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Pt(IV)-Based Nanoscale Coordination Polymers: Antitumor Activity, Cellular Uptake and Interactions with Nuclear DNA

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27 **Abstract**

28 Cisplatin has been for many years the gold standard chemotherapeutic drug for the treatment of
29 a wide range of solid tumors, even though its use is commonly associated with serious side
30 effects including non-selective toxicity, myelosuppression or development of cisplatin
31 resistance, among others complications. Over the last decade, a number of nanoparticle
32 formulations were developed to reduce its side effects and improve the selectivity and efficacy
33 of this drug. In this study, we have developed a novel nanoparticle platform based on nanoscale
34 coordination polymer named (Zn-Pt(IV)-NCPs) which contains a Pt(IV) prodrug , Zn and the
35 linker ligand 1,4-Bis(imidazol-1-ylmethyl)benzene (bix). The main objective has been to gain
36 insights into the mechanism of action of this nanostructured material in comparison with
37 cisplatin and the free Pt(IV) prodrug in order to establish a correlation between nanostructuration
38 and therapeutic activity. Zn-Pt(IV)-NCPs nanoparticles displayed an average size close to 200
39 nm as determined by DLS, a good stability in physiologic environments, and a controlled drug
40 release of Pt. *In vitro* studies demonstrated that Pt(IV)-NCPs showed an enhanced cytotoxic
41 effect against cell culture of cervical cancer, neuroblastoma and human adenocarcinoma cells in
42 comparison with free Pt(IV) prodrug. Although no difference in cell uptake of Pt was observed
43 for any of the three cell lines assayed, a higher amount of Pt bound to the DNA was found in the
44 cells treated with the nanostructured Pt(IV) prodrug. These studies suggest that the
45 nanostructuration of the prodrug facilitate its activation and induce a change in the mechanism
46 of action related to an increased interaction with the DNA as corroborated by the studies of
47 direct interaction of the Pt(IV) prodrug, nanostructured or not, with DNA.

48

49 **Keywords**

50 Nanoscale coordination polymers; cisplatin; nanoparticles; platinum; cisplatin; platinum
51 prodrug

52

53 **1. Introduction**

54 Cisplatin, also termed as *cis*-diaminedichloroplatinum (II) or CDDP, is one of the most widely
55 known and effective drugs used in chemotherapy [1]. This platinum (II)-based molecule is a
56 coordination complex capable to induce cellular apoptosis due to its ability to form intrastrand
57 crosslinks and other adducts with DNA [2, 3]. After its FDA approval in 1978, it has been used
58 for treatment of a variety of human solid tumors, including bladder, head and neck, non-small
59 cell lung cancer (NSCLC), small cell lung cancer (SCLC), ovarian, testicular cancers and
60 neuroblastoma, among others [3]. Although cisplatin is the first-line treatment for the majority
61 of these cancers, the administration of this drug presents many drawbacks. One of the most
62 important is the lack of tumor tissue selectivity leading to important side effects including
63 nephrotoxicity, ototoxicity and or neurotoxicity [4-6]. Moreover, the administration of high
64 doses over time may cause myelosuppression and acquired drug resistance [7, 8]. To overcome
65 such limitations, a series of new cisplatin analogues have been synthesized, and six of them have
66 gained marketing approval over the last thirty years [9]. However, there are still severe side
67 effects associated with the use of these drugs, which notably limit their administration and
68 clinical effectiveness [9, 10].

69

70 During the last decade, a variety of nanostructured systems have been developed as carriers to
71 improve the therapeutic efficacy and decrease the toxicity of cisplatin and cisplatin analogues
72 [11-14]. These systems include organic, inorganic and hybrid nanoparticles. Typically such
73 systems follow two different strategies for drug loading; 1) the direct encapsulation of the drug
74 by physical entrapment; 2) incorporation of the active molecule as building block. Among
75 hybrid nanoparticles, Nanostructured Coordination Polymers (NCPs) present important and
76 unique features, such as its chemical tunability, intrinsic biodegradability and high drug loading
77 capacity. In addition, these nano-platforms have demonstrated promising preclinical results,
78 since exploit what is called the enhanced permeability and retention (EPR) effect [15] and
79 display more controlled and efficient drug release profiles [16, 17]. NCPs are nanoparticles

80 made from the coordination of a metal ion or metal complex that can be polymerized by using
81 adequate linker ligands [17-19]. In these systems, the therapeutic specie can be encapsulated
82 during the nanoparticles formation or act as building blocks what determines the release rate of
83 the active specie [17]. One of the methodologies used for minimize the side effects of cisplatin
84 derivatives is to use the oxidized form Pt(IV) as inactive prodrug molecule. These Pt(IV)
85 complexes remains inactive in physiological conditions meanwhile in intracellular conditions it
86 can become into the pharmacologically active Pt(II) form by the action of reducing agents (i.e.
87 ascorbic acid (AA) or glutathione) [14]. The transformation of the square-planar Pt(II) species
88 into Pt(IV) octahedral complexes has a valuable benefit since this geometry allow polymerize
89 the Pt(IV) units through the axial positions as previously reported [14]. The first family of
90 Pt(IV)-based NCPs was developed by Lin and coworkers in 2008, by using disuccinatocisplatin
91 (DSCP) as Pt(IV) prodrug. This novel strategy for delivery of Pt-based drugs demonstrated
92 unprecedented chemotherapeutic efficiency towards two different cancer cell lines *in vitro* [16].
93 In 2103, the same research group reported the synthesis of lipid-coated coordination polymers
94 based on Zr^{4+} or La^{3+} metal ions and the DSCP ligand as main building block, obtaining
95 spherical nanoparticles, with a controlled drug release of cisplatin and with enhanced cytotoxic
96 effects against two small cell lung cancer cell lines [20]. Additionally, when these lipid-coated
97 nanoparticles were functionalized with anisamide, an enhanced uptake of the nanoparticles was
98 further demonstrated by confocal microscopy and binding assays [20]. From these seminal
99 works, a series of lipid coated and pegalyted NCPs derived from zinc phosphate and cisplatin or
100 oxaliplatin Pt(IV) prodrug were tested *in vivo* in mice, in both cases showing superior antitumor
101 activity compared with free drugs [21]. Although the large number of studies that have been
102 performed with Pt(IV)-based NCPs, there is a lack of knowledge concerning the action
103 mechanisms of the Pt(IV) prodrugs and the effect of its nanostructuration. In this scenario, our
104 objective is to bring some light on the therapeutic effect of the Pt(IV) prodrugs
105 nanostructuration.
106

107 Hence, in this work, novel Pt(IV) prodrug-based nanostructured coordination polymer particles,
108 termed Zn-Pt(IV)-NCPs, were synthesized and extensively characterized. The stability of the
109 nanoparticles, as well as the Pt release, were studied under physiologic conditions. We have also
110 studied the interaction of the released platinum species with DNA by electrophoretic mobility
111 measurements. Additionally, the Pt uptake and the amount of Pt bound to the DNA in three
112 different tumor cell lines were assessed comparing the nanoparticles with the free Pt(IV)
113 prodrug. The cytotoxicity associated to the nanoparticles was demonstrated on multiple cancer
114 cell lines *in vitro* and compared to the free Pt(IV) prodrug. The results obtained in this study
115 provide important evidences of the influence of the nanostructuration on the biological
116 effectiveness of Pt(IV) prodrugs at cellular level.

