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Mesoscopic electron focusing in topological insulators

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Abstract

The particle-wave duality sets a fundamental correspondence between optics and quantum mechanics. Within this framework, the propagation of quasiparticles can give rise to superposition phenomena which, like for electromagnetic waves, can be described by the Huygens principle. However, the utilization of this principle by means of propagation and manipulation of quantum information is limited by the required coherence in time and space. Here we show that in topological insulators, which in their pristine form are characterized by opposite propagation directions for the two quasiparticles spin channels, mesoscopic focusing of coherent charge density oscillations can be obtained at large nested segments of constant-energy contours by magnetic surface doping. Our findings provide evidence of strongly anisotropic Dirac fermion-mediated interactions. Even more remarkably, the validity of our findings goes beyond topological insulators but applies for systems with spin-orbit-lifted degeneracy in general. It demonstrates how spin information can be transmitted over long distances, allowing the design of experiments and devices based on coherent quantum effects in this fascinating class of materials.

Coherence is a general property of waves as it describes the capability of keeping a well-defined phase relation while propagating in space and time. Because of the particle-wave duality, which lays at the very foundations of quantum mechanics, the same concept can also be applied to quasiparticles in solids. Quantum coherence is of fundamental importance since it sets the limits up to which information can be transmitted and processed with high fidelity. With the invention of the scanning tunneling microscope it became possible to visualize coherent phenomena in real space by imaging the standing wave pattern produced by scattering events around individual atomic-scale defects [1]. In analogy with electromagnetic waves these results can be interpreted within the Huygens principle. It describes the interference pattern which results from the superposition of waves propagating along all different paths and can be theoretically elegantly expressed by using the quantum-mechanical propagator.

The further development of atomic-scale manipulation techniques allowed to engineer these properties at the atomic scale. This capability was used for the creation of exotic effects such as quantum mirages [2], for the extraction of the phase of electron wave functions [3], and for visualizing the indirect coupling mechanisms mediated by conduction electrons such as the RKKY interaction [4]. More recently, quantum interference imaging allowed to analyze how propagating waves in solids are influenced by the periodic potential of the crystal lattice. In particular, it has been shown that the propagation of quasiparticle waves can become anisotropic when the shape of a constant-energy cut (CEC) deviates from an isotropic contour. In analogy to optics, focusing and defocusing lead to an enhanced intensity along certain crystallographic directions and to partial or even complete suppression along others, respectively [5]. However, despite its relevance in several areas of modern condensed matter, spintronics and quantum computation being the two most remarkable examples, the role played by the spin degree of freedom has not yet been explored.

Within this framework, the recently discovered topological insulators (TIs) represent a promising class of materials. TIs are insulating in the bulk but conducting on the surface where they host linearly dispersing massless fermions [6]. The strong spin-orbit coupling locks the spin to the momentum, thereby suppressing backscattering [7, 8], and results in spin currents which are intrinsically tied to charge currents [9]. The overwhelming majority of studies discussed electronic states in the vicinity of the Dirac point where the isotropic linear band dispersion relation results in circular CEC. However, both photoemission and

theoretical studies showed that iso-energy cuts progressively evolve from an almost circular (and convex) shape very close to the Dirac energy to a more concave, snowflake-like shape at higher energies [10, 11]. Once this transition takes place, large parallel sections face each other, a scenario supporting good nesting vectors which can strongly enhance the susceptibility of the system to external perturbations.

In pristing TIs, an active role of these vectors is strongly suppressed by time reversal symmetry [7, 8]. Here, we demonstrate that once this protection is lifted by the introduction of magnetic surface dopants, the nesting leads to strongly focused interference patterns in the charge density, resulting in coherent quantum oscillations which can be observed by quasiparticle interference (QPI) imaging with the scanning tunneling microscope (STM) over distances of tens of nanometers without any significant intensity loss. Theoretical calculations rationalize these findings in terms of the combined action of the iso-energy contour shape and the local magnetic moments present on the surface, thereby providing guidelines to control this effect. In particular, for a long coherence length two conditions need to be fulfilled: (i) the Fermi energy contour must exhibit large nearly parallel segments (nesting) with pairs of initial and final k-points which can be connected by the same scattering vector, and (ii) the magnetic dopants must couple to generate a high-spin state with a magnetic moment well beyond a single atom. As revealed by x-ray magnetic circular dichroism measurements (XMCD) this is fulfilled already at very dilute Mn concentrations on Bi₂Te₃. Our observations provide evidence that the emergence of superparamagnetic order on magnetically doped TIs with nested constant-energy contours can trigger Dirac fermion-mediated highly anisotropic indirect interactions. These results suggest that—by appropriate band engineering—spin-dependent quantum coherent transport can be achieved over mesoscopic distances and pave the way to design novel device concepts that rely on quantum coherent effects in this fascinating class of materials.

