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1 **Phytosterols in cancer: From molecular mechanisms to preventive and therapeutic potentials**

2 **Running title: Role of phytosterols in cancer prevention and therapy**

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13

1 **Abstract**

2 Cancer is the second leading cause of death worldwide. Compelling evidence supports the hypothesis that
3 the manipulation of dietary components, including plant compounds termed phytochemicals,
4 demonstrates certain important health benefits in humans, including those in cancer. In fact, beyond their
5 well-known cardiovascular applications, phytosterols may also possess anticancer properties, as has been
6 demonstrated by several studies. Although the mechanism of action by which phytosterols (and
7 derivatives) may prevent cancer development is still under investigation, data from multiple experimental
8 studies support the hypothesis that they may modulate proliferation and apoptosis of tumor cells.
9 Phytosterols are generally considered safe for human consumption and may also be added to a broad
10 spectrum of food matrices; further, they could be used in primary and secondary prevention. However,
11 few interventional studies have evaluated the relationship between the efficacy of different types and
12 forms of phytosterols in cancer prevention. In this context, the purpose of this review was to revisit and
13 update the current knowledge on the molecular mechanisms involved in the anticancer action of
14 phytosterols and their potential in cancer prevention or treatment.

15

16 **Keywords:** edible vegetables – sitosterol – antitumor therapies – angiogenesis – proliferation – metastasis
17 – apoptosis – phytotherapy

18

1 **1. Introduction**

2 Cancer is the second leading cause of death and morbidity worldwide, accounting for 8.7 million deaths
3 in 2015 (1). As per the projections for 2030, these figures will double by the year 2030 (2).

4 The pathophysiology of cancer is complex; however, it may be defined as uncontrolled cell growth that
5 may spread to other body parts from the original site, resulting in tissue disorganization and destruction of
6 these other body parts (3). This change is attributable to the dysregulated expression of genes involved in
7 cell cycle control (4). Conventional therapies frequently fail, partly because they generally target single
8 processes, often causing adverse health effects.

9 Diet has been identified as an important and modifiable risk factor for cancer (5-7). Therefore,
10 modification in dietary habits that involves the inclusion of functional food components with
11 chemopreventive properties has been identified as a potential strategy for halting or reversing the early
12 phases before the manifestation of a malignancy. Supporting this theory, diets high in fruits and
13 vegetables, legumes, and whole grains are chemopreventive (8, 9). Moreover, there is considerable
14 epidemiologic data suggesting that vegetarians tend to have lower overall rates of cancer than the general
15 population (4), being one of the most convincing data coming from long-term association studies of the
16 Seventh-Day Adventist community (10, 11). Further, adherence to the traditional Mediterranean diet has
17 also been evidenced to be protective against the risk of cancer, mainly owing to its higher content of
18 abundant and variable plant foods, high consumption of cereals, and predominant use of olive oil as the
19 main fat (12-14). Therefore, it is not surprising that the intake of plant-origin foods appears in the current
20 guidelines as one of the main evidence-based recommendations for reducing the risk of cancer (2, 15-18).
21 Overall, these studies further reveal the presence of dietary functional components with cancer-preventive
22 potential in these diets (19).

23 The functional components of food can be defined as non-conventional biomolecules present in
24 foodstuffs that are closely associated to health benefits (20). Research has proved that functional dietary
25 components can be effectively used in the treatment and especially, in the prevention of diseases. Studies
26 have shown that supplementation with specific plant metabolites (e.g., antioxidants, dietary fiber, and
27 different species of phytochemicals) may reduce the individual risk and alleviate the risk of specific types
28 of cancers (2). In particular, in terms of anticancer therapies, dietary phytochemicals have received
29 increasing attention because of their high potency and low toxicity in modulating crucial intracellular
30 signaling pathways than the common chemotherapy agents (21). Phytosterols are one such class of

1 bioactive dietary phytochemicals (4). This class of molecules has been shown to be effective in improving
2 the blood lipid profile, thereby protecting against cardiovascular disease. In addition to their
3 cardioprotective effects, phytosterols have also shown to have a protective effect against different forms
4 of cancer (22). These compounds are naturally present in Western diets in amounts similar to those of
5 dietary cholesterol (23); the amount of these compounds in vegetarian diets is about 50% higher (24). In
6 this context, we herein will critically review the potential role for dietary phytosterols in cancer
7 prevention.

