



Oropharyngeal Botryomycosis in a Geriatric Mare

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Case Report.

Oropharyngeal Botryomycosis in a Geriatric Mare.

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21 Summary

22 Botryomycosis is an uncommon chronic bacterial infection that can have cutaneous and visceral
23 involvement. This report describes an 18-year-old mixed-breed mare presented with dysphagia,
24 dyspnea, and an upper respiratory noise that developed secondary to oropharyngeal botryomycosis.
25 Histological examination of the mass showed a granulomatous formation with Splendore-Hoeppli
26 phenomenon surrounding gram-positive bacteria. This report describes clinical signs, approach and
27 management of an oropharyngeal *Staphylococcus aureus* granuloma in a geriatric mare.

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28 Introduction

29 Cutaneous and visceral botryomycosis has been described in equids, ruminants, companion
30 animals, guinea pigs, elephant and humans for over a century. (Bollinger 1870, Bostrom *et al* 1969,
31 Sheikh-Omar and Abdullah 1985, Bonifaz and Carrasco 1996, Pandey *et al* 1997, Margaret *et al*
32 2001, Miller *et al* 2001, Sleeman, J *et al* 2003, Scott 2007). Botryomycosis is an uncommon
33 condition, characterized by formation of a slow growing chronic granuloma caused by non-
34 filamentous aerobic and anaerobic bacteria such as *Staphylococcus aureus*, *Pseudomona* spp. and
35 *Bacteroides*. *S. aureus* is the most common agent encountered.

36 Diagnosis is based on histological identification of granulomatous inflammation and isolation of the
37 microorganism. In the case described herein, a subepiglottic oropharyngeal granuloma caused by *S.*
38 *aureus* was diagnosed based on the characteristic histologic findings and positive culture.
39 Histologically, botryomycosis is characterized by the presence of radiating, star-like asteroid or
40 club-shaped eosinophilic material, Splendor-Hoeppli (SH) reaction around the infectious agent;
41 which represents a localized immunological host reaction to antigens (Hussein 2008). Fungal
42 granulomas, bacterial abscessation, foreign body, amyloidosis, and neoplasia should be included in
43 its differential diagnosis. (Miller and Campbell 1982, Shaw *et al.* 1987, Kiper *et al.* 1992, Jones
44 1994)

45 This report describes the clinical manifestations of a granuloma caused by *S. aureus* in the
46 oropharynx of a geriatric mare outlining a diagnostic and surgical approach as well as management
47 of the case. To the authors' knowledge this is the first case of botryomycosis in the oropharynx
48 described in equine.

49 Case history

50 An 18-year-old mixed breed mare weighing approximately 450 kg was presented to *Fundació*
51 *Hospital Clínic Veterinari* of the *Universitat Autònoma de Barcelona*, with a 3-year history of a
52 mass located in the submandibular area and a second mass in the pharyngeal region. Over the
53 month prior to admission, the mare was occasionally pyrexia and presented intermittent dysphagia.
54 The mare also had episodes of dyspnea, upper respiratory noise, and bilateral mucoid nasal
55 discharge, especially when exercised.

57 Clinical findings

58 On presentation, the mare was quiet, alert and responsive. Physical exam was within normal limits.
59 Bilateral mucoid nasal discharge was evident. Two firm, round shaped and smooth masses were
60 palpable in the submandibular and pharyngeal areas to the right side of midline, 5 and 3 cm in
61 diameter respectively. The skin overlying the masses was normal and there was no evidence of pain
62 or pruritus.

63 Ultrasonography of the submandibular mass revealed soft tissue density with multiple hypoechoic
64 compartments. The pharyngeal mass was encapsulated with the presence of punctiform hyperechoic
65 structures and mineral opacities as well as hypoechoic areas similar to the other mass. (Fig 1) No
66 communication between the two masses was identified. An ultrasound guided fine-needle aspirate
67 was obtained under local anesthesia with mepivacaine from both masses. Cytology revealed mixed
68 neutrophilic and mononuclear inflammatory cells with presence of gram-positive cocci and
69 bacterial culture was negative.

