax: +34 93 581 41 51.

9 E-mail address: m.diaz.de.quijano@gmail.com

10 **Abstract**

11 Ozone concentrations in the Pyrenees have exceeded the thresholds for forest protection
12 since 1994. We surveyed the severity of visible O₃ injuries, crown defoliation, and tree
13 mortality of *Pinus uncinata*, the dominant species in subalpine forests in this mountain
14 range, along two altitudinal and O₃ gradients in the central Catalan Pyrenees and
15 analysed their relationships with the local environmental conditions. The severity of
16 visible O₃ injuries increased with increasing mean annual [O₃] when summer water
17 availability was high (summer Precipitation/Potential evapotranspiration above 0.96)
18 whereas higher [O₃] did not produce more visible injuries during drier conditions. Mean
19 crown defoliation and tree mortality ranged between 20.4-66.4 and 0.6-29.6%,
20 respectively, depending on the site. Both were positively correlated with the
21 accumulated O₃ exposure during the last five years and with variables associated with
22 soil-water availability, which favours greater O₃ uptake by increasing stomatal
23 conductance. The results indicate that O₃ contributed to the crown defoliation and tree
24 mortality, although further research is clearly warranted to determine the contributions
25 of the multiple stress factors to crown defoliation and mortality in *P. uncinata* stands in
26 the Catalan Pyrenees.

27 **Keywords**

28 Ozone, Pyrenees, *Pinus uncinata*, visible ozone injury, defoliation, mortality.

29

30 **Acknowledgements**

31 This research was supported by the European Research Council Synergy grant ERC-
32 2013-SyG-610028 IMBALANCE-P, the Spanish Government grant CGL2013-48074-
33 P, and the Catalan Government grant SGR 2014-274. The first author was funded by a
34 grant from the CSIC JAE programme.

35

36 **1. Introduction**

37 Ozone (O₃) concentrations since 1994 in the Catalan Pyrenees have consistently
38 exceeded the critical level (5000 ppb·h), target value (9000 ppb·h), and long-term
39 objective (3000 ppb·h) for the protection of forest and semi-natural vegetation set by the
40 CLRTAP/UNECE and the European Directive 2008/50/EC (Díaz-de-Quijano et al.,
41 2012). An increase of the O₃ concentrations by a factor of five (1.6%·y⁻¹) has been
42 observed in the Pyrenees from the end of the 19th century to the early 1990s (Marengo et
43 al., 1994). O₃ concentrations increased significantly along an altitudinal gradient in the
44 central Catalan Pyrenees, from annual averages of 35 ppb_v at 1040 m a.s.l. to 56 ppb_v at
45 2300 m a.s.l. for 2004-2007, but reaching 38 and 74 ppb_v, respectively, during the warm
46 period (April-September) (Díaz-de-Quijano et al., 2009).

47 O₃ pollution in the Pyrenees is potentially detrimental to the natural vegetation
48 and forests (Díaz-de-Quijano et al., 2012). High levels of O₃ pollution have caused
49 typical O₃-induced injuries in studies in other European countries under controlled
50 conditions (Gimeno et al., 2004; Manninen et al., 2003; Marzuoli et al., 2009; Paoletti et
51 al., 2009; Penuelas et al., 1994; Ribas et al., 2005) or in the field (Calatayud et al., 2007;
52 Cvitas et al., 2006; Vollenweider et al., 2003a; Waldner et al., 2007). Some forest trees
53 and herbaceous species along the altitudinal gradient in the Pyrenees are also sensitive
54 to O₃, e.g. *Fagus sylvatica*, *Pinus sylvestris*, and *Betula pendula* (Karlsson et al., 2003)
55 and *Phleum alpinum*, *Leontodon hispidus*, *Valeriana officinalis*, *Silene acaulis*, and
56 *Hieracium pilosella* (Hayes et al., 2007). O₃ detrimental effects on vegetation include

57 physiological changes in leaves that eventually affect the amount of carbon available for
58 growth and metabolic needs (Andersen, 2003). Since these effects differ among species
59 in quality and magnitude, O₃ can alter plant interspecific competition giving place to
60 shifts in community composition and losses of biodiversity (Wedlich et al., 2012).

61 The Mountain Pine (*Pinus uncinata* Ram.) is an autochthonous European
62 species that dominate the subalpine forests in the central and eastern Pyrenees to 2400
63 m a.s.l. from 1600 to 1800 (depending on the area) (Burriel et al., 2004). Mountain pine
64 forests play a key role in the central and eastern Pyrenees regarding timber production
65 (between 190 000 and 215 000 m³/year), the protective function against natural risks
66 (floods, avalanches and erosion), biodiversity and landscape conservation, protection of
67 threaten species (i.e. *Tetrao urogallus*), recreational uses and summer pastures (Coll et
68 al., 2012). Nonetheless, the forest capacity to deliver these ecosystem services can be
69 altered due to changes in their ecological function resulting from global change
70 disturbances (Millar and Stephenson, 2015). The impacts of O₃ on these subalpine
71 forests of *P. uncinata* in the Pyrenees and on the livelihoods of forest-dependent
72 communities could have thus major ecological, economic, and social consequences.

73 The effects of O₃ on *P. uncinata* have been recently determined in several
74 studies. Two-years old or older foliage of *P. uncinata* can develop diffuse light-green
75 mottling characteristic of O₃ stress (Diaz-de-Quijano et al., 2011), similar to that
76 reported in other pine species (Sanz and Calatayud, 2015). This diagnosis was
77 confirmed experimentally (Diaz-de-Quijano et al., 2012b; Mortensen, 1994) and
78 microscopically (Diaz-de-Quijano et al., 2011), with typical hypersensitive-like
79 reactions underlying and causing the visible injury (Günthardt-Goerg and Vollenweider,
80 2007; Vollenweider et al., 2013). However, the extent of visible O₃ injuries to *P.*

81 *uncinata* stands in the Pyrenees and the general health of this tree species have not yet
82 been determined.

83 The aims of this study were: 1) to evaluate the severity of visible O₃ injuries in
84 two *P. uncinata* stands along an altitudinal gradient in the Pyrenees where O₃
85 concentrations have been monitored for several years, and 2) to assess crown defoliation
86 and mortality as indicators of the health of the stands.