117

118 **2. Materials and Methods**

119 **2.1 Materials**

120 All chemical reagents were purchased from Sigma-Aldrich (unless otherwise specified) and
121 used as received. Solvents were obtained from Scharlab and used as received. Cell culture
122 media and culture media supplements were ordered from Life Technologies. 1,4-Bis(imidazol-
123 1-ylmethyl)benzene (bix) was synthesized as previously reported by our group with some
124 modifications (see Supporting Information, A1) [22, 23]. ICP-MS standards and the setup
125 solution were acquired from Perkin Elmer. PrestoBlue™ Cell Viability reagent was purchased
126 from Invitrogen. All the nanoparticles synthesized in the present work were prepared under
127 general aseptic conditions to ensure the suitability of the final material for biological
128 experiments. All the cell lines were obtained from the American Type Culture Collection
129 (ATCC). The DNA was stained with Midori Green (Nippon Genetics).

130

131

132 **2.2 Characterization methods**

133 FT-IR spectra were recorded by using a Tensor 27 spectrophotometer (Baker) equipped with a
134 single-reflection diamond window ATR accessory (MKII Golden Gate, Specac). 250 MHz ¹H
135 NMR spectra were recorded on a Bruker DPX 250 MHz spectrometer; Powder X-ray diffraction
136 (XRD) pattern was recorded on a X'Pert PRO MRD diffractometer (PANalytical) and X-ray
137 photoelectron spectroscopy (XPS) was performed in a Phoibos 150 analyser from SPECS. A
138 NexION 300X ICP mass spectrometer (PerkinElmer) was used for mass spectrometry
139 measurements. Scanning electron microscopy (SEM) images were captured on a Quanta 650
140 FEG microscope (FEI) at acceleration voltages of 5–20 kV. Previously, the samples were
141 prepared by drop casting of the corresponding dispersion on aluminum tape followed by
142 evaporation of the solvent under room conditions. All the samples were metalized with a thin
143 layer of platinum (5 nm) by using a Quorum Emitech K550 (Quorum technologies Ltd).
144 Scanning transmission electron microscopy (STEM) images were acquired on a FEI Tecnai F20
145 transmission electron microscope with a HAADF detector at an applied voltage of 75 kV.
146 Dynamic light scattering (DLS) analysis were performed with a Zetasizer Nano 3600 (Malvern
147 instruments) in a PBS buffer containing 31 mg/ml Bovine Serum Albumin (BSA). Fluorescent
148 images from the nanoparticles were acquired using an Axio-Observer Microscope from Zeiss
149 equipped with a AxioCam HRc camera. A Victor3 fluorescence reader (PerkinElmer's) was
150 used to read the plates in the cytotoxicity assays. A GelDoc XR+ reader from Bio-Rad was used
151 for imaging of agarose gels. An Agilent HP 8453 UV-vis spectrophotometer was used for DNA
152 quantification.

153

154 **2.3 Synthesis of the Pt(IV) prodrug**

155 The Pt(IV) prodrug was synthesized similarly as previously described [16], with some
156 modifications. Briefly, the Pt(IV) prodrug was prepared through three synthetic steps: 1) The
157 first step starts with the oxidation of cisplatin to oxoplatin, by the addition of hydrogen peroxide

158 under darkness, with heating and under constant reflux. 2) The resultant product was converted
159 to DSCP by the addition of succinic anhydride, also under darkness, heating and reflux
160 conditions. 3) Finally, DSCP was further converted and stabilized to its bis-propyl ammonium
161 salt. A schematic representation of the synthetic procedure and characterization are shown in
162 Supporting Information, A2.

163

164 **2.4 Synthesis and characterization of the nanoparticles**

165 Zn-Pt(IV)-NCPs and the nickel analogous Ni-Pt(IV)-NCPs were obtained by a *in situ* fast
166 polymerization reaction of the metal ion (Zn or Ni) with a mixture of two co-ligands: the
167 platinum prodrug salt and bix. The synthesis of Zn-Pt(IV)-NCPs and Ni-Pt(IV)-NCPs were
168 performed following previous procedures reported by our research group [19]. In a typical
169 synthesis Bix (18.2 mg, 0.077 mmol) and Pt(IV) prodrug (50.0 mg, 0.077 mmol) were dissolved
170 in an aqueous ethanol solution (25ml EtOH + 2 ml miliQ H₂O). Under constant stirring at 900
171 rpm, a solution of Zn(NO₃)₂ · 6H₂O (22.7 mg, 0.077 mmol in 2 mL miliQ H₂O) or Ni(NO₃)₂ ·
172 6H₂O (22.3 mg, 0.077 mmol in 2 mL miliQ H₂O) were added. The rapid coordination of the co-
173 ligands with the metal ions led to the formation of a fine white and pale blue solids of Zn-
174 Pt(IV)-NCPs and Ni-Pt(IV)-NCPs, respectively, that precipitates in few minutes. The reaction
175 was maintained under stirring for 30 min and the resultant precipitates were collected by
176 centrifugation, washed twice with ethanol, and completely dried by lyophilization. A completed
177 and detailed characterization of the obtained nanoparticles has been summarized in Supporting
178 Information, A3.

179

180

181 **2.5 Characterization of the Pt Release kinetics**

182 The *in vitro* release kinetics of Pt from the nanoparticles was studied by the dialysis method.
183 Briefly, a dialysis bag (MWCO: 3500 Da, 15 x 5 cm) containing 8 mg of Zn-Pt(IV)-NCPs
184 dispersed in 6 ml sterile PBS (pH 7.4) was placed into 100 ml of PBS solution for 72 hours at
185 37 °C and under constant stirring (360 rpm). To determine the release of Pt from the
186 nanoparticles and diffused through the dialysis bag, aliquots of 500 µL of the external solution
187 were taken after different incubation times. Samples were kept at 4 °C, until the Pt concentration
188 was determined by ICP-MS. Release experiments were performed in triplicate.

189

190 **2.6 DNA-binding studies.**

191 DNA-Binding experiments were performed using the pBluescript II plasmid as substrate.
192 Reactions containing 2 µg of the DNA plasmid were incubated with different concentrations (0,
193 1, 10, 100 and 500 µM) of the Pt (IV) prodrug or Zn-Pt(IV)-NCPs for 24 hours at 37 °C in the
194 absence or presence of ascorbic acid (0.1 µg/µL) in 40 mM Tris-HCL buffer (Ph 7.3)
195 containing 40 mM NaCl. Samples containing the reducing agent ascorbic acid were
196 preincubated for 2 hours before the DNA was added to the samples. Reactions with DNA and
197 different concentrations of cisplatin (0, 0.1, 1, 10 and 50 µM) as control drug were prepared.
198 Afterwards, gel electrophoresis experiments were performed on agarose gels (0.7% agarose in
199 Tri-Acetate-EDTA buffer), by using a Bio-Rad horizontal tank connected variable potential
200 power supply.

