

This is the submitted version of the following article: Cedà, AP. et al. *Cellulase and xylanase production at pilot scale by solid-state fermentation from coffee husk using specialized consortia: the consistency of the process and the microbial communities involved* in Bioresource technology (Ed. Elsevier), vol. 243 (Nov. 2017), p. 1059-1068, which has been published in final form at

DOI 10.1016/j.biortech.2017.07.076

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1 **Cellulase and xylanase production at pilot scale by solid-state fermentation from coffee**  
2 **husk using specialized consortia: The consistency of the process and the microbial**  
3 **communities involved**

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17

**18 Abstract**

19 Solid state fermentation is a promising technology however rising concerns related to  
20 scale up and reproducibility in a productive process. Coffee husk and a specialized inoculum  
21 were used in a 4.5L and then in 50L reactors to assess the reproducibility of a cellulase and  
22 hemicellulase production system. Fermentations were consistent in terms of cellulase  
23 production and microbial communities. The higher temperatures achieved when operating at  
24 50L generated a shift on the microbial communities and a reduction of nearly 50% on  
25 cellulase production at pilot scale. In spite, an overall enzymatic production of  $3.1 \pm 0.5$  FPUg<sup>-1</sup>  
26 <sup>1</sup>DM and  $48 \pm 4$  U g<sup>-1</sup>DM for FPase and Xyl activities was obtained, respectively, with low  
27 deviation coefficients of 16 and 19% for FPase and Xyl production. Gaseous emissions  
28 assessment revealed an emission factor of  $2.6 \cdot 10^{-3}$  kg volatile organic compounds per Mg of  
29 coffee husk and negligible NH<sub>3</sub>, CH<sub>4</sub> and N<sub>2</sub>O emissions.

30

31 *Keywords:* cellulase, coffee husk, specialized inoculum, solid-state fermentation, xylanase.

32

## 33 **1. Introduction**

34 Cellulases and xylanases are hydrolytic enzymes related to the biodegradation of  
35 lignocellulosic material, with great interest in the bioethanol production. Cellulase purchase  
36 has been reported as the most expensive point in the entire chain of bioethanol production,  
37 accounting up to 40% of the total cost (Arora et al., 2015). For this reason, many efforts have  
38 been made to obtain a low cost cellulase, using different technologies and substrates. In this  
39 context, agroindustrial wastes appear as ideal substrates for microbial fermentation, due to  
40 their rich content of organic components, low cost and wide availability (El-Bakry et al.,  
41 2015). Furthermore, the use of agricultural by-products presents a better energy balance and a  
42 lower environmental impact than those of pure substrates (Olofsson et al., 2008).

43 Solid state fermentation (SSF) is a suitable strategy for producing low cost enzymatic  
44 products (El-Bakry et al., 2015). SSF of lignocellulosic biomass presents important  
45 advantages over conventional submerged fermentation such as reduced energy requirements,  
46 high productivity and less inhibitory effects for enzymatic production (Chen, 2013). Also,  
47 SSF allows the use of organic wastes for biotransformation into bioproducts enabling the  
48 production of a concentrated product, due to the low amounts of water used in the process  
49 (Mitchell et al., 2006). Despite these great advantages, the main challenges to overcome in  
50 SSF processes are the scaling up and the development of a standard and continuous process at  
51 large scale (Kuhad et al., 2016; Mitchell et al., 2006). Our research group has operated SSF  
52 as a multispecies self-heating thermophilic batch (similar to the composting process), which  
53 demonstrated to be successful for the production of proteases (Abraham et al., 2013),  
54 amylases (Cerda et al., 2016) and cellulases (Cerda et al., 2017) using non-sterile wastes as  
55 substrate.

56 Specifically, cellulase production, using coffee husk as substrate and a specialized  
57 consortium as inoculum, was successfully carried out at a representative scale (>1 kg

58 substrate) in a sequential batch operation mode with promising results towards a continuous  
59 and reproducible cellulase production (Cerda et al., 2017). There are very few other attempts  
60 in developing a continuous process for enzyme production (Astolfi et al., 2011; Cheirsilp &  
61 Kitcha, 2015) and even less to assess the SSF scaling up problem (Biz et al., 2016; Idris et al.  
62 2017; Ortiz et al., 2017). In order to deliver a sustainable and low cost continuous SSF  
63 process it is necessary to consider the inherent variability of the organic wastes and the  
64 inoculum required for enzymatic production. Most of the reported SSF process are performed  
65 under sterile conditions and using specific microorganisms as seen in Table 1 (El-Bakry et  
66 al., 2015; Behera & Ray, 2016; Kuhad et al., 2016). In this sense, a multispecies SSF such as  
67 the proposed by our group (Cerda et al., 2017) has been only been suggested by one other  
68 author (Wang et al., 2016). In this SSF, these authors used autochthonous microbiota  
69 (without inoculation) which allowed them to guarantee the reproducibility of the process  
70 using a metagenomics approach. Taking this into consideration, it seems of great importance  
71 to assess the proper propagation of the specialized mixed inoculum at a large scale in order to  
72 achieve a standardized cellulase production process.

73 Besides the production of the targeted product, the environmental impacts of the SSF  
74 process must be taken into account when considering SSF benefits. This fact has been  
75 assessed in previous studies (Maulini-Durán et al., 2015), which reported gaseous emissions  
76 from the SSF for cellulases, proteases and lipases production at the pilot scale. This work  
77 remains, to our knowledge, the only reported research on this subject, although the issue of  
78 emissions from SSF is crucial for further environmental impact studies.

79 Considering the above, the main objectives of this work are i) to study the influence of  
80 using a specialized inoculum in the production of cellulases and xylanases operating a pilot  
81 scale SSF process, with a full characterization of the microbial communities ii) the  
82 assessment on the reproducibility of the process at laboratory and pilot scale and iii) the

83 complete characterization of the gaseous emissions of the process, including emission factors  
84 for ammonia, volatile organic compounds, methane and nitrous oxide. To our knowledge,  
85 there are no similar studies reported in literature.

86

## 87 **2. Materials and methods**

### 88 *2.1 Raw material*

89 Coffee husk (CH) was kindly provided by Marcilla S.A (Mollet del Vallès, Barcelona,  
90 Spain) and stored frozen at -20°C until use. CH presented a general characterization of:  
91 moisture content  $68.2\pm 0.4\%$  (wet basis), pH  $6.5\pm 0.1$  and C/N ratio  $13.3\pm 0.5$ . Fiber content in  
92 CH was  $25.7\pm 0.2$ ,  $14.6\pm 0.1$  and  $17.6\pm 0.5\%$  (dry basis) of cellulose, hemicellulose and lignin,  
93 respectively.

### 94 *2.2 Specialized inoculum*

95 The inoculum used in this work consisted on a specialized consortium of  
96 microorganisms able to produce cellulases using CH as sole substrate. This was previously  
97 obtained operating SSF by sequential batch (SB) using compost as starting inoculum. Main  
98 species identified by DNA sequencing were the bacteria *Pseudoxanthomonas taiwanensis*  
99 and *Sphingobacterium composti* and the yeasts *Cyberlindnera jardinii* and *Barnettozyma*  
100 *californica*, all of them previously reported as lignocellulose degraders (Cerdeja et al., 2017).  
101 The procedure to obtain this inoculum is described in Cerdeja et al. (2017). Briefly, compost  
102 was added in a 10% (w/w) ratio to a mixture of CH and wood chips as bulking agent in a 1:1  
103 (v/v) ratio to provide the proper porosity to the mixture (Ruggieri et al., 2009). During the  
104 process there was no evidence suggesting wood chips degradation due to the short time of  
105 each cycle. Sequential batch operation was performed in cycles until stable cellulase

106 production of  $9 \pm 1$  FPU  $\text{g}^{-1}$  DM (filter paper units per grams of dry matter). SB operation  
107 started with a 48h fermentation stage followed by a substrate exchange of 90% of volume  
108 every 48h. The process was operated for several cycles until stable operation was achieved  
109 and the final fermented solids were stored frozen for further use as specialized inoculum. The  
110 specialized inoculum presented a general characterization of: moisture content of  $55.0 \pm 3.1\%$   
111 (wet basis), pH  $9.1 \pm 0.1$  and C/N ratio of  $13.1 \pm 0.6$ . Fiber content in the specialized inoculum  
112 was  $23.5 \pm 0.5$ ,  $13.3 \pm 0.5$  and  $18.3 \pm 0.6\%$  (dry basis) of cellulose, hemicellulose and lignin,  
113 respectively.

