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# On the causes of trends in the seasonal amplitude of atmospheric CO<sub>2</sub>

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No consensus has yet been reached on the major factors driving the observed increase in the seasonal amplitude of atmospheric CO2 in the northern latitudes. In this study, we used atmospheric CO2 records from 26 northern hemisphere stations with a temporal coverage longer than 15 years, and an atmospheric transport model prescribed with net biome productivity (NBP) from an ensemble of nine terrestrial ecosystem models, to attribute change in the seasonal amplitude of atmospheric CO2. We found significant (P<0.05) increases in seasonal peak-to-trough CO<sub>2</sub> amplitude (AMP<sub>P-T</sub>) at nine stations, and in trough-to-peak amplitude (AMP<sub>T-P</sub>) at eight stations over the last three decades. Most of the stations that recorded increasing amplitudes are in Arctic and boreal regions (>50 N), consistent with previous observations that the amplitude increased faster at Barrow (Arctic) than at Mauna Loa (subtropics). The multi-model ensemble mean (MMEM) shows that the response of ecosystem carbon cycling to rising CO<sub>2</sub> concentration (eCO<sub>2</sub>) and climate change are dominant drivers of the increase in AMP<sub>P-T</sub> and AMP<sub>T-P</sub> in the high latitudes. At the Barrow station, the observed increase of AMP<sub>P-T</sub> and AMP<sub>T-P</sub> over the last 33 years is explained by eCO<sub>2</sub> (39% and 42%) almost equally than by climate change (32% and 35%). The increased carbon losses during the months with a net carbon release in response to eCO<sub>2</sub> are associated with higher ecosystem respiration due to the increase in carbon storage caused by eCO2 during carbon uptake period. Air-sea CO<sub>2</sub> fluxes (10% for AMP<sub>P-T</sub> and 11% for AMP<sub>T-P</sub>) and the impacts of land-use change (marginally significant 3% for AMP<sub>P-T</sub> and 4% for AMP<sub>T-P</sub>) also contributed to the CO<sub>2</sub> measured at Barrow, highlighting the role of these factors in regulating seasonal changes in the global carbon cycle.

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#### Introduction

As an integrated signal of large scale ecological changes, the change in seasonal 3 4 variations of atmospheric CO<sub>2</sub> concentration is an emerging property of the carbon cycle (Bacastow et al., 1985; Kohlmaier et al., 1989; Keeling et al., 1996; Randerson et al., 1997; 5 Piao et al., 2008; Graven et al., 2013; Gray et al., 2014; Zeng et al., 2014; Barlow et al., 6 7 2015; Forkel et al., 2016; Wenzel et al., 2016). The seasonal CO<sub>2</sub> amplitude (AMP) in the lower troposphere has increased by  $\approx 50\%$  north of 45°N since the 1960s (Graven et al., 8 2013), and this signal has been suggested to be contributed by an increased seasonality of net 9 10 biome productivity (NBP) in boreal and northern temperate ecosystems. A full understanding 11 of the major factors governing the increase in NBP or the quantitative contribution of other, smaller fluxes such as fossil-fuel CO<sub>2</sub> emissions and air-sea exchange to the increase in 12 AMP is still lacking. On the one hand, Gray et al. (2014) and Zeng et al. (2014) suggested 13 that agricultural improvements contributed to the increase in AMP at Mauna Loa by 14 increasing the seasonal NBP uptake in cultivated lands, but the estimated contribution of this 15 mechanism differed two-fold between the two studies (range 17-45% of the increasing 16 AMP). On the other hand, Randerson et al. (1997) and Forkel et al. (2016) showed that 17 during the last three decades, most of the increase in amplitude took place at stations north of 18 19 55°N. In this view, agriculture improvement seems unlikely to be the only driving factor, because croplands are mainly in northern temperate latitudes (Foley et al., 2015). Using the 20 LPJmL carbon cycle model with an improved phenological module coupled with an 21 atmospheric transport model, Forkel et al. (2016) found that it is mainly the physiological 22

response of northern plants to warming rather to increasing CO<sub>2</sub> that explains the trend of AMP over the last 20 years, but Graven *et al.* (2013) showed that AMP increased in the 1960s to the mid-1970s at a time when northern temperature slightly decreased. Moreover, Barnes et al. (2016) suggested that advective fluxes through isentropic transport from mid-latitude surface fluxes play a larger impact than changes in Arctic fluxes on the northern high-latitude seasonal cycle throughout most of the troposphere, using GEOS-Chem chemical transport model with CO<sub>2</sub> fluxes simulated from CLM4.5. It therefore highlights the need to search deeper in the attribution of the AMP trend.

In this paper, we investigate the AMP trend in the Northern Hemisphere over the last thirty years (1980-2012) using an ensemble of ecosystem models with different parameterizations of the effects of elevated CO<sub>2</sub>, climate change and land use change (TRENDYv2) (Sitch *et al.*, 2015) with another transport model (LMDZ4) (Hourdin *et al.*, 2013). We also separate the contribution of fossil fuel CO<sub>2</sub> emissions, air-sea fluxes as well as the effects of climate change, rising CO<sub>2</sub> concentration (eCO<sub>2</sub>), land use change and nitrogen deposition in some models on the trends in the seasonality of land ecosystem carbon cycle. The contribution of atmospheric transport trends to AMP trends is also analyzed. We use long-term (>15 years during 1980-2012) trends in seasonal atmospheric CO<sub>2</sub> concentrations from 26 northern (north of 23 N) atmospheric stations of the NOAA-ESRL surface flask air-sampling network (Table S1 and Figure S1).

