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1 **Fecal phageome of healthy individuals; presence of antibiotic resistance genes and**  
2 **variations caused by ciprofloxacin treatment**

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18 **Running title-** Phageome and antibiotics

19 **Note:**

20 - The authors Dietmar Fernández-Orth and Elisenda Miró contributed equally as first  
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23

## 24 **Synopsis**

### 25 ***Objectives***

26 Antimicrobial resistance genes (ARGs) can be transferred by means of mobile  
27 genetic elements (MGEs), which play a critical role in the dissemination of resistance in  
28 the bacterial community. ARG transmission within MGEs has been reported in  
29 plasmids and transposons but less frequently in bacteriophages. Here, the bacteriophage  
30 fraction of seven human fecal samples was purified and deep-sequenced to detect the  
31 presence of ARGs in the phage particles.

### 32 ***Methods***

33 Seven fecal samples (five from healthy individuals, and two from a patient  
34 before and after receiving ciprofloxacin treatment) were used to extract phage DNA,  
35 which was purified and then sequenced in a MiSeq (Illumina). Generated reads were  
36 checked for quality and assembled, and the generated contigs analyzed with Kraken,  
37 PHASTER, VirSorter and Prokka. Some genes were also validated by qPCR.

### 38 ***Results***

39 Analysis of the purified phage DNA by Kraken identified from 4 to 266 viruses  
40 in the samples. The viral fraction corresponded mainly to the order *Caudovirales*,  
41 including phages from the *Siphoviridae* and *Myoviridae* families. Bacterial genes  
42 associated with antimicrobial resistance were detected in the viral DNA, as confirmed  
43 by qPCR. Higher densities of ARG-carrying phage particles were observed in the post-  
44 versus pre-ciprofloxacin treatment sample.

### 45 ***Conclusions***

46 The finding of ARGs in phage particles supports the description of phages as  
47 mobile elements contributing to the dissemination of bacterial antibiotic resistance and

48 suggests ciprofloxacin treatment may play a role in the release of ARG-carrying  
49 particles, thereby increasing resistance.

50

## 51 **Introduction**

52 Descriptions of the lower gastrointestinal tract microbiome have focused chiefly  
53 on bacterial components<sup>1,2</sup>. However, recent research has suggested that the viral  
54 fraction also contributes to shaping the microbiome structure<sup>3-6</sup>.

55 Within the virome, the phage fraction is the most abundant, with an active  
56 phageome reported to comprise 35-2800 viruses,<sup>1,4,5</sup> and many viral particles carry  
57 bacterial DNA.<sup>7-9</sup> The great abundance of phages infecting all sorts of bacterial genera  
58 suggests that phages and phage-derived particles are a mechanism of genetic exchange  
59 that may play an important role in human health and disease.<sup>6,10</sup>

60 Antibiotic treatment is associated with the rise of antimicrobial resistant bacteria  
61 <sup>11</sup> and affects microbiome composition and species abundance.<sup>1</sup> As phages constitute  
62 an important part of the microbiome, antibiotic perturbation might also affect phage  
63 populations and promote their propagation rates,<sup>12,13</sup> thereby increasing phage-mediated  
64 gene transfer.

65 Using a metagenomic approach, this study explored how phage particles may  
66 actively contribute to antimicrobial resistance through the mobilization of antibiotic  
67 resistant genes (ARGs) in human bacterial communities. When studying viromes,  
68 contamination with bacterial DNA poses a serious drawback,<sup>14</sup> therefore an optimized  
69 protocol was used to ensure that DNA outside the phage capsids was completely  
70 removed and that only packaged DNA was sequenced.<sup>14</sup> The role of phages in altering  
71 ARG patterns in an individual receiving antibiotic treatment was also assessed.

72

73           **Materials and methods**

74           **Fecal samples.** Samples HC6, HC9, HC10, UB6 and UB25 were obtained from  
75 five healthy individuals (aged 53, 39, 37, 27 and 26 years, respectively). The subjects  
76 did not consume antibiotics in the three months prior to sample collection. Samples  
77 PRECIP and POSTCIP were obtained from the same individual (aged 66 years):  
78 PRECIP before taking antibiotics and POSTCIP four days after starting a 5-day  
79 ciprofloxacin treatment (500 mg/12 hours) prescribed as prophylaxis before dental  
80 surgery. The same individual supplied two independent fecal samples one year and one  
81 year plus one week after the study, when no longer receiving any antibiotics. All  
82 individuals live in Barcelona (Catalonia), none were involved in a food-borne outbreak  
83 or showed any gastro-intestinal pathology or known infection, and none travelled  
84 abroad in the three months before sampling except those providing samples HC9 and  
85 HC10, who travelled to the Republic of Ecuador and Peru, respectively.

86           All samples were completely anonymized. The study was approved by the  
87 Clinical Ethics Committee of the Hospital de la Santa Creu i Sant Pau (12/065/1350).  
88 Informed consent was obtained for all individuals.

