

---

This is the **accepted version** of the article:

Sebio, A.; Stintzing, S.; Heinemann, V.; [et al.]. «A genetic variant in RASS1A predicts outcome in mCRC patients treated with cetuximab plus chemotherapy : results from FIRE-3 and JACCRO 05 and 06 trials». The pharmacogenomics journal, october 2016. DOI 10.1038/tpj.2016.69

---

This version is available at <https://ddd.uab.cat/record/185995>

under the terms of the  <sup>IN</sup> COPYRIGHT license



## A genetic variant in *Rassf1a* predicts outcome in mCRC patients treated with cetuximab plus chemotherapy: results from FIRE-3 and JACCRO 05 and 06 trials

A. Sebio<sup>1,2</sup>, S. Stintzing<sup>3</sup>, V. Heinemann<sup>3</sup>, Y. Sunakawa<sup>1</sup>, W. Zhang<sup>1</sup>, W. Ichikawa<sup>4</sup>, A. Tsuji<sup>5</sup>, T. Takahashi<sup>4</sup>, A. Parek<sup>1</sup>, D. Yang<sup>1</sup>, S. Cao<sup>1</sup>, Y. Ning<sup>1</sup>, S. Stremtizer<sup>1</sup>, S. Matsusaka<sup>1</sup>, S. Okazaki<sup>1</sup>, A. Barzi<sup>1</sup>, M. Berger<sup>1</sup>, and H-J Lenz<sup>1,6</sup>

<sup>1</sup>Division of Medical Oncology; Sharon A. Carpenter Laboratory; Norris Comprehensive Cancer Center; Keck School of Medicine, University of Southern California, 90033 Los Angeles, USA

<sup>2</sup>Medical Oncology Department; Santa Creu i Sant Pau Hospital; Universitat Autònoma de Barcelona, 08041 Barcelona, Spain

<sup>3</sup>Department of Hematology and Oncology, Klinikum der Universität, University of Munich, 81377 Munich, Germany

<sup>4</sup>Division of Medical Oncology, Showa University, 142-8555 Yokohama, Japan

<sup>5</sup>Department of Clinical Oncology, Kagawa University, 761-0793 Kagawa, Japan

<sup>6</sup>Department of Preventive Medicine; Norris Comprehensive Cancer Center; Keck School of Medicine, University of Southern California, 90033 Los Angeles, USA

### Abstract

The Hippo pathway is involved in colorectal cancer (CRC) development and progression. The Hippo regulator *Rassf1a* is also involved in the Ras signaling cascade. In this work, we tested single nucleotide polymorphisms within Hippo components and their association with outcome in CRC patients treated with cetuximab. Two cohorts treated with cetuximab plus chemotherapy were evaluated (198 *RAS* wild-type (wt) patients treated with first-line FOLFIRI plus Cetuximab within the FIRE-3 trial and 67 *Ras* wt patients treated either with first-line mFOLFOX6 or SOX plus Cetuximab). In these two populations, *Rassf1a* rs2236947 was associated with overall survival, as patients with a CC genotype had significantly longer OS compared to those with CA or AA genotypes. This association was stronger in patients with left-side CRC [HR: 1.79 (1.01–3.14);  $P=0.044$  and HR: 2.83 (1.14–7.03);  $P=0.025$ , for Fire 3 and JACCRO cohorts, respectively]. *Rassf1a* rs2236947 is a promising biomarker for patients treated with cetuximab plus chemotherapy.

---

Users may view, print, copy, and download text and data-mine the content in such documents, for the purposes of academic research, subject always to the full Conditions of use:[http://www.nature.com/authors/editorial\\_policies/license.html#terms](http://www.nature.com/authors/editorial_policies/license.html#terms)

**Corresponding author:** Heinz-Josef Lenz, MD, FACP, Sharon A. Carpenter Laboratory, Norris Comprehensive Cancer Center, Keck School of Medicine, University of Southern California, 1441 Eastlake Avenue, Los Angeles, CA, 90033, USA, Phone +1-323-865-3967; LENZ@usc.edu.

**Conflicts of interest:** the authors declare no conflicts of interest

## Keywords

Rassf1a; cetuximab; SNP; colorectal cancer; biomarker

---

## Introduction

Salvador-Warts-Hippo pathway controls organ size by regulating tissue growth. In recent times, several studies have highlighted the implication of deregulated Hippo signaling in cancer development and progression<sup>1</sup>. This novel pathway acts as a complex tumor suppressor network controlling cell growth, proliferation, stem-cell maintenance and epithelium mesenchymal transition<sup>2</sup>. Hippo's signaling core consists of a complex of kinases whose activation ultimately leads to the phosphorylation of the oncoproteins YAP and TAZ preventing their translocation to the nucleus. On the contrary, if YAP/TAZ are not phosphorylated they can translocate to the nucleus where they regulate the activity of several transcription factors that control the expression of the Hippo target genes. These target genes include amphiregulin, Sox2 or Birc5 among others. Additionally, Hippo pathway interacts with other pathways such as Wnt, TGF $\beta$  or Notch<sup>3</sup>. These pathways connections are of particular relevance for colorectal cancer (CRC) development and progression. Moreover, some of Hippo's upstream regulators like Rassf1a are also crucial players in CRC. Rassf1a is a tumor suppressor that interacts with Ras signaling through a Ras interaction domain and with the Hippo pathway, specifically with MST, through a SARAH interaction domain. Rassf1a is also involved in microtubule stability, cell-cycle regulation and apoptosis<sup>4</sup>.

Rassf1a is methylated in a high percentage of CRC samples (12% to 81% depending on the series), representing an alternative mechanism of aberrant Ras signaling<sup>5</sup> and, interestingly, a mutually exclusive relationship with KRAS mutations has been reported<sup>6, 7</sup>. Rassf1a has also been found to regulate the EGFR ligand amphiregulin by Hippo activation<sup>8</sup>.

