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1 **Title: RESTOQUARRY: indicators for self-evaluation of ecological restoration in**  
2 **open-pit mines**

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12

13

#### 14 **Abstract**

15

16 Several methods and criteria to evaluate and assess quarry restoration are available in  
17 the scientific literature, but they are very specialized and time consuming. Furthermore,  
18 there is a lack of evaluation tools appropriate for technicians involved in these types of  
19 activities, such as quarry engineers, restoration managers and quality control  
20 supervisors in public administration. The work presented attempts to bridge the gap  
21 between scientific knowledge and practical needs by proposing a simplified  
22 methodology (RESTOQUARRY protocol), which enables the non-scientific public to  
23 evaluate restored areas. This procedure focused on five groups of parameters for zone  
24 (homogeneous portions within the whole restored area) evaluation: geotechnical risk,  
25 drainage network, erosion and physical degradation, soil quality and vegetation status  
26 and functionality. Moreover, three groups of parameters are proposed for area (whole  
27 restoration) evaluation: landscape integration, ecological connectivity and fauna, and  
28 anthropic impacts. This protocol has been tested in 55 open-pit mines located  
29 throughout Catalonia (NE Iberian Peninsula), covering a wide range of Mediterranean  
30 climatic conditions and geological substrates. Results indicate that the proposed  
31 methodology is suitable for detecting critical parameters that can determine the success  
32 of the restoration.

33

34

35 **Keywords:** Open-pit mines reclamation; quarry rehabilitation; ecological restoration;  
36 restoration evaluation; ecological indicators

37

38 **Highlights**

39

40 A new multicriterial procedure for integrated self-evaluation of mine restorations

41 It includes ecological, technical and socio-cultural aspects

42 It uses 34 evaluation parameters, selected and weighted by an expert panel

43 The evaluation allows to score the whole restoration

44 The score is accompanied by an interpretation of the monitoring values

45 The evaluation allows to highlight critical factors for restoration success

## 46        **1. Introduction**

47    Ecological restoration is defined by The International Society for Ecological Restoration  
48    as the process of assisting the recovery of an ecosystem that has been degraded, damaged  
49    or destroyed (Clewell et al., 2004), in order to retrieve its environmental functions and  
50    ecosystem services. This institution provides a list of ecosystem attributes as a guideline  
51    for measuring restoration success after human-induced perturbations. However, what  
52    characterizes successful restoration and how best to measure it generates debate among  
53    members within the scientific community (Wortley et al., 2013; Crouzeilles et al. 2016).  
54    Many methods to evaluate these attributes are available in the scientific literature and  
55    most studies are focused on vegetation composition and structure, biodiversity and  
56    ecological processes (Ruiz-Jaen & Aide, 2005; Wortley et al. 2013). In the present paper,  
57    the concept of restoration is used in a broad sense, including rehabilitation and other  
58    recovering alternatives of mined sites.

59    It is well known that advances in restoration ecology are intrinsically linked to advances  
60    in the ecological understanding of the ecosystems to be restored, and the knowledge of  
61    soil and vegetation properties is an appropriated way to guarantee restoration success  
62    (Prach, 2003; Temperton et al. 2004; Valladares and Gianoli, 2007). Moreover,  
63    geotechnical stability, runoff control, landscape integration, and ecological connectivity,  
64    among others, are basic site attributes to be considered for a good quality restoration,  
65    especially in mining activities. However, the choice of relevant evaluation attributes  
66    depends on the type of degradation processes that previously affected the restored zones.  
67    Specifically, sites affected by mining activities, such as quarries, are a paradigmatic case  
68    of drastic anthropic perturbation, as almost all the components and attributes of the  
69    original ecosystem have been destroyed and, therefore, must be restored.

70 Practitioners have asked researchers to provide potentially useful procedures based on  
71 objective indicators (Clewel & Rieger, 1997; Beier et al. 2017). On the other hand,  
72 researchers have appointed the need to improve the evaluation of restorations carried out  
73 in open-pit mines (Halldórsson et al. 2012, Hagen et al. 2013; Suding 2011), although the  
74 available information on the topic has increased in the last years (Wortley et al. 2013).  
75 Evaluation tends to be focused on the descriptive characterization of the restored areas,  
76 and restricted to a single or few checks after the restoration works (Suding 2011).  
77 Nonetheless, a continuous monitoring during all the restoration process is necessary  
78 (Allen et al. 2002; Pander and Geist 2013) and should be coupled to the exploitation  
79 works. In any case, economic and ecological results of the restoration could be improved  
80 if clearer evaluation protocols exist, which also could facilitate the transfer of valuable  
81 information to other projects (Nilsson et al. 2015).

82 The present work attempts to satisfy these demands for evaluating restoration of mine  
83 sites, providing a scientifically based multifactorial methodology to be incorporated in  
84 the decision-making process. This will lead to regaining the restoration bonds (financial  
85 guarantee) that mine companies must deposit in many countries, in order to guarantee the  
86 correct restoration of the degraded land. This study aims to contribute to the generation  
87 of best available techniques in this field, filling the gap that already exists in the extractive  
88 activities sector with an innovative methodology that takes into account a wide range of  
89 geotechnical and ecological indicators. Some authors have proposed similar procedures  
90 for rangelands and mine sites (Courtney et al. 2010; Dzwonko and Loster 2007; Tongway  
91 and Hindley, 2004); however, these methodologies are rather inaccessible to the non-  
92 scientific public, as they assess excessively specific or technical indicators. In order to  
93 avoid these limitations, RESTOQUARRY protocol, a self-evaluation procedure of open-  
94 pit mines restoration, is proposed (Carabassa et al. 2010; Carabassa et al. 2015). This

95 protocol is aimed to be useful for mining engineers and managers of environmental  
96 agencies, who can easily put it into practice without having to have much scientific  
97 knowledge about ecological restoration. If this goal is reached, better involvement by  
98 extractive companies in the restoration process would also be achieved and, therefore, the  
99 quality of the restorations carried out by these industries would rise. Moreover, the  
100 application of participatory methodologies such as the proposed in this work would aid  
101 the cooperation and communication between public administration and extractive  
102 industries, which is crucial for improving restoration and finding the most appropriate  
103 solution on a case by case basis.