8 **2. Dietary phytosterols**

9 *2.1. Molecular characteristics*

10 Phytosterols are the plant equivalents of animal cholesterol. These compounds are structurally similar to
11 cholesterol except for the extra double bonds and methyl and/or ethyl groups in their side-chain (25).
12 More than 200 phytosterol species exist naturally in plants, and many phytosterols are found in edible
13 foodstuffs (25). In foodstuffs, the most abundant dietary phytosterols are β -sitosterol, campesterol, and
14 stigmasterol, with the prevalence of β -sitosterol being particularly noteworthy (4). Phytostanols, saturated
15 phytosterols, are less abundant than phytosterols (25). Stanol species can be produced by 5-alpha
16 hydrogenation of the corresponding phytosterols (e.g., sitostanol and campestanol) (26).

17 *2.2. Sources*

18 In human beings, the diet is the only source of phytosterols. In foods, total phytosterol content is defined
19 by the sum of free sterols as well as the conjugated (*i.e.*, esterified and glycosylated) forms (25, 27). The
20 common dietary sources of phytosterols are vegetables, grains, seeds, and vegetable oils. The content of
21 phytosterols is high in lipid-rich plant foods, such as nuts, legumes, and seeds (4). Similarly, many fruits
22 and grains also contain significant levels of phytosterols although usually at lower concentrations.
23 Additionally, industrial procedures used in food production further affect the phytosterol content of plant
24 foodstuffs. For instance, refining processes, as those involved in the production of refined olive oil (27),
25 can result in significant reduction in their relative phytosterol content. Environmental conditions may also
26 influence the phytosterol content and the composition of conjugated forms (4, 27). Phytosterols are found
27 in many foods and are currently a part of the normal human diets. All plant foods, including algae (28),
28 contain phytosterols (29, 30). In the context of the food industry, phytosterols are currently added to a
29 broad spectrum of foods other than fat spread; they are also added to low-fat milk, bakery products,
30 orange juices, cereal and chocolate bars, as well as low-fat beverages (30, 31).

1 2.3. Bioavailability

2 An important issue related to the potential health benefits of phytosterols is their bioavailability. The main
3 bulk of phytosterols is solubilized in micelles that enable their absorption and transport through the same
4 transporter used for cholesterol transport (23), the Niemann Pick C1-Like 1 transporter. Similar to free
5 cholesterol, non-esterified phytosterols may also accumulate within enterocytes or be rapidly re-secreted
6 into the intestinal lumen via ABCG5/G8 transporters (23). Moreover, phytosterols, which are not fully
7 metabolized by the human body, are rapidly excreted, eventually resulting in a minimal net absorption of
8 the dietary phytosterols. Despite this, measurable circulating levels of phytosterols can be detected,
9 especially in individuals who consume phytosterol-enriched diets (27, 30).

10 Several factors may potentially influence phytosterol absorption (30, 32). In human beings, the absorption
11 efficiency for phytosterols is considerably lower (from less than 5 to approximately 10% depending on
12 the study) compared to that of cholesterol (33-60%) (27, 33-39). Dietary phytostanols are absorbed more
13 poorly absorbed than phytosterols (34, 40). Phytosterol absorption may be also influenced by the
14 quantitative differences in the common food sources (30, 32, 41). The bioavailability of phytosterols is
15 enhanced in oils (unrefined, vegetable, and olive oils) (42). The phytosterol content in foodstuffs may
16 also be reduced by the production processes, including refining of crude edible oils to remove the known
17 contaminants extracted from raw foodstuff or hydrogenation to obtain stanol-enriched food matrices.
18 Further, boiling and storage variably affects the total phytosterol content of vegetables (41). As in the
19 case of cholesterol, thermo-oxidative treatments during food processing or oxidation that occur during
20 storage in bulk oil, oil-in-water emulsions, and infant foods may lead to the formation of phytosterol
21 oxidation products (*i.e.*, keto-, hydroxyl-, and epoxy-derivatives) (30, 41, 43). Furthermore, an increased
22 dietary exposure to these oxidized constituents via the consumption of foods enriched with
23 phytosterols/phytostanols may potentially influence the absorption and hamper the biological properties
24 attributed to phytosterols (44).

25 Liposomes are carriers that improve the molecular stability and permeability and control their release into
26 the target tissues. Liposomalization has been reported as another strategy for oral administration (45).
27 This approach improves the bioavailability of several substances that are insoluble or barely soluble in
28 water. To our knowledge, only one study has reported on the effect of oral administration of liposomal β -
29 sitosterol in preventing tumor metastasis *in vivo* (46), suggesting that liposomal-based vehicles could be
30 potentially good candidates for formulating phytosterols in cancer prevention or treatment.