70 Skull radiography revealed a well circumscribed, round soft tissue radio-opacity in the oropharynx
71 over the base of the tongue that obscured the epiglottis and hyoid apparatus over which it was
72 superimposed.

73 Endoscopy of the upper respiratory tract (URT) revealed the soft palate protruding dorsally into the
74 nasopharynx as though being pushed upwards. The soft palate was displaced dorsal to the epiglottis

throughout the endoscopic examination. Oral endoscopy identified a 3 cm diameter, ulcerated pink mass at the base of the tongue slightly offset to the right of midline that occluded the end of the oropharynx (**Fig.2**). This mass was attached to the base of the tongue and ventral aspect of the epiglottis. This finding explained the dysphagia and dyspnea.

Treatment

Surgical resection under general anesthesia was performed in two different procedures. Pre-operatively, total protein and fibrinogen were elevated at 9.8 g/dl and 800 mg/dl respectively. Rebreathing exam and thoracic ultrasonography were normal. Complete blood count, serum biochemistry and electrocardiogram were within normal limits.

The patient received pre-operative sodium penicillin¹ (22.000 IU/kg bwt i.v.), gentamicin² (6.6 mg/kg bwt i.v.), phenylbutazone³ (4.4 mg/kg bwt i.v.) and morphine² (0.1 mg/kg bwt i.m.).

The mare was sedated with romifidine⁴ (0.06 mg/kg bwt i.v.) and butorphanol³ (0.03 mg/kg bwt i.v.), induced with ketamine⁵ (2 mg/kg bwt i.v.) and diazepam⁶ (0.05 mg/kg bwt i.v.), placed in dorsal recumbency and maintained with isoflurane⁷ in 100% oxygen through a mid cervical tracheotomy performed prior to induction.

Initial intraoral examination under general anesthesia revealed a fibrous mass, approximately 1.5 x 5 x 3 cm, from the base of the tongue to the oropharynx and slightly offset to the right of midline, involving the mucosa of the oropharynx.

The ventral aspect of the head and neck were clipped and prepared aseptically. Surgery was performed through a submandibular approach and continued by pharyngotomy as well as intraoral manipulation. The submandibular mass extended to the oropharynx involving contiguous structures such as the hyoid apparatus. Because of the location of the mass, surgical excision was unsuccessful in achieving clean margins. The submandibular area was sutured in four layers: mucosa, muscle, subcutaneous tissue and skin; and the pharyngotomy was left to heal by second intention. **The oropharyngeal mass excised surgically was fixed in 10% formalin and processed routinely for**

100 **histopathological studies.** Hematoxilin-eosin and Gram staining were performed on the different
101 sections.

102 Two days later, under intravenous anesthesia with xylazine, ketamine, and guaifenesin, the second
103 surgery was performed in sternal recumbency. Through an intraoral approach, the remaining part of
104 the mass adhered to the right aryepiglottic fold was removed (**Fig.3**). Laparoscopic instruments
105 were used for the procedure guided by nasal endoscopy. Additionally, an adhesion between the
106 subepiglottic fold and epiglottis was excised.

107 **Diagnosis**

108 The different sections of the samples evaluated showed a similar histopathologic image: the normal
109 architecture of the tissue was extensively obliterated by irregularly sized pyogranulomas constituted
110 by collections of degenerate neutrophils with variable numbers of surrounding macrophages,
111 epithelioid cells and foreign body multinucleated cells. A dense fibrovascular granulation tissue
112 infiltrated mainly by lymphocytes and plasma cells was seen between pyogranulomas. Within the
113 pyogranuloma there were numerous cocoid Gram positive stained bacterial colonies imbedded in an
114 amorphous eosinophilic material deeply eosinophilic, Splendore-Hoeppli phenomenon (Fig. 4). The
115 histological diagnosis was focally extensive severe pyogranuloma with intralesional Gram positive
116 bacteria, compatible with botryomycosis.

117 Culture of the mass isolated coagulase positive *Staphylococcus aureus* and antimicrobial
118 susceptibility testing confirmed *in vitro* sensitivity to amikacin, cephalexin, ceftiofur, ceftriaxone,
119 doxycycline, enrofloxacin, erythromycin, gentamicin, rifampin and trimethoprim-sulfamethoxazole.