104

## 105 **2. Materials and methods**

### 106 *2.1 Selection of restoration indicators*

107 A preliminary proposal of quality indicators/parameters of mining restoration success was  
108 subjected to a screening process by experts. This proposal has been based on the know-  
109 how generated in previous research projects and carried out with the collaboration of  
110 engineers of mining industries, technicians of competent authorities, ecologists from  
111 NGOs, technicians from consulting companies and scientists with broad experience in  
112 mine restoration. These actors constituted an expert panel including 17 people/entities.  
113 After an independent review process, the first proposal of indicators was made. This  
114 proposal included specific indicators applicable to homogeneous zones within the whole  
115 area (zone indicators), and a set of more generalist indicators, applicable to the whole  
116 restored area (area indicators). This distinction between *area* and *zone* was made in order  
117 to correctly evaluate parameters that must be measured separately at slope, habitat or  
118 landscape level.

119 There are five groups of zone indicators: geotechnical risk, drainage network,  
120 erosion/degradation processes, soil and vegetation (Table 1). Some vegetation indicators  
121 (plant cover, woody species richness and density, or herbaceous species richness) are  
122 based on the comparison to a reference site, usually located in an undisturbed zone close  
123 to the mine. For geotechnical risk (area affected by landslides and fallen blocks) and  
124 erosion (area affected by rill erosion) indicators, the area influenced by instability  
125 processes could be measured directly at the field or by photointerpretation, depending on the  
126 magnitude of the process. Soil bulk density is measured by the excavation method as  
127 coarse particles are often abundant in this kind of substrates. Soil sampling is performed  
128 using Edelman auger or similar tool to extract the first 20 cm of topsoil. The  
129 recommended sampling density is specified in the protocol (20 holes/ha). Vegetation  
130 measures are obtained on 10x10 m square plots, distributed along the evaluated zones,  
131 and on 10 m transects delimited by the sides of these plots (horizontal and perpendicular  
132 to the slope).

133 Indicators related to the area evaluation are mainly qualitative (see Table 2). This is  
134 especially true for the case of landscape integration, where the proposed indicators are  
135 based mainly on the perception of the evaluator. However, the protocol gives guidance in  
136 order to reduce the subjectivity of the observations, allowing the evaluator to classify  
137 landscape integration according to the similarity of the restored area to the surrounding  
138 natural landscape. All the methods for measuring the indicators are standardized and  
139 explained in Carabassa et al. (2015), including sampling density and recommendable  
140 sampling period.

141

142 *2.2 Transformation of indicators to restoration quality indexes*

143 In order to compare and integrate the evaluation data through a set of individual  
144 indicators, the use of functional curves is proposed (Figure 1). The objective is to obtain  
145 a global Restoration Quality Index (RQI) that summarizes the main factors influencing  
146 the restoration, using the proximity to target methodology (Rodríguez-Loinaz et al. 2015,  
147 Rocés-Díaz et al. 2018). A functional curve for each parameter is proposed, according to  
148 the bibliography and the knowledge and expertise of the panel members (Cortina et al.,  
149 2012; Deltoro et al., 2012, Jorba et al., 2010, Carabassa et al. 2010; Moreno-de las Heras  
150 et al., 2008, Alcañiz et al., 2008; Tongway and Hindley, 2004; Conesa, 2003, Forman,  
151 2003). These functions transform each parameter value, measured in its own units, to its  
152 respective Restoration Quality units (RQ<sub>x</sub>), which are standardized, dimensionless and  
153 fully comparable, where 1 represents the maximum quality for restoration and 0 the worst  
154 case.

155

### 156 *2.3 Indicators weighting*

157 The expert panel was invited to weight the indicators in order of importance for the  
158 evaluation of the restoration success. Indicators were weighted using a pairwise  
159 comparison method through a Delphi process (Okoli and Pawlowski, 2004; Mukherjee et  
160 al 2015). The result of the ranking and pairwise successive comparisons gave a weight  
161 (W) for each indicator according to its importance for the whole restoration success. The  
162 global restoration quality index (RQI) was calculated as the sum of all the RQ<sub>x</sub> multiplied  
163 by its respective W:

$$164 \quad RQI = \sum_{x=m}^n (RQ_x \cdot W_x)$$

165

### 166 *2.4 Study sites*



167 The RESTOQUARRY protocol was assayed in a pilot test on 55 selected open-pit mines  
168 distributed along NE Iberian Peninsula (Catalonia, Spain), covering different climatic  
169 conditions, geological substrates, soil types and extraction procedures (Figure 2, Table  
170 3). A total of 106 restored zones were evaluated in these mines applying the proposed  
171 methodology.

172 The selected restored mine-zones of the pilot test included a broad range of restoration  
173 goals, landscape type and age. The main restoration goal in this selection was the  
174 ecological restoration, but also there were cases of conversion to agriculture and forestry  
175 plantations. The surface of the evaluated areas ranged between 0.8 and 165 ha. The trial  
176 areas had been restored between 4 and 21 years before the evaluation process, which  
177 allowed the comparison of old restorations with new ones.

178

### 179 **3. Results**

#### 180 *3.1 Zone evaluation*

##### 181 3.1.1. Geotechnical risks

182 Flat zones and steep slopes (30-37°) were the predominant geomorphologies in the  
183 selected restorations. The slope is an important factor that determines geotechnical risks,  
184 soil degradation processes, and vegetation establishment. In terms of geotechnical risk,  
185 fallen blocks were observed in 60% of the banks with a slope higher than 8°. Fallen blocks  
186 represented big stones or boulders (> 20 cm diameter) that had fallen down from  
187 extremely steeped slopes (>45°) and/or vertical walls, representing a safety risk and  
188 compromising the vegetation located on the trajectory of this fall. Landslides are also  
189 related to slope, and a third of the zones with a slope higher than 8° showed this type of  
190 geotechnical risk. Moreover, other geotechnical risks, such as subsidences or cracks were  
191 also detected, but they affected minor surfaces and in low grade.

### 192 3.1.2. Erosion and physical degradation

193 Regarding soil degradation processes observed, rill erosion was the most relevant. Rill  
194 erosion is a concentrated water erosion process that supposes an important soil loss and  
195 that could trigger the destabilization of the entire slope. Approximately half of the areas  
196 with slopes of more than 30° showed rills with a depth greater than 5 cm. Areas degraded  
197 by concentrated water erosion ranged between 1,053 to 40,700 m<sup>2</sup>, which represents 4 to  
198 100% of the surface of the restored zones. The calculated erosion rates ranged between  
199 0.2 to 27 Mg ha<sup>-1</sup> y<sup>-1</sup> in the affected zones. The slope is also an important factor for sheet  
200 water flow as 61% of the zones with a slope greater than 30° were degraded by sheet  
201 erosion. Moreover, a quarter of the evaluated zones showed surface crusts as a  
202 consequence of splash. Soil compaction and subsurface erosion impacted 20% and 9% of  
203 the evaluated zones, respectively.