### Materials and methods

#### 1 Datasets

Atmospheric CO<sub>2</sub> concentration data. Weekly data for atmospheric CO<sub>2</sub> concentration were 2 obtained for 1980-2012 from the archive of Earth System Research Laboratory, National 3 4 Oceanic and Atmospheric Administration (NOAA-ESRL) (Masarie et al., 2014). Our analyses used data from 26 northern temperate and boreal stations with observations longer than 15 5 years (Table S1), because the focus of our study was the long-term trend, which would not be 6 robust without long-term observations. The seasonal curves of atmospheric CO<sub>2</sub> for each 7 station were extracted by fitting the observation data with a function consisting of a quadratic 8 polynomial for the long-term trend, four-harmonics for the annual cycle, and a 80-days 9 10 Full-Width Half-Maximum value (FWHM) averaging filter and a 390-days FWHM averaging 11 filter to further remove short term variations and remaining annual cycles still present in the residuals after the function fit (Thoning et al., 1989). The processing was incorporated in the 12 standard software for processing CO<sub>2</sub> data (CCGCRV) developed by NOAA-ESRL (Thoning 13 et al., 1989). We then obtained the amplitude and monthly concentration differences from the 14 seasonal curve for atmospheric CO<sub>2</sub>. 15

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Land-atmosphere CO<sub>2</sub> exchange. An ensemble of eight dynamic global vegetation models (DGVMs) from TRENDYv2 was used to simulate monthly net biome productivity (NBP) for 1979-2012. These models were coordinated to perform three simulations (S1, S2 and S3) following the TRENDYv2 protocol (Sitch et al., 2015). Only atmospheric CO<sub>2</sub> was varied in simulation S1, and only atmospheric CO<sub>2</sub> and climate were varied in simulation S2. In simulation S3, atmospheric CO<sub>2</sub>, climate and land use were varied. The effects of rising

atmospheric CO<sub>2</sub>, climate change and land use change on NBP could then be obtained from 1 S1, the difference between S2 and S1, and the difference between S3 and S2, respectively. 2 Four of the eight TRENDY models (CLM4.5, ISAM, LPX and OCN) considered 3 4 carbon-nitrogen interactions and nitrogen deposition in simulation S1, S2 and S3. All models used the same forcing data sets, in which global atmospheric CO<sub>2</sub> concentration was from the 5 combination of ice core records and atmospheric observations (Keeling et al., 2005), historical 6 7 climatic fields from the **CRU-NCEP** were dataset (http://dods.extra.cea.fr/data/p529viov/cruncep/), and land use data were from the Hyde 8 database (Hurtt et al., 2011). The effect of nitrogen deposition was derived from an additional 9 10 simulation (S4) by the CLM4 model (Oleson et al., 2010; Mao et al., 2013) in which all 11 driving factors (atmospheric CO<sub>2</sub>, climate and land use) were kept constant at the 1980 value, except transient nitrogen deposition for 1980-2012 (Lamarque et al., 2005). Strictly speaking, 12 the effect of climate change on NBP contains the fingerprint of rising CO<sub>2</sub> since CO<sub>2</sub>-induced 13 climate change cannot be teased out based on offline simulations of carbon fluxes. The pure 14 effect of climate change can only be obtained through resorting to the fully coupled earth 15 system models (e.g. Mao et al., 2017), however which exist a lot of biases in terms of the 16 simulated climate fields, CO<sub>2</sub> concentration and other biogeochemical processes. Detailed 17 information of the nine DGVMs used in this study is listed in Table S2. 18

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Ocean-atmosphere CO<sub>2</sub> exchange. A biogeochemical model, PlankTOM5, combined with a global ocean general circulation model NEMO (NEMO-PlankTOM5), were used to simulate the physical, chemical and biological processes that affect the surface ocean CO<sub>2</sub>

1 concentration and thus the ocean-atmosphere CO<sub>2</sub> exchange (Buitenhuis et al., 2010). The

2 PlankTOM5 model was forced by inputs of ions and compounds from river, sediment and

dust (Cotrim da Cunha et al., 2007; Aumont et al., 2003). The NEMO model was driven by

data for daily wind and precipitation from an NCEP reanalysis (Kalnay et al., 1996). Further

details can be found in Buitenhuis et al. (2010).

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7 Fossil fuel CO<sub>2</sub> emissions. A gridded monthly time series of fossil fuel CO<sub>2</sub> emissions from

CDIAC were constructed based on a proportional-proxy approach (Andres et al., 2011; Boden

et al., 2016). Firstly, available monthly data for fossil fuel consumption data for 21 countries

were compiled, which accounted for about 80% of global total emissions. These data were

then used as a proxy for all remaining countries without monthly data based on countries'

similarities in climates and economies (for few countries, geographic closeness was also

considered). For some years without explicit monthly data, Monte Carlo methods were used

to apply data from years with known monthly fractions to the years with missing-data. Further

details can be found in Andres et al. (2011).