87

88 **2. Materials and methods**

89 *2.1. Study area*

90 The study area was in the county of La Cerdanya in the central Catalan Pyrenees (north-
91 eastern Spain) (Fig. 1). This region is characterised by a mean annual temperature of 7.4
92 °C and a mean annual rainfall near 895 mm (climatic data for 1951-1999 from the
93 Climatic Digital Atlas of Catalonia (CDAC)Ninyerola et al., 2000), corresponding to a
94 Cfb climate of the Köppen-Geiger Classification System, defined as a temperate climate
95 without a dry season (Agencia Estatal de Meteorología (España), 2011). Average
96 monthly meteorological data for the study area for 1951-1999 are shown in Fig. 2.

97 We surveyed visible O₃-like injuries, crown defoliation, and tree mortality on
98 altitudinal transects within two forest stands dominated by *P. uncinata* (Diaz-de-
99 Quijano et al., 2009). One transect (Guils transect) had a north-eastern aspect and
100 ranged from 1500 to 2200 m a.s.l., and the other transect (Meranges transect) had a
101 southern aspect and ranged from 1700 to 2300 m a.s.l. Nine sites were distributed along
102 both transects and were surveyed for visible O₃ injury (Fig. 1). Crown defoliation was
103 surveyed in eighteen plots and tree mortality in sixty plots (the same eighteen plots as
104 before plus forty-two new plots) distributed in six sites at altitudes ranging from 1500 to
105 2200 m (Fig 1). Each plot was 20×20 m and was separated by at least 30 m within

106 homogenous, similarly oriented, and sloping parts of the stands and showed no signs of
107 recent disturbance or silvicultural treatment. In most cases, plots for crown defoliation
108 and mortality sampling could not be located in the sites where visible foliar injury was
109 assessed because O₃ passive sampling with their corresponding visible foliar injury sites
110 did not always show the set of required characteristics just mentioned above.

111

112 *2.2. Characterisation of site conditions*

113 Topographic wetness indices were used to characterise spatial soil-moisture conditions
114 at a catchment scale (Beven and Kirkby, 1979; O'Loughlin, 1986). These indices
115 assume that topography plays a key role in controlling and modifying the hydrology at a
116 hillslope scale (Grayson et al., 1999). We thus obtained a GIS-derived topographic
117 index (topographic wetness index, TWI) that accounts for the contributing area of the
118 catchment that drains into a given point and for the slope of the terrain, following the
119 method by Galiano et al.(2010). The water availability during summer was estimated by
120 the ratio of summer (July to September) total precipitation to average potential
121 evapotranspiration (P/PET) for 1951-1999.

122 Soil depth was estimated by forcing a 130 cm steel rod into the soil to the
123 bedrock and averaging readings from five locations. Estimates were obtained at the nine
124 sites where visible O₃ injuries were assessed and at the plots used for the assessments of
125 crown defoliation and tree mortality. Maximum water-holding capacity (MWHC) of the
126 soil was estimated by dividing the mass of water retained after 24 h in a soil core to a
127 depth of 20 cm by the dry mass of the soil.

128 We used passively sampled O₃ concentrations monitored at nine sites (Fig. 1)
129 between 2004 and 2008 to calculate the derived O₃ variables. Five sites were located in
130 the Guils transect (north-eastern aspect from 1500 to 2200 m a.s.l.) and four sites, in the

131 Meranges transect (southern aspect from 1700 to 2300 m a.s.l.). The sampling sites
132 were located every 200 m in altitude in a forested area dominated by *Pinus uncinata* and
133 in relatively accessible sites to facilitate fortnightly sampling (for further details about
134 the sampling locations and procedure see Diaz-de-Quijano et al., 2009). Radiello radial
135 symmetry passive samplers (Cocheo et al., 1996) were used to analyse O₃ at all
136 sampling sites. Frequency of sampling was two weeks during the warm period (April to
137 September) and once a month in the cold period (October to March). The derived O₃
138 variables comprised the average of the mean annual concentrations for 2005-2007, the
139 average of the mean summer (April to September) concentrations for 2004-2008, and
140 the accumulated sum of the mean fortnightly concentrations for 2004-2008. The average
141 mean annual O₃ concentrations for 2005-2007 were selected for comparison with the
142 O₃-induced injuries on the basis of previously identified correlations between these two
143 estimates (Kefauver et al., 2014). We used the sum of mean fortnightly O₃
144 concentrations for 2004-2008 for comparison with estimates of crown defoliation and
145 tree mortality. The mean O₃ concentrations from April to September for 2004-2008 were
146 calculated in order to better characterize each site .

147

148 2.3. Assessment of the severity of visible O₃ injury

149 A total of 27 *P. uncinata* trees were examined for visible O₃ injury in May 2007. Three
150 trees 1) close to the measuring station, 2) at least 2 m high, 3) with a diameter at breast
151 height (DBH) >10 cm, and 4) with accessible and unshaded branches were selected at
152 each of the nine sites equipped with O₃ passive samplers (Fig. 1; Díaz-de-Quijano et al.,
153 2009). Outer and non-terminal branches with a minimum of five needle generations
154 were sampled from the northern and southern sides of the trees at mid-canopy height
155 and from the tree tops using a tree-pruning pole. The severity of visible O₃ injury (VI-

156 sev) is one of the two scaled scorings of visual chlorotic mottling (VI), which is part of
157 the Ozone Injury Index (OII) (Arbaugh et al., 1998; Duriscoe et al., 1996 and see
158 <http://www.fs.fed.us/psw/publications/documents/gtr-155/> for further details). VI-sev is
159 calculated by estimating the average percentage of chlorotic mottling for all
160 symptomatic needles and converting the estimates into a semi-quantitative variable with
161 five grades of intensity (1:1-6, 2:7-25, 3:26-50, 4:51-75, and 5:>75%). A computer-
162 generated chart with different percentage covers of chlorotic mottling was used to assess
163 the VI-sev in order to reduce the source of personal error.

