## Freshwater ecotoxicity assessment of pesticide

# **use in crop production: Testing the influence of**

## 3 modeling choices

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#### ABSTRACT

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20 Pesticides help to control weeds, pests and diseases contributing, therefore, to food 21 availability. However, pesticide fractions not reaching the intended target may have adverse 22 effects on the environment and the field ecosystems. Modeling pesticide emissions and the 23 alignment with characterizing associated impacts is currently one of the main challenges in 24 Life Cycle Assessment (LCA) of agricultural systems. To address this challenge, this study 25 takes advantage of the latest recommendations for pesticide emission inventory and impact 26 assessment and frames a suitable interface for those LCA stages and the related mass 27 distribution of pesticide avoiding a temporal overlapping. Here, freshwater ecotoxicity 28 impacts in the production of feed crops (maize, grass, winter wheat, spring barley, rapeseed 29 and peas) in Denmark are evaluated during a 3-year period, testing the effects of inventory 30 modelling choice and recent updates of the characterization method (USEtox). Potential freshwater ecotoxicity impacts were calculated in two functional units to consider crop impact 31 32 profiles and cultivation intensity. According to the results, ecotoxicity impacts decreased over 33 the period, mainly because of the reduction of insecticide active ingredients (e.g., 34 cypermethrine). Three different emission modelling choices were tested; they differ on the 35 underlining assumptions and data requirements. The median results for the resulting emission 36 fractions vary ~4 orders of magnitude for the different models. Main aspects influencing 37 impact results are the interface between inventory estimates and impact assessment, and the 38 consideration of intermedia processes, such as crop growth development and pesticide 39 application method. Statistical differences were found in the impact results with 2 of emission 40 model tested, thereby indicating the influence of modelling choices on ecotoxicity impact 41 assessment.

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**Keywords:** Pesticide emission factors, inventory modeling, ecotoxicity characterization, life cycle impact assessment (LCIA), feed crops, agriculture.\*<sup>1</sup>

<sup>1</sup> Abbreviations

AI: Active ingredient AS: Alternative scenario BS: Reference scenario CF: Characterization factors

DK: Denmark EF: Effect factor FF: Fate factor Fun: Fungicides

GAP: Good agricultural practices

Gly agri: Total agricultural use of glyphosate

Hrb: Herbicides
Ins: Insecticides
IS: Impact scores
LAI: Leaf area index
NAP: National Action Plans
Pgr: Plant growth regulators
XF: Exposure factor

#### 1 INTRODUCTION

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With the increased global demand for agricultural products for food, fiber and bioenergy, and the interrelated concerns on the environmental impact hereof, there is a need to have efficient tools to evaluate the environmental performance profiles of agricultural production, to facilitate a move towards more sustainable production systems. Life Cycle Assessment (LCA) is a widely applied and standardized framework to quantify potential impacts of products and systems along their entire life cycles. One of the main challenges in assessing the environmental performance of agricultural systems in LCA is modeling emissions from pesticide use and the subsequent coupling with the impact characterization model (van Zelm et al., 2014). Over the past years, a significant number of LCA studies on agricultural systems were conducted; however, ecotoxicity impacts as currently modelled may lead to inconsistent results and wrong conclusions in few cases (e.g., comparing conventional and organic farming), mostly due to the lack of agreement and precise definitions on the modeling framework for this impact category (Notarnicola et al., 2017). The development of the life cycle inventory (LCI) analysis and subsequent life cycle impact assessment (LCIA) (e.g., pesticide emission quantification and related characterization of ecotoxicity impacts) are the core phases of any LCA study. The robustness and reliability of the LCA results depend mainly on the quality and representativeness of the LCI and LCIA data and models selected. Different modeling options, hence, will affect the impact profiles of a study, and this is especially relevant for agricultural systems (Anton et al., 2014). Quantifying the chemical emissions to the environment in the LCI phase is typically based on generic assumptions, often based on standard emission factors (e.g., expressed in percentages of applied mass) or dynamic models based on specific application scenarios that describe the emission distribution of organic pesticides. The consensus effort on the delimitation between pesticide emission inventory and impact assessment for LCA already provides guidelines on what should be quantified in those LCA steps but explicitly exclude how to do it avoiding