89           **Purification of DNA from phage particles.** Ten g of fecal samples were  
90 homogenized 1:5 (w:v) in PBS by shaking for 30 minutes. 50 mL of the homogenate  
91 was centrifuged at 3000 ×g and the supernatant was filtered through low protein-  
92 binding, 0.22 µm pore-size membrane filters (Millex-GP, Millipore, Bedford, MA) to  
93 remove cellular debris and bigger particles while allowing viral protein capsids, small  
94 particles (including small vesicles) and free DNA to pass. The viral capsids in the  
95 filtrates were 20-fold concentrated using protein concentrators (100 kDa Amicon Ultra  
96 centrifugal filter units, Millipore, MA, US), at 3000 ×g following the manufacturer's  
97 instructions. Two mL concentrated suspensions were treated twice with chloroform

98 1:10, mixed by vigorous vortexing for 5 minutes and centrifuged at 16000 ×g for 10  
99 minutes to disrupt bacterial membranes with the aim of breaking DNA-containing small  
100 vesicles that might have passed through the 0.22 µm pore-size membrane filters. The  
101 supernatant was treated with DNase (100 units/mL; Sigma-Aldrich, Spain) using the  
102 reaction buffer provided by the manufacturer for 1 hour at 37°C to eliminate non-  
103 packaged DNA that might have passed the filters (bacterial DNA or DNA released from  
104 vesicles after the chloroform treatment). The DNase was inactivated using the Stop  
105 Solution provided by the manufacturer.

106 In this step, viral capsids remain intact and no vesicles should be observed,  
107 whereas free DNA outside viral capsids should have been removed by the DNase  
108 treatment. To confirm the absence of non-packaged bacterial DNA, an aliquot of the  
109 phage suspension was taken at this stage and used as a template to amplify the selected  
110 ARGs described below and eubacterial 16S rDNA by qPCR (Table 1). If the protocol  
111 had successfully eliminated most of the vesicles as well as DNA outside the viral  
112 capsids, both amplifications would be negative.

113 The protocols applied here for DNase treatment and DNase inactivation were  
114 verified in our previous studies.<sup>15</sup> Briefly, the DNase performance was verified by  
115 adding known concentrations of an ARG that would not be detected by qPCR if  
116 adequately eliminated by the DNase. DNase inactivation was previously confirmed by  
117 adding known concentrations of an ARG immediately after the DNase inactivation step  
118 and by amplifying this ARG by qPCR. An incomplete DNase inactivation would have  
119 resulted in a reduced amplification of the ARG caused by DNase cleavage of the  
120 primers in the qPCR assay, which was not observed.<sup>15</sup>

121

122           Packaged DNA was extracted using a double extraction to achieve the required  
123 purity. Firstly, the PowerSoil® DNA isolation kit (MO Bio. CA, US) was used, which  
124 broke down the capsids and allowed the viral DNA to be recovered. Since the purity of  
125 the extracted DNA was not yet optimal, according to the Bioanalyzer system (Agilent  
126 Technologies, CA, US), further purification was carried out using the EZ1 Virus Mini  
127 Kit v2.0 (Qiagen, CA, US) following the manufacturer's instructions to a final volume  
128 of 60 µL.

129           **Sequencing.** After purification, the DNA concentration was evaluated using a  
130 Qubit® Fluorometer (Life Technologies. CA, US) and the DNA quality was further  
131 confirmed using the 2100 Bioanalyzer system (Agilent Technologies, CA, US). 0.2  
132 ng/µL of DNA was used to prepare the libraries.

133           Illumina libraries were generated following the Nextera XT (Illumina, Inc., San  
134 Diego, CA, US) manufacturer's protocol for paired-end libraries (2x150 bp). For  
135 extension, 14 PCR cycles of 2.5 min were performed to increase the tagmentation  
136 process. Libraries were purified and checked in a 2100 Bioanalyzer electrophoresis  
137 instrument (Agilent Technologies, CA, US) and quantified in a Quantus™ Fluorometer  
138 (Promega, WI, US). An equimolar pool of the seven samples was sequenced in an  
139 Illumina MiSeq system with the Miseq Reagent Kit v2 (Illumina).

140           **Bioinformatic analysis.** Sequence reads were quality checked with FASTQC  
141 v0.11.2 to detect any anomalies in the sequencing process. Reads were then *de novo*  
142 assembled with default parameters using SPAdes v3.6.2.<sup>16</sup> Contigs were classified  
143 taxonomically with Kraken v0.10.5<sup>17</sup> using the standard Kraken database that  
144 comprises NCBI taxonomic information, as well as the complete genomes in RefSeq for  
145 the bacterial, archaeal, viral as well as phage domains. In parallel, contigs were  
146 identified by comparison against the phage sequence database using PHASTER.<sup>18</sup>

147 PHASTER classifies prophage sequences according to the percentage of the total  
148 number of coding sequences (CDS) and the presence of specific phage-related words.  
149 VirSorter was used to identify viral signals in the assembled contigs.<sup>19</sup>

150 Gene annotation was performed with Prokka 1.11,<sup>20</sup> which establishes an e-  
151 value of  $10^{-6}$  within the different included databases. ARGs were searched with  
152 ResFinder 2.1,<sup>21</sup> which establishes a percentage of identity between CDS and the ARGs.  
153 The minimum percentage of identical nucleotides shared between the best matching  
154 ARG in the database and the corresponding sequence in a contig was set to 90 %. The  
155 minimum percentage of overlapping nucleotides between a sequence and an ARG to  
156 count as a hit for that gene was set to 60 %.

157 Sequences flanking the ARG detected by ResFinder were examined with  
158 BLAST<sup>22</sup> to ascertain whether the sequences were phage or bacterial.

159 The search for 16S rDNA sequences in the contigs was performed with Metaxa2  
160 software,<sup>23</sup> which allows detection of the 16S DNA in metagenomic samples taking  
161 into account the contigs generated in the novo assembling (done by Spades).