Figure 1(a) shows the constant-current STM image of a Bi₂Te₃ crystal which was surfacedoped with Mn by e-beam evaporation onto the cold sample surface. The Mn coverage amounts to approximately 0.01 monolayer (ML) and gives rise to a rigid negative energy shift of the band structure of approximately $100 \,\mathrm{meV}$, resulting in an n-doped surface with the Dirac point energetically located about $250 \,\mathrm{meV}$ below the Fermi level E_{F} [12]. Note, that this leads to a Fermi level positioned inside the bulk band gap, making the Fermi sea a truly two-dimensional system (see Supplementary Information). The dispersion above

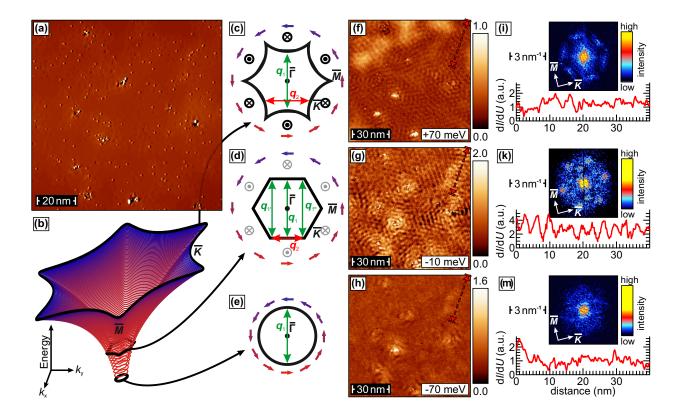


FIG. 1: (a) Topographic STM image of 0.01 ML Mn on Bi₂Te₃ ($I = 35 \,\mathrm{pA}$; $U = 150 \,\mathrm{mV}$). (b) Schematic dispersion of the topological states above the Dirac point. (c)–(e) Constant-energy contours with in-plane/out-of-plane spin polarization at selected energies. Red and green arrows indicate the preferred scattering vectors \mathbf{q}_1 and \mathbf{q}_2 along $\overline{\Gamma K}$ and $\overline{\Gamma M}$ directions, respectively. Corresponding quasiparticle interference maps measured at energies that mark the transition from convex to concave constant energy cuts are shown in (f) $E - E_{\mathrm{F}} = +70 \,\mathrm{meV}$, (g) $-10 \,\mathrm{meV}$, and (h) $-70 \,\mathrm{meV}$. Line sections measured between the arrows along the dashed red line are plotted in panels (i)–(m). In addition, they show the corresponding Fourier-transformed $\mathrm{d}I/\mathrm{d}U$ maps (top, raw data).

the Dirac point is schematically represented in Fig. 1(b). Selected CECs relevant for the following discussion are sketched in Fig. 1(c)-(e). Just above the Dirac point [Fig. 1(e)] the CEC is circular (black line) and the spin is perpendicularly locked to the momentum, thereby leading to a helical spin structure indicated by colorized arrows. As we move further away from the Dirac point the warping increases. This first leads to a hexagonal [Fig. 1(d)] and eventually to a snowflake-like shape of the CEC [Fig. 1(c)]. This deformation goes along with the development of an alternating out-of-plane component of the spin polarization along $\overline{\Gamma K}$

directions of the surface Brillouin zone [11], as indicated by symbols (\otimes, \odot) in Fig. 1(c) and (d).