The growing interest in the Hippo pathway in cancer is slowly translating into multiple translational research works that underscore the clinical relevance of this pathway in CRC tumors. The expression of Hippo's oncoproteins YAP and TAZ has been correlated with the prognosis of CRC patients. A potential explanation for this correlation could be that TAZ/YAP signaling contributes to chemoresistance conferring cancer stem cell-related traits<sup>9, 10</sup>. Recently, in colon cancer cell lines YAP was reported to contribute to 5-Fluorouracil (5-Fu) resistance by inducing cellular quiescence as well as contributing to a stem cell-like phenotype<sup>11</sup>. Not only the expression of YAP and TAZ appear to be useful in predicting the patients' prognosis in CRC. Single nucleotide variations within genes involved in the Hippo pathway have also been investigated as biomarkers in colorectal cancer patients. In stages II and III colorectal cancer polymorphisms located within TAZ and Rassf1a were found to be associated with the recurrence risk<sup>12</sup>. However, in the metastatic colorectal cancer (mCRC) setting to our knowledge genetic variants within genes involved in the Hippo pathway have not been evaluated. In mCRC, a combination of anti-EGFR therapies plus chemotherapy is considered a standard of care in Ras wild-type patients<sup>13-16</sup>. Despite of the presence of Ras mutations as strong biomarkers to select the patients that benefit the most from anti-EGFR, approximately 25-30% of the patients do not respond to

treatment and, moreover, survival among responders can vary significantly. The mechanisms for this lack of response and survival differences remain unknown. We hypothesized that the critical role of the Hippo pathway in CRC development and progression might play a role in these differences. In this work, we evaluated single nucleotide polymorphisms within the Hippo pathway as biomarkers in mCRC patients treated with cetuximab plus chemotherapy.

## Material and Methods

### Selected polymorphisms

A total of 4 single nucleotide polymorphisms (SNPs) were selected based on previously reported results and based on their potential relevance in cetuximab treated patients<sup>12</sup>. The selected polymorphisms were: rs2073498 and rs2236947 located in the *Rassf1* gene, rs558614 located in the *LATS2* gene and rs3811715 located in the *TAZ* gene (also known as *WWTR1*). *Rassf1* rs2073498 polymorphism is a missense change (Ala133Ser) located in exon 3. *LATS2* rs558614 polymorphism is also a missense change (Ala324Val) located in exon 4. The rest of the analyzed polymorphisms are located intronically.

DNA was extracted from FFPE tissue samples and genotypes were obtained using PCR-based direct sequencing. 5% of the samples were re-sequenced to ensure the accuracy of the results revealing a concordance higher than 99%. The author that performed the genotyping was blinded to the clinical data set.

### Patients' clinical characteristics

These 4 SNPs were tested first in cohort 1 that comprised of all Ras wild-type patients enrolled in the arm A of Fire 3 trial. Those SNPs significantly associated with survival were subsequently evaluated in an independent cohort 2 that included all Ras wild-type patients enrolled in JACCRO 05 and JACCRO 06 trials.

Cohort 1 consisted of a total of 199 Ras wild-type patients enrolled in the arm A of Fire 3 trial (NCT00433927) treated with FOLIRI plus cetuximab. Cohort 2 consisted of a total of 67 patients enrolled in JACCRO 05 (UMIN000004197) or 06 (UMIN000007022) who received oxaliplatin based chemotherapy (FOLFOX or SOX) plus cetuximab. The clinical characteristics of these two cohorts have been described in detail somewhere else<sup>13, 17, 18</sup>. Table 1 describes the baseline clinical characteristics of the patients included in the study.

This study was performed following the REMARK recommendations for the reporting of biomarkers<sup>19</sup>. The study was approved by the ethics committees and all patients signed an informed consent.

### Statistical analysis

The endpoints of the current study included overall survival (OS), progression-free survival (PFS), and tumor response per RECIST 1.0. Overall survival was measured as the time period from randomization or registration to death from any cause. PFS was defined as the time from the date of randomization in FIRE 3 and registration in JACCRO 05 or 06 to disease progression or death from any cause. PFS and OS were censored at the last follow-up if progression and death were not observed.

Deviations from distribution of the Hardy-Weinberg equilibrium were examined using  $\chi^2$  test. The true inheritance mode of the candidate polymorphisms had not been known yet, therefore a codominant, dominant or recessive model was assumed whenever appropriate. The associations of the SNPs and PFS or OS were analyzed using Kaplan Meier curves and log-rank tests. In the multivariable Cox regression analysis, the model was adjusted by baseline prognostic factors. The associations between the SNPs and tumor responses were examined using  $\chi^2$  tests.

All analyses were conducted using SAS statistical package version 9.4 (SAS Institute, Cary, NC, USA). All tests were 2-sided at a significance level of 0.05. *P* values were adjusted for multiple testing using the false discovery rate (FDR). The FDR-adjusted *P* values <15% were considered as statistically significant.

## Results

The median follow up for cohort 1 was 34.1 months (range 0.03–70.8) and the median overall survival reached 33.1 months. For the JACCRO 05 and 06 cohort, the median follow up was 31.6 months (range 5.5–42.9) and the median survival was 33.9 months.

Of all the analyzed samples, genotypes were achieved in at least 90% of the cases for each polymorphism. In those failed cases, genotypes were not obtained due to a limited DNA quantity or poor DNA quality.

The four analyzed polymorphisms were within the probabilities limits of the Hardy-Weinberg equilibrium ( $p > 0.05$ ). For the Fire 3 cohort, the minor allele frequency was 47% and for the Japanese cohort 27% (expected 46% and 21% respectively, according to [www.Ensembl.org](http://www.Ensembl.org)).

