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1           **Activity of ceftazidime-avibactam against clinical and isogenic laboratory**  
2           ***Pseudomonas aeruginosa* isolates expressing combinations of most relevant  $\beta$ -**  
3           **lactam resistance mechanisms.**

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16   **Running title:** Ceftazidime-avibactam against *Pseudomonas aeruginosa*.

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24 **Abstract**

25 The activity of ceftazidime-avibactam was compared with that of ceftazidime alone and  
26 meropenem against a collection of 190 *P. aeruginosa* clinical isolates recovered from a  
27 multicenter study of bloodstream infections. The addition of avibactam increased  
28 ceftazidime susceptibility in the complete collection of strains (64.7% to 91.1%) and  
29 particularly among subsets of isolates showing AmpC hyperproduction (10.9% to  
30 76.1%) or MDR profiles (27% to 77.8%). MICs of ceftazidime-avibactam, in contrast  
31 with those of ceftazidime or meropenem, remained  $\leq 4$   $\mu\text{g/mL}$  for a panel of 16 PAO1  
32 isogenic mutants, expressing multiple combinations of the most relevant  $\beta$ -lactam  
33 resistance mechanisms.

34 *Pseudomonas aeruginosa* causes a wide range of severe infections, and  
35 represents a therapeutic challenge due to its low intrinsic susceptibility to most  
36 antimicrobials and its extraordinary ability to develop resistance to nearly all available  
37 antibiotics through chromosomal mutations (1). Although the prevalence of acquired  $\beta$ -  
38 lactamases, particularly class B carbapenemases (metallo- $\beta$ -lactamases, MBLs), is  
39 increasing in certain areas, the overexpression of AmpC is still the most frequent and  
40 relevant resistance mechanism to penicillins and cephalosporins in *P. aeruginosa*,  
41 frequently leading to pan- $\beta$ -lactam-resistance profiles when combined with the  
42 inactivation of carbapenem porin OprD and/or the overexpression of diverse efflux  
43 pumps (2, 3).

44 Avibactam is a new broad spectrum inhibitor of  $\beta$ -lactamases from classes A and  
45 C as well as some from class D, recently commercialized in combination with  
46 ceftazidime in the USA and Europe, with treatment indications for complicated urinary  
47 tract infections, complicated intra-abdominal infections and hospital-acquired  
48 pneumonia (Europe) (4).

49 The objective of this study was to evaluate the activity of ceftazidime-  
50 avibactam, compared with that of ceftazidime alone and meropenem, against a  
51 collection of 190 *P. aeruginosa* clinical isolates recovered from a bloodstream  
52 infections multicenter study performed in Spain (5). Resistance mechanisms produced  
53 by this collection have been deeply characterized previously (5, 6). Additionally, a  
54 panel of 16 PAO1 isogenic mutants, expressing multiple combinations of the most  
55 relevant  $\beta$ -lactam resistance mechanisms, such as AmpC hyperproduction, OprD  
56 inactivation and efflux pumps overexpression were tested. MICs were determined for  
57 ceftazidime alone or combined with avibactam (at a fixed concentration of 4  $\mu$ g/mL)  
58 and for meropenem by broth microdilution using CLSI breakpoints (7).

59 Recommendations by Magiorakos *et al.* were followed for the definition of multidrug  
60 resistance (MDR) profiles (8).

61 Consistently with previous reports (9, 10), the addition of avibactam  
62 significantly increased overall ceftazidime susceptibility in the collection of clinical  
63 strains, from 64.7% to 91.1% (Table 1). Up to 74.6% of the isolates non-susceptible to  
64 ceftazidime remained susceptible to ceftazidime-avibactam. Moreover, ceftazidime-  
65 avibactam overall susceptibility percentages were well above those of meropenem  
66 (77.4%). The effect of the addition of avibactam was even higher for the subset of  
67 isolates showing MDR profiles (27% to 77.8%) and AmpC hyperproduction (10.9% to  
68 76.1%). The MIC distributions (Figure 1) corroborated the significant increase of  
69 activity, with modal MIC values of ceftazidime for MDR and AmpC hyperproducing  
70 strains decreasing from 32 to 4  $\mu\text{g/mL}$  with the addition of avibactam; MIC<sub>50</sub>s and  
71 MIC<sub>90</sub>s (Table 1) also revealed an at least 4-fold higher potency of ceftazidime-  
72 avibactam compared to ceftazidime alone in these subsets of strains. Moreover, up to  
73 74.1% of pan- $\beta$ -lactam resistant isolates were susceptible to ceftazidime-avibactam  
74 (Table 1).