201

202 **2.7 *In vitro* studies**

203 **Cell culture.** Human BE(2)-M17 (ATCC CRL-2267), HeLa (ATCC CL-2) and MCF-7 (ATCC
204 HTB-22) cell lines were maintained in Opti-MEM™, in Minimum Essential Medium α (MEM-
205 α) medium, or in Dulbecco's Modified Eagle Medium: Nutrient Mixture F-12 (DMEM-F12),
206 respectively. Media were further supplemented with 10 % (v/v) of heat inactivated fetal bovine

207 serum (FBS) and the cells were grown under a highly humidified atmosphere of 95 % air with 5
208 % CO₂ at 37 °C.

209

210 **Cytotoxicity Experiments.** The cytotoxicity of Pt(IV) prodrug and Zn-Pt(IV)-NCPs towards
211 HeLa, BE(2)-M17 and MCF-7 cells was studied by a resazurin-based assay using the
212 PrestoBlue™ Cell Viability Reagent. The cells were seeded in 96-well plates at a concentration
213 of 3.0x10³ cells/well in the case of HeLa and at 2.0x10³ cells/well in the case of BE(2)-M17 and
214 MCF-7 cells, respectively. After 24 h incubation, cells were then treated with the Pt(IV) prodrug
215 or with the Zn-Pt(IV)-NCPs nanoparticles at different concentrations ranging from 0 to 540 μM
216 (referred to the Pt concentration). After 24 and 72 h treatment, aliquots of μl of the
217 PrestoBlue™ Cell Viability Reagent solution were added to each well and incubated for up to 2
218 hours at 37 °C. Fluorescence ($\gamma_{exc}=531$ nm and $\gamma_{em}=572$ nm) of each well was measured using a
219 fluorescence microplate reader. The cytotoxic effect of the Pt(IV) prodrug and Zn-Pt(IV)-NCPs
220 nanoparticles against the three different cells lines was determined by calculating the half-
221 maximal inhibitory concentration (IC₅₀). Cytotoxicity experiments were performed at least in
222 triplicate.

223

224 **Cellular uptake of Pt.** HeLa, BE(2)-M17 and MCF-7 cells were seeded on 60 mm culture
225 dishes at a cell density of 3.0x10⁵ cells/plate. After 48 h growth, cells were incubated with the
226 Pt(IV) prodrug and Zn-Pt(IV)-NCPs nanoparticles at a concentration of 75 μM (referred to the
227 Pt concentration) for 5 h. Immediately before cell recovery, the plates were washed twice with
228 cold PBS and the cells trypsinized for 10 min at 37 °C. Afterwards, trypsin was neutralized with
229 1 volume of fresh medium and aliquots of 10 μl of each cell suspension were collected to count
230 the number of viable cells present in the samples. For Pt quantitation, cell suspensions were
231 transferred to 1.5 ml Eppendorf tubes and the samples centrifuged at 12000 rpm for 5 min.
232 Finally, the supernatants were discarded and the resultant cell pellets were stored at -80 °C until
233 ICP-MS analysis was performed.

234

235 **Cellular DNA-bound Pt determination.** HeLa, BE(2)-M17 and MCF-7 cells were treated with
236 Pt(IV) prodrug and Zn-Pt(IV)-NCPs nanoparticles similarly as previously described for cellular
237 uptake experiments. Afterwards, cells were washed twice with cold PBS, scrapped, transferred
238 to 1.5 ml Eppendorf tubes and centrifuged at 1200 rpm for 5 min. For DNA extraction, the
239 resultant pellets were resuspended in lysis buffer solution containing 150 mM Tris-HCl (pH
240 8.0), 100 mM NaCl and 0.5% (w/v) SDS on ice and afterwards centrifuged at 12000 rpm for 15
241 min and incubated with ribonuclease A (RNase A, 200 µg/mL) for 1 h at 37 °C. Then,
242 proteinase K (100 µg/mL) was added followed by an incubation of 3 hours at 56 °C. A volume
243 of phenol/chloroform (1:1) was added, mixed gently, and after a centrifugation step, aqueous
244 phases containing DNA were transferred to sterile tubes. DNA was precipitated with 0.1
245 volumes of 2 M sodium acetate and 1 volume of absolute ethanol at -20 °C overnight. DNA
246 samples were washed with 1 ml of 70 % (v/v) ethanol, dried and resuspended in 20 µl of elution
247 buffer (10 mM Tris-Cl, 1 mM EDTA, pH 8.0). The concentration of the isolated DNA was
248 determined by measuring the absorbance at 260 nm using a UV-vis spectrophotometer. Pt-
249 bound to DNA was quantified by ICP-MS.

250

251 **2.8 Quantification of Pt, Zn and Ni by ICP-MS**

252 To perform ICP-MS determinations, samples were dissolved in concentrated nitric acid (70%
253 HNO₃) at a concentration of 25 mg/ml and incubated at 50 °C for 18 h under constant
254 sonication. After digestion, samples were diluted with purified water to a final 0.5 % (v/v)
255 HNO₃ solution for chemical formula determination of Pt(IV) prodrug and Zn-Pt(IV)-NCPS
256 nanoparticles, and diluted to a 7 % (v/v) HNO₃ solution to analyze the cells and DNA samples
257 from uptake experiments. Calibration curves of Pt, Zn or Ni were measured from five standard
258 solutions at different concentrations of each metal (from 20 to 200 ppb for compound samples
259 and from 0.5 to 10 ppb for cell and DNA samples), previously prepared by diluting certified

260 reference metal stock solutions. The concentrations of Pt, Zn or Ni present in the samples were
261 calculated from the corresponding calibration curve, previously adjusted to a linear regression
262 model ($R^2 > 0.99$).