162

163 **Standard PCR and qPCR procedures.** PCRs were performed with an Applied  
164 Biosystems 2720 Thermal Cycler (Applied Biosystems, Barcelona, Spain). ARGs from  
165 the control strains were amplified by conventional PCR (Table 1).

166 Real-time qPCR assays using TaqMan hydrolysis probes for the different ARGs  
167 (Table 1) were used under standard conditions as previously described.<sup>24–27</sup> Those  
168 ARGs analyzed by SYBR Green (Table 1) were quantified using PowerUp SYBR  
169 Green Master Mix (ThermoFisher Scientifics, MA, US) following the manufacturer's  
170 instructions.

171 **Availability of data and material**



172 The data have been deposited with links to BioProject accession number  
173 PRJNA392494 in the NCBI BioProject database  
174 (<http://www.ncbi.nlm.nih.gov/bioproject/392494>). BioSample metadata are available in  
175 the NCBI BioSample database (<http://www.ncbi.nlm.nih.gov/biosample/>) under  
176 accession number SAMN07302947, SAMN07302946, SAMN07302945,  
177 SAMN07302944, SAMN07302943, SAMN07302942 and SAMN07302940.

178

179

## 180 **Results**

### 181 **Identification of DNA from phage particles**

182 Study of DNA from phage particles gave a total of 11754439 reads (Table 2),  
183 with an average depth of  $1.68 \pm 0.18$  Mb per sample and a sufficiently high quality for  
184 our purposes. Trimming was not carried out, as the QC performed by FastQC showed  
185 high quality reads, even in the last read positions. Sequence adaptors were also not  
186 detected. The results obtained when trimming was performed were almost the same  
187 (analysis not shown). Reads were first assembled with SPAdes and then taxonomically  
188 classified with the Kraken Standard Database (Table 2). In addition, the assembled  
189 contigs were used to perform a search for phage sequences with PHASTER<sup>18</sup> (Table 2  
190 and Supplementary File 1), which discriminates between sequences with a high  
191 certainty of belonging to phages and those that are questionable or incomplete.  
192 PHASTER identified a different number of phage-related contigs in the DNA from  
193 phage particles compared to Kraken (Table 2).

194

195 The generated contigs were annotated using Prokka v1.11,<sup>20</sup> which allowed  
196 several phage genes to be identified (Table 2 and raw data in Supplementary File 2).  
197 However, since genes in phage genomes can be shared among several phage taxa,

198 Prokka cannot determine if a given gene belongs to a specific phage. Data analysis  
199 revealed phage structural genes (encoding capsid and tail), terminase and hydrolases  
200 (Supplementary File 2). Also, Prokka detected ARG sequences in UB25, HC9, UB6,  
201 PRECIP and POSTCIP (Supplementary File 2, blue).

202 Besides phage genes, Kraken analysis revealed numerous genes from different  
203 bacterial species in the phage DNA fraction of the samples (Supplementary File 3).  
204 Some genes were clearly of bacterial origin, while others, such as integrases or  
205 ribonucleotide reductases, can be present in either phages or bacteria.<sup>27,28</sup>

206 Contamination with non-packaged DNA was excluded by the controls, which  
207 showed an absence of 16S rDNA and ARGs in the phage suspensions before  
208 desencapsidation. Efficient DNA removal by DNase activity was confirmed and DNase  
209 was completely inactivated by thermal treatment.

210 Additional information was obtained with VirSorter, which classified matches in  
211 three categories. (i) Category 1 (“*most confident*” predictions) regions have significant  
212 enrichment in viral-like genes and at least one hallmark viral gene detected. A hallmark  
213 viral gene is typically of viral origin annotated as such (capsid gene, portal, terminase,  
214 etc.). (ii) Category 2 regions correspond to “likely” predictions and are either enriched  
215 in viral-like or non-*Caudovirales* genes, or have a viral hallmark gene detected.  
216 Category 3 (“*possible*” prediction) was not considered.

217

### 218 **Viral identification**

219 According to the Kraken output, a high percentage of sequences in the viromes  
220 (hereby understood as DNA extracted from the viral fraction, mostly phage particles)  
221 belonged to bacterial or archaeal DNA (4.8%-29%), while the percentage of viral  
222 sequences among the samples varied from 0.5% to 23.9% (Table 2 and Supplementary

223 File 3). The remaining sequences were not identified, or classed as uncharacterized  
224 viruses (viral dark matter).<sup>29</sup>

225

226 The distribution of the Kraken-identified viral sequences in the DNA from phage  
227 particles revealed that the order *Caudovirales*, which includes most bacteriophages, was  
228 the most abundant (Fig. 1, Table 3). Within this group, *Siphoviridae* was the most  
229 prominent family, within which phages of lactic acid bacteria, including Skunlikevirus,  
230<sup>30</sup> were the most abundantly identified by comparison against the databases. All the  
231 sequences found in samples UB6 and UB25 belonged to the *Siphoviridae*. Sequences of  
232 phages belonging to the *Myoviridae* family were only found in the PRECIP sample,  
233 particularly those infecting *Clostridium* and *Bacillus*. The POSTCIP sample contained a  
234 small percentage of *Escherichia coli* phages of the *Podoviridae* family. Based on  
235 database sequences, HC6 showed a contig with 100 % identity with the phage ΦX174  
236 from the family *Microviridae*, which infects *E. coli*, and HC9 contained *Rhodococcus*  
237 phages. In addition, 50% of the viral sequences in HC9 belonged to the family  
238 *Mimiviridae*, giant viruses that use *Amoebae* as hosts.<sup>31</sup>

239 VirSorter also identified numerous phage genes belonging to *Caudovirales* in  
240 several contigs of each sample (Table 2), particularly when categories 1 and 2 were  
241 considered together. However, this is not indicative of the number of phages because it  
242 is possible that several contigs belonged to the same phage. Data are available upon  
243 request.