75 Ceftazidime MIC<sub>50</sub>s were not much different in the presence of avibactam for  
76 the isolates overexpressing major efflux pumps (MexAB or MexXY), likely indicating  
77 that, as expected, avibactam does not provide protection against these resistance  
78 mechanisms. However, MIC<sub>90</sub>s and non-susceptibility percentages were lower for  
79 ceftazidime-avibactam than for ceftazidime alone in these subsets of isolates, likely due  
80 to the co-expression of additional resistance mechanisms, particularly AmpC  
81 hyperproduction. On the other hand, the activity of comparator meropenem was much  
82 lower than that of ceftazidime-avibactam among all subgroups of isolates (MDR, AmpC

83 hyperproduction and efflux pump overexpression), with susceptibility rates below 50%  
84 in all cases (Table 1).

85 Of the 17 (8.9%) isolates non-susceptible to ceftazidime-avibactam (MICs >8  
86 µg/mL), two of them produced the MBL VIM-2. These two isolates showed the highest  
87 ceftazidime-avibactam MIC values, 64 and 128 µg/mL, and were the only isolates  
88 found to produce an acquired β-lactamase in the complete collection of 190 isolates (5).  
89 MICs for all other non-susceptible strains ranged from 16 (14 isolates) to 32 (1 isolate)  
90 µg/mL. Thus, most non-susceptible isolates remained within the CLSI ceftazidime  
91 intermediate category (16 µg/ml). The analysis of resistance mechanisms (AmpC and  
92 efflux pumps) in this subset of isolates failed to detect specific differences with  
93 ceftazidime-avibactam susceptible isolates, arguing in favour of the existence of yet  
94 unidentified mechanisms modulating ceftazidime-avibactam susceptibility (11).

95 The activity of ceftazidime-avibactam, compared with that of ceftazidime alone  
96 and meropenem was also evaluated in a collection of PAO1 isogenic mutants  
97 expressing multiple combinations of the most relevant β-lactam resistance mechanisms,  
98 including multiple levels of AmpC hyperproduction, mutation of nonessential  
99 penicillin-binding proteins (PBPs), inactivation of the porin OprD, and/or efflux pumps  
100 overexpression. As shown in Table 2, MICs of ceftazidime-avibactam, in contrast with  
101 those of ceftazidime or meropenem remained ≤4 µg/mL in all cases. The potentiation of  
102 the activity of ceftazidime by avibactam was highest among isolates showing multiple  
103 combinations of mutations leading to very high-level of AmpC production, such as the  
104 triple *ampD* mutant, the mutant defective in all 3 nonessential PBPs or the AmpD-PBP4  
105 double mutant, for which the MIC of ceftazidime was reduced from 64 to 4 µg/mL.  
106 Thus, results are similar to those documented for the novel combination ceftolozane-  
107 tazobactam (3, 12). It should be noted, however, that resistance to both novel

108 combinations may emerge through the (infrequent) selection of different mutations  
109 leading to the modification of AmpC structure (13). MexAB-OprM overexpression  
110 determined a reduction (4-fold MIC increase) of ceftazidime susceptibility which was  
111 not restored, as expected (14), by the addition of avibactam. However, the positive  
112 effect of avibactam on AmpC hyperproducing strains was still seen even when they  
113 simultaneously overexpressed MexAB-OprM (See MexR and AmpD-MexR mutants in  
114 Table 2). On the other hand, consistently with previous data (2), susceptibility of  
115 meropenem was highly compromised by combinations of OprD inactivation and AmpC  
116 or efflux pumps (MexAB-OprM) hyperproduction.

117         Thus, ceftazidime-avibactam, could be a new useful therapeutic option for the  
118 treatment of nosocomial infections by *P. aeruginosa*, including non-MBL-producing  
119 MDR strains.

