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2 **The critical levels of atmospheric ammonia in a Mediterranean holm-oak forest**3 **in North-Eastern Spain**

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25 **Abstract**

26 Despite recent regulations, atmospheric ammonia (NH<sub>3</sub>) emissions have not changed  
27 much over the last decades and excessive nitrogen remains as one of the major drivers  
28 for biodiversity changes. To prevent deleterious effects on species and ecosystems it is  
29 very important to establish safety thresholds, such as those defined by the Critical  
30 Level (CLE) concept, “the concentration above which direct adverse effects on  
31 receptors may occur, based on present knowledge”. Empirical critical levels of  
32 atmospheric NH<sub>3</sub> have mainly been reported for temperate forests and there is a lack  
33 of information for Mediterranean forests.

34

35 Here, we provide a case study on NH<sub>3</sub> CLEs for a typical Mediterranean ecosystem, the  
36 holm-oak (*Quercus ilex*) forest. To derive the CLE value, we measured NH<sub>3</sub>  
37 concentrations for 1 year at a distance gradient in the forest surrounding a point  
38 source (cattle farm) and used diversity changes of lichen functional groups to indicate  
39 the onset of adverse effects. We estimate a NH<sub>3</sub> CLE threshold of 2.6 µg m<sup>-3</sup>, a value  
40 that is higher than that reported in other Mediterranean ecosystems and suggests that  
41 the site has been already impacted by NH<sub>3</sub> pollution in the past. In a more general  
42 context, this study confirms the validity of lichen functional groups to derive CLEs in  
43 Mediterranean forests and woodlands and contribute to the body of knowledge  
44 regarding the impacts of NH<sub>3</sub> on ecosystems.

45

46 **Key-words:** Critical levels; ammonia; ecological indicators; lichen functional groups;  
47 Mediterranean; *Quercus ilex* forest, N pollution

48

49 **1. Introduction**

50 Anthropogenic changes in the nitrogen (N) cycle are considered one of the major global  
51 threats to the sustainability of our planet (Rockström et al., 2009). Ammonia (NH<sub>3</sub>)  
52 emissions contribute to this general increase of reactive nitrogen cycling in the  
53 biosphere, causing changes in biodiversity and ecosystem functioning (Galloway et al.,  
54 2003; Galloway and Cowling, 2002), and affecting human health, mostly due to the  
55 NH<sub>4</sub><sup>+</sup> formation of health-impairing fine particles (Bell et al., 2007). Most NH<sub>3</sub> emissions  
56 to the atmosphere are due to intensive livestock farming, animal waste storage and  
57 fertilizer application to open fields. However, NH<sub>3</sub> gas has a low residence time in the  
58 atmosphere and is either quickly deposited in the vicinity of point sources (Asman et  
59 al., 1998) or converted into ammonium nitrate and sulfate fine particles that travel for  
60 long distances (Finlayson-Pitts and Pitts Jr, 1999).

61

62 To protect ecosystems and human health from the impacts of excessive N, several  
63 abatement measures have been proposed under the UN framework (Convention on  
64 Long-Range Transboundary Air Pollution, CLRTAP). The development of such emissions  
65 abatement policies is underpinned by the concept of Critical Level (CLE), which is  
66 defined as “the concentrations above which direct adverse effects on receptors, such  
67 as plants, ecosystems or materials, may occur according to the present knowledge”  
68 (Posthumus, 1988). A recent revision of NH<sub>3</sub> CLEs proposed a value of 3 µg m<sup>-3</sup> to  
69 protect vegetation, and 1 µg m<sup>-3</sup> to protect lichens and bryophytes (Cape et al., 2009).  
70 This was done since some studies demonstrated that the previously accepted value for  
71 CLE in CLRTAP (8 µg m<sup>-3</sup>) would not protect ecosystems functions.

72

73 Most studies on the N effects on ecosystems refer to temperate and boreal  
74 ecosystems. Mediterranean ecosystems are regarded as hotspots of biodiversity  
75 (Myers et al., 2000), and some studies have shown that they are particularly vulnerable  
76 to the increase of excessive N (Ochoa-Hueso et al., 2011; Phoenix et al., 2006). Still,  
77 studies about the effects of N in Mediterranean ecosystems remain underrepresented.

78

79 Evidence of long-term changes in lichen and bryophyte communities exposed to  
80 different  $\text{NH}_3$  concentrations showed that they are within the most sensitive  
81 components of terrestrial ecosystems (Cape et al., 2009). Lichens depend on wet and  
82 dry atmospheric deposition for their nutritional requirements and their growth  
83 depends on atmospheric nitrogen; however, different species have different  
84 nutritional requirements. Under increasing  $\text{NH}_3$  levels, N-sensitive lichen species  
85 cannot thrive, being replaced by N-tolerant communities. In addition, ammonia  
86 deposition on the tree bark raises its pH, accelerating the replacement of lichen  
87 species (Van Herk, 1999). For these reasons, several works in Europe and North  
88 America have used epiphytic lichens as ecological indicators of the effects of  
89 atmospheric  $\text{NH}_3$  (Pinho et al., 2008; 2009; Sparrius, 2007; Van Dobben and Ter Braak,  
90 1998; Van Herk, 1999). It is currently accepted that functional groups, rather than total  
91 lichen species richness, should be used to interpret  $\text{NH}_3$  effects at the ecosystem level  
92 (Fenn et al., 2008; Pinho et al., 2012a). This approach is based on species specific  
93 sensitivities to N. Lichens species can be classified into functional groups according to  
94 their tolerance to eutrophication: oligotrophic (sensitive), mesotrophic (intermediate  
95 sensitive) and nitrophytic (tolerant). A similar classification has been used by other  
96 authors using the terms acidophyte, neutrophyte and nitrophyte lichens (Fenn et al.,

97 2008; Geiser et al., 2010; Geiser and Neitlich, 2007; Jovan, 2008; Sparrius, 2007; Van  
98 Herk, 2001).

99

100 CLE thresholds have been proposed for Mediterranean evergreen open woodlands  
101 based on functional changes in lichen communities in Portugal (Pinho et al., 2011;  
102 2012; 2014a; 2014b). Still, more research is warranted in this region to characterize  
103 the response of other ecosystem types such as dense forests. In Spain, NH<sub>3</sub> emissions  
104 are closely linked to agricultural activities. At a national level, Spanish NH<sub>3</sub> emissions  
105 were 384 Gg the period 2008-2012, 13% higher than in 1980-1985 (Aguillaume et al.,  
106 2016a). Despite this, little research has been carried out to assess the effects of this  
107 pollutant in Spanish forest ecosystems.

108

109 Our aim in this work is to estimate the empirical CLE of atmospheric NH<sub>3</sub> for a  
110 Mediterranean holm-oak (*Quercus ilex* L.) forest in NE Spain, thereby validating the use  
111 of lichen functional groups in this type of Mediterranean forests. This was done by  
112 sampling atmospheric NH<sub>3</sub> and lichen functional diversity in a closed canopy  
113 Mediterranean evergreen holm-oak forest in Catalonia (NE Spain), at increasing  
114 distances from a cattle barn point-source of ammonia.

115

## 116 **2. Material and methods**

### 117 **2.1. Study area**

118 The study was carried out in a holm-oak forest in NE Spain, 65 km away from  
119 Barcelona, and close to the Montseny Mountains. The forest surrounds a barn of ~130  
120 beef cattle, permanently housed in an area of 1500 m<sup>2</sup>. Holm-oak forests are the

121 dominant vegetation type in the region, which has a humid continental Mediterranean  
122 climate. The 15 sampling sites were located at increasing distances from the point  
123 source, from adjacent to the barn up to a maximum distance of 620 m (Fig. 1). The  
124 distance between points ranged between 30 and 200 m. A higher density of sampling  
125 points was devised near the barn in order to obtain a good coverage at the vicinity of  
126 the point source. Ammonia gas has a low residence time in the atmosphere being  
127 quickly deposited in the vicinity of point sources, usually in less than 1000 m (Asman et  
128 al., 1998), depending on the source intensity, wind direction and land-cover. Here the  
129 maximum distance was enough to characterize the gradient of effects, as the NH<sub>3</sub>  
130 concentrations did not change much after 300 m. Sampling sites were located  
131 downwind of the prevailing winds of the area and their altitude varied between 618  
132 and 690 m. Meteorological data were not available at the sampling sites and were  
133 retrieved from the nearest meteorological station, Sant Julià de Vilatorça  
134 ([www.meteovilatorca.cat](http://www.meteovilatorca.cat)), which is located 3.2 km away from the sampling area, and  
135 thus is considered representative of the meteorological conditions of the study sites.  
136 For the study period (January 2013 to January 2014), total annual rainfall was 868 mm,  
137 mean annual temperature was 11°C, at Sant Julià de Vilatorça. Dominant winds in the  
138 area were from the southwest (<http://www.idescat.cat/pub/?id=aec&n=214>). The  
139 study year was characterized by a wet spring and lower than usual rainfall in autumn  
140 (Fig. 2). Temperature showed the typical shape of highest values in July and August of  
141 the Mediterranean climate (Fig. 2).