263

264 **3. Results and discussion**

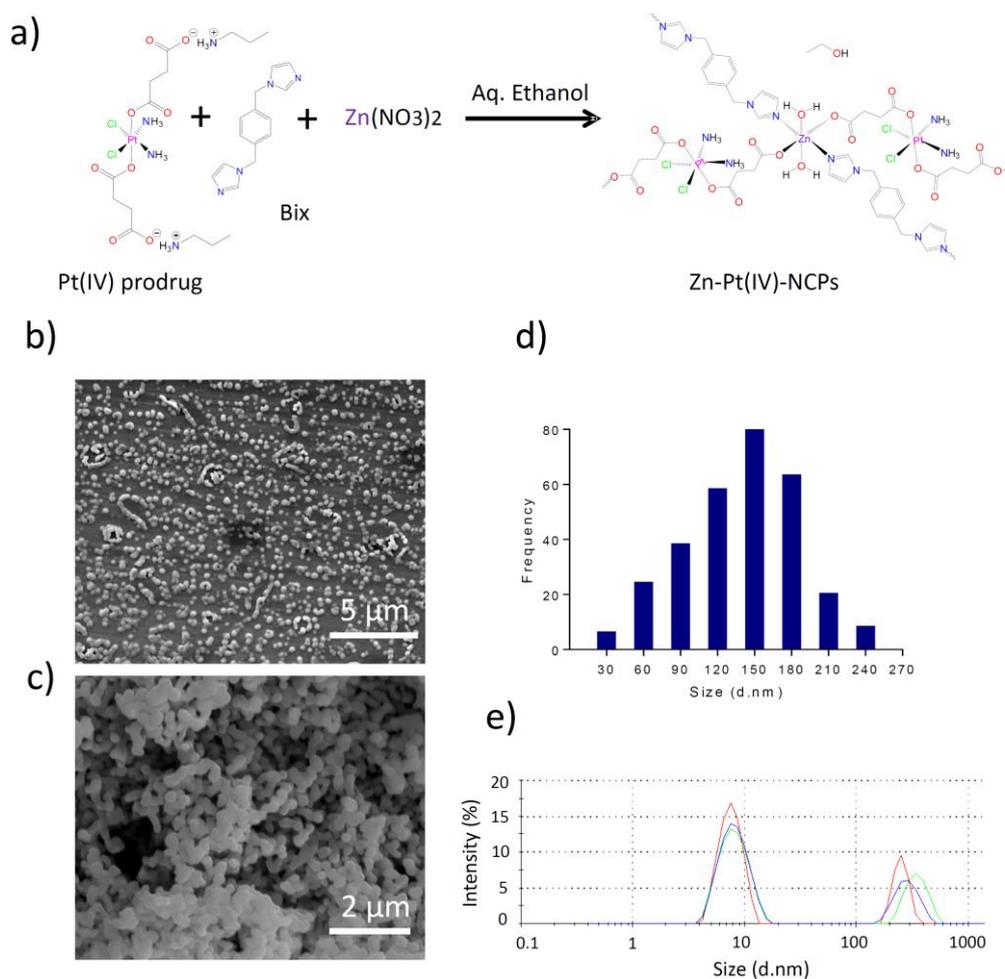
265 **3.1 Synthesis and characterization of Zn-Pt(IV)-NCPs**

266 The schematics for the one-pot synthesis of the Zn-Pt(IV)-NCPs is shown in Fig. 1a. The
267 nanoparticles were fabricated by the fast polymerization of $Zn(NO_3)_2$ with a mixture of two
268 linker ligands, the bis-propyl ammonium salt of Pt(IV) prodrug (DSCP) and bix, resulting in the
269 formation of a white precipitate. The Pt(IV) prodrug is used as its propyl ammonium salt form
270 to favor the coordination of the carboxylic group to the metal ions (Zn or Ni). After 30 minutes,
271 the resulting solid material was then collected by centrifugation, washed several times with
272 ethanol, and dried by lyophilization. As shown by scanning electron microscopy (SEM) (Figure
273 1b-d) Zn-Pt(IV)-NCPs showed spherical morphology and narrow size distribution, whose
274 diameters ranged between 100-200 nm, yielding an average diameter of 148 ± 48 nm. The study
275 of the colloidal stability of the nanoparticles in simulated physiological conditions was further
276 studied by dynamic light scattering (DLS). For this study very stable solution were achieved
277 dispersing 1 mg/ml of Zn-Pt(IV)-NCPs in a phosphate buffered saline (PBS) at pH 7.4 and
278 containing 31 mg/ml of bovine serum albumin (BSA) (Figure 1e). In these conditions, DLS
279 measurements reveal a hydrodynamic diameter of 215 ± 20 nm with an associated
280 polydispersity index (PDI) of 0.238.

281 X-ray powder diffraction data for different batches showed that the particles are amorphous
282 (Fig. S1). The chemical composition of these particles was first determined by energy-
283 dispersive X-ray spectroscopy (EDX), which confirmed that they contain platinum, chloride,
284 carbon, oxygen, nitrogen and zinc. XPS analysis reveals also the elemental composition and
285 corroborate the presence of Pt(IV) and Zn(II) metal ions (Fig. S2 and S3). The FT-IR of the Zn-

286 Pt(IV)-NCPs support the presence of bix and the Pt(IV) prodrug coordinated to Zn ions (Fig.
 287 S4) as evidenced by the shift of the absorption bands of the carboxylate belonging to the
 288 prodrug ligand from 1625 cm^{-1} to 1586 cm^{-1} observed for the Zn-Pt(IV)-NCPs. Additionally, the
 289 presence of stretching vibrations attributed to bix at 1520 cm^{-1} and 1076 cm^{-1} that appear at 1536
 290 cm^{-1} and 1092 cm^{-1} respectively, indicated the inclusion of the bix ligand into the coordination
 291 polymer network.

292



293

294 **Fig. 1.** Synthesis and characterization of Zn-Pt(IV)-NCPs. a) Schematic of Zn-Pt(IV)-NCPs
 295 synthesis. b-c) Scanning electron microscopy (SEM) images of Zn-Pt(IV)-NCPs. d) Particle size
 296 distribution extracted from SEM images. e) Size distribution of the nanoparticles measured in
 297 triplicate by DLS in PBS (pH 7.4) and in the presence of BSA. Note that the peak showed

298 around 10 nm corresponds to BSA and the one that appears at 200-300 nm is attributed to the
299 nanoparticles.