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The atmospheric transport model. We used LMDZ4, a global tracer transport model (Hourdin

et al., 2013) driven by the re-analysis 3-D atmospheric wind fields from the European Centre

for Medium-Range Weather Forecasts (Dee et al., 2011), to transform land-atmosphere CO<sub>2</sub>

exchange, fossil fuel CO<sub>2</sub> emission and ocean-atmosphere CO<sub>2</sub> exchange into point estimates

of CO<sub>2</sub> concentration for the 26 stations. The model configuration we used had a horizontal

spatial resolution of  $3.75^{\circ}$  longitude  $\times 2.5^{\circ}$  latitude with 19 vertical layers.

The effects of changes in atmospheric CO<sub>2</sub> ('CO<sub>2</sub>'), climate ('CLIM'), land use ('LU'), 1 fossil fuel ('FF'), ocean carbon flux ('Ocean') and atmospheric transport ('Wind') on seasonal 2 change in atmospheric CO<sub>2</sub> concentration were differentiated by designing eight transport 3 4 simulations (T1~T8, see Table S4). The first (T1) used time-varying monthly land-atmosphere CO<sub>2</sub> exchange under scenario S3 (driven by rising CO<sub>2</sub>, climate change and land use change), 5 fossil fuel CO<sub>2</sub> emission, and ocean-atmosphere CO<sub>2</sub> exchange coupled with the LMDZ4 6 7 transport model with variable winds, indicating the combined effects of 'CO<sub>2</sub>', 'CLIM', 'LU', 'FF', 'Ocean' and 'Wind'. The LMDZ4 transport experiment was forced by historically 8 varying wind but constant land-atmosphere CO<sub>2</sub> exchange, fossil fuel CO<sub>2</sub> emission and 9 10 ocean-atmosphere CO<sub>2</sub> exchange for 1979 (T6) to assess the contribution of 'Wind'. The 11 individual effects of 'CO2', 'CLIM' and 'LU' were determined using the LMDZ4 model with varying winds to perform three more transport simulations (T2, T3 and T4, see Table 3), in 12 which fossil fuel CO<sub>2</sub> emission and ocean-atmosphere CO<sub>2</sub> exchange were constant at the 13 1979 value but land-atmosphere CO<sub>2</sub> exchange varied under the three scenarios (S1, driven by 14 CO<sub>2</sub>; S2, driven by CO<sub>2</sub> and CLIM; S3, driven by CO<sub>2</sub>, CLIM and LU). Consequently, the 15 effect of 'CO2' alone on seasonal CO2 variation could be assessed by the difference between 16 T2 and T6, that of 'CLIM' by the difference between T3 and T2, and that of 'LU' by the 17 difference between T4 and T3. We also prescribed varying land-atmosphere CO<sub>2</sub> exchange 18 19 from the CLM4 model under scenario S4 (varying only nitrogen deposition), constant fossil fuel CO<sub>2</sub> emission and ocean-atmosphere CO<sub>2</sub> exchange to the LMDZ4 model with constant 20 winds (transport simulation T5) to obtain the effect of nitrogen deposition. Finally, we 21 performed two more simulations in which only fossil fuel CO<sub>2</sub> emission or ocean-atmosphere 22

- 1 CO<sub>2</sub> exchange varied in addition to variable winds (T7 and T8) to obtain the individual effects
- of 'FF' and 'Ocean' on CO<sub>2</sub> seasonal variation. The contribution of 'FF' could thus be
- 3 calculated from the difference between T7 and T6, and that of 'Ocean' from the difference
- 4 between T8 and T6.

# Observed CO<sub>2</sub> amplitude trends

The 26 northern (north of 23 N) atmospheric stations selected are shown in Figure S1 and Table S1. According to the shape of detrended CO<sub>2</sub> seasonal cycle (*Thoning et al.*, 1989) (see methods) (Figure S2), we divided the amplitude into peak-to-trough (AMP<sub>P-T</sub>, defined as the difference between the peak and trough values of the CO<sub>2</sub> seasonal cycle in a year) and trough-to-peak (AMP<sub>T-P</sub>, defined as the difference between the trough value of the CO<sub>2</sub> seasonal cycle in a year and the peak value of the cycle in the next year). The AMP<sub>P-T</sub> and AMP<sub>T-P</sub> represent the seasonal variations in atmospheric CO<sub>2</sub> concentration during the period of net carbon uptake and the period of net carbon release, respectively (Figure S2). Positive trends in AMP<sub>P-T</sub> ranging from 0.05 to 0.15 ppm yr<sup>-1</sup> are significant (P<0.05) at nine stations during 1980-2012, eight of which are north of 50 N (Figure 1a). The other stations do not show significant positive AMP<sub>P-T</sub> trends and five stations show negative trends (the latter being significant at only one station UUM). The significant increase in AMP<sub>P-T</sub> mainly reflects an increasing CO<sub>2</sub> drawdown (defined by the monthly net change in CO<sub>2</sub> concentration) in June and July (Figure S3).

The trends in AMP<sub>T-P</sub> reported in Table S1 are similar to those of AMP<sub>P-T</sub>, logically

- 1 expected because we remove a long-term mean trend in each CO<sub>2</sub> time series (Figure 1b). In
- total, seven out of the eight stations with a significant (P<0.05) increase in AMP<sub>T-P</sub> during
- 3 1980-2012 are located north of 50 N. The months of September and October are those
- during which most of the negative trend of AMP<sub>T-P</sub> occurs at those stations (Figure S3).
- 5 Overall, no stations show significant positive trend in AMP<sub>T-P</sub> during the study period.

in amplitude, as for the observed time series.