In cohort 1, the rs2236947 polymorphism was associated with overall survival. In the dominant model, patients with a CC genotype had a median overall survival (OS) of 46.3 months (95% CI; 21.8–70.8), whereas patients with a CA or AA genotypes had a median OS of 30.6 (95% CI, 23.9–38.3);  $P = 0.023$ . In the multivariable Cox regression model adjusting for sex, ECOG performance status (0 vs 1–2) and primary tumor site (right, left vs NA) and number of metastatic sites (1–2 vs 3 or more) the hazard ratio (HR) was 1.50 (95% CI, 0.94–2.38);  $P = 0.088$ . This SNP did not associate with the response rate (RR) or the progression-free survival (PFS) in this population.

The rest of the analyzed polymorphisms did not yield any association regarding RR, PFS or OS. Table 2 shows in detail all the analyzed associations.

The rs2236947 located in the *Rassf1a* gene was analyzed in the second cohort of patients. In this population, the rs2236947 was also associated with OS: patients harboring a CC genotype had a median OS of 42.8 months (95% CI, 27.1–42.8) compared with the patients with a CA or AA genotypes whose median OS was 19.0 months (95% CI, 13.4–42.9);  $P = 0.057$ . In the multivariable Cox regression model adjusting for ECOG performance status the HR was 2.72 (95% CI, 1.23–6.04);  $P = 0.014$ . In this cohort, an association was found also regarding PFS. Table 3 shows in detail these results.

These polymorphisms were also evaluated in an exploratory cohort of 190 patients enrolled in the arm B of the FIRE 3 arm and treated with FOLFIRI plus Bevacizumab. In this population no associations were found regarding response, PFS or OS based on the rs2236947 genotype (Online only Supplementary Table 1).

### Subgroup analysis

The association of *Rassf1a* rs2236947 with OS was stronger in patients bearing left-side tumors. In cohort 1, patients with a CC genotype had a median OS of 59.0 months (95% CI, 23.8–70.8) compared to 38.3 (95% CI, 29.8–41.2) months for the patients with a CA or AA genotypes,  $P=0.013$ . In multivariable analysis this association remained statistically significant with a HR of 1.79 (1.01–3.14);  $P=0.044$  (Figure 1, Table 4). No association was found regarding *Rassf1a* rs2236947 genotype in patients harboring right-side colon tumors.

In cohort 2, patients harboring a CC genotype had a median OS of 42.8 months (95% CI, 30.5–42.8) whereas patients with a CA or AA genotypes had a median OS of 23.2 (13.4–42.9),  $P=0.056$ . In the multivariable analysis the HR was 2.83 (1.14–7.03);  $P=0.025$  (Figure 2, Table 3).

In this cohort, the rs2236947 SNP was also associated with PFS in patients harboring left-side tumors. Patients with a CC genotype had a median PFS of 15.2 months (95% CI, 8.8–18.0) compared to 10.0 months (95% CI, 8.5–11.7) for the patients with a CA or AA genotype,  $P=0.059$ . In multivariable analysis the HR was 1.98 (95% CI, 1.02–3.84);  $P=0.045$ .

### Discussion

The polymorphism rs2236947 located in the *Rassf1* gene was found to be associated with overall survival in two independent cohorts of patients treated with chemotherapy plus the anti-EGFR monoclonal antibody cetuximab. Moreover, this association appears to be stronger in patients bearing left-sided tumors. Additionally, in the JACCRO population this SNP was also associated with progression-free survival.

*Rassf1a* is a tumor suppressor frequently methylated in colorectal cancer. *Rassf1a* is involved not only in Ras signaling, but also it is a recognized upstream regulator of Hippo signaling interacting with MST through its SARAH domain<sup>5</sup>. The critical importance of Ras signaling in mCRC is widely known<sup>20</sup>. Regarding Hippo signaling, several recent works are highlighting influence of Hippo not only in the prognosis of CRC patients<sup>21, 22</sup>, but also in the lack of response to chemotherapy by favoring stemness and quiescence status of tumor cells<sup>23, 24</sup>. Moreover, recent works have associated Hippo's oncogene YAP1 activation with resistance to cetuximab treatment<sup>25</sup>.

The signaling of *Rassf1a* through Ras and Hippo pathways make this protein an attractive drug candidate, particularly, to influence outcome in those patients treated with anti-EGFR therapies. Until now, polymorphisms within the *Rassf1* gene had never been evaluated as predictive or prognostic marker in patients treated with anti-EGFR therapies. In a previous work, our group studied several SNPs within the Hippo pathway as recurrence predictors for

patients with high-risk stage II and stage III colon cancer. Interestingly, in this work the rs2236947 polymorphism correlated with recurrence-free probability at 3 years after surgery. Patients with an AA genotype had significantly higher recurrence rate<sup>12</sup>. This result is in keeping with the present work in which, patients with at least an A allele for the rs2236947 SNP had significantly shorter OS compared to those patients with a CC genotype.

The association of rs2236947 with OS was significantly stronger in patients harboring left-sided colorectal tumors. Over the past few years, mounting evidence is appearing regarding the differences between left and right colon cancer<sup>26–28</sup>. Particularly, in mCRC tumor location appears to have a strong implication in the patients' prognosis as well as in the benefit derived from targeted therapies. It has been suggested that left side colon cancer location might be a predictor of cetuximab efficacy<sup>29, 30</sup>. In our study, the fact that value to predict survival for rs2236947 polymorphism was stronger in patients with left-sided colorectal tumors could be associated to these molecular differences. *Rassf1a* is implicated in Ras signaling, and Ras signaling is of high relevance for cetuximab efficacy. Therefore we hypothesize this is the reason for an association of rs2236947 with outcome only in left-side colorectal cancer patients. Nonetheless, due to the low number of patients with right-sided tumors we cannot firmly conclude that this SNP has no value in this population.