164

165 *2.4. Assessment of crown defoliation and tree mortality*

166 Crown defoliation and tree mortality were assessed in July 2008. Crown defoliation
167 was estimated by a method slightly modified from that described in the
168 UNECE/CLRTAP manual (UNECE/CLRTAP, 2006). We chose four subplots oriented
169 along the main compass directions 8 m from the centre of each plot. The six trees
170 nearest to the subplot centre were selected as sample trees, for a total of 24 sample trees
171 per plot. Defoliation was estimated in 5% classes relative to a reference tree as
172 suggested in the manual (UNECE/CLRTAP, 2006). The reference tree was a healthy
173 tree with no defoliation, located in the lowest altitude site of the Meranges transect. It
174 was representative of approximately 75% of the trees in this site. Ratings were averaged
175 at the plot level. Tree mortality was assessed by counting the total numbers of live and
176 dead trees and measuring the DBH of each tree. The tree density, percentage of dead
177 trees, and total basal area (BA) were then calculated and averaged at the plot level.

178

179 *2.5. Statistical analyses*

180 General linear models were used to study the relationships between site characteristics
181 and severity of visible injury, defoliation, and mortality. Parameters of the fitted models
182 (β) were estimated using maximum likelihood. The selection of the model was based on
183 a stepwise procedure using the Akaike information criterion (AIC). Data were
184 transformed when needed to satisfy the assumption of normality ($\log(\text{VI-sev})$,
185 $\log(\text{defoliation})$, $\log(\text{mortality}+1)$). All analyses were performed with R, version 2.12.2
186 (2011, The R Foundation for Statistical Computing).

187

188 **3. Results**

189 *3.1. Assessment of severity of visible O₃ injury*

190 VI-sev ranged between 1 and 2 on the Guils transect and 1.4 and 3.2 on the Meranges
191 transect (Table 1). VI-sev was higher in sites at higher altitude (Table 1) on both
192 transects, but the average VI-sev was lower on the Guils (mean \pm SE of 1.26 \pm 0.2) than
193 the Meranges (mean \pm SE of 2.08 \pm 0.4) transect. The final model for VI-sev fitted using
194 stepwise model selection is shown in Table 2. The interactions between the explanatory
195 variables in the model were significant. A higher VI-sev was thus associated with
196 higher [O₃] only when summer P/PET was >0.96. Individual relationships of VI-sev
197 with summer P/PET and mean annual [O₃] for 2005-2007 are shown in Fig. 3.

198

199 *3.2. Defoliation and tree mortality*

200 A summary of the defoliation and tree mortality grouped by altitude are shown in Table
201 3. Defoliation ranged between 20 and 66% and was generally higher on the Guils than
202 the Meranges transect. Defoliation and tree mortality also clearly tended to increase
203 with altitude on the Guils transect (Table 3). This pattern was not as clear on the
204 Meranges transect, where the mid-altitude site had the highest defoliation. Tree

205 mortality increased with altitude on both transects but was higher on the Guils transect,
206 ranging between 1-30 and 1-7.5% on the Guils and Meranges transects, respectively.
207 The Guils transect generally had clearer increasing trends with altitude and higher
208 defoliation and mortality than the Meranges transect (Table 3). The Guils transect also
209 had wetter conditions than Meranges, as indicated by the generally higher values for the
210 variables associated with site water availability (e.g. topographic wetness index, soil
211 depth, MWHC) (Table 3).

212 Both defoliation and mortality were mostly affected by the sum of the mean
213 fortnightly $[O_3]$ for 2004-2008 but were also associated with the explanatory variables
214 defining site water availability and stand characteristics (Table 2). Increases in
215 defoliation and mortality were associated with higher accumulated exposures to O_3 and
216 with higher water availability, which was represented by MWHC for defoliation and by
217 the topographic wetness index and summer P/PET for mortality (Figs. 4 and 5). Both
218 defoliation and mortality showed the highest values above a threshold of sum of
219 fortnightly $[O_3]$ of 2900 ppb. Defoliation increased abruptly above an MWHC threshold
220 of $0.58 \text{ g H}_2\text{O}\cdot\text{g soil}^{-1}$, and mortality increased above a threshold of 12.5 of the
221 topographic wetness index. Stand basal area was negatively correlated with defoliation
222 in the defoliation model, although only marginally, whereas mean DBH was positively
223 correlated with mortality.

224

225 **4. Discussion**

226 *4.1. Dependence of VI-sev on summer P/PET and mean annual $[O_3]$ for 2005-2007*

227 The effects of O_3 on vegetation depend on the amount of O_3 entering the leaves and the
228 plant's sensitivity to O_3 (Matyssek et al., 2008). O_3 uptake is highly influenced by the

229 availability of soil moisture, because it directly affects stomatal conductance (Nunn et
230 al., 2005; Patterson et al., 2000; Schaub et al., 2007, 2003). Soil-water availability may
231 also be one of the most important site factors influencing the response of trees to O₃
232 stress (Lefohn et al., 1997; Ollinger et al., 1997; Vollenweider et al., 2003a, 2003b).
233 This influence is in agreement with our results showing that the severity of visible O₃
234 injury increased with increasing [O₃] under situations of relatively high summer P/PET
235 (>0.96). Stomatal conductance, and the consequent O₃ uptake, were likely high under
236 high summer P/PET. The lower VI-sev with increasing [O₃] under conditions of low
237 summer P/PET could similarly be due to lower stomatal conductances under a certain
238 level of water availability. Under a situation of low water availability, O₃ uptake will
239 remain low and cause fewer injuries even if atmospheric [O₃] is high.

240 Visible O₃ injury could thus be much better predicted using a stomatal flux-
241 based model that includes the factors influencing stomatal conductance and the specific
242 hourly [O₃] at each site. More effort should thus focus on characterising the hourly [O₃]
243 at each site and the micro-environmental conditions that affect stomatal conductance,
244 which are usually influenced by local topography and stand structure. This would
245 certainly permit to better analyse the relationship between visible O₃ injury and the
246 specific environmental conditions at each site. The mean percentage of the area of all
247 symptomatic needles with chlorotic mottling at each site was ≤30% (VI-sev score of
248 3.22), but visible injury could have appeared much later than below-ground responses to
249 O₃, and negative effects on a cellular and histological level may have already begun
250 (Andersen, 2003; Laurence and Andersen, 2003).