1 2.4. Safety

2 Studies on phytosterols have been conducted on several population groups, including subjects from
3 Europe, Americas, and Asia (47). No adverse effects have been reported in any of these clinical studies or
4 by the regular consumption of food products containing these compounds. Compared to phytosterols,
5 phytostanols are more stable and are therefore not altered during food manufacturing or processing. These
6 compounds are microbiologically inert because they are not affected by fermentation and are not oxidized
7 when heated (48). In a similar manner, they are not metabolized in the body and are excreted intact (49).
8 Unabsorbed phytosterols can further undergo bacterial transformation by the intestinal microbiota to
9 produce metabolites, such as coprosterol and its immediate precursor, coprostanone (50). Although these
10 metabolites are currently used as biomarkers for the presence of human fecal in the environment, some
11 reports have proposed their potential as biomarkers for certain types of cancer (*i.e.*, colon cancer) (51-53).
12 Consumption of phytosterols hampers the intestinal cholesterol absorption and might also interfere with
13 the appropriate intake of other dietary fats, including fat-soluble vitamins (α -tocopherol) and carotenoids
14 (α -carotene, β -carotene, and lycopene) (54). Given their role in antioxidant prevention, reduced plasma
15 levels of these molecules might have negative health implications. Different meta-analyses of randomized
16 controlled trials have revealed that phytosterols intake significantly reduced plasma levels of α -
17 tocopherol, α -carotene, β -carotene, and lycopene compared to subjects administered placebo (55, 56).
18 These molecules are transported by lipoproteins; therefore, a reduction in their plasma levels might be
19 attributable to the reduced capacity of the plasma carriers (57, 58). Thus, their plasma levels are generally
20 standardized for total cholesterol (55, 56). After correction, only the plasma carotenoid concentrations
21 were eventually found lower after phytosterol intake (56). However, the observed levels of fat vitamins
22 were maintained within the normal ranges, suggesting that it may have no health impact.

23 **3. Cancer prevention properties of dietary phytosterols**

24 Several studies have shown a direct relationship between dietary habits and the incidence of cancer (5-9).
25 Representative epidemiologic data support the results that the prevalence of cancer is frequently lower in
26 representative vegetarians (e.g., Adventists) than in the general population (10, 11). In this regard, the
27 estimated dietary intake of phytosterols of the Adventists is reportedly higher than that of the general
28 population (59). Similarly, the naturally-occurring dietary intake of phytosterols in a Spanish free-living
29 population has been also estimated to be higher than that of people living in other non-Mediterranean
30 European countries (60), suggesting that this could be a part of the Mediterranean diet. A randomized,

1 clinical trial involving a Mediterranean diet intervention showed a reduction in the breast cancer risk of
2 the intervention group (61). Although the specific contribution of the main component(s) responsible for
3 the favorable anti-cancer effect of plant-based diets remains undefined, it can be supposed that the cancer
4 protection provided by these diets can be attributed, at least in part, to their increased phytosterol content
5 (13, 62). Probably the most convincing experimental data supporting this hypothesis is that from the
6 efficacy analysis of this class of molecules on cancer protection in animal models of different types of
7 cancers (4).

8 In the last years, a growing body of experimental evidence has supported the hypothesis of the protective
9 effects of phytosterol consumption against tumor growth and tumor cell metastasis (Figure 1). Most
10 studies have been conducted on cancer cell lines *in vitro* (63). In contrast, relatively fewer *in vivo* studies
11 on the antitumor effect of phytosterols have been conducted, most of them using different rodent models
12 of chemically-induced cancers, xenographed with cancer cells, (3, 4) or genetic models of breast and
13 prostate cancer (64, 65).

14 The anti-proliferative and anti-metastatic effects of phytosterols (*i.e.*, either β -sitosterol or other
15 phytosterol mixtures) support the epidemiological studies that suggest a protective role of phytosterols
16 against the development of various cancers.

17 **4. Potential cellular mechanisms of anticancer action**

18 The exact mechanisms involved in the phytosterol-mediated protection against cancer are still poorly
19 defined. Different potential anticancer mechanisms have been proposed, including those involving the
20 inhibition of carcinogen production, cancer cell proliferation, invasion, and metastasis, as well as the
21 induction of cell cycle arrest and apoptosis (reviewed in (3, 4, 22, 66), thus conferring cancer
22 chemopreventive and therapeutic potential. Apart from these, other mechanisms including the reduction
23 of angiogenesis, invasion, and adhesion of cancer cells have been also suggested (Figure 1).