## Terrestrial ecosystem model output and simulation of trends in CO2 amplitude

The net biome productivity (NBP) from eight dynamic global vegetation models (DGVMs) from TRENDYv2 (Sitch *et al.*, 2015) and an additional model with carbon-nitrogen interactions (Oleson *et al.*, 2010; Mao *et al.*, 2013) (Table S2 and S3) are prescribed to the atmospheric transport model (LMDZ4) (Hourdin *et al.*, 2013) (See Methods). Time-varying monthly NBP of each model from TRENDYv2 under simulation S3 (driven by CO<sub>2</sub>, climate change and land-cover change) (Sitch *et al.*, 2015), fossil fuel and cement emissions (Andres *et al.*, 2011; Boden *et al.*, 2016), and interannual air-sea fluxes (Buitenhuis *et al.*, 2010) were prescribed to the global LMDZ4 transport model (Hourdin *et al.*, 2013) with variable winds for 1980-2012. This simulation is the T1 (see Methods and Table S4), from which the modeled CO<sub>2</sub> concentration field was sampled at each station and analyzed changes

Most T1 simulations (except with the ISAM and JULES ecosystem models) produce a significant increase in AMP<sub>P-T</sub> at boreal (north of 50 %) stations (Figure 1a), though there are differences among models. In comparison with the observed average trend (0.094  $\pm$ 

- 1 0.033 ppm yr<sup>-1</sup>) of AMP<sub>P-T</sub> at the eight boreal stations with a significant increase in AMP<sub>P-T</sub>,
- three models show a larger AMP<sub>P-T</sub> positive trend (CLM4.5: 0.105  $\pm$  0.046 ppm yr<sup>-1</sup>; LPJ:
- 3 0.101  $\pm$  0.053 ppm yr<sup>-1</sup>; VISIT: 0.101  $\pm$  0.059 ppm yr<sup>-1</sup>). The T1 simulations also correctly
- 4 reproduced the absence of a trend for the three boreal stations with no significant trend in
- observed AMP<sub>P-T</sub> (BAL, MHD and SHM in Figure 1a), except for ORCHIDEE for MHD
- 6 and VISIT for SHM.

- 8 Similar to trends in AMP<sub>P-T</sub>, statistically significant increasing AMP<sub>T-P</sub> is found in the T1
- 9 simulation results (except again for ISAM and JULES), consistent with the observed trends.
- 10 The simulations with ISAM and JULES produce more significant increasing trends in
- 11 AMP<sub>T-P</sub> for temperate than boreal and Arctic stations.

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- Overall, unlike previous studies that have shown a systematic underestimation of AMP
- trend by ecosystem models, namely the CMIP5 models (Taylor et al., 2012) and the
- MsTMIP models (Huntzinger et al., 2013; Wei et al., 2014) at high northern latitudes
- 16 (Graven et al., 2013; Thomas et al., 2016), we found both underestimation and
- overestimation of AMP trends from the TRENDYv2 models (Figure S4). This phenomenon
- may be due to different climate forcing (between CMIP5 and other ensembles), partly
- different terrestrial ecosystem models, and the simulation of transport using different models
- 20 (LMDZ4 here instead of TM3 and ACTM in Graven et al. (2013) and TM3 in Thomas et al.
- 21 (2016)).

#### Effects of various factors on the trends in AMP<sub>P-T</sub>

In order to separate the contribution of different driving factors on the trend of AMP<sub>P-T</sub>, we performed transport simulations with changes in NBP caused by different factors from factorial runs of the TRENDYv2 models, respectively with variable CO<sub>2</sub> only (eCO<sub>2</sub>), variable CO<sub>2</sub> and climate, and variable CO<sub>2</sub>, climate and land cover change (Table S4, see Methods). We further differentiated between the contribution of trends in atmospheric transport from the trends in AMP, using the LMDZ4 transport model with variable transport fields (Dee *et al.*, 2011) but constant NBP, air-sea CO<sub>2</sub> flux and fossil fuel and cement emissions for 1979, so that the trends in AMP from this simulation could be attributed to transport trends only.

The impact of climate change on NBP affecting the AMP<sub>P-T</sub> trends estimated from the multi-model ensemble mean (MMEM) varies among stations (Figure 2a). We find a positive trend of AMP induced by climate change at boreal atmospheric stations (eight of 11 stations north of 50 N (Figure 2a and S5b). On average, climate change caused an enhancement of 0.015±0.025 ppm yr<sup>-1</sup> in AMP<sub>P-T</sub> over boreal region (north of 50 °N) (Figure 3a), which is about 20% of the observed AMP<sub>P-T</sub> trend. To have an idea of the potential impact of different climatic factors, we present an analysis on the trends of temperature and precipitation during 1980-2012 in northern hemisphere. As shown in Figure S5, most northern high-latitude regions show non-significant trends of precipitation. By contrast, a positive trend of temperature was widely found in eastern Siberia and Alaska (Figure S5), which is also the main footprint area of Barrow station (Piao *et al.*, 2017). This result indicates that

temperature is the possible dominant factor on AMP trends at high latitudes, although such

positive effects may saturate (Piao et al., 2014; Fu et al., 2015). As shown in Figure S6a, for

the BRW station (71 °N), the effect of climate change on AMP<sub>P-T</sub> is positive mainly during