204

### 205 3.1.3. Soil quality

206 Organic matter content, electrical conductivity, available phosphorous (P), total nitrogen  
207 (N) content and soil depth seemed to be the most limiting factors in the evaluated soils  
208 (see Table 4). Poor organic matter contents (<0.8%) were detected in four of the analyzed  
209 soils, mainly in the sandy ones. Moderate to high conductivity was detected in some of  
210 the soils, but in most of the cases, this was not attributable to the mining activities. A  
211 quarter of the soils evaluated showed a low available P content while 12% of the soils  
212 showed high levels due to organic amendments (compost, sewage sludge, or pig slurry).  
213 This trend was similar to the observed for total N content. Zones with severe slope (>30°)  
214 showed an average soil depth of 0.2 m (due to the difficulty of stabilizing topsoil).

215

### 216 3.1.4. Vegetation status and functionality

217 The herbaceous cover was dominant in the evaluated zones with an average value of 55%,  
218 while mean total plant cover (including trees and bushes) was 73%. Plant cover is an  
219 important factor to prevent soil losses because erosion problems are mainly detected in  
220 zones with <40% of soil surface covered by plants. Bushy invasive species, such as  
221 *Arundo donax*, were present in 19% of the evaluated zones. However, these species were  
222 not extensively distributed and were found in small patches. In 81% of the evaluated  
223 zones, native bushy species were identified. Reproductively mature bushes were observed  
224 in 54% of the locations, and spontaneous reproduction of these species were observed in  
225 45% of the cases, mainly corresponding to *Santolina chamaecyparissus* and *Dittrichia*  
226 *viscosa*. Regarding tree species, low canopy cover and diversity were observed as only  
227 17% of the zones had more than three tree species. *Pinus halepensis*, which was widely  
228 planted for reforestation in the Mediterranean region due to its resistance to drought and  
229 soil deficiencies, was the dominant species. The mortality rate of planted trees was high  
230 for native *Quercus* species, reaching 100% in some cases. On the other hand, some of the  
231 evaluated zones were affected by grazing, which negatively strained vegetation  
232 development and soil quality (erosion) in the first steps of restoration.

233

## 234 3.2 Area evaluation

### 235 3.2.1. Landscape integration

236 Regarding chromatic and morphologic integration to the surrounding landscape, the  
237 majority of the evaluated restorations (93%) present good results. However, in some  
238 cases, the presence of artificial morphologies (cliffs in hilly landscapes, isolated tips, or  
239 repetitive and linear slope-berm morphology) and the dominance of herbaceous  
240 vegetation in a site surrounded by forests make this integration difficult (figure 3), at least  
241 in the first stages of restoration.

242

### 243 3.2.2. Ecological connectivity and fauna presence

244 The presence of steep slopes or abrupt topographic changes is common on the boundaries  
245 of the quarries and could act as an ecological barrier for some animal species. Moreover,  
246 in the vast majority of the restored areas, structures for attracting fauna (refuges, drinking  
247 troughs or woody plants with edible fruits) are missing. Nevertheless, in most of the  
248 evaluated areas diverse fauna traces (mainly wild boar and rabbit traces) were observed.  
249 Burrows were observed in approximately one third of the evaluated areas, and nests were  
250 only observed in one quarry.

251

### 252 3.2.3. Anthropogenic impacts

253 Approximately 1/3 of the areas were affected by anthropogenic impacts of various types.  
254 The most common effects were related to dumping, mainly in quarries located near to  
255 urban areas, and to the presence of abandoned infrastructures and machinery (i.e. ruins of  
256 buildings, sheds, conveyor belts or old bulldozers and dumpers) from the previous mining  
257 activity (Figure 4).

258

## 259 3.3 *Indicators weight*

260 As a result of the expert panel weighting process, a ranking of the indicators per group  
261 was made (Table 5). Zone indicators obtained greater weight than area indicators. Among  
262 the zone indicators, geotechnical risk was the most relevant since stability problems of  
263 the slopes compromise the success of the restoration. The presence of broken channels in  
264 the drainage network, directly related to geotechnical instabilities and erosion problems,  
265 was considered the second most important indicator. Geomorphologic integration was  
266 rated as the third due to its implications in geotechnical risks and soil degradation.

267 According to the criteria of the expert panel and the field observations, evaluation  
268 parameters with a weight higher than 2% were considered key indicators for ecological  
269 restoration success and must be taken into special consideration when analyzing the  
270 results of the evaluations.

271

### 272 *3.4 Restoration Quality Index (RQI) calculation and assessment*

273 Using the results of the quality indicators per zone and area, the whole RQI was  
274 calculated. Most of the restorations evaluated had a global RQI >70 since the relatively  
275 high number of parameters considered t make it difficult to have low RQI values. For this  
276 reason, a restoration with low values in a specific key indicator could obtain a relatively  
277 high global RQI value. In order to avoid that critical situations hidden by high RQI values  
278 and that could threaten the restoration, the adoption of corrective measures is  
279 recommended when:

- 280 -  $RQ_x = 0$  for any indicator
- 281 -  $RQ_x < 0.5$  for a key indicator

282 Usually, restorations with an RQI > 85 have an  $RQ_x > 0$  on all key indicators. In these  
283 situations it could be considered a good result, meaning that the restoration objective has  
284 been achieved. However, the adoption of corrective measures could not be excluded in  
285 some cases or may be recommended in order to improve some aspects to better guarantee  
286 that the ecosystem transition towards a more mature and resilient state occurs. According  
287 to this, we could consider that mining companies can regain the restoration bond when  
288 they have obtained an RQI > 85 and an  $RQ_x > 0$  for key indicators, and have adopted the  
289 recommended corrective measures. An example of the application of the  
290 RESTOQUARRY protocol is shown in Table 6. In this case, an RQI of 87 was achieved,  
291 but soil depth, woody species richness, chromatic and textural integration, woody plants

292 with fruits, and grazing triggered warning alerts and improvement recommendations were  
293 needed. It can be seen that the use of this assessment procedure gives a detailed picture  
294 of the restoration status. The general overview of this example of evaluation can be that  
295 the restoration goals have been reached, although issues related to plant development  
296 should to be improved.