24 *4.1. Effect on cell cycle*

25 Cell cycle dysregulation may be mainly attributed to the alterations in certain control proteins.
26 Phytosterols have been reported to reduce cell cycle kinetics in different cultured cancer cell lines (4, 66).
27 These compounds may potentially act as weak cell cycle inhibitors of cancer cells. Compelling
28 experimental evidence from different studies suggests that exposure to phytosterols (*i.e.*, β -sitosterol) may
29 either slow down cancer cell growth, as revealed by cell cycle arrest at different phases, depending on the
30 study (*i.e.*, G2/M and G1/S transitions, G0/G1, or G2 phases) or promote cell accumulation of the sub-G1

1 apoptotic population (4, 67-72). In this regard, pretreatment of a rat model of renal carcinogenesis with β -
2 sitosterol has proven effective against renal cancer, partly owing to the inhibition of cellular proliferation;
3 hence, a significant reduction in the gene expression of proliferative markers (*i.e.*, cyclin D and
4 Proliferating Cell Nuclear Antigen [PCNA]) has been concomitantly observed in renal biopsies (73). The
5 mechanisms involved in cell arrest triggered by phytosterols are still poorly defined.

6 4.2. Effect on apoptosis

7 The most accepted hypothesis is that phytosterol supplementation at physiological concentrations
8 ultimately inhibits cancer cell/tumor growth through apoptosis stimulation [4]. Several researches have
9 shown that β -sitosterol promotes apoptosis in many different types of cancers (4, 68-71, 74-79). While
10 the mechanisms involved in the apoptotic action of phytosterols are not yet fully understood, some
11 progress has been made in this field. In this context, β -sitosterol exposure to breast MCF-7 and MDA-
12 MB-231 adenocarcinoma cells has been found to increase First apoptosis signal (Fas) levels and caspase-
13 8 activity that are involved in the extrinsic apoptotic pathway (77, 79). The increase in the caspase-8
14 activity and apoptosis in these cells is accompanied by an enhanced deposition of β -sitosterol in their cell
15 membranes (79). This finding suggests a potential mechanism by which β -sitosterol might induce
16 apoptosis, at least in part, by changes to the membrane sterol content and the Fas apoptotic pathway (79).
17 In addition, the activity of other caspases (*i.e.*, caspase-3 and -9) also increases in colon cancer cells,
18 following incubation with β -sitosterol (76). The latter has been associated with the down-regulation of the
19 anti-apoptotic protein Bcl-2 (80) and up-regulation of the pro-apoptotic Bax, an activator for ceramide-
20 mediated apoptosis (81), as well as with a release of cytochrome C from the mitochondria that is involved
21 in the intrinsic apoptotic pathway. In a similar manner, β -sitosterol modulated Bax/Bcl-2 proteins and
22 caspase-3 and caspase-9 *in vitro* in leukemic cells (70) and stomach cancer cells (68) and *in vivo* in a rat
23 model of renal carcinogenesis (73). Similar effects are produced by 7 α -hydroxy- β -sitosterol, a rare
24 phytosterol oxide isolated from *Chisocheton tomentosus* with the ability to dysregulate the Bax/Bcl-2
25 ratio (82) or guggulsterone that is found in the resin of *Commiphora mukul* and induces apoptosis through
26 the intrinsic mitochondrial pathway in hepatic carcinoma (83) and cholangiocarcinoma cells (84). Both
27 apoptotic pathways (extrinsic as well as intrinsic) are also involved in the induction of apoptosis by β -
28 sitosterol in fibrosarcoma cells (75). In this case, β -sitosterol reduced the cellular levels of Bcl-2 and the
29 inhibitor of the apoptosis protein (IAP) family and increased Bax, p53, and p21. It is interesting to note
30 that this phytosterol also reduced the activity of known anti-apoptotic molecules (*i.e.*, PI3K and Akt) and

1 increased the activation of pro-apoptotic signal transduction enzymes, including the extracellular signal-
2 regulating kinase (ERK) and p38 mitogen activated protein kinase that are activated (via phosphorylation)
3 due to extracellular signals and are involved in the activation of caspases (75).

4 4.3. *Effect on metastasis*

5 Metastasis is one of the most threatening aspects of cancer (85). Despite major advances in cancer
6 treatment, there are still no specific treatments to prevent metastasis. This is because metastasis is a
7 multistep process that comprises certain processes, including tumor cell migration, invasion, adhesion,
8 and growth.

