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1	Inoculation effect of thermophilic microorganisms on protease production
2	through solid-state fermentation under non-sterile conditions at lab and bench
3	scale (SSF)
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Abstract

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The production of protease enzyme was evaluated through the solid state fermentation (SSF) of soy fibre, a waste product that acted as a sole substrate for the fermentation, at a laboratory and bench scale using a 500 mL (batch size: 115g) and 10-L (batch size: 2300g) bioreactors. The objective was to assess the effect of the inoculation of the thermophilic bacteria *Thermus* sp. on the production of the enzyme when working at laboratory and bench scale under non-sterile conditions, since scaling-up and the need of sterilization are the main challenges of SSF, preventing its industrial development. Results revealed that the inoculation led to a substantial increase in the protease obtained on both scales when compared to non-inoculated fermentation. The maximum protease activities increased as a result of the inoculation from 500 to 800 and from 350 to 670 U/g dry matter of soy fibre in the lab and bench scale bioreactors, respectively. Finally, a very good correlation was found between the protease activities obtained and the fermentation most relevant parameters: oxygen uptake rate ($R^2 = 0.81$) and temperature ($R^2 = 0.82$). In this work, we have demonstrated that inoculation is effective even under non-sterile conditions at the kg scale and that this strain is able to compete with autochthonous microbiota and increase the protease production to levels higher than those previously reported in literature.

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- 41 **Keywords:** Enzyme production; Protease; Solid-state fermentation; Soy fibre;
- 42 Thermophilic bacteria; Scale-up.

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Introduction

Solid-state fermentation (SSF) is the fermentation performed on non-soluble materials, namely the substrate, in the absence or near absence of free water [1]. The SSF process has been extensively studied for the production of high value added products, e.g. biofuels and enzymes [2]. In particular, there are several advantages of using SSF for the production of enzymes such as proteases and lipases. SSF allows for the production of enzymes with high activity and stability at low water and energy demands [2,3]. From environmental and economical perspectives, there is a possibility of carrying out the process under non-sterile conditions as low or 'no-cost' waste products act as substrates for the microbial fermentation [4,5]. Despite these advantages, the use of SSF in industrial processes is not widely applied due to challenges concerning monitoring, controlling and scaling-up [3,6].

Proteases (EC 3.4.21-24), which are hydrolases that catalyse the cleavage of peptide bonds in proteins, are a highly complex group of enzymes that differ in their substrate specificity and catalytic mechanism and account for about 60% of the world market of industrial enzymes [7]. Proteases can be produced by SSF using specific strains grown on wastes of animal or plant origin, such as cow dung and hair waste or potato peels and soy fibres [8]. Cow dung was used in the presence of an inoculum of *Halomonas* sp. leading to the production of proteases of a relatively high activity of 1351 U/g [9]. Mukherjee et al. (2008) [10] screened various agro-industrial and kitchen waste materials of plant origin, such as oil cake, wheat and rice bran, grass, banana leaves, potato peels and used tea leaves, for the use as substrate for protease production through SSF by *Bacillus subtilis*. It was found that the substrates of potato peel and grass led to the production of proteases with the highest protease activity of up to 2383 U/ml enzyme extract. The same microorganism was used for the

production of protease by using chicken feather as substrate under optimized culture conditions suitable for the bacteria [11]. Thanapimmetha et al. [12] showed that it is feasible to use *Aspergillus oryzae* as inoculum and deoiled *Jatropha curcas*, a major energy crop in Thailand, as substrate in the process of SSF for the production of protease after the optimisation of the conditions of moisture, inoculum and temperature.

However, most studies inoculated a specific strain for the production of protease and were carried out on a small scale using few grams of the waste (substrate) and media suitable for the microbial growth and often performed under sterile conditions [8]. Although inoculation leads to consistent fermentation processes and high enzyme yields, this process is usually associated with very high costs and a difficult scale-up mainly due to the sterilisation that is required for avoiding the growth of competing microorganisms.

Recently, Abraham et al. [4,5] shown protease production by autochthonous microorganisms already present in the wastes. Authors used 4.5-L bioreactors working near-adiabatic conditions where temperature profile evolved from mesophilic to thermophilic values as organic matter was degraded. Microbial population evolved together with temperature and biochemical changes attaining interesting protease yields: 395 U/g when using soy fibre and 469 U/g with hair waste from the tanning industry mixed with raw sludge from wastewater treatment. A potential strategy to produce thermotolerant proteases is the inoculation of thermophilic microorganisms in this SSF process [13].