142

143 Total inorganic nitrogen deposition at La Castanya station (Montseny) which is located  
144 at a linear distance of 15 km from the study site was  $12.3 \text{ kg N ha}^{-1} \text{ yr}^{-1}$  (Aguillaume et  
145 al., 2017).

## 146 **2.2. Ammonia measurements**

147 From 28 January 2013 to 22 January 2014,  $\text{NH}_3$  concentrations were measured using  
148 high-sensitivity ALPHA and Radiello© passive diffusion samplers at 15 points  
149 downwind of the barn (Fig. 1). ALPHA samplers are user-manufactured devices  
150 composed of a polyethylene PTFE tube containing an external membrane through  
151 which air flows to a collection filter coated with citric acid (Tang et al., 2001). ALPHA  
152 passive samplers were prepared at CREAM following the procedure described in Tang et  
153 al. (2001). The Radiello passive samplers are commercially available and their reliability  
154 has been tested by the European Reference Laboratory for Air Pollution (ERLAP).  
155 Radiello diffusion tubes were deployed at 6 sites in parallel with ALPHA samplers.  
156 Samplers were attached to trees approximately 2 m above the ground. Both type of  
157 samplers were collected and replaced by a new kit every 2 weeks (occasionally 3  
158 weeks), to a total of 22 sampling periods along the study period. The concentrations  
159 measured by the two systems were highly correlated ( $R^2=0.98$ ;  $p<0.0001$ ,  $n=133$ ),  
160 indicating that concentrations measured with ALPHA samplers were appropriate (as  
161 compared with the commercial Radiello samplers) for deriving  $\text{NH}_3$  concentrations.  
162 The reported  $\text{NH}_3$  concentrations were the average of the 2 samplers for the 6  
163 replicated sites and ALPHA concentrations for the remaining 9 sites. Biweekly data  
164 were averaged to produce monthly mean concentrations.

165

166 To extract NH<sub>3</sub> from Radiello samplers, the specifications from Radiello were followed  
167 (Fondazione Salvatore Maugeri, 2006), while for ALPHA samplers the protocol  
168 described in Tang et al. (2001) was used. Ammonium in the extracts was analyzed by  
169 colorimetry with Flow Injection Analysis (Tecator®). Detection limit was 2 µeq L<sup>-1</sup> (0.04  
170 mg NH<sub>4</sub><sup>+</sup> L<sup>-1</sup>). During every exposure period, unexposed samplers were used as travel  
171 blanks for each type of passive sampler (n=24) and these data were used to discount  
172 contamination during transport (blank concentrations were 1-15% compared to  
173 sample concentrations). After collection, all samples were kept refrigerated (4°C) in  
174 darkness until analysis was performed.

175

### 176 **2.3. Lichen survey, identification and classification**

177 Holm oak trees adjacent to the air NH<sub>3</sub> sampling tree were selected for the lichen  
178 biodiversity survey. An average of 4 trees was inventoried in the vicinity of each NH<sub>3</sub>  
179 sampling tree, except for the site closest to the farm where no trees were available for  
180 sampling (Fig. 1). The lichen biodiversity measurement followed a standard protocol  
181 which was designed to give a lichen diversity value, LDV, that takes into account  
182 species richness and frequencies (Asta et al., 2002). Whenever possible, species were  
183 identified in the field but doubtful species were determined in the laboratory.  
184 Specimens only identified at genus level were not used in this study.

185

186 The approach used in our work was based on the classification regarding  
187 eutrophication tolerance developed by Nimis and Martellos (2008) for Italy, a  
188 procedure that has also been successfully applied for Portugal (Pinho et al., 2012a).  
189 Pinho et al. (2011) validated Nimis and Martellos (2008) classification for sensitive and



190 tolerant lichen species by ranking them along a measured long term NH<sub>3</sub> atmospheric  
191 concentration gradient, and two lichen species were reclassified. Here, we followed  
192 Nimis and Martellos (2008) classification with Pinho et al. (2011) corrections, and  
193 applied this classification for the first time in Spain. Species with values from 4 to 5  
194 were classified as nitrophytic (LDVnitro), species with values of 3 were classified as  
195 mesotrophic (LDVmeso) and species with a value up to 2 were classified as oligotrophic  
196 (LDVoligo). We considered the highest value given in this classification for each lichen  
197 species recorded. Several lichen-variables were calculated: (1) species richness  
198 corresponded to the total number of species, (2) total lichen diversity value (LDVtotal)  
199 corresponded to the sum of all species frequencies in each tree (Asta et al., 2002). The  
200 lichen diversity value was also calculated distinguishing for eutrophication tolerance  
201 functional group by summing the species frequencies of each functional group. In that  
202 manner, oligotrophic, nitrophytic and mesophytic LDV indices (LDVoligo, LDVnitro, and  
203 LDVmeso, respectively) were obtained. Functional group values were relativized as  
204 percentage of the total diversity value (LDVtotal) for each tree.

205

206 Although Nimis and Martellos (2008) classification refers to the Italian flora, it is also  
207 suitable for this work. Firstly, species found in our study are relatively ubiquitous, and  
208 occur also in Italy. Secondly, both areas share a Mediterranean climate type and the  
209 same database classification has been used successfully in other areas with a  
210 Mediterranean climate (Pinho et al., 2011). Finally, the accuracy of species  
211 classification into functional groups was checked with a principal component analysis  
212 applied on a matrix of the species frequencies for each tree, considering only species  
213 appearing in more than 3 trees. The relative location of species in the first axis of the

214 ordination agreed well with species classification derived from Nimis and Martellos  
215 (2008), confirming the given functional group (data not shown) and assuring a correct  
216 classification of species into functional groups in our study area.

217

#### 218 2.4. Statistical analysis

219 Because we had more sampled trees than Alpha samplers, trees nearest to each Alpha  
220 sampler were grouped and their lichen variables values were averaged. Annual NH<sub>3</sub>  
221 concentrations at each site were obtained by averaging all samplings along the study  
222 period. The lichen descriptors were plotted against annual atmospheric NH<sub>3</sub>  
223 concentrations of the sampled sites along the gradient (n=15).

224

225 CLEs were obtained following the proposal of Cape et al. (2009), with the adaptation  
226 for lichen LDVs developed by Pinho et al. (2012). The procedure is based in a linear  
227 regression fit between NH<sub>3</sub> concentrations and lichen LDV values. Because the CLE  
228 corresponds to the concentration of atmospheric NH<sub>3</sub> above which direct adverse  
229 effects may occur according to present knowledge (Cape et al., 2009), the NH<sub>3</sub>  
230 concentration at the first point with altered lichen values was considered to estimate  
231 the critical level. In order to provide a conservative estimate (i.e. not to underestimate  
232 this values), the CLE were determined considering the 95% confidence band of the  
233 regression (Cape et al., 2009). In order to ensure a linear fit the NH<sub>3</sub> concentration  
234 values were log transformed. Statistical analyses were performed with Statistica  
235 (StatSoft 2004) and Sigmaplot 11.0 (Systat Software Inc., San Jose, CA, USA).