Panels (f)–(h) of Fig. 1 show quasiparticle interference (dI/dU) maps measured at three energies, $E-E_{\rm F}=+70\,{\rm meV},\,-10\,{\rm meV},\,$ and $-70\,{\rm meV},\,$ respectively. These energies are chosen such that they mark the transition from convex to concave CEC, as consistently shown by theoretical calculations [11] and photoemission experiments [10]. As indicated by green and red arrows in Fig. 1(c)-(e), the scattering vectors ${\bf q}_1$ and ${\bf q}_2$ specific for each energy can be obtained by using the stationary phase approximation [13]. At $E-E_{\rm F}=-70\,{\rm meV}$ [Fig. 1(e) and (h)] the CEC is almost circular. Since reasonably nested parts essentially contain only one scattering vector, ${\bf q}_1$, only very weak QPI modulations are observed in Fig. 1(h). Indeed, the line section taken along the red line in Fig. 1(h) as well as the Fourier-transformed (FT-)QPI map, both displayed in Fig. 1(m), confirm the very low intensity of scattering events at this bias voltage. Note that this backscattering vector ${\bf q}_1$ is completely prohibited in the pristine material since reversing the wave vector would require a spin-flip, a mechanism forbidden as long as time-reversal symmetry is preserved.

In contrast, very strong scattering is observed in the QPI map recorded at $E - E_{\rm F} = -10\,\mathrm{meV}$ [Fig. 1(g)] where the CEC becomes hexagonal. Intense modulations of the $\mathrm{d}I/\mathrm{d}U$ signal can be recognized and quantified in the line profile [see Fig. 1(k)]. The FT-QPI map reveals the simultaneous existence of two scattering vectors which—as a result of the hexagonal surface symmetry—both appear in six equivalent directions, here represented by six (very weak) inner spots in $\overline{\Gamma M}$ directions and six (stronger) outer spots which can be found along $\overline{\Gamma K}$ directions.

The scattering channel along $\overline{\Gamma M}$ directions (\mathbf{q}_2) is routinely found on TI materials [8, 14]. Its appearance is related to the strength of the warping term which allows to effectively scatter between next-nearest neighbors segments of a CEC because of their parallel spin polarization. This channel is rather weak at the energy discussed here, $E - E_F = -10 \,\mathrm{meV}$, since nesting along \mathbf{q}_2 is still rather poor [see scheme in Fig. 1(d)]. In contrast, high-intensity spots are visible along the $\overline{\Gamma K}$ directions, which cannot be found on pristine TI surfaces. Their appearance implies an active role of the time-reversal symmetry breaking perturbations, i.e. the Mn adatoms, which, following theoretical predictions [15], we recently suggested to be magnetically coupled by the Dirac fermions present on the TI surface [12] (see XMCD discussion below).

Further raising the energy to $E - E_{\rm F} = +70 \,\mathrm{meV}$ leads to a weaker modulation of the $\mathrm{d}I/\mathrm{d}U$ signal detected in QPI images (see scale bars of Fig. 1). This finding is confirmed by the FT-QPI map shown in the top panel of Fig. 1(i) which only reveals six weak spots along the $\overline{\Gamma}M$ direction corresponding to \mathbf{q}_2 .

Interestingly, closer inspection of the real space images reveals that, once backscattering channels are opened, coherent waves propagate without any significant intensity loss over distances larger than 30 nm, as can clearly been seen in the line section of Fig. 1(k). Furthermore, although point-shape scattering centers should result in spherical waves emanating in all radial directions, our experimental data presented in Fig. 1(g) indicate that the charge density oscillations remain highly focused over mesoscopic distances well beyond the atomic scale. These observations provide compelling evidence that Dirac fermion–mediated interactions in TIs are highly anisotropic, where some crystallographic directions are preferred over others, as predicted theoretically [16]. Even more remarkably they demonstrate that, by appropriate band engineering, spin coherence can be achieved over mesoscopic distances.

