

1 **Building-integrated agriculture: a first assessment of aerobiological air quality in**
2 **rooftop greenhouses (i-RTGs)**

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22 **Key words: urban agriculture, greenhouse, tomato crop, pollen grains, fungal spores,**
23 **indoor and outdoor bioaerosols, air recirculation, symbiosis**

24 **Abstract**

25 Building-integrated rooftop greenhouse (i-RTG) agriculture has intensified in recent years, due
26 to the growing interest in the development of new agricultural spaces and in the promotion of
27 food self-sufficiency in urban areas. This paper provides a first assessment of the indoor
28 dynamics of bioaerosols in an i-RTG, with the aim of evaluating biological air quality in a
29 tomato greenhouse near Barcelona. It evaluates the greenhouse workers' exposure to airborne
30 pollen and fungal spores in order to prevent allergy problems associated with occupational
31 tasks. Moreover, it evaluates whether the quality of the hot air accumulated in the i-RTG is
32 adequate for recirculation to heat the building.

33 Daily airborne pollen and fungal spore concentrations were measured simultaneously in the
34 indoor and outdoor environments during the warm season. A total of 4,924 pollen grains were
35 observed in the i-RTG, with a peak of 334 pollen grains/m³day, and a total of 295,038 fungal
36 spores were observed, reaching a maximum concentration of 26,185 spores/m³day. In general,
37 the results showed that the most important source of pollen grains and fungal spores observed
38 indoors was the outdoor environment. However, Solanaceae pollen and several fungal spore
39 taxa, such as the allergenic *Aspergillus/Penicillium*, largely originated inside the greenhouses or
40 were able to colonize the indoor environment under favourable growing conditions. Specific
41 meteorological conditions and agricultural management tasks are related to the highest observed
42 indoor concentrations of pollen grains and fungal spores. Therefore, preventive measures have
43 been suggested in order to reduce or control the levels of bioaerosols indoors (to install a system
44 to interrupt the recirculation of air to the building during critical periods or to implement
45 appropriate air filters in ventilation air ducts). This first evaluation could help in making

46 decisions to prevent the development of fungal diseases, specifically those due to *Oidium* and
47 *Torula*.

48 **INTRODUCTION**

49 Urban agriculture, particularly rooftop urban agriculture, has increased in the last few years.
50 This is due to the coupled needs of encouraging food sovereignty in urban areas and providing a
51 response to the growing competition for resources associated with conventional agriculture
52 (water, energy, land, etc.) (FAO, 2011). It is thought that the global food system causes between
53 20% and 50% of total global anthropogenic pressure (directly or indirectly). Most of this
54 pressure is attributable to cities, due to the many people who live there.

55 Currently, different types of urban agriculture have been developed in urban areas (Fig. 1).
56 Rooftop urban agriculture (RT) is a subcategory within this concept that includes all those crop
57 plants grown on the tops of buildings. RT not only makes it possible to produce food, it also
58 provides environmental and social benefits to surrounding areas. These benefits include
59 boosting local urban markets; making use of empty constructed spaces; creating new sources of
60 employment and support for local economies; allowing savings on the transport of goods and
61 packaging materials; increasing urban biodiversity and naturalizing the environment; etc.
62 (Altieri et al., 1999; Cerón-Palma et al., 2012; Sanyé-Mengual et al., 2013; Specht et al., 2014).
63 RT can be carried out both outdoors and in sheltered environments, which have become known
64 as rooftop greenhouses (Despommier, 2008).

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66

67 **Figure 1.** Typologies and nomenclatures used to describe urban agriculture on buildings and
68 rooftop farming



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71 **Rooftop greenhouses and the first steps toward integrated rooftop greenhouses**

72 Rooftop greenhouses consist of greenhouses installed on top of buildings to produce food in
73 urban contexts with high yield using soil-free growing systems (to reduce the structural load on
74 the building). They increase the efficiency of resource use and employ constructed spaces that
75 are currently unoccupied (Cerón-Palma et al., 2012). Many of the existing experiments involve
76 greenhouses constructed on roofs that are isolated from the buildings. However, this kind of RT
77 greenhouse can also be integrated (i-RTG) into the building, using residual air flows from the
78 building for the crops (Cerón-Palma, 2012).

79 One key aspect of i-RTGs is their capacity to make use of the hot and cold air supplied by the
80 building to provide an optimum range of temperatures for the crops, without the need for extra

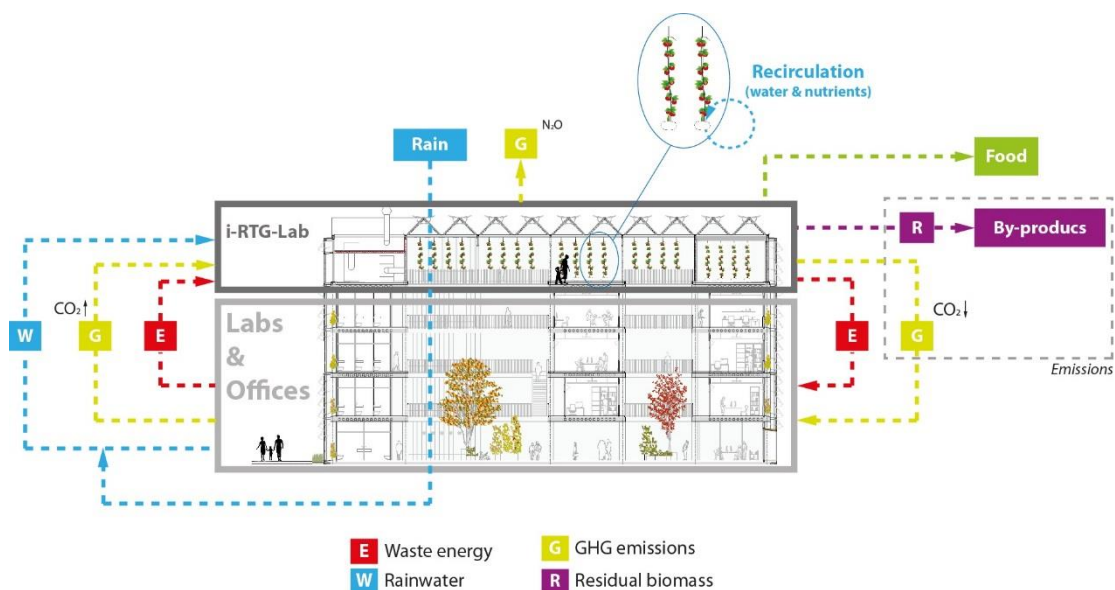
81 energy. This is true because, first, due to their particular features, i-RTGs provide thermal
 82 insulation on the roof of the building. Second, they offer the possibility of supplying air from
 83 heated/air conditioned areas to the crops using a pumping system.

84 Greenhouses used for intensive cultivation of horticultural crops also use the carbon enrichment
 85 technique known as carbon fertilization to improve yield. In an i-RTG, this technique consists
 86 of increasing the environmental level of CO₂ by injecting waste CO₂ from offices and
 87 laboratories inside the building via an air current. Exposure to environments with a high
 88 concentration of carbon dioxide generally stimulate crop growth and photosynthetic fixation
 89 (i.e., in the case of tomatoes exposed to a CO₂ concentration of 900 μmol·m⁻³, the rate of growth
 90 increased by 30%) (Yelle et al., 1990).

91 Meanwhile, they also offer the possibility of using greywater or rainwater collected in or on the
 92 building for irrigating the crop (Montero et al., 2009).

93 A new study has recently begun investigating the possibility of creating two-way connections
 94 between a building and its greenhouses. Such a greenhouse is known as a bidirectional
 95 integrated rooftop greenhouse (Bi-RTG) (Fig. 2). These greenhouses would, among other
 96 things, make it possible to use the residual hot air accumulated in the greenhouses, which needs
 97 to be ventilated, to heat other areas of the building that do not have a heating system.
 98 Previously, the heat was removed by opening the flap ventilators of the greenhouse. The
 99 location of the greenhouses on the roofs of buildings promotes higher temperatures inside the
 100 greenhouse than in the rest of the building. This occurs because the greenhouse acts as a solar
 101 collector and heats up with the incidence of solar radiation through the polycarbonate cover
 102 during the day. It does not occur with the same rapidity in the building, which has more thermal
 103 inertia and receives less radiation. It enables improving the environmental thermic conditions of
 104 the building with minimal energetic and environmental impact.

105 **Figure 2.** The bidirectional Bi-RTG concept behind the i-RTG Lab. The exchange of flows
 106 between the ICTA-ICP building and the i-RTG is shown.



109 It is important to evaluate the air quality in these greenhouses, due to the ability of the Bi-RTG
110 system to draw air from the crop area into the building spaces. With regard to the CO₂
111 concentration, the situation is convenient because the CO₂ is absorbed by the crop, improving
112 the air quality. However, the biological air quality (pollen and fungal spore concentrations) must
113 be studied and taken into account to avoid causing or aggravating allergies and breathing
114 problems, both in terms of the use of the air for space heating and to protect the health of the
115 agricultural workers, who spend large amounts of time inside the greenhouses.

116 **Air quality in greenhouses**

117 It is known that agricultural greenhouse workers are exposed to dust particles suspended in the
118 air. This dust contains bioaerosols, such as spores and pollen, which can cause respiratory
119 problems. These problems include chronic bronchitis, allergies and/or “sensitization and
120 hypersensitivity pneumonitis” (Illing, 1997; Taekhee Lee et al., 2006a; Swan and Crook, 1998)
121 and asthma (Malling et al., 1986; Strachan, 1988).

122 The conditions of these working environments are characterized by closed spaces, high
123 temperatures, high humidity and high concentrations of microorganisms in the environment.
124 These microclimatic characteristics encourage the growth of fungi and the consequent dispersal
125 of spores (Arny and Rowe, 1991; Jewett et al., 2001). Moreover, restricted ventilation and low
126 light intensity, especially on the bottoms of the plants, are common. This situation is very
127 conducive to the development of several plant diseases, and controlling them is very difficult
128 (Elad et al., 1995).

129 Exposure levels vary depending on the type of crop, the tasks carried out and the season of the
130 year. The most important periods when pollution occurs are when the plants are senescent, and
131 the pollution is aggravated if the greenhouse is not properly maintained (removing dry leaves,
132 sweeping passageways, etc.) (Hansen et al., 2011).

133 Most of the vegetable and ornamental plants grown in greenhouses suffer from powdery
134 mildew. Powdery mildew affects all kind of plants, except gymnosperms, and is one of the most
135 common and widespread plant diseases (Agrios, 2005). On tomato crops, the most important
136 fungi causing this disease are *Oidium* sp (Elad et al., 1996). Powdery mildews are characterized
137 by grey or white sporulation colonies on the upper leaf surface. The inoculum consists mostly of
138 conidia. Once infection occurs, it develops rapidly under dry conditions. Dry climates favour
139 dispersal of spores, while humid climates favour spore germination (Agrios, 2005). This is
140 because conidia spores are self-sufficient in terms of water and nutrients and can germinate,
141 grow and infect plants without dew or condensation (Verhaar, 1998).