251

252 *4.2. Higher crown defoliation and tree mortality associated with higher accumulated O₃*
253 *exposure and water availability*

254 The mean values of crown defoliation between 20 and 66% at our study sites were not
255 surprising, because the defoliation of *P. uncinata* crowns increased in the Iberian
256 Peninsula from 15 to 25% between 1996 and 2006 (Carnicer et al., 2011). The rate of
257 mortality followed the same pattern as defoliation, being higher at those sites with
258 higher defoliation. The average mortality rate for all sites was 9.19%, which is similar
259 to the 6% for 1997-2007 for the same species throughout the Iberian Peninsula
260 (Carnicer et al., 2011). In fact, several studies have reported significant correlations
261 between deteriorating crown conditions and tree mortality (Dobbertin and Brang, 2001;
262 Drobyshev et al., 2007; Eckmullner and Sterba, 2000). The high crown defoliation and
263 tree mortality, with defoliation >25% considered to be indicative of poor tree health
264 (Innes, 1998), show that the stands of *P. uncinata* in our study generally had poor
265 vitality.

266 Crown defoliation and tree mortality were correlated most with the accumulated
267 O₃ exposure during the last five years and with variables characterising soil-water
268 availability. Plant responses to O₃ depend on the amount of O₃ entering the leaves and
269 the plant's sensitivity to O₃ (Matyssek et al., 2008). The amount of O₃ entering the
270 leaves is mainly affected by the atmospheric O₃ concentration and by the stomatal
271 conductance (Ro-Poulsen et al., 1998), which is controlled by a range of environmental
272 variables such as light intensity, temperature, vapour-pressure deficit, and soil-water
273 availability (Zierl, 2002). Soil-water availability subsequently affects O₃ uptake by
274 plants (Nunn et al., 2005; Panek and Goldstein, 2001; Patterson et al., 2000; Schaub et
275 al., 2007, 2003). The higher defoliation and mortality at our sites with higher soil-water
276 availabilities and accumulated O₃ exposures could thus be due to higher uptakes of O₃.
277 In effect, the Guils transect, which was significantly wetter than the Meranges transect,
278 had the most crown defoliation and tree mortality.

279 We could not, however, identify O₃ exposure as the main causing factor of
280 crown defoliation and subsequent tree mortality. Crown assessment based on crown
281 defoliation is one of the best indicators of tree vitality (Dobbertin, 2005), but tree
282 vitality is influenced by a multitude of stress factors (meteorological (e.g. air
283 temperature and frost), hydrological (e.g. droughts and floods), biological (e.g. fungal
284 disease and insects), chemical (e.g. air or soil pollution and soil nutrients), and physical
285 (e.g. wind)) (Aamlid et al., 2000; De Vries et al., 2000; Landmann and Bonneau, 1995;
286 Wellbum, 1994; Zierl, 2002). Hence, O₃ exposure cannot be established as the main
287 cause of crown defoliation and tree mortality in our study: a multitude of other
288 environmental or anthropogenic stresses difficult to detect and quantify could also be
289 contributing to the poor tree vitality. Further research should be thus conducted in order
290 to determine the contribution of other stress factors as well as to diminish the sources of
291 uncertainty. Hourly measurements of [O₃] at each site would supply more precise data
292 on O₃ exposure than sum of mean fortnightly [O₃]. The use of this kind of data would
293 diminish the uncertainties entailed by the use of mean fortnightly [O₃] measured by
294 passive sampling and it could probably help to better disentangle the relationship
295 between tree vitality and O₃ exposure.

296

297 **5. Conclusions**

298 This study on the severity of visible O₃ injury, crown defoliation, and tree mortality
299 along two altitudinal and O₃ gradients in stands of *P. uncinata* in the Catalan Pyrenees
300 indicates that O₃ contributes in part to the reduced tree vitality in this region. The
301 severity of visible O₃ injuries increased with mean annual [O₃] when summer P/PET
302 was above a threshold of 0.96, whereas higher [O₃] in drier conditions did not cause
303 more visible O₃ injury. Crown defoliation and tree mortality were positively correlated

304 with the accumulated O₃ exposure during the last five years and with variables
305 associated with soil-water availability, which suggests a likely higher uptake of O₃,
306 because soil-water availability highly influences stomatal conductance. The effect of O₃
307 could not, however, be established conclusively and definitively as the main cause of
308 the crown defoliation and tree mortality in our study, because a multitude of other stress
309 factors could also be contributing to the poor tree vitality. We can nonetheless conclude
310 that O₃ is probably one of the factors involved in the crown defoliation and tree
311 mortality in this area, although further research is clearly warranted to determine the
312 contributions of the various other stress factors.

313

314 **References**

- 315 Aamlid, D., Torseth, K., Venn, K., Stuanes, A.O., Solberg, S., Hylen, G.,
316 Christophersen, N., Framstad, E., 2000. Changes of forest health in Norwegian boreal
317 forests during 15 years. *For. Ecol. Manag.* 127, 103–118.
- 318
- 319 Agencia Estatal de Meteorología (España), 2011. Atlas climático ibérico: temperatura
320 del aire y precipitación (1971-2000) = Atlas climático ibérico : temperatura do ar e
321 precipitação (1971-2000) = Iberian climate atlas : air temperature. Instituto Nacional de
322 Meteorología, Madrid.
- 323
- 324 Andersen, C.P., 2003. Source-sink balance and carbon allocation below ground in
325 plants exposed to ozone. *New Phytol.* 157, 213–228.
- 326
- 327 Arbaugh, M.J., Miller, P.R., Carroll, J.J., Takemoto, B., Procter, T., 1998. Relationships
328 of ozone exposure to pine injury in the Sierra Nevada and San Bernardino Mountains of
329 California, USA. *Environ. Pollut.* 101, 291–301.
- 330
- 331 Beven, K., Kirkby, M.J., 1979. A physically based variable contributing area model of
332 basin hydrology. *Hydrol. Sci. Bull.* 24, 43–69.
- 333
- 334 Burriel, J.A., Gràcia, C., Ibanez, J.J., 2004. Inventari Ecologic i Forestal de Catalunya.
335 (Report). CREA.
- 336
- 337 Calatayud, V., Sanz, M.J., Calvo, E., Cervero, J., Ansel, W., Klumpp, A., 2007. Ozone
338 biomonitoring with Bel-W3 tobacco plants in the city of Valencia (Spain). *Water. Air.*
339 *Soil Pollut.* 183, 283–291.
- 340
- 341 Carnicer, J., Coll, M., Ninyerola, M., Pons, X., Sanchez, G., Penuelas, J., 2011.
342 Widespread crown condition decline, food web disruption, and amplified tree mortality
343 with increased climate change-type drought. *Proc. Natl. Acad. Sci. U. S. A.* 108, 1474–