A priori, however, the exact mechanisms that lead to the emergence of highly focused and anisotropic QPI patterns are not evident. Good nesting is known to be necessary to trigger the focusing effect [5, 17]. In the present case, this condition is fulfilled by large portions of the FS especially for the hexagonal case. This configuration supports additional scattering vectors with $\mathbf{v_k} \approx -\mathbf{v_{k'}}$ ($\mathbf{v_k}$ is the group velocity), such as $\mathbf{q'_1}$ or $\mathbf{q''_1}$, which—in contrast to $\mathbf{q_1}$ —are not strictly forbidden by time-reversal symmetry but strongly suppressed by the almost opposite spin projection of initial and final states. Their absence in the pristine case proves the important role played by the magnetic perturbations which needs thus to be carefully analyzed. Several different scenarios can be invoked: (i) the existence of time-reversal symmetry breaking perturbations is sufficient; (ii) both conditions, FS nesting and the presence of magnetic scatterers, are required; (iii) the Mn adatoms effectively polarize the topological-state spins.

As we will show by means of *ab-initio* as well as by model-Hamiltonian simulations, only scenario (ii) correctly explain our experimental findings.

The quantity that we examine is the extended joint density of states (exJDOS), an extension of the widely used joint density of states approach [7, 18] that constitutes an approxi-

mation to Fourier-transformed dI/dU images. We define the exJDOS as the convolution

$$\operatorname{exJDOS}(\mathbf{q}; E) = \int A_{\mathbf{k}}^{\operatorname{surf}}(E) M_{\mathbf{k}, \mathbf{k} + \mathbf{q}}(E) A_{\mathbf{k} + \mathbf{q}}^{\operatorname{surf}}(E) d^{2}\mathbf{k}, \tag{1}$$

at energy E, involving the spectral density $A_{\mathbf{k}}^{\text{surf}}(E)$ integrated in the spatial region between tip and sample surface, and the matrix element $M_{\mathbf{k}\mathbf{k}'}(E) = P_{\mathbf{k}\mathbf{k}'}(E) \gamma_{\mathbf{k}\mathbf{k}'}(E)$. Here, $P_{\mathbf{k}\mathbf{k}'}(E)$ is the scattering rate (including the suppression of time-reverse scattering) and $\gamma_{\mathbf{k}\mathbf{k}'}(E) =$ $1 - \cos(\mathbf{v}_{\mathbf{k}}, \mathbf{v}_{\mathbf{k}'})$ accounts for the fact that the STM probes standing waves, i.e., states with opposite group velocities are favored, in the spirit of the stationary phase approximation. The quantities $A_{\mathbf{k}}^{\text{surf}}(E)$ and $\gamma_{\mathbf{k}\mathbf{k}'}(E)$ are derived from the band structure, while $P_{\mathbf{k}\mathbf{k}'}(E)$ is calculated by means of the Golden Rule from the T-matrix of the impurity obtained by a calculation of the impurity Green function.

Our density-functional calculations are based on the local density approximation [19]. We employ the Korringa-Kohn-Rostoker Green-function (KKR) method for the calculation of the electronic structure and scattering properties (T-matrix and surface state scattering rate) of the impurity. The Bi₂Te₃ surface is modeled by a film of 6 quintuple layers. Fig. 2 summarizes a number of KKR-based numerical experiments showing the exJDOS at different energies [corresponding to warped (left) or hexagonal (right) Fermi surface and induced by different defects/impurities [top: non-magnetic Te vacancy; middle: single magnetic Mn impurity $(4.4 \,\mu_{\rm B})$ substituting Te; bottom: magnetic Mn trimer filling three Te vacancies in a ferromagnetic configuration (13.1 $\mu_{\rm B}$)]. If backscattering (green arrows) is present, a situation observed experimentally in Fig. 1(g), we expect a high $\exp(\mathbf{q}; E)$ intensity along $\overline{\Gamma K}$ directions. Evidently this is only the case for Fig. 2(f), i.e., when the nesting condition is fulfilled and when a very strong impurity magnetic moment (13.1 $\mu_{\rm B}$ of the Mn trimer) is present. Such a high magnetic moment can only be reached for a Mn trimer with strong ferromagnetic correlations. Other configurations (not shown) with the atomic moments within the Mn trimer oriented along different directions, such as \\ \tau \\ \tau \) (resulting in a magnetic moment of $4.4\,\mu_{\rm B})$ or three in-plane—oriented spins in a 120° configuration (vanishing net moment; $0 \mu_B$), also show a negligeble backscattering intensity. The relative intensity of the Te vacancy (vac.), the single Mn atom (Mn₁), and Mn trimers with different spin configurations [in-plane 120°, antiferromagnetic (AFM), and ferromagnetic (FM)] is depicted in the histogram shown in the inset of Fig. 2(f). Indeed, our ab initio density functional theory calculations performed for a scenario where three Mn atoms are filling three