Overall, this study reveals a promising new biomarker for patients treated with chemotherapy plus cetuximab regardless of the chemotherapy backbone. Additionally, the value of rs2236947 as a biomarker could be confirmed in two different populations, Caucasian and Japanese, despite of the different minor allele frequencies. However, this work also has some limitations. First, the biological mechanism behind the association of *Rassf1a* rs2236947 with OS is not understood. This SNP is located intronically and its functionality is not known. This SNP is in high linkage disequilibrium with a missense polymorphism (rs13100173) located in the *HYAL3* gene. However, whether this SNP can explain the association found is unknown. Nonetheless, *in silico* analysis using data from the ENCODE project<sup>31</sup> has revealed a potential functionality for rs2236947 by affecting transcriptional regulation and the expression of target genes ([www.Regulomedb.org](http://www.Regulomedb.org))<sup>32</sup>. Second, although the SNP did not associate with response, in the Japanese cohort was also associated with PFS whereas no association was found in the FIRE 3 population. In the FIRE 3 trial no association with PFS was found when comparing the cetuximab and the bevacizumab arms<sup>13</sup>.

We believe that further evaluations of the rs2236947 polymorphism in independent cohorts as well as functionality studies are needed to confirm the prognostic/predictive value of *Rassf1a* rs2236947.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

Ana Sebio is a recipient of a Juan Rodés contract from the Instituto de Salud Carlos III (JR14/00006).

Martin D. Berger received a grant from the Swiss Cancer League (BIL KLS-3334-02-2014) and the Werner and Hedy Berger-Janser Foundation for cancer research.

This work was supported in part by an award from the National Cancer Institute [P30CA014089], the Wunderglo Project and the Daniel Butler Research Fund. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Cancer Institute or the National Institutes of Health.

## References

1. Harvey KF, Zhang X, Thomas DM. The Hippo pathway and human cancer. *Nat Rev Cancer*. 2013; 13(4):246–257. [PubMed: 23467301]
2. Sebio A, Lenz HJ. Molecular Pathways: Hippo Signaling, a Critical Tumor Suppressor. *Clinical cancer research : an official journal of the American Association for Cancer Research*. 2015; 21(22):5002–5007. [PubMed: 26384319]
3. Bernascone I, Martin-Belmonte F. Crossroads of Wnt and Hippo in epithelial tissues. *Trends Cell Biol*. 2013; 23(8):380–389. [PubMed: 23607968]
4. Matallanas D, Romano D, Yee K, Meissl K, Kucerova L, Piazzolla D, et al. RASSF1A elicits apoptosis through an MST2 pathway directing proapoptotic transcription by the p73 tumor suppressor protein. *Molecular cell*. 2007; 27(6):962–975. [PubMed: 17889669]
5. Fernandes MS, Carneiro F, Oliveira C, Seruca R. Colorectal cancer and RASSF family--a special emphasis on RASSF1A. *International journal of cancer Journal international du cancer*. 2013; 132(2):251–258. [PubMed: 22733432]
6. van Engeland M, Roemen GM, Brink M, Pachen MM, Weijnenberg MP, de Bruine AP, et al. K-ras mutations and RASSF1A promoter methylation in colorectal cancer. *Oncogene*. 2002; 21(23):3792–3795. [PubMed: 12032847]
7. Miranda E, Destro A, Malesci A, Ballardore E, Bianchi P, Baryshnikova E, et al. Genetic and epigenetic changes in primary metastatic and nonmetastatic colorectal cancer. *British journal of cancer*. 2006; 95(8):1101–1107. [PubMed: 16969349]
8. Ahn EY, Kim JS, Kim GJ, Park YN. RASSF1A-mediated regulation of AREG via the Hippo pathway in hepatocellular carcinoma. *Molecular cancer research : MCR*. 2013; 11(7):748–758. [PubMed: 23594797]
9. Cordenonsi M, Zanconato F, Azzolin L, Forcato M, Rosato A, Frasson C, et al. The Hippo transducer TAZ confers cancer stem cell-related traits on breast cancer cells. *Cell*. 2011; 147(4):759–772. [PubMed: 22078877]
10. Bartucci M, Dattilo R, Moriconi C, Pagliuca A, Mottolose M, Federici G, et al. TAZ is required for metastatic activity and chemoresistance of breast cancer stem cells. *Oncogene*. 2015; 34(6):681–690. [PubMed: 24531710]
11. Touil Y, Igoudjil W, Corvaisier M, Dessein AF, Vandomme J, Monte D, et al. Colon cancer cells escape 5FU chemotherapy-induced cell death by entering stemness and quiescence associated with the c-Yes/YAP axis. *Clinical cancer research : an official journal of the American Association for Cancer Research*. 2014; 20(4):837–846. [PubMed: 24323901]
12. Sebio A, Matsusaka S, Zhang W, Yang D, Ning Y, Stremitzer S, et al. Germline polymorphisms in genes involved in the Hippo pathway as recurrence biomarkers in stages II/III colon cancer. *The pharmacogenomics journal*. 2015
13. Heinemann V, von Weikersthal LF, Decker T, Kiani A, Vehling-Kaiser U, Al-Batran SE, et al. FOLFIRI plus cetuximab versus FOLFIRI plus bevacizumab as first-line treatment for patients with metastatic colorectal cancer (FIRE-3): a randomised, open-label, phase 3 trial. *The Lancet Oncology*. 2014; 15(10):1065–1075. [PubMed: 25088940]
14. Douillard JY, Oliner KS, Siena S, Tabernero J, Burkes R, Barugel M, et al. Panitumumab-FOLFOX4 treatment and RAS mutations in colorectal cancer. *The New England journal of medicine*. 2013; 369(11):1023–1034. [PubMed: 24024839]
15. Lenz H, Niedzwiecki D, Innocenti F, Blanke C, Mahony MR, O'Neil BH, et al. CALGB/SWOG 80405: Phase III trial of irinotecan/5-Fu/Leucovorin(FOLFIRI) or Oxaliplatin/5-Fu/Leucovorin (mFOLFOX6) with Bevacizumab (BV) or Cetuximab (CET) for patients (pts) with expanded Ras