120 **Therapeutic plan and follow up**

121 Following the first surgery the patient received systemic sodium penicillin (22,000 IU/kg bwt i.v. q.
122 6 h) and gentamicin (6.6 mg/kg bwt i.v. q. 24 h) for 4 days, which was changed to doxycycline⁸ (10
123 mg/kg bwt *per os* q. 24 h) when the susceptibility testing was available. Initially, anti-inflammatory
124 treatment consisted of phenylbutazone (4.4 mg/kg bwt i.v. q. 12 h) for 3 days, followed by

125 suxibuzone⁷ (3.3 mg/kg btw i.v. q. 12 h) for 8 days. Another dose of morphine (0.1 mg/kg bwt i.m.)
126 was administered post-operatively.

127 Fluid therapy with isotonic solution, Ringer Lactate² (10 L), supplemented with calcium
128 borogluconate² 23% (4 mEq/L) was administered overnight. Then enteral fluids with water
129 supplemented with sodium chloride⁹ (135 mEq/L), potassium chloride⁹ (5 mEq/L) and honey, as a
130 source of glucose, (15 ml/L) at a rate of 2 ml/kg/h for 7 days, was administered through a 5 mm
131 diameter nasogastric tube left in place sutured to the alar fold.

132 The mouth was flushed with water after doxycycline administration and diluted clorhexidine¹⁰ as an
133 oral antiseptic. Ten milliliters of throat spray consisting of nitrofurazone¹¹ (100 g), dexamethasone¹²
134 (6 ml, 2 mg/ml) and dimethyl sulfoxide⁹ (33 ml) was administered twice a day. On day 4, the mare
135 was gradually fed, which she tolerated well. During hospitalization, the mare gradually improved,
136 nasal discharge and secretion from the tracheotomy site decreased. The tracheostomy tube was
137 removed 3 days after the second surgery and a wound dressing gauze was applied over the defect.
138 The tracheostomy, pharyngotomy, and submandibular incisions healed well by second intention.

139 On day 9 post-operatively, endoscopy revealed mild swelling of the soft palate and epiglottis. No
140 adhesions were observed between the epiglottis and subepiglottic fold. The patient was discharged
141 on day 10. Doxycycline was continued for 3 weeks as well as oral flushing with diluted
142 clorhexidine after doxycycline administration. The mare was treated with suxibuzone and throat
143 spray for 1 week. Pharyngotomy and tracheotomy incisions were cleaned as needed.

144 On re-examination 3 weeks later, incisions healed uneventfully and plasma fibrinogen
145 concentration had decreased to 400 mg/dl. On upper airway endoscopy at that time the soft palate
146 was still dorsally displaced. Intermittent movement of the tip of the epiglottis over the soft palate
147 and lateralization was recognized. Food material was present in the nasal cavity and mucous
148 secretions in the trachea. Doxycycline was continued for an additional 2 weeks.

149 On a recheck two months later, total protein and fibrinogen had increased to 9 g/dl and 500 mg/dl
150 respectively. Surgical incisions and the tracheotomy site were not visible. On external palpation of

151 the pharynx, a 5 cm mass was evident on the right side of the tongue. Dorsal displacement of the
152 soft palate was still seen on endoscopy. Delineation of the mass by intraoral endoscopy was not
153 possible, but mild mucosal ulcerations on the surface of the mass were still observed. Therapy with
154 doxycycline was discontinued as no significant improvement was observed; trimethoprim-
155 sulfamethoxazole¹³ (30 mg/kg bwt *per os* q. 12 h) and potassium iodide⁹ (30 mg/kg bwt *per os* q24
156 h) was initiated for one week followed by potassium iodide at 20 mg/kg *per os* q24h for 3 weeks.
157 Iodides were chosen as these have been used for treatment of chronic or encapsulated bacterial
158 infections. Potassium iodide was to be discontinued if epiphora or skin exfoliation were observed.
159 The owners were informed this condition could progress and the aim after the surgical resection
160 was to prevent regrowth of the remaining oropharyngeal mass. The mare was discharged with
161 instructions to reexamine her in our hospital in 3-4 weeks, and phone call check-ups every two
162 weeks.