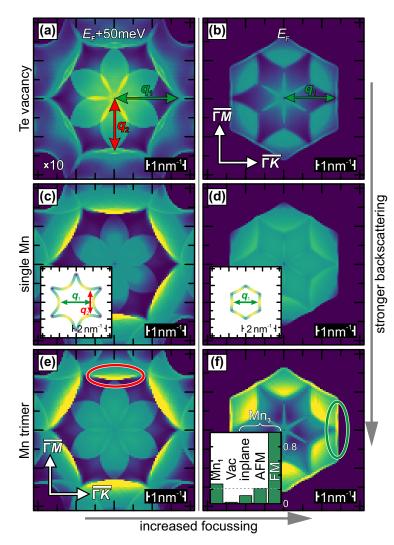


FIG. 2: Simulated exJDOS images for energies $E = E_F + 50 \text{meV}$ (a, c, e) and $E = E_F$ (b, d, f) as generated by a Te vacancy (top row), a single Mn atom at a Te vacancy site (middle), and a Mn trimer (bottom). With the exception of (a) (contrast 10-fold magnified) the color scale is the same in all panels. Red ($\overline{\Gamma M}$ direction) and green ($\overline{\Gamma K}$) ellipses highlight exJDOS features associated to conventional scattering and backscattering, respectively. Insets in (c) and (d) show iso-energy contours for the different energies color-coded by the states' surface localization (yellow: > 80% in first QL, dark blue: $\sim 60\%$ in first QL). In the inset in (f) the relative magnitude of the backscattering signal is compared for 5 different impurity and spin configurations.

Te vacancies show that the ferromagnetic state has the lowest total energy as compared to the other configurations discussed above. We speculate that this may be the result of indirect RKKY-type coupling mediated by the Dirac fermions which exhibit a Fermi wavelength of approximately 7 nm, i.e. well above the average Mn–Mn spacing of about 3 nm, making the interaction always ferromagnetic as predicted in Ref.[15].

In parallel we performed model-calculations based on the Hamiltonian of Lee *et al.* [20]. As described in the supplementary information, the model was extended by exchange-interaction terms to represent (i) the scattering by Mn moments, as well as (ii) the possibility of a uniform magnetization of the surface state caused by ferromagnetic coupling among the Mn defects. These model calculations, in agreement with the *ab-initio* results, provide

further evidence that the experimentally observed standing wave patterns arise from the combined action of ferromagnetically coupled Mn atoms and the hexagonally shaped CEC supporting the focusing effect.

Achieving such a high magnetic moment in a Mn-doped system is possible only if Mn atoms couple ferromagnetically since both bulk Mn [21] and Mn nanostructures [22], are known to exhibit an antiferromagnetic ground-state. In order to directly determine the magnetic moment and configuration of Mn on Bi_2Te_3 , we have performed XMCD measurements at the BOREAS beamline of the Alba synchrotron facility. By measuring the photo-induced sample drain current, x-ray absorption spectra (XAS) were recorded in the total electron yield (TEY) mode with left (I^-) and right (I^+) circularly polarized photons and in the presence of an external magnetic field. Fig. 3(a) (top panel) reports the XAS recorded at the Mn $L_{2,3}$ edges with normal and grazing x-rays incidence, at a Mn coverage of about 0.016 ML. The data were taken at a temperature T=2.5 K and in a magnetic field of 6 T applied along the photon beam direction. The spectra are characteristic of Mn atoms in a configuration close to d^5 , as previously observed for Mn doped into the bulk of Bi_2Te_3 [23].