142 **Air quality inside buildings**

143 In the case of buildings, the situation is different, as they are fairly isolated from the external
144 environment, and the concentrations of pollen and spores detected inside buildings are usually
145 lower than those found outside (Nayar and Jothish, 2013). However, the outside air often
146 continues to be the dominant source of biological pollution inside buildings; therefore, indoor
147 air quality can be interpreted via careful study of the external air (Dassonville et al., 2008;
148 Ghosh et al., n.d.; Shelton et al., 2002). The concentrations detected inside are related to the
149 ventilation of the building and the air conditioning (Flores et al., 2014; Shelton et al., 2002), the
150 opening or closing of windows and doors and the flow of people (Abdulla et al., 2008; Bastl et

151 al., 2016; D'Amato et al., 1996; Jantunen and Saarinen, 2009; Buss et al., 2010). Some studies
152 carried out inside buildings point out that allergies persist after the pollination period. Air
153 quality inside a building is a key factor because of the potential health effects, as it is estimated
154 that people spend 80% of their time inside buildings every week (Cariñanos et al., 2004)

155 The assessment of fungal exposure is complex, considering that fungi are ubiquitous
156 microorganisms that are present in both outdoor and indoor air. There are two main sources of
157 fungal spores in buildings: outdoor sources, which leak rain into or cause flooding in the
158 building, and indoor sources, which are derived from human activity or accidents that cause
159 water damage (Bornehag et al., 2004). Common outdoor fungi include *Alternaria*,
160 *Cladosporium*, and *Epicoccum*, as well as ascospores and basidiospores, though these fungi are
161 often found indoors because they enter through open doors and windows and can be carried
162 indoors (Levetin et al., 2016). Fungi that are more classically associated with indoor water
163 damage or decay include *Penicillium*, *Aspergillus*, *Stachybotrys*, and *Chaetomium* (Levetin et
164 al., 2016). In the case of fungal spores, the indoor-outdoor relationship has not been
165 systematically studied, and most of the available information is based on the simultaneous
166 measurement of culturable fungal spores performed indoors and outdoors (Lee et al., 2006) or
167 on comparisons of the diversity and number of fungal colonies in university classrooms before
168 and during the presence of the students (Buss et al., 2010). However, the total spore count has
169 shown a better exposure to response relationship compared to the culture-based method
170 (Eduard, 2003). Therefore, continuous sampling and spore counting could have a greater
171 potential to generate an adequate database.

172 European Union legislation currently excludes the monitoring of the concentrations of pollen
173 and spores because they are not considered a consequence of human activity, and Brussels does
174 not compel member States to compile this information. As there are no regulations on this
175 matter, certain limitations as to assessing air quality inside buildings exist (Immunology, 2015)

176 Current reference values of spore concentrations include those from the American Conference
177 of Governmental Industrial Hygienists (ACGIH 1999), which sets a threshold of 200 CFU/m³,
178 and Health Canada (Nathanson, 1993), which gives a figure of 150 CFU/m³. These guidelines
179 recommend that concentrations inside buildings should be no greater than those outside.
180 Although the threshold concentrations required to trigger allergic reactions are unknown,
181 Eduard (2009) suggests that the lowest observed effective level for the appearance of respiratory
182 symptoms for diverse fungal species is 10⁵ spores/m³ per day in non-sensitised populations,
183 while (Santilli and Rockwell, 2003a) consider that the standard for a healthy indoor
184 environment should be defined as 10³ spores/m³ per day.

185 The goals of this study are as follows:

- 186 1. To determine airborne pollen and fungal spore spectra indoors (inside an i-RTG) and
187 outdoors in order to identify the diversity, daily concentrations and frequency of each
188 taxon during the cultivation of a tomato crop inside the facility and in the semi-urban
189 area where it is placed, using the same aerobiological monitoring method.
- 190 2. To determine the influence of indoor and outdoor meteorological conditions and crop
191 management practices on the biological air quality in the i-RTG.
- 192 3. To assess the greenhouse workers' exposure to airborne pollen and fungal spores in
193 order to prevent allergy problems derived from occupational tasks.

- 194 4. To evaluate whether the quality of the hot air accumulated in the i-RTG is adequate for
195 reuse inside the building, and to assess the risk of causing or aggravating respiratory
196 problems.
197 5. To identify critical stages of the crop production process, and to develop preventive
198 measures to avoid exposing agricultural greenhouse workers and building users to
199 noxious substances if the air is used to heat the building.
200

201 MATERIAL AND METHODS

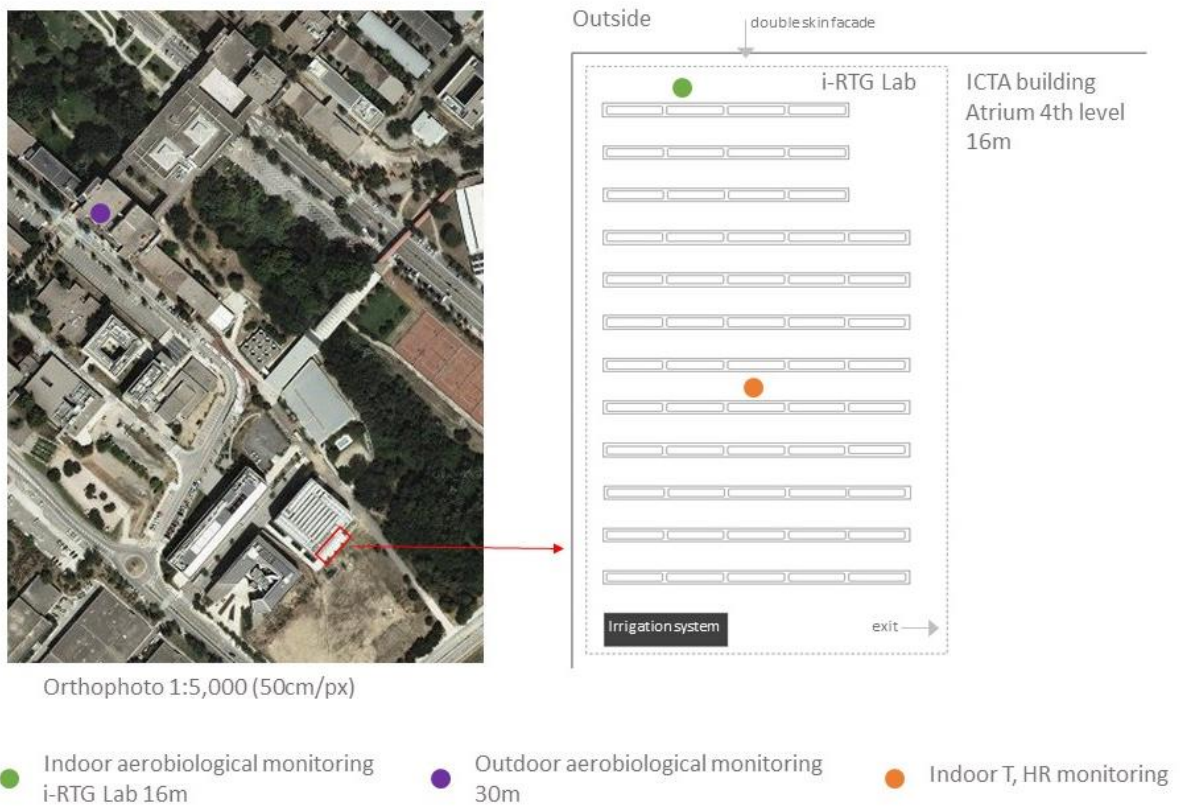
202 i-RTG Greenhouse Laboratory

203 The investigation was conducted in a rooftop greenhouse laboratory (the i-RTG Lab) during an
204 experiment involving growing tomatoes (*Solanum lycopersicum* Arawak). The i-RTG is placed
205 on the rooftop of the building hosting the Institute of Environmental Science and Technology
206 (ICTA-UAB) and the Catalan Institute of Paleontology (ICP) at Bellaterra (41°23'40.03"N, 2°
207 9'50.76"E). The building is on the campus of the Universitat Autònoma de Barcelona, which
208 lies 10 km northwest of Barcelona (Catalonia, Spain). Although the campus is located in a
209 highly populated area between two highways with high volumes of traffic, the university's
210 facilities are surrounded by a forested landscape (Fig. 2). The i-RTG Lab (Fig. 3) is the case
211 study of the "Fertilecity" project (1). Overall, it covers an area of 125 m², and part of this area
212 (84,34 m²) supports a crop including 171 tomato plants grown in a perlite media. The winter
213 planting was carried out on the 15th of September 2016, and the growing season lasted 167 days.
214 The winter crop was planted on the 8th of March, and the growing season was 139 days. The
215 purpose of building the i-RTG Lab was to demonstrate the feasibility of RTGs in Mediterranean
216 urban zones and the potential for their symbiosis with buildings to optimize the efficiency of
217 both the buildings and the greenhouses efficiency (including heating using residual hot air from
218 the RTG, using the increased CO₂ concentration in the building air as a fertilizer in the RTG,
219 and by collecting rainwater from the rooftop for the irrigation system). The water and the
220 nutrient solution needed by the plants were provided through a drip fertirrigation system. Five
221 control schedules administer heating, cooling and window openings to optimize energy use.
222 Each control schedule adjusts the greenhouse control system to adapt it to seasonal temperature
223 requirements (Nadal et al., 2017). Three different ventilation modes were applied in the i-RTG
224 during this study: (1) the winter mode was employed from the 24th of February to the 31st of
225 March 2016 (N days=37), (2) the spring mode was employed from the 1st of March to the 31st of
226 May 2016 (N days=60) and (3) the summer mode was employed from the 1st of June to the 28th
227 of July 2016 (N days=60); the highest ventilation rate occurred during the summer mode and the
228 lowest occurred during the winter mode.

229

230

231 **Figure 3.** Probe locations within the i-RTG and in the surrounding area.



232

233 Airborne pollen and fungal spore sampling

234 Simultaneous daily i-RTG indoor and outdoor aerobiological monitoring was conducted to test
235 the air quality. The methodology used is standard for outdoor measurements (Hirst, 1952) and
236 represents an innovation in the study of air quality in greenhouses.

237 According to the Spanish Aerobiology Network working protocol (Galán et al., 2007), the
238 outdoor aerobiological sampler was placed on top of the UAB-C building at 30 m.a.s.l. The
239 indoor sampler was located in the i-RTG Lab, which is 150 m away from the C building.