9 Dietary phytosterols (*i.e.*, β -sitosterol) have been reported to exert a protective effect against prostate and
10 breast cancer metastasis in immunodeficient (SCID) mice (71, 86). Mice (male) inoculated with cultured
11 prostate cancer cells (PC-3 type) and fed with phytosterols exhibited (37%) about one-half the rate of
12 metastasis than cholesterol-fed mice (73%), with fewer cases of metastases to the lymph nodes and the
13 lungs (86). Furthermore, mice treated with phytosterols did not present detectable signs of metastasis in
14 the liver compared to the 18% prevalence detected in the control mice with tumors. In keeping with this, a
15 study based on the same mouse model as the one described above for prostate cancer but using SCID
16 mice (female) inoculated with cultured breast cancer cells (MDA-MB-231 type) and fed phytosterols also
17 exhibited fewer cases of metastases to the lymph nodes and the lungs (52%) compared to the control
18 group (71%). Investigations regarding the metastatic step targeted by phytosterols have found that β -
19 sitosterol offered protection from breast cancer metastasis by inhibiting cell invasion and adhesion to the
20 basement membrane proteins (87), potentially by directly influencing the expression of integrin receptors
21 on the tumor cells. It is noteworthy that, in the same study, campesterol (another phytosterol examined in
22 the study) failed to protect against metastasis.

23 More recently, orally administered liposomal β -sitosterol also prevented lung metastatic colonization of
24 melanoma cells in an experimental metastasis model *in vivo* (46). In this study, β -sitosterol induced IL-18
25 production in the intestinal epithelium. IL-18 modulates the immunological function and enhances natural
26 killer action. Since β -sitosterol is absorbed in very small amounts into the plasma, the authors
27 hypothesized that the stimulation of mucosal immunity rather than a direct action on the tumor cells
28 provided protection against tumor metastasis.

29 4.4. *Effect on angiogenesis*

1 Angiogenesis is required for invasive tumor growth and metastasis and is critical in the control of cancer
2 progression (88). The angiogenic response involves many molecules and signaling pathways that
3 coordinate multiple cellular processes, such as endothelial proliferation (*i.e.*, vascular endothelial growth
4 factor [VEGF] or its receptor(s), basic fibroblast growth factor [bFGF], etc.), and basement membrane
5 remodeling (*i.e.*, matrix metalloproteinases [MMP], such as MMP-2 and MMP-9) (66). Tumoral
6 microenvironments may also be extremely hypoxic; the activation of hypoxia-dependent signaling
7 pathways, especially hypoxia-inducible factor (HIF)-1, further contribute to tumor angiogenesis (89). In
8 this context, the inhibition of cancer angiogenesis may be a valuable, new approach to cancer therapy.

9 The anti-angiogenic potential of phytosterols remains controversial. Although data first suggested that β -
10 sitosterol possesses good angiogenic activity both *ex vivo*, using a chick embryo chorioallantoic
11 membrane (CAM) assay, and *in vivo* using a model of ischemia/reperfusion of vascular damage (90, 91),
12 some recent evidences suggest the mechanism by which phytosterols potently inhibit tumor
13 neovascularization (3). For instance, oral pretreatment of β -sitosterol has been reported to reverse the
14 VEGF gene expression in a rat model of renal carcinogenesis (73). The administration of another main
15 phytosterol, campesterol, has been shown to prevent bFGF-induced endothelial cell proliferation and
16 capillary-like tube formation as well as neovascularization in fertilized eggs (92). Guggulsterone has been
17 recently reported to suppress capillary tube formation and the migration of endothelial vascular cells as
18 well as matrix metalloproteinases release from the colon cancer cells (66). The anti-angiogenic activity of
19 phytosterols, as one of the components of a dietary phytochemical conjugate, has been also demonstrated
20 *in vivo* using a murine Lewis lung cancer model (93). Further, it is important to note that the reduction of
21 tumor-associated angiogenesis and growth observed in conjugate-feeding mice were not exclusively
22 attributed to the phytosterol content (*i.e.*, soy sterols) because other plant bioactive components with
23 antitumoral potency were also present in the conjugate formula (*i.e.*, lipoic acid and ferulic acid) (94, 95).
24 However, these effects were similar to the reported effects of these compounds and support the usefulness
25 of combined dietary approaches in cancer prevention.

