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This is the **accepted version** of the journal article:

Corral-Vazquez, Celia; Salas-Huetos, Albert; Blanco, Joan; [et al.]. «Sperm microRNA pairs : new perspectives in the search for male fertility biomarkers». *Fertility and Sterility*, Vol. 112, Núm. 5 (November 2019), p. 831-841. 11 pàg.  
DOI 10.1016/j.fertnstert.2019.07.006

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1   **Title.** Stable microRNA pairs: new perspectives in the search for male fertility biomarkers

2   **Running title:** Sperm miRNA pairs as fertility biomarkers

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28

29       **Capsule.** Expression levels of sperm microRNA pairs can be used as fertility biomarkers in  
30       patients with seminal alterations and patients with unexplained male infertility.

31       **Disclosure of Potential Conflicts of Interest.** The authors report no conflicts of interest.

32

33      **STRUCTURED ABSTRACT**

34

35      **Objective:** To identify candidates of fertility biomarkers among pairs of human sperm  
36      microRNAs.

37      **Design:** Expression data of 736 sperm microRNAs from fertile and infertile individuals  
38      characterized in previous published studies by TaqMan® qPCR were re-examined. A set of  
39      microRNA pairs with the best biomarker potential were selected and validated by qRT-PCR in an  
40      independent cohort.

41      **Setting:** University research facility.

42      **Patients:** Semen samples were obtained from fertile (n=10) and infertile individuals  
43      (asthenozoospermia, n=10; teratozoospermia, n=10; oligozoospermia, n=10; unexplained male  
44      infertility (UMI), n=8). The validation cohort was composed of 9 fertile donors and 14 infertile  
45      patients with different seminal alterations.

46      **Intervention(s):** None.

47      **Main Outcome Measure(s):** Spearman test was used to select microRNA pairs with a correlated  
48      expression in fertile individuals and a non-correlated expression in each infertile group. The  
49      biomarker potential of these pairs was determined by Receiver Operating Characteristic curves.  
50      The differential relative expression of each pair in fertile and infertile populations was verified  
51      (Mann-Whitney test). The pairs that obtained the best results were validated by qRT-PCR.

52      **Results:** 48 pairs showed significant correlations in the fertile group. The pairs that were  
53      uncorrelated in the infertile populations and displayed the greatest biomarker potential were hsa-  
54      miR-942-5p/hsa-miR-1208 (asthenozoospermia), hsa-miR-296-5p/hsa-miR-328-3p  
55      (teratozoospermia), hsa-miR-139-5p/hsa-miR-1260a (oligozoospermia), and hsa-miR-34b-3p/hsa-  
56      miR-93-3p (UMI). The hsa-miR-942-5p/hsa-miR-1208 pair displayed the greatest potential for  
57      detecting seminal alterations in the qRT-PCR validation (85.71% True Positives).

58      **Conclusions:** The pairs hsa-miR-942-5p/hsa-miR-1208 and hsa-miR-34b-3p/hsa-miR-93-3p have  
59      the potential to become new molecular biomarkers that could help to diagnose male infertility,  
60      especially in cases of UMI or when seminal parameters are close to the threshold values.

61      **Keywords:** miRNA, sperm, biomarker, stable pairs, male infertility

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64

65       **INTRODUCTION**

66

67       Approximately 15% of couples trying to conceive are infertile (1). Among this 15%, it has been  
68       suggested that males contribute to infertility in 30–40% of cases, and are the only causal factor in  
69       one-fifth of them (2). The diagnosis of male infertility is primarily based on the seminogram, which  
70       mostly relies on the microscopic analysis of sperm morphology, motility, and concentration (1).  
71       Nevertheless, the diagnostic potential of this approach has been called into question from the  
72       1980s (3) to present (4). One of the main arguments against its predictive power is the noteworthy  
73       heterogeneity of semen samples, since significant variations are noticeable even between  
74       consecutive samples of the same individual. Furthermore, although World Health Organization  
75       established threshold for these parameters, based on data obtained from fertile men, these  
76       reference values have been modified over the last years (1,5,6). Therefore, a diagnosis fully based  
77       on these thresholds is not recommended, especially when the seminal parameters of a certain  
78       sample are close to the reference standards. These handicaps suppose a limitation of the test,  
79       which only constitutes an overview of fluctuating biological variables that do not reliably indicate  
80       the general fertility potential of the patient (7). Moreover, from all diagnosed male infertility  
81       cases, approximately 30% have an unknown origin non-detectable by seminogram analyses, which  
82       is known as *unexplained male infertility* (UMI) (8).

83       At present, the need for alternative diagnostic approaches is encouraging the search for new  
84       effective male infertility biomarkers. Researchers have delved into the predictive power of  
85       chromosome aneuploidies, sperm DNA integrity, epigenetic marks, and RNA profiling as markers  
86       (9). Specifically, the assessment of sperm RNAs constitutes a source of non-invasive molecular  
87       infertility indicators, which enables the acquisition of in-depth information beyond the  
88       seminogram (10).

89       Although spermatozoa are transcriptionally inactive cells, they carry a small amount of RNAs  
90       (10–20 fg) (11), which includes a wide variety of coding (mRNAs) and noncoding (small RNAs and  
91       long noncoding RNAs) molecules (12). Among the small RNA subpopulation, microRNAs (miRNAs)  
92       are important regulators of gene expression via mRNA degradation or translational repression,  
93       which is essential in several biological processes (13).