4 May and June.

In contrast, at the temperate stations (in the band of 23-50 °N), the effect of climate change on the AMP<sub>P-T</sub> trends is mainly negative (10 of the 15 stations), although the impact is not significant (except for TAP at P<0.05 and ASK marginally significant at P<0.1). Climate change is modeled to cause an average decrease in AMP<sub>P-T</sub> of -0.012 $\pm$ 0.040 ppm yr<sup>-1</sup> at stations in the temperate region (Figure 3a). Analysis of NBP impacted by climate change (Trendy models S2 – S1 simulations) shows that climate change alone caused a decrease in CO<sub>2</sub> uptake from April to August in western and central US, eastern Europe,

northeast China and Mongolia (Figure S7b), associated with declining soil moisture driven

by rising temperature and decreasing precipitation in these regions (Sitch et al., 2015).

In the simulations of CO<sub>2</sub> with MMEM, eCO<sub>2</sub> causes a significant increase in AMP<sub>P-T</sub> at 10 of the 11 boreal stations (Figure 2a), and the magnitude of trend in AMP<sub>P-T</sub> driven by eCO<sub>2</sub> (0.036±0.005 ppm yr<sup>-1</sup>) is about twice as large as that caused by climate change (Figure 3a). This larger effect of eCO<sub>2</sub> than climate change on the AMP<sub>P-T</sub> trends in the boreal zone is also present in the simulations with NBP in the individual ecosystem models (Figure S8a and b). This result does not support previous findings by Forkel *et al.* (2016), in which the signal of climate change is considered larger than eCO<sub>2</sub> in the observed increase

of AMP<sub>P-T</sub> at high latitudes. We agree, however, that climate change rather than eCO2 causes the latitudinal difference of trend in AMP<sub>P-T</sub>. The magnitude of eCO2 effect to increase the trend of AMP in temperate regions (0.028±0.023 ppm yr<sup>-1</sup>) is comparable to that in boreal regions (Figure 3a), although the effect is sigficant at fewer stations (nine of 15) (Figure 2a). It should be noted that four TRENDY models (CLM4.5, ISAM, LPX and OCN) considered carbon-nitrogen interactions and nitrogen deposition, thus the eCO<sub>2</sub> signal derived from these models also includes the interactive effect of nitrogen deposition. Another simulation with nitrogen deposition using the CLM4 model (Oleson *et al.*, 2010; Mao *et al.*, 2013) (see Methods), however, predicts that the effect of nitrogen deposition on the AMP<sub>P-T</sub> trend is not significant (P<0.05) at any of the stations (Figure S9a), but this result depends on individual model parameterizations (Galloway *et al.*, 2008). Further studies based on multiple models with carbon-nitrogen interactions are thus needed.

Both forest inventory data and model simulation have indicated that afforestation and forest regrowth after the abandonment of agriculture in northern ecosystems have an important role in regional and global carbon balances (Pan *et al.*, 2011; Houghton *et al.*, 2012; FAO, 2015). Most TRENDYv2 DGVMs (except ISAM) in our study predict that land use change would increase net carbon uptake from April to August in Eastern Europe, China and central and eastern United States (Figure S7c). Accordingly, a significant (P<0.05) or marginally significant (P<0.10) positive effect of land use change on the trend in AMP<sub>P-T</sub> is predicted across six boreal stations and three northern temperate stations (Figures 2a and S5c), although the magnitude of the signal is generally much smaller than the effect of eCO<sub>2</sub>

and climate change. Overall, the positive increase in AMP<sub>P-T</sub> attributed to land use change is similar between boreal (0.007±0.009 ppm yr<sup>-1</sup>) and northern temperate (0.004±0.008 ppm yr<sup>-1</sup>) regions (Figure 3a), suggesting that the latitudinal difference in observed AMP<sub>P-T</sub> increase (0.07±0.05 ppm yr<sup>-1</sup> in the boreal zone and 0.01±0.05 ppm yr<sup>-1</sup> in temperate zone) has little linkage with land use change. It should be noted that, however, large uncertainties remain in estimating the effect of land use change on the AMP<sub>P-T</sub> trend, primarily because processes of land use change and management (e.g., wood harvest, shifting cultivation and peat fires) are not considered in some Trendy models (Table S3) and some critical processes (e.g., human settlement, erosion/sequestration and woody encroachment) are absent in all models (Houghton *et al.*, 2012).