26 4.5. Effect on immunomodulation

27 Selective modulation of the different components of the immune system has received considerable
28 attention because it forms the treatment bases for several pathological conditions, including cancer (96). It
29 is generally accepted that immune surveillance mechanisms are vital for preventing the development of
30 solid tumors. Such mechanisms depend heavily on a well-balanced immune response favoring a cellular

1 outcome (T helper 1 [T_{H1}] mediated) rather than a humoral response (T_{H2} type) (97). The
2 immunoregulatory role of phytosterols is deeply reviewed in another article of this special issue.

3 **5. Potential biochemical mechanisms**

4 *5.1. Cholesterol-lowering properties*

5 Elevated plasma cholesterol levels lead to their accumulation in the cell membranes where they
6 concentrate into particular cell membrane structures termed as lipid rafts. Increased cholesterol content
7 has been associated with increased survival and reduced apoptosis of cancer cells (98). Further, high
8 intakes and elevated blood levels of cholesterol are believed to be associated with elevated cancer risk
9 (99-101). According to this, the use of cholesterol-lowering strategies, including phytosterols, could
10 reduce the risk of cancer. In fact, the use of statins, another cholesterol-lowering therapy, has been
11 experimentally demonstrated to have an anti-cancer effect (102). Consistently, most existing
12 epidemiologic evidence supports a survival benefit of statins in oncological patients (103-105). Thus, it is
13 not completely accurate to believe that lowering the plasma cholesterol levels would result in phytosterol-
14 induced depletion in the cholesterol in the lipid rafts of cancer cells, and thereby induce cell death by
15 regulating signal transduction (3). This might further suggest a potential raft-modulating action that
16 involves the incorporation of phytosterols into the lipid rafts and a potential use for these compounds as
17 anti-cancer agents.

18 *5.2. Modulation of cell signaling*

19 *5.2.1 Liver X receptor (LXR)*

20 LXRs also act as oxysterol sensors in the body and their potential therapeutic applications have been
21 examined in several types of cancers (106). Phytosterols have been shown to activate the LXR signaling
22 *in vivo* (4, 107). However, the mechanisms involved in the LXR-mediated anti-cancer effect by
23 phytosterols are still poorly defined. The activation of LXR enhances cholesterol removal from the tissues
24 (108), and it has been proposed that this may directly contribute to further enhancement in cholesterol
25 removal from the cancer cells. In addition to its potential direct impact on cellular cholesterol, its
26 activation by ligands (*i.e.*, T0901317) has been also shown to exert anti-proliferative actions in multiple
27 cancer-related study systems (106, 109-112), potentially by promoting cell cycle arrest at the G1 phase
28 (112). In this context, the overexpression of LXR α further sensitizes the cancer cells to the effect of
29 T0901317 (113). The activation of LXR α with other agonists has been also reported to have a protective
30 effect against proliferation (*i.e.*, GW3965) and progression of either prostate or colorectal cancer in tumor

1 xenograft models *in vivo* (110, 112). Supporting this notion, the treatment with LXR ligands induces the
2 degradation of the low-density lipoprotein receptor (LDLR), a regulator of tumor cell survival, and
3 increases apoptosis in glioblastoma cells (106). Further, LXR-mediated elevation in apolipoprotein E
4 gene (*ApoE*), another known LXR target, promotes metastasis suppression in melanoma models (106).
5 These findings might further highlight the role for phytosterols in the inducing APOE-mediated
6 mechanisms in cancer therapy.
7 Phytosterol oxidative derivatives, called oxyphytosterols, may also act as LXR activators (107), and they
8 exert significant antiproliferative effects on cancer cells (114). Although it is still unclear whether
9 oxyphytosterols are formed in the relevant concentrations *in vivo* (107), significant amounts of these
10 compounds are present in foods (30, 41) and may be detected in the plasma of healthy subjects (115).
11 Taken together, this evidence strongly supports a link between LXR function and cancer. However, it is
12 still uncertain whether the consumption of natural dietary phytosterols might eventually lead to the
13 activation of LXR *in vivo*. Deeper investigation (*i.e.*, loss-of-function experiments) is needed in order to
14 confirm the role of LXRs for the chemopreventive actions of phytosterols in cancer.

15 5.2.2. Sphingomyelin cycle

16 One of the anti-cancer mechanisms of phytosterols that has received the maximum attention is the
17 induction of the sphingomyelin-based signaling pathway (116, 117). Ceramides as well as other
18 sphingolipid metabolites derived from sphingomyelin can modulate cell growth, survival, and death (118,
19 119). A significant induction of cellular apoptosis has been observed in MDA-MB-231 breast
20 adenocarcinoma cells, LNCaP prostate adenocarcinoma cells, and colon cancer cells exposed to β -
21 sitosterol (71, 78, 116, 117). It is important to note that the phytosterol-mediated promotion of apoptosis
22 seems to be selective for cancer cells because exposition to β -sitosterol does not promote apoptosis in
23 normal cells.