236

237

238 3. Results

239 3.1. Ammonia concentrations

240 3.1.1 Temporal variability

241 Monthly atmospheric NH<sub>3</sub> concentrations averaged for the 15 sites downwind from  
242 the barn varied between 5.6 and 12.7 µg m<sup>-3</sup>, with the highest NH<sub>3</sub> concentrations  
243 occurring at the end of summer and autumn (Table 2), a period in the year of high  
244 temperatures and low precipitation (Fig. 2). However, meteorological variables  
245 seemed not to have an effect on this pattern, since linear correlation was non-  
246 significant for NH<sub>3</sub> concentrations vs. precipitation ( $r = -0.25$ ;  $p = 0.43$ ) and mean  
247 temperature ( $r = 0.19$ ;  $p = 0.49$ ).

248

249 The highest concentrations corresponded to the site closest to the farm which varied  
250 between 27.0 and 72 µg m<sup>-3</sup> along the year while the lowest were at the furthest site  
251 from the farm, ranging from 0.9 to 3.2 µg m<sup>-3</sup> (Table 3).

252

253 3.1.2 Spatial variability

254 Site averaged NH<sub>3</sub> concentrations for the sampling period plotted against distance to  
255 the barn showed an exponential decrease with increasing distance, with values around  
256 2-3 µg m<sup>-3</sup> at distances greater than 300 m, with a minimum of 1.8 µg m<sup>-3</sup> at 615 m  
257 from the barn (Table 3; Fig. 3). The lowest value was about double than the average  
258 NH<sub>3</sub> concentrations reported from La Castanya background rural site in Montseny (0.7  
259 µg m<sup>-3</sup>; García-Gómez et al., 2016) which is 15 km distant from the study site.

260

261

### 262 3.2. Lichen functional diversity

263 A total of 53 species were recorded in this study (Table 2): 13 were nitrophytic, 18  
264 mesotrophic and 22 oligotrophic. Total LDV ranged from 21 to 83, LDVnitro varied  
265 from 3 to 75, LDVmeso ranged from 0 to 18 and LDVoligo ranged from 1 to 21. Most of  
266 the species observed were crustose lichens (54%), with only a few squamulose and  
267 leprose species (6%), while the remaining species were foliose (30%) and fruticose  
268 species (10%). On average, the LDV of crustose species comprised about 47% of total  
269 LDV, while fruticose species was on average only 1% of total LDV. The most frequent  
270 species (>50% occurrence) were *Candelaria concolor*, *Flavoparmelia caperata*,  
271 *Hyperphyscia adglutinata*, *Lecanora chlarotera*, *Pertusaria amara* and *Phlyctis argena*.

272

273 Species richness and total LDV were not significantly related with average NH<sub>3</sub>  
274 concentrations (Fig. 4). By contrast, lichen functional variables were significantly  
275 related to average NH<sub>3</sub> concentrations, especially the nitrophytic functional group (Fig.  
276 5). LDVoligo and LDVmeso were significantly and negatively correlated with NH<sub>3</sub>  
277 concentrations, while LDVnitro showed a significant positive relationship (Fig. 5).

278

279 The critical levels of atmospheric ammonia were calculated taking into consideration  
280 the first point with an altered biodiversity, starting on the lowest concentration of NH<sub>3</sub>  
281 (Cape et al., 2009; Pinho et al., 2012). Because there are no background levels for  
282 biodiversity in this region, the values obtained were compared to other studies in the  
283 Iberian Peninsula (Pinho et al., 2011; 2012; 2014b). For both oligotrophic and  
284 nitrophytic functional groups, the first point (at the lowest NH<sub>3</sub> concentration) was  
285 considered to represent background biodiversity values (unaltered) and thus the

286 second point was considered altered. This resulted in CLE values of less than 3.1 and  
287 2.6  $\mu\text{g m}^{-3}$  for the oligotrophic and nitrophytic functional groups, respectively (Fig. 6).  
288 The difference between the two CLE values was within the confidence bands interval,  
289 and for that reason the values were not considered to be different from each other.

290

#### 291 **4. Discussion**

292 CLE values were calculated based on lichen functional groups related to nitrogen  
293 tolerance. The good correlations obtained for oligotrophic and nitrophytic functional  
294 groups confirmed the validity of using nitrogen functional groups to derive CLEs for  
295 semi-natural holm-oak Mediterranean forests. The  $\text{NH}_3$  CLE value was estimated to be  
296 2.6 – 3.1  $\mu\text{g m}^{-3}$  depending on the lichen functional group considered.

297

298 Similar to earlier works, total lichen diversity metrics (LDV<sub>total</sub> and species richness)  
299 were poorly related to atmospheric  $\text{NH}_3$  concentrations (Pinho et al., 2008; Van  
300 Dobben and Ter Braak, 1998). Though total diversity has been successfully used for  
301 monitoring atmospheric pollutants that similarly affect various species (Asta et al.,  
302 2002; Giordani, 2007; Pinho et al., 2008; Svoboda, 2007), these metrics fail to assess  
303 the effects of atmospheric  $\text{NH}_3$ , as seen here. This happens because lichen species  
304 have different degrees of tolerance to nitrogen, which, despite N being a nutrient, can  
305 become toxic at high concentrations. By contrast, lichen functional groups based on  
306 this differential tolerance to eutrophication were significantly related with  $\text{NH}_3$   
307 atmospheric concentrations (Fig. 5). This confirms the value of functional group  
308 metrics as ecological indicators of nitrogen deposition (Giordani, 2007; Giordani et al.,  
309 2014; Pinho et al., 2011; 2014a; 2014b).

310

311 Our proposed CLEs were slightly higher than: i) the recommended CLE at the European  
312 level ( $1 \mu\text{g m}^{-3}$ ); ii) the previous value reported for a Portuguese semi-natural area in  
313 an open cork oak woodland ( $1.9 \mu\text{g m}^{-3}$ ; Pinho et al., 2011), iii) the recent values  
314 obtained for remote areas in Portugal,  $0.6 \mu\text{g m}^{-3}$  (Pinho et al., 2014a). However, the  
315 value is similar to the  $2.5 \mu\text{g m}^{-3}$  NOEC (No Observable Effect Concentration) suggested  
316 for an area influenced by a pig farm in Italy (Fрати et al., 2007). This may be indicative  
317 that we are in an environment with a high pollution background. In fact, the lowest  
318  $\text{NH}_3$  concentrations found in our work ( $1.8 \mu\text{g m}^{-3}$ ) were above the background levels  
319 registered for European remote areas ( $1 \mu\text{g m}^{-3}$ ; Cape et al., 2009), for Portugal ( $1.4 \mu\text{g}$   
320  $\text{m}^{-3}$ ; Pinho et al., 2012) and NE Spain ( $0.7 \mu\text{g m}^{-3}$ , García-Gómez et al., 2016) The higher  
321 CLE values we obtained, related to Portugal, may be explained by the background high  
322 emissions in the region surrounding the sampling area, located in the Plana de Vic  
323 region which has a tradition of intense pig and cow farming and extended agricultural  
324 activity (Otero et al., 2009). Further,  $\text{NO}_x$  emissions from traffic and from the industrial  
325 activity originated in the nearby city of Vic (7 km; 41.627 inhabitants in 2014), may add  
326 to the N pollution load of this region, and to the study site in particular. In fact,  $\text{NO}_2$   
327 emissions measured at an air quality station located 11 km up north of the study area  
328 amounted to  $22 \mu\text{g m}^{-3}$  in 2013, one of the highest values recorded by the Catalan Air  
329 Quality Network (Xarxa de Prevenció i Vigilància de la Contaminació Atmosfèrica,  
330 Generalitat de Catalunya 2014). Hence, some past eutrophication might have occurred  
331 in the studied forest that together with other pollutants emitted by industries from the  
332 region contributed to the high background pollution.

333

334 The high background pollution in our study area resulted in similar CLEs based on  
335 oligotrophic and nitrophytic functional groups (Fig. 6). This may be the result of the  
336 loss of the most sensitive species due to the high background pollution. An exhaustive  
337 work on epiphytic lichens in this region's holm oak forests proposed, among other  
338 things, a list of species that indicate the conservation status of these Mediterranean  
339 forests (Longán, 2006). From the 23 species indicators of high conservation status, only  
340 12 were found in our inventories. Even if we take into consideration that some species  
341 may be absent due to geographic location (i.e. located in coastal or inland regions, or  
342 on mountains), some sensitive species still seem to have disappeared from what is  
343 considered a high quality preserved forest in this region. Due to the uncertainty  
344 derived from the probable loss of N-sensitive oligotrophic species in this forest, we  
345 considered the nitrophytic lichen functional group as more reliable for the indication of  
346 CLEs. Thus, we propose a CLE value of  $2.6 \mu\text{g m}^{-3}$ .