Over the past thirty years, global CO<sub>2</sub> emissions from fossil fuel consumption have increased from 5.3 Pg C yr<sup>-1</sup> in 1980 to 9.7 Pg C yr<sup>-1</sup> in 2012 (Boden *et al.*, 2016) (Figure S10a). However, the pattern of change is not spatially uniform in the Northern Hemisphere. Annual fossil fuel CO<sub>2</sub> emissions is increased significantly in the northern temperate region, but decreased in the boreal region (Figure S10a). This heterogeneity is also found in the period of April to August, during which AMP<sub>P-T</sub> is calculated for most northern temperate and boreal stations (Figure S10b). As a result, effect of changes in fossil fuel carbon emissions on the trend in AMP<sub>P-T</sub> is opposite between temperate and boreal stations, although the trends in AMP<sub>P-T</sub> caused by the trends in fossil CO<sub>2</sub> emissions were not significant for most stations. A negative effect of fossil fuel emissions on the AMP<sub>P-T</sub> trend is simulated for the temperate stations (13 of the 15 stations showing a negative trend with

three significant stations and one marginally significant station) (Figue 2a), and a positive

2 effect is simulated for most boreal stations (eight of the 11 stations). The absolute value of

the AMP<sub>P-T</sub> trend associated with fossil fuel emissions is generally larger at temperate

(average of -0.013 ±0.022 ppm yr<sup>-1</sup>) compared to boreal stations (average of 0.003 ±0.007

5 ppm yr<sup>-1</sup>) (Figure 3a).

A recent study (Horton *et al.*, 2015) demonstrated robust trends in sub-seasonal atmospheric circulation patterns over mid-latitude regions during 1979-2013, particularly in summer and autumn. Such changes in large-scale atmospheric circulation may exert an effect on the trend of CO<sub>2</sub> amplitude. The magnitude of AMP<sub>P-T</sub> trend caused by transport change is comparable or even larger than the effect of climate change and eCO<sub>2</sub> on NBP at some atmospheric stations, particularly in the temperate zone, although the impact of transport trends on the trend in AMP<sub>P-T</sub> was significant for only two stations (UUM and IZO) (Figure 2a). The magnitude of AMP<sub>P-T</sub> trend caused by wind is remarkable at UUM (Figure 2a), suggesting the potential role of atmospheric transport. This result is consistent with the recent study showing that increasing seasonal fluxes in lower latitudes have a larger impact on the CO<sub>2</sub> amplitude throughout most of the troposphere compared to increasing seasonal fluxes at higher latitudes due to isentropic transport across latitudes (Barnes *et al.*, 2016).

In terms of effects air-sea fluxes on the trend of AMP<sub>P-T</sub>, a weak contribution to AMP trends was simulated across most of stations except at BRW (0.010 ppm yr<sup>-1</sup>, P<0.05, 10% of the observed trend) and MBC (0.015 ppm yr<sup>-1</sup>, P<0.1, 16% of the observed trend).

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The mechanisms driving the trend in AMP<sub>P-T</sub> are here analyzed with observations at the Arctic station of BRW (71°N), the longest northern high latitude CO<sub>2</sub> record showing an increase of amplitude of 35% since 50 years, larger than at the Mauna Loa longest record located in the sub-tropics (Graven et al., 2013; Gray et al., 2014; Zeng et al., 2014; Barlow et al., 2015; Forkel et al., 2016). Our transport simulations with MMEM NBP indicate that AMP<sub>P-T</sub> at the BRW station significantly increased by about 0.095 ppm yr<sup>-1</sup> from 1980 to 2012, comparable to the observed trend of 0.097 ppm yr<sup>-1</sup> (Figure 1a). eCO<sub>2</sub> is identified as the largest contributor of increasing AMP<sub>P-T</sub> with a trend of 0.039 ppm yr<sup>-1</sup> (40% of the observed trend, P<0.05), followed by climate change with a trend of 0.031 ppm yr<sup>-1</sup> (32% of the observed trend, P<0.05) (Figure S8a and b). The effect of ocean flux is of 0.010 ppm yr<sup>-1</sup> (10% of observed trend, P<0.05), and land use change has marginally significant contributions (0.003 ppm yr<sup>-1</sup> and 3% of observed trend, P<0.1) (Figure S8c and e). The impacts on the AMP<sub>P-T</sub> trend were not significant for the other factors such as fossil fuel emissions and transport (Figure S8d and f).

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### Effects of various factors on trends in AMP<sub>T-P</sub>

We also assessed the effect of various factors on the trend in  $AMP_{T-P}$  with the same NBP and transport model simulations (See Methods). In contrast to the period of net carbon uptake, climate change accelerates carbon release from boreal ecosystems during the non-carbon uptake period. An increasing  $AMP_{T-P}$  (a negative trend in  $AMP_{T-P}$  indicates a larger release) is simulated at eight of the 11 boreal stations (one station significant at P<0.05;

two stations marginally significant at P<0.1; Figure 2b). In contrast, a decreasing AMP<sub>T-P</sub> (shown with positive trend) is produced at 12 of the 15 temperate stations (one station significant at P<0.05; one station marginally significant at P<0.1) (Figure 2b). Autumnal warming may increase vegetation productivity by delaying vegetation senescence, as well as accelerate ecosystem respiration (Piao *et al.*, 2008; Vesala *et al.*, 2010). The opposite effect of climate change on the trend in AMP<sub>T-P</sub> in boreal (-0.016±0.027 ppm yr<sup>-1</sup>) and temperate (0.011±0.040 ppm yr<sup>-1</sup>) regions (Figure 3b) is therefore probably due to their different magnitudes of the response of vegetation productivity (GPP) and ecosystem respiration (TER) to climate change. Indeed, the model show that the climate change induced increase of TER is greater than that of GPP in high northern latitudes, whereas the increase of GPP is larger in temperate regions (Figure S11).