24 5.3. Role of phytosterols in oxidative stress

25 Oxidative stress is closely related to cancer (120). Cancer cells require a high amount of ATP for aberrant
26 proliferation. This high demand of energy is uncontrolled and leads to the accumulation of reactive
27 oxygen species (ROS); this needs to be counteracted by antioxidant mechanisms to ensure cell survival,
28 even in cancer cells. Current data support the hypothesis that low to moderate levels of ROS may
29 contribute to tumor formation either by activating the signaling pathways or by promoting the mutation of
30 genomic DNA (120). By contrast, at high levels, ROS promote cell death and severe cellular damage.

1 Thus, cancer cells need to develop powerful antioxidant mechanisms to counteract the exacerbated levels
2 of oxidative stress produced at the early stages of tumor development and during the metastatic phases of
3 the disease.

4 β -sitosterol has been reported to elevate the activities of antioxidant enzymes in cultured macrophage
5 cells with phorbol 12-myristate 13-acetate-induced oxidative stress, suggesting that phytosterols can
6 protect cells from damage by ROS (121). β -sitosterol has been also reported to decrease free radical
7 generation *in vitro* (122). Consistent with these findings, the administration of β -sitosterol has been
8 recently reported to exhibit chemopreventive potential in 1,2-dimethylhydrazine (DMH)-induced
9 experimental colon cancer in rats by reducing the oxidative stress (123). Although current data supports
10 the theory that phytosterols exert direct antioxidant effects (124, 125), recent data further reveal that the
11 phytosterols may also exert pro-oxidant effects by triggering ROS-dependent apoptosis and DNA damage
12 in multiple myeloma cells (67). This phytosterol-mediated action was attenuated by the ROS scavenger
13 N-acetyl L-cysteine and was associated with the activation of several cancer metabolism-related signaling
14 pathways, such as AMP-activated protein kinase and c-Jun N-terminal kinase. The latter study contradicts
15 the hypothesis regarding the complex role of natural phytochemicals, including phytosterols, and their
16 dual antioxidant or pro-oxidant role in early or metastatic events of chemoprevention and cancer therapy.
17 Lipoprotein oxidation, critical for tumor growth (126, 127), is increased in PyMT mice (128). Data from
18 our group indicate that phytosterols were effective in preventing lipoprotein oxidation in mice fed a high-
19 fat diet; this may explain, at least in part, their anticancer effects observed in these mice (64). In this
20 regard, it is noteworthy that lovastatin, a member of the statin family, inhibits low-density lipoprotein
21 oxidation (129). This property could be, at least in part, involved in the anticancer activity attributed to
22 this category of drugs.

23 **6. Potential of phytosterols as anticancer agents**

24 Although data on pharmacokinetic and bioavailability has been previously reported (130), few reports
25 have described the β -sitosterol dosage forms for their potential application in cancer cell lines. The
26 absorption of dietary phytosterols is only 5%; therefore, the phytosterol concentration used in *in vitro*
27 studies is generally higher than that actually available to cells *in vivo*. However, these studies have proven
28 useful for the investigation of the molecular mechanisms involved in the inhibitory effect of phytosterols
29 on tumor growth.

1 The anticancer and antiapoptotic potential of phytosterols is currently under investigation. Several trials
2 have suggested that their efficiency may be mainly revealed in combined therapies for cancer treatment
3 (74, 131). These findings seem to indicate that their action may rather depend on the targeted cell type,
4 source, or concentration of these compounds. Consistent with this finding, β -sitosterol has shown to
5 become pro-apoptotic in human stomach cancer cells (SGC-7901) (68); however, it has failed to show
6 effectiveness in human intestinal caco-2 cells (132). Moreover, in independent studies, only high
7 concentrations of β -sitosterol have shown to induce apoptosis (77, 133).