347

348 Our results suggest that the CLE may represent, like in the case of the mentioned  
349 Italian pig farm (Fрати et al., 2007), a CLE for an already impacted area. The CLE value  
350 obtained in our study, clearly distinct from other studies, show the importance of  
351 assessing CLEs under different environmental conditions.

352

353 Climate has also been shown to influence the determination of ammonia CLEs as it  
354 determines dry and wet deposition rates, eventually resulting in different N loads and  
355 effects on ecosystems (Jovan et al., 2012). Likewise, the type of forest structure may  
356 also influence CLEs determination. For example, in closed canopy dense forests,  
357 ammonia may take longer to enter the system and its deposition rate may be lower

358 due to the barrier and filter effects of the dense tree cover, resulting in higher CLEs  
359 when comparing to ecosystems composed of sparse tree cover (Pinho et al. 2011). Our  
360 results suggest that background pollution was the main factor for CLEs determination.  
361 Probable high historical background levels at the site produced an impacted  
362 environment where the most sensitive species had already been lost, and  
363 consequently, high CLE values were found. The role of environmental conditions on  
364 CLE determination has been previously highlighted by other authors (Cape et al. 2009),  
365 and point to the need of further research, like the one here presented, focusing on  
366 different types of ecosystems and different environmental conditions.

367

368 Ammonia air concentrations showed a typical exponential decrease with distance from  
369 the barn, reaching stable low values in less than 1 km from the point source. This is in  
370 agreement with previous works showing that  $\text{NH}_3$  is deposited in the vicinity of point  
371 sources and has a low residence time in the air (Frati et al., 2007; Pitcairn et al., 1998;  
372 Sanz et al., 2007). We did not find any clear seasonal trend for  $\text{NH}_3$  atmospheric levels  
373 during an annual period, nor close correlations with meteorological variables. Seasonal  
374 increases of  $\text{NH}_3$  atmospheric concentrations have been reported due to  $\text{NH}_3$   
375 volatilization in response to temperature raise during the warm season (Behera et al.,  
376 2013; Sommer et al., 1991) and due to higher microbiological activity during rainy  
377 periods (Kumar et al., 2004), but such a pattern was not observed in this study.

378

## 379 5. Conclusions

380 The atmospheric  $\text{NH}_3$  CLE for a semi-natural Mediterranean evergreen forest in NE  
381 Spain was determined to be 2.6- 3.1  $\mu\text{g m}^{-3}$ , based on lichen functional diversity. Such



382 values are higher than the currently accepted European CLE of  $1 \mu\text{g m}^{-3}$  (Hallsworth et  
383 al., 2010) and than previous CLEs reported for open woodlands in western Iberian  
384 Peninsula obtained with the same methodology (Pinho et al., 2012; 2014a). The values  
385 proposed represent the CLE for an impacted ecosystem due to an historical N exposure  
386 from farming and agriculture. Nonetheless, determination of this value is still locally  
387 very important to establish a protective threshold to that vegetation type. These  
388 results are also of international relevance since they demonstrate that lichen  
389 functional groups can be used to derive CLEs at a wide range of ecosystems,  
390 independently of its forest structure, and because they contribute to the growing body  
391 of knowledge regarding the direct impacts of atmospheric ammonia on the  
392 understudied Mediterranean ecosystems.

393

#### 394 **Acknowledgements**

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401

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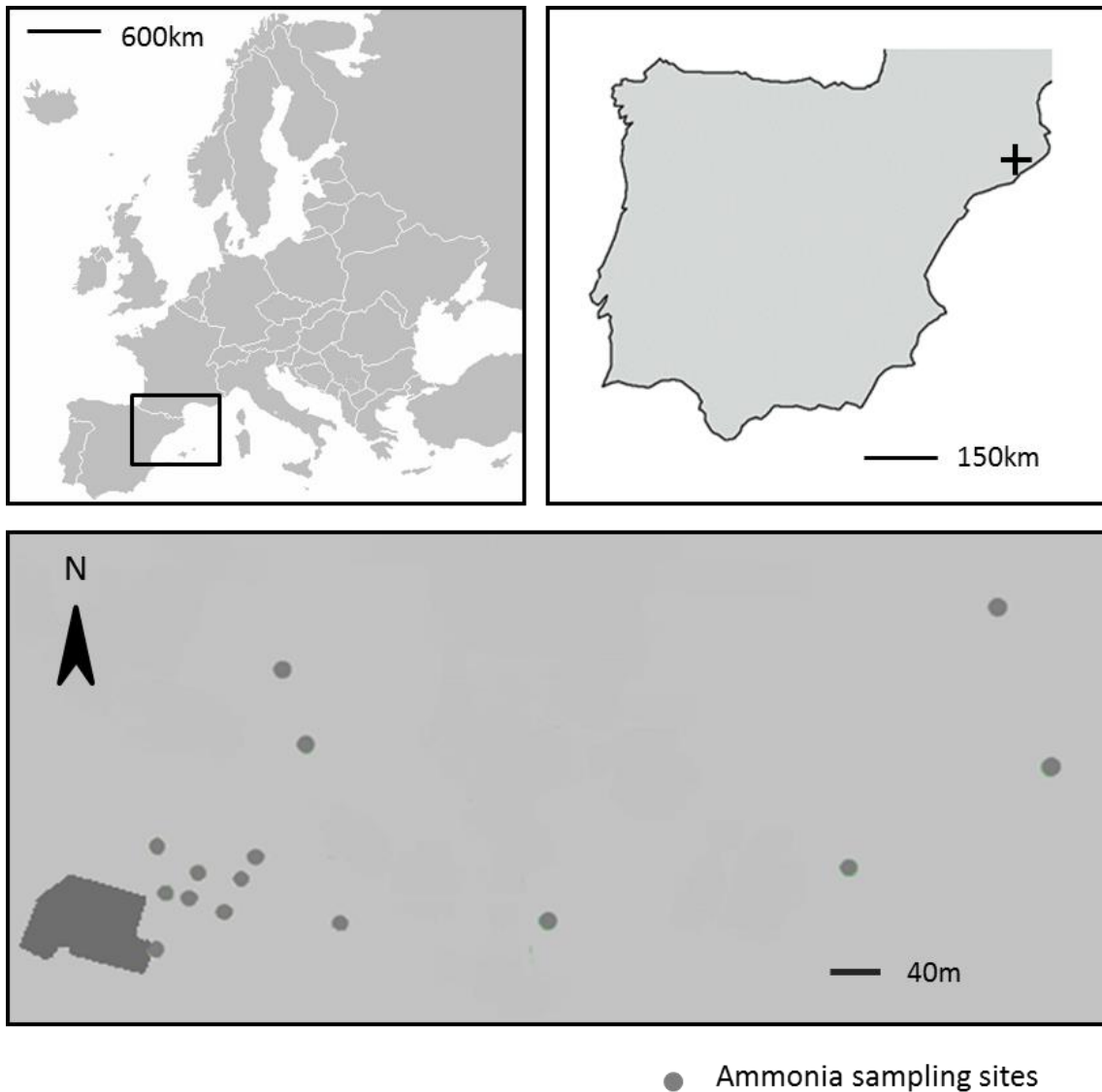
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592 **Fig. 1.** Above: Location of the study area in Europe and Spain (+). Down: Location of  
593 the ammonia sampling sites (dots) in the distance gradient to the barn (shaded area).  
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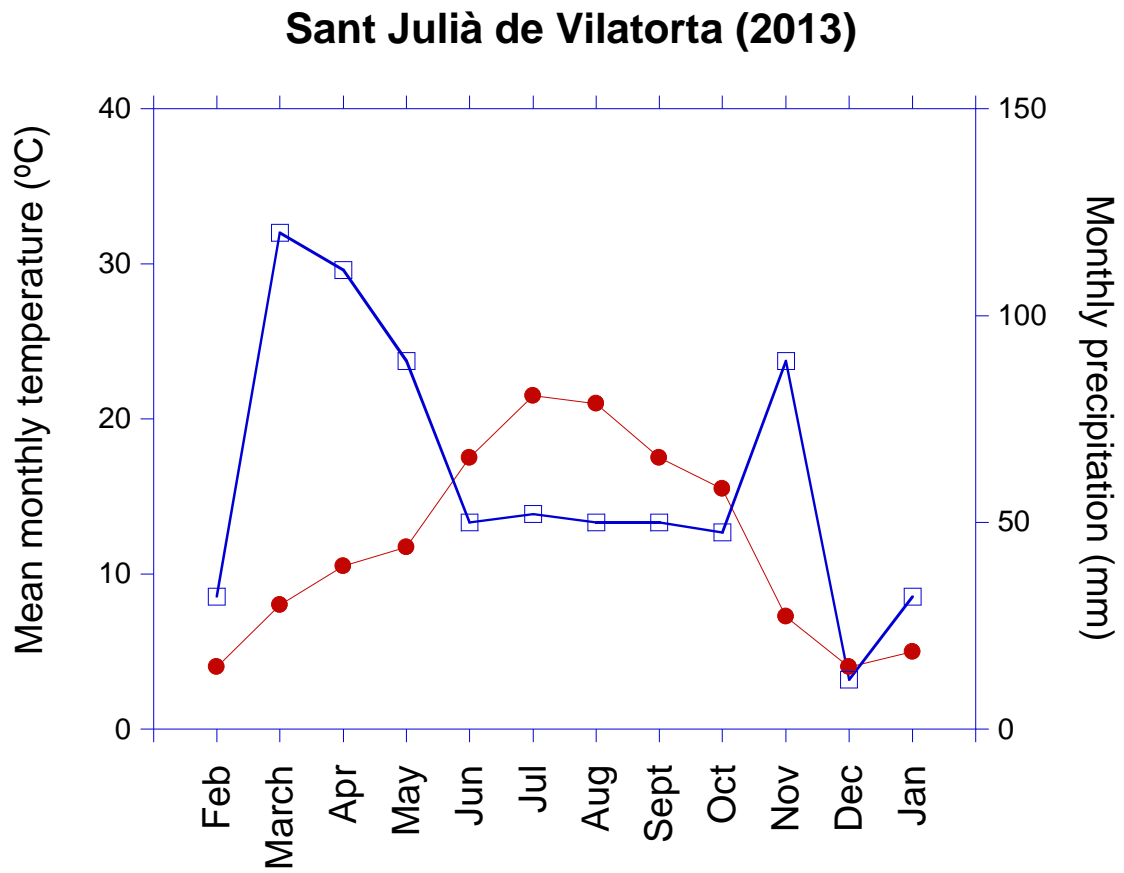
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604 **Fig. 2.** Mean monthly temperature (°C) and monthly precipitation (mm) at Sant Julià de  
605 Vilatorça Meteorological Station for the study period (February 2013 to January 2014).