244

### 245 **Detection of ARGs in the phage particles**

246 The DNA from phage particles was searched for ARGs using ResFinder 2.1 and  
247 Prokka 1.11 (Table 4). ResFinder identifies only a limited number of acquired ARGs,

248 while Prokka identifies any gene possibly involved in antibiotic or heavy metal  
249 resistance, including those not exclusively involved in antibiotic resistance. The DNA  
250 from phage particles was also searched for bacterial 16S rDNA using Metaxa2, and it  
251 was detected in several contigs from all samples (Table 4). In contrast, 16S rDNA was  
252 not detected in the samples before the capsids were broken down, as shown above.

253

254 Phage DNA in the samples was also analyzed by real-time qPCR targeting  
255 specific ARGs ( $\beta$ -lactamases *bla*<sub>TEM</sub>, *bla*<sub>CTX-M-1</sub> group and *bla*<sub>CTX-M-9</sub> group, *bla*<sub>OXA-48</sub>,  
256 methicillin resistance *mecA*, sulfonamide resistance *sulI* and quinolone resistance *qnrA*  
257 and *qnrS*).<sup>24,25</sup> These were selected for their clinical significance and because they have  
258 previously been detected in human fecal viromes.<sup>24,25</sup> We also included most of the  
259 ARGs identified in the metagenomic analysis (tetracycline resistance *tetW*,  $\beta$ -lactamase  
260 *bla*<sub>CFXA6</sub>, and macrolide resistance *ermF* and *mefA*). qPCR amplified at least one ARG  
261 in all the samples except PRECIP (Table 4). Controls confirmed that the Taq  
262 polymerase used was not contaminated with any ARG, as was previously reported for  
263 Taq polymerase contaminated with *bla*<sub>TEM</sub>.<sup>32</sup> The results obtained by metagenomic  
264 analysis and qPCR did not always coincide. In general, metagenomics only detected the  
265 most abundant ARGs (those showing a lower threshold cycle (Ct) by qPCR) (Table 4).  
266 To shed light on the origin of the ARGs detected by ResFinder, the flanking regions  
267 were analyzed by BLAST. The length of the flanking regions of each ARG varied  
268 depending on the contig length, ranging from 127 to 5050 bp. Sequences showing more  
269 than 95% identity with the fragment analyzed corresponded mostly to *Bacteroides*  
270 *fragilis* or in a few cases other *Bacteroides* and bacterial species (Table 4). All  
271 sequences corresponded with mobile genetic elements such as transposons or insertion  
272 sequence elements, and specific phage sequences were not identified.

273

274           **Comparison of samples from the same individual before (PRECIP) and**  
275 **after (POSTCIP) ciprofloxacin treatment**

276           PRECIP and POSTCIP samples showed differences in the metagenome structure  
277 and viral content (Table 2). With a similar number of reads, the bacterial sequences  
278 detected in POSTCIP did not change remarkably in terms of species. However, a greater  
279 prevalence of genes from the Bacteroidetes group, lower prevalence of Actinobacteria  
280 and higher viral prevalence and diversity was observed in the POSTCIP sample (Fig. 2).  
281 All identified sequences in both samples corresponded to *Caudovirales*; PRECIP  
282 presented a higher prevalence of *Myoviridae*, whereas in POSTCIP almost all were  
283 *Siphoviridae* (Table 2; Fig. 2).

284           The PRECIP sample did not show any ARGs, and only Prokka identified two  
285 efflux pump genes (involved in antimicrobial resistance) (Table 4). In contrast, ARGs  
286 were detected in the POSTCIP sample by both metagenomic analysis and qPCR (Table  
287 4). Notably, after the treatment with ciprofloxacin, POSTCIP showed low but detectable  
288 levels of quinolone resistance genes (*qnrA* and *qnrS*).

289           To confirm that the higher ARG prevalence was caused by ciprofloxacin and to  
290 gain insight into the background ARG levels in this individual, two additional samples  
291 were taken one year and one year plus one week after the treatment. No ARGs were  
292 detected by qPCR in phage DNA from these two samples.

293

294           **Discussion**

295           Studies of the phage community in human biomes have identified at least 1000  
296 phage and prophage sequences, the majority belonging to double-stranded DNA viruses  
297 of the *Caudovirales* order (*Siphoviridae*, *Myoviridae*, *Podoviridae*) and others to single-

298 stranded DNA viruses such as the *Microviridae* family.<sup>33,34</sup> An accurate analysis of the  
299 DNA from phage particles greatly depends on the methods used, including the  
300 extraction technique. In our study, we avoided concentration steps that could bias  
301 species detection in the samples,<sup>35</sup> and phenol was not used, as it negatively affects the  
302 purity of the extracted DNA.<sup>25</sup>

303 Results also depend on the depth of sequencing. Illumina recommends starting  
304 with a minimum of 100k reads, but here, as in similar studies, the number was increased  
305 from 1 to 3 Mb<sup>36</sup> to minimize the loss of sequences belonging to rare species.<sup>35</sup>  
306 Additionally, precise separation of the phage fraction is critical for avoiding chimeras,  
307 which can be generated when analyzing total DNA in a metagenomic study. Shotgun  
308 metagenomics thus provides a functional and detailed taxonomical classification of the  
309 species composing the virome.<sup>37</sup>