240 Samples were obtained using volumetric suction pollen-spore traps based on the impact
241 principle (Hirst, 1952), which is the standard method in European aerobiological networks
242 (Galán et al., 2014). The Hirst sampler is calibrated to handle a continuous flow of 10 L of air
243 per minute, thus matching the human breathing rate. Pollen and spores impact a cylindrical
244 drum covered with Melinex film (Melinex tape, LANZONI s.r.l., Bologna, IT) film and coated
245 with a 2% silicone solution as the trapping surface. The drum is located over a mechanism that
246 causes it to rotate clock at a speed of 2 mm/hour. The exposed tape surface sample was changed
247 weekly at a known time and was cut into one piece per day (0 to 24 h GMT), which was
248 mounted on microscopic slides. Pollen and fungal spore identification was performed by
249 technicians specializing in palynology using a light microscope at 600X magnification, based on
250 morphological characteristics and counting. Daily average pollen concentrations were calculated
251 following the standardized Spanish method (Galán et al., 2007), which consists of analysing

252 four continuous longitudinal sweeps. Spores were analysed along one continuous longitudinal
253 sweep. Daily pollen and fungal spore concentrations were expressed as the number of pollen
254 grains and spores per cubic metre of air. Finally, the sum and maximum of daily pollen/fungal
255 spore concentrations were used to calculate the indoor/outdoor ratio (hereafter i/o_{sum} ratio and
256 i/o_{max} ratio, respectively).

257 **Study period**

258 The sampling period extended from the 10th of April 2016 to the 28th of July 2016, but the
259 sample analyses were divided into two phases, depending on the intensity of the analyses. Phase
260 I (which extended from the 24th of February to the 10th of April 2016), which coincided with the
261 last month of the winter crop and the initiation of the leaf growth, flowering and fruiting stages
262 of the summer crop was conducted, considering both pollen and fungal spores. Phase II (which
263 extended from the 11th of April to the 28th of July 2016), which included the entire summer crop
264 cycle, was mainly devoted to fungal spores (during the entire period) and to Solanaceae pollen
265 (during the removal of the summer crop, which lasted from the 20th to the 28th of July 2016).
266 This period coincides with the hottest months, when the connection between the greenhouse and
267 the outside is more pronounced. As a result, our study also evaluated the aerobiological air
268 quality in the RTG during the critical period characterized by the greatest degree of outdoor
269 influence.

270 **Indoor and outdoor environmental variables**

271 Indoor temperature and relative humidity were monitored in the i-RTG during the sampling
272 period to determine the effects of environmental conditions on the pollen and fungal spore
273 dynamics. Two Campbell SCI CS215-L sensors were used to record the temperature and relative
274 humidity inside the greenhouse. To collect data from the Campbell devices, a CR3000 data-
275 logger was used. The i-RTG Lab has a mechanism to open and close the ventilation system
276 depending on internal and external temperatures, allowing passive acclimatization and
277 ventilation.

278 The possible influence of plant and fungi phenological stages, agricultural pest incidence, crop
279 treatments and greenhouse maintenance services on indoor aerobiological data were also taken
280 into account as indoor variables. After the growing season, the plants were removed, the long
281 plant stems and support strings were cut down, and they were then bundled together in the
282 aisles. Thereafter, the plants were packaged in industrial garbage bags and transported outside.

283 Outdoor daily mean temperature, relative humidity, precipitation and wind speed were obtained
284 from the Sant Cugat del Vallès meteorological station (41°28'59.2"N 2°04'46.4"E) belonging to
285 the Catalan Meteorological Service, which was located near the outdoor pollen-spore trap, were
286 used as outdoor variables.

287 **Statistical analysis**

288 Spearman's non-parametric correlation test has been used to explore the relationships between:
289 (1) indoor (inside the i-RTG) *vs.* outdoor daily pollen and fungal spore concentrations; (2) all
290 the indoor and outdoor environmental variables between them; (3) the indoor aerobiological
291 data *vs.* the indoor variables; and (4) the outdoor aerobiological data *vs.* the outdoor
292 meteorological variables. Spearman's rank correlation coefficients (ρ) were considered to be

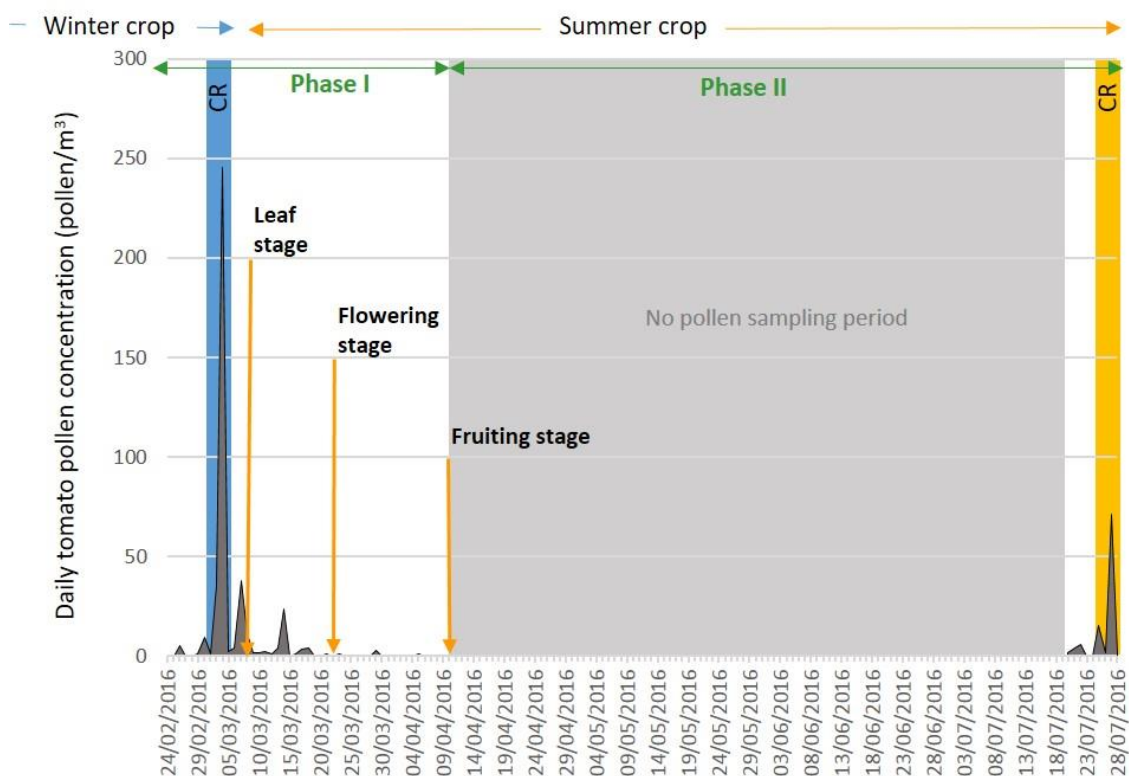
293 significant when the p-values were <0.05. The statistical analyses were performed using
294 Statistica™.

295 RESULTS

296 Airborne pollen diversity and dynamics

297 In the first part of this paper, we discuss the results of daily airborne pollen concentrations
298 registered indoors and outdoors during phase I, which extended from the 24th of February to the
299 10th of April 2016. Considering the whole dataset (n=47 daily samples), 47 pollen types were
300 identified. 33 pollen taxa were identified in the indoor environment, and 45 were identified
301 outdoors (see Table 1, Fig. 4). Taxa that were represented by more than 10 indoor pollen grains
302 represented 98% of the total indoor pollen and 97% of the total outdoor. According to this
303 criterion, 17 pollen taxa were selected to study the indoor-outdoor relationship in detail: *Acer*,
304 *Alnus*, Cupressaceae, *Fraxinus*, *Morus*, *Pinus*, *Platanus*, *Populus*, *Quercus* deciduous type,
305 *Quercus* evergreen type, *Salix*, *Ulmus*, *Coriaria*, *Corylus*, *Mercurialis*, Urticaceae and
306 Solanaceae.

307 **Figure 4.** Daily Solanaceae airborne pollen concentrations recorded in the i-RTG during phase I
308 of this study (which extended from the 24th of February to the 10th of April 2016) and the last
309 stage of the summer tomato crop (which extended from the 20th of July to the 28th of July 2016)
310 in phase II. The initiation of the leaf growth, flowering and fruiting stages are shown. Crop
311 removal (CR) stages are highlighted for both the winter and summer tomato crops.



312

313 Table 1 shows an overview of the results for the selected pollen taxa and the relationship
314 between the concentrations indoors, in the i-RTG, and the outdoor concentrations. A total of
315 4,924 pollen grains were counted and identified in the indoor environment versus 17,132
316 outdoors. The maximum daily concentrations were 334 pollen grains/m³, which was observed

317 indoors on the 4th of March 2016, and 932 grains/m³, which was observed outdoors on the 27th
318 of March 2016 (Table 1). The most abundant pollen taxon in both environments was *Platanus*,
319 the pollen sum of which accounted for 1,751 pollen grains indoors and 5,051 outdoors, and its
320 maximum daily concentration was 179 pollen grains/m³, which was observed indoors on the
321 30th of March 2016 and 460, which was observed outdoors on the 27th of March 2016 (Table 1).
322 The second taxon was *Pinus*, the pollen sum of which ranged between 1,095 and 4,526 pollen
323 grains, and the maximum daily concentrations were 98 pollen grains/m³, which was observed
324 indoors on the 28th of March 2016, and 319, which was observed outdoors on the 5th of March
325 2016 (Table 1). *Platanus* and *Pinus* accounted for 56 and 58% of the total pollen in both the
326 indoor and outdoor environments, respectively.

327 Solanaceae is the only pollen taxon that was detected exclusively in the indoor environment.
328 Solanaceae was the third most abundant taxon in the indoor environment, with a total of 399
329 pollen grains (8% of indoor total pollen) and a peak concentration of 246 pollen grains/m³ was
330 observed on the 4th of March 2016 when winter crop removal was carried out (Fig. 4). This
331 concentration was the highest daily pollen concentration recorded in the i-RTG (Table 1). Two
332 other peak concentrations of Solanaceae pollen were observed between the winter crop removal
333 stage and the initiation of the flowering stage, which accounted for 38 pollen grains/m³ on the
334 7th of March 2016 and 24 pollen grains/m³ on the 14th of March 2016. Finally, high
335 concentrations of Solanaceae pollen were also measured on the 27th of July 2016 during the
336 summer crop removal, accounting for 71 pollen grains/m³ (Fig. 4). The i/o ratio and Spearman's
337 rank correlation analysis were not applicable.