- analyses untreated metastatic adenocarcinoma of the colon or rectum (mCRC). *Ann Oncol.* 2014; 25(suppl 4)
16. Stintzing S, Jung A, Rossius L, Modest DP, Fischer von Weikersthal L, Deck T, et al. Analysis of KRAS/NRAS and BRAF mutations in FIRE-3: A randomized phase III study of FOLFIRI plus cetuximab or bevacizumab as first-line treatment for wild-type (WT) KRAS (exon 2) metastatic colorectal cancer (mCRC) patients. *European Journal of Cancer.* 2013 Sep.49(Supplement 3)
  17. Tsuji A, Sunakawa Y, Denda T, TAKinishi Y, Kotak M, Tanioka H, et al. JACCRO CC-06. A Phase I/II study of cetuximab (cet) in combination with S-1 and oxaliplatin (SOX) in first-line treatment for metastatic colorectal cancer(mCRC). *J Clin Oncol.* 2014; 32(suppl 3) abstr 571.
  18. Tsuji A, Nakamura M, Y S, Kochi M, T D, Yamaguchi T, et al. JACCRO CC-05. A Phase II Study of Cetuximab and mFOLFOX6 in mCRC including Prospective Early Tumor Shrinkage Analysis. *Ann Oncol.* 2013; 24(4):iv 38–iv121.
  19. McShane LM, Altman DG, Sauerbrei W, Taube SE, Gion M, Clark GM, et al. REporting recommendations for tumour MARKer prognostic studies (REMARK). *European journal of cancer.* 2005; 41(12):1690–1696. [PubMed: 16043346]
  20. Hecht JR, Douillard JY, Schwartzberg L, Grothey A, Kopetz S, Rong A, et al. Extended RAS analysis for anti-epidermal growth factor therapy in patients with metastatic colorectal cancer. *Cancer treatment reviews.* 2015; 41(8):653–659. [PubMed: 26220150]
  21. Wang L, Shi S, Guo Z, Zhang X, Han S, Yang A, et al. Overexpression of YAP and TAZ is an independent predictor of prognosis in colorectal cancer and related to the proliferation and metastasis of colon cancer cells. *PloS one.* 2013; 8(6):e65539. [PubMed: 23762387]
  22. Yuen HF, McCrudden CM, Huang YH, Tham JM, Zhang X, Zeng Q, et al. TAZ expression as a prognostic indicator in colorectal cancer. *PloS one.* 2013; 8(1):e54211. [PubMed: 23372686]
  23. Touil Y, Igoudjil W, Corvaisier M, Dessein AF, Vandomme J, Monte D, et al. Colon cancer cells escape 5FU chemotherapy-induced cell death by entering stemness and quiescence associated with the c-Yes/YAP axis. *Clinical cancer research : an official journal of the American Association for Cancer Research.* 2013
  24. Song S, Honjo S, Jin J, Chang SS, Scott AW, Chen Q, et al. The Hippo Coactivator YAP1 Mediates EGFR Overexpression and Confers Chemoresistance in Esophageal Cancer. *Clinical cancer research : an official journal of the American Association for Cancer Research.* 2015; 21(11): 2580–2590. [PubMed: 25739674]
  25. Lee KW, Lee SS, Kim SB, Sohn BH, Lee HS, Jang HJ, et al. Significant association of oncogene YAP1 with poor prognosis and cetuximab resistance in colorectal cancer patients. *Clinical cancer research : an official journal of the American Association for Cancer Research.* 2015; 21(2):357–364. [PubMed: 25388162]
  26. Bauer KM, Hummon AB, Buechler S. Right-side and left-side colon cancer follow different pathways to relapse. *Mol Carcinog.* 2012; 51(5):411–421. [PubMed: 21656576]
  27. Lee GH, Malietzis G, Askari A, Bernardo D, Al-Hassi HO, Clark SK. Is right-sided colon cancer different to left-sided colorectal cancer? - a systematic review. *Eur J Surg Oncol.* 2015; 41(3):300–308. [PubMed: 25468456]
  28. Maus MK, Hanna DL, Stephens CL, Astrow SH, Yang D, Grimminger PP, et al. Distinct gene expression profiles of proximal and distal colorectal cancer: implications for cytotoxic and targeted therapy. *The pharmacogenomics journal.* 2015; 15(4):354–362. [PubMed: 25532759]
  29. Brule SY, Jonker DJ, Karapetis CS, O'Callaghan CJ, Moore MJ, Wong R, et al. Location of colon cancer (right-sided versus left-sided) as a prognostic factor and a predictor of benefit from cetuximab in NCIC CO.17. *European journal of cancer.* 2015; 51(11):1405–1414. [PubMed: 25979833]
  30. von Einem JC, Heinemann V, von Weikersthal LF, Vehling-Kaiser U, Stauch M, Hass HG, et al. Left-sided primary tumors are associated with favorable prognosis in patients with KRAS codon 12/13 wild-type metastatic colorectal cancer treated with cetuximab plus chemotherapy: an analysis of the AIO KRK-0104 trial. *Journal of cancer research and clinical oncology.* 2014; 140(9):1607–1614. [PubMed: 24816724]
  31. The ENCODE project consortium. An integrated encyclopedia of DNA elements in the human genome. *Nature.* 2012; 489:57–74. [PubMed: 22955616]

