

# HLA and microtubule-associated protein tau H1 haplotype associations in anti-IgLON5 disease

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## Abstract

### Objectives

We investigated the associations with HLA and microtubule-associated protein tau (*MAPT*) H1 haplotype in anti-IgLON5 disease, a recently identified disorder characterized by gait instability, brainstem dysfunction, and a prominent sleep disorder in association with IgLON5 antibodies and pathologic findings of a novel neuronal-specific tauopathy.

### Methods

We compared the HLA alleles and *MAPT* H1/H1 genotype of 35 patients with anti-IgLON5 with healthy controls. The on-line server tool NetMHCIIpan 3.1 was used to predict the IgLON5 peptide binding to HLA Class II molecules.

### Results

The HLA-DRB1\*10:01-DQB1\*05:01 haplotype was overrepresented in patients with anti-IgLON5 disease (OR = 54.5; 95% CI: 22.2–133.9,  $p < 0.0001$ ). In addition, HLA-DQA was genotyped in 27 patients, and 25 (92.6%) of them had DQ molecules composed by DQA1\*01 and DQB1\*05 chains compared with 148/542 (27.3%) controls (OR = 43.9; 95% CI: 10.4–185.5,  $p < 0.0001$ ). Patients DRB1\*10:01 positive developed more frequently sleep or bulbar symptoms than those carrying other HLA alleles (70.0% vs 26.7%;  $p = 0.011$ ). Prediction algorithms identified 2 IgLON5 peptides (1 located in the signal sequence) that showed strong binding to HLA-DRB1\*10:01 and other HLA-DRB1, but not to HLA-DQA and HLA-DQB molecules. The *MAPT* H1/H1 homozygous genotype was present in 20/24 (83.3%) anti-IgLON5 Caucasian patients compared with 54/116 (46.5%) healthy controls ( $p = 0.0007$ ).

### Conclusions

The robust association of anti-IgLON5 disease with distinct HLA Class II molecules supports a primary autoimmune origin. The significant association of *MAPT* H1 haplotype also suggests that an underlying neurodegenerative process could be involved in anti-IgLON5 disease.

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## Glossary

**CBD** = corticobasal degeneration; **MAPT** = microtubule-associated protein tau; **PSP** = progressive supranuclear palsy.

The anti-IgLON5 disease is a recently identified neurologic disorder, characterized by progressive gait instability, brainstem dysfunction, and a characteristic sleep disorder. The biological hallmark of this disease is the presence of antibodies against IgLON5, a neural cell adhesion molecule of unknown function.<sup>1</sup> Neuropathologic studies demonstrated a novel neuronal tauopathy with predominant involvement of the hypothalamus and brainstem.<sup>2</sup> Although these findings favor a primary tauopathy, the presence of highly specific antibodies against a neuronal surface protein and the tight association of the disease with HLAs DRB1\*10:01 and DQB1\*05:01 (previously shown in 15 patients) alternatively suggested an immune-mediated pathogenesis.<sup>3</sup>

Familial forms of tauopathies are caused by mutations in the microtubule-associated protein tau (*MAPT*) gene.<sup>4</sup> In addition, the frequency of the *MAPT* H1/H1 homozygous genotype has been described to be consistently increased in sporadic tauopathies including progressive supranuclear palsy (PSP) and corticobasal degeneration (CBD), but its frequency in IgLON5 disease is unknown.<sup>5,6</sup>

It is also unknown whether the HLA alleles associated with anti-IgLON5 disease can present IgLON5 peptides to generate a specific autoimmune response.<sup>7</sup> We report here the identification of IgLON5 peptides with potential binding to HLA Class II molecules using *in silico* techniques. In addition, we performed DNA sequencing analyses of the *MAPT* gene and determined the association with the H1 haplotype and examined whether the most prevalent HLA allele (HLA-DRB1\*10:01) in patients with anti-IgLON5 disease segregated with a specific clinical phenotype.

## Methods

### Patients

The study includes 35 patients with IgLON5 antibodies identified in the Neuroimmunology Laboratory of the Institute of Biomedical Research August Pi i Sunyer (IDIBAPS), Hospital Clinic (Barcelona, Spain). Genomic DNA of 28 patients was available for HLA and *MAPT* analysis. For the remaining 7 patients, HLA-DR genotyping was performed elsewhere and the information used for analysis of HLA-DR frequencies and association with specific neurologic symptoms. Methods of detection of IgLON5 antibodies and collection of clinical information have been previously reported.<sup>1,3</sup> The frequency of HLA and *MAPT* haplotypes in patients was compared with that of healthy Caucasian Spanish controls (542 controls for the HLA study and 116 for the *MAPT* genotyping).

### Standard protocol approvals, registrations, and patient consents

The study was approved by the Hospital Clinic Ethics Committee. All patients or proxies gave written informed consent for the storage and use of serum, CSF, and clinical information for research purposes.