In the current study, soy fibre was chosen to act as sole substrate in thermophilic SSF for the production of protease. The aim was to assess the effect of the inoculation of thermophilic bacteria on the production of protease under non sterile conditions, at a laboratory as well as bench scale using 500 mL and 10-L bioreactors, respectively. In addition, the data of the protease activities obtained were correlated with the oxygen uptake rate and temperature measured during the fermentations. Apart from the protease production, another important objective was to propose an easily scalable, low-cost and reproducible process for the production of proteases from solid-state substrates.

Materials and Methods

Materials

The material used as substrate in SSF was soy fibre, a waste obtained from a local food company (Barcelona, Spain). Wood chopsticks and wood chips acted as a bulking agent; the inert material that provides the proper porosity and maintains aerobic conditions during SSF in the process of fermentation [14]. The chopsticks were purchased from a local supermarket and wood chips were supplied by a composting plant in Barcelona (Spain). All chemical reagents used were of analytical grade and were purchased from Sigma-Aldrich (Barcelona, Spain).

Inoculum

The inoculum used in SSF was a thermophilic bacterial strain of *Thermus* sp. (ATCC® 3174) purchased from ATCC® (American Type Culture Collection). The media used for the culture re-hydration and activation was the ATCC® Medium 461: Castenholz TYE. The inoculum was added to the substrate in SSF with a concentration of 5% (v/w) in the form of a Castenholz broth containing 10⁷ CFU/ml of thermophilic bacteria.

120 Analytical methods

The following was determined in the substrate used in SSF, according to standard procedures of analyses recommended by the Test Methods for the Examination of Composting and Compost [15]. In soy fibre, water content was determined by gravimetric analyses after drying at a temperature of 105°C until constant weight. The organic matter content was determined from mass loss after heating at 550 °C. The total nitrogen was determined after digestion of the samples according to the Kjeldahl method, and the total organic carbon was determined using an O.I. Analytical solid total organic carbon Analyser/Win TOC Solids v3.0. For both, the total nitrogen and total organic carbon determination, the samples were previously dried up and sieved at 0.5 cm. pH and electric conductivity (EC) were determined in an extract 1:5 (w:v) in Milli-Q water and then pH and EC were measured using a pH/EC meter.

133 SSF

134 Sampling

Sampling was performed at both scales after the complete manual homogenization of

all the material in the reactor in order to get a fully representative sample. Sample size

was 2 grams of wet material. Total sampled material was less than 10% of the total

mass.

SSF at laboratory scale

A mixture of the substrate with chopsticks (considered as inert material in the

fermentation time) was used with a ratio of 1:0.15 (w:w) giving a total weight of 115g

per batch. The mixtures were fermented for 14 days (336h) in 500 mL Erlenmeyer

flasks under constant temperature [4], using a water bath of 55°C, and air flow of 1.2

ml g⁻¹ DM min⁻¹ (where DM is the dry matter of the soy fibre). Two processes were

assessed, 'standard SSF' with no inoculation and 'SSF with inoculation at 0h'. Samples were collected at 0, 12, 24, 96, 144, 168, 264 and 336h of the fermentation time in order to obtain the profile of the production of protease.

SSF at bench scale

A mixture of the substrate with wood chips was used with a ratio of 1:1 (w:w) giving a total weight of 2300g per batch. This ratio is increased from lab to bench scale to provide enough porosity and prevent compaction [14]. The mixtures were fermented for 14 days in 10-L air-tight bioreactors, working under near-adiabatic conditions and oxygen controlled aeration (1.1-2.7 ml g⁻¹ DM min⁻¹, oxygen setpoint 12% in air). These conditions led to an increase in temperature due to the metabolic heat released in the biodegradation process, as occurs with the composting process. The experimental set-up is shown in Figure 1. Three SSF processes were assessed, 'standard SSF', 'SSF with inoculation at 0h' and 'SSF with inoculation at 24h'. The formers were performed in order to compare the results with the ones obtained at lab scale. In addition, SSF with inoculation at 24h allowed for a further assessment of the effect of inoculation on a large scale, especially that SSF in bioreactors is under uncontrolled temperature, which was measured overall the fermentation process. Samples were collected at 0, 96, 168, 264 and 336h of the fermentation time in order to obtain the profile of the enzyme production.

SSF parameters

Oxygen uptake rate (OUR) in mg O₂ g⁻¹ DM h⁻¹, where DM is the dry matter of the soy fibre, was measured over the fermentation time. The area under the OUR curve was also calculated, which represents the total oxygen consumed in the process [16].