94       Sperm RNAs participate in regulatory pathways during spermatogenesis and early embryo  
95       development (12). Some studies have revealed an association between male infertility and specific  
96       variations of the sperm transcriptome profile. These variations have been associated with sperm

97 mRNA content (10,14–16) and miRNA expression profiles (17–21). Altogether, these studies have  
98 allowed the identification of RNA molecules that are differentially expressed in infertile patients,  
99 which follow specific patterns depending on the infertility phenotype.

100 Furthermore, the presence of pairs of mRNAs whose expression is strongly correlated in fertile  
101 donors but disrupted in infertile patients has been reported. A clear example are the protamine 1  
102 and 2 (PRM1, PRM2) transcripts, whose expression ratio can be altered in patients with impaired  
103 spermatogenesis (22,23), asthenozoospermia (24–26), or varicocele (27). Other examples of sperm  
104 transcripts pairs are SRP54/ACSBG2, SRP54/GRP137, SRP54/TTC7A, SRP54/UBAC1.2, and  
105 UBAC1.2/RNF7, which have been shown to exhibit a disrupted expression ratio in infertile patients  
106 with Dysplasia of the Fibrous Sheath (28).

107 Nevertheless, no data regarding pairs of sperm miRNAs with a stable or disrupted expression  
108 ratio in relation to the fertility status has been reported so far. Given the strong regulatory role of  
109 miRNAs on mRNA expression, it is plausible that the maintenance of this stable correlation could  
110 also be reflected in miRNA expression profiles. The identification of 48 miRNA pairs with a highly  
111 stable relative expression in fertile patients provided the first hint of the possible role of these  
112 molecules as fertility biomarkers (29). However, the expression level of these miRNA pairs in  
113 infertile populations has not yet been assessed. In this sense, the discovery of a panel of miRNAs  
114 that would allow the classification of individuals according to their fertility potential would be of  
115 great interest. This categorization would be especially relevant to assess those cases in which  
116 conventional semen analyses do not offer a conclusive diagnosis, for example in normozoospermic  
117 infertile individuals with UMI, or also in individuals with seminal parameters close to threshold  
118 values.

119 The primary objective of the present study was to identify an optimal panel of biomarkers of  
120 male infertility among stable pairs of human sperm miRNAs. The evaluation was performed using  
121 the expression profiles of 736 miRNAs in 48 individuals corresponding to five different subgroups:  
122 fertile control individuals, asthenozoospermic, teratozoospermic, oligozoospermic, and  
123 normozoospermic infertile patients (UMI). The most suitable biomarker miRNA pairs for the  
124 correct diagnosis were determined in each group. The selected biomarker panels were further  
125 validated in an independent cohort of fertile and infertile patients by qPCR. Therefore, the  
126 ultimate objective was to set the basis for the development of new diagnosis approaches, aiming  
127 to provide a more accurate profiling of male fertility status.

128

129 **MATERIALS AND METHODS**

130

131 **Sperm miRNA profiles**

132 Sperm miRNA profiles from control and infertile patients were compiled from prior published  
133 studies (19,29,30). In all cases, studies were performed in ejaculated samples collected after a  
134 period of sexual abstinence of 3-5 days. Control data corresponded to a population of 10 fertile  
135 individuals with normal semenogram, 46,XY karyotype, and proven fertility. Infertile populations  
136 included 38 patients that did not achieve a clinical pregnancy after 12 months or more of regular  
137 unprotected sexual intercourse, with a 46,XY karyotype and no urogenital abnormalities detected.  
138 These patients were classified into four categories according to their seminal parameters (World  
139 Health Organization 2010) (1,31) that were analyzed following the standardized checklist set by  
140 Björndahl et al. (32): i) 10 individuals with an altered semenogram in which the sperm motility was  
141 the sole parameter affected (i.e. asthenozoospermic group); ii) 10 individuals with an altered  
142 semenogram in which the sperm morphology was the sole parameter affected (i.e.  
143 teratozoospermic group); iii) 10 individuals with an altered semenogram in which the sperm count  
144 was the sole parameter affected (i.e. oligozoospermic group); iv) Eight infertile individuals with  
145 46,XY karyotype and normal seminal parameters from couples in which any female contribution to  
146 infertility was discarded (i.e. normozoospermic group with UMI).

147 Written informed consent was obtained from all patients, and the study was approved by the  
148 ethics committees of the collaborative centers and the Ethics Committee on Animal and Human  
149 Experimentation of the Universitat Autònoma de Barcelona.

150 The methodology for RNA isolation and quality control of the samples allocated to this study is  
151 described elsewhere (19,29,30). Total sperm RNA fractions were extracted from all samples  
152 following the same protocol and applying the same quality controls. Briefly, TRIzol reagent  
153 (Thermo Fisher Scientific, Carlsbad, CA, USA) was used to isolate sperm RNA after treating the  
154 semen samples with a somatic cell lysis buffer to eliminate any non-sperm cells (33). Proper  
155 somatic cell elimination was confirmed by optical microscopic examination. rDNase I was used to  
156 ensuring the absence of DNA in the obtained RNA samples (Thermo Fisher Scientific). A qRT-PCR  
157 amplification was performed in order to verify the integrity of the obtained RNA and the absence  
158 of genomic DNA (*PRM1* and *GAPDH* genes) as well as the absence of somatic RNA (*CD45* gene). As  
159 an additional quality control, small RNA distribution and the absence of intact rRNA was assessed  
160 by nanoelectrophoretic Small-RNA and Nano-RNA chips (2100 Bioanalyzer; Agilent Technologies).