344 1478.
345
346 Cocheo, V., Boaretto, C., Sacco, P., 1996. High uptake rate radial diffusive sampler
347 suitable for both solvent and thermal desorption. *Am. Ind. Hyg. Assoc. J.* 57, 897–904.
348
349 Coll, L., Martin, S., Nougulier, S., Ladier, J., Decoux, J.-L., Valadon, A., Cantegrel, R.,
350 Sivade, L., 2012. Guide de sylviculture du pin à crochets dans les Pyrénées (Projet
351 POCTEFA - “La gestion des peuplements et la valorisation du bois de pins à crochets”
352 No. EFA82/08 UNCIPLUS). Centre Tecnologic Forestal de Catalunya, Office National
353 des Forêts, Parc Natural Régional des Pyrénées Catalanes.
354
355 Cvitas, T., Kezele, N., Klasinc, L., Sorgo, G., 2006. AOT40 as preliminary indicator for
356 ozone induced forest injury on Mountain Medvednica near Zagreb. *Period. Biol.* 108,
357 639–641.
358
359 De Vries, W., Klap, J.M., Erisman, J.W., 2000. Effects of environmental stress on forest
360 crown condition in Europe. Part I: Hypotheses and approach to the study. *Water. Air.*
361 *Soil Pollut.* 119, 317–333.
362
363 Diaz-de-Quijano, M., Penuelas, J., Menard, T., Vollenweider, P., 2011. Visible and
364 microscopical ozone injury in mountain pine (*Pinus mugo* subsp. *uncinata*) foliage from
365 the Catalan Pyrenees. Presented at the International Conference Ozone, climate change
366 and forests, Prague, Czech Republic.
367
368 Diaz-de-Quijano, M., Penuelas, J., Ribas, A., 2012a. Trends of AOT40 at three sites in
369 the Catalan Pyrenees over the last 16 years. *J. Atmospheric Chem.* DOI
370 10.1007/s10874-012-9222-9.
371
372 Diaz-de-Quijano, M., Peñuelas, J., Ribas, À., 2009. Increasing interannual and
373 altitudinal ozone mixing ratios in the Catalan Pyrenees. *Atmos. Environ.* 43, 6049–
374 6057. doi:10.1016/j.atmosenv.2009.08.035
375
376 Diaz-de-Quijano, M., Schaub, M., Bassin, S., Volk, M., Peñuelas, J., 2012b. Ozone
377 visible symptoms and reduced root biomass in the subalpine species *Pinus uncinata*
378 after two years of free-air ozone fumigation. *Environ. Pollut., Interactions Between*
379 *Indoor and Outdoor Air Pollution - Trends and Scientific Challenges Ozone, Climate*
380 *Change and Forests* 169, 250–257. doi:10.1016/j.envpol.2012.02.011
381
382 Dobbertin, M., 2005. Tree growth as indicator of tree vitality and of tree reaction to
383 environmental stress: a review. *Eur. J. For. Res.* 124, 319–333.
384
385 Dobbertin, M., Brang, P., 2001. Crown defoliation improves tree mortality models. *For.*
386 *Ecol. Manag.* 141, 271–284.
387
388 Drobyshev, I., Linderson, H., Sonesson, K., 2007. Relationship between crown
389 condition and tree diameter growth in southern Swedish oaks. *Environ. Monit. Assess.*
390 128, 61–73.
391
392 Duriscoe, D., Stolte, K., Pronos, J., 1996. History of ozone injury monitoring methods
393 and the development of a recommended protocol (Report No. Gen. Tech. Rep. PSW–

394 GTR-155). Pacific Southwest Research Station, Forest Service, US Department of
395 Agriculture.
396
397 Eckmullner, O., Sterba, H., 2000. Crown condition, needle mass, and sapwood area
398 relationships of Norway spruce (*Picea abies*). *Can. J. For. Res.-Rev. Can. Rech. For.* 30,
399 1646–1654.
400
401 Galiano, L., Martinez-Vilalta, J., Lloret, F., 2010. Drought-Induced Multifactor Decline
402 of Scots Pine in the Pyrenees and Potential Vegetation Change by the Expansion of Co-
403 occurring Oak Species. *Ecosystems* 13, 978–991.
404
405 Gimeno, B.S., Bermejo, V., Sanz, J., la Torre, D. de, Elvira, S., 2004. Growth response
406 to ozone of annual species from Mediterranean pastures. *Environ. Pollut.* 132, 297–306.
407
408 Grayson, R., Western, A., Wilson, D., Young, R., McMahon, T., Woods, R., Duncan,
409 M., Bläschl, G., 1999. Measurements and interpretation of soil moisture for
410 hydrological applications, in: *Water 99: Joint Congress*. pp. 5–9.
411
412 Günthardt-Goerg, M.S., Vollenweider, P., 2007. Linking stress with macroscopic and
413 microscopic leaf response in trees: New diagnostic perspectives. *Environ. Pollut.* 147,
414 467–488.
415
416 Hayes, F., Jones, M.L.M., Mills, G., Ashmore, M., 2007. Meta-analysis of the relative
417 sensitivity of semi-natural vegetation species to ozone. *Environ. Pollut.* 146, 754–762.
418
419 Innes, J.L., 1998. An assessment of the use of crown structure for the determination of
420 the health of beech (*Fagus sylvatica*). *Forestry* 71, 113–130.
421
422 Karlsson, P.E., Uddling, J., Braum, S., Broadmeadow, M., Elvira, S., Sanchez-Gimeno,
423 G., Le Thiec, D., Oksanen, E., Vandermeiren, K., Wilkinson, M., Emberson, L., 2003.
424 New critical levels for ozone impact on trees based on AOT40 and leaf cumulated
425 uptake of ozone, in: Karlsson, P.E., Selldén, G., Pleijel, H. (Eds.), *Establishing Ozone*
426 *Critical Levels*. IVL Swedish Environmental Research Institute, Gothenburg, pp. 236–
427 250.
428
429 Kefauver, S.C., Peñuelas, J., Ribas, A., Diaz-de-Quijano, M., Ustin, S., 2014. Using
430 *Pinus uncinata* to monitor tropospheric ozone in the Pyrenees. *Ecol. Indic.* 36, 262–271.
431 doi:10.1016/j.ecolind.2013.07.024
432
433 Landmann, G., Bonneau, M., 1995. Forest decline and atmospheric deposition effects in
434 the French mountains. Springer.
435
436 Laurence, J.A., Andersen, C.P., 2003. Ozone and natural systems: understanding
437 exposure, response and risk. *Environ. Int.* 29, 155–160.
438
439 Lefohn, A.S., Jackson, W., Shadwick, D.S., Knudsen, H.P., 1997. Effect of surface
440 ozone exposures on vegetation grown in the Southern Appalachian Mountains:
441 Identification of possible areas of concern. *Atmos. Environ.* 31, 1695–1708.
442
443 Manninen, S., Sorjamaa, R., Kurki, S., Pirttiniemi, N., Huttunen, S., 2003. Ozone