163 Outcome

164 The initial response to this treatment was satisfactory; the mare did not lose weight and had a good
165 quality of life. However, approximately 18 months after discharge from the hospital the mare was
166 euthanized due to progressive weight loss, progressive dysphagia and secondary aspiration
167 pneumonia. Postmortem examination could not be performed at the farm.

Discussion

Botryomycosis is a pyogranulomatous inflammation associated with eosinophilic granules that have peripheral club formation, Splendor-Hoeppli phenomenon, and gram-positive cocci or gram-negative bacilli (Speirs *et al.* 1971). *S. aureus* is the most common isolated bacteria. Occasionally, other species such as *E. coli*, *Proteus*, *Streptococcus*, *Pseudomona* spp., *Bacteroides* and *Micrococcus* spp. have been implicated (Winslow 1959, Akiyama 1996, Bonifaz and Carrasco 1996). It has also been reported under different names such as staphylococcal actinophytosis, granular bacteriosis, actinobacillosis, bacterial pseudomycosis, bacterial granuloma and staphylococcal pseudomycetoma (Saadat *et al.* 2007, Scott 2007, Smiet *et al.* 2012).

The occurrence of botryomycosis is rare in horses. It can be divided into cutaneous and visceral forms. (Winslow 1959, Smiet *et al.* 2012). The most common presentation is as a staphylococcal wound infection (Miller *et al.* 2001) especially after castration (Knottenbelt 2009) and occasionally in tissues and skin of the mammary gland (Smiet *et al.* 2012). Diagnosis is made on the basis of clinical signs and by histologic characteristics. One of the histological characteristics in botryomycosis is the identification of the Splendor-Hoeppli (SH) phenomenon (Schlossberg *et al.* 1998, Snowden *et al.* 2003, Hussein 2008). It usually appears as strongly eosinophilic amorphous material with star-like or club-shaped configurations surrounding or adjacent to the causative agent.

The exact nature of the Splendore-Hoeppli reaction is not well understood yet. It represents a localized immunological host reaction to antigens, in our case to *Staphylococcus aureus* (Saadat *et al.* 2007, Hussein 2008, Rath *et al.* 2012). The extensive fibrotic and granulomatous lesion that characterizes botryomycosis hinders diffusion of antimicrobials into the site of infection, and the Splendore-Hoeppli material probably prevents phagocytosis and intracellular killing of the insulting agent resulting in chronicity of the infection (Hussein 2008).

It is important to consider that sometimes the typical histological characteristics of botryomycosis may be not identified. Atypical presentations with uncharacteristic lesions resembling other conditions have been identified in humans. SH phenomenon was not identified in botryomycosis

194 patients with concurrent acquired immunodeficiency disease (Brunken *et al.* 1983, Patterson *et al.*
195 1987, Coulibaly *et al.* 2008). Therefore, culture plays a significant role in the definitive diagnosis
196 and management of the patient.

197 Computed tomography and magnetic resonance imaging would have been key to define the extent
198 of the lesion and involvement of surrounding structures. Both diagnostic imaging techniques were
199 offered to the owner, but these **were only available** at a distant referral hospital and the owner
200 refused **to perform them**.

201 In the differential diagnosis of an oro-pharyngeal mass the conditions that should be considered are
202 fungal granulomas, bacterial abscess, foreign body penetration, amyloidosis and neoplasia. Most of
203 the granulomas described in previous reports affecting the nasal passages, nasopharynx and
204 oropharynx are associated with fungus (Hodgin and Conaway 1984). The conditions identified are
205 phycomycosis (Miller and Campbell 1982, Zamos *et al.* 1996), cryptococcosis (Watt 1970, Carrig
206 1968 Roberts *et al.* 1981, Malik *et al.* 1997, Stewart *et al.* 2009, Cruz *et al.* 2009), and granulomas
207 by *Pseudallescheria* spp. (Brearley *et al.* 1986), or *Coccidioides* spp. (Hodgin and Conaway 1984)