297

#### 298 **4. Discussion**

299 The RESTOQUARRY protocol is a procedure that has been designed to help the  
300 evaluation of open mine restorations, using objective information obtained through  
301 simplified methodologies available for a non-specialized public. The protocol aims also  
302 to directly involve engineers of extractive companies in the design and monitoring  
303 process of the restoration of their mines, trying to respond to some demands from  
304 practitioners (Clewel & Rieger, 1997; Ockendon et al. 2018). Moreover, the  
305 RESTOQUARRY protocol provides a decision-making system useful for public  
306 administration bodies responsible for monitoring and evaluating mine restorations. This  
307 evaluation system is a very committed process, which must guarantee the correct  
308 evolution of the restorations towards the desired reference (eco)system, and which must  
309 maximize the provision of ecosystem services (Comín et al., 2018). In addition, this  
310 evaluation process must ensure that the return of the restoration bonds deposited by  
311 extractive companies is decided on an objective and quantifiable basis, and made in the  
312 correct time, not unnecessary extending the guarantee time, neither shortening it.

313 The vast majority of the indicators proposed in the protocol indirectly evaluate (proxies)  
314 ecosystem services and/or ecosystem functions, allowing the quantification of some of  
315 them. For example, erosion control, soil fertility, nutrient recycling or nutrient retention  
316 are evaluated through soil quality, soil erosion, and vegetation indicators. Even the most

317 general indicators (area indicators), such as those related to anthropic impacts or  
318 landscape integration, could be considered proxies of ecosystem services linked to non-  
319 material benefits obtained through experiences (for example, cultural services).

320 The RESTOQUARRY protocol allows good quality restorations to be distinguished from  
321 those that need to take corrective measures (i.e. minor revision) and those that have  
322 critical failures that pose a risk to all the restoration efforts made (i.e. major revision).

323 The simplicity of the protocol is not achieved at the expense of reliability or replicability  
324 since it is based on a wide literature review and the extensive knowledge of a panel of  
325 experts in the related fields (ecologists, quarry engineers, administration representatives).

326 Moreover, this protocol has been tested in a wide representative sample of open-pit mines,  
327 with the direct participation of the end-users. One of the essential aspects of the protocol

328 is that it does not evaluate the activities that have been carried out in the restoration, but  
329 rather its effective results. After applying the RESTOQUARRY protocol, we able to

330 determine the whole restoration quality and to identify the critical features that need to be  
331 improved in the extractive activities assessed. Thereby most of the restorations evaluated

332 in this work need the application of corrective measures in order to achieve the minimum  
333 standard quality. The RESTOQUARRY protocol also intends to be useful at the stage of

334 restoration design, as it provides evaluation criteria that will be applied at the end of the  
335 restoration works. Engaging mine workers and engineers in the evaluation of restoration

336 helps to improve the restoration works and their implication in the restoration process,  
337 which consequently could enhance the quality of the restorations carried out by these

338 companies.

339

340 4.1 Similarities and differences with other evaluation procedures

341 Despite there being lots of studies evaluating ecological restorations (Ruiz-Jaen & Aide,  
342 2005; Wortley et al. 2013), to our knowledge, there are not simplified methodologies  
343 readily available for practitioners, that give information about ecosystem services and  
344 assess the decision-making process. Landscape Function Analysis methodology  
345 (Tongway and Hindley, 2004) is a methodology that fits with these objectives; however  
346 it is impractical for a non-scientific public due to its complexity. Other studies also take  
347 a similar approach to RESTOQUARRY (Comín et al. 2018; Derhé et al. 2016; Lithgow  
348 et al. 2015; Bulloch et al. 2011; Birch et al. (2010)), taking into consideration the  
349 provision of ecosystem services and/or the ecosystem functions, but at a larger scale, with  
350 different target reference sites, and more focused on planning restorations than on  
351 evaluating the executed ones. While these other studies are focused on ecosystem services  
352 provided by ecological restoration in a general way (Comín et al. 2018; Bulloch et al.  
353 2011; Birch et al. 2010), these works do not address the measure of some field parameters  
354 directly linked to the quantification of ecosystem services (i.e. carbon storage, nutrient  
355 cycling, water regulation, biomass production), as are made by RESTOQUARRY for  
356 evaluating restoration success. On the other hand, only a few studies are focused on the  
357 particular issue of the evaluation of mine restorations (Courtney et al. 2010; Dzwonko  
358 and Loster 2007), and they mainly assess very specific indicators related to soil  
359 rehabilitation or vegetation recover. Another differential characteristic of  
360 RESTOQUARRY is that includes zone specific indicators (geotechnical risk, drainage  
361 network, soil quality and degradation, vegetation structure and diversity) adapted to the  
362 specificities of mine restoration, such as the need of constructing a drainage network or  
363 creating a new soil layer (technosol).  
364



365 4.2 Links between the current procedure of quarries control and the RESTOQUARRY  
366 protocol

367 Mine restoration evaluation tests should assure the correct restoration of mine sites and  
368 the recovery of the financial guarantees posted by mine companies conditioned to  
369 obtaining satisfactory results in these tests. This evaluation scheme is adopted in some  
370 countries like Canada (Mining Act), USA (Surface Mining Act), or the European Union  
371 (Directive 2006/21CE). In Spain, for example, the transposition of the EU Directive  
372 2006/21/CE (RD 975/2009) established the need to monitor restorations works each year,  
373 until the end of the guarantee period. According to this law, the monitoring process could  
374 be done directly by competent administration officers or by accredited external  
375 companies. Currently, since the evaluation protocols, indicators, and reference values are  
376 not provided, the assessment result depends on the criteria of the evaluator, which  
377 sometimes varies according to its background. In this context, RESTOQUARRY protocol  
378 is a more accessible tool for a non-scientific public that could help to objectify and  
379 standardize the evaluation process, enhancing its transparency for administration bodies,  
380 companies, and citizens.

381

382 4.3 Methodological limitations

383 A limitation of the global RQI could be that it is confusing if it is not accompanied by an  
384 interpretation of the  $RQ_x$  partial values. The fact that a wide range of indicators is  
385 considered makes it difficult to obtain low RQI values despite the fact that some  $RQ_x$   
386 could be very low or even 0, leading to relatively high global RQI values for restorations  
387 even though they may have critical faults. We propose the consideration of key indicators  
388 in order to decide the adoption of corrective measures could help to solve this problem.  
389 Other methodologies for evaluation (Lithgow et al. 2015) have used a similar

390 approximation (hierarchical grouping) to prioritize among indicators, obtaining  
391 satisfactory results. However, by using the current criterion for key indicators definition  
392 (weight higher than 2%), more than a half of them are considered key indicators, which  
393 may be excessive. This criterion could be redefined in order to reduce the number of key  
394 indicators; however this will increase the chances that some poor quality restorations pass  
395 the assessment.