444 affects Scots pine phenology and growth, in: *Air Pollution, Global Change and Forests*
445 *in the New Millennium, Developments in Environmental Science*. pp. 231–246.
446

447 Marengo, A., Gouget, H., Nedelec, P., Pages, J.P., Karcher, F., 1994. Evidence of a
448 Long-Term Increase in Tropospheric Ozone from Pic Du Midi Data Series -
449 Consequences - Positive Radiative Forcing. *J. Geophys. Res.-Atmospheres* 99, 16617–
450 16632.
451

452 Marzuoli, R., Gerosa, G., Desotgiu, R., Bussotti, F., Ballarin-Denti, A., 2009. Ozone
453 fluxes and foliar injury development in the ozone-sensitive poplar clone Oxford
454 (*Populus maximowiczii* x *Populus berolinensis*): a dose-response analysis. *Tree Physiol.*
455 29, 67–76.
456

457 Matyssek, R., Sandermann, H., Wieser, G., Booker, F., Cieslik, S., Musselman, R.,
458 Ernst, D., 2008. The challenge of making ozone risk assessment for forest trees more
459 mechanistic. *Environ. Pollut.* 156, 567–582.
460

461 Millar, C.I., Stephenson, N.L., 2015. Temperate forest health in an era of emerging
462 megadisturbance. *Science* 349, 823–826. doi:10.1126/science.aaa9933
463

464 Mortensen, L.M., 1994. The influence of carbon dioxide or ozone concentration on
465 growth and assimilate partitioning in seedlings of nine conifers. *Acta Agric. Scand.*
466 *Sect. B Soil Plant Sci.* 44, 157–163.
467

468 Ninyerola, M., Pons, X., Roure, J.M., 2000. A methodological approach of
469 climatological modelling of air temperature and precipitation through GIS techniques.
470 *Int. J. Climatol.* 20, 1823–1841. doi:10.1002/1097-0088(20001130)20:14<1823::AID-
471 JOC566>3.0.CO;2-B
472

473 Nunn, A.J., Kozovits, A.R., Reiter, I.M., Heerdt, C., Leuchner, M., Luetz, C., Liu, X.,
474 Loew, M., Winkler, J.B., Grams, T.E.E., Haeberle, K.H., Werner, H., Fabian, P.,
475 Rennenberg, H., Matyssek, R., 2005. Comparison of ozone uptake and sensitivity
476 between a phytotron study with young beech and a field experiment with adult beech
477 (*Fagus sylvatica*). *Environ. Pollut.* 137, 494–506.
478

479 Ollinger, S.V., Aber, J.D., Reich, P.B., 1997. Simulating ozone effects on forest
480 productivity: Interactions among leaf-, canopy-, and stand-level processes. *Ecol. Appl.*
481 7, 1237–1251.
482

483 O’Loughlin, E.M., 1986. Predictions of surface saturation zones in natural catchments
484 by topographic analysis. *Water Resour. Res.* 22, 794–804.
485

486 Panek, J.A., Goldstein, A.H., 2001. Response of stomatal conductance to drought in
487 ponderosa pine: implications for carbon and ozone uptake. *Tree Physiol.* 21, 337–344.
488

489 Paoletti, E., Contran, N., Bernasconi, P., Günthardt-Goerg, M.S., Vollenweider, P.,
490 2009. Structural and physiological responses to ozone in Manna ash (*Fraxinus ornus* L.)
491 leaves of seedlings and mature trees under controlled and ambient conditions. *Sci. Total*
492 *Environ.* 407, 1631–1643. doi:10.1016/j.scitotenv.2008.11.061
493

494 Patterson, M.C., Samuelson, L., Somers, G., Mays, A., 2000. Environmental control of
495 stomatal conductance in forest trees of the Great Smoky Mountains National Park.
496 Environ. Pollut. 110, 225–233.
497

498 Peñuelas, J., Ribas-Carbo, M., Gonzalez-Meler, M., Azcon-Bieto, J., 1994. Water
499 status, photosynthetic pigments, C/N ratios and respiration rates of stika spruce
500 seedlings exposed to 70 ppbv ozone for a summer. Environ. Exp. Bot. 34, 443–449.
501

502 Ribas, Æ., Peñuelas, J., Elvira, S., Gimeno, B.S., 2005. Ozone exposure induces the
503 activation of leaf senescence-related processes and morphological and growth changes
504 in seedlings of Mediterranean tree species. Environ. Pollut. 134, 291–300.
505