208 In a study of clinical observations **of equine phycomycosis, the horses** usually presented with a
209 large granulomatous nasal mass, nasal discharge, and dyspnea due to mechanical blockage (Miller
210 and Campbell 1982). In the case described in this report, the mass in the oropharynx was
211 responsible for the loss of normal anatomy in the nasopharynx. In addition, the mass occluded the
212 end of the oropharynx, which resulted in dysphagia, dyspnea and nasal discharge. Likewise, the
213 case reports of cryptococcal granulomas in the nasal cavity with involvement of the nasopharynx
214 presented with anorexia, fever, inspiratory and expiratory difficulties, dyspnea, and malodorous
215 sanguineous or mucopurulent nasal discharge. On endoscopy, cryptococcal granulomas can be
216 identified as pale yellow, mucous-covered masses (Watt 1970, Carrig 1968 Roberts *et al.* 1981,
217 Malik *et al.* 1997, Stewart *et al.* 2009, Cruz *et al.* 2009). Considering the importance of climate
218 factors, such as warmth and humidity (Roberts *et al.* 1981), Spain does not provide the ideal

219 environment for development of these mycosis and we considered these very unlikely as a possible
220 diagnosis in this mare.

221 Neoplasia of the larynx and pharynx in horses can include squamous cell carcinoma (Jones 1994),
222 lymphosarcoma (Burba *et al.* 1991), fibroma (Madewell 1976, Cotchin 1977) and melanoma (Dorn
223 and Priester 1976). Squamous cell carcinoma represents the most frequent neoplasm of the upper
224 respiratory and gastrointestinal tracts (Jones 1994).

225 Botryomycosis has a slow progression. The pathogenesis of botryomycosis has been discussed and
226 is not clearly understood. Host factors such as altered immune factors, debilitating illnesses, or
227 concurrent infections are prerequisites to the development of the disease (Brunken *et al.* 1983).

228 Botryomycosis has been reported in patients with lowered resistance, immune deficiency or
229 immunosuppression. In the case described, the mare was healthy but aged. For cryptococcosis,
230 damaged tissue that predisposes to infection has been suggested as a contributing factor (Roberts *et*
231 *al.* 1981, Cruz *et al.* 2009). For example, passage of a nasogastric tube or floating teeth could allow
232 the development of a scaffold for the organism. Because of the development of the mass in the
233 oropharynx, we hypothesize that an insult in the area could have been the beginning of the process.

234 However, this case had no history of lingual and oro-pharyngeal trauma or associated periodontal
235 disease, therefore the source of infection could not be established.

236 Treatment of botryomycosis includes surgical excision combined with systemic antimicrobial
237 therapy. Effective treatment of botryomycosis depends on the nature of the inciting organism, the
238 site of lesion, and the horse's immune status (Saadat *et al.* 2007). In the case described, because of
239 the poor accessibility of the mass, its hard and fibrotic consistency and the infiltrative nature of its
240 attachment to underlying tissues the resection was not completely achieved. Endoscopically-guided
241 diode laser excision combined with an oral approach may have resulted in a higher degree of
242 success. Surgical approach to lesions at the base of the tongue is somewhat limited unless
243 aggressive midline submandibular approaches are used. Intraoral approaches provide limited
244 exposure to subepiglottic and aboral tongue structures. Similarly, a ventral midline pharyngotomy

245 only provides limited access to structures below the soft palate and epiglottis. In addition the mass
246 was located far too orally in order to be accessed by a laryngotomy approach.

247 Antimicrobial therapy is impaired in cases of botryomycosis by the Splendore-Hoeppli reaction that
248 appears to prevent achieving bactericidal antibiotic concentrations inside the granuloma. It may also
249 prevent phagocytosis and intracellular killing of the bacteria and thus influence treatment (Saadat *et al.*
250 *et al.* 2007, Smiet *et al.* 2012). In the case described, the choice of antibiotic therapy was based on
251 culture and susceptibility results.