8 To the best of our knowledge, no clinical trials have been conducted to test the potential efficacy of
9 phytosterols in cancer. In general, epidemiological studies have reported that phytosterol intake is
10 beneficial in reducing the risk of cancer in the lung (134), breast (135), esophagus (136), stomach (137),
11 endometrium (138), and ovaries (139). A recent case-control study has shown that an increased intake of
12 dietary phytosterols, especially β -sitosterol, campesterol, and campestanol has been associated with a
13 50% reduction in the risk of colorectal cancer in a Chinese population (140). Although, to our knowledge,
14 there are no clinical studies linking β -sitosterol and breast cancer, its administration may enhance the
15 efficacy of tamoxifen, a drug commonly used for the treatment of breast cancer (131). Although
16 phytosterol administration is generally effective against various forms of cancer, some recent studies have
17 reported contradictory results. For example, in a study conducted in the Netherlands, phytosterol intake
18 was not associated with a lower risk of colon and rectal cancer (141). It is noteworthy that there was a
19 positive association of campesterol and stigmasterol with the risk of prostate (142) and colorectal cancer
20 (140). Thus, their value (alone or in combination with other anticancer treatments) in cancer prevention
21 needs to be confirmed in future controlled human trials.

22 Multidrug resistance is a phenomenon wherein tumors become resistant to chemically unrelated
23 anticancer drugs, posing one of the most important challenges in the field of cancer chemotherapy. One of
24 the most common mechanisms leading to multidrug resistance is the increased efflux of cytotoxic drugs
25 by energy-dependent transporters (ABCs). Recent studies have documented the ability of natural
26 phytochemicals to increase the sensitivity of cancer cells to anticancer drugs (143). In particular, the
27 efficacy of phytosterols has been proven in the treatment of multidrug resistant cancer cells at
28 physiological concentrations (72). It is noteworthy that in the same study, β -sitosterol exhibited greater
29 cytotoxicity in the cells with basal expression of ATP-binding cassette, sub-family B, member 1
30 (ABCB1) that is responsible for multidrug resistance phenomena, than in multidrug resistant cells. The

1 exact mechanism of phytosterol action in cancer cells is still unclear; however, it has been postulated that
2 phytosterols can exert their action partly by inhibiting the action of ABCB1 (72). This study also
3 suggested that phytosterols can target cancer cells, exhibiting high multidrug resistance potential.

4 **7. Concluding remarks**

5 As per most experimental studies, phytosterols are potential agents for prevention and, perhaps, for the
6 treatment of cancer. As a natural product, at concentrations present in plant sources or formulas,
7 phytosterols have little or no reported toxicity, making them a promising treatment option for several
8 types of cancer. The major dietary phytosterols are β -sitosterol, campesterol, and stigmasterol, and their
9 contents are higher in edible oils, seeds, and nuts. Several experimental and few human clinical studies
10 have also revealed their potential therapeutic value in cancer prevention. In particular, the characterization
11 of phytosterols as LXRs ligands as well as their effects and mechanisms of action in preclinical cancer
12 models may readdress future translational LXR research in cancer therapeutics. Moreover, new leads in
13 the development of new phytosterol derivatives (or analogs) or suitable drug delivery systems for targeted
14 therapy might provide greater efficacy and bioavailability, thus improving their clinical usefulness for
15 human trials. Future studies are therefore warranted to investigate the detailed mechanisms of action of
16 phytosterols *in vivo* as well as to assess the long-term effects of these compounds on the prevention of
17 tumor initiation, growth, and angiogenesis.

18
19 **Abbreviations used:** ABCG5/G8, ATP-binding cassette transporters class G type 5 and 8; bFGF, basic
20 fibroblast growth factor; CAM assay, (In Ovo) Chick Chorioallantoic Membrane assay; LXR, liver X
21 receptor; PCNA, proliferating cell nuclear antigen; MMP, metalloproteinases; PyMT, polyoma middle T
22 antigen; ROS, reactive oxygen species; VEGF, vascular endothelial growth factor; WHO, World Health
23 Organization.

24 25 **Conflict of interest statement**

26 The authors declare no conflicts of interest.

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7 **Figure legend**

8 **Figure 1.** Anticancer mechanisms and molecular targets of phytosterols in cancer prevention and therapy.
9 Phytosterols affect the signaling pathways involved in carcinogenesis by acting on different molecular
10 targets; the downward arrows indicate the down-regulation effects, and the upward arrows indicate the
11 up-regulation of the molecular targets or signaling pathways. Bar-headed lines are used to indicate
12 inhibition.

13 **Graphical abstract legend:**

14 Phytosterols modulate several molecular signaling pathways and cellular mediators that control the
15 survival mechanisms in cancer cells. Phytosterols may promote apoptosis and prevent the proliferation
16 through different pathways that may involve sphingomyelin metabolism, LXR activation, antioxidant
17 mechanisms, and caspases.

18

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