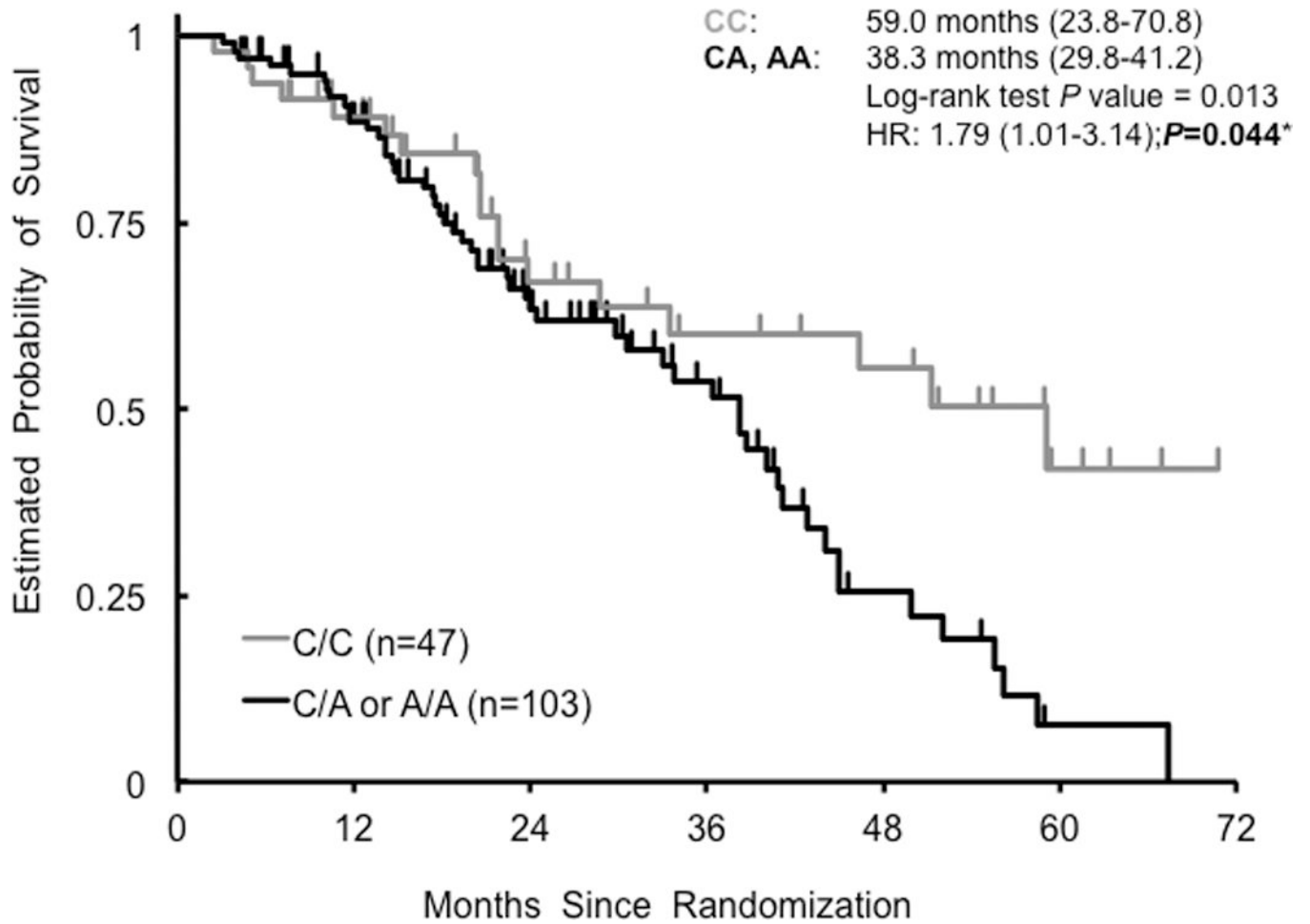
32. [www.Regulomedborg](http://www.Regulomedborg).