71 recommendations on specific models (Rosenbaum et al., 2015). The implications of choosing 72 different emission models in the LCA of crop production have been discussed for some 73 agricultural systems (Goglio et al., 2018; Schmidt Rivera et al., 2017; van Zelm et al., 2014). 74 However, no studies are addressing the influence of the pesticide emission modeling 75 approach, nor the evaluation of recent developments in impact assessment methods to 76 determine pesticide ecotoxicity impact profiles in different crop production systems. 77 Thus, there is a need to test different choices on how to quantify pesticide emission fractions 78 (i.e. different modeling approaches) and the recent developments on the recommended 79 method for freshwater ecotoxicity characterization in the production of feed crops. 80 The purpose of the present study is to contribute to the evaluation of the ecotoxicological 81 burden on freshwater ecosystems from pesticide use in crop production using the pesticide 82 use in Denmark as example. It is focused on assessing the influence of pesticides on the 83 environmental impact profiles of feed crops (maize, grass, wheat, barley, rapeseed and peas) 84 during the period 2013-2015, testing the effects of the LCI choice and the developments of 85 LCIA methodology. 86 2 MATERIALS AND METHODS 87 This study followed the LCA methodology to evaluate the potential ecotoxicity impacts on 88 freshwater ecosystems from pesticide use in Denmark's (DK) crop production. This bottom-89 up analysis focuses on the evaluation and influence of pesticide application on the 90 environmental impact profiles of maize, winter wheat, grass, spring barley, rapeseed and peas 91 during the period 2013-2015, testing the effects of the choice of the emission modeling 92 framework and the recent updates of the characterization method. For the later, we use the 93 global consensus model USEtox (http://usetox.org). 94 2.1 Definition of ecotoxicity impact scores 95 The quantification of ecotoxicity impact scores for freshwater ecosystems includes 1) detailed LCI reporting on the pesticide active ingredient (AI), application methods, time and mass, 96

98 fractions for both on-field and off-field; and 3) mesures to avoid double counting of

location, agricultural practices and crop stage development; 2) quantified AI emission

multimedia transfers considered in the quantification of emission fractions and the impact

assessment fate modeling (Rosenbaum et al., 2015). Accordingly, the freshwater ecotoxicity

impact scores (IS) can be described as:

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$$IS = \sum_{i,x} (CF_{i,x} \cdot m_{i,x}) \tag{1}$$

Where  $CF_{i,x}$  is the characterization factor for freshwater ecotoxicity [PAF  $m^3$  d  $kg_{emitted}^{-1}$ ],

and  $m_{i,x}$  is the mass of AI x emitted to compartment i per area treated [kg<sub>emitted</sub> ha<sup>-1</sup>].

Potential freshwater ecotoxicity impacts (IS<sub>crop\_ha</sub>) [PAF m<sup>3</sup> d ha<sup>-1</sup>] were determined in

relation to 1 hectare [ha] of crop in a given year t within 2013 and 2015 (cultivation intensity).

Additionally, freshwater ecotoxicity impact profiles at country or regional level (IS<sub>crop</sub>) [PAF

m<sup>3</sup> d crop<sup>-1</sup>] from pesticide use were derived from the product of crop impact scores and the

total crop area in a given year in DK.

The interface between LCI and LCIA and related mass distribution for pesticide application in crop production are presented in Figure 1. This approach follows the proposed framework for pesticide inventory and impact assessment (Rosenbaum et al., 2015; van Zelm et al., 2014).

113 **Figure 1.** 

This interface considers the boundaries between the emission inventory and impact assessment, setting also spatial and time dimensions, to quantify the AI emission fractions (in air, freshwater and soil) and characterize ecotoxicity impacts, avoiding any overlap or double counting of the chemical fate process. Furthermore, the emission flows, both on and off the field, are clearly indicated and their link to the characterization factors for the impact pathway (i.e. freshwater ecotoxicity).

120 2.2 Pesticide emission inventory

Pesticide application practices in DK for the selected crops were determined. Concrete active ingredients were used throughout the study, meaning, that the chemical that is the biologically

AI was derived from the annual statistical report on pesticide use by crop in DK for 2013

(Ørum and Samsøe-Petersen, 2014), 2014 (Ørum and Hossy, 2015) and 2015 (Ørum and

Holtze, 2017); for further information see Supporting information (SI-1). We addressed

nearly 60 different AIs from four distinct target classes, herbicides (Hrb), plant growth

regulators (Pgr), fungicides (Fun), and insecticides (Ins). Additionally, glyphosate (CAS-

active part in any pesticide was assessed (European Commission, 2017). The mass applied per

RN107-83-6) use is not allocated to any specific crop cultivation, and it was assessed as the

total agricultural use of the AI per 1 hectare [ha] in a given year, hereafter identified as

(Gly\_agri). All AI identification (CAS registry numbers-RN and names), and class are reported

in SI, Table S1.

133 2.3 Pesticide emission quantification

Crops are treated by foliar spray application (typically boom sprayers), and the reported DK statistics on pesticides were used for agricultural practices. The agricultural field is considered as part of the ecosphere and emissions to environmental media after spraying were modeled via initial distribution (primary processes like initial drift deposition) and secondary emission transfers (*e.g.*, re-volatilization after deposition). The total emission fraction of an AI [kg kg<sup>-1</sup>] is quantified as the sum of the fractions emitted to air, freshwater and soil:

$$f_{em} = \frac{m_{em}}{m_{ann}} = f_{em\_air} + f_{em\_fw} + f_{em\_soil.agri} + f_{em\_soil.other}$$
 (2)

Where  $f_{\rm em}$  is the fraction of the applied mass of pesticide that becomes an emission to the environment,  $m_{\rm em}$  the mass emitted,  $m_{\rm app}$  the mass of pesticide applied,  $f_{\rm em\_air}$  the fraction of applied mass that is emitted to air,  $f_{\rm em\_fw}$  the fraction of applied mass that is emitted to freshwater,  $f_{\rm em\_soil.agri}$  the fraction of applied mass that is emitted to on-field soil and  $f_{\rm em\_soil.other}$  the emission fraction reaching off-field soil and other surfaces.