310

311 As no perfect tool exists for the detection of phage sequences or ARGs, it is  
312 advisable to use a combination of different software, allowing contigs to be grouped  
313 from different standpoints. We used SPAdes for the metagenomic assembly since it has  
314 been favorably described compared with other options like Soap, Metavelvet, Omega or  
315 MetaHit.<sup>38</sup> Different software tools will yield different results. For example, Kraken  
316 includes any virus (not only phages, although these are the most abundant) and phage  
317 sequence annotated as such in the databases. In contrast, VirSorter is more restrictive,  
318 particularly for category 1 regions, and will only identify genes with a clear phage  
319 origin. However, VirSorter allows the prediction of viral sequences outside the host  
320 genome, even from short fragments.<sup>19</sup>

321

322 One of the problems encountered when analyzing viromes is the possibility of  
323 bacterial DNA contamination. The methods used were designed to prevent  
324 contamination of the DNA extracted from phage particles. This contamination could  
325 have three sources: bacterial or eukaryotic cells, free-cell DNA or DNA inside outer  
326 membrane vesicles (OMV).<sup>39</sup> Filtration eliminated bacterial or eukaryotic cells and  
327 many OMV. The chloroform treatment, not always included in other virome studies,  
328 was used to minimize the impact of DNA-containing OMV.<sup>39</sup> The residual presence of  
329 vesicles containing DNA that passed through the filter and were not eliminated by the  
330 chloroform treatment cannot be completely ruled out. However, their contribution to the  
331 DNA pool analyzed should not be significant, based on previous studies using electron  
332 microscopy<sup>24</sup> in which the amount of phage particles visualized coincided with the  
333 number of ARGs in the DNA extracted from these particles and no OMV were  
334 observed. Finally, free DNA was removed using a strong DNase treatment,  
335 demonstrated to efficiently eliminate non-packaged DNA.<sup>15,40,41</sup> Absence of bacterial  
336 DNA contamination was confirmed by the controls, which showed that free, non-  
337 packaged DNA had been removed to the extent that 16S rDNA and ARGs were  
338 undetectable by qPCR or metagenomics before the viral capsids were broken, whereas  
339 these genes were later detected in the DNA from disrupted phage particles.

340

341 After rigorous efforts to eliminate all DNA outside the viral capsids, our results  
342 still showed a wide fraction of bacterial DNA in phage particles, as previously observed  
343 in viromes.<sup>35</sup> Up to 70-80% of reads of viral metagenomes yield no significant matches,  
344<sup>3,42</sup> possibly because few studies have focused specifically on phage sequencing,  
345 resulting in a lack of specific phage databases and a low availability of phage sequences  
346 in public databases in comparison with bacterial entries.<sup>43,44</sup> In addition, there is a bias

347 toward the more studied human viruses, and a large fraction of virus sequences in the  
348 databases correspond to uncharacterized viruses (viral dark matter)<sup>29</sup>. Moreover, when  
349 analyzing our sequences, we found that a number of prophage sequences within  
350 bacterial genomes are erroneously annotated as bacterial, do not appear as phages when  
351 searched in general databases, and cannot be identified in comparisons against the viral  
352 database subsets.

353

354 Thus, assuming the absence of a significant proportion of bacterial DNA  
355 contamination (but not completely ruled out), our hypothesis is that a high proportion of  
356 bacterial DNA found within viral particles in this and other studies is the consequence  
357 of generalized transduction or similar mechanisms able to package and spread  
358 fragments of the bacterial genome in phage capsids.<sup>14,45-47</sup> We recently showed that  
359 phage particles harboring ARGs likely originate from bacterial strains in the  
360 microbiome, and proposed that bacteria use this mechanism to spread their genome.<sup>24</sup>  
361 This idea is supported by a recent study demonstrating that phage packaging in  
362 *Staphylococcus aureus* frequently contributes to the mobilization of enormous  
363 fragments of the genome of a single bacterial cell with great frequency. This new  
364 mechanism, named “co-lateral transduction”, shows not only that phages can package  
365 and mobilize bacterial genes, but that it is not a random process and that certain regions  
366 of the *S. aureus* chromosome are preferentially hypermobile platforms of gene transfer.

367 <sup>47</sup>

368 If this mechanism also explains our observations, then using 16S rDNA as a  
369 measure of contamination has its limitations, because like other bacterial genes, 16S  
370 rDNA could also be packaged in the phage capsids and detected within the viral DNA.  
371 Supporting our hypothesis, 16S rDNA was detected in the DNA from the phage



372 particles, and in more contigs than those showing ARGs. This was to be expected  
373 considering that 16S rDNA should be more abundant in the bacterial population than  
374 ARGs, perhaps with the exception of cells carrying ARGs located in multicopy  
375 plasmids. Thus, monitoring 16S rDNA before breaking the capsids provides an accurate  
376 way of verifying the absence of bacterial DNA contamination.