### 114 2.3. *Solid State Fermentation (SSF)*

115 SSF was performed in a 50L pilot bioreactor in triplicate batches (R1, R2 and R3).  
116 Each batch was inoculated with fermented solids from a 4.5 L propagation reactor (P1, P2  
117 and P3, respectively) inoculated with the thawed specialized consortium. Both propagation  
118 and pilot operations were non-isothermal, using thermally isolated reactors in all cases. Full  
119 description is presented below.

#### 120 2.3.1. Inoculum preparation in propagation reactors

121 A propagation reactor was required to provide the proper amount of biomass to inoculate the  
122 pilot reactors. Inoculum was obtained by using an initial mixture containing CH and 10% of  
123 the mixed specialized inoculum with wood chips, which was used in a ratio of 1:1 (v/v),  
124 giving a total weight of 1.2 kg per batch. The process was carried out for 48h in 4.5 L air-  
125 tight packed bed reactors, working under non-isothermal conditions and oxygen controlled  
126 aeration ( $120\text{-}240 \text{ mL min}^{-1}$ , oxygen setpoint 11.5% in air).

#### 127 2.3.2 Pilot reactor operation

128 Three batches were performed in 50 L air-tight packed bed reactors. A schematic  
129 diagram of the pilot reactor and a detailed description can be found elsewhere (Puyuelo et al.,  
130 2010). Temperature, exhaust gas oxygen concentration and inlet airflow were monitored  
131 during the trials. The experiments were performed with forced aeration and airflow was  
132 manually adjusted to ensure that the oxygen content in the reactor remained above 10%, in  
133 order to provide full aerobic conditions (Puyuelo et al., 2010).

134 The mixtures were prepared by mixing CH and the specialized inoculum obtained  
135 from the propagation reactor in a 90:10 (w/w) ratio respectively. Wood chips were added as  
136 bulking agent in a volume ratio of 1:1 (v/v). The final weight of the mixture was 15.2 kg for  
137 each reactor. The first batch (R1) was performed to obtain a full profile of enzymatic activity  
138 production, collecting gaseous and solid samples at 0, 8, 16, 24, 35, 48, 58, 72 and 134 h.  
139 Also the process time for maximum cellulase activity was established. Two additional  
140 batches (R2 and R3) were performed in order to assess the reproducibility of the process and  
141 the fermentation was stopped at the moment of maximum cellulase activity.

### 142 2.3.3 Sampling

143 Gaseous samples were collected in 1-L Tedlar® bags for ammonia (NH<sub>3</sub>), volatile  
144 organic compounds (VOC), nitrous oxide (N<sub>2</sub>O) and methane (CH<sub>4</sub>) content determination  
145 prior to opening the reactor. After the collection of the gaseous samples, the reactor was  
146 opened and the solid content was manually homogenized to obtain a representative solid  
147 sample for enzymatic activity determination. Filter paper activity (FPase) was measured for  
148 cellulase production. Xylanase (Xyl) production was followed for hemicellulase production.

149 In addition to the enzymatic measurements, the solid samples were analyzed in order  
150 to determine the neutral detergent fiber, acid detergent fiber and lignin content. These  
151 analysis were carried out by the method of Van Soest (1991) using the Ankom200 Fiber



152 Analyzer incubator (Ankom Technology, Macedon, NY), adding amylase and sodium  
 153 sulphite solutions. Degradation percentage of cellulose, hemicellulose and lignin were  
 154 calculated according to a mass balance and considering the weight evolution throughout the  
 155 process.

#### 156 2.3.4 Parameters monitoring

157 Temperature and oxygen content were continuously monitored and recorded in the  
 158 reactors during the fermentations. Specific oxygen uptake rate (sOUR) was calculated  
 159 according to the following:

$$160 \quad sOUR = F \cdot (0.209 - y_{O_2}) \cdot \frac{P \cdot 32 \cdot 60 \cdot 10^3}{R \cdot T \cdot DW \cdot 10^3} \quad \text{Equation (1)}$$

161 where: sOUR is the Specific Oxygen Uptake Rate ( $\text{mg O}_2 \text{ g}^{-1} \text{ DM h}^{-1}$ ); F, airflow into the  
 162 reactor ( $\text{mL min}^{-1}$ );  $y_{O_2}$ , is the oxygen molar fraction in the exhaust gases ( $\text{mol O}_2 \text{ mol}^{-1}$ ); P,  
 163 pressure of the system assumed constant at 101325 (Pa); 32, oxygen molecular weight ( $\text{g O}_2$   
 164  $\text{mol}^{-1} \text{ O}_2$ ); 60, conversion factor from minute to hour;  $10^3$ , conversion factor from mL to L; R,  
 165 ideal gas constant ( $8310 \text{ Pa L K}^{-1} \text{ mol}^{-1}$ ); T, temperature at which F is measured (K); DW,  
 166 initial dry weight of solids in the reactor (g);  $10^3$ , conversion factor from g to mg. The area  
 167 below the sOUR curve was also determined, which represents the cumulative oxygen  
 168 consumption (COC) during the process (Ponsá et al., 2010).

#### 169 2.4 Enzyme extraction

170 Fermented solids were mixed thoroughly on a magnetic stirrer for 30 min with 0.05M  
 171 citrate buffer, at a pH of 4.8 and ratio of 1:15 (w:v) respectively. The extract was separated  
 172 by centrifugation at 10000 rpm during 10 min followed by a filtration with a  $0.45 \mu\text{m}$  filter.

173 The supernatant was used for the cellulase and xylanase activity assay (Ang et al., 2013;  
174 Dhillon et al., 2012b).

### 175 *2.5 Enzyme assay*

176 Total cellulase activity was measured using the filter paper activity assay (FPase)  
177 recommended by IUPAC (Ghose, 1987). Final products were measured using dinitrosalicylic  
178 acid (DNS) reagent (Miller, 1959). One unit of FPase (FPU) was expressed as equivalent to  
179 the amount of enzyme that releases 1  $\mu\text{mol}$  of reducing sugars from Whatman filter paper in  
180 0.05M citrate buffer (pH 4.8) under assay conditions. Xylanase activity (Xyl) was measured  
181 according to the reported by Ang et al. (2013). The final products were also measured using  
182 dinitrosalicylic acid (DNS) reagent (Miller, 1959). One unit of Xyl (U) activity was  
183 expressed as 1  $\mu\text{mol}$  of xylose released from xylan birch wood under assay conditions.

184 The enzyme yields are expressed in terms of activity units per grams dry matter, i.e.,  
185 FPU  $\text{g}^{-1}$  DM and U  $\text{g}^{-1}$  DM for FPase and Xyl, respectively.

### 186 *2.6 Determination of gaseous emissions*

187 VOC,  $\text{CH}_4$  and  $\text{N}_2\text{O}$  analysis were performed by means of gas chromatography  
188 (Agilent Tech. 6890 N Network GC system, Madrid, Spain).  $\text{NH}_3$  concentration was  
189 measured using an ammonia sensor (Industrial Scientific sensor iTX-T82). All these  
190 measurements were performed as described elsewhere (Maulini-Duran et al., 2015).

### 191 *2.7 Microbial characterization*

192 Identification of the microbial population was performed in the final products from  
193 the propagation reactors and in the fermented solids obtained from the pilot reactors at the  
194 highest cellulase production, using next generation sequencing. Total DNA was extracted and  
195 purified using PowerSoil™ DNA Isolation Kit (MoBio Laboratories, USA) according to

196 provider's specifications. DNA samples were checked for concentration and quality using the  
197 NanoDrop ND-1000 Spectrophotometer (NanoDrop Technologies, Wilmington, Delaware;  
198 USA). Bacterial 16S rRNA hypervariable regions V3-V4 and fungal ITS1-ITS3 were  
199 targeted. Later sequencing of the extracted DNA and bioinformatics were performed on  
200 MiSeq platform by Life Sequencing S.A (Valencia, Spain).

## 201 *2.8 Routine methods*

202 Moisture content, total and volatile solids and pH were determined according to  
203 standard procedures (U.S. Composting Council, 2001) **in a representative solid sample, as**  
204 **explained above.**

## 205 *2.9. Statistical Analysis*

206 Statistical analysis was performed with basic ANOVA techniques while pairwise  
207 comparisons were based on the Tukey test ( $p < 0.05$ ). Statistics were performed with  
208 MINITAB™ V17.