146 Primary distribution

The primary distribution processes between compartments occur during the initial minutes after pesticide application. This primary process are emission by wind drift ( $f_{d\_lost}$ ), pesticide

149 deposition process and the fraction intercepted by the crop or weed. Since the fractions from 150 initial distribution to environmental media should sum up to 100% of the applied mass, 151 considering losses via degradation during the initial minutes negligible, the aggregated 152 emission fractions will be equal to one (Fantke et al., 2011a; Juraske et al., 2007). 153 Consequently, the crop/weed interception fraction ( $f_{int\_crop}$ ) of an AI directly after the

 $f_{\text{int crop}} = 1 - (f_{d lost} + f_{dev soil.agri})$ 

The fraction lost by wind drift  $f_{\rm d lost}$  [kg/kg], depends on the application method, i.e. the spray equipment and elevation, and wind speed. Based on models for conventional spray equipment on field crops and deposition curve parameters assuming good agricultural practices (GAP), the  $f_{\rm d \ lost}$  was fixed to a value of 0.1 (Gil et al., 2014; Gil and Sinfort, 2005; Gyldenkrne et al., 1999; van de Zande et al., 2007). The soil deposition  $f_{\text{dep\_soil.agri}}$  [kg kg<sup>-1</sup>], depends on cropspecific leaf area index (LAI), thereby also affecting fractions reaching soil surfaces of the treated field area (Fantke et al., 2011b). With an exponential model (Gyldenkærne et al., 2000; Juraske et al., 2007), based on crop growth stage and capture efficacy, the fraction reaching the soil surface is described as:

(3)

$$f_{dep\_soil.agri} = e^{-k_p \times LAI} \tag{4}$$

Where kp is the capture coefficient [-] and set to 0.55 for pesticide spray solutions prepared with adjuvants (Gyldenkrne et al., 1999). Pesticide target class and specific application time were used to define crop-specific growth stages in the selected crops. The LAI was derived for plant growth regulators, insecticides and fungicides distinctly as a value dependent on the target class/crop growth stage/application time combination, (Fantke et al., 2011b; Itoiz et al., 2012; Olesen and Jensen, 2013); for herbicide application on weeds the corresponding LAI of 0.5 is used. This value is based on the reported leaf cover factor for fallow lands (Panagos et al., 2015). Further details presented in SI, Table S2.

174 Secondary distribution

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application is given by:

The subsequent secondary emission transfers include re-volatilization after deposition and off-field emissions allocation. The volatilization from fractions deposited in the different compartments is derived from the default Tier 1 emission factors per AI from their vapor pressures (Webb et al., 2016) see Table S1 and S3 in SI. The emission factor emF was calculated for each AI (see, SI Table S1), the inter-media transfer and the final emission factors are presented in SI, SI-1 and SI-2. Finally, the water to soil area ratio for DK (0.016) was used to allocate the off-field emissions (i.e. drift fraction deposited in off-field surfaces) see SI, Table S2. This value is based on reported data of the Danish ministry of environment (Stockmarr and Thomsen, 2009).

- 184 2.4 Freshwater ecotoxicity characterization
- For assessing the ecotoxicity of pesticides on freshwater ecosystems, we followed the LCIA
- emission-to-damage framework that links emissions to impacts through environmental fate,
- exposure and effects (Jolliet et al., 2004). According to (Hauschild and Huijbregts, 2015;
- 188 Rosenbaum et al., 2008) characterization factors CF for freshwater ecotoxicity of chemical
- 189 emissions can be expressed as:

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$$190 \mathsf{CF}_{i,x} = FF_{i \to fw,x} \times XF_{fw,x} \times EF_{fw,x} (5)$$

- Where FF<sub>i→fw,x</sub> is the fate factor in [d] describing the mass transport, distribution and
- degradation in the environment. The ecosystem exposure factor,  $XF_{fw,x}$ , is defined as the
- bioavailable fraction of a chemical in freshwater; and an effect factor ( $EF_{fw,x}$ ) expressing the
- ecotoxicological effects in the exposed ecosystems integrated over the exposed water volume.
- 195 CFs were estimated with USEtox 2.02 as characterization model, with the specific European
- landscape dataset (i.e. representing DK conditions) (Fantke et al., 2017; Westh et al., 2015).
- 197 New CFs for 10 additional AIs, following the procedure in Fantke et al. (2017) were derived.
- 198 A detailed description of the resulting CF and the data used can be found in SI, SI-3.
- 199 Furthermore, the recent developments for the characterization model between USEtox
- versions 1.01 and 2.02 were evaluated.