Simulation of atmospheric CO<sub>2</sub> from MMEM NBP produce an increasing AMP<sub>T-P</sub> in response to eCO<sub>2</sub> at 25 of the 26 temperate and boreal stations (19 stations significant at P<0.05, two stations marginally significant at 0.05<P<0.1; Figure 2b). NBP from six out of the eight terrestrial ecosystem models (except ISAM and JULES) also produces an enhancing AMP<sub>T-P</sub> from eCO<sub>2</sub> (Figure S12a). This result indicates that an acceleration of carbon release during the period of net carbon release is as an indirect effect of the NBP response to eCO<sub>2</sub>. This acceleration is due to the increment in carbon storage caused by the enhancement of net carbon uptake during the period of carbon uptake under the effect of eCO<sub>2</sub>, which stimulates ecosystem respiration during the non-carbon uptake period (Figure S13). Similarly, we also found enlargement of AMP<sub>T-P</sub> in response to land use change

(significant at nine of the 26 stations, Figure 2b).

Similar to the effect on AMP<sub>P-T</sub>, the contribution of fossil fuel CO<sub>2</sub> emissions, air-sea fluxes and transport on the trends in AMP<sub>T-P</sub> are significant only at a minority of stations (only one, four and two stations at P<0.05 for the effect of fossil fuel, air-sea fluxes and transport, respectively; Figure 2b). However, the magnitude of signal induced by transport and fossil fuel emissions is generally remarkable over temperate region (Figure 3b), causing an average impacts of  $-0.014\pm0.036$  ppm yr<sup>-1</sup> and  $0.010\pm0.014$  ppm yr<sup>-1</sup> in the trend of AMP<sub>P-T</sub>, respectively.

Overall, the observed significant enlargement of AMP<sub>T-P</sub> at the BRW station (-0.090 ppm yr<sup>-1</sup>) is mainly driven by eCO2 (-0.038 ppm yr<sup>-1</sup> and 42% of the observing trend, P<0.05), climate change (0.032 ppm yr<sup>-1</sup> and 35% of the observing trend, P<0.05), ocean flux change (-0.010 ppm yr<sup>-1</sup> and 11% of the observing trend, P<0.05) and land use change (-0.003 ppm yr<sup>-1</sup> and 4% of the observing trend, P<0.05).

### Conclusion

Unlike previous studies based on one model only (Zeng *et al.*, 2014; Forkel *et al.*, 2016), our results based on an ensemble of models to capture the trends in amplitude suggest that rising atmospheric CO<sub>2</sub> concentration is the primary driver of enhancement of both AMP<sub>P-T</sub> and AMP<sub>T-P</sub>, although climate change plays a critical role and contributes largely to the latitudinal differences in the AMP trend. In addition, the effects of other factors such as land

use change, fossil fuel emissions, ocean flux, and transport on the trends in AMP<sub>P-T</sub> and 1 AMP<sub>T-P</sub> are not statistically significant at most stations, but still large enough to cancel out the 2 effect of eCO<sub>2</sub> at some temperate stations where the observed seasonal CO<sub>2</sub> trends are small. 3 4 However, the uncertainties in the forcing data on land use change and fossil fuel emission at the moment do not allow an unequivocal statement on the contribution of these factors, and 5 further studies based on spatially and temporally explicit historical data sets, including land 6 7 use and fossil fuel emission are needed. Finally, rising atmospheric CO<sub>2</sub> concentration has an opposite implication in the northern ecosystem carbon balance between the period of carbon 8 uptake (trend in AMP<sub>P-T</sub>) and the period of carbon release (trend in AMP<sub>P-T</sub>), due to the 9 10 lagged effects of increases in carbon storage during the period of carbon uptake on the carbon cycle in the period of carbon release. Our results not only provide insights for large-scale field 11 experiments, but also highlight the importance of understanding processes of the carbon 12 release during the non-growing season, which is critical for reliable projections of the global 13 carbon cycle, and thus, the future climate change. 14

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## **Supporting Information Captions**

- 2 Tables
- Table S1 Atmospheric  $CO_2$  measurement stations (data coverage > 15 years in the period of
- 4 1980-2012) used in the study and estimated trends of peak-to-trough amplitude (AMP<sub>P-T</sub>) and
- 5 trough-to-peak amplitude (AMP<sub>T-P</sub>).
- Table S2 Details of dynamic global vegetation models used in this study.
- 7 **Table S3** Processes of land use change and management considered in TRENDYv2 models.
- 8 **Table S4** Summary of transport simulations performed.

- 10 Figures
- 11 **Figure S1** Spatial distribution of the NOAA-ERSL stations (data coverage > 15 years) used in
- this study.
- 13 Figure S2 A schematic describing the terms we used to characterize the seasonal amplitude of
- 14 atmospheric CO<sub>2</sub>.
- 15 Figure S3 Observed trends in monthly net CO<sub>2</sub> concentration change (MNCC) from
- long-term records of the global NOAA-ERSL surface flask air-sampling network.
- 17 **Figure S4** Observed and modeled trends in CO<sub>2</sub> seasonal peak-to-trough amplitude (AMP<sub>P-T</sub>)
- 18 (a, c) and trough-to-peak amplitude (AMP<sub>T-P</sub>) (b, d) during 1980 to 2012, averaged over the
- stations from northern temperate region (23-50°N) and boreal region (north of 50°N).
- 20 **Figure S5** Spatial distribution of trends in temperature (a) and precipitation (b) from April to
- 21 August during the period 1980-2012. Note that the period from April to August corresponds
- 22 to the carbon uptake period of most northern temperate and boreal stations. Regions with
- mean annual NDVI (AVHRR NDVI3 g dataset) less than 0.1 were masked.
- 24 Figure S6 Trends in monthly net CO<sub>2</sub> concentration change (MNCC) estimated by
- 25 process-based models at Barrow, Alaska (BRW) during carbon uptake period (CUP) (a) and
- those during carbon release period (CRP) (b) from 1980 to 2012.