209

# 210 **3. Results and Discussion**

## 211 *3.1 Specialized inoculum preparation*

212 **The average initial moisture, pH and C/N ratio for propagation reactors were  $67 \pm 1$ ,**  
213  **$8.01 \pm 0.01$  and  $12.5 \pm 0.5$ , respectively.** Figure 1 presents the sOUR and the temperature  
214 profiles of the three propagation reactors (P1, P2 and P3) for inoculum preparation. All three  
215 propagation reactors presented a similar trend. However, the heterogeneity of the materials  
216 led to a series of small differences during the processes despite of using the same specialized  
217 consortium.

218 P2 and P3 started at temperatures of 21 and 25°C respectively, which was notably higher than  
219 initial P1 temperature (12°C). This difference was attributed to the preparation process of the  
220 substrate, which includes a defrosting stage. Major differences were found during transition  
221 to thermophilic stage after 24h of operation. After reaching 45°C the automatic control started  
222 to act according to the oxygen requirements of the fermentation, which can be clearly  
223 observed in the oscillation of sOUR profiles of P2 and P3. In this sense, at the end of the  
224 fermentation sOUR obtained for P1, P2 and P3 were 2.6, 3.0 and 3.1 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup>,  
225 respectively.

226           Additionally, COC resulted in very similar with values of 65.3, 86.1 and 94.8 mg O<sub>2</sub>  
227 g<sup>-1</sup> DM for P1, P2 and P3 respectively. It is highly possible that initial temperature affected  
228 the performance of P1, in detriment of the global biological activity (Sundh & Rönn, 2002). It  
229 is for this reason that, in order to improve reproducibility of the process, in terms of  
230 biological and enzymatic activity, the initial temperature of the process must be set on proper  
231 values to reduce the lag phase of microbial communities that will grow on the reactors.

232           The average cellulase activity produced in the three reactors was 8.3±0.2 FPU g<sup>-1</sup> DM.  
233 These values are in accordance to the obtained during the sequential batch operation for the  
234 production of the specialized inoculum (9±1 FPU g<sup>-1</sup> DM), which was carried out in the same  
235 configuration and scale (Cerda et al., 2017). Then, it is remarkable the fact that it is possible  
236 to carry out a consistent and reproducible SSF for the production of cellulases using a mixed  
237 inoculum without compromising productivity.

## 238 *3.2 Pilot reactor operation*

### 239 3.2.1 Process follow up

240 Figure 2a presents the fermentation profile for a 134 h operation using a previously  
241 propagated inoculum. The fermentation presented a quick start-up with no lag phase as  
242 observed in the sOUR and thermophilic temperature profiles (Figure 2a). This can be  
243 attributed to the initial conditions of the inoculum, which was in its highest biological and  
244 enzymatic activity. Biological activity was found at its maximum during the first 30h of  
245 fermentation in a full thermophilic stage. Maximum sOUR and temperature were 3.6 mg O<sub>2</sub>  
246 g<sup>-1</sup> DM h<sup>-1</sup> and 71°C, respectively, at 24h. Oxygen requirements reached a total COC of 243.2  
247 mg O<sub>2</sub> g<sup>-1</sup> DM. COC found at 24h of fermentation, at its highest sOUR, was 80.2 mg O<sub>2</sub> g<sup>-1</sup>  
248 DM, which roughly represents 33% of the total oxygen consumption in 136h. In this context,  
249 sOUR obtained at pilot scale, was slightly higher than the obtained in the propagation  
250 reactors and the observed during the process of adapting the inoculum (Cerda et al., 2017),  
251 which was around 2.5-3.0 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup>. The increase on the rate of degradation can be  
252 associated to the conditions of the inoculum in terms of temperature and sOUR. It is  
253 important to highlight the importance of choosing the exact moment to have the maximum  
254 enzymatic activity, which in occasions, can match with the maximum biological activity,  
255 reflected as sOUR (Abraham et al., 2013) or not (Cerda et al., 2016).

256 In addition, the pH reached an alkaline value of 9.22±0.01 and the C/N ratio was  
257 13.0±0.2, which reflected organic matter degradation. These conditions remained until the  
258 end of the fermentation, achieving final values of 9.34±0.01 for pH and a C/N ratio of 11±1.

259 Final moisture value for propagation fermentations was 63±2% while pilot  
260 fermentations a moisture content of 62±2% was observed at 24h of fermentation. The  
261 differences of moisture content between propagation and pilot reactors, and even among  
262 replicates, showed no significant differences. Probably, the fact of working on close reactors  
263 and short times has result in this moisture conservation.

264 Cellulolytic and hemicellulolytic activities also showed their maximum during the  
265 most active stage of the fermentation (Figure 2b). FPase and Xyl reached their maximum  
266 production in the first 24h of operation with values of  $3.6 \pm 0.2$  FPU  $\text{g}^{-1}$  DM and  $42.7 \pm 2.6$  U  $\text{g}^{-1}$   
267 DM, respectively. FPase presented a local maximum, decreasing after this moment to  
268 negligible values. This can be attributed to several factors such as nutrient depletion or the  
269 inhibition of the enzymatic system due to the formation of by-products (Brijwani & Vadlani,  
270 2011; Salgado et al., 2015) or non productive adsorption to lignin hydrolyzates (Gao et al.,  
271 2014). On the contrary, Xyl activity presented a dramatic decrease to almost zero at 72h of  
272 fermentation and increased to  $9 \pm 2$  U  $\text{g}^{-1}$  DM by the end of the fermentation.

273 The measurements for cellulase and xylanase activity are currently performed using  
274 several different methods, some of them with many concerns on its reproducibility (Coward-  
275 Kelly et al., 2003). It is for this reason that the comparison between researches is complex;  
276 however, a summary of several enzyme production processes is presented in Table 1. All  
277 enzymatic activities are produced in a wide range of values, probably due to the variety of  
278 inocula and substrates used. FPase production in this work was found in the reported range of  
279 production, as seen in Table 1. As for Xyl production, the reported range of production (10.6  
280 to 2601 U  $\text{g}^{-1}$  DM, according to Table 1) is wider than the reported for cellulase.

281 Considering cellulase and xylanase production, it is remarkable the fact that most of  
282 the production systems shown in Table 1 are carried out using small amounts of substrates (1-  
283 25g), using pure strains (species of the genera *Aspergillus* or *Trichoderma*) and long  
284 fermentation times (96-240h). In this sense, the results obtained in this work are promising,  
285 due to the significant cellulase and hemicellulase production in a short fermentation time  
286 (24h), therefore allowing the faster valorization of organic wastes and improving process  
287 economics.

288           During the most active stage of the process at 24 h of operation, partial degradations  
289 of cellulose, hemicellulose and lignin were of 4.9, 13.4 and 4.1%, which are in accordance  
290 with reported literature (Salgado et al., 2015). At the end of the fermentation a final cellulose  
291 degradation was 24.1%. In addition, final lignin hydrolysis was 11.25%, which is higher than  
292 the observed by other researches in short solid-state fermentations (Umasaravanan et al.,  
293 2011). The most interesting result was obtained for hemicellulose hydrolysis. A final  
294 degradation of 34.9% was achieved in spite of the relatively low Xyl values as compared with  
295 literature (Table 1).

296           This process was performed using a non-sterile substrate, which provides a complex  
297 dynamic process, involving different metabolisms. For this reason, enzymatic (or not  
298 enzymatic) products cannot be properly correlated to operational parameters, but only  
299 expressed as the net result of these different metabolisms. This might be the reason for not  
300 finding correlations among cellulases enzymatic complex and xylanase activities production  
301 with their respective substrates. Even more, no correlation was found with any of the  
302 monitoring parameters. This is probably due to the fact that parameters like sOUR or  
303 temperature, even though useful, provide an extremely simplified overview of the process.  
304 For this reason, there is the need to seek for different parameters or techniques to properly  
305 correlate the enzymatic production profile. In this sense, the identification of microbial  
306 communities appears as an attractive alternative that enables the potential direct correlation  
307 among substrate consumption and enzyme production.

### 308 3.2.2 Gaseous emissions

309           Figure 3 presents the evolution of the cumulative emissions of CH<sub>4</sub>, N<sub>2</sub>O, VOCs and  
310 NH<sub>3</sub> throughout the SSF process carried out during the first pilot batch (R1).