#### 2.5 Sensitivity analysis definition

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Two types of local sensitivity tests were conducted. First, a scenario sensitivity analysis was performed to test the effect of LCI modeling choices on the impact profile of the selected crops on the three-year period. Three scenarios were considered, the above-described scenario was selected as a reference case (BS) and two alternative scenarios (AS1-AS2) that represent different modeling approaches to quantify emissions from pesticide use. The alternative scenario AS1 followed Margni et al. (2002), which represents a usually used pesticide emission modeling, and furthermore is one of the first approaches that account for pesticide emission distribution in different environmental media in LCA studies for agricultural systems. In this approach, the pesticide emissions are distributed in environmental media based on fixed share percentages. They assume that the fraction of AI emitted to the soil will be 85% of the total application, 5% will stay on leaves and the remaining 10% is lost into the air across crops and pesticides. The second tested scenario AS2 represents fixed emission fractions dependent on the foliar spray application and drift distributions for field crops. This approach was chosen to represent a modeling framework where the initial distribution (i.e. application method and crop relation) is taken into account but also allowing the inclusion of field emissions in the assessment (Balsari et al., 2007; Felsot et al., 2010; Gil and Sinfort, 2005). Table 1 displays the emission fractions in the three scenarios considered.

219 **Table 1.** 

Second, the sensitivity of the proposed modeling approach was tested by evaluating the change in the impact scores (propagated from the change in emission fractions) as a function of the variation of several input parameters by a factor of 2 larger of their initial values, one at the time. Local sensitivity to input  $S_{in}$  [-] was further expressed as the effect on the model output due to a change in an input parameter (for further details see SI, SI-5).

#### 3 RESULTS AND DISCUSSION

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226 3.1 Pesticides use in Danish crop production (2013-2015) 227 The AI considered in the study covers 98.3% of the total pesticide applications in terms of 228 mass applied for the selected crops: maize, winter wheat, grass, spring barley, rapeseed, peas and the agricultural use of glyphosate (Gly\_agri). The total pesticide use was 3165 tons in 2013, 229 230 1438 tons in 2014 and 2105 tons in 2015. The average pesticide application rates per crop 231 vary between 2 and 3 orders of magnitude (SI, Table S6). Grass is the crop with the lowest 232 application rates and pesticide use; together, fungicides and insecticides represent nearly 20% 233 of the total use in grass-2013; additionally, in 2014-2015, there was no use of insecticides, 234 and fungicides use was reduced by less than 2.5%. Gly\_agri sum up to 2722 tons in the 3 235 years and represents near 40% of the total use of pesticides in DK. Winter wheat (2672 tons) 236 is the crop with higher pesticide use followed by spring barley (748 tons) (SI, Table S7). The 237 most used pesticide target class is Herb and prosulfocarb is the most used AI after Gly\_agri 238 on this target class. 239 3.2 Ecotoxicity impact profiles of feed crops (2013-2015) 240 The IS<sub>crop</sub> from pesticide use decreased over the three years (Figure 2). The reduction of the 241 IS<sub>crop</sub> was more apparent in 2014 (59%) than in 2015 (33%) with respect to the base year 242 (2013). Most of the decrease in the IS<sub>crop</sub> was due to the non-use of a single substance: 243 cypermethrin. This insecticide was the major contributor to IS<sub>crop</sub> in 2013 across crops (e.g., 244 87% in maize, 60% in spring barley and 47% in winter wheat) and was no longer used in 245 2014-2015 (see Table S8 in SI). Furthermore, the fact that maize and grass did not require the 246 use of insecticides in 2015 also contributes to the reduction of IS<sub>crop</sub>, but it is essential to note 247 that this may be the result of unfavourable climatic conditions for the emergence of pests, 248 among many other different reasons.

249 Figure 2.

After winter wheat-2013 (1.6x10<sup>9</sup> PAF m<sup>3</sup> d crop<sup>-1</sup>), spring barley-2013 (1.4x10<sup>9</sup> PAF m<sup>3</sup> d crop<sup>-1</sup>) and rapeseed-2013 (3.3x10<sup>8</sup> PAF m<sup>3</sup> d crop<sup>-1</sup>) present the higher IS<sub>crop</sub> (Figure 2). The larger IS<sub>crop</sub> in those crops is associated with the use of insecticides (e.g., cypermethrin, pendimethalin and lambda-cyhalothrin) and fungicides (e.g., pyraclostrobin, azoxystrobin and folpet), AIs with relatively high CF, and the more extensive cultivation practices (i.e. cultivated area). Consequently, substance prioritization by LCA impact assessment helps to identify potentially harmful AI for ecosystems and, with the restriction of their use or the implementation of more sustainable practices, significant changes in the impact profiles of the crops can be made more apparent (e.g., cypermethrin). In this sense, if farmers choose to use pesticides AI causing lower impacts, the load on agricultural systems will decline, even if they continue to spray their fields as usual for pests and disease control. Moreover, linking this decision with integrated pest management (IPM) will further contribute to lowering the ecotoxicological burden on freshwater ecosystems from pesticide use. 3.3 Pressure of pesticide impacts by hectare and class (2013-2015) When calculating the potential ecotoxicity impacts on freshwater ecosystems per 1 hectare of crop per year (IS<sub>crop ha</sub>) [PAF m<sup>3</sup> d ha<sup>-1</sup>] the cultivation intensity can be addressed, and thus, their interaction of agricultural systems and practices is more apparent. Different ranking and patterns than the presented in section 3.1 are found. Furthermore, the variations in pesticide use (almost 3 orders of magnitude) and impact scores for individual AIs (up to 9 orders of magnitude) are significant. Therefore, in the same year, the two indicators can move in different directions (Figure 3), meaning that pesticide use or application rates is not an adequate indicator of potential impacts (e.g., Gly\_agri and rapeseed), since toxicity potentials might be higher for pesticides that are applied in lesser amounts (Fantke and Jolliet, 2016). Figure 3. In terms of cultivation intensity, peas appeared as the crop with the highest pressure by