396 The RESTOQUARRY protocol has been designed and tested mainly in Mediterranean  
397 quarries, therefore its application in other climates or mine types could present mismatches  
398 in some indicators and reference values. Moreover, this protocol is not a suitable tool for  
399 evaluating very case-specific restorations, targeting singular habitats or species  
400 (endangered and/or protected) where an expert knowledge is needed. In these cases, some  
401 indicators and reference values included in the RESTOQUARRY could not be  
402 appropriate, or, alternative indicators should be evaluated. For the same reason,  
403 RESTOQUARRY may not be appropriate for evaluating agricultural restorations, but in  
404 these cases, the protocol could be easily adapted to specific goals by changing the set of  
405 indicators while preserving the general scheme.

406

## 407 **Conclusions**

408 The RESTOQUARRY protocol was designed to help mine companies, competent  
409 administration and accredited monitoring consultancies in the process of evaluating  
410 ecological restoration of mine sites. It consists of a multifactorial procedure, including  
411 selected expert-weighted indicators, that allows its large-scale application in the context  
412 of ecological restoration of Mediterranean quarries. The protocol could support mine  
413 companies in the decision-making process to select corrective measures for improving  
414 and optimizing the restoration process. At the same time, it could be useful for competent

415 administration bodies to approve the return of restoration financial guarantees. In  
416 summary, RESTOQUARRY is a tool that can contribute to improve the practice and the  
417 monitoring of ecological restoration of mine sites.

418

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428 Joan Pous (UNILAND), Fernando González (ARICEMEX), Xavier Foj, Manuel Juan  
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589 **TABLES**

590 Table 1. Pre-selection of restoration quality zone indicators included in the preliminary  
 591 proposal of evaluation protocol. Zones are described in this work as homogeneous  
 592 portions of the whole restored area.  
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<b>ZONE INDICATORS</b>				
<b>Geotechnical risk</b>	<b>Erosion/physical degradation</b>	<b>Drainage network</b>	<b>Soil quality</b>	<b>Vegetation status and functionality</b>
Maximum diameter of fallen blocks (m)	Area affected by rill erosion (% related to the total area)	Drainage channels broken (% of total channels)	Soil depth (m)	Plant cover (%) divided into: herbaceous cover and woody species (shrubs and trees) cover
Area affected by fallen blocks (% of the total area)	Estimated rill erosion rates (Mg ha <sup>-1</sup> year <sup>-1</sup> )	Drainage channels filling-in (% of total channels)	Particles <2 mm (g kg <sup>-1</sup> )	
Area affected by landslides (% of the total area)	Rain splash protection (% of the surface protected)	Drainage network functionality (% of damaged, stabilized and non-functional channels)	Clay content (g kg <sup>-1</sup> )	Area occupied by exotic/invasive species (% of the total area)
Other signs of instability: cracks, subsidence, deformations, faults, fallen trees (qualitative)	Surface crusts presence (qualitative)		Organic matter (g kg <sup>-1</sup> )	Species with fruits (number of species)
	Sheet erosion (qualitative)		Carbonates (g kg <sup>-1</sup> )	Mortality of planted woody species (%)
	Piping or subsurface flows (qualitative)		Electrical conductivity, 1:5 extract (dS m <sup>-1</sup> )	Woody species richness (% related to richness on reference site)
			Soil pH	
			Total nitrogen (%)	Woody species density (% related to density in reference site, per species)
			Available phosphorous (mg kg <sup>-1</sup> )	
			Available potassium (mg kg <sup>-1</sup> )	Woody species recruited (number)
			Physical contaminants presence (number of elements observed)	Herbaceous species richness (% related to richness on reference site)



594 Table 2. Pre-selection of restoration quality area indicators included in the preliminary  
 595 proposal of evaluation protocol.

<b>AREA INDICATORS</b>		
<b>Landscape integration</b>	<b>Ecological connectivity and fauna presence</b>	<b>Anthropic impacts</b>
Chromatic and textural integration (qualitative)	Ecological barriers (presence and type)	Uncontrolled vehicle circulation (qualitative)
Geomorphic integration (qualitative)	Woody plants with edible fruits (Species and density)	Waste dumping (type, magnitude and distribution)
Internal road networks (functionality, density and width)	Fauna refuges/supply structures (presence)	Grazing (presence and intensity)
	Fauna observations (number and species)	Abandoned constructions and facilities (presence, magnitude and height)
	Fauna paths (presence)	
	Fauna traces (presence)	
	Nests (presence)	
	Burrows (presence)	

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598 Table 3. Geological substrates and ranges of precipitation and air temperature in a  
599 representative selection of the extractive activities included in the pilot test (n=55).

<b>Dominant lithology (n=number of activities included)</b>	<b>Dominant mineralogy</b>	<b>Precipitation rank (mm/year)</b>	<b>Mean annual air temperature rank (°C)</b>
Limestone (24)	Carbonatic	526-747	14.1-16.1
Gravel (9)	Mixed	416-799	13.1-15.2
Lignite (6)	Carbonatic	408-888	10.6-15.8
Sand and clay (6)	Siliceous and carbonatic	506-795	14.8-15.6
Evaporites (4)	Gypsic, saline and carbonatic	585-793	13.2-14.6
Basalt (2)	Siliceous	685-745	15.8-16.2
Weathered granite (2)	Siliceous	653-753	15.1-16.3
Granite (2)	Siliceous	599-613	13.8-15.3

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602 Table 4. Results for substrate quality indicators on the evaluated zones. \*Data refer to  
 603 <2mm soil fraction.

	<b>Soil depth (m)</b>	<b>Particles &lt;2 mm (%)</b>	<b>Clay content (%)*</b>	<b>EC, 1:5 extract (dS m<sup>-1</sup>)*</b>	<b>pH*</b>
<b>Average</b>	22	44	24	0.4	8.0
<b>Max.</b>	50	94	50	2.2	8.8
<b>Min.</b>	0	19	6	0.1	6.5
<b>Median</b>	20	42	23	0.2	8.0
<b>Standard deviation</b>	22	19	10	0.5	0.3
	<b>Carbonates (%)*</b>	<b>Organic matter (%)*</b>	<b>Total N (%)*</b>	<b>Available P (mg kg<sup>-1</sup>)*</b>	<b>Available K (mg kg<sup>-1</sup>)*</b>
<b>Average</b>	22	2.6	0.14	33	217
<b>Max.</b>	58	12.4	0.57	199	972
<b>Min.</b>	0	0.2	0.02	2	38
<b>Median</b>	23	1.9	0.10	19	148
<b>Standard deviation</b>	15	2.2	0.11	42	184

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606 Table 5. Weight of the selected indicators according to their importance for restoration  
 607 success measurement after pairwise comparison by experts panel members. \*key  
 608 indicators.