161 Afterward, cDNA was preamplified using the TaqMan® PreAmp kit (Thermo Fisher Scientific) and  
162 the expression profiles of 736 human miRNAs were evaluated by quantitative real-time PCR (qRT-  
163 PCR) using the TaqMan® Array Human MicroRNA A and B Cards Set v3.0 (Thermo Fisher Scientific).  
164 Expression data were analyzed using SDS v.2.3 and RQ Manager v.1.2 software (Thermo Fisher  
165 Scientific) considering threshold cycle (Ct) values < 35 and  $\geq 15$ . The expression values obtained  
166 were normalized against the Mean-Centering Restricted (MCR) method (34).

167

#### 168 **Statistical and bioinformatics analyses**

169 Statistical analyses were performed using R v2.14.2 ([www.r-project.org](http://www.r-project.org)) (35) and the HTqPCR  
170 package v1.13.1 ([www.bioconductor.org](http://www.bioconductor.org)) (36). For the whole bioinformatics analysis pipeline (see  
171 **Figure 1** for a schematic diagram) each infertile subgroup was considered as a separated  
172 population (control vs asthenozoospermia, control vs. teratozoospermia, control vs.  
173 oligozoospermia and control vs. UMI). For each fertile and infertile population, only the ubiquitous  
174 miRNAs (expressed in all the samples) were taken into account.

175 The presence of ubiquitous miRNA pairs with a constant relative expression in the control  
176 population was assessed by Spearman correlation test over normalized Ct (normCt) values of  
177 every possible pairwise miRNA–miRNA combination. *P*-values  $< 0.05$  were considered significant  
178 following strict post-hoc Bonferroni correction. The pairs significantly correlated in the control  
179 population were further evaluated in each infertile population with the Spearman test. The  
180 miRNA–miRNA combinations that were detected as correlated in the control population and  
181 showed non-correlated normCt values in each infertile group (Spearman *P*-values  $> 0.05$  after  
182 Bonferroni correction) were selected for downstream analysis.

183 The  $\Delta$ normCt values ( $\text{normCt}_{\text{miRNA1}} - \text{normCt}_{\text{miRNA2}}$ ) of the obtained pairs were calculated. To  
184 assess the accuracy of these pairs when discerning infertile individuals, ROC curve analyses were  
185 performed employing the R Graphical User Interface Deducer ([www.deducer.org](http://www.deducer.org)). In these  
186 analyses,  $\Delta$ normCt values for each infertile individual were compared to values of the control  
187 samples. The obtained area under the curve (AUC) values were indicative of the discriminatory  
188 potential of each evaluated miRNA pair. These scores were classified into *excellent* ( $0.90 \leq \text{AUC} \leq$   
189 1.00), *good* ( $0.80 \leq \text{AUC} < 0.90$ ), *fair* ( $0.70 \leq \text{AUC} < 0.80$ ), *poor* ( $0.60 \leq \text{AUC} < 0.70$ ), and *failed* ( $\text{AUC}$   
190  $< 0.60$ ). The pair that reached the highest AUC score in each population was selected, so a total of  
191 four pairs were considered for further analyses.

192 The  $\Delta\text{normCt}$  distribution of each pair in every infertile group was compared to control reference  
193 values by Mann–Whitney *U* test ( $p < 0.05$  were considered as significant). The mean and range of  
194  $\Delta\text{normCt}$  values (from minimum to maximum) were established for each miRNA pair and  
195 population. The presence of outliers was evaluated by Grubbs' test. Besides, percentages of  
196 presence (indicating the percentage of samples in which both miRNAs were expressed) were  
197 calculated in each infertile group (**Figure 1**).

198 Finally, in order to check possible relations between the expression patterns of the selected  
199 miRNA pairs and their genome position (e.g. pairs that are clustered within a given gene would  
200 have interrelated transcription processes), the chromosome and genome location of the  
201 sequences were verified using miRBase ([www.mirbase.org](http://www.mirbase.org)), and the Genome Browser database  
202 (GRCh38/hg38 assembly; [www.genome.ucsc.edu](http://www.genome.ucsc.edu)).

203

#### 204 **Validation of the selected miRNA biomarker pairs by qRT-PCR**

205 The selected biomarker pairs were validated in a new population of 9 fertile men and 14 infertile  
206 patients (**Supplemental Table 1**). Fertile individuals met the same inclusion criteria as the ones  
207 described before for the control population (normal seminal parameters, normal karyotype, and  
208 proven fertility). Infertile individuals were selected in the collaborating centers after consulting for  
209 infertility. According to World Health Organization (1), all of them showed abnormal seminal  
210 parameters.

211 A semen sample from each individual was compiled after 3-5 days of sexual abstinence. Samples  
212 were processed likewise the individuals of the study populations. That is, RNA extractions were  
213 performed using the same protocols and the obtained RNA fractions were subjected to the same  
214 quality controls stated above for the several populations of study. *TaqMan® microRNA Assays*  
215 (Thermo Fisher Scientific) were employed to evaluate the miRNA pairs selected from the previous  
216 analysis, plus two additional miRNAs described elsewhere as suitable normalizers for sperm  
217 miRNA expression studies (i.e. hsa-miR-100-5p, and hsa-miR-30a-5p) (37). Firstly, a miRNA-specific  
218 reverse-transcription (*TaqMan® MicroRNA Reverse Transcription Kit*, Thermo Fisher Scientific) was  
219 performed using 1-10 ng of RNA. The resulting cDNA was submitted to qRT-PCR (*TaqMan®*  
220 *Universal PCR Master Mix II, No UNG*, Thermo Fisher Scientific) with three technical replicates,  
221 using 384-well plates and an ABI Prism® 7900HT thermocycler (Thermo Fisher Scientific) according  
222 to the manufacturer's instructions. Expression data were analyzed using SDS v.2.4 and RQ  
223 Manager v1.2 software. Afterwards,  $\Delta\text{normCt}$  values were calculated for every miRNA pair. Data

224 from the fertile and infertile individuals were statistically compared by Mann–Whitney *U* test,  
225 considering  $p < 0.05$  as significant. The mean and range of  $\Delta\text{normCt}$  values (from minimum to  
226 maximum) of each pair was established from the control group of the validation cohort after  
227 verifying the absence of outliers by Grubbs' test. The  $\Delta\text{normCt}$  value of each infertile sample was  
228 compared to this range: values that were higher or lower than the control range were categorized  
229 as True Positives (TP).