252 Potassium iodide has been the treatment of choice for Sporotrichosis (Rosser *et al.* 1981, Werner
253 and Werner 1994) and is commonly used in treatment of fungal infections in horses (Zamos *et al.*
254 1996, Davis *et al.* 2000, Schwarz *et al.* 2009, Crothers *et al.* 2009). Review of the existing literature
255 revealed that potassium iodide (KI) has not been reported previously as a therapeutic option for
256 botryomycosis. We introduced it following the recommendation of a veterinary colleague
257 (Knottenbelt 2013). Iodides have traditionally been used in selected cases for treatment of chronic
258 or well encapsulated bacterial or fungal infections. Iodides are anti-inflammatory agents by virtue
259 of their ability to quench toxic oxygen metabolites and inhibit neutrophil chemotaxis. (Sterling and
260 Heyman 2000). Side effects during treatment may include epiphora, ocular discharge, cough, and
261 dry seborrhea of the skin and coat (Davis *et al.* 2000, Knottenbelt 2002). No adverse effects were
262 noted in the case described here.

263 Research into *S. aureus* abscess formation and persistence in host tissue has demonstrated that
264 different surface proteins involved in disease pathogenesis may be useful for vaccine development
265 in the future and provide a new line of treatment (Cheng *et al.* 2009).

266 We have described the first report of chronic botryomycosis in equine presented as an
267 oropharyngeal mass, which is comparable with similar masses in other parts of the body. The slow
268 onset of clinical signs and difficulty in visualization and/or recognizing this abnormality may allow
269 significant enlargement and extensive tissue invasion to occur prior to presentation for examination
270 and treatment. In conclusion, oropharyngeal botryomycosis should be distinguished from other

271 conditions in the horse, which are characterized, by mucopurulent nasal discharge, airway
272 obstruction, respiratory noise, dyspnea, and dysphagia.

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273 **Authors’ declaration of interest**

274 No conflicts of interest have been declared.

275

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277 None.

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396

397 Figure Legends

398 **Fig 1:** Ultrasonographic examination of the oropharyngeal mass to the right of midline. A well-
399 encapsulated mass with puntiform hyperechoic structures and mineral opacities with interspersed
400 hypoechoic areas is observed. The mass was approximately 2×2.6 cm.

401 **Fig 2:** Endoscopy of the nasopharynx; dorsal displacement of the soft palate is observed **(A)** due to
402 swelling underneath the soft palate that obliterates the view of the larynx. Endoscopy of the
403 oropharynx, an ulcerated subepiglottic mass is identified partially obscuring the epiglottis **(B)**.

404 **Fig 3:** Endoscopy of the nasopharynx; reminiscence of the mass attached to the aryepiglottic fold
405 (black arrows) obstructing the entrance to the trachea and persistent dorsal displacement of the soft
406 palate. Nasogastric tube for enteral fluid therapy (white arrows) **(A)**. A modified esophageal
407 grasping forceps with a horizontal jaw was used to grip the mass **(B)**.

408 **Fig 4:** Staphylococcal pyogranuloma The pyogranuloma is constituted by a deeply eosinophilic
409 material (Splendore-Hoepli phenomenon) and numerous cocoid bacterial colonies surrounded by
410 collections of degenerate neutrophils with variable numbers of surrounding macrophages and
411 epithelioid cells. HE staining **(A)**. In a serial section it can be observed the Gram positive bacterial
412 colonies showing a deep blue staining. Gram stain **(B)**.



Fig 1: Ultrasonographic examination of the oropharyngeal mass to the right of midline. A well-encapsulated mass with puntiform hyperechoic structures and mineral opacities with interspersed hypoechoic areas is observed. The mass was approximately 2 × 2.6 cm.