<b>GROUP</b>	<b>GROUP WEIGHT (%)</b>	<b>INDICATOR</b>	<b>INDICATOR WEIGHT (%)</b>
Geotechnical risk	18.0	Area affected by landslides*	9.9
		Area affected by fallen blocks*	4.7
		Other signs of instability*	3.4
Erosion and physical degradation	15.3	Rain splash protection*	4.5
		Area affected by concentrate erosion*	4.3
		Estimated erosion rates*	3.7
		Other degradation processes*	2.8
Drainage network	15.0	Drainage channels broken*	7.7
		Drainage channels filling*	3.9
		Drainage network functionality*	3.4
Soil quality	14.3	Soil depth*	2.4
		Particles <2 mm content*	2.5
		Texture	1.9
		Organic matter / Nitrogen*	2.4
		Electrical conductivity, 1:5 extract	2.0
		pH / Phosphorous / Potassium	2.0
Impurities (glass, plastics, metals, etc.)	1.1		
Vegetation status and functionality	12.7	Plant cover*	2.9
		Woody species richness*	2.6
		Woody species density	2.0
		Woody species recruitment	1.7
		Area occupied by exotic/invasive species	1.7
		Herbaceous species richness	1.8
Landscape integration	12.0	Chromatic and textural integration*	3.1
		Geomorphologic integration*	7,2
		Road network	1.7
Ecological connectivity and fauna presence	6.4	Ecological barriers*	2.1
		Woody plants with edible fruits	1.3
		Fauna refuges/supply structures	1.1
		Fauna observations	1.9
Anthropic impacts	6.3	Uncontrolled vehicle circulation	1.6
		Waste dumping*	2.4
		Grazing	1.0
		Abandoned constructions and facilities	1.3

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613 Table 6. Example of RQI index calculation for a quarry evaluated using the  
 614 RESTOQUARRY protocol. Critical indicators warning:  $RQ_x < 0,5$  for key indicators  
 615 (weight more than 2%) or  $RQ_x = 0$  for any indicator.

GROUP	INDICATOR	$RQ_x$	$RQI_x$	CRITICAL INDICATORS
Geotechnical risk	Area affected by landslides	1.0	9.9	
	Area affected by fallen blocks	1.0	4.7	
	Other signs of instability	1.0	3.4	
Erosion and physical degradation	Rain splash protection	1.0	4.5	
	Area affected by rill erosion	1.0	4.3	
	Estimated erosion rates	1.0	3.7	
	Other degradation processes	0.9	2.5	
Drainage network	Drainage channels broken	1.0	7.7	
	Drainage channels filling	1.0	3.9	
	Drainage network functionality	1.0	3.4	
Soil quality	Soil depth	0.2	0.5	WARNING
	Particles <2 mm content	1.0	2.5	
	Texture	1.0	1.9	
	Organic matter / Nitrogen	0.6	1.5	
	Electrical conductivity, 1:5 extract	1.0	2.0	
	pH / Phosphorous / Potassium	0.2	0.3	
	Physical pollutants	0.9	1.0	
Vegetation status and functionality	Plant cover	1.0	2.9	WARNING
	Woody species richness	0.2	0.5	
	Woody species density	0.9	1.9	
	Woody species recruitment	1.0	1.8	
	Area occupied by exotic/invasive species	1.0	1.7	
	Herbaceous species richness	1.0	1.7	
Landscape integration	Chromatic and textural integration	0.3	0.8	WARNING
	Geomorphologic integration	1.0	7.2	
	Road network	1.0	1.7	
Ecological connectivity and fauna presence	Ecological barriers	1.0	2.1	WARNING
	Woody plants with fruits	0.0	0.0	
	Fauna refuges/supply structures	1.0	1.1	
	Fauna observations	1.0	1.9	
Anthropic impacts	Uncontrolled vehicle circulation	1.0	1.6	WARNING
	Waste dumping	0.5	1.3	
	Grazing	0.0	0.0	
	Abandoned constructions and facilities	1.0	1.3	
$RQI = 87$				Recommendation: bond return dependent on adoption of corrective measures

616 Table 1. Pre-selection of restoration quality zone indicators included in the preliminary  
 617 proposal of evaluation protocol. Zones are described in this work as homogeneous  
 618 portions of the whole restored area.

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<b>ZONE INDICATORS</b>				
<b>Geotechnical risk</b>	<b>Erosion/physical degradation</b>	<b>Drainage network</b>	<b>Soil quality</b>	<b>Vegetation status and functionality</b>
Maximum diameter of fallen blocks (m)	Area affected by rill erosion (% related to the total area)	Drainage channels broken (% of total channels)	Soil depth (m)	Plant cover (%) divided into:
Area affected by fallen blocks (% of the total area)	Estimated rill erosion rates (Mg ha <sup>-1</sup> year <sup>-1</sup> )	Drainage channels filling-in (% of total channels)	Particles <2 mm (g kg <sup>-1</sup> )	herbaceous cover and woody species (shrubs and trees) cover
Area affected by landslides (% of the total area)	Rain splash protection (% of the surface protected)	Drainage network functionality (% of damaged, stabilized and non-functional channels)	Clay content (g kg <sup>-1</sup> )	Area occupied by exotic/invasive species (% of the total area)
Other signs of instability: cracks, subsidence, deformations, faults, fallen trees (qualitative)	Surface crusts presence (qualitative)		Organic matter (g kg <sup>-1</sup> )	Species with fruits (number of species)
	Sheet erosion (qualitative)		Carbonates (g kg <sup>-1</sup> )	Mortality of planted woody species (%)
	Piping or subsurface flows (qualitative)		Electrical conductivity, 1:5 extract (dS m <sup>-1</sup> )	Woody species richness (% related to richness on reference site)
			Soil pH	Woody species density (% related to density in reference site, per species)
			Total nitrogen (%)	Woody species recruited (number)
			Available phosphorous (mg kg <sup>-1</sup> )	Herbaceous species richness (% related to richness on reference site)
			Available potassium (mg kg <sup>-1</sup> )	
			Physical contaminants presence (number of elements observed)	