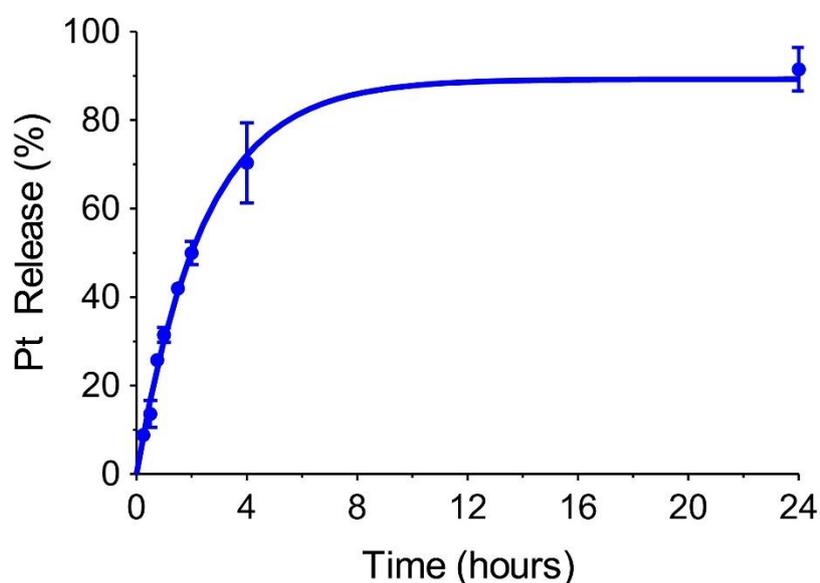
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301 The elemental analysis of the resulting nanoparticles (Table S1) and ICP-MS results for the
302 measurement of metal contain (See Supporting Information, A3.1) are consistent with the
303 proposed coordination polymer formation with formula $[\{Zn(Bix)(DSCP)(H_2O)_2\} \cdot EtOH]_x$
304 corroborating the presence of one bix ligand, one Pt(IV) prodrug molecule and one Zn metal ion
305 per monomer unit (Fig. S2). Additionally, the chemical formula is completed with one molecule
306 of EtOH and two water molecules, the later presumably in the coordination sphere of Zn ions.
307 In agreement, the nanoscale coordination polymer contains 56.6 ± 0.4 wt.% of the Pt(IV)-
308 prodrug, 7.7 ± 0.5 wt.% of Zn and 26.8 ± 0.6 wt.% of bix. The TGA analysis (Fig. S5)
309 corroborate the presence of the solvent molecules since the thermal decomposition showed a
310 weight loss of 4.72% in the temperature range of 25-150°C which fits with the loss of 1
311 molecule of EtOH (calculated weight loss = 5.02%), and another weight loss of 58.51% within
312 the temperature range of 150-900°C which is attributed to the loss of two water molecules and
313 decomposition of the ligand bix and the co-ligand DSCP (calculated weight loss for 2 molecules
314 of water + 1 molecule of bix + 2 molecule of DSCP = 66.65%). The discrepancy of 8.14%
315 between the calculated and experimental values may be due to the undecomposed fraction of
316 thermally stable DSCP. The remaining weight, close to 35%, would correspond to the non-
317 volatile metal oxides generated after burning completely the sample. Fluorescence microscopy
318 images of the resulting nanoparticles showed an intense blue luminescence (peak centered at
319 approximately 405 nm) when the nanoparticles were excited at 355 nm (Fig. S6). This
320 fluorescence emission is typical for Zn-Bix coordination polymers what demonstrates the
321 coordination of bix with Zn(II) [24] . These optical properties make Zn(bix)-based polymers
322 potential blue fluorescent materials and suggests its possible application for biomedical
323 imaging.

324

325 **3.2 *In vitro* drug release**

326 When Zn-Pt(IV)-NCPs (1 mg/ml) is dispersed in BSA-containing PBS buffer (31 mg/ml), the
327 nanoparticles present notable stability up to 24 h incubation, indicating that the presence of BSA
328 improves the colloidal stability of the nanoparticles. Under these conditions, the drug release
329 profile of Pt from Zn-Pt(IV)-NCPs was studied for 24 h at 37°C by using dialysis bag method.
330 As shown in Fig. 2, we observed a fast release of the drug during the initial hours, reaching
331 around 50% Pt release at 2 hours and 80% of the total Pt release after 7 hours. Then, a
332 sustainable slow release (~ 10%) takes place during the subsequent 14 hours.



333

334 **Fig. 2.** *In vitro* release kinetics of Pt from Zn-Pt(IV)-NCPs nanoparticles. Experiment was
335 performed in PBS containing 31 mg/ml BSA at pH 7.4 at 37 ° in triplicate.

336

337 The initial fast release is suggested to be related to the fast degradation of the first layers (soft
338 polymer), meanwhile the more dense core offers an slow release for the remaining material. The
339 overall release fit well with similar systems previously described [16, 17], and give us an
340 information about the chemical stability of the NCPs in solution in physiological conditions. In
341 order to improve their solubility in high-ionic-strength solutions, the nanoparticles were coated
342 with BSA as stabilizer. Serum albumins are attractive natural proteins because they are present

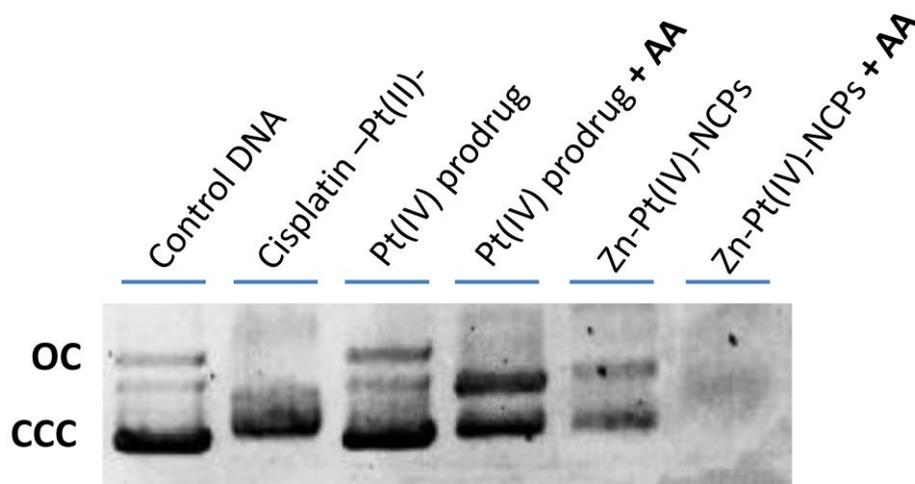
343 in large amounts in biological fluids (such the human serum), or in fetal bovine serum (FBS),
344 and is commonly used supplement for cell culture media. The use of BSA for stabilizing
345 colloidal dispersions of nanoparticles is a common procedure to increase the stability of
346 different nanoconstructs in physiological media. Alternativeley, other coating materials such as
347 silica, lipids or PEG polymers have been used for stabilize Pt(IV)-based NCPs and increase
348 their colloidal suspensions [16, 25, 26].

349 **3.3 DNA-binding studies**

350 To investigate the effects and interaction of the Pt(IV) prodrug and Zn-Pt(IV)-NCPs
351 nanoparticles on a double- stranded DNA, pBlueScript plasmid was used as the DNA substrate,
352 and the resulting DNA-Pt complexes obtaining after treatment were analyzed by an agarose-gel
353 electrophoresis (Fig. S7). To perform the experiments, different concentrations of the Pt(IV)
354 prodrug or Pt(IV) prodrug-based nanoparticles were incubated for 24 h at 37 °C in the absence
355 or presence of ascorbic acid. Ascorbic acid (AA) was added to improve the activation of the
356 prodrug through the reduction of Pt(IV) into active Pt(II) as reported previously [27]. In
357 addition, different concentrations of cisplatin were assayed for comparison purposes. The effect
358 of binding of these compounds was determined by their ability to alter the electrophoretic
359 mobility of a plasmid DNA, which presents two majoritarian forms under native conditions: a
360 supercoiled closed circular form (CCC) and an open circular form (OC) [27, 28].