338 The i/o_{sum} ratio was 0.11 for total pollen during the phase I sampling period. This quantity
339 ranged between 0.02 and 0.17 for the different pollen taxa, whereas the i/o_{max} ratio was 0.36 and
340 ranged between 0.04 and 1.07. *Ulmus* was the only taxon with an i/o_{max} ratio > 1 (Table 1).
341 Positive and significant correlations between the indoor and outdoor concentrations were
342 observed in 14 cases out of 16 (Table 1).

343

344

345 **Table 1.** Spectra of pollen taxa: (1) mean, maximum and sum of daily pollen concentrations
 346 (pollen grains/m³) recorded in both indoor and outdoor environments from the 24th of February
 347 to the 10th of April 2016 (N days = 47); (2) indoor/outdoor (i/o) ratio of using the maximum

Pollen taxa		Indoor			Outdoor			i/o ratio		Spearman's rank correlation
		Mean	Max	Sum	Mean	Max	Sum	i/o _{max}	i/o _{sum}	
Trees	<i>Acer</i>	1.4	16.8	65.1	3.0	26.6	140.0	0.63	0.05	0.76*
	<i>Alnus</i>	0.3	2.1	14.0	1.4	14.0	65.1	0.15	0.02	0.44*
	Cupressaceae	15.1	102.9	711.2	56.0	346.5	2,632.7	0.30	0.04	0.66*
	<i>Fraxinus</i>	0.3	2.8	15.4	1.4	7.7	65.8	0.36	0.04	0.33*
	<i>Morus</i>	3.5	23.1	162.4	16.1	98.0	755.3	0.24	0.04	0.78*
	<i>Pinus</i>	23.3	98.0	1,094.8	96.3	319.2	4,526.2	0.31	0.07	0.61*
	<i>Platanus</i>	37.3	179.2	1,751.4	107.5	459.9	5,050.5	0.39	0.08	0.88*
	<i>Populus</i>	5.3	25.9	249.2	44.9	217.7	2,111.9	0.12	0.02	0.46*
	<i>Quercus</i> deciduous type	2.1	18.9	100.8	14.3	128.1	672.0	0.15	0.02	0.78*
	<i>Quercus</i> evergreen type	0.2	3.5	10.5	0.5	7.0	22.4	0.50	0.03	0.25
	<i>Salix</i>	0.7	7.0	33.6	1.0	9.1	48.3	0.77	0.08	0.32*
<i>Ulmus</i>	1.4	11.2	65.8	2.7	10.5	128.8	1.07	0.13	0.50*	

348 (i/o_{max}) and the total (i/o_{sum}) values; and (3) Spearman's rank correlation coefficient (rho) values
 349 between the indoor and outdoor daily pollen concentrations.

Shrubs	<i>Coriaria</i>	0.2	2.1	11.2	0.7	5.6	32.2	0.38	0.04	0.42*
	<i>Corylus</i>	0.4	2.8	18.2	1.6	9.1	77.0	0.31	0.04	0.26
Herbaceous plants	<i>Mercurialis</i>	0.7	3.5	30.8	2.2	7.7	102.2	0.45	0.09	0.32*
	Urticaceae	2.3	14.7	107.1	4.5	16.1	213.5	0.91	0.14	0.46*
	Solanaceae	8.5	245.7	399.0	0.0	0.0	0.0	-	-	-
Other pollen taxa ¹		1.8	6.3	83.3	10.4	154.7	488.6	0.04	0.17	0.37*
Total pollen		104.8	333.9	4,923.8	364.5	931.7	17,132.5	0.36	0.11	0.65*

350 ¹less than 10 pollen grains during the sampling period

*p-value<0.05

351

352

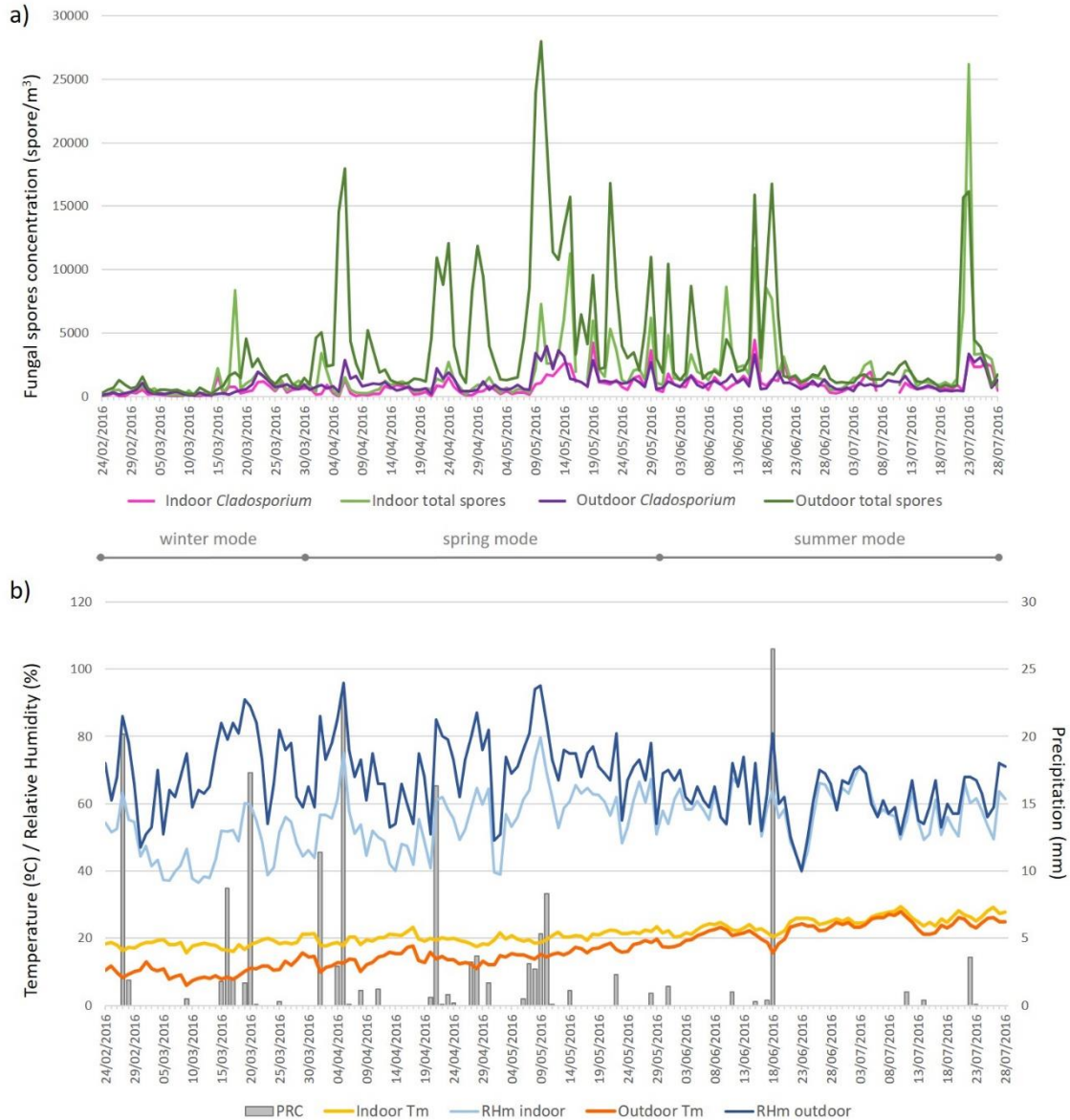
353 **Airborne fungal spore diversity and dynamics**

354 Fungal spore dynamics recorded during the whole sampling period (phases I and II, which
355 extended from the 24th of February to the 28th of July 2016) are analysed in detail in the second
356 part of this paper. The diversity of fungal spores observed included 29 taxa in the indoor
357 environment versus 31 outdoors. Taxa that accounted for more than 10 indoor fungal spores
358 during the study period accounted for 99.9% of the total spores in both environments.
359 Considering this threshold, 26 fungal spore taxa were selected: *Agaricus*, *Agrocybe*, *Alternaria*,
360 *Arthrinium*, *Aspergillus/Penicillium*, *Chaetomium*, *Cladosporium*, Coprinaceae,
361 *Drechslera/Helminthosporium*, *Epicoccum*, *Ganoderma*, *Leptosphaeria*, Myxomycota, *Oidium*,
362 *Pithomyces*, *Pleospora*, *Polythrincium*, *Stemphyllium*, Thelephoraceae, *Torula*, *Ustilago*,
363 Xylariaceae, other ascospores (unicellular, bicellular, pluricellular) and other basidiospores.

364 A total of 295,039 fungal spores were identified from the indoor environment and 606,642 from
365 the outdoor environment were identified during the study period (Table 2). The maximum daily
366 concentration of total fungal spores observed indoors was 26,186 spores/m³ on the 27th of July
367 2016 during the summer ventilation mode, and 28,000 spores/m³ was observed outdoors on the
368 10th of May 2016 during the spring mode (Table 2, Fig. 5a). The most abundant fungal spore
369 taxa in the indoor environment (where the total was >10,000 spores and the maximum was >
370 3000 spores/m³) were *Cladosporium* (129,234 spores), the group of other ascospores (15,277-
371 94,822 spores) and *Aspergillus/Penicillium* (10,055 spores); followed by *Ustilago*, Coprinaceae,
372 *Alternaria*, *Agrocybe* and *Oidium*, for which the total over the period ranged between 1,000 and
373 10,000 spores (Table 2). The totals of the rest of the taxa accounted for <1,000 spores during the
374 study period (Table 2).

375

377 **Figure 5.** Indoor (inside the i-RTG) and outdoor variations in: (a) daily fungal spore concentrations of
 378 *Cladosporium* and total spores; (b) daily precipitation and mean temperature and mean relative humidity,
 379 from the 24th of February to the 28th of July 2016. Ventilation modes are also indicated.



380

381 In the outdoor environment, more than 10,000 spores were recorded for *Cladosporium* (154,314
 382 spores) and the group of other ascospores (33,396-327,827 spores) during the study period;
 383 however, *Aspergillus/Penicillium* accounted for 4,281 spores (Table 2). Excluding
 384 *Aspergillus/Penicillium*, fungal spore taxa that ranged between 1,000-10,000 spores outdoors
 385 were, in decreasing order, Coprinaceae, *Ustilago*, *Alternaria*, *Agrocybe*, *Ganoderma*, *Pleospora*
 386 and *Oidium* (Table 2). The totals of the rest of the taxa accounted for <1,000 spores during the
 387 study period (Table 2).