311 Ammonia was emitted in higher amounts than the rest of the gases analyzed, and was  
312 mainly released after the thermophilic stage at the highest biological activity condition as  
313 reported by other authors (Maulini-Duran et al., 2015). Ammonia emission factor was  $3.5 \cdot 10^{-2}$   
314  $\text{kg Mg}^{-1} \text{CH}$ , obtained at 134 h. Ammonia emission is mainly related to nitrogen compounds  
315 content such as ammonium from proteins, which can be stripped out as ammonia through the  
316 exhausted gas outlet. VOCs, on the other hand, were also found as one of the main  
317 contributors to emissions with a total emission factor of  $1.1 \cdot 10^{-2} \text{ kg Mg}^{-1} \text{ CH}$ . In spite of this  
318 value, when it is considered a productive process for cellulase and xylanase, the SSF should  
319 take only 24 h, where the emission factor was  $2.6 \cdot 10^{-3} \text{ kg Mg}^{-1} \text{ CH}$ .

320 Previous studies reported VOC emission factors 1000-fold higher than the obtained in  
321 this work (Maulini-Duran et al., 2015), using orange peels (rich in limonene and other  
322 volatile compounds) as substrate for cellulase production in a 5 day fermentation. VOCs  
323 emitted during the current process presented an almost linear trend, indicating a nearly  
324 continuous degradation of the carbonaceous material as suggested in other studies (Pagans et  
325 al., 2006b).

326 In contrast to  $\text{NH}_3$  and VOC emissions,  $\text{CH}_4$  and  $\text{N}_2\text{O}$  are not directly related to  
327 composition but to the appearance of anaerobic zones in the solid matrix of the reactor,  
328 especially at larger scales than those reported in SSF literature. In the case of  $\text{N}_2\text{O}$  emissions,  
329 these are reported to be associated either to anaerobic or anoxic conditions by means of the  
330 heterotrophic denitrification and nitrifier denitrification processes during ammonia  
331 degradation as reported by some authors Wunderlin et al., (2013). In this study,  $\text{CH}_4$  and  
332  $\text{N}_2\text{O}$  emissions were only observed in very low amounts mainly during the most active stage  
333 of the fermentation. In this context, the 134 h process presented total cumulative emission  
334 factors of  $9.4 \cdot 10^{-4}$  and  $2.6 \cdot 10^{-4} \text{ kg Mg}^{-1} \text{ CH}$  for  $\text{CH}_4$  and  $\text{N}_2\text{O}$ , respectively. Even more, when  
335 considering the 24 h productive process, these factors were even lower, achieving values of



336  $4.9 \cdot 10^{-4}$  and  $1.1 \cdot 10^{-4}$  kg Mg<sup>-1</sup> CH for CH<sub>4</sub> and N<sub>2</sub>O, respectively. Other studies presented  
337 emissions of these pollutants obtained during the highest biological activity in similar  
338 processes (Maulini-Duran et al., 2015), which are in agreement with this work. However, the  
339 obtained emission factors were 10-fold higher than those observed in that process. The  
340 absence of published data on the emissions of pollutant gases from SSF unable the  
341 comparison of the obtained values, although in any case they are lower than those of similar  
342 processes, such as composting (Pagans et al., 2006a; Pagans et al., 2006b).

343 The complete emission profile was performed in order to fully assess the potential  
344 environmental impact and its correlation with enzyme production. However, if it is  
345 considered the period of time when maximum enzymatic production is achieved, only VOC  
346 emissions are relevant due to NH<sub>3</sub> is only produced after 32h of fermentation. This is of great  
347 importance, when considering a potential gas treatment.

348 The operational measurements presented in Figures 2 and 3 and fibers degradation  
349 were performed in order to fully understand the process dynamics and environmental impact,  
350 however, in terms of cellulase and xylanase production, the process should be stopped at 24  
351 h.

### 352 3.2.3 Replicates and consistency

353 In Figure 4 it is presented the first 24 h of operation of the first SSF (see Figure 2 for  
354 the complete profile) and two replicates of this 24 h fermentation.

355 Average of the initial **moisture**, pH and C/N ratio for the triplicates were **68±2**,  
356  $8.32 \pm 0.01$  and  $13.3 \pm 0.5$  respectively. Initial temperature in the three pilot reactors were 27.8,  
357 27.8 and 29.2°C for fermentations R1, R2 and R3, respectively. The optimal initial conditions  
358 of the inoculum along with these temperature values allowed a rapid start-up of the

359 fermentation, obtaining a very similar profile during the first 8h. It is during this period that  
360 the thermophilic stage started in all replicates with an average temperature of 47-48°C. After  
361 this period differences on the sOUR profile appeared in R1 (Figure 4a) in comparison with  
362 R2 and R3. It is possible that the initial conditions of the inoculum could have affected the  
363 performance of the pilot fermentations. Final sOUR and COC obtained at 24 h of  
364 fermentation for the three processes were 3.6 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup> and 77.6 mg O<sub>2</sub> g<sup>-1</sup> DM for  
365 R1, 3.9 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup> and 65.5 mg O<sub>2</sub> g<sup>-1</sup> DM for R2 and 4.9 mg O<sub>2</sub> g<sup>-1</sup> DM h<sup>-1</sup> and 71.8  
366 mg O<sub>2</sub> g<sup>-1</sup> DM for R3, respectively. Also the most interesting difference between the  
367 propagation and pilot fermentations is the maximum temperature reached during the  
368 thermophilic stage, which was above 70°C. It is likely that the higher temperature could  
369 affect the microbial diversity of the process and therefore the enzymatic productivity (Ortiz et  
370 al., 2017; Idris et al., 2017).

371 Enzymatic activities obtained at 24 h of fermentation are presented in Table 2. FPase  
372 activity obtained in R2 and R3 presented no significant differences, as well as Xyl activity for  
373 R1 and R3. Differences among the enzymatic yields can be attributed to the complexity of the  
374 substrate and the nature of cellulolytic enzymes.

375 Additionally, Table 2 presents the average of enzymatic activities of the three  
376 fermentations with their respective variation coefficient. FPase and Xyl production were  
377 3.1±0.5 FPU g<sup>-1</sup> DM and 45±8 U g<sup>-1</sup> DM with a variation coefficient of 16 and 19%,  
378 respectively. These variation coefficients can be considered relatively high; however, when  
379 working with organic wastes the situation is different. Variation coefficients only from  
380 proximal composition of the solid wastes can range between 10 to 50% (Leroy et al., 1992),  
381 therefore it is likely that any process that includes solid wastes has an intrinsic high variation.  
382 Moreover, using mixed enzymatic compounds obtained by SSF in highly controlled  
383 processes can achieve variation coefficients of nearly 10% (Martínez-Ruiz et al., 2008). In

384 this sense, the variation coefficients obtained in this work can be considered as acceptable,  
385 taking into account that it is a complex process using non sterile material in a pilot scale.

386 In spite the reproducibility of the process between replicates is acceptable, there is a  
387 reduction on cellulase production of nearly 50% when it is compared with the obtained in the  
388 propagation reactors, and previous experiments (Cerda et al., 2017). As mentioned before,  
389 this can be attributed to the higher temperature observed at pilot scale (>70°C) in comparison  
390 with the propagation reactors (60°C). This fact has been also observed by Idris et al., (2017)  
391 when working in the scale up of a cellulase producing system from 1 to 50g of substrate.  
392 These authors observed an increase in the temperature of the SSF, which generated a  
393 reduction of more than 50% in cellulase production even at this small scale. Moreover, Ortiz  
394 et al., (2015) also observed an increase of the temperature during the pilot trials of a cellulase  
395 producing SSF, with its consequent drop in enzymatic productivity and viable cells. As a  
396 measure to overcome this difficulties, these authors increased the aeration rate to decrease the  
397 temperature of the reactor, recovering enzymatic production and viability of the  
398 microorganisms. These authors concluded that further research must be performed to improve  
399 the reproducibility of the results obtained at lab scale.

400 In light of these results, it seems that the specialized biomass was able to adapt to the  
401 changing conditions in pilot reactors, **still yielding adequate FPase and Xyl activities** (Table  
402 1). **Even though the enzymatic productivities were found in the low-middle range of the**  
403 **reported literature it is remarkable that, even after the process scale up, enzymatic production**  
404 **was still adequate. This subject is not often studied because of the complexity of the process**  
405 **and the difficulties of working with complex solid wastes.**

406 Differences in the temperature at the beginning and during the process will determine  
407 the performance of the pilot fermentations with a potential influence on the development of  
408 microbial communities as it is discussed in the next section.