266x200mm (72 x 72 DPI)

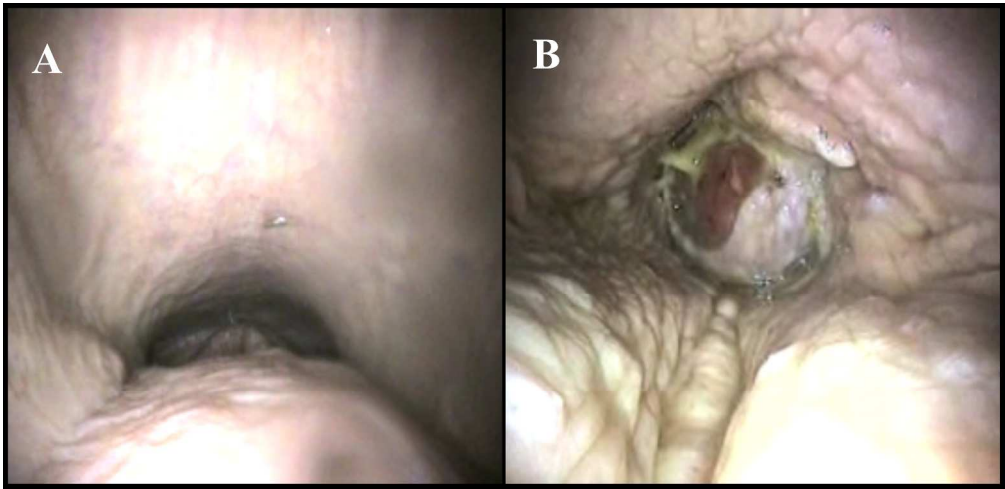


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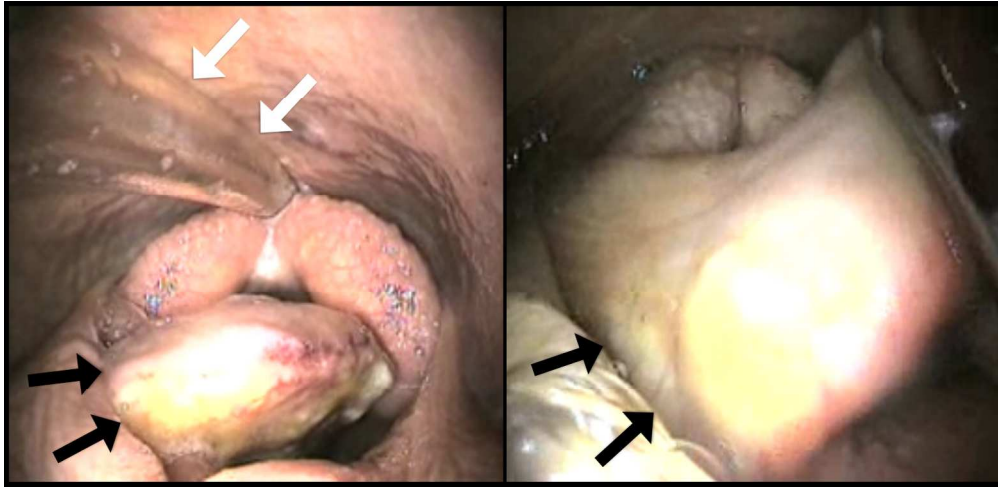


Fig 3: Endoscopy of the nasopharynx; reminiscence of the mass attached to the aryepiglottic fold (black arrows) obstructing the entrance to the trachea and persistent dorsal displacement of the soft palate. Nasogastric tube for enteral fluid therapy (white arrows) (A). A modified esophageal grasping forceps with a horizontal jaw was used to grip the mass (B).