388

389 Based on the *i/o* ratios, the fungal spore taxa were classified into three groups: (1) *i/o*_{sum} and
 390 *i/o*_{max} ratios ≤1 were observed for *Agaricus*, *Agrocybe*, *Chaetomium*, Coprinaceae, *Epicoccum*,
 391 *Ganoderma*, *Leptosphaeria*, *Pleospora*, *Stemphyllum*, Thelephoraceae, Xylariaceae, other
 ascospores (unicellular, pluricellular), other basidiospores and total fungal spores during the

392 whole study period and the spring ventilation mode; (2) i/o_{sum} ratio ≤ 1 and i/o_{max} ratio >1 for
 393 *Alternaria*, *Arthrinium*, *Cladosporium*, *Oidium*, *Polythrincium*, *Ustilago*, the other bicellular
 394 ascospores and the total fungal spores during the winter and summer ventilation modes; and (3)
 395 i/o_{sum} and i/o_{max} ratios >1 for *Aspergillus/Penicillium*, *Drechslera/Helminthosporium*,
 396 *Myxomycota*, *Pithomyces*, *Torula* and other basidiospores (Table 2). The case of
 397 *Aspergillus/Penicillium* should be highlighted; it showed an i/o_{max} ratio of 28, given that its
 398 maximum daily concentration indoors was 6986 spores/m³ versus 252 outdoors (Table 2).

399 Positive and significant correlations were observed for 17 fungal spore taxa out of 26, as well as
 400 the total fungal spores for the whole period and the three ventilation modes (Table 2). The
 401 strongest correlations between data from indoors (inside the i-RTG) and outdoors ($\rho > 0.60$; p-
 402 value < 0.05) were observed for *Alternaria*, *Cladosporium*, *Coprinaceae*, *Ustilago*, *Ganoderma*
 403 and other ascospores (bicellular, pluricellular), as well as for the total fungal spores during the
 404 winter and summer ventilation modes. The indoor and outdoor dynamics of the daily fungal
 405 spore concentrations of *Cladosporium* and total spores are shown in Fig. 5a. No correlations
 406 were observed between indoor and outdoor daily concentrations for 9 taxa. Note that the indoor
 407 and outdoor dynamics were independent for *Agaricus*, *Aspergillus/Penicillium*, *Chaetomium*,
 408 *Epicoccum*, *Myxomycota*, *Oidium*, *Pithomyces*, *Polythrincium* and *Torula*.

409 **Table 2.** Spectra of fungal spore taxa: (1) mean, maximum and sum of daily fungal spore concentrations
 410 (spores/m³) recorded in both indoor (inside the i-RTG) and outdoor environments during the whole
 411 sampling period (from the 24th of February to the 28th of July 2016, N days = 152); (2) i/o ratio of the
 412 maximum (i/o_{max}) and the total (i/o_{sum}); and (3) Spearman's rank correlation coefficient (ρ) between
 413 indoor and outdoor daily fungal spore concentrations. In addition, these data were calculated for total
 414 fungal spores during the periods corresponding to the different ventilation modes: winter (from the 24th of
 415 February to the 31st of March 2016, N days = 37), spring (from the 1st of March to the 31st of May 2016,
 416 N days = 60) and summer (from the 1st of June to the 28th of July 2016, N days = 60).
 417

Fungal spore taxa	Indoor			Outdoor			i/o ratio		Spearman's rank correlation
	Mean	Max	Sum	Mean	Max	Sum	i/o_{max}	i/o_{sum}	
<i>Agaricus</i>	3.4	22.4	518.0	5.6	44.8	854.0	0.50	0.61	0.14
<i>Agrocybe</i>	7.3	47.6	1,106.0	16.2	81.2	2,464.0	0.59	0.45	0.46*
<i>Alternaria</i>	22.6	182.0	3,432.8	24.2	179.2	3,670.8	1.02	0.94	0.70*
<i>Arthrinium</i>	4.9	291.2	747.6	5.0	78.4	753.2	3.71	0.99	0.29*
<i>Aspergillus/Penicillium</i>	66.2	6,986.0	10,054.8	28.2	252.0	4,281.2	27.72	2.35	0.01
<i>Chaetomium</i>	0.1	2.8	14.0	0.3	2.8	39.2	1.00	0.36	-0.06
<i>Cladosporium</i>	850.2	4,449.2	129,234.0	1,015.2	3,956.4	154,313.6	1.12	0.84	0.69*
Coprinaceae	28.0	266.0	4,253.2	47.5	431.2	7218.4	0.62	0.59	0.64*
<i>Drechslera/Helminthosporium</i>	3.2	33.6	492.8	2.0	16.8	310.8	2.00	1.59	0.45*
<i>Epicoccum</i>	1.9	14.0	285.6	1.9	22.4	288.4	0.63	0.99	0.11
<i>Ganoderma</i>	6.2	50.4	935.2	11.6	67.2	1,755.6	0.75	0.53	0.64*
<i>Leptosphaeria</i>	0.7	11.2	106.4	2.3	78.4	347.2	0.14	0.31	0.24*
Myxomycota	0.1	14.0	16.8	0.1	11.2	14.0	1.25	1.20	-0.01
<i>Oidium</i>	7.1	89.6	1,080.8	7.2	42.0	1,100.4	2.13	0.98	0.15
<i>Pithomyces</i>	0.1	2.8	14.0	0.1	2.8	11.2	1.00	1.25	-0.03
<i>Pleospora</i>	3.9	89.6	588.0	7.3	92.4	1,106.0	0.97	0.53	0.36*
<i>Polythrincium</i>	0.4	11.2	61.6	0.4	5.6	64.4	2.00	0.96	0.01
<i>Stemphyllium</i>	1.9	25.2	291.2	2.4	25.2	358.4	1.00	0.81	0.26*
Thelephoraceae	1.7	14.0	263.2	3.7	33.6	565.6	0.42	0.47	0.40*
<i>Torula</i>	1.9	39.2	294.0	1.8	53.2	266.0	0.74	1.11	0.13
<i>Ustilago</i>	35.8	450.8	5,437.6	44.9	229.6	6,820.8	1.96	0.80	0.66*

Xylariaceae	3.8	25.2	582.4	4.7	39.2	714.0	0.64	0.82	0.44*
Other unicellular ascospores	623.8	13,834.8	94,822.0	2,156.8	19,107.2	327,826.8	0.72	0.29	0.41*
Other bicellular ascospores	100.5	5,474.0	15,276.8	219.7	3,494.4	33,395.6	1.57	0.46	0.62*
Other pluricellular ascospores	157.9	3,245.2	24,007.2	375.4	5,684.0	57,061.2	0.57	0.42	0.74*
Other basidiospores	5.4	64.4	817.6	4.8	30.8	725.2	2.09	1.13	0.39*
Other fungal spores taxa ¹	0.1	2.8	16.8	0.4	14.0	53.2	0.20	0.32	0.07
Total fungal spores	1,941.0	26,185.6	295,038.8	3,991.1	28,000.0	606,642.4	0.94	0.49	0.58*
Total fungal spores - winter	874.0	8,388.8	32,337.2	1,085.8	4,558.4	40,174.4	1.84	0.80	0.75*
Total fungal spores - spring	1,767.1	11,244.8	106,027.6	6,311.2	28,000.0	378,669.2	0.40	0.28	0.51*
Total fungal spores - summer	2,848.6	26,185.6	156,674.0	3,414.5	16,744.0	187,798.8	1.56	0.83	0.73*

418 ¹less than 10 fungal spores during the sampling period

*p-value <0.05

419

420 Effects of environmental variables on airborne fungal spore levels

421 Strong significant positive correlations were observed between indoor vs. outdoor temperatures
422 ($\rho=0.96$, $p\text{-value}<0.05$) and relative humidity ($\rho=0.55$, $p\text{-value}<0.05$) (Table 3). However,
423 temperature values were higher indoors, in the i-RTG, than outdoors, and relative humidity was
424 higher outdoors (Fig. 2b). Temperature and relative humidity indoors (inside the i-RTG)
425 showed a weak significant positive correlation ($\rho=0.21$, $p\text{-value}<0.05$), however, a stronger
426 significant negative correlation was observed outdoors ($\rho=-0.43$; $p\text{-value}<0.05$) (Table 3, Fig.
427 5b). Outdoor relative humidity was also strongly positively correlated with precipitation ($\rho=-$
428 0.59 , $p\text{-value}<0.05$; Fig. 5b) and negatively with wind speed ($\rho=-0.59$; $p\text{-value}<0.05$) (Table
429 3). In the same way, precipitation also showed weak significant negative relationships with
430 outdoor temperature ($\rho=-0.29$; $p\text{-value}<0.05$) and wind speed ($\rho=-0.33$; $p\text{-value}<0.05$)
431 (Table 3).

432 **Table 3.** Spearman's rank correlations between indoor and outdoor environmental daily data from the
433 24th of February to the 28th of July 2016 (N days = 152): mean temperature (T_m), mean relative humidity
434 (RH_m), precipitation (PRC) and mean wind speed (WS_m).
435

Spearman's rank correlations		Indoor variables		Outdoor variables			
		T_m (°C)	RH_m (%)	T_m (°C)	RH_m (%)	P (mm)	WS_m (m/s)
Indoor variables	T_m (°C)	1.00	0.21*	0.96*	-0.52*	-0.36*	0.22*
	RH_m (%)		1.00	0.37*	0.55*	0.31*	-0.36*
Outdoor variables	T_m (°C)			1.00	-0.43*	-0.29*	0.14
	RH_m (%)				1.00	0.59*	-0.59*
	P (mm)					1.00	-0.33*
	WS (m/s)						1.00

436 *p-value<0.05

437

438

439

440 The relationship between fungal spore concentrations and environmental variables was explored
 441 in Table 4. Indoors (inside the i-RTG), daily concentrations of the total fungal spores and 11 out
 442 of 26 taxa were positively and significantly correlated with both indoor temperature and relative
 443 humidity. These 11 taxa were *Agaricus*, *Alternaria*, *Cladosporium*,
 444 *Drechslera/Helminthosporium*, *Ganoderma*, *Pithomyces*, *Torula*, *Ustilago*, Xylariaceae, other
 445 ascospores (bicellular, pluricellular) and other basidiospores. *Arthrimum*, *Oidium*, *Epicoccum*
 446 and *Stemphyllium* were also positively correlated, but only with indoor temperature;
 447 Coprinaceae and *Leptosphaeria* were positively correlated exclusively with indoor relative
 448 humidity. The strongest correlations ($\rho \geq 0.50$; $p\text{-value} < 0.05$) were observed between the
 449 indoor temperature and *Alternaria*, *Ganoderma*, other basidiospores and total fungal spores.