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hectare cultivated in the entire period, with the maximum value (6440 PAF m<sup>3</sup> d ha<sup>-1</sup>) in 2015.

In 2013 rapeseed, spring barley and winter wheat showed  $IS_{crop\_ha}$  between 64% and 54% lower than peas, in 2014 the difference for the same crops was among 70% and 85% lower and for 2015 all crops showed  $IS_{crop\_ha}$  80% lower than peas (see Figure 4).

**Figure 4.** 

The IS<sub>crop\_ha</sub> for the study varies up to 3.5 orders of magnitude, and the substances cypermethrin (Ins), aclonifen (Hrb), pendimethalin (Hrb) and lambda-cyhalothrin (Ins) present the most significant contribution to IS<sub>crop\_ha</sub>, which his nearly 70% (see Table S9 in SI). The large IS<sub>crop\_ha</sub> for peas-2015, almost double than precedent years, is mainly explained by the bloated use of aclonifen (Hrb). This intensification of herbicide treatments in 2015 could be potentially associated with the emergence of weed infestation in peas productions fields. Moreover, the sharp increment on IS<sub>crop\_ha</sub> in part is explained by the dose increment by hectare and the relatively high CF for direct emissions to surface water of aclonifen (SI, Table S5), which is driven by a significant EF (1.3x10<sup>+4</sup> PAF m³ kg¹). Furthermore, it is important to note that even if some substances have a high CF; their use could be justified at low doses, because of their agronomic importance and effectiveness of pest or disease control.

The contribution by pesticide target class to freshwater IS<sub>crop\_ha</sub> can be observed in Figure 5. Insecticides is the class that contributes in more significant proportion (56%) to impact scores, followed by herbicides (36.4%) and fungicides (7%); plant growth regulators were not included in Figure 5 as their contribution to IS<sub>crop\_ha</sub> and IS<sub>crop\_DK</sub> was lower than 1%.

295 Figure 5.

It is well known that pesticide treatments are a highly dynamic activity that varies year by year. Although, it could be more static for herbicides than for the other classes (i.e. insecticides and fungicides) that are more closely correlated with the specific climatic conditions on the area and year of study and thus also the emergence of any specific pest or disease. If these dynamics are to be considered in LCI and LCIA modeling choices, the relevant data (on, *e.g.*, pesticide treatment and crop characteristics) have to be consistently

reported (Fantke et al., 2016). As mentioned before IS<sub>crop\_ha</sub> did not follow the same trends of pesticide use, likewise, IS<sub>crop ha</sub> did not correlate with use by crop (R2=0.0006) or by AI. Similar trends of crop impacts on freshwater ecosystems (unallocated values by hectare and year) are obtained by Nordborg et al. (2014) for the cultivation of maize, rapeseed and winter wheat for biofuel feedstock production; Parajuli et al. 2017 for grass, maize and winter wheat straw for bio-refinery, and Schmidt Rivera et al. 2017 for barley production in Italy and Denmark. The studies above mentioned use PestLCI (version 1 or 2) as inventory model and USEtox 1.01 as characterization method for the impact assessment. Therefore, using a less data demanding a simplified approach could lead to same results for substance prioritization. Despite the similarities in the trends of IS<sub>crop\_ha</sub>, when comparing the results with the absolute values of AI use per 1 ha in a given crop, the IS<sub>crop\_ha</sub> are up to 2.2 orders of magnitude higher; considering the uncertainty range of the characterization method (between 1-2 orders of magnitude) this difference might be moderately significant, and more probably associated with the difference in the LCI and the emission modeling framework. 3.4 Effects of modeling choices on ecotoxicity impact assessment 3.4.1 Comparing the LCI modeling choices There are very different approaches and assumptions in order to provide emission estimates for quantifying lifecycle emission inventories of pesticides in any LCA study involving agricultural systems. The most simplified approaches are based on generic assumptions regarding varying percentages for pesticide application, the modeling framework of Margni et al., (2002) is used in several agricultural LCA studies. A different approach is the dynamic emission modeling used in PestLCI. This model estimates emissions to three environmental compartments: air, surface water and groundwater. It considers the agricultural field down to 1 m depth into the soil and up 100 m into the air as part of the technosphere, thus excluding emissions to soil on-field and off-field (Birkved and Hauschild, 2006; Dijkman et al., 2012). The main differences between the methods are the underlining assumptions, the definition and