230

## 231 **RESULTS**

232

### 233 **Selection of stable miRNA pairs in the control group with uncorrelated expression in infertile 234 populations**

235 A summary of the number of miRNA pairs that were selected according to their ubiquity and  
236 correlation/non-correlation characteristics is displayed in **Supplemental Table 2**. Among the  
237 miRNAs that were ubiquitously expressed in the fertile group, 48 miRNA pairs showed statistically  
238 significant correlated expression. Only 31 of these pairs were expressed in all the  
239 asthenozoospermic patients; the expression of one or both miRNAs of the other 17 pairs was not  
240 detected in at least one patient of this group and thus discarded for subsequent tests. Among the  
241 31 pairs, the correlation was maintained by one miRNA pair, thus, no correlation was shown in the  
242 expression of the other 30 pairs. In the teratozoospermic group, only 24 of the 48 control stable  
243 pairs were ubiquitously detected, from which 23 were non-correlatively expressed. Regarding the  
244 oligozoospermic and UMI populations, 20 and 19 pairs were found to be ubiquitous in each  
245 population, and 19 and 18 pairs of them respectively appeared to be uncorrelated.

246 Further analyses were based on these sets of ubiquitous miRNA pairs that presented a significant  
247 correlation in the control population but were uncorrelated in the infertile groups (**Table 1**).

248

249

### 250 **Assessment of AUC values as an indicator of biomarker potential and evaluation of the 251 selected miRNA pairs**

252 ROC curve analyses revealed a wide range of AUC values for the established miRNA pairs  
253 (ranging from 0.34 to 1.00) (**Table 1**). Analyzing each infertile population, the highest AUC score  
254 (AUC = 1.00) was reached by the hsa-miR-139-5p/hsa-miR-1260a and hsa-miR-34b-3p/hsa-miR-93-  
255 3p pairs in the oligozoospermic and UMI groups, respectively (**Table 1**). In the asthenozoospermic

256 and teratozoospermic populations, high AUC scores were also achieved (0.91 and 0.87,  
257 respectively, by the pairs hsa-miR-942-5p/hsa-miR-1208 and hsa-miR-296-5p/hsa-miR-328-3p)  
258 (**Table 1**).

259 The  $\Delta\text{normCt}$  distributions of the above-mentioned pairs, along with their presence percentages,  
260 mean values, ranges, and TP percentages are summarized in **Figure 2**. Assessing the data of the  
261 miRNA pairs with the highest AUC score in each infertile population, the four pairs presented a  
262 significant difference ( $p < 0.05$ ) between fertile individuals and their specific infertile population.  
263 Additionally, when  $\Delta\text{normCt}$  distributions of the pairs were cross-analyzed in the rest of the  
264 infertile groups, a high presence (> 80%) of the pairs hsa-miR-942-5p/hsa-miR-1208 (**Figure 2A**),  
265 hsa-miR-296-5p/hsa-miR-328-3p (**Figure 2B**) and hsa-miR-139-5p/hsa-miR-1260a (**Figure 2C**) was  
266 found in the asthenozoospermic, teratozoospermic and oligozoospermic samples, as well as  
267 significant expression differences when compared to control data. When categorizing infertile  
268 individuals according to the control  $\Delta\text{normCt}$  range, the pair hsa-miR-942-5p/hsa-miR-1208  
269 achieved the best results with a 100% of TP in all the studied populations (**Figure 2A**). Regarding  
270 UMI population, although the four miRNA pairs achieved a 100% of TP, only the pairs hsa-miR-296-  
271 5p/hsa-miR-328-3p (**Figure 2B**), and hsa-miR-34b-3p/hsa-miR-93-3p (**Figure 2D**) showed a high  
272 presence in this group (> 80%) and significant differences when compared to fertile  $\Delta\text{normCt}$   
273 ranges. In fact, one of these two pairs (hsa-miR-34b-3p/hsa-miR-93-3p) displayed a significantly  
274 different  $\Delta\text{normCt}$  range only in the UMI population, but not in the other infertile groups (**Figure**  
275 **2D**).

276 Regarding the genome annotation of these miRNAs, although some stem-loop sequences were  
277 found to be located within intronic or exonic regions, no coinciding miRNA cluster, host-gene or  
278 chromosome was identified in any pair (**Supplemental Table 3**).

279 Therefore, and according to all compiled results, the four miRNA pairs mentioned above (hsa-  
280 miR-942-5p/hsa-miR-1208 for asthenozoospermia, hsa-miR-296-5p/hsa-miR-328-3p for  
281 teratozoospermia, hsa-miR-139-5p/hsa-miR-1260a for oligozoospermia, and hsa-miR-34b-3p/hsa-  
282 miR-93-3p for normozoospermia) were considered as good potential infertility biomarker  
283 candidates and thus further validated in additional cohorts of individuals.