377

378 Special care should be taken when annotating ARGs. It has been repeatedly  
379 demonstrated that a sequence showing homology with an ARG does not necessarily  
380 indicate a true gene conferring antibiotic resistance.<sup>14,48</sup> Therefore, the real extent of  
381 ARGs in microbiomes and the associated risk of resistance transfer can be  
382 overestimated. Nevertheless, the phageome is known to harbor ARGs,<sup>13,25,49</sup> as shown  
383 by the few studies on human phageomes<sup>41,50</sup> and environmental samples.<sup>51</sup>

384 The metagenomic data from the viral particles confirmed the presence of ARGs  
385 in most samples. Parallel qPCR assays of the same DNA detected most of the ARGs  
386 identified by metagenomic analysis, but the latter did not find all the ARGs detected by  
387 qPCR. Although the Ct value of the qPCR is only indicative and varies among qPCR  
388 assays, the output of the two methods largely coincided in the most abundant ARGs  
389 (lower Ct), which suggests that high ARG densities are required for coverage by our  
390 libraries. An exception was *bla*<sub>TEM</sub>, which was not detected by metagenomics despite a  
391 Ct indicating higher abundance than other ARGs, perhaps because it was still close to  
392 the coverage limit.

393 In one of the few studies measuring the efficiency of viral metagenomics in  
394 comparison with PCR, the two approaches produced divergent results in different  
395 matrices spiked with murine norovirus.<sup>35</sup> When sequencing the virome, it is quite  
396 difficult to estimate the level of coverage needed to detect less abundant genes.<sup>52</sup>

397 Again, different software such as Prokka and ResFinder gave non-matching results.  
398 Prokka combines the output of different tools that predict coding sequences, ribosomal  
399 RNA, transfer RNA, signal leader peptides and non-coding RNA, whereas ResFinder  
400 uses BLAST to identify ARGs. As each approach analyzes sequences from a different  
401 standpoint, their results are not comparable, but both can provide valuable insights.

402 No specific phage sequences were found in the ARG flanking regions but  
403 notably ARGs were located next to MGEs such as transposons or insertion sequences  
404 (IS). This prevents the identification of the origin of the DNA (whether bacterial or  
405 phage). As these elements are also present in the bacterial genomes, they could provide  
406 platforms for ARG mobilization. The flanking regions were mostly identified as  
407 belonging to *Bacteroides fragilis*. Since Bacteroidetes is the phylum most extensively  
408 represented in our samples, this could explain why metagenomics detected more ARGs  
409 related to these bacterial groups (Table 4). In contrast,  $\beta$ -lactamase genes (excluding  
410 those from Bacteroidetes such as *bla*<sub>CFXA6</sub>) are mostly associated with  
411 Enterobacteriaceae,<sup>53</sup> present in the gut microbiome to a lesser extent than  
412 Bacteroidetes.<sup>54</sup> Thus,  $\beta$ -lactamase genes or *qnr* genes belonging mostly to the less  
413 abundant Enterobacteriaceae were only detected by the more sensitive qPCR assays and  
414 not by metagenomics.

415

416 The samples analyzed before and after the ciprofloxacin treatment gave different  
417 results. The PRECIP sample belongs to the 20% of fecal samples negative for ARGs in  
418 phage DNA by qPCR described in previous work,<sup>25,55</sup> whereas the POSTCIP sample  
419 showed different mechanisms of resistance to various drugs. Although the absence of  
420 ARGs in the normal state has not been explained, the presence of bacterial genes other  
421 than ARGs (including 16S rDNA) in the viral DNA of the PRECIP sample indicates

422 that genomic DNA packaging occurred, although to a lesser extent than in POSTCIP. It  
423 can be speculated that in this case some individual conditions repress the induction of  
424 phage particles in general, or specifically those particles containing ARGs from the  
425 microbiota, considering the microbiota as the main source of ARG-carrying phage  
426 particles.<sup>24</sup> Thus, ciprofloxacin might have reverted this repression or most probably  
427 caused an increase in the induction of phage particles, which were detectable for a  
428 period after the treatment. Absence of ARGs in phage DNA in samples taken one year  
429 later suggests the microbiota of the individual had returned to the PRECIP values.

430 This observation supports the hypothesis that the presence of ARGs in the DNA  
431 from phage capsids of POSTCIP was due to the antibiotic treatment. Stable  
432 maintenance of ARGs and their elements in the absence of antibiotic selection has been  
433 reported,<sup>56</sup> but this is attributed to other genes in the same element conferring a  
434 different kind of selective advantage. In contrast, some antibiotics are known to  
435 exacerbate the transfer of their own resistance by the mobilization of genetic elements.  
436 <sup>56</sup> Higher rates of phage induction and recombination<sup>57</sup> and greater abundance and  
437 diversity have been reported in the presence of antibiotics in the swine gut microbiome.  
438 <sup>58</sup> Ciprofloxacin, one of these antibiotics, is reported to be an activator of the SOS  
439 response and one of the most effective inducers of MGEs, including phages and derived  
440 particles.<sup>12,59,60</sup>

441 In metagenomic studies, accurate methods and the use of a combination of  
442 different software are strongly recommended to increase data output. Despite its  
443 limitations, the metagenomic approach confirmed the presence of phage particles  
444 containing several sequences of bacterial origin, including ARGs. The packaging  
445 mechanisms are unknown but could involve transduction or other strategies by which  
446 bacterial DNA is packaged, protected and spread. However, the association of ARGs

447 with phage particles points to the latter as a likely system of resistance dissemination  
448 among the bacterial community. Future studies could elucidate the impact of phages on  
449 the regulation of microbiota and the spread of multi-resistant bacteria as a problem of  
450 global health.