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alignment between LCI and LCIA and the data requirements for quantifying pesticides emissions. In this sense, modeling approaches that allowed the inclusion of agricultural soil in the assessment and that involve simplified assumptions for at least application methods were selected in order to test the effects on the impact scores from the emission model choice. The selected methodologies are described in section 2.5, and the results between the three approaches (BS, AS1 and AS2) were compared between the five crops in the 3-year period. The median results for  $f_{\rm em}$  in the BS are 2.5 and 1.5 orders of magnitude lower than the emissions for the AS1 and AS2. When modeling  $f_{\rm em\_air}$  the difference is smaller in comparison with the variations of  $f_{\rm em\_fw}$  between the three scenarios. Consequently, the variations in the emission fractions lead to further changes in the estimated impact scores. Results for  $IS_{\rm crop\_ha}$  in [PAF  $m^3$  d  $ha^{-1}$ ] with the BS and the AS1 and AS2 are summarized in Table 2. BS presented the lowest impact results across all crops and years; the highest impact results appear in AS1, whereas, AS2 showed higher impacts than BS but within 1 order of magnitude of difference. High variability in  $IS_{\rm crop\_ha}$  results within BS and AS2 approaches were observed.

**Table 2.** 

The Tukey test was conducted to determine statistical differences in the impact assessment of the three modeling approaches tested. The differences in results of BS and AS1 are statistically significant. Meanwhile, the results for AS2 were statistically similar to BS. The delineation between pesticide emission inventory and the impact assessment has shown to have considerable influence on the estimation of ecotoxicity impacts of AI and the impact profiles of crop production (Rosenbaum et al., 2015; van Zelm et al., 2014). However, that alone is not the only explanatory reason for the lower IS<sub>crop\_ha</sub> results. The consideration of intermedia processes, crop growth development and application method allow for a more accurate estimation of the real phenomena, which are also the aspects that usually have the highest influence on LCI and LCIA models (Dijkman et al., 2012; Fantke et al., 2012).

Furthermore, the consistency showed for trend results of others studies using PestLCI (a more sophisticated emission modeling approach) compared to the BS results are satisfactory (see section 3.3). Keeping in mind that such a model is much more data demanding and since IS<sub>crop ha</sub> represent potential impacts rather than actual damages, the substance prioritization with a simplified method as the BS may serve as a first proxy in LCA studies when more detailed data are lacking. 3.4.2 Variation from LCIA characterization method version The range of variation for the CF of all AI in the study with USEtox 2.02 was almost 9 orders of magnitude. FF and XF vary by near 2 orders of magnitude, while EF varies up to 7 orders of magnitude indicating substantial differences in pesticide-specific ecotoxicity potential. The variation in the CF for direct emissions to surface water, continental air or agricultural soil was near to 10 orders of magnitude, but CF for direct emissions to continental air and agricultural soil was lower than the CF for direct emissions to freshwater (3 and 2 orders of magnitude, respectively). From which, the importance of modeling the impacts of the dose applied, with a coherent coupling of the LCI to the LCIA model results (i.e. characterized results). Results for IS<sub>crop ha</sub> in the base scenario (BS) and USEtox version 1.0 and 2.02 are summarized in Table 3. The more substantial differences in the impact results from both USEtox versions are the AI coverage, with version 1.01 covering fewer AI; thus, IS<sub>crop ha</sub> characterized with v 1.0 are lower in most of the cases due to AI coverage, as expected. Furthermore, significant improvements and scientific consensus have been achieved for the new features introduced in the USEtox version 2.02 among which substances and updated substance data and continent-specific landscape parameters contribute to further improving the accuracy in the quantification of CFs. An example of this, are the results for Peas 2013 to 2015, were all IA were included in both USEtox versions, and IS<sub>crop ha</sub> were within the same

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order of magnitude but between 3 to 6 times larger.