450 Outdoor total fungal spores showed significant positive correlations with outdoor temperature,
 451 relative humidity and precipitation (Table 4). Depending on the fungal spore taxon, both
 452 positive and negative significant correlations were observed for each outdoor variable. Outdoor
 453 temperature was correlated with 16 fungal spore taxa. (1) It was positively correlated with
 454 *Agaricus*, *Alternaria*, *Arthrimum*, *Cladosporium*, *Drechslera/Helminthosporium*, *Epicoccum*,
 455 *Ganoderma*, *Oidium*, *Stemphyllium*, *Torula*, *Ustilago*, Xylariaceae, and other basidiospores; and
 456 (2) it was negatively correlated with *Agrocybe*, *Aspergillus/Penicillium* and *Pleospora*. Outdoor
 457 relative humidity was significantly correlated with 13 taxa. (1) It was positively correlated with
 458 *Agrocybe*, *Leptosphaeria*, *Pleospora* and other ascospores (unicellular, bicellular, pluricellular);
 459 and (2) it was negatively correlated with *Alternaria*, *Epicoccum*, *Ganoderma*, *Oidium*,
 460 *Stemphyllium*, *Ustilago* and other basidiospores. Precipitation showed a significant relationship
 461 with 10 outdoor taxa. (1) It was positively correlated with *Leptosphaeria*, *Pleospora* and other
 462 ascospores (unicellular, bicellular, pluricellular); and (2) it was negatively correlated with
 463 *Alternaria*, *Chaetomium*, *Epicoccum*, *Ganoderma*, and *Torula*. Finally, wind speed presented
 464 weak significant correlations with 4 taxa: (1) positively with *Alternaria* and *Epicoccum*; and (2)
 465 negatively with Xylariaceae and other unicellular ascospores. *Alternaria* and *Ganoderma*
 466 showed the strongest correlations with both indoor and outdoor temperature (ρ ranged from
 467 0.68 to 0.75; $p\text{-value} < 0.05$). Strong correlations (with $\rho \geq 0.50$ and $p\text{-value} < 0.05$) were
 468 observed for outdoor temperature vs. *Alternaria*, *Ganoderma*, *Ustilago* and other basidiospores,
 469 as well as for precipitation vs. other ascospores (bicellular, pluricellular).

470 **Table 4.** Spearman's rank correlations (ρ) between daily: (1) indoor (inside the i-RTG) fungal spore
 471 concentrations (spore/m³) vs. indoor mean temperature (T_m) and mean relative humidity (RH_m); and (2)
 472 outdoor fungal spore concentrations (spore/m³) vs. outdoor T_m , RH_m , precipitation (P) and mean wind
 473 speed (WS_m) from the 24th of February to the 10th of April 2016 (N days = 152).
 474

Spearman's correlations	rank	Indoor variables		Outdoor variables			
		T_m (°C)	RH_m (%)	T_m (°C)	RH_m (%)	P (mm)	WS (m/s)
Fungal spore taxa		T_m (°C)	RH_m (%)	T_m (°C)	RH_m (%)	P (mm)	WS (m/s)
<i>Agaricus</i>		0.21*	0.29*	0.18*	0.05	-0.02	-0.06
<i>Agrocybe</i>		0.01	0.28*	-0.18*	0.33*	0.12	-0.15
<i>Alternaria</i>		0.69*	0.27*	0.68*	-0.35*	-0.25*	0.25*
<i>Arthrimum</i>		0.34*	0.14	0.26*	-0.10	-0.15	0.01
<i>Aspergillus/Penicillium</i>		0.05	0.11	-0.19*	0.09	0.00	-0.04

<i>Chaetomium</i>	0.13	0.02	0.00	-0.15	-0.19*	0.11
<i>Cladosporium</i>	0.56*	0.25*	0.43*	-0.08	-0.08	0.13
Coprinaceae	0.13	0.24*	0.08	0.16	-0.01	-0.09
<i>Drechslera/Helminthosporium</i>	0.44*	0.23*	0.41*	-0.09	-0.09	0.05
<i>Epicoccum</i>	0.33*	0.07	0.27*	-0.16*	-0.23*	0.20*
<i>Ganoderma</i>	0.75*	0.34*	0.72*	-0.25*	-0.20*	-0.03
<i>Leptosphaeria</i>	0.03	0.27*	-0.14	0.31*	0.42*	-0.11
Myxomycota	0.15	0.04	0.02	0.01	0.05	-0.16
<i>Oidium</i>	0.30*	0.04	0.20*	-0.18*	-0.08	0.09
<i>Pithomyces</i>	0.24*	0.17*	-0.01	0.00	-0.02	0.06
<i>Pleospora</i>	-0.07	0.10	-0.20*	0.19*	0.47*	0.08
<i>Polythrincium</i>	0.05	0.11	-0.02	0.10	-0.04	-0.11
<i>Stemphyllium</i>	0.39*	0.03	0.24*	-0.16*	-0.10	0.08
Thelephoraceae	-0.05	0.07	-0.15	0.13	-0.11	0.03
<i>Torula</i>	0.39*	0.18*	0.22*	-0.15	-0.18*	0.00
<i>Ustilago</i>	0.39*	0.19*	0.57*	-0.25*	-0.11	0.14
Xylariaceae	0.49*	0.42*	0.25*	0.09	0.03	-0.19*
Other unicellular ascospores	0.17*	-0.03	-0.01	0.42*	0.41*	-0.19*
Other bicellular ascospores	0.25*	0.27*	0.08	0.39*	0.51*	-0.14
Other pluricellular ascospores	0.31*	0.47*	0.12	0.37*	0.50*	-0.13
Other basidiospores	0.53*	0.25*	0.53*	-0.26*	-0.18	0.15
Total fungal spores	0.54*	0.27*	0.18*	0.30*	0.32*	-0.13

*p-value<0.05

475

476

477 Relationship between fungal diseases in the tomato crop and airborne fungal spores

478 Fig. 6 shows *Oidium* and *Torula* airborne spore dynamics recorded in the i-RTG during the
479 study period. As expected, due to the fact that these fungal spore taxa are related to fungal
480 diseases of tomato crops, indoor concentrations showed no relationship with concentrations
481 outside of the greenhouse (Table 2). For this reason, information about the summer and winter
482 cultivation periods were indicated in Fig. 6, as well as the initiation of leaf, flowering and
483 fruiting stages, the winter and summer crop removal stages and some crop management
484 practices. During the summer cultivation period, a total of 30 sweeps were made, and 29
485 pollinations were performed by hand (Fig. 6). Additionally, between the 18th of April and the
486 17th of June, 14 organic pesticide treatments were performed, using wettable sulphur and Costar
487 (80% *Bacillus thuringiensis*) (Fig. 6). However, it was not possible to eradicate the pest, and the
488 treatments were only effective to avoid its expansion.

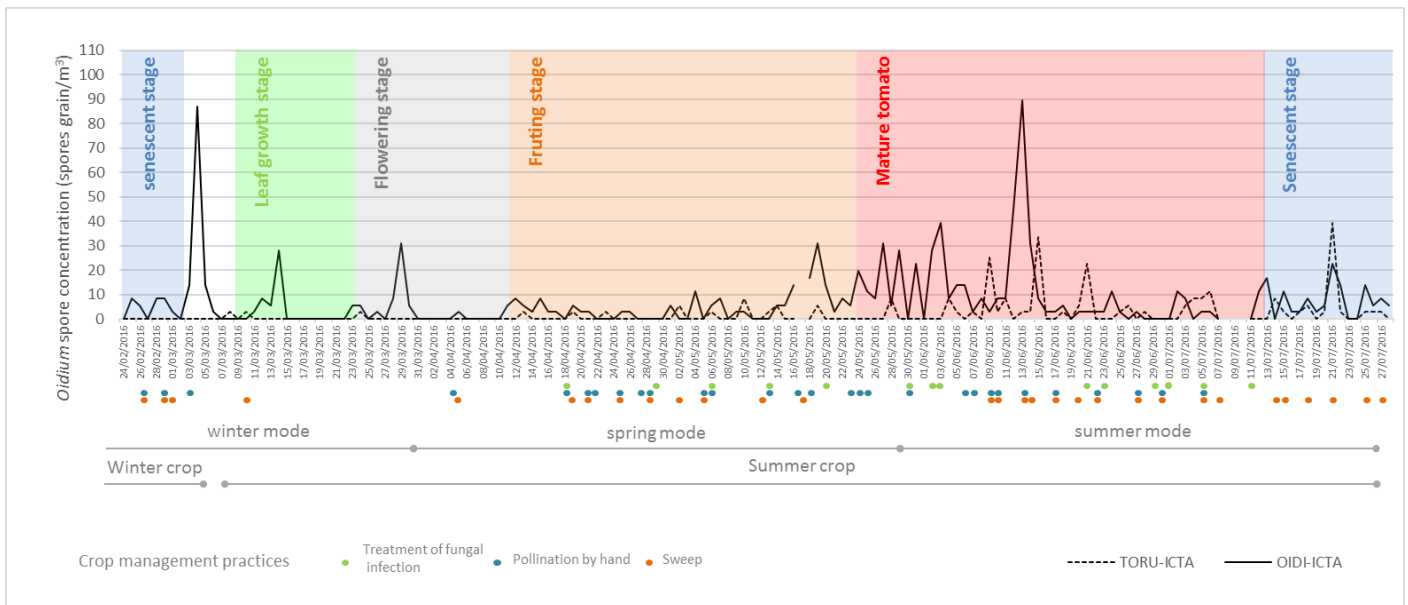
489 Overall, the number of fungal spores observed was higher for *Oidium* than *Torula*. Both fungal
490 spore taxa showed similar behaviour during the summer cultivation period (Fig. 6). A total of
491 1,081 and 294 spores, respectively, were counted and identified in the indoor environment
492 (Table 2). Most of the peaks occurred during the harvest period (Fig. 6).

493 *Oidium* was one of the most abundant taxa in the indoor environment (>1,000 spores, Table 2),
494 and accounted for the highest indoor daily spore concentration on the 4th of March 2016 at the

495 time that the winter crop was removed, with 86.8 spores/m³ (Fig. 6). In addition to the first peak
 496 of *Oidium* spore concentration, several episodes of peak concentrations have been detected
 497 during the leaf growth stage and the initiation of the flowering stage, accounting for 28.0
 498 spores/m³ on the 14th of March 2016 and 30.8 spores/m³ on the 29th of March 2016.
 499 Additionally, high concentrations of *Oidium* spores were registered on the 19th, 24th, 27th, 29th
 500 and 31st of May and the 2nd of June 2016 during the tomato harvesting period, and these
 501 concentrations ranged between 19.6 and 39.2 spores/m³. Finally, the highest daily concentration
 502 of *Oidium* was detected on the 13th of June 2016 during the summer crop, when 89.6 spores/m³
 503 were observed (Fig. 6). This date coincides with a day when pollination was done by hand and a
 504 sweep was done.

505 Regarding *Torula*, its highest spore concentration was measured on the 21st of July 2016, with
 506 39.2 spores/m³ (Fig. 6). Moreover, three more peaks of 25.2, 33.6 and 22.4 spores/m³ were
 507 measured on the 9th, 15th and 21st of June 2016, all of them during the harvesting season (Fig. 6).