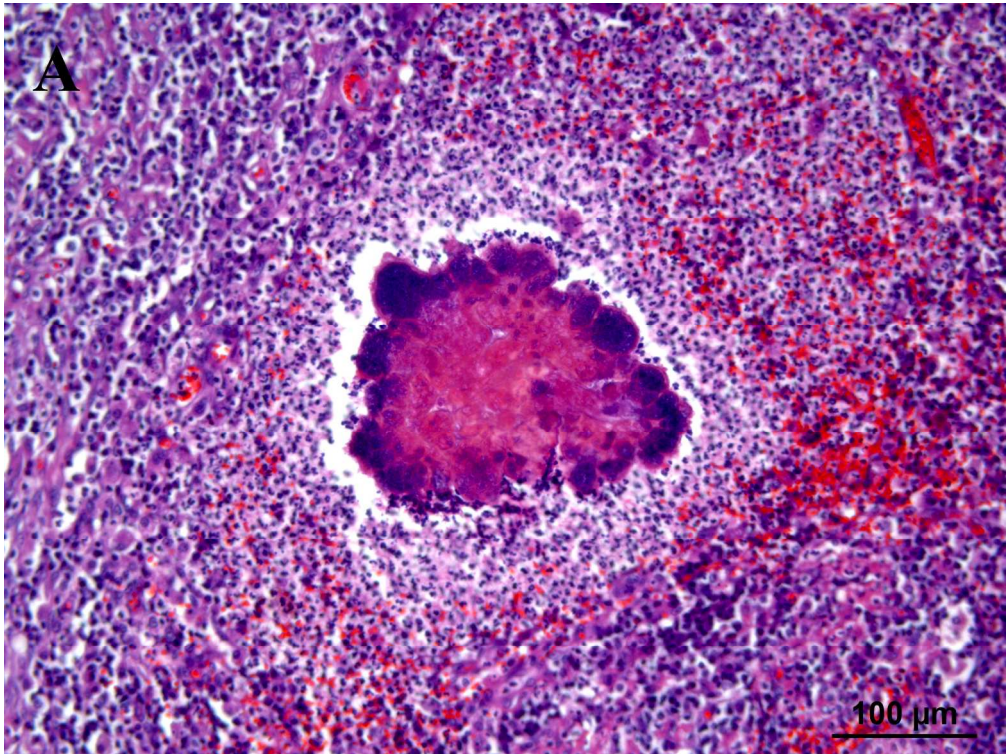


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903x677mm (72 x 72 DPI)

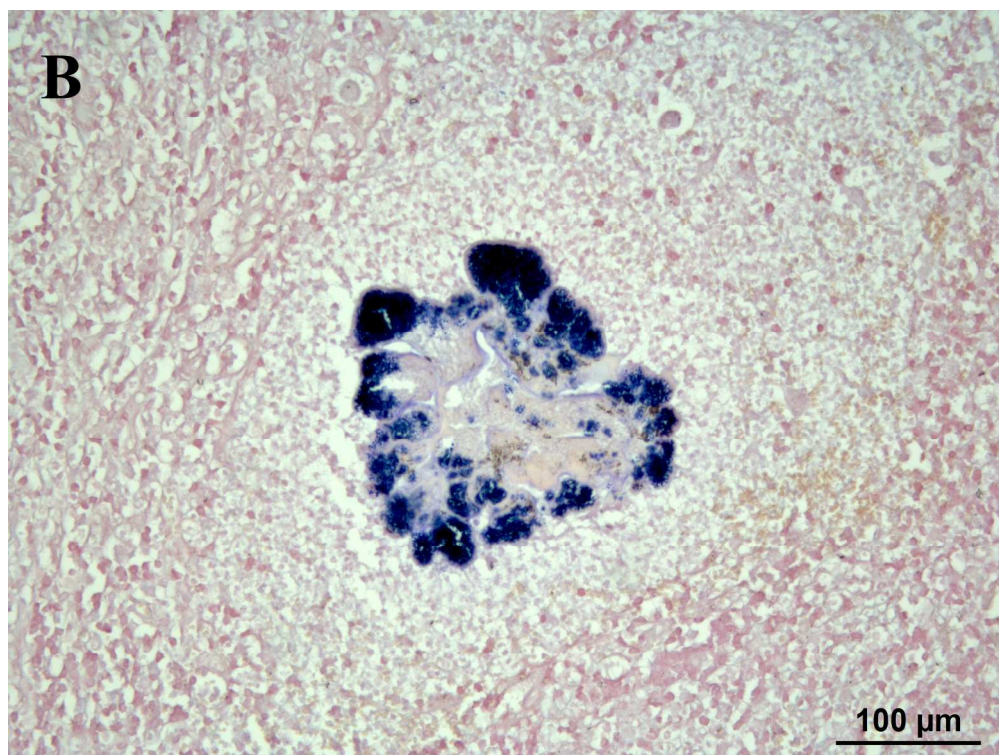


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