380 **Table 3.** 

381 3.4.3 Sensitivity analysis 382 The results on the evaluation of ecotoxicity impact profiles in Danish crop production 383 demonstrate that modeling freshwater ecotoxicity impacts with the BS and USEtox 2.0 allows 384 to recognize trends of different pesticides treatments and burdens on freshwater ecosystems, 385 thus accounting for interactions between different compartments and a defined clear interface 386 between LCI and LCIA (Figure 1). 387 The variations of the emission fractions to air, surface water and soil were 6 orders of 388 magnitude. Given the input parameter sensitivity analysis presented in the Supplementary 389 material SI, SI-5, the primary sources of uncertainty in the proposed emission modeling 390 framework are identified as i) the application method and the drift fractions, and ii) the 391 allocation for the off-field emission, specifically the water to soil ratio (as shown in figure 6). 392 Although, the uncertainty range associated with pesticide emissions have not yet been 393 quantified and is beyond the scope of the present study. 394 The uncertainty of CFs (USEtox 2.02) due to emissions to air, freshwater and agricultural soil 395 is 176, 18 and 103 GSD<sup>2</sup> (Rosenbaum, 2016). The major sources of uncertainty are 396 substances half-lives and ecotoxicity EF (Henderson et al., 2011). Furthermore, in comparison 397 with the FF and XF, the EF shows a substantial variation among the substances covered in 398 this study, explaining a large part of the variations in the CFs for the AI after emissions to 399 freshwater. 400 4 CONCLUSIONS 401 LCI modeling options do affect the ecotoxicological burden on freshwater ecosystems from 402 pesticide use, and directly affects substance prioritization in LCA studies. Furthermore, the 403 updated CF with the continent-specific landscape parameters contributes to a broader 404 assessment. In the case of scenario and sensitivity analysis, the main findings identified

application method and allocation for the off-field emission, as the main descriptors for

modeling emissions of pesticides. The use of the modeling framework presented in this study allows delivering more robust results and accurately evaluation of ecotoxicity impacts. Finally, to provide consumers and policymakers with more reliable information on the environmental performances of agricultural systems, LCA studies need to include all relevant emission outputs; therefore, a final consensus needs to be reached with a specific emission model recommendation. **ACKNOWLEDGEMENTS** The authors gratefully acknowledge the PhD stage of Nancy Peña at the Agroecology department of Aarhus University financed by the European Commission under the Seventh Framework Program FP7-KBBE.2010.1.2-02, for the Collaborative Project SOLID (Sustainable Organic Low-Input Dairying; grant agreement no. 266367). This work was financially supported by a scholarship granted by the Colombian Government through COLCIENCIAS. Authors would also thank the support from "CERCA Program Generalitat de Catalunya" and Erica Montemayor for the proofreading. APPENDIX A. SUPORTING INFORMATION The following is the supplementary material related to this article. Detailed information of scenarios, physicochemical properties and data on pesticide active ingredients, further annotations on pesticide emission quantification, data and sources for the derivation of new CFs, as well as supporting materials for results and sensitivity analysis included in the study are provided in the Supporting information.

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### Tables and table captions

**Table 1.** Comparison of pesticide emission fractions fem calculated by the BS (reference scenario), AS1 (Margni et al. 2002) and AS2 (application method and crop relation).

Emission scenarios	Average fraction emitted [kg kg <sup>-1</sup> ]	Standard deviation on fractions		
BS				
$f_{ m em\_air}$	$1.16 \times 10^{-1}$	$2.03 \times 10^{-1}$		
$f_{ m em\_fw}$	$1.60 \times 10^{-3}$	0		
$f_{ m em\_soil.agri}$	$3.75 \times 10^{-1}$	$3.11 \times 10^{-1}$		
$f_{ m em\_soil.other}$	$8.70 \times 10^{-2}$	$2.01 \times 10^{-2}$		
AS1				
$f_{ m em\_air}$	$1.00 \times 10^{-1}$	0		
$f_{ m em\_fw}$	$5.00 \times 10^{-2}$	0		
$f_{ m em\_soil}$	$8.50 \times 10^{-1}$	0		
AS2				
$f_{ m em\_air}$	$1.70 \times 10^{-1}$	0		
$f_{ m em\_fw}$	$1.00 \times 10^{-2}$	0		
$f_{ m em\_soil}$	$4.50 \times 10^{-1}$	0		

**Table 2.** Comparison of scenarios to test different emission modeling approaches. Results for potential freshwater ecotoxicity impact scores IS<sub>crop\_ha</sub> in [PAF m3 d ha<sup>-1</sup>] in the base scenario (BS) and alternative scenarios AS1 and AS2

Crop	BS			AS1			AS2		
	2013	2014	2015	2013	2014	2015	2013	2014	2015
Maize	513	92	50	14370	2261	582	3041	475	138
Grass	17	11	13	219	141	169	51	31	37
Winter wheat	2210	434	551	58522	11790	14879	12410	2502	3154
Spring Barley	2086	458	631	64214	12888	18305	13514	2701	3808
Rape	1880	921	1394	56586	17682	33144	12244	4144	7267
Peas	3454	2928	6440	110166	69469	120016	23547	14653	26057

**Table 3.** Comparison of scenarios to test developments of LCIA characterization method. Results for potential freshwater ecotoxicity impact scores IS<sub>crop\_ha</sub> in [PAF m3 d ha<sup>-1</sup>] in the base scenario (BS) and USEtox version 1.0 and 2.02

Crop	BS - USEtox 1.0			BS - USEtox 2.02		
	2013	2014	2015	2013	2014	2015
Maize	246	63	146	513	92	50
Grass	24	12	14	17	11	13
Winter wheat	1349	445	1223	2210	434	551
Spring Barley	758	267	390	2086	458	631
Rape	776	563	702	1880	921	1394
Peas	1483	1893	6080	3454	2928	6440
Glyphosate Agri-use	24	12	17	14	6	8