170 The temperature was recorded in the bioreactors during the fermentation and the area 171 below the temperature curve calculated is related to the heat released in the 172 biodegradation in SSF. 173 174 Enzyme extraction 175 Fermented solid material (soy fibre) was mixed thoroughly with 50 mM Tris-HCl 176 (tris(hydroxymethyl)aminomethane) buffer at a pH of 8.10 in a ratio 1:5 (w:v) for a 177 period of 45 minutes. The extract was separated by centrifugation, using a Beckman J-178 1200 centrifuge, at 10000 rpm during 20 minutes at 4°C followed by a filtration using 179 a filter paper with a pore size of 0.45 µm. The filtered supernatant was used as the 180 crude enzyme extract for the enzyme assay. 181 Enzymatic Assay 182 183 Protease activity was determined using a modified method of Alef and Nannipieri 184 [17]. One ml of the enzyme extract was added to 5 ml of 2% freshly casein solution 185 and incubated at 50°C during 2 hours. One unit of protease activity (U/g DM) is 186 defined as 1 µg of tyrosine released per minute and per gram DM of soy fibre, under 187 the assay conditions. 188 189 **Data Correlation** 190 Sigmaplot 10.0 was used to correlate the protease activities with the OUR and the 191 temperature using standard models. The goodness of the fit of the models was evaluated by the correlation coefficient (R²) and the p-value as the main criterion. 192 193

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Statistical Analysis

All SSF experiments and analyses were done in triplicates and therefore, results are represented as their average \pm standard deviation. A statistical analysis was performed using the Student's t-test using Minitab Software version 15.1 [18]. A probability level of p<0.01 was selected to determine statistically significant differences between enzymatic activities.

Results

Soy fibre, the waste that was used as substrate in SSF for the production of protease enzyme, was fully characterised as previously explained and the results are represented in Table 1.

SSF was carried out at laboratory scale as well as at bench scale using 500 mL and 10-L bioreactors with a total batch size of 115g and 2300g, respectively. The effect of the inoculation of thermophilic bacteria *Thermus* sp. on the potential of biodegradability and capability of producing protease by the soy fibre was evaluated.

SSF at laboratory scale

211 OUR profiles

During the process of fermentation of soy fibre of 336h (14 days), the OUR was recorded at standard SSF and SSF with inoculation at 0h (Figure 2). The trend of the standard OUR profile is explained as follows. The OUR recorded started from 0 mg O_2 g^{-1} DM h^{-1} with a sharp and continuous increase until reaching a maximum value or a 'peak' of a value of around 7 mg O_2 g^{-1} DM h^{-1} , at a time of 24h. This OUR peak was stable for few hours and then was followed by a sharp decrease until reaching an OUR value of around 4 mg O_2 g^{-1} DM h^{-1} at 40h. The OUR gradually decreased over a time of 100h until reaching an OUR value of 0.3 mg O_2 g^{-1} DM h^{-1} , which is an

OUR minimum value at which the OUR stabilises until the end of the process. The total oxygen consumed during the whole fermentation process was in average 480 mg O₂/g DM.

The inoculation at 0h of the substrate used in SSF led to a similar trend in the OUR profile to the one obtained at standard SSF. The differences were observed in a substantially higher peak of 11 mg O_2 g⁻¹ DM h⁻¹. This was followed by a gradual and less sharp decrease in the values of OUR, compared to the OUR profile of standard SSF, until reaching an almost stable but still higher minimum value of OUR of 1.1 mg O_2 g⁻¹ DM h⁻¹. Consistently, the inoculation led to a substantial increase in the total oxygen consumed to 1013 mg O_2 /g DM.

Protease activity obtained

The protease enzyme activities measured over the process of fermentation at lab scale are shown in Figure 2. The enzyme activity showed an increase over the time of fermentation up to maximum values of $5.1 \cdot 10^2 \pm 0.5 \cdot 10^2$ U/g DM for Standard SSF and $8 \cdot 10^2 \pm 0.6 \cdot 10^2$ U/g DM for inoculated SSF, both at 144h. A substantial decrease occurred at the end of the process.

238 SSF at bench scale

OUR and temperature profiles

During the process of fermentation in the 10-L bioreactors, OUR and temperature were recorded at standard SSF, SSF with inoculation at 24h and SSF with inoculation

242 at 0h (Figure 3a, b and c).