284

#### 285 **Validation of the selected miRNA pairs**

286 Normalized Ct and  $\Delta\text{normCt}$  values from the qPCR of the eight selected miRNAs (hsa-miR-942-5p,  
287 hsa-miR-1208, hsa-miR-296-5p, hsa-miR-328-3p, hsa-miR-139-5p, hsa-miR-1260a, hsa-miR-34b-3p,

288 and hsa-miR-93-3p) that were obtained from the fertile and infertile validation cohorts are shown  
289 in **Supplemental Table 4**. The analyzed miRNAs, including the normalizer molecules, were  
290 expressed in all the samples (100% presence).

291 Statistical comparisons between  $\Delta\text{normCt}$  from fertile and infertile cohorts are shown in **Figure 3**.  
292 Significant differences were only observed regarding the hsa-miR-942-5p/hsa-miR-1208 pair.

293 When infertile individuals were compared over the  $\Delta\text{normCt}$  ranges of the nine control  
294 individuals, TP percentages could be determined (**Figure 3**). The hsa-miR-942-5p/hsa-miR-1208  
295 pair reached the highest TP percentage, allowing the correct classification of the 85.71% of  
296 infertile samples. Both the hsa-miR-139-5p/hsa-miR-1260a and hsa-miR-34b-3p/hsa-miR-93-3p  
297 obtained a 64.29% of TP, while the hsa-miR-296-5p/hsa-miR-328-3p pair only reached 21.43% TP.  
298  
299

300 **DISCUSSION**

301  
302 The presence of miRNA pairs in the sperm transcriptome that maintain a constant expression  
303 ratio in fertile men has been reinforced by the results of the present study. The 48 pairs described  
304 by Salas-Huetos and collaborators (29) constituted the starting point to search for an optimal set  
305 of biomarkers to classify individuals according to their fertility status. Data compiled in this study  
306 constitute a basis for new diagnosis methods in which expression levels of sperm miRNA pairs  
307 could be indicative of the male fertility status. This kind of approach brings an additional tool for  
308 assessing sperm malfunctioning related to infertility, so current diagnostic methods could be  
309 complemented with a molecular insight. This would be especially informative when facing  
310 diagnostic cases in which semenogram parameters are close to the threshold values (1), as minimal  
311 variations in the measurements of seminal parameters could be critical for the final diagnosis.

312 Also, this type of molecular approach could be a crucial tool for tracing cases of UMI since current  
313 methods cannot provide a reliable diagnosis.

314 Aiming for introducing miRNA biomarkers as an alternative diagnostic tool, employing qRT-PCR  
315 single assays constitutes an affordable resource in terms of time-consume and cost-effectiveness.  
316 Accordingly, to classify individuals in the fertile category, the  $\Delta\text{normCt}$  values of a given miRNA  
317 pair should fit in a specific control range; on the contrary, an alteration of the relative expression  
318 of the pair could be a signal of disruption related to infertility.

319 The approach of considering the differential expression of two molecules as a biomarker value  
320 instead of the normCt level of a single molecule is mainly based on the biological implications of  
321 these pairwise fluctuations in male fertility. In one hand, the analysis of the relationship between  
322 two molecules ( $\Delta$ normCt) is more robust than the analysis of a single molecule because it will  
323 provide information about the alteration of biological processes from two different standpoints.  
324 Therefore, no matter if the expression level of one or the other molecule is altered (or both in an  
325 uncorrelated way), the global ratio will reflect an alteration that will be detected by this pairwise  
326 analysis.

327 In the other hand, in complex cellular process (such as spermatogenesis) in which the expression  
328 of multiple genes is involved, the assessment of the relative presence of two molecules (and thus  
329 their regulatory pathways) could be more informative than the absolute expression value of two  
330 single molecules. In fact, PRM1 and PRM2 are a clear example of this type of interaction (22) and  
331 their aberrant expression ratios have been found to be associated with infertility. Other studies  
332 have also claimed that, beyond the heterogeneity observed among the sperm transcriptome in  
333 fertile individuals, the presence of a conserved set of transcripts involving several stable mRNA  
334 pairs is strictly regulated (38). Regarding miRNAs, we had also previously observed the presence of  
335 stable correlated miRNA pairs in spermatozoa from fertile individuals (29). In this context, the  
336 results obtained in this manuscript reinforce the idea that some of these miRNA pairs may  
337 participate in co-regulated transcriptional pathways that should be balanced for normal fertility.  
338 The connection between these molecules (and pathways) might not be evident, not even simple,  
339 or maybe it has not been described yet. However, what seems clear is that alterations in the  
340 relative co-expression of specific miRNA pairs have an association to different infertile conditions  
341 and therefore, these molecules have the potential to play a role as biomarkers.

342 Therefore, a primary goal of this study was searching for a small number of miRNA pairs that  
343 fulfilled some indispensable conditions for good potential biomarkers: i) being present in all sperm  
344 samples, ii) displaying a correlated expression in fertile individuals; iii) showing no correlation in an  
345 infertile population; and iv) exhibiting a strong predictive power to discern infertile from fertile  
346 individuals.

347 Regarding the first requirement, the criteria for selecting only ubiquitous miRNAs ensured that  
348 the final selected pair would be constitutively expressed in semen samples of every individual. To  
349 ensure the requirements ii) and iii), expression profiles of the miRNA pairs were determined by a

350 correlation test in where a Bonferroni correction was applied. This method provides a stringent  
351 threshold for the selection of strictly significant correlations.