508 **Figure 6.** *Torula* and *Oidium* airborne fungal spore concentrations recorded in the i-RTG from the 24th of
 509 February to the 28th of April 2016. Summer and winter cultivation periods are shown, as well as the
 510 initiation of leaf growth, flowering and fruiting stages, the winter and summer crop removal (CR) stages
 511 and the three different ventilation modes. The visual detection of fungal infection by *Oidium* and the
 512 corresponding crop treatment are also indicated.



513

514

515 Discussion

516 The construction of RTGs on urban buildings has intensified in recent years, as a result of
 517 growing interest in the development of new agricultural spaces and in the promotion of food
 518 self-sufficiency in urban areas (Sanyé-Mengual et al., 2015). In greenhouses, the growth
 519 conditions for plants are optimized to increase crop yields (Hansen et al., 2010). However,
 520 indoor handling of large amounts of plant materials occurs in different occupational settings,
 521 including greenhouses, and causes exposure to bioaerosols (Madsen et al., 2014). As indoor air

522 is highly dynamic, studies have been carried out to examine indoor air quality, especially for
523 occupational and public health purposes (Bonetta et al., 2010). Adequate assessment of human
524 exposure to biological aerosols has been recognized as an important need, as many studies have
525 linked adverse health effects to bioaerosol hazards (Burge and Rogers, 2000; Douwes et al.,
526 2003). Fungal spores and pollen grains are the major components of airborne biological
527 contaminants (Nayar and Jothish, 2013). They have the potential to trigger the risk of
528 occupational respiratory diseases, such as allergic rhinitis, asthma, allergic alveolitis, dermatitis,
529 hypersensitivity pneumonitis and bronchopulmonary aspergillosis (Cariñanos et al., 2004; Kim
530 et al., 2007). In this study, we investigated the exposure to airborne pollen and fungal spores in
531 an i-RTG in order to assess the biological air quality and to advise on preventive measures for
532 workers and for users of the building in case the air is used to heat the building. It is appropriate
533 to note that this is the first study that evaluates the diversity and dynamics of bioaerosols in
534 building-integrated agriculture.

535 The pollen spectra collected both inside the i-RTG and in the outdoor environments are
536 representative of the vegetation of the study area, in which *Platanus* and *Pinus* are the most
537 abundant pollen taxa (Table 1). According to previous studies (Burge and Rogers, 2000; Lee et
538 al., 2006; Tormo-Molina et al., 2009), the i/o ratios <1 and the significant positive correlations
539 observed between indoor and outdoor pollen concentrations for most taxa (Table 1) indicate that
540 the indoor pollen concentrations inside the i-RTG are controlled by those outdoors. Outdoor
541 pollen entered the building through ventilation openings, except for Solanaceae pollen, which
542 was directly introduced into the i-RTG via tomato plants. The level of pollen penetration
543 depends on the aerobiological characteristics of the pollen and their pollination period (Pichot et
544 al., 2015), as well as the distance to the ventilation opening (Jantunen and Saarinen, 2009). It
545 has been recommended that allergic people reduce indoor exposure to pollen by keeping
546 windows and doors closed during the peak flowering season, or by using appropriate air filters
547 in ventilation windows and ducts (Jantunen and Saarinen, 2009). These measures could also be
548 applied to i-RTG air that is to be recirculated into the building.

549 However, the recirculation of air from the i-RTG to other parts of the building is planned to
550 occur during the winter, when the estimated pollen penetration was one hundred times lower
551 than that in summer (Pichot et al., 2015). This fact is related to the increase of pollen
552 penetration coefficients from winter to summer, which strongly supports the hypothesis that the
553 opening of doors and windows facilitates the penetration of pollen into dwellings (Pichot et al.,
554 2015). Moreover, outdoor pollen concentrations are lower in winter. Therefore, we focused our
555 attention on indoor Solanaceae pollen concentrations. In particular, we considered that
556 immunoglobulin E against tomato pollen has been detected in greenhouse workers
557 (Toorenenbergen and Wijk, 2000). The highest Solanaceae daily pollen concentrations were
558 recorded during both the winter and summer crop removal stages (Fig. 4). Consequently, our
559 recommendation is that i-RTG air should not be recirculated during crop removal unless
560 appropriate air filters in ventilation ducts are installed.

561 Nearly 10% of people worldwide have a fungal allergy (Burge, 2001), in which the exposure to
562 fungi is associated with rhinitis, asthma, allergic bronchopulmonary mycoses, allergic fungal
563 sinusitis and hypersensitivity pneumonitis (Baxi et al., 2016). Although an official threshold for
564 fungal spore concentrations necessary to evoke allergenic symptoms has been not established,
565 the highest indoor daily concentration of total fungal spores observed in the i-RTG (26185
566 spores/m³, Table 2) was lower than the concentration of 10⁵ spores/m³ proposed by (Eduard,

567 2009), but much higher than the concentration of 10^3 spores/m³ recommended by (Santilli and
568 Rockwell, 2003b).

569 In this study, the most prevalent i-RTG indoor fungal spore taxa were, in decreasing order,
570 *Cladosporium* (Fig. 5a), the group of other ascospores, *Aspergillus/Penicillium*, *Ustilago*,
571 Coprinaceae, *Alternaria*, *Agrocybe* and *Oidium* (>1,000 spores, Table 2), of which
572 *Cladosporium*, *Aspergillus/Penicillium*, *Ustilago* and *Alternaria* are classified as allergenic
573 fungi (Levetin et al., 2016). *Cladosporium* and *Aspergillus/Penicillium* were also identified as
574 predominant fungal species in other aeromycological studies carried out in other greenhouse
575 environments (Hansen et al., 2010; Li and Lamondia, 2010; Magyar et al., 2011). In addition,
576 sensitization to *Cladosporium*, *Penicillium*, *Aspergillus*, and/or *Alternaria* has been reported in
577 nearly 20% of greenhouse flower growers (Monsó et al., 2002). In this context, the daily
578 maximum concentration of *Cladosporium* (4,449 spores/m³) and *Alternaria* (182 spores/m³) in
579 the i-RTG exceeded both the fungal spore concentration thresholds recommended by Gravesen
580 (1979), namely 3,000 and 100 spores/m³, respectively. The highest indoor concentration of
581 *Aspergillus/Penicillium* was 6,986 spores/m³ (Table 2). Although its threshold is unavailable,
582 this value is certainly able to provoke respiratory symptoms if we compare it with the much
583 lower outdoor values, which are known to cause problems for the population at large.

584 On the other hand, *Cladosporium* was identified as the most abundant outdoor fungal spore
585 taxon (Table 2, Fig. 5a), as has been reported in previous studies performed in Catalonia (Vélez-
586 Pereira et al., 2016) and at other Iberian Peninsula locations (Oliveira et al., 2009; Recio et al.,
587 2012; Reyes et al., 2016). Also, according to the outdoor fungal spore spectrum (Table 2), the
588 airborne concentrations of *Aspergillus/Penicillium*, Coprinaceae, *Ustilago*, *Alternaria* and
589 *Pleospora* were also notable in the study area, and have been noted by the previously cited
590 authors at other Spanish localities (Herrero et al., 2006; Sabariego et al., 2000). For allergic
591 people, indoor exposure to pollen and fungal spores is of particular concern (Nayar and Jothish,
592 2013). The i/o_{sum} ratio showed that the outdoor concentrations were approximately double those
593 of indoor areas in terms of total fungal spores (Table 2), and the outdoor concentrations were
594 more than triple the indoor concentrations for pollen (Table 1). These results suggest that fungal
595 spores have much higher penetration efficiency than pollen grains (Table 1), which reflects the
596 difference in their particle sizes (Lee et al., 2006). In contrast, the fungal spore concentrations
597 measured by stationary sampling in traditional heated tomato greenhouses reported higher
598 values in indoor environments in Denmark (Hansen et al., 2010, 2012) and concentrations
599 similar to those observed outdoors in Japan (Okushima et al., 2004). The i/o ratio for fungi
600 observed in greenhouses where flowering plants are cultivated in the Midwestern USA in winter
601 was also >1 (Adhikari et al., 2011). In this cases, the introduction of outside air into the
602 greenhouses was recommended in order to dilute the indoor bioaerosol exposure (Madsen et al.,
603 2014).

604 However, based on previous studies on indoor airborne fungi in buildings (Taekhee Lee et al.,
605 2006b; Nevalainen et al., 2015), the i/o_{sum} ratios ≤ 1 and significant positive correlations
606 registered for most of the fungal spore taxa in this study indicated that the most important
607 source of fungi found indoors was the outdoor environment (Table 2). Within this group, the
608 i/o_{max} ratio was ≤ 1 for *Agrocybe*, Coprinaceae, *Ganoderma*, *Leptosphaeria*, *Pleospora*,
609 *Stemphyllum*, Thelephoraceae, Xylariaceae, other ascospores (unicellular, pluricellular), the
610 total fungal spores during the whole period and during the spring ventilation mode (Table 2,
611 Fig. 5a), which suggests that the indoor concentrations followed the outdoor concentrations,

612 given that the outdoor levels were greater than the indoor ones (e.g., total fungal spores, Fig.
613 5a). On the other hand, the i/O_{max} ratio was >1 for *Alternaria*, *Arthrimum*, *Cladosporium*,
614 *Ustilago*, other bicellular ascospores, and the total fungal spores during the winter and summer
615 ventilation modes (Table 2). These results suggest that, although fungal exposure primarily
616 occurred outdoors, fungi might have colonized the indoor environment under favourable
617 growing conditions after entering the i-RTG through ventilation openings, even reaching the
618 highest daily concentration in the indoor environment (e.g., *Cladosporium*, Fig. 5a). Since the
619 air penetration from the outdoor environment while the winter ventilation mode was in
620 operation was lower than for the other modes, highly favourable growing conditions in the i-
621 RTG during the winter ventilation period could explain this result. Indoor sources also played a
622 significant role in emitting fungal bioaerosols (Faridi et al., 2015) and displayed behaviour
623 independent of that seen outdoors, as indicated by the (1) i/O_{sum} and i/O_{max} ratios >1 that were
624 observed for *Aspergillus/Penicillium*, *Drechslera/Helminthosporium*, Myxomycota, *Pithomyces*,
625 *Torula* and other basidiospores; and (2) the non-significant correlations obtained for *Agaricus*,
626 *Aspergillus/Penicillium*, *Chaetomium*, *Epicoccum*, Myxomycota, *Oidium*, *Pithomyces*,
627 *Polythrincium* and *Torula* (Table 2).