### 409 *3.3 Microbial characterization*

#### 410 *3.3.1 Bacteria*

411 A total of 30 bacterial families were identified in the final products of propagation  
412 reactors (P1, P2 and P3) and the pilot fermentations (R1, R2 and R3). The full composition is  
413 presented in Figure 5. There are three main dominant families in all assessed samples:  
414 *Paenibacillaceae*, *Xanthomonadaceae* and *Sphingobacteriaceae*; these are also the main  
415 families identified in the original inoculum (Cerda et al., 2017). The sum of these families  
416 account for a 45.6, 47.9 and 43.4% for P1, P2 and P3 and 40.5, 40.9 and 39.4% for R1, R2  
417 and R3, respectively. In this context, the addition of the specialized inoculum to a  
418 propagation reactor and then as inoculum for a pilot SSF generated great similarities among  
419 the bacterial diversity at family level. Regarding the samples obtained from the propagation  
420 reactors, P1 and P2 presented similar relative abundance of the microbial populations;  
421 however, P3 showed slight differences. For instance, P1 and P2 showed low presence of the  
422 family *Flavobacteriaceae* (2.1 and 2.4% for P1 and P2), while P3 showed significant  
423 abundance of this family (13.4%). The main specie found in this family was *Flavobacterium*  
424 *anatoliense*, which is a strict aerobic bacteria isolated from several environments that has  
425 been reported as unable to grow on cellulose or to hydrolyze complex polysaccharides  
426 (Kacagan et al., 2013). Thus, this specie must grow on other CH components or metabolites  
427 produced by other species. In spite of the differences, the predominant specie found in all  
428 propagation reactors was *Pseudoxanthomonas taiwanensis*. This bacterium was found  
429 predominant in the original specialized inoculum (Cerda et al., 2017) and it was able to

430 survive and colonize the propagation reactors, achieving a relative abundance of 14.7, 14,9  
431 and 9.6% in P1, P2 and P3 respectively. *P. taiwanensis* has been widely related to cellulose  
432 degradation systems, for its  $\beta$ -glucosidase production and the potential enhancement of the  
433 growth of other cellulolytic bacteria (Eichorst et al., 2013).

434 As mentioned before, the results showed that the same families predominant on  
435 propagation reactors were predominant on the pilot SSF. In spite of the great resemblance,  
436 there is a shift on the predominant specie when comparing with the propagation reactors.  
437 *Sphingobacteriaceae* family became more relevant, with a 27.9, 28.9 and 22.9% of relative  
438 abundance for R1, R2 and R3, and 10.9, 12.2 and 18.8 for P1, P2 and P3, respectively. The  
439 harsh conditions generated during pilot SSF could have affected the development of the  
440 different microorganisms in the solid matrix. In this context, strong thermophilic and alkaline  
441 environments favored the growth of bacteria able to thrive at these conditions, among them  
442 *Sphingobacterium thermophilum* (15.8, 11.1 and 9.7% for R1, R2 and R3) and  
443 *Sphingobacterium arenae* (3.6, 7.8 and 6.8% for R1, R2 and R3). The new dominant species  
444 relegate *P.taiwanensis* to a specie with a minor presence in the solid matrix, with a relative  
445 abundance of 2.4, 2.7 and 8.2% for R1, R2 and R3, respectively. *S. thermophilum* and *S.*  
446 *arenae* have been reported to be present during the thermophilic stage of composting  
447 processes with a potential for  $\beta$ -glucosidase production (Yabe et al., 2013). In addition, it has  
448 been found that several cellulase obtained under these conditions can be halotolerant  
449 (Gladden et al., 2014), which is of great interest when it is considered their potential use in  
450 bioethanol production.

451 In general, the relative abundance at family level presented high similarities in  
452 propagation reactors and the pilot SSF triplicates. Also, it is interesting to highlight the fact  
453 that families with relative abundance below 1% (registered in Figure 5 as "others") are higher  
454 in the propagation reactors than in the pilot SSF. This indicates a further reduction on

455 biological diversity, as confirmed by the Shannon diversity index for propagation reactors P1,  
456 P2 and P3 were 2.6, 2.4 and 2.2 and for pilot SSF were 1.8, 1.7 and 1.9 for R1, R2 and R3  
457 respectively. Using a specialized consortium instead of a specific strain provided a robust  
458 performance of the fermentation when scaling up. The adaptive shift in microbial community  
459 allowed to keep a significant cellulase and xylanase production.

### 460 3.3.2 Fungi and yeasts

461 A total number of 21 fungal families have been identified in the final products of  
462 propagation reactors (P1, P2 and P3) and the pilot fermentations (R1, R2 and R3). The full  
463 composition is presented in Figure 6. *Phaffomycetaceae* was found as the dominant family in  
464 all the assessed samples. This family accounted for a total of 74.4, 64.5 and 48.2% for P1, P2  
465 and P3, and 79.7, 61.9 and 77.8% for R1, R2 and R3, which represented most of the  
466 biological diversity of the process. This family was also found as predominant in the initial  
467 inoculum, with a relative abundance of 43.2% (Cerda et al., 2017). These results proved, at  
468 the family level, a consistent propagation and colonization of the specialized mycobiota  
469 present in the initial inoculum. Even more, when performing the pilot SSF, the biological  
470 diversity was further reduced, maintaining *Phaffomycetaceae* as the predominant family and  
471 increasing its relative abundance. Two species of this family appear as dominant in  
472 propagation reactors: *Cyberlidnera jardinii* with 64.3, 55.1 and 3.5% for P1, P2 and P3 and  
473 *Barnettozyma californica* with a 10.1, 9.4 and 43.6% for P1, P2 and P3 respectively. These  
474 two species were also found as dominant in the initial inoculum (Cerda et al., 2017), with a  
475 relative abundance of 34 and 9.1% for *C.jardinii* and *B. californica*, respectively. There is a  
476 clear difference on the relative abundance of these species in P3 when compared with P1 and  
477 P2. Both of these species are related to hemicellulose degradation with potential tolerance to  
478 grow in the presence of lignin hydrolyzates, which is of great interest for a potential use on  
479 bioethanol production (Morais et al., 2013; Nordberg et al., 2014).

480 As described, results for pilot reactors presented great similarities, at family level,  
481 with propagation reactors, although a major shift occurred at specie level. In pilot SSF the  
482 dominant specie was *B. californica* with a 72.6, 59.7 and 72.8% of relative abundance,  
483 leaving *C. jardinii* as a minor component of the mycobiota with an abundance below 3% in  
484 all cases. *B. californica*, as mentioned before, is related to hemicellulose degradation and an  
485 important xylanase producer; however, it has been also reported as an enzyme producer for  
486 lignin degradation (Martorell et al., 2012). This specie is a producer of tyrosinase, which is an  
487 enzyme able to oxidize phenolic compounds that can potentially enhance lignin hydrolizates  
488 degradation. The great abundance of this specie in the pilot SSF can, in a way, explain the  
489 high degradation of lignin in the reactors (above 11% of degradation).

490 In general, in the pilot SSF, most of the identified mycobiota presented high  
491 hemicellulose and lignin degradation potential. Improvements of degradation on these  
492 structures can make cellulose structure more accessible for the enzymes to act and, therefore,  
493 increase cellulase production. In addition, biological diversity obtained in the pilot reactors  
494 was reduced when compared with the propagation reactors. Shannon index for R1, R2 and R2  
495 were 0.44, 0.62 and 0.50 and 1.02, 0.99 and 0.97 for P1, P2 and P3, respectively.

496 The most important result on these experiments relies on the fact that when the  
497 operational conditions of the reactors are similar, the biomass that is able to colonize the  
498 reactors is the same, even when the fermentation is carried out using non sterile substrates,  
499 thus demonstrating a robust and consistent process. When the scale or operational conditions  
500 such as temperature change, there is a shift in microbial communities ensuring cellulase and  
501 xylanase production, although lower still in the range of reported values (Table 1). **It would**  
502 **be of great interest, as future research, to assess possible synergetic or antagonist behaviors**  
503 **among the indentified bacteria and fungi. This could provide interesting information of the**

504 microorganisms interaction and the potential of the biomass to produce an enzymatic cocktail  
505 for lignocellulosic biomass hydrolysis in a scale close to industrial conditions.

506 As a final remark, it has to be stated that there is no previous research performed on  
507 the reproducibility of pilot scale SSF. Many aspects considered as SSF drawbacks have been  
508 undertaken, resulting in the development of a more robust and sustainable process. The use of  
509 a standard inoculum using wastes as substrate makes this technology more consistent for its  
510 application at larger scales, with the additional economic benefit of using organic wastes as  
511 raw material.