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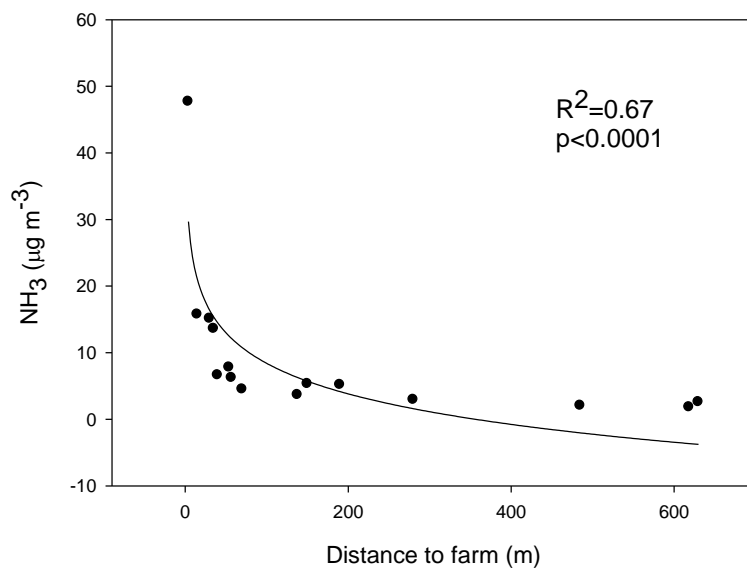
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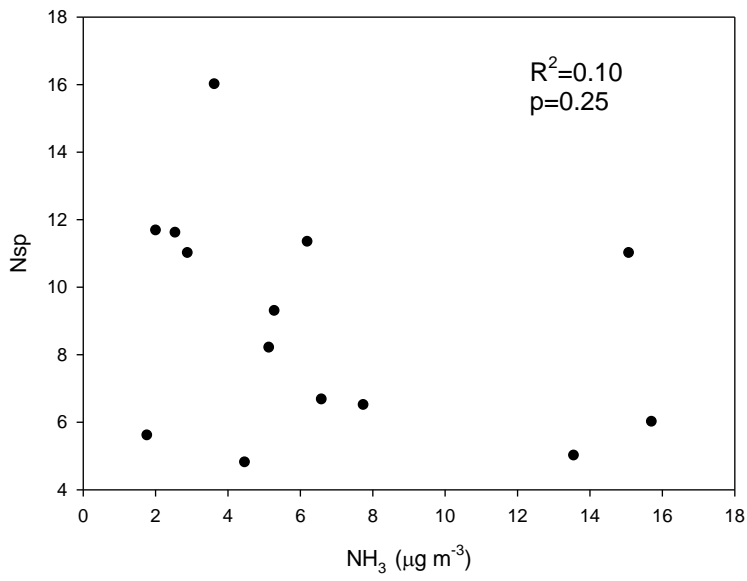
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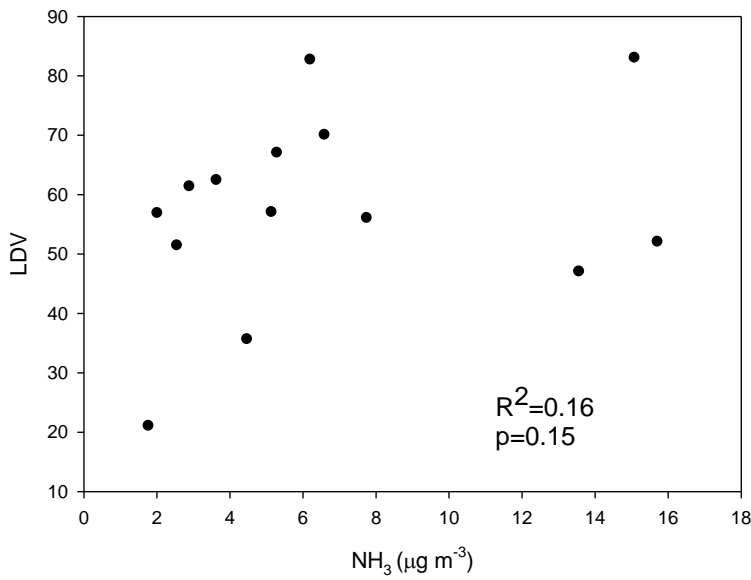
614 **Fig. 3.** Relationship between NH<sub>3</sub> air concentrations (µgm<sup>-3</sup>) and distance to the farm  
615 point source (n=15).