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<b>AREA INDICATORS</b>		
<b>Landscape integration</b>	<b>Ecological connectivity and fauna presence</b>	<b>Anthropic impacts</b>
Chromatic and textural integration (qualitative)	Ecological barriers (presence and type)	Uncontrolled vehicle circulation (qualitative)
Geomorphonic integration (qualitative)	Woody plants with edible fruits (Species and density)	Waste dumping (type, magnitude and distribution)
Internal road networks (functionality, density and width)	Fauna refuges/supply structures (presence)	Grazing (presence and intensity)
	Fauna observations (number and species)	Abandoned constructions and facilities (presence, magnitude and height)
	Fauna paths (presence)	
	Fauna traces (presence)	
	Nests (presence)	
	Burrows (presence)	

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625 Table 3

<b>Dominant lithology (n=number of activities included)</b>	<b>Dominant mineralogy</b>	<b>Precipitation rank (mm/year)</b>	<b>Mean annual air temperature rank (°C)</b>
Limestone (24)	Carbonatic	526-747	14.1-16.1
Gravel (9)	Mixed	416-799	13.1-15.2
Lignite (6)	Carbonatic	408-888	10.6-15.8
Sand and clay (6)	Siliceous and carbonatic	506-795	14.8-15.6
Evaporites (4)	Gypsic, saline and carbonatic	585-793	13.2-14.6
Basalt (2)	Siliceous	685-745	15.8-16.2
Weathered granite (2)	Siliceous	653-753	15.1-16.3
Granite (2)	Siliceous	599-613	13.8-15.3

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	<b>Soil depth (m)</b>	<b>Particles &lt;2 mm (%)</b>	<b>Clay content (%)*</b>	<b>EC, 1:5 extract (dS m<sup>-1</sup>)*</b>	<b>pH*</b>
<b>Average</b>	22	44	24	0.4	8.0
<b>Max.</b>	50	94	50	2.2	8.8
<b>Min.</b>	0	19	6	0.1	6.5
<b>Median</b>	20	42	23	0.2	8.0
<b>Standard deviation</b>	22	19	10	0.5	0.3
	<b>Carbonates (%)*</b>	<b>Organic matter (%)*</b>	<b>Total N (%)*</b>	<b>Available P (mg kg<sup>-1</sup>)*</b>	<b>Available K (mg kg<sup>-1</sup>)*</b>
<b>Average</b>	22	2.6	0.14	33	217
<b>Max.</b>	58	12.4	0.57	199	972
<b>Min.</b>	0	0.2	0.02	2	38
<b>Median</b>	23	1.9	0.10	19	148
<b>Standard deviation</b>	15	2.2	0.11	42	184

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631 **Table 5**

<b>GROUP</b>	<b>GROUP WEIGHT (%)</b>	<b>INDICATOR</b>	<b>INDICATOR WEIGHT (%)</b>
Geotechnical risk	18.0	Area affected by landslides*	9.9
		Area affected by fallen blocks*	4.7
		Other signs of instability*	3.4
Erosion and physical degradation	15.3	Rain splash protection*	4.5
		Area affected by concentrate erosion*	4.3
		Estimated erosion rates*	3.7
		Other degradation processes*	2.8
Drainage network	15.0	Drainage channels broken*	7.7
		Drainage channels filling*	3.9
		Drainage network functionality*	3.4
Soil quality	14.3	Soil depth*	2.4
		Particles <2 mm content*	2.5
		Texture	1.9
		Organic matter / Nitrogen*	2.4
		Electrical conductivity, 1:5 extract	2.0
		pH / Phosphorous / Potassium	2.0
		Impurities (glass, plastics, metals, etc.)	1.1
Vegetation status and functionality	12.7	Plant cover*	2.9
		Woody species richness*	2.6
		Woody species density	2.0
		Woody species recruitment	1.7
		Area occupied by exotic/invasive species	1.7
		Herbaceous species richness	1.8
Landscape integration	12.0	Chromatic and textural integration*	3.1
		Geomorphologic integration*	7,2
		Road network	1.7
Ecological connectivity and fauna presence	6.4	Ecological barriers*	2.1
		Woody plants with edible fruits	1.3
		Fauna refuges/supply structures	1.1
		Fauna observations	1.9
Anthropic impacts	6.3	Uncontrolled vehicle circulation	1.6
		Waste dumping*	2.4
		Grazing	1.0
		Abandoned constructions and facilities	1.3