Author Manuscript

Author Manuscript

Author Manuscript

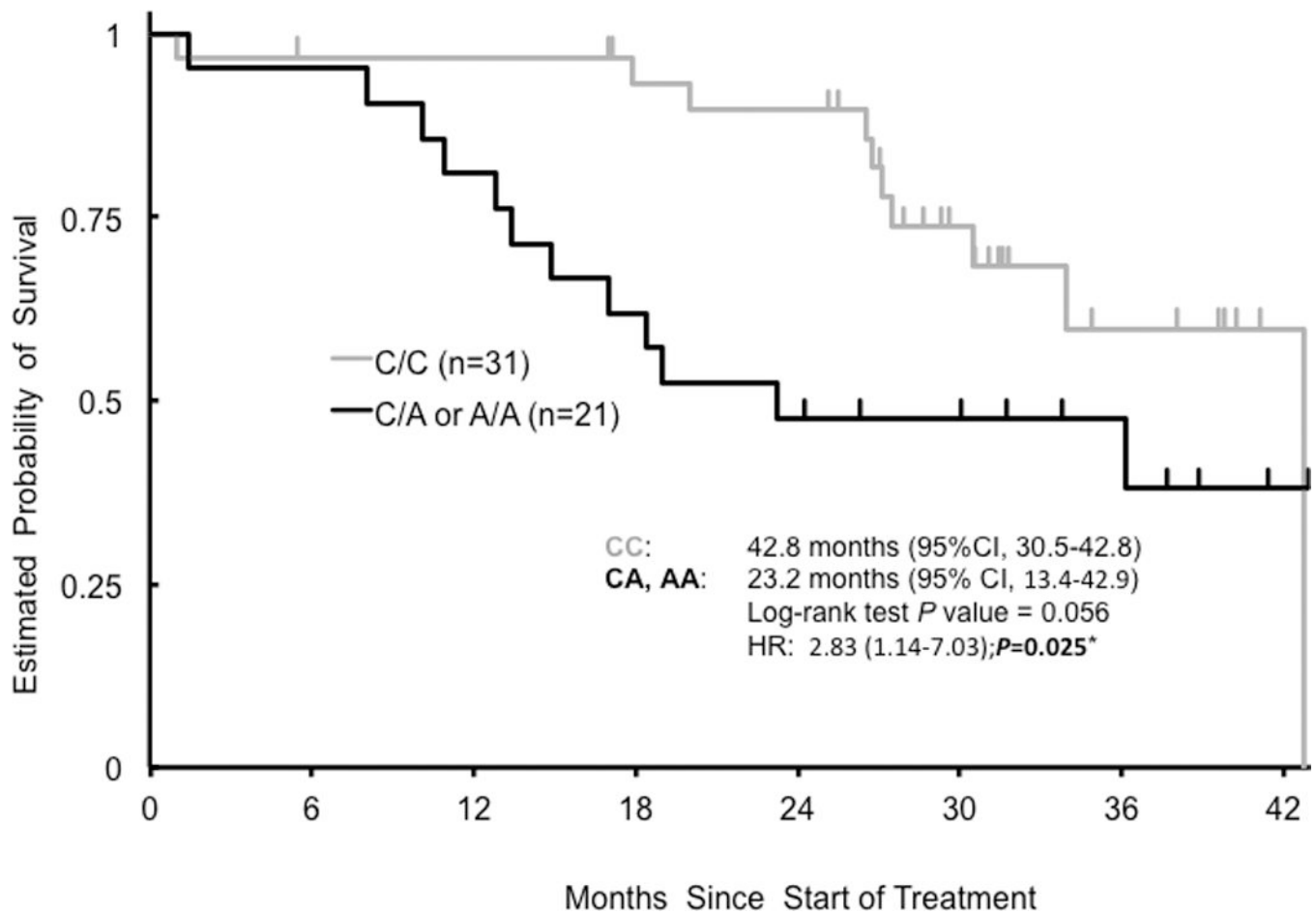
Author Manuscript



**figure 1.**

Rassf1a rs2236947 is associated with OS in Ras wt left-sided mCRC patients treated with FOLFIRI plus cetuximab in Fire 3.

\*Wald test in the multivariable Cox Regression model adjusting for sex, ECOG, and number of metastatic sites



**figure 2.**

Rassf1a rs2236947 is associated with OS in Ras wt left-sided mCRC patients treated with oxaliplatin-based chemotherapy plus cetuximab.

\*Wald test in the multivariable Cox Regression model adjusting for ECOG and regime (FOLFOX vs SOX).

**Table 1**

Baseline characteristics of the two cohorts

	Cohort 1: Fire-3 Arm A		Cohort 2: JACCRO 05 and 06	
	N=297	%	N=77	%
Age, years				
Median (range)	64 (38–79)		63 (39–79)	
65	158	53.2	45	58.4
> 65	139	46.8	32	41.6
Sex				
M	213	71.7	44	57.1
F	84	28.3	33	42.9
ECOGPS				
0	154	51.8	69	89.6
1–2	143	48.2	8	10.4
Primary tumor site				
Right	54	18.2	11	14.3
Left	236	79.5	64	83.1
Unknown	7	2.4	2	2.6
Metastatic sites, n				
1	123	41.4	33	42.9
>1	174	58.6	44	57.1
Time to mets				
Synchronous	217	74.3	59	76.6
Metachronous	75	25.7	18	23.4
Unknown	5			
Adjuvant therapy				
No	226	77.4	71	92.2
Yes	66	22.6	6	7.8
Unknown	5			
Mutation Status				
All RAS wildtype	199	83.6	67	87.0
Mutant	39	16.4	10	13.0
Unknown	59			

**Table 2**

Hippo pathway SNPs and clinical outcomes in patients with all RAS wild-type mCRC treated with first-line FOLFIRI+Cetuximab in Fire-3

SNP	N	Tumor response, RECISt			Progression-Free survival (PFS)			Overall survival (OS)					
		CR+PR	SD+PD	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>				
RASSF1a rs2073498													
C/C	155	98 (74%)	34 (26%)	10.0 (8.0, 11.5)	1 (reference)	1 (reference)	29.8 (23.7, 38.3)	1 (reference)	1 (reference)				
C/A <sup>§</sup>	31	26 (84%)	5 (16%)	11.1 (9.5, 14.3)	0.74 (0.50, 1.10)	0.84 (0.56, 1.28)	56.2 (20.5, 67.4)	0.72 (0.43, 1.21)	0.86 (0.50, 1.48)				
A/A <sup>§</sup>	6												
<i>P</i> value *			0.35		0.13	0.42		0.20	0.58				
RASSF1a rs2236947													
C/C	57	37 (76%)	12 (24%)	10.1 (7.8, 11.1)	1 (reference)	1 (reference)	46.3 (21.8, 70.8)	1 (reference)	1 (reference)				
C/A, A/A <sup>§</sup>	132	88 (78%)	25 (22%)	10.5 (9.3, 13.0)	0.95 (0.67, 1.34)	0.91 (0.64, 1.29)	30.6 (23.9, 38.3)	1.65 (1.05, 2.59)	1.50 (0.94, 2.38)				
<i>P</i> value *			0.84		0.76	0.58		0.023 (0.14) <sup>a</sup>	0.088 (0.20) <sup>a</sup>				
LATS rs558614													
A/A	120	80 (78%)	22 (22%)	10.4 (9.2, 13.0)	1 (reference)	1 (reference)	38.7 (27.1, 49.8)	1 (reference)	1 (reference)				
A/G	55	35 (73%)	13 (27%)	10.0 (7.8, 11.8)	1.15 (0.81, 1.63)	1.10 (0.77, 1.57)	23.8 (18.1, 37.1)	1.49 (0.98, 2.27)	1.17 (0.76, 1.80)				
G/G	11	5 (63%)	3 (38%)	13.0 (6.1, 70.8)	0.53 (0.23, 1.20)	0.58 (0.25, 1.36)	45.0 (7.1, 70.8)	0.78 (0.31, 1.96)	0.89 (0.34, 2.31)				
<i>P</i> value *			0.46		0.17	0.36		0.11	0.73				
TAZ rs3811715													
C/C	124	77 (75%)	26 (25%)	10.4 (9.0, 12.2)	1 (reference)	1 (reference)	33.4 (24.4, 45.0)	1 (reference)	1 (reference)				
C/T <sup>§</sup>	57	42 (78%)	12 (22%)	10.6 (8.0, 13.3)	0.98 (0.70, 1.36)	1.03 (0.73, 1.43)	30.6 (19.3, 40.9)	1.15 (0.77, 1.72)	1.13 (0.75, 1.70)				
T/T <sup>§</sup>	4												
<i>P</i> value *			0.84		0.89	0.88		0.50	0.57				

\* *P* value was based on Fisher's exact test for response, log-rank test for PFS and OS in the univariable analysis (†) and Wald test for PFS and OS in the multivariable Cox regression model (‡) adjusting for sex (male vs female), ECOG performance status (0 vs 1–2), primary tumor site (right, left, vs NA), and number of metastatic disease (1, 2 vs 3+).