451

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465

#### 466 **Transparency of declaration**

467 All authors have none to declare

468

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**Table 1.** Oligonucleotides used in this study

<b>ARG</b>	<b>Assay</b>	<b>Oligonucleotide</b>	<b>Sequence</b>	<b>Amplimer (bp)</b>	<b>LOQ qPCR (Ct)</b>	<b>of Reference</b>
<i>bla</i> <sub>TEM</sub>	qPCR-T	UP	CACTATTCTCAGAATGACTTGGT	85	30.5	61
		LP	TGCATAATTCTCTTACTGTGTCATG			
		probe TEM	FAM-CCAGTCACAGAAAAGCATCTTACGG-MGBNFQ			
<i>bla</i> <sub>CTX-M-1</sub> -group	qPCR-T	UP	ACCAACGATATCGCGGTGAT	101	31.3	62
		LP	ACATCGCGACGGCTTTCT			
		probe CTX-M-1	FAM-TCGTGCGCCGCTG- MGBNFQ			
<i>bla</i> <sub>CTX-M-9</sub> -group	qPCR-T	UP	ACCAATGATATTGCGGTGAT	85	30.5	26
		LP	CTGCGTTCTGTTGCGGCT			
		probe CTX-M-9	FAM – TCGTGCGCCGCTG- MGBNFQ			
<i>bla</i> <sub>OXA-48</sub>	qPCR-T	UP	CGGTAGCAAAGGAATGGCAA	133	32.4	24
		LP	TGGTTCGCCCCGTTTAAGATT			
		probe OXA-48	FAM – CGTAGTTGTGCTCTGGA- MGBNFQ			
<i>bla</i> <sub>CfxA6</sub>	qPCR-S	UP	GCGCAAATCCTCCTTTAACAA	802	31.5	63
		LP	ACCGCCACACCAATTTTCG			
<i>ermF</i>	PCR-S	UP	CGACACAGCTTTGGTTGAAC	309	34.0	64
		LP	GGACCTACCTCATAGACAAG			
<i>mecA</i>	qPCR-T	UP	CGCAACGTTCAATTTAATTTTGTTAA	92	33.8	65
		LP	TGGTCTTTCTGCATTCTGGA			
		probe <i>mecA</i>	FAM-AATGACGCTATGATCCCAATCTAACTTCCACA-MGBNFQ			
<i>mefA</i>	qPCR-S	UP	AGTATCATTAACTACTAGTGC	348	33.7	66
		LP	TTCTTCTGGTACTAAAAGTGG			
<i>qnrA</i>	qPCR-T	UP	AGGATTGCAGTTTCATTGAAAGC	138	34.0	13
		LP	TGAACTCTATGCCAAAGCAGTTG			

<i>qnrS</i>	qPCR-T	probe qnrA	FAM-TATGCCGATCTGCGCGA- MGBNFQ	118	34.6	13
		UP	CGACGTGCTAACTTGCGTGA			
		LP	GGCATTGTTGGAAACTTGCA			
<i>sul1</i>	qPCR-T	probe qnrS	FAM-AGTTCATTGAACAGGGTGA-MGBNFQ	67	34.5	67
		UP	CCGTTGGCCTTCCTGTAAAG			
		LP	TTGCCGATCGCGTGAAGT			
<i>tetW</i>	qPCR-T	probe sul1	FAM-CGAGCCTTGCGGCGG-MGBNFQ	62	33.7	This study
		UP	GACGGACACCATGTTTTTGGGA			
		LP	AGGAAGTGACTGCCGCTTGA			
16S rDNA	qPCR-T	probe tetW	AGCGTGGGATTACCA	467	32.2	68
		UP	TCCTACGGGAGGCAGCAGT			
		LP	GGACTACCAGGGTATCTAATCCTGTT			
		probe 16S	FAM-CGTATTACCGCGGCTGCTGGCAC-MGBNFQ			

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qPCR-T: quantitative real time PCR using TaqMan hydrolysis probes.

qPCR-S: quantitative real time PCR using a SybrGreen assay.

UP: upper primer, LP: lower primer, FAM: 6-carboxyfluorescein reporter, MGBNFQ: Minor groove binding non-fluorescent quencher.

LOQ. Limit of quantification determined with the standard curve used in this study for each qPCR assay.

**Table 2.** Bioinformatic analysis of DNA from phage particles.

Sample	# Reads	#Contigs	Kraken		PHASTER	Prokka		VirSorter			
			N° taxons <sup>a</sup> (%)	N° viruses <sup>b</sup> (%)	N° of phages	N° of phage genes	CDS	Category 1 <sup>c</sup>		Category 2 <sup>d</sup>	
								N° phage contigs <sup>e</sup>	N° phage genes <sup>f</sup>	N° phage contigs <sup>e</sup>	N° phage genes <sup>f</sup>
PRECIP	1653277	11100	532 (4.8)	11 (2.0)	17	40	7162	7	159	51	1379
POSTCIP	1612020	18469	1689 (9.1)	182 (10.8)	12	41	10769	4	28	61	1014
HC6	1758529	6015	842 (14.0)	4 (0.5)	12	25	2297	2	7	13	391
HC9	1799296	4604	440 (9.6)	4 (0.9)	10	39	4050	1	21	31	968
HC10	1372547	3838	1113 (29.0)	266 (23.9)	7	31	2415	0	0	34	739
UB6	1954802	17814	3035 (17.0)	56 (1.8)	12	35	10419	6	79	48	1298
UB25	1603968	4949	781 (15.8)	24 (3.0)	8	21	6457	4	69	28	1022

649 <sup>a</sup> All microorganisms: archaea, bacteria and viruses.