### 568 Figures and figure captions

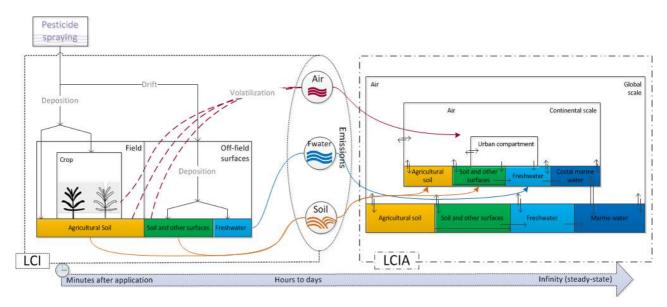
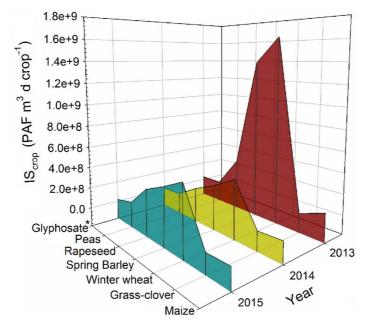
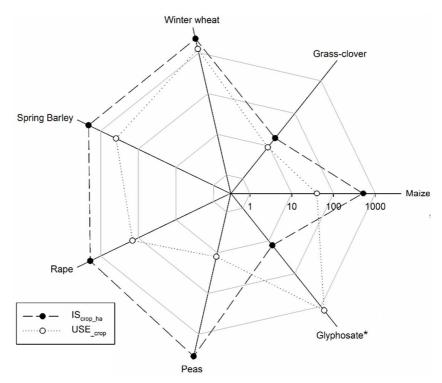


Figure 1. Interface between LCI and LCIA for pesticide application in crop production.

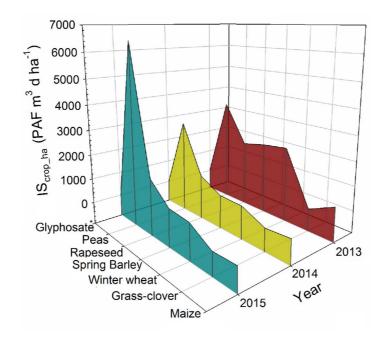


**Figure 2.** Freshwater ecotoxicity impact profiles for crop production (2013-2015), impact scores  $IS_{crop}$  in [PAF  $m^3$  d  $crop^{-1}$ ]. \*Glyphosate (CAS107-83-6) assessed as the total agricultural use in Denmark.

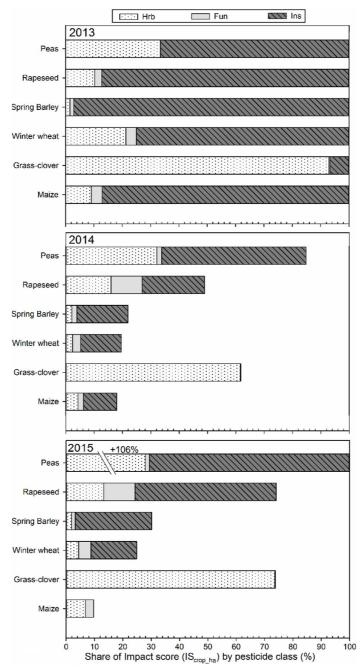




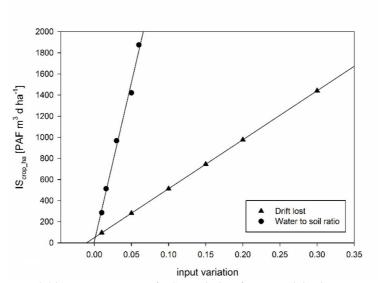
**Figure 3.** Comparison between use of pesticide active ingredient (USE\_crop) [tones] and potential freshwater ecotoxicity impacts (IS $_{crop\_ha}$ ) [PAF m3 d ha $^{-1}$ ] for 5 analyzed crops 2013 and \*Glyphosate (CAS107-83-6) assessed as the total agricultural use in Denmark in logarithmic scale.



**Figure 4.** Pressure of pesticide impact scores by hectare of crop cultivated for Danish crop production (2013-2015), impact scores IS<sub>crop\_ha</sub> in [PAF m³ d ha⁻¹]. \*Glyphosate (CAS107-83-6) assessed as the total agricultural use in Denmark.



**Figure 5.** Share of freshwater ecotoxicity impact scores  $IS_{crop\_ha}$  in [%] by pesticide class herbicides (Hrb), insecticides (Ins) and fungicides (Fun) taking as reference per crop  $IS_{crop\_ha}$  - 2013 as reference year.



**Figure 6.** Sensitivity to model input parameters of BS. Variation for ecotoxicity impact scores ( $IS_{crop\_ha}$ ) in [PAF  $m^3$  d  $ha^{-1}$ ] of Maize in 2013 (Mz-13)