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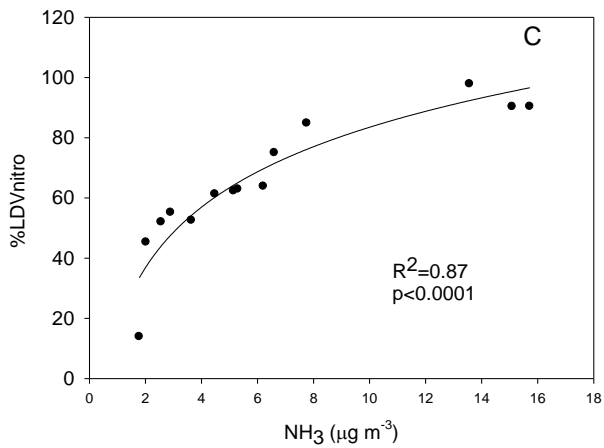
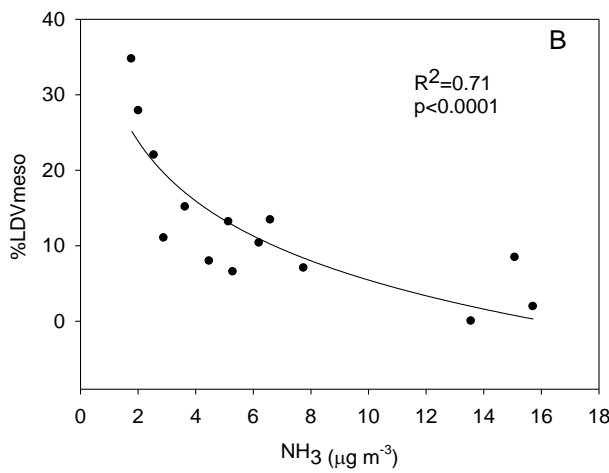
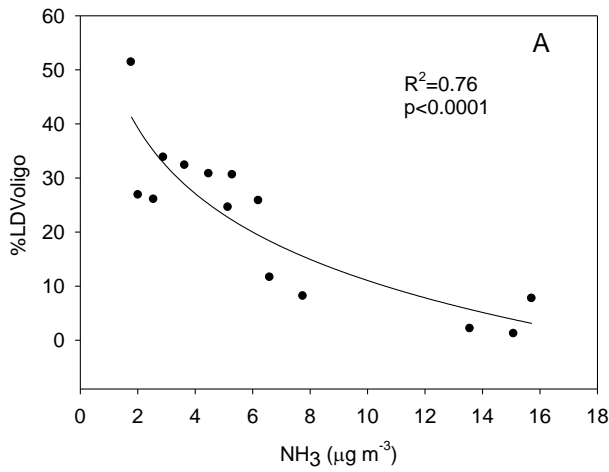


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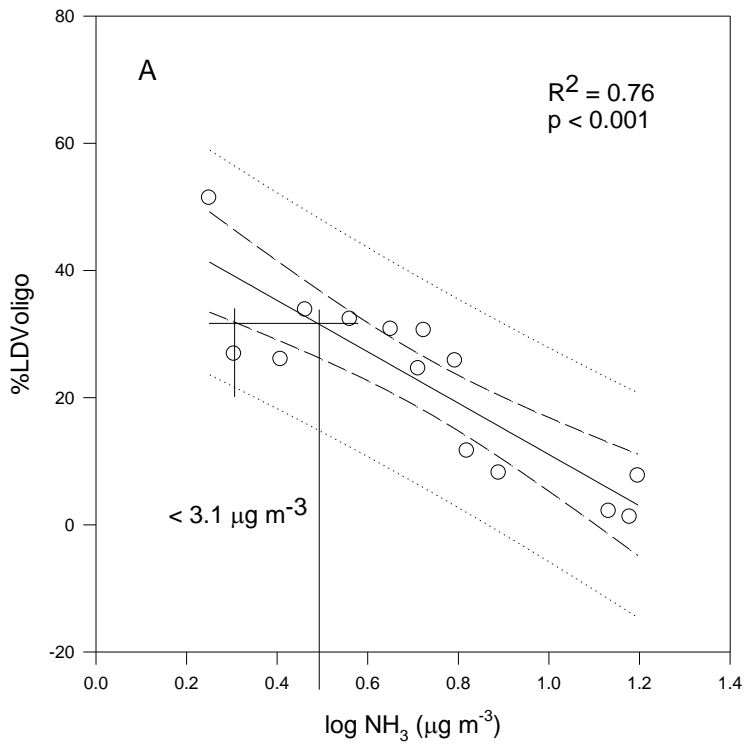


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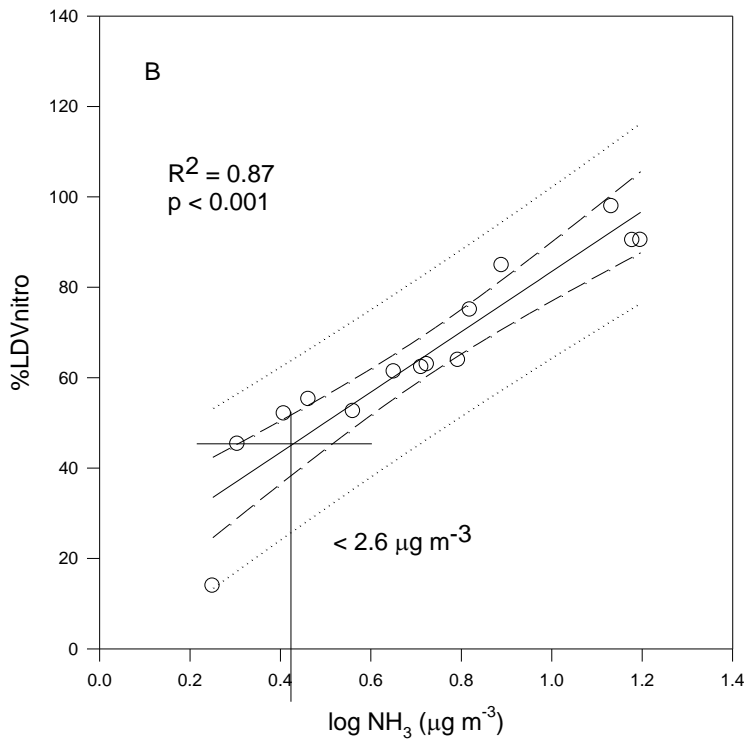
619 **Fig. 4.** Relationship between  $\text{NH}_3$  air concentrations ( $\mu\text{g m}^{-3}$ ) and number of species (N  
620 sp) and total lichen diversity value (LDV).



624 **Fig. 5.** Relationship between NH<sub>3</sub> air concentration (µg m<sup>-3</sup>) and relative lichen diversity  
 625 values for oligotrophic (% LDV<sub>oligo</sub>, A), mesotrophic (% LDV<sub>meso</sub>, B) and nitrophytic (%  
 626 LDV<sub>nitro</sub>, C) functional groups (n=14).  
 627



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630

631 **Fig. 6.** Determination of the critical levels of atmospheric NH<sub>3</sub> considering the  
 632 oligotrophic (A) and nitrophytic (B) functional groups. Annual mean NH<sub>3</sub>  
 633 concentrations (μg m<sup>-3</sup>) were log transformed (n=14).

634

635 **Tables**

636

637 **Table Table 1.** Lichen species found on *Quercus ilex* with indication of the maximum  
638 eutrophication tolerance index (Nimis and Martellos 2008), and if these species are  
639 an indicator of high (H) or low (L) conservation forest.

640

Species name	Eutrophication tolerance index	Indicator of state of conservation forest
<i>Acrocordia gemmata</i> (Ach.) A. Massal.	1	
<i>Agonimia tristicula</i> (Nyl.) Zahlbr.	1	H
<i>Anaptychia ciliaris</i> (L.) Körb.	3	
<i>Arthonia radiata</i> (Pers.) Ach.	3	
<i>Bacidia polychroa</i> (Th.Fr.) Körb.	1	
<i>Biatoridium monasteriense</i> Körb	2	
<i>Caloplaca ferruginea</i> (Huds.) Th.Fr.	3	
<i>Caloplaca pollinii</i> (A.Massal.) Jatta	3	L
<i>Candelaria concolor</i> (Dicks.) Stein	5	
<i>Candelariella xanthostigma</i> (Ach.) Lettau	3	
<i>Chrysothrix candelaris</i> (L.) Laundon *	3	
<i>Dimerella pineti</i> (Ach.) Vězda	2	
<i>Enterographa crassa</i> (DC.) Fée	2	H
<i>Evernia prunastri</i> (L.) Ach.	3	
<i>Flavoparmelia caperata</i> (L.) Hale	3	
<i>Flavoparmelia soledians</i> (Nyl.) Hale	3	L
<i>Graphis scripta</i> (L.) Ach.	2	H
<i>Gyalecta truncigena</i> (Ach.) Hepp	2	H
<i>Hyperphyscia adglutinata</i> (Flörke) Mayrh. &Poelt	5	L