506 Ro-Poulsen, H., Mikkelsen, T.N., Hovmand, M.F., Hummelsehoj, P., Jensen, N.O.,
507 1998. Ozone deposition in relation to canopy physiology in a mixed conifer forest in
508 Denmark. Chemosphere 36, 669–674.
509

510 Sanz, M.J., Calatayud, V., 2015. Ozone Injury in European Forest Species [WWW
511 Document]. URL <http://www.ozoneinjury.org>. (accessed 2.23.15).
512

513 Schaub, M., Emberson, L., Büker, P., Kräuchi, N., 2007. Preliminary results of modeled
514 ozone uptake for *Fagus sylvatica* L. trees at selected EU/UN-ECE intensive monitoring
515 plots. Environ. Pollut. 145, 636–643.
516

517 Schaub, M., Skelly, J.M., Steiner, K.C., Davis, D.D., Pennypacker, S.P., Zhang, J.,
518 Ferdinand, J.A., Savage, J.E., Stevenson, R.E., 2003. Physiological and foliar injury
519 responses of *Prunus serotina*, *Fraxinus americana*, and *Acer rubrum* seedlings to varying
520 soil moisture and ozone. Environ. Pollut. 124, 307–320.
521

522 UNECE/CLRTAP, 2006. Manual on methods and criteria for harmonized sampling,
523 assessment, monitoring and analysis of the effects of air pollution on forests. Part II:
524 Visual assessment of crown condition. International Co-operative Programme on
525 Assessment and Monitoring of Air Pollution Effects on Forests. <http://icp-forests.net/>.
526

527 Vollenweider, P., Fenn, M.E., Menard, T., Günthardt-Goerg, M., Bytnerowicz, A.,
528 2013. Structural injury underlying mottling in ponderosa pine needles exposed to
529 ambient ozone concentrations in the San Bernardino Mountains near Los Angeles,
530 California. Trees 27, 895–911. doi:10.1007/s00468-013-0843-7
531

532 Vollenweider, P., Ottiger, M., Gunthardt-Goerg, M.S., 2003a. Validation of leaf ozone
533 symptoms in natural vegetation using microscopical methods. Environ. Pollut. 124,
534 101–118.
535

536 Vollenweider, P., Woodcock, H., Kelty, M.J., Hofer, R., 2003b. Reduction of stem
537 growth and site dependency of leaf injury in Massachusetts black cherries exhibiting
538 ozone symptoms. Environ. Pollut. 125, 467–480. doi:10.1016/S0269-7491(03)00079-4
539

540 Waldner, P., Schaub, M., Pannatier, E.G., Schmitt, M., Thimonier, A., Walthert, L.,
541 2007. Atmospheric deposition and ozone levels in Swiss forests: Are critical values
542 exceeded? Environ. Monit. Assess. 128, 5–17.
543

544 Wedlich, K.V., Rintoul, N., Peacock, S., Cape, J.N., Coyle, M., Toet, S., Barnes, J.,
545 Ashmore, M., 2012. Effects of ozone on species composition in an upland grassland.
546 *Oecologia* 168, 1137–1146. doi:10.1007/s00442-011-2154-2
547
548 Wellbum, A., 1994. *Air Pollution and Climate Change, The Biological Impact*.
549 Longman Scientific and Technical, Essex, England.
550
551 Zierl, B., 2002. Relations between crown condition and ozone and its dependence on
552 environmental factors. *Environ. Pollut.* 119, 55–68.
553
554

555 **Table 1.** Description of sites assessed for severity of visible ozone injury along the Guils and Meranges transects. Numbers in parentheses are standard
 556 errors of the means.

Sites	Latitude	Longitude	Altitude (m a.s.l)	Aspect	Slope (°)	Topographic Wetness Index	Summer P/PET	Soil depth (cm)	MWHC (g H ₂ O·g soil ⁻¹)	Mean annual [O ₃] 2005-2007 (ppb)	Severity of visible injury
Guils								<i>n</i> =5	<i>n</i> =3		<i>n</i> =3
G1	42.458532	1.877621	1500	NE	25	12.74	0.69	50.6(6.9)	0.312(0.04)	46.1	1(0.0)
G2	42.460940	1.864956	1700	NE	15	11.58	0.76	36.4(8.8)	0.416(0.01)	47.2	1(0.2)
G3	42.458108	1.856287	1800	NE	15	11.37	0.85	65.8(5.6)	0.436(0.08)	53.7	1.1(0.3)
G5	42.458333	1.842645	2000	NE	5	13.37	0.92	68.4(3.9)	0.635(0.12)	53.9	1.2(0.2)
G6	42.462582	1.808833	2200	NE	2	11.44	1.12	56(10.0)	0.662(0.02)	50.9	2(0.5)
Meranges											
M1	42.452438	1.792290	1700	SW	42	8.42	0.91	42.6(4.5)	0.286(0.04)	46.9	1.4(0.3)
M2	42.456236	1.789355	1900	SE	30	9.68	1.02	21.0(0.5)	0.467(0.05)	50.8	1.5(0.5)
M4	42.464095	1.785139	2100	S	5	9.55	1.09	30.4(3.4)	0.644(0.07)	54.7	2.1(0.4)
M6	42.465586	1.778331	2300	SE	35	9.71	1.18	42.0(5.2)	0.687(0.03)	62.1	3.2 (0.4)

558 **Table 2.** General linear models for severity of visible injury, defoliation, and mortality. The data for the dependent variables were normalised by log
 559 transformation.

560

Model term	β	SE	p
Severity of visible injury (VI-sev) model			
Intercept	19.427	5.136	<0.05
Mean annual [O ₃] 2005-2007	-0.418	0.105	<0.05
Summer P/PET	-18.769	4.619	<0.01
Mean annual [O ₃] 2005-2007*Summer P/PET	0.436	0.093	<0.01
Defoliation model			
Intercept	-0.486	0.772	0.538
Sum of mean fortnightly [O ₃] 2004-2008	$6.93 \cdot 10^{-4}$	$3.06 \cdot 10^{-4}$	<0.05
Basal area	$-4.2 \cdot 10^{-3}$	$1.98 \cdot 10^{-3}$	<0.1
Maximum water-holding capacity	0.537	0.265	<0.1
Mortality model			
Intercept	-2.110	2.317	<0.001
Sum of mean fortnightly [O ₃] 2004-2008	$5.21 \cdot 10^{-3}$	$9.05 \cdot 10^{-4}$	<0.001
Topographic wetness index	0.379	0.114	<0.01
Summer P/PET	1.871	0.751	<0.05
Mean DBH	0.095	0.047	<0.1

A stepwise model selection was used starting from the set of variables in Table 1 (for the VI-sev model) and Table 3 (for the defoliation and mortality models). Only the final models are shown. AIC_{vi-sev}=-16.65, AIC_{defoliation}=-68.95, AIC_{mortality}=-137.66.