512

#### 513 **4. Conclusion**

514 This work demonstrates the use of a specialized consortium developed from compost  
515 as inoculum for cellulase production by SSF using non-sterile substrates. Consistent  
516 propagation of the specialized inoculum was obtained in 4.5L bioreactors, with enzyme  
517 production and microbial diversity in accordance with the initial mixed inoculum. The  
518 predominant cellulolytic microorganisms are bacteria (*Pseudoxanthomonas taiwanensis* and  
519 *Sphingobacterium composti*) and some yeasts (*Cyberlindnera jadinii* and *Barnettozyma*  
520 *californica*). Synergic effects have been also discussed. Experiments developed in 50L  
521 bioreactors reached a high temperature. This modified the microbial communities and lead to  
522 a lower enzymatic productivity. Additionally, the developed process presented low gaseous  
523 emissions, therefore, it can be considered as a robust and environmentally friendly process.

524

#### 525 **Acknowledgments**



526 This research has been funded by the Spanish “Ministerio de Economía y Competitividad”  
527 (project CTM2015-69513-R). Alejandra Cerda wants to thank to Universitat Autònoma de  
528 Barcelona for her pre-doctoral grant. The authors thank to Marcilla, Pere Aubach and Manuel  
529 Córdoba for providing coffee husk. The authors also thank Aida Carretero for her technical  
530 support during the development of this work.

531

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682 **Figure captions**

683

684 **Figure 1.** Operation profile of sOUR and temperature of the propagation reactors P1, P2 and  
685 P3 (4.5L) of a specialized inoculum using coffee husk as substrate.

686

687 **Figure 2.** Operation profile of a) sOUR and Temperature and b) FPase and Xyl production  
688 profile in a pilot reactor (50L) using a propagated specialized inoculum and coffee husk as  
689 substrate, during 134 h. Xyl activity was expressed as U g<sup>-1</sup>DM and FPase was expressed as  
690 FPU g<sup>-1</sup>DM.

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692 **Figure 3.** Cumulative gaseous emissions generated during pilot scale solid-state fermentation  
693 using a specialized inoculum and coffee husk as substrate.

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695 **Figure 4.** Operation profile of sOUR and temperature of the three replicates of the pilot solid-  
696 state fermentation R1, R2 and R3 (50L) using a propagated specialized inoculum with coffee  
697 husk as substrate.

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699 **Figure 5.** Bacterial distributions at the family level according to the 16s sequencing for the  
700 final products of propagation reactors (P1, P2 and P3) and pilot fermentations at highest  
701 cellulase activity (R1, R2 and R3). Only families with a relative abundance >1% in all  
702 samples are depicted. Families detected with a relative abundance < 1% in these samples are  
703 grouped as "others".

704 **Figure 6.** Fungal distributions at the family level according to the ITS sequencing for the  
705 final products of propagation reactors (P1, P2 and P3) and pilot fermentations at highest  
706 cellulase activity (R1, R2 and R3). Only families with a relative abundance >1% in all  
707 samples are depicted. Families detected with a relative abundance < 1% in these samples are  
708 grouped as "others".

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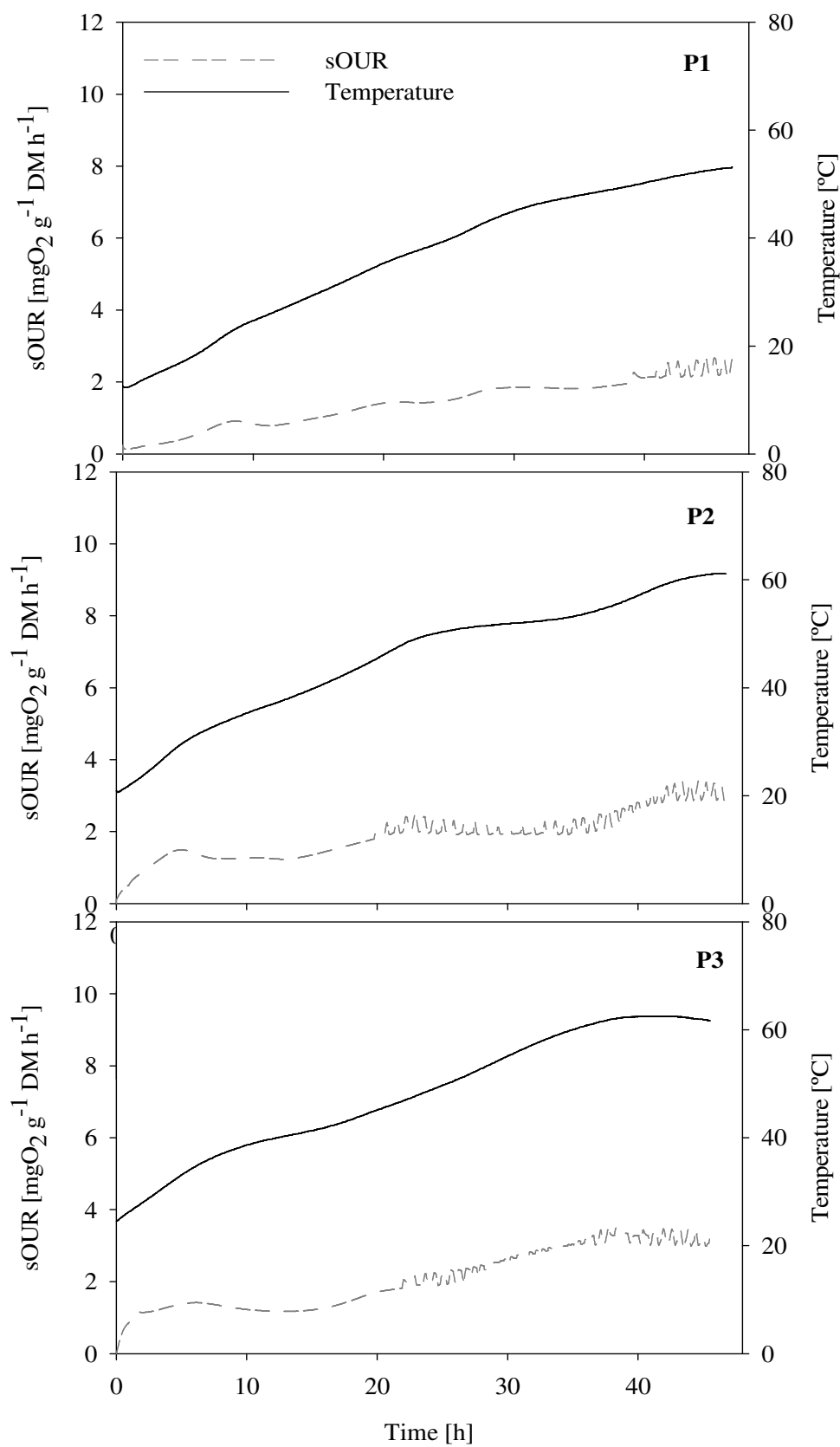
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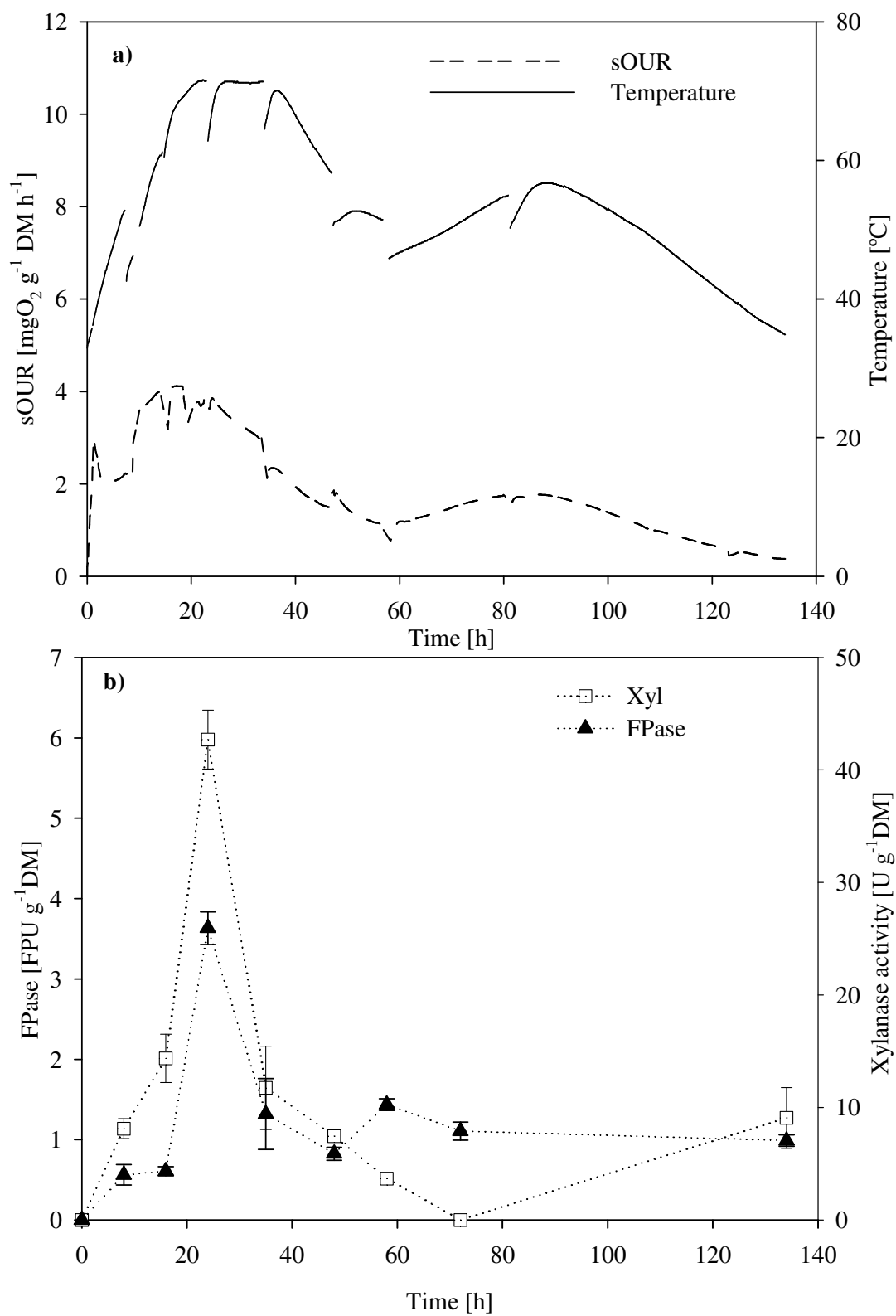
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723 **Figure 1.**