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212 **Legends to figures**

213

214 **Figure 1. A.** Ceftazidime (CAZ) and ceftazidime-avibactam (CAZ-AVI) MIC distributions for a collection of 190 *P. aeruginosa* bloodstream  
215 isolates recovered from a 10-hospital multicenter study performed in Spain. **B.** CAZ and CAZ-AVI MIC distribution for the 46 isolates from the  
216 collection showing AmpC hyperproduction. **C.** CAZ and CAZ-AVI MIC distribution for the 63 isolates from the collection showing a MDR  
217 profile.

**Table 1.** MIC<sub>50/90</sub> and susceptibility percentages for the entire collection of blood stream isolates, and subsets of isolates showing AmpC or efflux pumps hyperproduction or MDR profiles.

	All Isolates (n = 190)			AmpC hyperproducing isolates <sup>b</sup> (n = 46)			MexAB hyperproducing isolates <sup>b</sup> (n = 24)			MexXY Hyperproducing isolates <sup>b</sup> (n = 25)			MDR isolates (n = 63)			Pan-β-lactam resistant isolates <sup>c</sup> (n = 27)
	CAZ	CAZ-AVI	MER	CAZ	CAZ-AVI	MER	CAZ	CAZ-AVI	MER	CAZ	CAZ-AVI	MER	CAZ	CAZ-AVI	MER	CAZ-AVI
<b>MIC<sub>50</sub></b>	4	4	1	32	4	8	8	8	8	8	4	8	32	4	8	8
<b>MIC<sub>90</sub></b>	32	8	16	128	16	32	64	16	32	32	8	16	128	16	32	16
<b>% Susceptible<sup>a</sup></b>	64.7	91.1	77.4	10.9	76.1	41.3	50.0	87.5	41.7	60.0	96.0	44.0	27.0	77.8	41.3	74.1

<sup>a</sup> Breakpoints for ceftazidime (CAZ), S ≤ 8 µg/mL; ceftazidime-avibactam (CAZ-AVI) S ≤ 8/4 µg/mL; meropenem (MER) S ≤ 4 µg/mL.

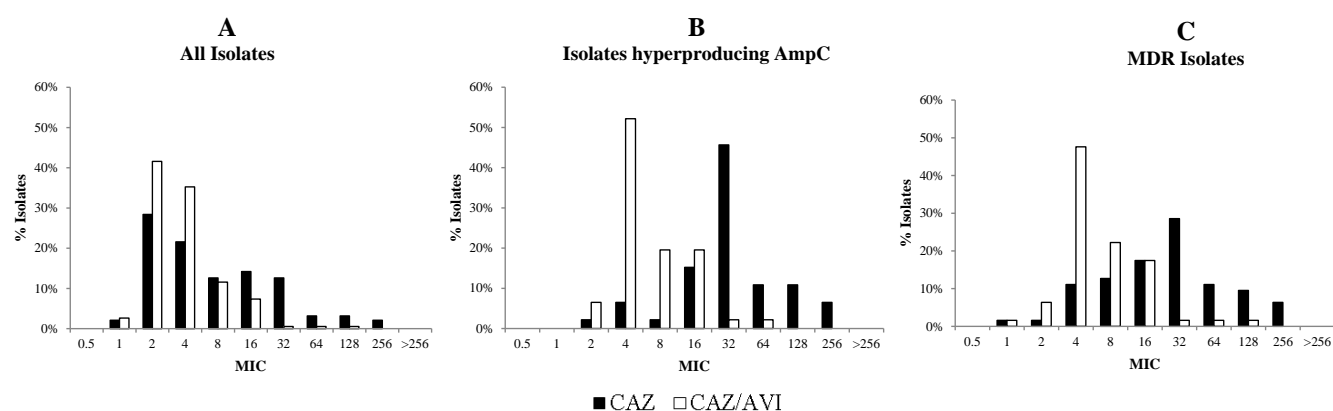
<sup>b</sup> Previously definitions were used (5). Strains were considered positive for *ampC* or *mexY* overexpression when the corresponding mRNA level was at least 10-fold higher than that of PAO1. Strains were considered positive for *mexB* overexpression when the corresponding mRNA level was at least 3-fold higher than that of PAO1.

<sup>c</sup> Pan-β-lactam resistant isolates are defined as non-susceptible to ceftazidime, cefepime, aztreonam, piperacillin-tazobactam, imipenem and meropenem.