561

562

563

564

565

566

567

Sites	Number of plots	Altitude (m a.s.l.)	Topographic wetness index	Summer P/PET	Soil depth (cm)	MWHC (g H ₂ O·g soil ⁻¹)	Individuals·ha ⁻¹	DBH	Basal area	Mean [O ₃] April-September 2004-2008 (ppb)	Sum of fortnightly [O ₃] 2004-2008 (ppb)	Defoliation (%)
Guils												
G6	3	2211(1.45)	13.09(0.39)	1.12(0.00)	41.2(14.4)	0.618(0.03)	850(281)	19.4(3.7)	32.2(11.8)	50.9	2953	66.4(15.8)
G4	3	1867(15.0)	11.56(1.50)	0.90(0.02)	63.8(6.5)	0.381(0.08)	2083(563)	18.8(4.5)	66.6(21.1)	49.8	2919	32.4(3.7)
G1	3	1535(7.3)	10.64(0.34)	0.72(0.00)	53.7(5.1)	0.312(0.06)	1416(448)	15.4(1.8)	32.9(4.9)	48.7	2886	36.8(3.4)
Meranges												
M5	3	2231(12.2)	11.29(0.73)	1.13(0.00)	54.6(22.1)	0.481(0.15)	2191(700)	18.9(1.3)	67.4(12.6)	56.5	2926	29.8(8.1)
M3	3	1998(1.45)	10.30(0.72)	0.99(0.01)	45.4(17.2)	0.308(0.00)	2225(651)	14.8(1.5)	44.7(8.0)	51.6	2759	35.2(11.0)
M1	3	1797(3.52)	10.93(0.78)	0.86(1.49)	39.4(3.6)	0.286(0.07)	1133(14)	18.8(1.2)	37.6(5.4)	47.3	2615	20.4(5.4)
												Mortality (%)
Guils												
G6	10	2213(3.88)	12.92(0.29)	1.12(0.00)	41.2(8.2)	0.618(0.03)	887(453)	19.1(3.0)	33.0(15.3)	50.9	2953	29.6(15.1)
G4	10	1869(30.69)	11.92(1.05)	0.90(0.02)	63.8(4.6)	0.381(0.08)	1997(599)	17.9(2.9)	59.5(15.6)	49.8	2919	15.2(8.6)
G1	10	1536(8.49)	10.82(0.25)	0.72(0.00)	53.7(2.9)	0.312(0.06)	1502(346)	15.8(2.1)	36.5(6.1)	48.7	2886	1.48(2.5)
Meranges												
M5	10	2228(26.88)	11.17(0.60)	1.13(0.00)	54.6(12.7)	0.481(0.15)	2350(585)	18.5(1.2)	71.1(13.6)	56.5	2926	7.5(6.0)
M3	10	2009(20.83)	10.37(0;60)	0.99(0.01)	45.4(9.9)	0.308(0.00)	2110(364)	15.9(1.2)	50.5(10.1)	51.6	2759	0.8(1.2)
M1	10	1793(19.92)	11.16(0.71)	0.86(0.00)	39.4(2.0)	0.286(0.07)	1557(887)	17.5(1.6)	42.3(17.4)	47.3	2615	0.6(1.9)

568 **Table 3.** Mean (standard deviation) values of the variables defining plot conditions distributed along six sites.

569

570

571

572

573

574

575 **Figure captions**

576 **Fig. 1.** Location of the two transects at La Cerdanya in the Central Catalan Pyrenees of Spain.

577 The sites of assessment of visible ozone injury (VI), crown defoliation (tree icon), tree

578 mortality (tree icon), and O₃ concentrations (O₃)(Diaz-de-Quijano et al., 2009) are indicated.

579 Distribution of the eighteen plots of crown defoliation (three plots per site) and the sixty plots

580 of tree mortality (ten plots per site) are not visible in the figure.

581 **Fig. 2.** Averaged accumulated rainfall (bars) and mean temperatures (lines) from January to

582 December for 1951-1999 (data from the Climatic Digital Atlas of Catalonia

583 (CDAC)(Ninyerola et al., 2000).

584 **Fig. 3.** Correlation between the severity of visible injury (VI-sev) and summer P/PET (log VI-

585 sev = 0.9*P/PET-0.7; $p < 0.001$; $R^2 = 0.88$) and mean annual [O₃] for 2005-2007 (log VI-sev =

586 0.03*(mean annual [O₃] 2005-2007)-1.5; $p < 0.05$; $R^2 = 0.59$). Datapoints represent observations

587 at plots from both the Guils and Meranges transects ($n = 9$).

588 **Fig. 4.** Correlation between defoliation and MWHC (Defoliation = 169.3*MWHC²-

589 71.7*MWHC+35.4; $p < 0.01$; $R^2 = 0.46$) and the sum of mean fortnightly [O₃] for 2004-2008

590 (Defoliation = 0.079e^{0.0021SumOzone}; $p < 0.05$; $R^2 = 0.41$). Datapoints represent observations at plots

591 from both the Guils and Meranges transects ($n = 18$).

592 **Fig. 5.** Correlation between mortality and the topographic wetness index

593 (Mortality = 5.5*TWI²-118.2*TWI+640.8; $p < 0.001$; $R^2 = 0.66$) and the sum of mean fortnightly

594 [O₃] for 2004-2008 (Mortality = 5.10⁻⁴*Sum0408²-2.8*Sum0408+3804.8; $p < 0.001$; $R^2 = 0.46$).

595 Datapoints represent observations at plots from both the Guils and Meranges transects ($n = 60$).

596