352 To evaluate the iii) requirement, ROC curve analysis allowed obtaining AUC values that enabled  
353 classifying the predictive power of the selected pairs in measurable categories. Moreover, only the  
354 pairs that exhibited high AUC values were considered as valid potential biomarkers. This goal was  
355 achieved by the pairs hsa-miR-942-5p/hsa-miR-1208 (from asthenozoospermic individuals), hsa-  
356 miR-139-5p/hsa-miR-1260a (from oligozoospermic individuals), and hsa-miR-34b-3p/hsa-miR-93-  
357 3p (from UMI individuals), which presented an *excellent* predictive power with AUCs  $\geq 0.90$ . Also,  
358 the AUC value of the biomarker pair selected from the teratozoospermic patients (hsa-miR-296-  
359 5p/hsa-miR-328-3p) did not reach the *excellent* classification but, even so, it achieved a *good*  
360 predictive power (AUC = 0.87) (**Table 1**).

361 To go forward in the evaluation of these four pairs as fertility biomarkers, their expression  
362 profiles were also cross-analyzed in all included infertile populations to evaluate their biomarker  
363 potential for detecting individuals with other infertility phenotypes. It was observed that three of  
364 the pairs (hsa-miR-942-5p/hsa-miR-1208, hsa-miR-296-5p/hsa-miR-328-3p, and hsa-miR-139-  
365 5p/hsa-miR-1260a) were expressed in a high percentage of the asthenozoospermia,  
366 teratozoospermia and oligozoospermia samples, and showed differentiated expression patterns in  
367 these patients when compared to control samples (**Figure 2A-C**). These facts indicate that these  
368 pairs could be suitable candidates for detecting infertile individuals with alterations in sperm  
369 motility, morphology and count. This versatility is a convenient characteristic since more than one  
370 type of these sperm parameters tend to be simultaneously affected in samples from infertile  
371 males (e.g. the oligo-astheno-teratozoospermia syndrome has a frequency of  $\sim 26\%$  of male  
372 infertility cases) (39,40). Among the three above-mentioned miRNA pairs, the hsa-miR-942-  
373 5p/hsa-miR-1208 pair showed an especially strong potential since all the infertile patients affected  
374 by any seminal alteration could be categorized as infertile by their  $\Delta\text{normCt}$  value (always located  
375 outside the range established from fertile individuals).

376 Concerning the results derived from the qRT-PCR validation, the ubiquity of the hsa-miR-942-  
377 5p/hsa-miR-1208 pair, its differential relative expression between the fertile and infertile cohorts,  
378 and its high rate of correct categorization of infertile patients were confirmed (**Figure 3**).  
379 Regarding the hsa-miR-296-5p/hsa-miR-328-3p and hsa-miR-139-5p/hsa-miR-1260a pairs,  
380 although initial analyses predicted a strong biomarker power, they did not show significant  
381 differences between fertile and infertile patients in the validation stage. Although the miR-139-

382 5p/miR-1260a pair led to a 64.29% of TP, the high dispersion and overlapping of the compiled data  
383 suggests that a categorization based on the established control range could probably be  
384 unreliable. Therefore, both the initial data analysis and the qRT-PCR validation study indicate that  
385 the hsa-miR-942-5p/hsa-miR-1208 pair displays the best potential for the diagnosis of infertile  
386 males with seminal alterations. Although the biological relevance of the expression impairment of  
387 this pair is still unknown, many studies have suggested that altered miRNA expression patterns  
388 could be the origin of seminal defects, since abnormal levels of certain miRNAs could modify the  
389 gene expression status of sperm cells (18,19,41). A search in the public databases miRTarBase  
390 ([www.mirtarbase.mbc.nctu.edu.tw/php/index.php](http://www.mirtarbase.mbc.nctu.edu.tw/php/index.php)) (42) and CSMiRTar  
391 (<http://cosbi.ee.ncku.edu.tw/CSMiRTar/>) (43) allowed identifying testis-expressed genes of hsa-  
392 miR-942-5p and hsa-miR-1208 that have been validated as miRNA targets by functional studies.  
393 Despite 157 and 42 target genes were identified for of hsa-miR-942-5p and hsa-miR-1208  
394 respectively, Gene Ontology analyses of functional annotation clustering showed no significant  
395 biological processes associated to those genes (data not shown). Nevertheless, an overexpression  
396 of hsa-miR-942-5p have been associated with low sperm motility in a previously published study,  
397 suggesting a possible association between this miRNA and spermatogenesis (19).

398 Regarding UMI biomarkers, although the initial analysis showed a full classification of infertile  
399 patients by the hsa-miR-942-5p/hsa-miR-1208 pair, its presence was only detected in a 25% of the  
400 UMI samples (**Figure 2A**). Therefore, the expression of these miRNAs is predicted to be very  
401 limited in sperm cells of UMI patients, which implies poor suitability as a biomarker of this  
402 pathology. This limitation implies a necessity of including an additional UMI-specific miRNA pair to  
403 the final biomarker panel. For this purpose, other two pairs (hsa-miR-296-5p/hsa-miR-328-3p and  
404 hsa-miR-34b-3p/hsa-miR-93-3p) showed good potential for detecting infertile individuals with no  
405 seminal alterations concerning ubiquity, differential relative expression, and TP percentages in the  
406 initial analyses (**Figure 2B and 2D**). In particular, the hsa-miR-34b-3p/hsa-miR-93-3p pair appears  
407 to be an optimal biomarker candidate since it was originally selected from the specific  
408 transcriptome of UMI individuals, being the only one to display a 100% of presence in UMI  
409 patients and a statistically significant decrease in the relative expression when compared to  
410 controls (**Figure 2D**). Regarding the possible biological relevance of these miRNAs in fertility-  
411 related pathways, although several validated target genes expressed in testis were identified for  
412 both miRNAs in miRTarBase and CSMiRTar (95 for hsa-miR-34b-3p and 175 for hsa-miR-93-3p), no  
413 statistically significant gene ontology clustering was found (data not shown). Nevertheless, other

414 research studies have revealed that hsa-miR-34b-5p has been found to be especially enriched in  
415 germ cells of the adult testis (44), and participates in the regulation of genes involved in  
416 spermatogenic processes (45). In addition, miR-34b/c has also been detected in zona pellucida-  
417 bound sperm cells, supporting their possible role in fertilization (46). Moreover, a possible  
418 relationship between miR-34b expression and ICSI success has also been described (47).