In general, OUR profiles showed the same trend as previously explained in the case of the lab scale. The inoculation resulted in an increased OUR value. The

maximum OUR were 7 mg O_2 g⁻¹ DM h⁻¹ at 24h (Figure 3a,b) for both, the standard SSF and SSF with inoculation at 24h. Few hours after the inoculation, OUR profile showed an increase compared to the standard SSF. The inoculation at 0h led to a substantial increase in the OUR maximum, to 11 mg O_2 g⁻¹ DM h⁻¹ at 24h (Figure 3c), compared to the standard SSF. The OUR minimum values recorded at the end of the fermentation at 200-336h increased as a result of the inoculation. In addition, the inoculation led to a significant increase in the total oxygen consumed during the process of SSF. The final OUR and total oxygen consumed were 0.2, 0.4 and 0.8 mg O_2 g⁻¹ DM h⁻¹, and 400, 485 and 678 mg O_2 /g DM for the standard SSF, SSF with inoculation at 24h and SSF with inoculation at 0h, respectively.

The temperature measured during the SSF started with 20°C and then increased over the process of fermentation until reaching a maximum at 50h (Figure 3a,b,c). This was followed by a gradual decrease until getting a value around 30°C, at 200h, which stabilises until the end of the process. The inoculation with thermophilic bacteria (Figure 3b,c) led to a significant increase in the maximum temperature to 65°C, compared to 59°C for the standard SSF (Figure 3a). The heat released during the fermentation, which corresponds to the area under the temperature curve, significantly increased as a result of inoculation from 12496 °C h for the standard SSF to 13219 °C h for the SSF with inoculation at 24h. A further increase was observed, up to 14054 °C h, for the SSF with inoculation at 0h.

266 Protease activity obtained

In the 10-L bioreactors, the protease activities measured during the SSF are shown in Figure 3. A similar trend than those obtained at lab scale was observed. The maximum protease activity obtained was at 96h with a value of $3.7 \cdot 10^2 \pm 0.2 \cdot 10^2$ U/g

DM for the standard SSF and $5 \cdot 10^2 \pm 0.5 \cdot 10^2$ U/g DM for the SSF with inoculation at 270 271 24h. In SSF with inoculation at 0h, the protease activity had similar values to the SSF 272 with inoculation at 24h until a time of 96h, after which, the activity of the former was substantially higher until 336h. The maximum protease activity obtained of $6.7 \cdot 10^2 \pm$ 273 $0.3 \cdot 10^2$ U/g DM was observed at 168h. 274 Figure 4 summarizes the maximum protease activity obtained at lab and bench scale 275 276 with and without inoculation and presents the statistical comparison. Higher values 277 were obtained at lab scale compared to bench scale, and in inoculated processes 278 compared to non-inoculated. 279 280 **Data Correlation** 281 The relation between the protease activities obtained and the OUR, at both lab and 282 bench scale, or temperature recorded, in the case of bench scale, along the SSF were 283 studied. Protease activities correlated with OUR with a hyperbolic model with a significant R² of 0.81 (Figure 5a). In the bioreactors, protease activities correlated 284 with the temperature recorded with a linear regression (R²=0.82, Figure 5b). p-value 285 286 was below 0.0001 in both cases. 287 288 Discussion 289 SSF performance and protease production In the current study, soy fibre was chosen to act as substrate in SSF for the production 290 291 of protease enzymes due to its ability to produce such enzymes as previously reported 292 in our laboratories [4]. 293 The data of OUR obtained, in the 500 mL and 10-L bioreactors (Figures 2 and 294 3), are used to express the biodegradation in organic solid materials as a result of the microbial growth during the process of fermentation [16]. As observed, the OUR and also the temperature profiles were similar to those previously reported in SSF experiments of soy fibre and other organic wastes such as coffee husk and hair wastes [4,5]. During the fermentation, these parameters rose due to the biodegradation of the organic material until reaching a maximum and then decreased to almost constant minimum values. This is an indication that the waste material was fully stabilised after 336h (14 days) of fermentation, as it has been previously reported [16]. Therefore, after the extraction of the enzyme, the soy fibre was stabilised and finally can be used as organic amendment for soils. The inoculation of thermophilic bacteria led to higher total oxygen consumed and heat released of the process of fermentation. This is an indication that the inoculum increased the substrate degradation during the fermentation.

In the current study, the protease activity obtained reached values up to 500 U/g DM at lab scale and 350 U/g DM at bench scale for the standard SSF (Figures 2 and 3). These values were relatively high compared to previous works on SSF for the enzyme production using other substrates, e.g. chicken feather and deoiled *Jatrophas* c. [11,12]. This could be due to the fact that soy fibre has considerably a high protein and/or amino acid content and nitrogen available [19].