### HLA genotyping

HLA Class I and Class II genotyping was performed by techniques based on DNA-PCR and polymorphism identification by reverse hybridization with specific probes and fluorescence labeling of hybridized fragments (polymerase chain reaction [PCR]-sequence-specific oligonucleotide probes) (Immucor GTI Diagnostics Inc., Waukesha) in combination with genomic DNA sequencing by Sanger methodology (PCR-sequenced-based typing) (SuBiTo, Inno-Train Diagnostik GmbH and the software HiType, Kronberg, Germany), providing an unambiguous resolution of the 2 fields that define the HLA molecule polymorphism according to the WHO official current nomenclature ([hla.alleles.org/nomenclature/nomenc\\_updates.html](http://hla.alleles.org/nomenclature/nomenc_updates.html)).

### MAPT genetic studies

Genotyping of genomic DNA was performed using the pre-designed TaqMan assay for *MAPT* rs1800547 (A/G), which defines the H1/H2 haplotypes and run on a Step One Plus Real-time PCR System (Applied Biosystems, Foster City, CA) as previously described.<sup>8</sup> Twenty-seven patients with DNA available and 116 healthy controls were genotyped. All but 3 patients were of European Caucasian origin. In addition, in 7 patients with anti-IgLON5, we performed a mutational screening of *MAPT* exons 1, 9, 10, 11, 12, and 13, where pathogenic mutations have been previously identified in several neurodegenerative diseases including frontotemporal dementia or PSP.<sup>4</sup> We performed a touchdown PCR amplification on a PTC-100 PCR (MJ Research; Watertown, MA) using 20–50 ng of total genomic DNA and using primer pairs as previously described.<sup>9</sup> We used the BigDye Terminator v3.1 Cycle Sequencing Kit according to the manufacturer's instructions (Applied Biosystems, Foster City, CA). Sequences were run on an ABI3100 automatic sequencer (Applied Biosystems, Foster City, CA) and analyzed using the DNA baser v4.36.0 software (dnabaser.com).

### HLA-IgLON5 peptide binding prediction

The human IgLON5 sequence was retrieved from UNIPROT database entry A6NGN9 (uniprot.org) encompassing the signal peptide (amino acid positions 1–30) and the mature protein (amino acid positions 31–336). The on-line server tool NetMHCIIpan 3.1 was used for the prediction of IgLON5 peptide binding to HLA Class II molecules ([cbs.dtu.dk/services/NetMHCIIpan/](http://cbs.dtu.dk/services/NetMHCIIpan/)).<sup>10</sup> The full protein sequence was submitted as 15-residue overlapping fragments with 1 gap

residue at the N-terminal flanking position. Binding was considered strong if the predicted IC<sub>50</sub> (half maximal inhibitory concentration) value was <50 nM and ranked <1% among 200,000 random peptides. Only strong binders were taken for analysis.<sup>10–12</sup> Because the 9-residue binding core is contained in several 15-mer peptides, only the strongest predicted binder of any series of 15-mer peptides with a 9-residue or longer overlap was used for calculations.

Binding prediction was calculated with the 15 different HLA-DRB1 alleles present in patients (\*10:01, \*01:01, \*01:02, \*03:01, \*04:01, \*04:03, \*07:01, \*09:01, \*11:01, \*12:01, \*13:01, \*14:01, \*14:04, \*15:01, and \*16:01). Because of the strong linkage disequilibrium between DRB1 and DQ alleles, the NetMHCIIpan 3.1 algorithm was also applied to the DQ alleles. The 4 possible combinations for each individual were considered, combining each DQA1 with DQB1 alleles present in 1 patient in cis and/or trans, considering both chromosomes.

### Molecular modeling of HLA Class II-IgLON5 peptide interactions

To confirm the HLA-IgLON5 peptide binding predictions generated by the NetMHCIIpan 3.1 program, molecular modeling of complexes including HLA-DRB1\*10:01 and \*01:01 and the 2 IgLON5 peptides identified as strong binders was performed using a simulation protocol (appendix e-1, [links.lww.com/NXI/A136](https://links.lww.com/NXI/A136)).

### Statistical analysis

OR with 95% CI was calculated to measure the association of HLA alleles and *MAPT* haplotypes with anti-IgLON5 disease. For *MAPT* haplotypes, we performed a genotypic association analysis using unconditional logistic regression models, as implemented in SNPstat software, to compare the frequencies of *MAPT* H1/H1—which is the risk genotype described in other tauopathies (CBD or PSP)—with the other 2 genotypes H1/H2 and H2/H2 in patients with anti-IgLON5 and controls (dominant model). The  $\chi^2$  test and Fisher exact probability test were used to determine the significance of differences in the distribution of the clinical features between patients who were HLA-DRB1\*10:01 positive or carried other DRB1\* alleles. The nonparametric Mann-Whitney *U* test was used to compare medians. *p* Values < 0.05 were considered significant. The statistical analyses were performed using commercially available software (SPSS, Version 22.0).