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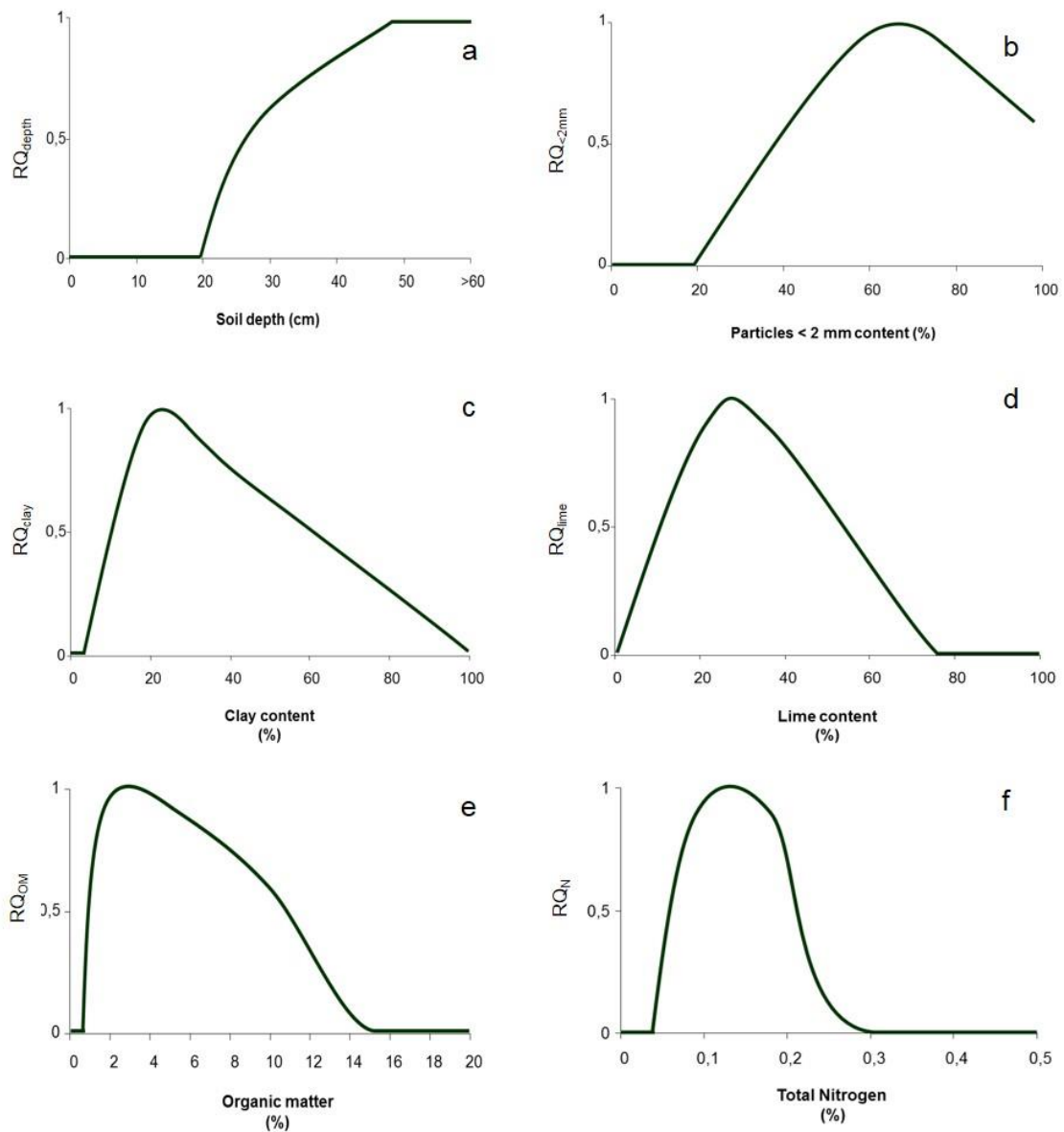
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<b>GROUP</b>	<b>INDICATOR</b>	<b>RQ<sub>x</sub></b>	<b>RQI<sub>x</sub></b>	<b>CRITICAL INDICATORS</b>
Geotechnical risk	Area affected by landslides	1.0	9.9	
	Area affected by fallen blocks	1.0	4.7	
	Other signs of instability	1.0	3.4	
Erosion and physical degradation	Rain splash protection	1.0	4.5	
	Area affected by rill erosion	1.0	4.3	
	Estimated erosion rates	1.0	3.7	
	Other degradation processes	0.9	2.5	
Drainage network	Drainage channels broken	1.0	7.7	
	Drainage channels filling	1.0	3.9	
	Drainage network functionality	1.0	3.4	
Soil quality	Soil depth	0.2	0.5	WARNING
	Particles <2 mm content	1.0	2.5	
	Texture	1.0	1.9	
	Organic matter / Nitrogen	0.6	1.5	
	Electrical conductivity, 1:5 extract	1.0	2.0	
	pH / Phosphorous / Potassium	0.2	0.3	
	Physical pollutants	0.9	1.0	
Vegetation status and functionality	Plant cover	1.0	2.9	WARNING
	Woody species richness	0.2	0.5	
	Woody species density	0.9	1.9	
	Woody species recruitment	1.0	1.8	
	Area occupied by exotic/invasive species	1.0	1.7	
	Herbaceous species richness	1.0	1.7	
Landscape integration	Chromatic and textural integration	0.3	0.8	WARNING
	Geomorphologic integration	1.0	7.2	
	Road network	1.0	1.7	
Ecological connectivity and fauna presence	Ecological barriers	1.0	2.1	WARNING
	Woody plants with fruits	0.0	0.0	
	Fauna refuges/supply structures	1.0	1.1	
	Fauna observations	1.0	1.9	
Anthropic impacts	Uncontrolled vehicle circulation	1.0	1.6	WARNING
	Waste dumping	0.5	1.3	
	Grazing	0.0	0.0	
	Abandoned constructions and facilities	1.0	1.3	
RQI = 87				Recommendation: bond return dependent on adoption of corrective measures

640 **FIGURES**  
641  
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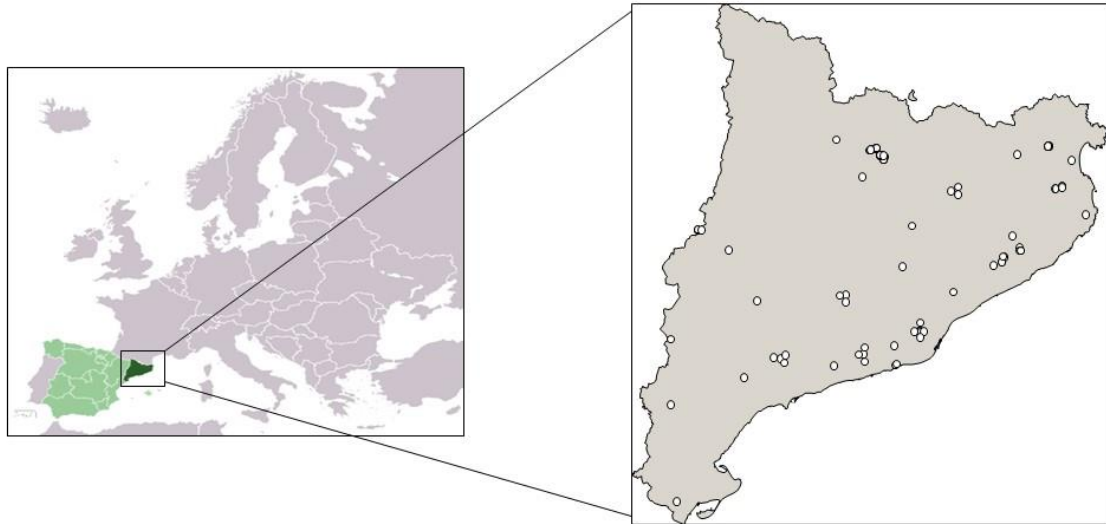


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644 Figure 1. Functional curves for some soil parameters: (a) soil depth, (b) particles < 2 mm,  
645 (c) clay content, (d) lime content, (e) organic matter, (f) total nitrogen. RQ<sub>x</sub>= restoration  
646 quality value for the respective parameter.

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650 Figure 2. Geographical distribution of restored mining activities evaluated applying the  
651 RESTOQUARRY methodology, in the NE Iberian Peninsula.

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656 Figure 3. Differences in vegetation type between restored zones and surrounding areas  
657 (left), and the presence of artificial morphologies, like walls (cliffs) in flat/hilly  
658 landscapes (right), that make the integration of the restored areas to the landscape  
659 difficult.





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663 Figure 4. The presence of abandoned buildings and machinery of the former extractive  
664 activity has a negative impact on the integration of the restored areas and represents a risk  
665 for people.

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