361 When the Pt(IV) prodrug or Zn-Pt(IV)-NCPs were incubated in the absence of AA, no
362 important effects on DNA migration were observed up to concentrations of 100 μM (Fig. S7).
363 By contrast, the gold standard drug cisplatin caused important effects on the mobility of both
364 the CCC and OC forms of the plasmid DNA at concentrations higher than 10 μM (i.e. 10 and 50
365 μM) (Fig. S7). In a similar manner, the highest concentration assayed of the Pt(IV) prodrug (i.e.
366 500 μM) did not caused changes in the mobility of the OC and CCC forms in the absence of
367 AA (Fig. 3). Interestingly, we observed a clear shift of the two OC and CCC bands in the case
368 of the DNA treated with the nanoparticles at the same concentration (see Fig. 3), suggesting a
369 partial activation of the Pt(IV) prodrug from the nanostructured coordination polymer in the

370 absence of reductant. These bands shift was associated with partial disappearance of the
371 brightness of both OC and CC DNA forms.



372

373 **Fig. 3.** Agarose gel electrophoresis of a pBluescript II plasmid DNA treated with the Pt(IV)
374 prodrug or Zn-Pt(IV)-NCPs. In both cases the concentration assayed was 500 μ M as referred to
375 the Pt concentration and the samples were incubated for 24 h at 37 $^{\circ}$ C in 50 mM Tris-HCl (pH
376 7.3) buffer containing 40 mM NaCl in the absence or presence of 0.1 mg/ml ascorbic acid
377 (+AA). Pure pBluescript II plasmid DNA (Control DNA) and pBluescript II plasmid DNA
378 incubated with 50 μ M cisplatin (Cisplatin-Pt(II)-) were prepared as control conditions.

379

380 In the presence of ascorbic acid (AA), the Zn-Pt(IV)-NCPs nanoparticles clearly altered the
381 mobility of the plasmid DNA in comparison with the same treatment in the absence of reducing
382 agent (see Fig. 3). Furthermore, we observed an almost complete disappearance of the signal of
383 both OC and CC DNA forms. These results indicate that AA accelerates the conversion of the
384 Pt(IV) prodrug present in the nanostructured coordination polymer to more active Pt (II)
385 species, and points to a redox-dependent mechanism of activation. It is well known that the
386 presence of a reducing environment inside the cells facilitates the intracellular reduction of
387 Pt(IV) prodrugs to active Pt(II) species. This step is thought to be essential for the anticancer

388 activity of these agents, and involves the loss of the two axial ligands present in the prodrug
389 [14]. Moreover, the use of nanoparticles containing Pt(IV) polymer conjugates is an interesting
390 strategy to preserve the inactive Pt(IV) complex until a reducing environment generates the
391 activate Pt(II) species. Yang et al. demonstrated by electrochemical studies that platinum(IV)-
392 coordinated polymers can more easily lose the axial ligands and be reduced to the corresponding
393 Pt(II) active drug in an acidic environment [29]. In the case of the free Pt(IV) prodrug,
394 incubation with AA clearly altered the mobility of the plasmid DNA compared to the non-
395 treated control or with the DNA treated with the Pt(IV) prodrug alone. As shown in **Fig. 3**,
396 when AA is present in the medium the CCC form runs slightly slower compared to
397 aforementioned conditions, demonstrating a slight progressive unwinding of the supercoiled
398 DNA. This shift in the migration of the CCC form was accompanied by an increase in the
399 mobility of the OC form, which is compatible with the formation of cisplatin 1, 2 intrastrand
400 crosslinks [30]. While the Pt(II) produced upon the activation of the Pt(IV) prodrug are
401 interacting with the DNA, the gel analyses show that they do not form the same complexes
402 induced by the well-known cisplatin (Fig. 3). This phenomenon has been previously
403 demonstrated by other authors for different Pt(IV) prodrugs [27]. In agreement, it has been
404 reported that Pt(IV) complexes can platinate DNA in their oxidized form through the formation
405 of cytotoxic lesions induced by ligand substitution [14].

406 Taken together, these results from DNA-binding experiments indicate that the Pt(IV) prodrug
407 and the Zn-Pt(IV)-NCPs are interacting with DNA in a concentration-dependent manner.
408 Remarkably, we also show that the Zn-Pt(IV)-NCPs do not require the presence of AA to
409 interact with the DNA, a difference with the non-nanostructured Pt(IV) prodrug. Moreover,
410 partial banishing of the bands associated with the plasmid DNA indicates an interaction of the
411 nanoparticles with the DNA. Such binding might be mediated via electrostatic interactions with
412 the negatively charged phosphate backbone and the electrical charges of the nanostructured
413 coordination polymer. This differential interaction may lead to the aggregation of the DNA
414 limiting the entrance and migration of this Zn-Pt(IV)-NCPs-DNA complexes in the gel.

415 Considering these results, we suggest a different mode of activation and interaction between the
 416 free Pt(IV) prodrug and the nanoparticles with the plasmid DNA.

417

418 **3.4 *In vitro* Cytotoxicity against cancer cells lines**

419 Human cervical cancer, neuroblastoma, and human adenocarcinoma cells lines (HeLa, BE(2)-
 420 M17 and MCF-7, respectively) were used to test the cytotoxicity of the Pt(IV) prodrug and Zn-
 421 Pt(IV)-NCPs nanoparticles after 24 h and 72 h treatment. For comparison purposes, cisplatin as
 422 control was evaluated under the same experimental conditions. The resultant IC₅₀ values are
 423 summarized in Table 1.

424

Table 1. Cytotoxicity of cisplatin, Pt(IV) prodrug and Zn-Pt(IV)-NCPs against three different cancer cells lines.

Cell line	Cisplatin (PtII) IC ₅₀ (nM) ¹	Pt(IV) prodrug IC ₅₀ (μM) ¹		Zn-Pt(IV)-NCPs IC ₅₀ (μM) ¹	
	24 h	24 h	72 h	24 h	72 h
HeLa	3.5 ± 0.9	>540	431 ± 82	218 ± 21	130 ± 27
MCF-7	2.8 ± 0.4	>540	296 ± 31	249 ± 64	59 ± 8
BE(2)-M17	5.5 ± 0.7	>540	494 ± 66	218 ± 21	133 ± 8

¹ Cell viability in the presence of the indicated compound and referred to Pt concentration.