The profile of protease production in the bioreactors showed that the enzyme synthesis coincided with the rise of temperature (thermophilic phase, at temperatures over 50°C) that is inherent to an adiabatic biodegradation process of biodegradable organic matter. In addition, a positive correlation was found between the enzyme activity and temperature measured during the process of fermentation (Figure 5b). These might be an indication that mainly thermophilic flora are responsible for the enzyme production, as it has been previously reported in a study on the protease

production using soy fibre in SSF on a bench scale [4]. In comparison to this previous study, where SSF was also carried out at the same laboratory scale at 37°C, much higher enzyme activities were obtained using the same scale but at 55°C. This further supports the fact that mainly thermophilic microbial growth could be responsible for the protease production. The inoculation of the thermophilic bacteria *Thermus* sp. led to a substantial increase in the protease activity up to a maximum of 800 and 670 U/g DM at the lab and bench scale (Figures 2 and 3), respectively. Consequently, the autochthonous microorganisms of soybean fibre may be more efficient in the enzyme production, in the presence of the bacteria of the inoculum. Also, another factor may be that *Thermus* bacteria produced additional protease especially that the bacterial optimum growth occurs at thermophilic temperatures.

Comparison between lab and bench scale

Comparing both work scales, i.e., lab (115g, controlled temperature at 55°C) and bench (2300g, uncontrolled temperature), similar values for maximum biological activity measured as OUR were observed. However, overall activity as given by the total oxygen consumed decreased 17 and 33% for standard and inoculated at 0h SSF. Consistently, specific protease activity was significantly reduced in a 30 and 16% in the standard and inoculated at 0h SSF, respectively. This can be attributed to the changes in temperature produced at bench scale that affect microorganisms growth and performance, together with a higher heterogeneity found at bench scale for composition and temperature gradients. Temperature could be controlled in the bioreactor to reduce the temperature gradients in time however this strategy would lead to a higher processing cost. Nonetheless, the inoculation led to highly consistent and scalable SSF processes with high reproducibility. From the perspective of

bioreactor design and operation a possible approach to improve process in the long term operation is sequential batch or fed-batch operation strategies as proposed by Cheirsilp and Kitcha [20], although this is out of the scope of this paper.

Finally the good correlations found in this work for protease activity with OUR and temperature might allow for the prediction of the protease activities overall a fermentation process.

Future research should explore the long-term operation conditions and the possibility of undertaking high scale SSF reactors to obtain full information on process parameters and enzyme yield. The characterisation and potential applications of proteases obtained by SSF, especially when inoculation with thermophilic bacteria is performed, can be investigated that will allow for detailed economical an environmental assessments. Finally, from microbiological perspectives, the microbial community can be characterised in order to fundamentally understand the enzyme production during SSF processes and the interactions between the autochthonous microorganisms of soy bean fibre and the inoculated strains. Other approaches can consist on isolating protease-producer strains from soy fibre.

Conclusions

The waste soy fibre demonstrated the ability to be a suitable source for protease production through SSF in the 500 mL and 10-L bioreactors. The results revealed that higher enzyme yields can be obtained with an inoculation of thermophilic bacteria *Thermus* sp. Consistent and reproducible results for OUR and enzyme activities were obtained when scaling-up the SSF from a batch size of 115g to 2300g. This suggests that the proposed SSF processes could be easily scalable under non-sterile, near adiabatic conditions. In addition, high correlations were found between the protease

activities obtained and the parameters (oxygen uptake and temperature) measured during the fermentation. These correlations might allow for the prediction of the protease activities overall a fermentation process of soy fibre.

As a final conclusion, it can be stated that it is possible to perform SSF processes at bench scale with inoculation under non sterile conditions obtaining similar results to those found at the lab scale in terms of protease production. Temperature is a key parameter in scaling up the SSF process.

Acknowledgments

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Tables

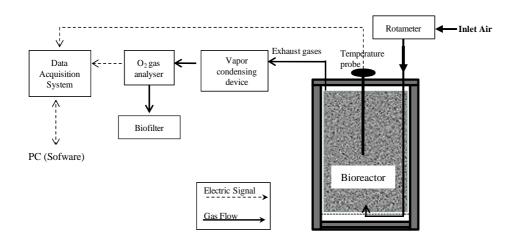
Table 1. Characterisation of the soy fibre used in the fermentation processes.