628 The most effective way to manage fungi in a building is to remove the conditions that favour the
629 establishment and growth of fungi (Haleem Khan and Mohan Karuppaiyil, 2012). From the point
630 of view of indoor air quality, prevention of any outdoor particles from entering the indoor
631 environment is considered desirable and can be done most effectively by combining an
632 optimized airflow together with filtration of outdoor air (Hänninen, O. and Asikainen, 2013).
633 Regular cleaning and disinfection of heating, ventilating and air conditioning systems should be
634 conducted to avoid the occurrence of air contamination (Liu et al., 2015). In this context, our
635 attention was focused mainly on understanding the dynamics of the fungal spores identified as
636 characteristic of indoor environments or capable of colonizing it.

637 Although indoor variables were controlled in the i-RTG in order to provide adequate conditions
638 for growing crops, the results showed that indoor temperature and relative humidity also
639 depended on outdoor environmental conditions, especially in the case of temperature (Table 3,
640 Fig. 5b). Different patterns have been observed between fungal spore taxa vs. indoor and
641 outdoor variables (Table 4). In the indoor environment, the daily concentrations of fungal spores
642 were positively and significantly correlated with indoor temperature, relative humidity or both
643 for the total of fungal spores and most taxa, except for *Aspergillus/Penicillium*, *Chaetomium*,
644 Myxomycota, *Pleospora*, *Polythrincium* and Theleforaceae, which displayed no correlation
645 (Table 3). Taking into account that *Aspergillus/Penicillium*, *Chaetomium*, Myxomycota and
646 *Polythrincium* showed their own dynamics indoors, further research is needed to understand
647 which variables determined the increases in fungal spores of these taxa, particularly
648 *Aspergillus/Penicillium*, which is one of the most abundant fungal taxa in the i-RTG (Table 2).

649 In the outdoor environment, the correlations between the daily concentrations of fungal spores
650 and the outdoor variables were variable, according to specific climatic requirements of each
651 taxon. In general, the relationship with temperature was positive for the total of fungal spores
652 and most of the fungal spore taxa; however, it was negative for *Agrocybe*,
653 *Aspergillus/Penicillium* and *Pleospora* (Table 3). Fungal spores are commonly described as
654 either “dry” or “wet,” according to the type of weather associated with their occurrence in the
655 air. Ascospores generally follow precipitation, whereas spore types such as *Alternaria* and
656 *Cladosporium* are abundant in dry conditions (Troutt and Levetin, 2001). In keeping with the

657 wet/dry-spore type classification, *Alternaria*, *Chaetomium*, *Epicoccum*, *Ganoderma*, *Oidium*,
658 *Stemphyllium*, *Torula*, *Ustilago* and other basidiospores probably belong to the dry-spore type,
659 considering that they were negatively correlated with relative humidity and/or precipitation
660 (Table 3). Otherwise, *Agrocybe*, *Leptosphaeria*, *Pleospora* and other ascospores (unicellular,
661 bicellular, and pluricellular) were positively correlated with these variables and therefore
662 correspond to the wet-spore type (Table 3). In addition, the total fungal spores were directly
663 correlated with both relative humidity and precipitation (Table 3). This fact explains the
664 substantial increases in the daily concentrations observed during and just after periods of rain
665 (Fig. 5), which were largely produced by the massive proliferation of the group of other
666 ascospores. Bearing in mind that the group of other ascospores was the second most abundant in
667 both environments, in conjunction with the fact that the most important source of indoor spores
668 was the outdoor environment (Table 2), our suggestion is to keep the ventilation openings in the
669 i-RTG closed during rainy periods and for a few days after in order to avoid the entrance of
670 fungal spores from the outdoors. Although the building under study has been programmed to
671 close the ventilation openings during rainy periods, the time span of closure should be longer
672 than just the time when the rain is falling, provided this measure does not affect crop
673 development. This measure would enable us to avoid the increase of indoor fungal spore
674 concentrations, because a smaller number of spores would be able to penetrate and colonize the
675 indoor environment.

676 The behaviour of *Torula* and *Oidium* inside the i-RTG has been studied in detail, because these
677 fungal spore taxa are related to fungal diseases of tomato crops (Fig. 6). Spores of both taxa
678 were present in the greenhouses throughout the monitored period; however concentrations
679 inside the i-RTG showed behaviour that was independent from that observed in the outside
680 environment (Table 2). The results also suggest that agricultural management tasks, such as
681 pollination by hand, fungal treatments and sweeps, increased the exposure to *Torula* and *Oidium*
682 spores. Previous studies carried out in open-air field crops determined that powdery mildew
683 spore (*Oidium*) release patterns are influenced by wind speed, rainfall, temperature, relative
684 humidity and solar radiation (Byrne et al., 2000; Willocquet and Clerjeau, 1998; Willocquet et
685 al., 1998). Conversely, the primary factor influencing the *Oidium* spore concentrations inside
686 the i-RTG in this study was the indoor temperature (Table 3). Good temperature and humidity
687 management are essential for minimizing disease, particularly for powdery mildew and *Botrytis*,
688 in greenhouse crops (Gullino, 1992). Specifically, powdery mildew develops rapidly under dry
689 conditions once infection occurs (Körner and Challa, 2003). This fact explains the development
690 of *Oidium* disease in the tomato crop in our i-RTG (Fig. 6), which is characterized by higher
691 ventilation and lower relative humidity than are typical in conventional greenhouses. Further
692 research is needed to characterize the release patterns of the fungal spores in the case of the i-
693 RTG, given the special internal environmental (climate) conditions demanded by the building
694 requirements.

695 Generally, concentrations of fungal spores registered for both taxa (Fig. 6) were in accordance
696 with (Hansen et al., 2011) who suggested that vegetable growers' exposure to mesophilic fungi
697 was found to be highest in environments where tomato plants were being removed, followed by
698 environments in which tomatoes were harvested. However, unlike (Hansen et al., 2011), it was
699 observed in this research that harvesting from mature plants caused higher spore concentration
700 levels than harvesting from senescent or older plants (Fig. 6). The results also suggest that,
701 when plants dry out, the growth of *Oidium* and *Torula* stops (Fig. 6). However, an important
702 fungal spore peak concentration was observed during the last days of the summer crop (Fig. 6).

703 Previous studies showed that cleaning the aisles after leaf nipping resulted in a significantly
704 higher exposure to endotoxin (Madsen et al., 2014). In this study, days when a sweep was
705 performed did not always reflect an increase in the concentrations of *Oidium* or *Torula* spores
706 (Fig. 6). Finally, the results showed that fungicide applications used were useful to treat
707 powdery mildew. However, in accordance with (Wspanialy and Moussa, 2016), the infection
708 was not eliminated because the treatment started during the last stage of the fungal disease.

709 CONCLUSIONS

710 To our knowledge, indoor bioaerosols have not previously been measured in building-integrated
711 agriculture facilities, and even less attention has been paid to urban rooftop greenhouses. In this
712 study, elevated levels of pollen grains and fungal spores were observed in an i-RTG. These
713 levels showed significant seasonal variations. A total of 4,924 pollen grains were observed
714 inside the i-RTG during phase I of this study, which extended from the 24th of February to the
715 10th of April 2016, arriving at 334 pollen grains/m³ per day, and a total of 295,038 fungal spores
716 were observed during the whole sampling period, which extended from the 24th of February to
717 the 28th of July 2016, reaching a maximum daily concentration of 26,185 spores/m³. It is not
718 possible to assess if these values are able to evoke allergenic symptoms, as an official threshold
719 for fungal spore concentrations has not been established, and different authors have proposed
720 different levels, such as 10⁵ spores/m³ (Eduard, 2009) or 10³ spores/m³ (Santilli and Rockwell
721 2003).

722 In this study, the most important source of indoor fungi was the outdoor environment (i/o_{sum}
723 ratio showed that concentrations outdoors were approximately double those observed indoors).
724 However, it should be noted that the sampling period coincided with the highest ventilation
725 rates in the i-RTG. However, while the winter ventilation mode was in operation, the air
726 penetration from the outdoor environment was lower than when the spring and summer modes
727 were in operation. Consequently, the results suggested that highly favourable growing
728 conditions occurred in the i-RTG during the winter. In the case of pollen dynamics, significant
729 correlations were observed between indoor and outdoor pollen concentrations for most taxa.
730 Our results suggest that fungal spores have a much higher penetration efficiency compared with
731 pollen grains.

732 Solanaceae pollen and several fungal spore taxa, such the allergenic *Aspergillus/Penicillium*,
733 were likely to have originated within the i-RTG, as indicated by the i/o ratios. Information on
734 the qualitative and quantitative prevalence of airborne pollen grains and fungal spores is an
735 important tool in the management of occupational allergic disorders in workers employed in the
736 i-RTG and users of the building.

737 Meteorological conditions and agricultural management tasks that could cause high levels of
738 pollen grains and fungal spores inside the i-RTG have also been identified. These results allow
739 the identification of critical moments when the recirculation of residual i-RTG air is not
740 appropriate, such as periods when crops are removed and when tomato plants are harvested; the
741 Solanaceae pollen concentrations increased suddenly during these times. Our results suggest
742 that Solanaceae pollen exposure could be significantly reduced by not drying out the tomato
743 plants in the i-RTG. In addition, some i-RTG conditions and crop management tasks were
744 related to the fluctuations in fungal spores associated with diseases of tomato crops (*Oidium* and
745 *Torula*). This disease develops rapidly under dry conditions once infection occurs, and it has

746 been detected in the i-RTG Lab, which is characterized by higher ventilation and lower relative
747 humidity than conventional greenhouses.

748 To conclude, it is possible to recirculate the air of the i-RTG to the building, and thus to convert
749 the system into an Bi-RTG without posing health risks due to allergies for the building users if
750 the biological air quality is monitored and the corresponding preventive measures are taken. To
751 do so, the most important moments (which are related to the quality of the outdoor air,
752 meteorological conditions and management practices) have to be identified. First, it is necessary
753 to adapt the management of the i-RTG by controlling the opening and closing of the ventilation
754 system. This measure can improve the air quality in the greenhouse for the workers during the
755 summer. Second, when an Bi-RTG (that is, a building-greenhouse symbiosis system) has been
756 installed to heat the building during the winter, it is necessary to identify times at which the
757 residual air circulation should not take place. If it is not possible to stop the air injection, this
758 study provides enough information to implement an appropriate air filter system that guarantees
759 good air quality in the building spaces.

760 This study was carried out during the warm season, which coincides with the highest ventilation
761 levels inside the i-RTG. As the recirculation of i-RTG air to buildings will be done during
762 winter, further research is needed to elaborate the best strategy to avoid causing or aggravating
763 allergies and breathing problems in the users of the building. Finally, it is necessary to establish
764 internationally accepted occupational exposure limits for airborne fungi concentrations in
765 greenhouses and in buildings.

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