425

426 After 24 h treatment, the Pt(IV) prodrug did not exhibited cytotoxicity against HeLa, MCF-7 or
 427 BE(2)-M17 cells, with IC₅₀ values higher than 540 μM. By contrast, the Zn-Pt(IV)-NCPs
 428 displayed a slight enhanced antitumoral efficacy against the three cell lines, with IC₅₀ values
 429 below 300 μM. This effect was much evident at longer incubation times. Thus, after 48 h
 430 incubation, the IC₅₀ values for the Zn-Pt(IV)-NCPs nanoparticles against HeLa, MCF-7 or
 431 BE(2)-M17 cells were 1.7-fold, 4.2-fold and 1.6-fold lower than the Pt(IV) prodrug,
 432 respectively. This indicates that nanostructuring of a drug increases its cytotoxic effect, and
 433 suggests that maintaining the therapeutic agent within a nanoparticle the anticancer drug can be

434 protected from degradation before cell internalization or, alternatively, provide a more favorable
435 uptake pathway for the cells via endocytosis followed by lysosomal degradation, which can
436 potentially accelerate the conversion of Pt(IV) to its active form Pt(II). However, although the
437 IC_{50} values for Zn-Pt(IV)-NCPs decreases with incubation time, slight differences between
438 different cell lines were observed. As observed, the therapeutic action is also dependent on the
439 cell type. Meanwhile for HeLa and BE(2)-M17 neuroblastoma cell lines the IC_{50} values
440 obtained with cisplatin, Pt(IV) prodrug and Zn-Pt(IV)-NCPs are similar, in the case of MCF7
441 cells the toxicity is notably low in all cases.

442 To assess whether the differences in the cytotoxicity observed between the Zn-Pt(IV)-NCPs and
443 the Pt(IV) prodrug can be attributed to the Zn metal ion present in the nanoparticles, a second
444 generation of Pt-based NCPs incorporating Ni as metal node were synthesized and
445 characterized. Characterization of these nanoparticles (Ni-Pt(IV)-NCPs,) revealed its analogous
446 morphology and chemical composition in comparison with Zn-Pt(IV)-NCPs (See Supporting
447 Information, Fig. S8-S10 and Table S2). The characterization by XRD revealed the amorphous
448 nature of the nanoparticles (Fig. S11) and SEM analysis showed spherical shaped morphology
449 with mean size of ~200 nm (Fig. S12). DLS measurements confirmed the stability of the
450 nanoparticles under physiological conditions (PBS, 7.4 pH with 31 mg/ml BSA) having a
451 hydrodynamic diameter close to 250 nm.

452 As observed in Table 2 the substitution of Zn by Ni in the nanoparticles does not affect the
453 cytotoxicity, what discard the cytotoxic effect attributed to the metal node. As a control probe,
454 $Zn(NO_3)_2 \cdot 6H_2O$ and $Ni(NO_3)_2 \cdot 6H_2O$ salt were tested and the results indicated low levels of
455 cytotoxicity (Supporting Information, Table S3).

456

457

Table2. Comparative cytotoxicity of Ni-Pt(IV)-NCPs and Zn-Pt(IV)-NCPs.

Cell line	Ni-Pt(IV)-NCPs IC ₅₀ (μM) ¹		Zn-Pt(IV)-NCPs IC ₅₀ (μM) ¹	
	24 h	72 h	24 h	72 h
HeLa	302 ± 28	117 ± 24	218 ± 21	130 ± 27
MCF-7	339 ± 44	52 ± 6	249 ± 64	59 ± 8
BE(2)-M17	462 ± 46	81 ± 13	218 ± 21	133 ± 8

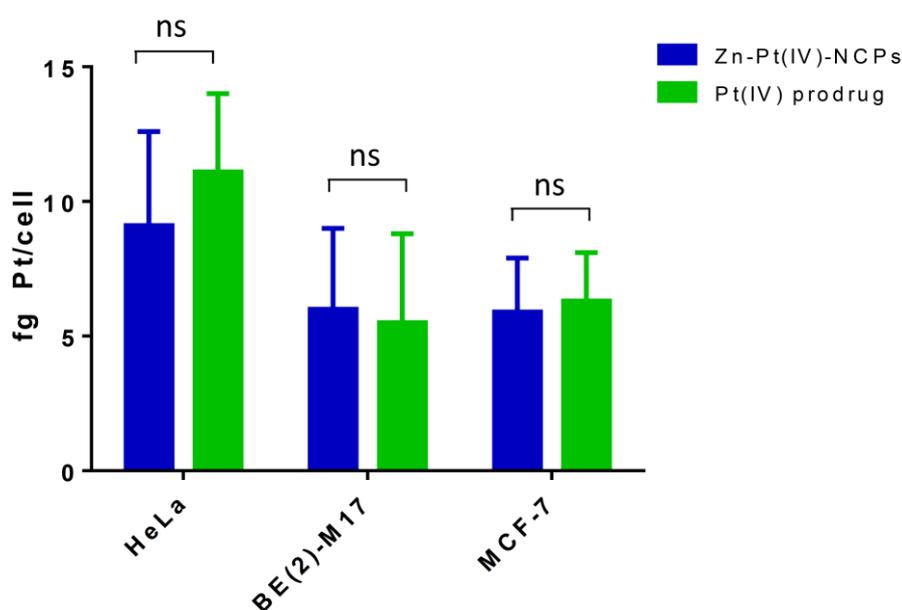
¹ Cell viability in the presence of the indicated compound and referred to Pt concentration.

458

459

460 3.5 Intracellular uptake and quantitation of DNA-bound Pt

461 Cellular uptake of the free Pt(IV) prodrug or nanostructured as NCPs was studied in HeLa,
 462 BE(2)-M17 and MCF-7 cells, using ICP-MS as analytical technique to determine the amount of
 463 platinum present in the intracellular medium. The cells were previously incubated in the
 464 presence of 75 μM, of the Pt(IV) prodrug or Zn-Pt(IV)-NCPs, in terms of Pt concentration, for 5
 465 h. Cisplatin was used as control using the same concentration based on amount of platinum and
 466 under the same experimental conditions. As shown in Fig. 4, there was no statistically
 467 significant difference concerning the amount of Pt detected inside the cells between the
 468 prodrug-treated and nanoparticle-treated groups (P>0.05) for all of the cell lines studied.



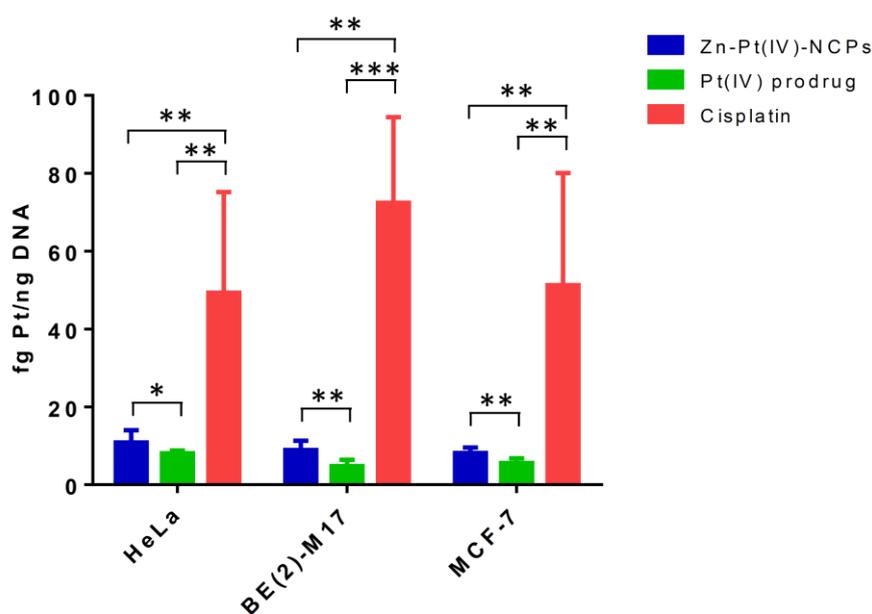
469

470 **Fig. 4.** Cellular uptake of Pt determined by ICP-MS. HeLa, BE(2)-M17 and MCF-7 cells were
471 treated in the presence of Pt(IV) prodrug or Pt(IV)-NCPs at 75 μ M for 6 h. After incubation, the
472 total amount of internalized Pt was determined by ICP-MS. Data is shown as mean \pm sd of three
473 independent experiments performed in triplicate. An Unpaired t-test was performed to compare
474 the Pt uptake between the three different agents: ns, not significant ($p > 0.05$).