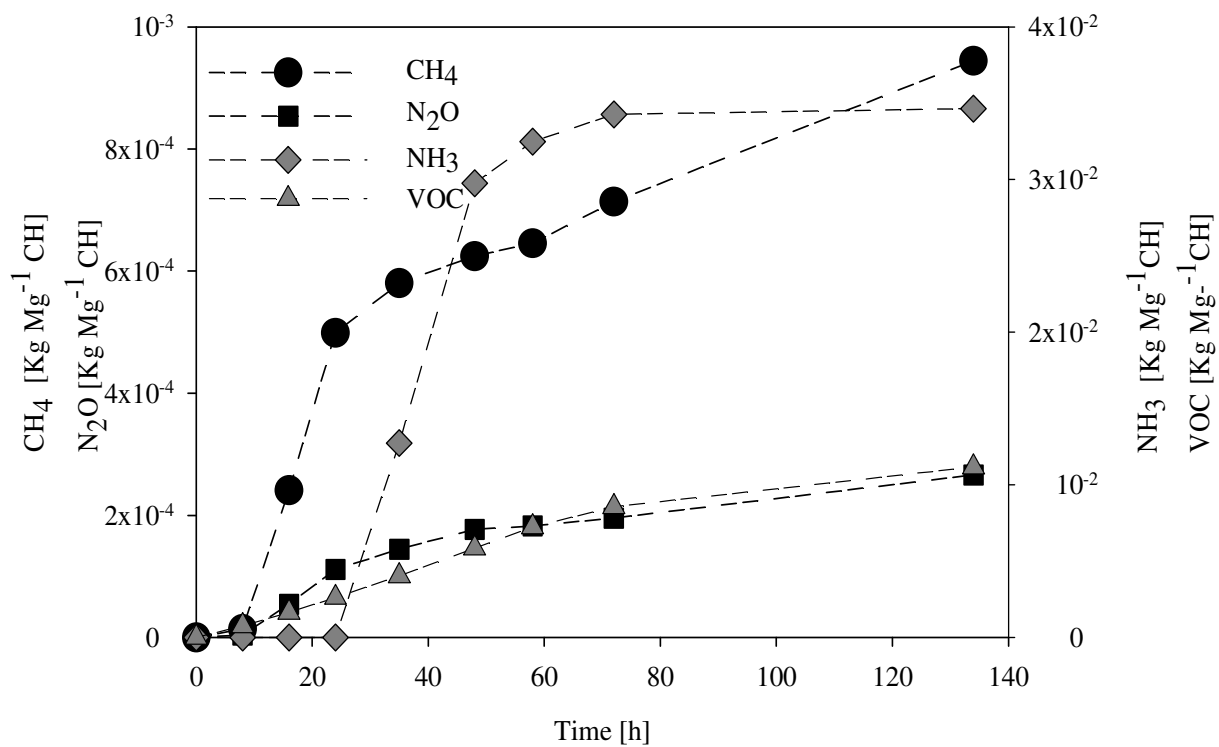


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725 **Figure 2.**



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728 **Figure 3.**

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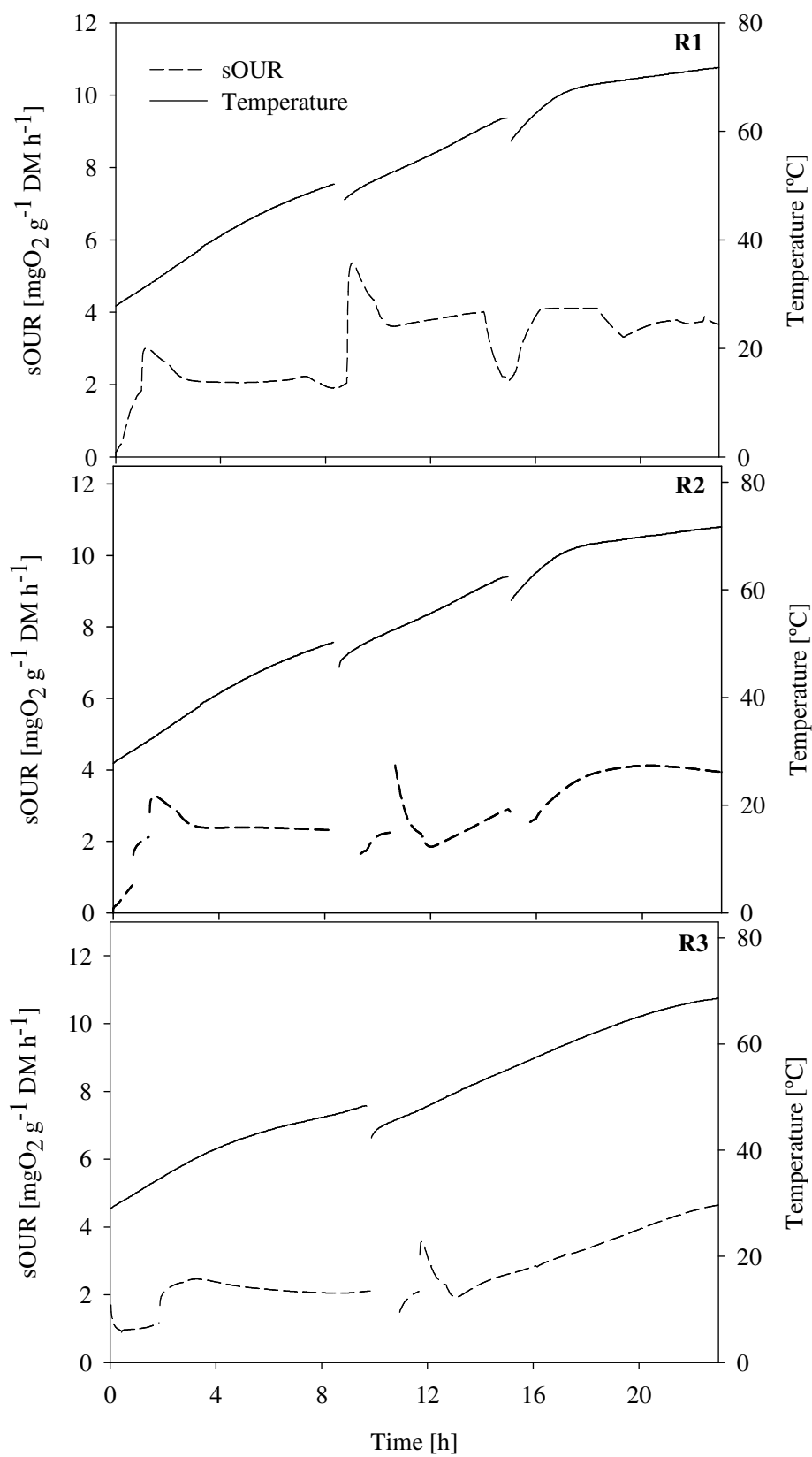
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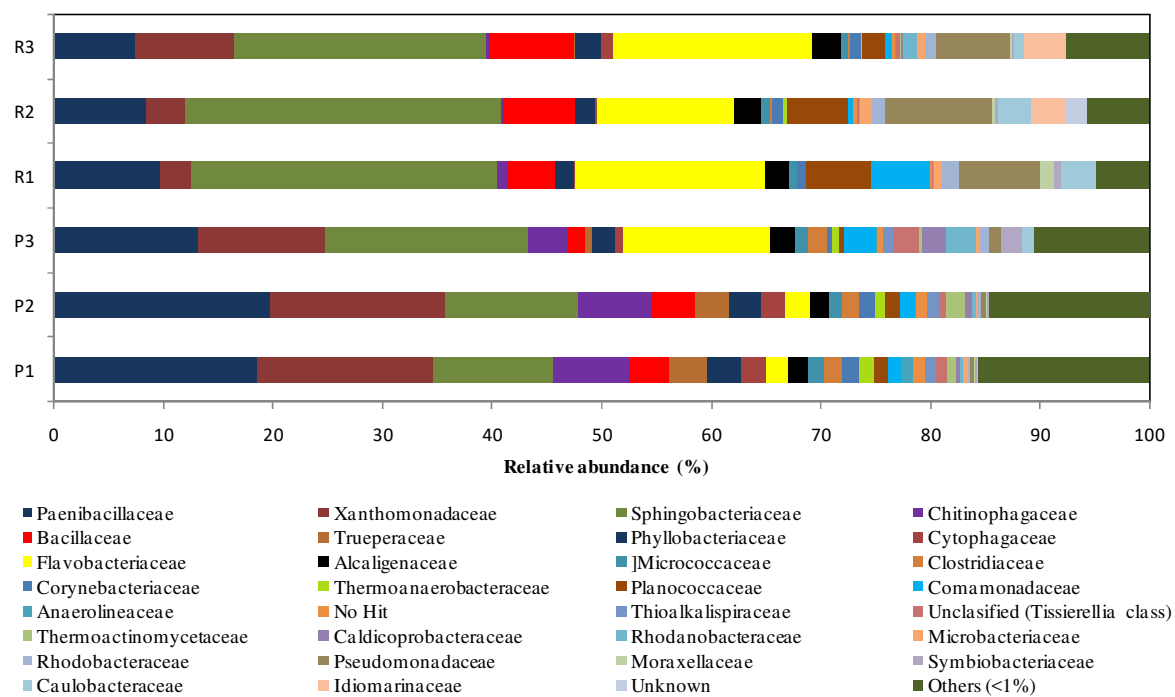
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736 **Figure 4.**

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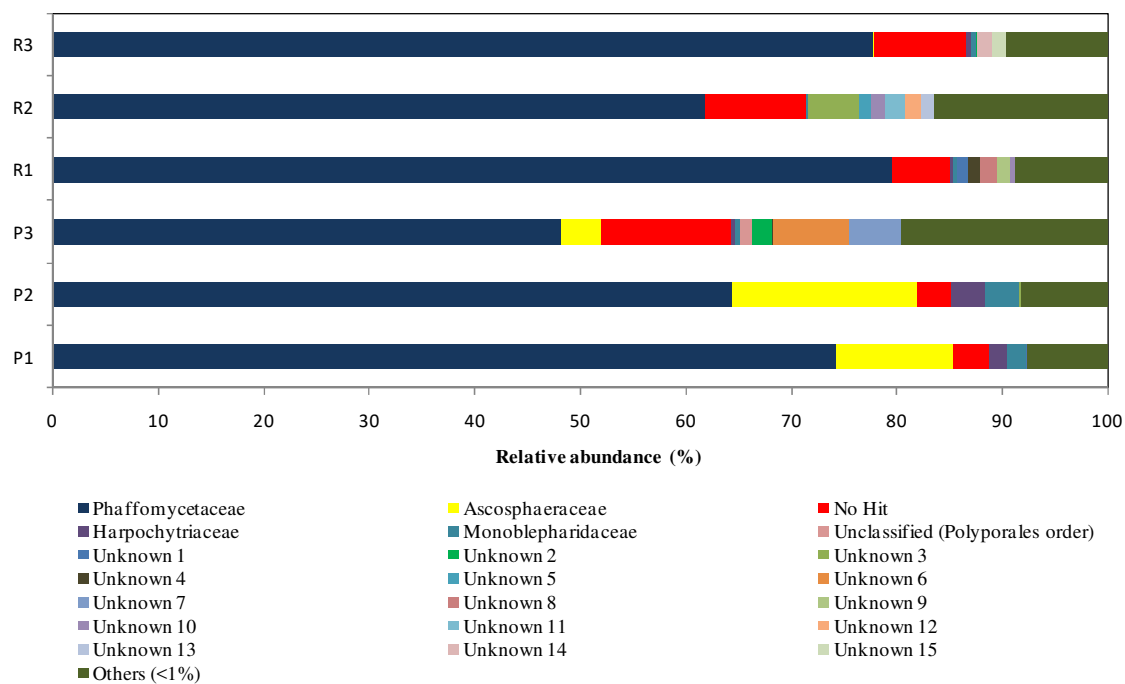
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751 **Figure 6.**

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**Table 1.** Summary of maximum cellulase and xylanase activities production (FPase and Xyl) obtained by SSF.n.r: not reported.

Substrate	Amount (g)	Inoculum	SSF time (h)	FPase (FPU g <sup>-1</sup> DM)	Xyl (U g <sup>-1</sup> DM)	References
Wheat straw	25	<i>T. reesei</i>	240	1.2	n.r	(Lever et al., 2010)
Soybean hulls/Wheat bran	100	<i>A. oryzae</i>	96	10.8	504.9	(Brijwani & Vadlani, 2011)
Rice straw	10	<i>A. niger</i>	96	9.0	936.1	(Dhillon et al., 2011)