- Figure S7 Spatial distribution of trends in net biome productivity (NBP) obtained from eight
- 2 TRENDY models driven by rising CO<sub>2</sub> (a), climate change (b) and land use change (c) from
- 3 April to August.
- 4 Figure S8 Trends in CO<sub>2</sub> seasonal peak-to-trough amplitude (AMP<sub>P-T</sub>) estimated by eight
- 5 TRENDY models and multi-model ensemble mean (MMEM) under different scenario
- 6 simulations at northern temperate and boreal stations.
- 7 Figure S9 Same as Figure 2, but for trends in CO<sub>2</sub> seasonal trough-to-peak amplitude
- 8 (AMP<sub>T-P</sub>) (a) and trough-to-peak amplitude (AMP<sub>T-P</sub>) (b) estimated by CLM4 model under
- 9 nitrogen deposition scenarios at 26 northern temperate and boreal stations.
- Figure S10 Trends in fossil fuel CO<sub>2</sub> emissions.
- 11 Figure S11 Spatial distribution of trends in net biome productivity (NBP) (a), gross primary
- productivity (GPP) (b) and total ecosystem respiration (TER) (c) from September to March
- for eight Trendy models driven by climate change only.
- 14 Figure S12 Same as Figure S5, but for trends in CO<sub>2</sub> seasonal trough-to-peak amplitude
- 15  $(AMP_{T-P})$ .
- 16 Figure S13 Spatial distribution of trends in net biome productivity (NBP) (a), gross primary
- productivity (GPP) (b) and total ecosystem respiration (TER) (c) from September to March
- 18 for eight Trendy models driven by rising CO<sub>2</sub> only.

## Figure legends

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Figure 1 Observed and modeled trends in CO<sub>2</sub> seasonal peak-to-trough amplitude (AMP<sub>P-T</sub>) 2 (a) and trough-to-peak amplitude (AMP<sub>T-P</sub>) (b) during 1980-2012. The modeled 3 4 AMP<sub>P-T</sub>/AMP<sub>T-P</sub> trends were calculated based on eight TRENDY models and the multi-model ensemble mean (MMEM) in the T1 transport simulation (see methods). The abbreviated 5 names of the 26 stations measuring atmospheric CO<sub>2</sub> concentrations in the northern temperate 6 7 and boreal regions are shown at the top of the figure. The stations were sorted based on their latitudes, from 23 \% to 90 \%. Each row represents the trends for the various stations, and each 8 column represents the trends derived from observation and the model simulations at a station. 9 10 Gray grids indicate non-significant trends (P>0.10), colored grids without slashes indicate significant trends (P<0.05) and colored grids with slashes indicate marginally significant 11 trends (P<0.10). The number in each grid is the value of the trend. Station abbreviations are 12 defined in Table S1. 13 Figure 2 Trends in CO<sub>2</sub> seasonal peak-to-trough amplitude (AMP<sub>P-T</sub>) (a) and trough-to-peak 14 amplitude (AMP<sub>T-P</sub>) (b) estimated by multi-model ensemble mean (MMEM) under various 15 scenarios for the 26 northern temperate (23-50 °N) and boreal (north of 50 °N) stations. The 16 results are presented based on the latitudes of the stations. The individual effects of changes in 17 atmospheric CO<sub>2</sub> ('CO<sub>2</sub>'), climate ('CLIM'), land use ('LU'), fossil fuel ('FF'), ocean-air 18 19 carbon flux ('Ocean') and wind ('Wind') on the CO<sub>2</sub> seasonal amplitudes were derived from transport simulations (T2 - T6), (T3 - T2), (T4 - T3), (T7 - T6), (T8 - T6) and T6, respectively 20 (see Methods and Table S4). Significant (P<0.05) trends for each scenario are denoted by two 21

- dots, and marginally significant (P<0.10) trends are denoted by one dot, in the middle of the
- 2 bars.

- Figure 3 Trends in CO<sub>2</sub> seasonal peak-to-trough amplitude (AMP<sub>P-T</sub>) (a) and trough-to-peak
- 4 amplitude (AMP<sub>T-P</sub>) (b) estimated by multi-model ensemble mean (MMEM) under different
- scenarios, averaged over the stations from the northern temperate (23-50°N) and boreal (north
- of 50°N) region. Model scenario simulations include changes in atmospheric CO<sub>2</sub> ('CO<sub>2</sub>'),
- 7 climate ('CLIM'), land use ('LU'), fossil fuel ('FF'), ocean-air carbon flux ('Ocean') and
- 8 wind ('Wind'). Uncertainties are shown by error bars based on the standard deviation of AMP
- 9 trends across the stations in each region.