<i>Hypogymnia physodes</i> (L.) Nyl.	2	
<i>Lecanographa amylacea</i> (Pers.) Egea & Torrente	1	
<i>Lecanora carpinea</i> (L.) Vain.	3	
<i>Lecanora chlarotera</i> Nyl.	5	L
<i>Lecanora conisella</i> Nyl.	2	
<i>Lecanora horiza</i> (Ach.) Linds.	3	
<i>Lecanora hybocarpa</i> (Tuck.) Brodo	2	L
<i>Lecanora strobilina</i> (Spreng.) Kieff.	1	
<i>Lecidella elaeochroma</i> (Ach.) M.Choisy	4	L
<i>Lepraria incana</i> (L.) Ach.	2	H
<i>Lepraria lobificans</i> Nyl.	2	H
<i>Melanelixia fuliginosa subsp. glabratula</i> (Duby) O. Blanco, A. Crespo, Divakar, Essl., D. Hawksw. &Lumbsch	3	
<i>Melanelixia subaurifera</i> (Nyl.) O. Blanco, A. Crespo, Divakar, Essl., D. Hawksw. & Lumbsch	3	
<i>Normandina pulchella</i> (Borrer) Nyl.	3	H
<i>Opegrapha atra</i> Pers.	2	
<i>Opegrapha rufescens</i> Pers.	1	
<i>Opegrapha varia</i> Pers.	2	H
<i>Opegrapha viridis</i> (Ach.) Behlen & Desberger	1	
<i>Parmelia sulcata</i> Taylor	3	
<i>Parmotrema perlatum</i> (Huds.) M.Choisy	2	

<i>Pertusaria albescens</i> (Hudson) M.Choisy & Werner	3	
<i>Pertusaria amara</i> (Ach.) Nyl.	3	
<i>Pertusaria hemisphaerica</i> (Flörke) Erichsen	2	
<i>Pertusaria pertusa</i> (Weigel) Tuck.	2	
<i>Phaeophyscia chloantha</i> (Ach.) Moberg	4	
<i>Phaeophyscia hirsuta</i> (Mereschk.) Essl.	4	
<i>Phaeophyscia orbicularis</i> (Necker) Moberg	5	
<i>Phlyctis agelaea</i> (Ach.) Flot.	2	
<i>Phlyctis argena</i> (Spreng.) Flot.	2	H
<i>Physcia adscendens</i> (Fr.) Oliv.	5	L
<i>Physcia clementei</i> (Turner) Maas Geest.	3	
<i>Physconia distorta</i> (With.) J.R.Laundon	4	
<i>Physconia enteroxantha</i> (Nyl.) Poelt	4	
<i>Physconia grisea</i> (Lam.) Poelt	5	
<i>Physconia perisidiosa</i> (Erichsen) Moberg	3	
<i>Pleurosticta acetabulum</i> (Neck.) Elix & Lumbsch	3	
<i>Porina aenea</i> (Wallr.) Zahlbr.	1	H
<i>Pseudevernia furfuracea</i> (L.) Zopf v. <i>furfuracea</i>	2	
<i>Punctelia subrudecta</i> (Nyl.) Krog	3	
<i>Pyrenula chlorospila</i> Arnold	2	
<i>Ramalina canariensis</i> J.Steiner	4	
<i>Ramalina farinacea</i> (L.) Ach.	2	L
<i>Ramalina fraxinea</i> (L.) Ach.	3	

<i>Ramonia subsphaeroides</i> (Tav.) Vězda	-	H
<i>Schismatomma decolorans</i> (Sm.) Clauzade & Vězda	3	
<i>Strigula ziziphi</i> (A.Massal.) Cl.Roux&Sérus.	3	
<i>Thelopsis rubella</i> Nyl.	1	H
<i>Usnea rubicunda</i> Stirton	2	
<i>Xanthoria parietina</i> (L.) Th.Fr.	5	L

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641 \*value updated following Pinho et al., 2011.

642

643 **Table 2.** Basic statistics of monthly NH<sub>3</sub> concentrations of n= 15 sampling sites for the  
644 period February 2013 to January 2014. Concentration units = µg m<sup>-3</sup>.  
645

Month	Mean	St. dev.	Max.	Min.
Feb	10.2	7.7	27.0	1.9
March	9.4	11.7	47.3	1.1
Apr	10.1	9.6	40.3	2.0
May	5.6	9.0	36.7	0.9
Jun	8.2	10.3	42.8	1.5
Jul	7.9	10.0	40.7	1.7
Aug	11.8	14.7	59.6	1.8
Sept	10.6	13.9	56.9	1.9
Oct	12.7	17.8	72.3	1.4
Nov	7.9	9.5	40.8	2.3
Dec	9.9	13.9	57.2	0.6
Jan	7.9	9.9	42.2	2.2

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652 **Table 3.** Basic statistics of site NH<sub>3</sub> concentrations in a distance gradient from a point  
653 source for n=12 months (February 2013 to January 2014). Concentration units = µg m<sup>-3</sup>.  
654

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Distance (m)	Mean	Std. Dev.	Max.	Min.
1	47.0	12.3	72.3	27.0
5	15.7	3.7	21.5	9.5
5.5	14.8	4.0	20.7	8.7
5.6	7.7	2.4	11.6	2.8
5.7	13.3	3.5	18.9	6.6
6	6.5	2.6	11.6	3.1
7	6.1	1.9	8.9	2.0
10	5.3	1.6	8.1	2.9
160	4.4	1.3	6.0	1.9
170	5.1	1.3	7.3	2.7
200	3.6	1.4	5.6	1.5
300	3.0	1.3	5.1	1.3
500	2.6	0.6	3.6	1.8
615	1.8	0.6	2.7	0.6
620	2.1	0.7	3.2	0.9

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[Click here to view linked References](#)

## COMMENTS FOR THE AUTHOR:

Reviewer #3: Manuscript reviewed is very interesting and worthy for publication in WASP journal.

Reviewer #7: WATE-D-16-00066: The critical levels of atmospheric ammonia in a Mediterranean forest in North-Eastern Spain.

Laura Aguilhaume, Anna Avila, Pedro Pinho, Paula Matos, Esteve Llop and Cristina Branquinho

The manuscript describes the assessment of lichen functional groups with distance from an ammonia emissions source, and the determination of a critical level of ammonia. The manuscript is well suited to Water Air and Soil Pollution, and will make an important contribution to the literature with respect to the assessment of impacts from ammonia emissions. I recommend that the article be published following major revisions. The revisions are described below should not be too taxing for the authors but they are important.

Overall, the revisions should primarily focus on four aspects: (1) improvement of the written English, I urge the authors to get a native English speaker to edit the manuscript prior to re-submission;

We want to thank the reviewer for very useful comments. Consequently, we have revised the language and rearranged the text, notably in Material and Methods, Results (Ammonia concentration section) and Discussion, to better clarify the arguments.

Below, we provide the answers (ASW) to the various points raised by the reviewer:

ASW - English was revised as requested.

(2) the authors need to provide more details on the ammonia sampling, given that the critical limit is set against observed ammonia, the authors need to show that observations are reliable;

ASW – We fully agree. This section on Ammonia measurements has been rewritten in to give more specific details. New text in L 146-165.

(3) the authors need to provide further details on the determination (method) of the critical limit, why the second altered point? If only two points are needed then why measure 15 sites along the transect?

ASW- The method for determining the critical levels follows Cape et al. (2009). Briefly the method looks for sampling sites that are considered altered and non-altered, and determines the critical level by taking into consideration the confidence interval in the relationship between atmospheric ammonia and a biodiversity variable. Sampling along

a gradient is necessary because we do not know a priori which point is altered. Additionally, multiple points are necessary to establish the confidence interval in the relationship. This is further explained in the Methods section (L225-235).

(4) as the authors note, the CLE may represent an already impacted site. This needs further discussion. Are 'typical' oligotrophic lichen species already missing for that habitat? Expand discussion on the lichen species that were observed and the likelihood that the site is already impacted. Additional line-specific comments are provided.

ASW - Further discussion was added as requested. Please see discussion and answers to specific comments.

Specific comments by line (L) number:

L26. In general ammonia emissions have stayed the same during the last few decades (more-or-less) or decreased slightly.

L28. Delete "For that reason".

L34. Delete "To fill this gap".

ASW- Thank you for suggestions. The abstract has been fully rewritten and your above comments have been taken into account.

L61. Are humans impacted by excessive nitrogen? There are direct impacts from elevated nitrogen but excessive suggests nitrogen saturation.