Sugarcane bagasse	5	<i>P. ostreatus</i>	72-120	0.25	11.0	(Membrillo et al., 2011)
Wheat bran	10	<i>A. niger</i>	96	13.6	2601	(Bansal et al., 2012)
Apple pomace		<i>A. niger</i>	96	383.7	4868	(Dhillon et al., 2012a)
Rice husk	5	<i>A. niger</i>	96	3.1	n.r	(Bansal et al., 2012)
Orange peels	5	<i>A. niger</i>	96	1.9	n.r	(Martorell et al., 2012)
Wheat straw	5	<i>A. niger</i>	96	13.6	n.r	(Martorell et al., 2012)
Palm oil mill waste	1	<i>A. turbingensis</i>	120	2.4	11.8	(Bahera et al., 2016)
Wheat bran/soybean bran	5	<i>A. fumigatus</i>	120	5.0	10.6	(Delabona et al., 2013)
Wheat bran	1,000	<i>T. reesei</i>	96	8.2	n.r	(Ortiz et al., 2015)
Coffee husk	1,200	<i>Specialized consortium</i>	48	8-9	n.r	(Cerda et al., 2017)
Coffee husk	15,200	<i>Specialized consortium</i>	24	3.08	44.51	This work

**Table 2.** Cellulase and xylanase yields obtained in the three replicates of the pilot solid-state fermentation. Values of each enzymatic activity that do not share a letter are significantly different. n.m not measured.

Replicate	FPase (FPU g <sup>-1</sup> DM)	Xyl (U g <sup>-1</sup> DM)
R1	3.6±0.2(A)	43±3(F)
R2	2.9±0.3(B)	(n.m)
R3	2.9±0.4(B)	48±5(F)
Average ± s.d	3.1±0.5	45±8
Variation coefficient (%)	16%	19%