Parameter	Average (± Standard Deviation)
Water content (%, wb*)	83.9 ± 1.1
Organic matter (%, db*)	97.8 ± 0.5
Total Nitrogen Kjeldhal (%, db)	4.4 ± 0.1
Total Organic Carbon (%, db)	67.4 ± 0.9
C/N ratio	15.5 ± 0.1
pH	7.31 ± 0.01
Electrical Conductivity (µS cm ⁻¹)	0.70 ± 0.01

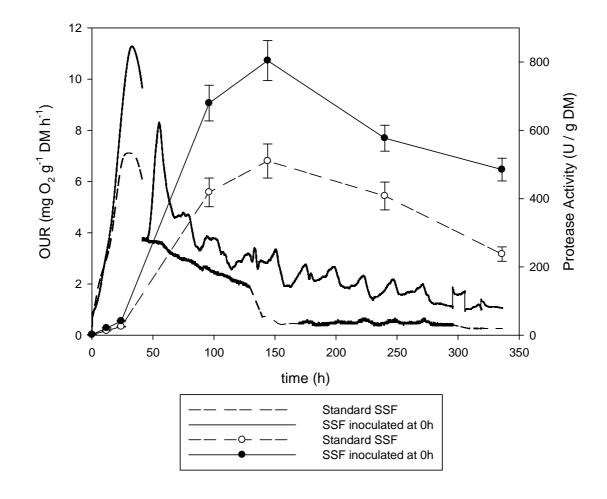
*wb: wet basis; db: dry basis.

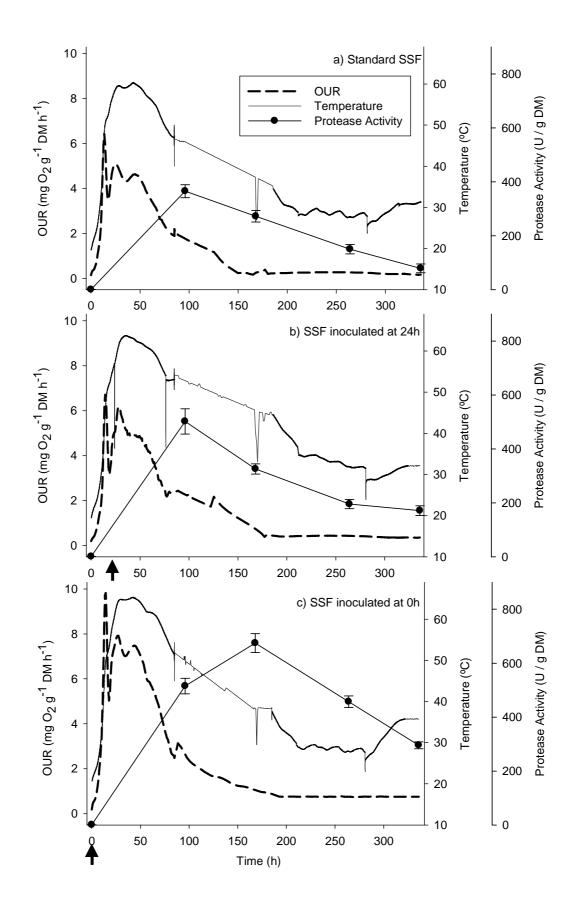
451 452 **Figure Captions** 453 454 **Figure 1**. Experimental set-up of the 10-L bioreactor used in SSF. 455 456 Figure 2. Average OUR and protease activities profile during the fermentation process at standard SSF and at SSF with the inoculation at time 0h at lab scale, with a 457 458 batch size of 115g. 459 460 Figure 3. Average OUR, temperature and protease activities profiles of (a) standard 461 SSF, (b) SSF with inoculation at 24h and (c) SSF with inoculation at 0h using 10-L 462 bioreactors, with a batch size of 2300g. The black arrow on the x-axis in (b) and (c) 463 shows the time at which the inoculation was performed. 464 465 Figures 4. Maximum protease activity obtained at lab and bench scale in inoculated 466 and non-inoculated systems. Different letters indicate values statistically different (p < 467 0.01). 468 469 Figure 5. Relation between the OUR or temperature and protease activities obtained 470 during the process of fermentation in both the 500 mL and 10-L bioreactors (a) or 471 only in the 10-L bioreactors (b), respectively. All individual data for each replicate 472 has been plotted and different symbols are used for inoculated and non-inoculated 473 systems. 474

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475 Fig. 1476
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480 Fig. 2





486 Fig. 4