<sup>a</sup> *P* value adjusted by FDR (false discovery rate).

<sup>§</sup> A dominant model was used.

**Table 3** Rasfa1 rs2236947 and clinical outcomes in Japanese patients with all RAS wildtype mCRC treated with first-line oxaliplatin+cetuximab in JACCRO 05 and 06

SNP	N	Tumor response, RECAST		Progression-Free survival (PFS)			Overall survival (OS)		
		CR+PR	SD+PD	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>
<b>All patients</b>									
C/C	35	26 (81%)	6 (19%)	13.8 (6.6, 17.4)	1 (reference)	1 (reference)	42.8 (27.1, 42.8)	1 (reference)	1 (reference)
C/A, A/A <sup>§</sup>	27	20 (77%)	6 (23%)	9.4 (5.8, 11.3)	1.44 (0.81, 2.54)	1.69 (0.93, 3.07)	19.0 (13.4, 42.9)	1.96 (0.96, 3.99)	2.72 (1.23, 6.04)
<i>P</i> value *			0.75		0.18	0.088		0.057	0.014
<b>Left-sided CRC</b>									
C/C	31	24 (86%)	4 (14%)	15.2 (8.8, 18.0)	1 (reference)	1 (reference)	42.8 (30.5, 42.8)	1 (reference)	1 (reference)
C/A, A/A <sup>§</sup>	21	17 (81%)	4 (19%)	10.0 (8.5, 11.7)	1.75 (0.91, 3.34)	1.98 (1.02, 3.84)	23.2 (13.4, 42.9)	2.21 (0.95, 5.14)	2.83 (1.14, 7.03)
<i>P</i> value *			0.71		0.059	0.045		0.056	0.025

\* *P* value was based on Fisher's exact test for response, log-rank test for PFS and OS in the univariable analysis (†) and Wald test for PFS and OS in the multivariable Cox regression model (‡) adjusting for ECOG performance status (0 vs 1), and regimen (FOLFOX vs SOX)

§ A dominant model was used

**Table 4** Hippo pathway SNPs and clinical outcomes in patients with all RAS wild-type left-sided mCRC treated with first-line FOLFIRI+Cetuximab in Fire-3

SNP	N	Tumor response, RECISt		Progression-Free survival (PFS)				Overall survival (OS)					
		CR+PR	SD+PD	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>	Median, ms (95%CI)	HR (95%CI) <sup>†</sup>	HR (95%CI) <sup>‡</sup>				
RASSF1a rs2073498													
C/C	120	83(79%)	22(21%)	10.4(9.3,12.9)	1(reference)	1(reference)	38.7(30.6,45.0)	1(reference)	1(reference)	1(reference)			
C/A§	33	23(82%)	5(18%)	12.2(9.6,14.3)	0.81(0.53,1.24)	0.81(0.53,1.28)	56.2(23.9,67.4)	0.82(0.46,1.45)	0.82(0.46,1.46)				
A/A§													
<i>P</i> value *			0.80		0.32	0.39			0.49		0.50		
RASSF1a rs2236947													
C/C	47	32(80%)	8(20%)	10.4(9.2,12.2)	1(reference)	1(reference)	59.0(23.8,70.8)	1(reference)	1(reference)	1(reference)			
C/A, A/A	103	74(81%)	17(19%)	11.5(9.6,14.1)	0.98(0.66,1.45)	0.92(0.62,1.38)	38.3(29.8,41.2)	1.91(1.11,3.29)	1.79(1.01,3.14)				
<i>P</i> value *			1.00		0.92	0.69			0.013 (0.092) <sup>d</sup>		0.044 (01.8) <sup>d</sup>		
LATS rs558614													
A/A	95	68(83%)	14(17%)	12.2(9.7,14.1)	1(reference)	1(reference)	44.1(33.8,55.5)	1(reference)	1(reference)	1(reference)			
A/G	42	29(76%)	9(24%)	9.9(7.8,11.8)	1.23(0.82,1.83)	1.23(0.80,1.89)	23.8(19.3,42.8)	1.66(1.00,2.76)	1.31(0.76,2.28)				
G/G	10	5(63%)	3(38%)	13.0(6.1,70.8)	0.49(0.20,1.22)	0.51(0.20,1.28)	45.0(7.1,70.8)	0.79(0.28,2.21)	0.73(0.25,2.10)				
<i>P</i> value *			0.27		0.12	0.19			0.091		0.47		
TAZ rs3811715													
C/C	98	65(76%)	20(24%)	10.4(8.1,12.2)	1(reference)	1(reference)	38.7(29.8,49.8)	1(reference)	1(reference)	1(reference)			
C/T§	47	35(85%)	6(15%)	12.9(10.3,14.1)	0.87(0.60,1.28)	0.90(0.61,1.32)	40.0(28.7,52.0)	1.04(0.64,1.71)	1.05(0.64,1.73)				
T/T§													
<i>P</i> value *			0.35		0.48	0.59			0.86		0.85		

\* *P* value was based on Fisher's exact test for response, log-rank test for PFS and OS in the univariable analysis (†) and Wald test for PFS and OS in the multivariable Cox regression model (‡) adjusting for sex (male vs female), ECOG performance status (0 vs 1–2), and number of metastatic disease (1, 2 vs 3+).

<sup>d</sup> *P* value adjusted by false discovery rate (FDR).