ASW –the impacts in human health are mostly due to the fact that NH<sub>4</sub><sup>+</sup> is part of the particulate matter (PM 2.5). This sentence was altered to reflect this. (L54-55).

L63-64. Reword, meaning is unclear. Perhaps state "The development of emissions abatement policies is underpinned by the concept of 'critical levels' defined..."

ASW – Reworded as requested (L65).

L74. Delete "thus they deserve increased research efforts."

ASW – Deleted and reworded. (L77).

L80. Delete "including the nitrogen supply".

ASW – Deleted as requested. (L81).

L83. You do not need to state this as it is already included in the previous sentence "This shift is caused by an increase of N availability which favours N tolerant species, as well as by".

ASW – This sentence was removed as requested. (L82-83)

L120. Add space "1500 m<sup>2</sup>".

ASW – The space was added (L120).

L121. The authors need to present data on wind direction (during the study period). The authors only present rainfall and temperature BUT these data are not cited in the manuscript. Wind direction is equally important.

ASW – Precipitation and temperature are commented in the text and Fig. 2 is cited (L140).

Data on wind direction added as requested (L138-140).

L136. State length of transect, provide details on sites. How they were selected. Distance between sampling sites, etc. Justify the transect distance. Is 600 m long enough? 1000 m better?

ASW – Information on maximum distance from the ammonia point source was added and the transect disposition is justified  
In general, the influence of a single source of atmospheric ammonia can be detected up to 1000m, but that depends greatly on the wind direction, the source intensity and land-cover. Here sampling reveal that the background levels were observed near 300m from sources, probably due to the fact that this was not a very intensive farm. Thus the 600m transect was sufficient. A sentence was added to the Methods section to support this (L129-130).

L140. Add space "2 m".

ASW – The space was added

L142. The authors have not reported results for the travel blanks. The measured air concentration is typically estimated exposed samplers less travel or laboratory blanks. This should be reported in the results of supporting information.

ASW – Information added (L168-174).

L142. How many samplers were deployed over the entire study? How many laboratory and travel blanks?

ASW – The requested information was added in the rewritten section 2.2. Ammonia measurements.

L143. Reword "One sampler was deployed per..."

ASW – Modified in new rewritten section 2.2. Ammonia measurements

L144. Similarly the authors have not reported the results of the replicate samplers.

Again, this should be stated in results or supporting information. Given that the objective is to set / develop critical levels, the authors need to demonstrate that ambient ammonia results were reliable.

ASW – Correlation between both sampling methods was  $r^2=0.98$ , indicating a very good replicability. This is stated in the text (L.158). The way the final concentrations for every site is obtained (as average between methods for the replicated sites and the Alpha result for the rest) is stated in L. 160-164.

L147. Further details on the Radiello could be provided in supporting information.

ASW – A reference has been added for the reader to find more information on Radiello samplers and procedures (L167)

L163. Refer to the exact Figure.

ASW –Fig.1 cited (L180)

L203-204. Above you state that ALPHA samplers were exposed for 2-3 weeks. Here you state monthly. Clarify? Was the annual average estimated as a weight (exposure period) average?

ASW – We sampled biweekly and data were averaged to produce averaged monthly values. A new Table (Table 2) has been added to show basic statistics of monthly values and Fig. 3 has been deleted, since the same information appears in Table 2.

L208-214. This section is too brief. Expand and provide more detail on the procedure, especially for L211-213.

ASW – Further information was added to this section (L225-235).

L222. The monthly average would be better shown as box-plots. This would provide details on the variability across the transect each month. Alternatively provide as a table (in supporting information is okay).

ASW – A new Table 2 has been added (see above). Also Table 3 has been included with basic statistics for the gradient sites. See new text in sections 3.1.1 Temporal variability and 3.1.2 Spatial variability.

L225. Reword "The highest NH<sub>3</sub> concentrations were observed during the...".

ASW – See new text in section 3.1 Ammonia concentrations

L230. Approximately 620 m from barn! Provide details on transect in the methods section.

ASW – See new text in section 3.1 Ammonia concentrations and more details provided in the Method section 2.1

L250-251. Why the second point. How is an 'altered' point determined? What is the required spacing on observations points? If there were more (or less) points close to the barn then this would influence the critical limit? Do points closer to the barn suffer from forest edge effect?

ASW – Thank you, the sentence was poorly written. It was not the second point automatically; it was the first point with altered biodiversity. Thus we have re-written the text to accommodate this (L279-289). The altered point was determined by taking into consideration other studies where a background biodiversity was available (for example a background value of nitrophytic was found to occur approx. below 15% and for oligotrophic above 40%). Taking these values as general guidelines, the first altered point was considered to be the second. There are no a priori spacing between points because we do not know a priori the concentrations in the field, but a good approach is to place the samplers increasing the distance between samplers as we move away from the source (due to the log deposition of ammonia). This was done in our study, but note that fitting a model to the relationship the importance of the precise placement of the points is decreased. Because the critical levels were observed to occur at the lowest concentration, placing more points far from the barn could influence the calculated CLEs. We cannot estimate how much the values would differ, but probably not very significantly. Yes, there is an edge effect whenever NH<sub>3</sub> flows intercept vegetation (due to higher deposition values there). However, we used the observed NH<sub>3</sub> concentration, which already takes this into consideration (i.e. the measured values are the ones actually experienced by lichens).

L252. Clarify. This suggests that nitrophytic lichen increase before oligotrophic lichens are lost? Is this typical?

ASW – This is right, but because the difference between the two CLE values was within the confidence bands interval, they were not considered to be different from each other. This explanation was added to the Results section (L 288). Additionally, a new point was made in the Discussion, suggesting that we are dealing with an area with high background levels of pollution, and thus the oligotrophic species may have already been impacted before present. As a consequence, we consider the values obtained with nitrophytic functional groups to be more reliable.

L256. Is it important that this is 'For the first time'? If it was the second time would results be less important?

ASW – In fact, as we are talking about CLEs, a second time would be likewise important, as it would represent an update of the values according to present knowledge. Anyway, we have rewritten the paragraph without mentioning this aspect (L292-296).

L269. Reword "the recommended CLE at the European level".

ASW – reworded as requested

L273. This suggests that perhaps the CLE in this study (current study) and that

associated with the pig farm may represent CLE for impacted areas, i.e., most sensitive species (the oligotrophic species) are already lost? Provide a discussion on the species that were observed, and if key species for the habitat are already missing.

ASW – we have added the suggestion saying that this may represent a CLE for an impacted area. And we have added a discussion on the species that typically indicate a high quality conservation status in this region forests to complement it as requested (L335-346).

L277. Yes I agree. So what does this CLE represent? The CLE for an impact ecosystem? However, it is worth noting that the CLE from this study is less than the recommended limit.

ASW – The determined CLE represents the value for an already impacted ecosystem. We added further information to the discussion section that supports this view, including numbers about the sensitive species found (L334-339). Please note that the CLEs observed here was higher than the CLE suggested at the European level (1 ug/m<sup>3</sup>), and comparable to CLEs observed in impacted ecosystem. It was lower than the CLE for vegetation (3 ug/m<sup>3</sup>), but in our study we are considering lichens, so this comparison is not justified.

L294-295. Yes, expand on this point.

ASW – We have expanded as requested (L353-366), discussing better the changes that environmental conditions may have on CLE determination.

L296. Format of citations, 'and others'?

ASW – citation corrected.

L296. Reword, "...2009); different ...".

ASW – the sentence was reworded (L365-366).

L301. What are the background levels for this region? Did you measure at 1 km? Then can you state they reach background in less than 1 km?

ASW – At the La Castanya site, which is considered a rural background forest site and lies approx 15 km from the study site, the NH<sub>3</sub> mean concentration for the period Feb 2011 to Feb 2013 was 0.7 ug/m<sup>3</sup> (García-Gomez et al. 2016, Environmental Science Pollut. Res.). In our study gradient, NH<sub>3</sub> conc. were aprox. constant (1.8 - 2 ug/m<sup>3</sup>) from 300m on. It seems that for this impacted site, background concentrations are reached at this spatial scale.

L320. They also contribute to growing body of analysis regarding direct impacts from ammonia.

ASW – we have added this information to the conclusions.