226 **Table 2.** MIC for ceftazidime (CAZ), ceftazidime-avibactam (CAZ-AVI) and meropenem (MER) for PAO1 isogenic mutants expressing  
 227 multiple combinations of most relevant  $\beta$ -lactam resistance mechanisms.

Strain	Phenotype <sup>a</sup>	Reference	MIC ( $\mu$ g/mL)		
			CAZ	CAZ-AVI	MER
PAO1	Wild type strain		1	1	0.5
PAO $\Delta$ <i>dacB</i>	PAO1 PBP4 mutant [ $\uparrow$ <i>ampC</i> (Ca. 50-fold)]	15	32	2	0.5
PAO $\Delta$ <i>dacC</i>	PAO1 PBP5 mutant	16	1	1	0.5
PAO $\Delta$ <i>dacB</i> $\Delta$ <i>dacC</i>	PAO1 PBP4-PBP5 mutant [ $\uparrow$ <i>ampC</i> (Ca. 500-fold)]	16	64	2	0.5
PAO $\Delta$ <i>dacB</i> $\Delta$ <i>pbpG</i> $\Delta$ <i>dacC</i>	PAO1 PBP4-PBP5-PBP7 mutant [ $\uparrow$ <i>ampC</i> (Ca. 1200-fold)]	16	64	2	0.5
PAO $\Delta$ <i>ampD</i>	PAO1 AmpD mutant [ $\uparrow$ <i>ampC</i> (Ca. 50-fold)]	17	16	2	1
PAO $\Delta$ <i>D</i> $\Delta$ <i>h2</i> $\Delta$ <i>h3</i>	PAO1 AmpD-AmpDh2-AmpDh3 mutant [ $\uparrow$ <i>ampC</i> (Ca. 1000-fold)]	17	64	4	1
PAOD1	OprD- spontaneous PAO1 mutant (W65X)	12	1	1	2
PAOD1 $\Delta$ <i>ampD</i>	PAOD1 (OprD-) AmpD mutant [ $\uparrow$ <i>ampC</i> (Ca. 50-fold)]	12	16	2	8
PAO $\Delta$ <i>dB</i> $\Delta$ <i>ampD</i>	PAO1 PBP4 AmpD mutant [ $\uparrow$ <i>ampC</i> (Ca. 1800-fold)]	15	64	4	1
PAOD1 $\Delta$ <i>dacB</i>	PAOD1 (OprD-) PBP4 mutant [ $\uparrow$ <i>ampC</i> (Ca. 50-fold)]	12	32	2	2
PAO $\Delta$ MxR	PAO1 MexR mutant [ $\uparrow$ <i>mexB</i> (Ca. 10-fold)]	18	4	4	2
PAOD $\Delta$ MxR	PAOD1 (OprD-) MexR mutant [ $\uparrow$ <i>mexB</i> (Ca. 10-fold)]	2	4	4	8
PAO $\Delta$ <i>D</i> $\Delta$ MxR	PAO1 AmpD-MexR mutant [ $\uparrow$ <i>ampC</i> (Ca. 50-fold) + $\uparrow$ <i>mexB</i> (Ca. 10-fold)]	2	32	4	4
PAO $\Delta$ NB	PAO1 NfxB mutant [ $\uparrow$ <i>mexD</i> (Ca. 150-fold)]	19	1	1	0.25
PAO $\Delta$ MxZ	PAO1 MexZ mutant [ $\uparrow$ <i>mexY</i> (Ca. 15-fold)]	20	1	1	0.5
PAOD $\Delta$ MxZ	PAOD1 (OprD-) MexZ mutant [ $\uparrow$ <i>mexY</i> (Ca. 15-fold)]	This work	1	1	2

228 <sup>a</sup>Expression levels and *oprD* aminoacid changes, are referred to PAO1.



**Figure 1.** A. Ceftazidime (CAZ) and ceftazidime-avibactam (CAZ-AVI) MIC distributions for a collection of 190 *P. aeruginosa* bloodstream isolates recovered from a 10-hospital multicenter study performed in Spain. B. CAZ and CAZ-AVI MIC distribution for the 46 isolates from the collection showing AmpC hyperproduction. C. CAZ and CAZ-AVI MIC distribution for the 63 isolates from the collection showing a MDR profile.