650 <sup>b</sup> viruses including phages.

651 <sup>c</sup> “most confident prediction”: high probability of phage identification.

652 <sup>d</sup> “likely”: good probability of phage identification.

653 <sup>e</sup> N° of contigs where genes of phages have been identified.

654 <sup>f</sup> N° of genes of phages identified in all contigs.

655 CDS: Coding regions.

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**Table 3.** DNA from phage particles of the samples. Percentages of sequences identified by Kraken as different phages.

Phages	Hosts	PRECIP	POSTCIP	HC6	HC9 <sup>a</sup>	HC10 <sup>a</sup>	UB6	UB25
<b><i>Caudovirales</i></b>		<b>91</b>	<b>100</b>	<b>75</b>	<b>50</b>	<b>99.2</b>	<b>100</b>	<b>100</b>
<i>Siphoviridae</i>		63.7	99.5	75	25	99.2	100	100
<i>Skunalikevirus</i>	<i>Lactococcus</i>	27	31	50		31	21	29
<i>C2likevirus</i>	<i>Lactococcus</i>					9		
<i>Unclassified</i>	<i>Lactococcus</i>	27	57	25		55.4	41	66
	<i>Lactobacillus</i>	9						5
	<i>Leuconostoc</i>		2				33	
	<i>Enterococcus</i>						2	
	<i>Propionibacterium</i>						2	
	<i>Rhodococcus</i>				25			
	Others	0.7	10		25	3.8	1	
<i>Myoviridae</i>		27.3						
	<i>Clostridium</i>	9						
	<i>Bacillus</i>	9						
	Others	9.3						
<i>Podoviridae</i>			0.5					
<b><i>Microviridae</i></b>	<i>Enterobacteria</i>			<b>25</b>				
<b><i>Mimiviridae</i></b>	<i>Unclassified</i>				<b>50</b>			
<b>Unassigned</b>		<b>9</b>				<b>0.8</b>		

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<sup>a</sup> subject HC9 travelled to the Republic of Ecuador; subject HC10 travelled to Peru





				<i>bla</i> <sub>CfxA</sub>	<i>B. fragilis</i> transposon Tn4555 TnpA, integrase, TnpC, excisionase, mobilization protein and unknown genes ( <b>1118 bp</b> ) (U75371.3)	
				<i>bla</i> <sub>CfxA6</sub>	No flanking regions, contig aligns totally	
				<i>mef(A)</i>	<i>B. fragilis</i> strain HMW 615 transposon CTnHyb ( <b>304 bp</b> ) (KJ816753.1)	
				<i>tet(Q)</i>	HMW615 transposon CTnHyb ( <b>1836 bp</b> )(KJ816753.1)	
				<i>tet(X)</i>	<i>B. fragilis</i> transposon Tn4351 ( <b>1836 bp</b> )(M37699.1)	
<b>UB25</b>	<i>bla</i> <sub>TEM</sub> (28.21), <i>bla</i> <sub>OXA-48</sub> (34.10) <sup>a</sup> , <i>bla</i> <sub>CTX-M-9</sub> group (29.81), <b><i>bla</i><sub>CfxA6</sub> (27.73)</b> , <b><i>mef(A)</i> (26.21)</b> , <i>qnrS</i> (30.85), <i>qnrA</i> (34.25) <sup>a</sup> , <i>sulI</i> (32.58), <i>tet(W)</i> (24.37)	Fosmidomycin, CnrB <sup>c</sup> , CzcA <sup>c</sup> , Lincosamide, MdtE, MdtK, TetM		<b><i>bla</i><sub>CfxA</sub></b>	<i>Bacteroides ovatus</i> insertion sequence IS4-like ( <b>127 bp</b> ) (HE999703.1)	16
				<i>mef(A)</i>	<i>B. fragilis</i> transposon CTnHyb, ( <b>277 bp</b> ) (KJ816753.1)	
				<i>tet(Q)</i>	<i>B. fragilis</i> transposon Tn4351 ( <b>390 bp</b> )(M37699.1)	
				<i>tet(X)</i>	<i>B. fragilis</i> transposon Tn4351 ( <b>390 bp</b> )(M37699.1)	

660 <sup>a</sup>Beyond the limit of quantification of the standard curve of each qPCR assay but still detectable.

661 <sup>b</sup>The annotation of specific proteins responsible for antibiotic resistance or the elements to which resistance is conferred are indicated.

662 <sup>c</sup> Proteins conferring resistance to metals.

663 Ct: Threshold cycle.

664 ARGs in bold indicate coincidence between qPCR and ResFinder results.

665 **Figure legends**

666

667 **Figure 1.** Pie Graphs of Kraken data for samples HC6, HC9, PRE-CIP and POSTCIP from  
668 healthy individuals. Distribution and relative abundance of different bacteriophages identified in the  
669 viromes with regard to the total viral sequences identified. Interactive pie graphs available upon  
670 request. This figure appears in colour in the online version of *JAC* and in black and white in the  
671 printed version of *JAC*.

672

673 **Figure 2.** Pie Graphs of Kraken data showing metagenomic comparison of the virome of the  
674 two samples from the same individual taken before (PRECIP) and after (POSTCIP) ciprofloxacin  
675 treatment. This figure appears in colour in the online version of *JAC* and in black and white in the  
676 printed version of *JAC*.