475

476 These unexpected results do not correlate with the cytotoxicity effect if we attribute the
477 cytotoxic effect to the amount of platinum. In fact it was expected a higher concentration of Pt
478 inside the cells when the NCPs is used as previously observed with other kind of NCPs [21, 25].
479 In light of this, we performed additional uptake experiments to acquire a more precise
480 quantification of the platinum that had not only reached the inner part of the cell, but also
481 reached the nucleus and interacted with the nuclear double-stranded DNA (final target) in order
482 to understand the higher cytotoxic effect associated to the nanostructured Pt(IV) prodrug in
483 comparison to the free Pt(IV) prodrug.

484 In this second assay, the experimental conditions remains the same that the previous uptake
485 study, but in this case the nuclear DNA of cells treated with cisplatin (control cells), Pt(IV)
486 prodrug or Zn-Pt(IV)-NCPs was further purified and isolated. Then, the amount of Pt bound to
487 the DNA was quantified by ICP-MS (see experimental section for more details). As shown in
488 Fig. 5, results showed significantly higher concentrations of Pt bound to the DNA of cells
489 treated with NCPs in comparison to those treated with the free Pt(IV) prodrug. As expected, the
490 Pt concentrations in both cases were much lower compared to the treatment with the
491 intrinsically active cisplatin.



492

493 **Fig. 5.** Concentration of DNA-bound Pt determined by ICP-MS. HeLa, BE(2)-M17 and MCF-7
 494 cells were treated in the presence of Pt(IV) prodrug or Zn-Pt(IV)-NCPs at 75 μ M for 5 h. As
 495 control condition, cells were also treated with an equivalent Pt concentration of cisplatin. After
 496 treatment, the nuclear DNA was extracted from the cells, hydrolyzed with concentrated nitric
 497 acid and subjected to ICP-MS. Data is shown as mean \pm Sd of three independent experiments
 498 performed in triplicate. An Unpaired t-test was performed to compare the DNA-bound Pt
 499 between the three different agents: * $p < 0.05$; ** $p < 0.01$; *** $p < 0.0001$.

500

501 Therefore, these results bring light concerning the cytotoxic effect of the different formulations.
 502 These experimental data are in agreement with the observed cytotoxicity. Even that the amount
 503 of platinum internalized in cells was not achieving significant differences between the
 504 nanostructured and non-nanostructured Pt(IV) prodrug, platinum is actually more likely to reach
 505 the nuclei of cells when the prodrug is nanostructured, showing an enhancement in the cytotoxic
 506 effect upon nanostructuration. Shen and coworkers [29] investigated the kinetics and
 507 mechanism of reduction of Pt(IV) prodrug monomers and its derived Pt(IV)-coordinate
 508 polymers under different environments. These researchers showed that Pt(IV) in the polymers

509 was much easier reduced to Pt(II) in acidic conditions (such those found inside the cells in
510 lysosomes/endosomes). Our results are, in line with this recent data, which suggest a different
511 activation mechanism of the Pt(IV) prodrug upon the formation of the nanostructured
512 coordination polymer. This hypothesis is consistent with DNA-binding experiments, in which
513 we demonstrated that Zn-Pt(IV)-NCPs is indeed able to alter the mobility of plasmid DNA in
514 the absence of reducing agent, while under the same conditions, the Pt(IV) prodrug monomer
515 did not changed the migration of the plasmid DNA (Fig. 3). A number of previous studies
516 demonstrated an enhanced effect of Pt(IV) prodrugs after its nanostructuration, however none of
517 these works investigated the intracellular levels of Pt inside the cells, or neither the conversion
518 of the prodrug.

519

520 Nanoparticles can often be engineered to have enhanced efficacy in the delivery of
521 chemotherapeutic agents compared the parent drugs. One broad strategy in the nanodelivery of
522 these drugs involves the study of cellular uptake, which usually correlates with the cytotoxic
523 effect. In this report we show that the intracellular levels of Pt after treatment with a
524 nanostructured Pt(IV) prodrug do not correlate with cytotoxicity, and highlight the importance
525 of the study of other factors such the chemical interactions, activation mechanism and/or
526 degradation of the nanostructured materials developed for specific platinum delivery.

527

528

529 **4, Conclusions**

530 In this study, we have successfully designed and synthesized nanostructured coordination
531 polymer particles (NCPs) based on the polymerization of a Pt(IV) prodrug (DSCP), using Zn
532 ions as metal nodes and bix as a coligand to induce the polymerization. The resulting
533 nanoparticles (Zn-Pt(IV)-NCPs) are stable in physiologic conditions, and show an slow and
534 sustainable release of the Pt(IV) prodrug during several hours. The cytotoxicity studies revealed

535 the enhanced antitumor activity of the nanoparticles respect to the free prodrug against the
536 different cancer cell lines studied; HeLa, BE(2)-M17 and MCF-7. Although the enhanced
537 efficacy demonstrated by the nanoparticles respect to the free prodrug, no increase in the total
538 cellular uptake of Pt was observed. By contrast, the amount of Pt associated to the nuclear DNA
539 of the cells treated with the nanoparticles was found to be higher than those cells treated with
540 the free prodrug. The change of Zn by Ni in the NCPs formulation corroborated the non-existent
541 toxicity related to the metal node. The obtaining results indicate that the nanostructuration have
542 not a direct effect in the cellular uptake, but the polymerization of the Pt(IV) prodrug implies in
543 some way a more facile conversion of the prodrug to the active cisplatinum molecule and the
544 enhance of the cytotoxicity. The presented results have shown how the nanostructuration of a
545 therapeutic agent or a prodrug can modify the bioavailability of the active specie and increase
546 the therapeutic action based in different mechanism in comparison with non-structured
547 molecules. In virtue of what was observed, many more efforts should be addressed to determine
548 the different mechanisms of action of nanostructured materials and try to rationalize how the
549 nanostructuration can modify the nature of the active specie.

550

551 **Conflict of interest**

552 The authors declare that they have no conflicts of interest with the contents of this article.

553

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