419 Unfortunately, although a great biomarker potential of the hsa-miR-34b-3p/hsa-miR-93-3p pair  
420 was observed in the initial analyses, no patients with UMI could be included in the infertile cohort  
421 of the qRT-PCR validation study. This represents the main limitation of the study as the biomarker  
422 suitability of this miRNA pair still needs to be verified before considering its use for clinical  
423 diagnosis. Nevertheless, the preliminary results of the hsa-miR-34b-3p/hsa-miR-93-3p pair are  
424 promising regarding UMI diagnostic.

425

426

## 427 CONCLUSIONS

428 The results of the present study suggest that the expression analysis of the miRNA pairs hsa-miR-  
429 942-5p/hsa-miR-1208 and hsa-miR-34b-3p/hsa-miR-93-3p constitute an efficient tool that could  
430 help to assess male infertility in patients with seminal alterations and UMI, respectively. This  
431 approach opens the possibility of including a molecular categorization of patients with different  
432 infertility phenotypes in clinical diagnosis, becoming especially relevant in cases of infertile  
433 individuals with seminal parameters that are within or close to the threshold values. Nevertheless,  
434 the transition of the results from basic research to clinics requires some further steps that will  
435 primarily include a validation in a much larger and heterogeneous infertile population.

436

437

## 438 AUTHORS' ROLES

439 C.C-V.: data collection, data analysis and interpretation, manuscript preparation, and critical  
440 discussion. A.S-H.: data collection, data analysis and interpretation, and critical discussion. J.B.:  
441 conception and design, data analysis and interpretation, manuscript preparation, and critical  
442 discussion. F.V.: data analysis and interpretation and critical discussion. Z.S.: data analysis and  
443 interpretation and critical discussion. E.A.: conception and design, data analysis and  
444 interpretation, manuscript preparation, and critical discussion. All authors approved the final  
445 version of the manuscript.

446

447 **FUNDING**

448 This work was supported by the 2017/SGR-503 project (Agència de Gestió d'Ajuts Universitaris i  
449 de Recerca, Generalitat de Catalunya, Spain) and UAB CF-180034 grant (Universitat Autònoma de  
450 Barcelona). Celia Corral-Vazquez is a recipient of a Personal Investigador en Formació grant  
451 UAB/PIF2015 (Universitat Autònoma de Barcelona).

452

453 **CONFLICT OF INTEREST**

454 The authors report no conflict of interest.

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576

577

578 **TABLES**

579 **Table 1.** Pairs of ubiquitous microRNAs (miRNAs) with a correlated expression in the control  
 580 (fertile) population but uncorrelated in the infertile populations. Area under the curve (AUC)  
 581 values of each non-correlated pair are indicated. AUC values are classified as *excellent* (0.90 ≤ AUC  
 582 ≤ 1.00), *good* (0.80 ≤ AUC < 0.90), *fair* (0.70 ≤ AUC < 0.80), *poor* (0.60 ≤ AUC < 0.70), and *failed*  
 583 (AUC < 0.60).