The XMCD, calculated as $I^- - I^+$ and shown in the bottom panel of Fig. 3(a), highlights the considerable magnetic polarization of the Mn surface dopants. Fig. 3(b) displays the magnetization cycles, recorded on the same sample at T = 2.5 K, at normal (green line) and grazing incidence (yellow line), by following the XMCD magnitude at the Mn L_3 -edge (full circles) as a function of the applied magnetic field. Both, the larger slope in M(H) at small fields and the saturation at large fields in normal incidence geometry indicate an out-of-plane magnetic anisotropy for Mn on Bi₂Te₃. The saturation magnetization (in Bohr magnetons per Mn atom) can be evaluated by applying the XMCD sum rules [24, 25] to the data in Fig. 3(a). Independent information on the magnitude of the fluctuating total moments is contained in the shape of the magnetization isotherms, strongly determining their slopes near $\mu_0 H = 0$. An analysis in terms of classical Langevin paramagnetism augmented with a uniaxial magnetic anisotropy term [26], yields an effective value of the (total) saturation moment $M_{\rm sat}$ and the associated magnetic anisotropy energy.

Fig. 3(c) shows the coverage dependence of $M_{\rm sat}$ in the range 0.005 - 0.03 ML Mn, resulting from the Langevin fits. At the lowest coverage of 0.005 ML we obtain an effective moment

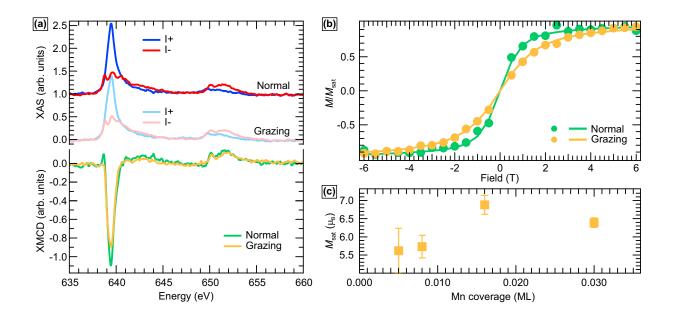


FIG. 3: (a) Mn $L_{2,3}$ -edges x-ray absorption spectra (XAS; top panel) and x-ray magnetic circular dichroism (XMCD; bottom panel) signal of 0.016 ML Mn on Bi₂Te₃, obtained at normal and grazing incidence, with B = 6 T and T = 2.5 K. (b) Corresponding magnetization cycles, recorded at the XMCD maximum at the Mn L_3 -edge. (c) Mn-coverage dependence of the saturation magnetization extracted from the recorded magnetization cycles.

near $5\,\mu_{\rm B}$, coinciding with the saturation moment of Mn atoms with d^5 configuration. In agreement with expectation the adatoms can be considered as magnetically independent in this limit. Already at a coverage of $0.008\,{\rm ML}$, however, a significant enhancement of the effective magnetic moment becomes evident. Further increasing the coverage to $0.016\,{\rm ML}$ leads to an effective moment of almost $7\,\mu_{\rm B}$. Such a large value cannot be ascribed to single Mn atoms. Instead, it implies the existence of ferromagnetic interactions among neighboring Mn atoms, yielding a significant fraction of magnetic units constituted by more than one Mn atom.

We envision that exchange coupling between Mn adatoms may be mediated by the surface electron gas of Bi₂Te₃. We speculate that—although ferromagnetic correlations are present—thermal fluctuations and disorder are too strong to establish stable ferromagnetic order. Nevertheless, assemblies of Mn atoms with small enough Mn–Mn separation will exhibit sufficiently strong magnetic interactions to couple their individual moments to a macro-spin, resulting in the enhanced susceptibility characteristic for superparamagnets which is experimentally observed in Fig 3(b). Since XMCD spatially averages over a macro-

scopic sample area, a value of $7 \mu_{\rm B}$ implies the existence of assemblies with considerably larger magnetic moments. This result is consistent with the theoretical finding that units composed of at least three ferromagnetically interacting Mn atoms are required to activate the scattering channel along the $\overline{\Gamma K}$ direction.

Our findings provide evidence that, through electron focusing, quantum coherent information can be transferred in topological insulators over distances of more than 30 nm, making it compatible with device dimensions and thereby paving the way to design experiments and devices based on spin quantum coherent phenomena in this fascinating class of materials. More generally, they provide evidence that, through appropriate band engineering in materials with spin-split states, many interesting phenomena may appear, with important implications for spintronic and quantum computation.

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