Asthenozoospermia		Teratozoospermia		Oligozoospermia		Unexplained Male Infertility		AUC			
Pairs of miRNAs		AUC	Pairs of miRNAs		AUC	Pairs of miRNAs		AUC			
miRNA 1	miRNA 2		miRNA 1	miRNA 2		miRNA 1	miRNA 2				
miR-942-5p	miR-1208	0.91	miR-296-5p	miR-328-3p	0.87	miR-139-5p	miR-1260a	1.00	miR-34b-3p	miR-93-3p	1.00
miR-92a-3p	miR-636	0.91	miR-200b-3p	miR-491-5p	0.84	miR-17-5p	miR-19b-3p	0.94	miR-99b-5p	miR-125b-5p	0.97
let-7d-5p	miR-92a-3p	0.90	miR-92a-3p	miR-636	0.80	miR-146b-5p	miR-517c-3p	0.88	miR-99b-5p	miR-193a-5p	0.96
let-7c	miR-92a-3p	0.88	miR-130a-3p	miR-184	0.79	miR-99b-5p	miR-193a-5p	0.88	miR-190b	miR-151a-5p	0.91
miR-200b-3p	miR-491-5p	0.85	miR-20a-5p	miR-20b-5p	0.78	miR-92a-3p	miR-636	0.87	miR-92a-3p	miR-636	0.90
miR-139-5p	miR-1260a	0.83	miR-25-3p	miR-296-5p	0.75	miR-100-5p	miR-125b-5p	0.83	miR-17-5p	miR-19b-3p	0.84
miR-190b	miR-151a-5p	0.82	miR-17-5p	miR-19b-3p	0.74	miR-25-3p	miR-186-5p	0.80	miR-200b-3p	miR-491-5p	0.80
miR-192-5p	miR-628-3p	0.82	miR-148a-3p	miR-150-5p	0.71	miR-200b-3p	miR-491-5p	0.78	let-7c	miR-92a-3p	0.79
miR-30a-5p	miR-622	0.82	miR-92a-3p	miR-125b-5p	0.71	let-7c	miR-92a-3p	0.72	miR-148a-3p	miR-150-5p	0.77
miR-99b-5p	miR-193a-5p	0.79	miR-99b-5p	miR-193a-5p	0.67	miR-99a-5p	miR-100-5p	0.71	miR-21-5p	miR-148a-3p	0.67
miR-146b-5p	miR-517c-3p	0.74	miR-20a-5p	miR-106a-5p	0.66	miR-92a-3p	miR-125b-5p	0.70	miR-92a-3p	miR-125b-5p	0.66
miR-149-5p	miR-190b	0.73	miR-152	miR-218-5p	0.64	miR-20a-5p	miR-20b-5p	0.69	miR-25-3p	miR-186-5p	0.66
miR-92a-3p	miR-125b-5p	0.72	let-7d-5p	miR-92a-3p	0.62	miR-20a-5p	miR-106a-5p	0.66	miR-152-3p	miR-218-5p	0.62
miR-125a-3p	miR-371-3p	0.71	miR-99a-5p	miR-100-5p	0.62	miR-149-5p	miR-190b	0.66	miR-197-3p	miR-1291	0.61
miR-21-5p	miR-148a-3p	0.71	miR-25-3p	miR-186-5p	0.61	miR-517a-3p	miR-517c-3p	0.64	miR-20a-5p	miR-106a-5p	0.57
miR-100-5p	miR-125b-5p	0.70	miR-197-3p	miR-1291	0.55	miR-519d	miR-190b	0.62	miR-20a-5p	miR-20b-5p	0.57
miR-512-3p	miR-517a-3p	0.69	let-7c	miR-92a-3p	0.55	let-7d-5p	miR-92a-3p	0.60	miR-29a-3p	miR-625-5p	0.56
miR-10a-5p	miR-628-3p	0.69	miR-21-5p	miR-148a-3p	0.55	miR-99b-5p	miR-125b-5p	0.58	miR-100-5p	miR-125b-5p	0.52
miR-148a-3p	miR-150-5p	0.62	miR-30a-5p	miR-30d-5p	0.54	miR-148a-3p	miR-150-5p	0.56			
miR-20a-5p	miR-20b-5p	0.60	miR-100-5p	miR-125b-5p	0.53						
miR-34b-3p	miR-93-3p	0.59	miR-30a-3p	miR-30e-3p	0.53						
miR-30a-5p	miR-30d-5p	0.58	miR-146b-5p	miR-517c-3p	0.52						
miR-17-5p	miR-19b-3p	0.57	miR-99b-5p	miR-125b-5p	0.52						
miR-25-3p	miR-186-5p	0.57									
miR-99b-5p	miR-125b-5p	0.56									
miR-517a-3p	miR-517c-3p	0.56									
miR-152	miR-218-5p	0.55									
miR-20a-5p	miR-106a-5p	0.53									
miR-324-3p	miR-622	0.40									
miR-30a-3p	miR-30e-3p	0.34									

584

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587

588 **Supplemental Table 1.** Demographic and seminal parameter data from all the individuals included  
589 in the several study populations and in the validation cohort. Spz = Spermatozoa; PR = Progressive;  
590 NP = Non-progressive; NF = Normal Forms; N = Normozoospermia; A = Asthenozoospermic; T =  
591 Teratozoospermic; O = Oligozoospermic; AT = Astenoteratozoospermia; OA =  
592 Oligoasthenozoospermia; OT = Oligoteratozoospermia.

593

594 **Supplemental Table 2.** Number of ubiquitous and uncorrelated sperm miRNA pairs in each  
595 infertile population from the 48 stable pairs detected in the control population. Among the pairs  
596 with a ubiquitous expression (first row), it is indicated how many pairs show a disrupted  
597 correlation and therefore are selected for subsequent analyses (second row).

598

599 **Supplemental Table 3.** Genome annotation of the miRNA pairs selected from the studied infertile  
600 populations. Chromosome locations are described as well as genes situated in these regions.

601

602 **Supplemental Table 4.** Results of the qRT-PCR validation and description of the control and  
603 infertile cohorts. Normalized Ct (normCt) and  $\Delta$ normCt values of the analyzed miRNA pairs are  
604 displayed.

605

606

607 **FIGURE LEGENDS**

608

609 **Figure 1.** Schematic representation of the experimental design of the study. A =  
610 Asthenozoospermic; T = Teratozoospermic; O = Oligozoospermic; UMI = Unexplained Male  
611 Infertility.

612

613 **Figure 2.**  $\Delta\text{normCt}$  distribution per population of the four miRNA pairs with the highest Area Under  
614 the Curve. Red rectangles indicate data related to the specific population from which the miRNA  
615 pair was selected. Asterisks indicate a significant  $\Delta\text{normCt}$  difference ( $p<0.05$ ) between the control  
616 and infertile populations (p-values are specified in the first row of the tables; p values are specified  
617 as N/A when  $n<3$ ).  $\Delta\text{normCt}$  values of infertile individuals were considered as True Positives (TP)  
618 when they were not comprised within the range of minimum and maximum  $\Delta\text{normCt}$  values  
619 defined by the control population. C = Control; A = Asthenozoospermic; T = Teratozoospermic; O =  
620 Oligozoospermic; UMI = Unexplained Male Infertility.

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622 **Figure 3.**  $\Delta\text{normCt}$  distribution of the four selected miRNA pairs in the validation cohorts. Asterisks  
623 indicate a significant  $\Delta\text{normCt}$  difference ( $p<0.05$ ) between the control and infertile populations.  
624  $\Delta\text{normCt}$  values of infertile individuals were considered as True Positives (TP) when they were not  
625 comprised within the range of minimum and maximum  $\Delta\text{normCt}$  values defined by the control  
626 population. C = Control; I = Infertile.

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