### Data availability

Data from patients reported within the article are available and will be shared anonymously by request from any qualified investigator.

## Results

### HLA subtypes in anti-IgLON5 disease

General information and the HLA and *MAPT* genotyping of the 35 patients with anti-IgLON5 disease are summarized in

table 1. The median age at disease onset was 63 years (range: 42–81 years), and 51% were female. Thirty-two patients were of European Caucasian origin. The countries of origin were Spain (12 patients), Austria (8), Germany (7), Brazil (2), France (1), Belgium (1), Italy (1), Australia (1), India (1), and Philippines (1). Twenty of the 35 patients (57.1%) carried the HLA-DRB1\*10:01-DQB1\*05:01 haplotype compared with 2.4% of healthy controls (OR = 54.5; 95% CI: 22.2–133.9, *p* < 0.0001). Another 7 patients (20%) carried the DRB1\*01:01 allele (2 were homozygous, and 1 heterozygous with DRB1\*10:01) representing a similar frequency seen in controls (14.1%) (*p* = 0.34). The DRB1\*03:01 allele was present in 8 patients (22.9%) (2 were also DRB1\*10:01 positive) representing a similar frequency observed in controls (20.6%) (*p* = 0.75). The remaining 3 patients had other HLA-DRB1 (table 1). HLA-DQA was genotyped in 27 patients, and 25 (92.6%) of them had DQ molecules composed by DQA1\*01 and DQB1\*05 chains compared with 148/542 (27.3%) controls (OR = 43.9; 95% CI: 10.4–185.5, *p* < 0.0001). Although the high frequency of these alleles could be partially explained by its genetic linkage with the HLA-DRB1\*10:01 allele ([allelefrequencies.net](http://allelefrequencies.net)), the DQA1\*01/DQB1\*05 molecule was present in 11/13 (84.6%) patients without DRB1\*10:01.

HLA Class I alleles were studied in 27 patients with anti-IgLON5 (table 1). Five patients (18.5%) were HLA-B\*37:01 compared with 17 controls (3.1%) (OR = 7.0; 95% CI: 2.4–20.8; *p* = 0.0004). The allele HLA-A\*01:01 was also overrepresented in patients with anti-IgLON5 compared with controls (47.4% vs 20.5%; OR = 2.7; 95% CI: 1.2–5.9; *p* = 0.01). These associations were expected considering the strong linkage disequilibrium of these 2 alleles with DRB1\*10:01 that conform a common haplotype in Caucasians.<sup>13</sup>

### Association of the HLA-DRB1\*10:01 allele with neurologic symptoms

Next, we investigated whether the presence of the most prevalent HLA-DRB1\*10:01 allele associated with distinct clinical features (table 2). At disease onset, 14 DRB1\*10:01-positive patients (70%) presented with sleep or bulbar clinical phenotypes. Conversely, these 2 phenotypes tended to be less frequent (26.7%) in patients with other HLA alleles, who often presented with a PSP-like phenotype or cognitive impairment. Accordingly, a trend to a higher frequency of parasomnia, sleep breathing alterations, and bulbar dysfunction was observed in DRB1\*10:01 carriers. Dysautonomia tended also to be more frequent in DRB1\*10:01 carriers, whereas cognitive impairment occurred more frequently in non-DRB1\*10:01 carriers. Improvement with immunotherapy occurred in 5 (26.3%) of 19 patients. DRB1\*10:01-negative patients showed a trend to a better response to immunotherapy (3 of 5 cases improved). IgG subclass predominance was IgG4 in all DRB1\*10:01-negative patients compared with 70% in DRB1\*10:01-positive cases (*p* = 0.027).

**Table 1** Clinical characteristics, HLA genotyping, and tau haplotype

N	Age, sex	Syndrome <sup>a</sup>	HLA-A	HLA-B	HLA-B	HLA-B	HLA-C	HLA-C	HLA-DRB	HLA-DRB	HLA-DQA	HLA-DQA	HLA-DQB	HLA-DQB	Tau haplotype
1 <sup>1,3</sup>	59, M	Sleep	11:01	66:01	27:05	35:01	01:02	04:01	10:01	11:01	01:05	05:05	05:01	03:01	H1/H1
2 <sup>1,3</sup>	54, M	Sleep	01:01	29:02	27:05	37:01	01:02	06:02	10:01	14:01	01:05	— <sup>b</sup>	05:01	05:03	H1/H1
3 <sup>1,3</sup>	54, M	Sleep	32:01	68:01	27:05	37:01	02:02	06:02	10:01	13:01	01:03	01:05	05:01	06:01	H1/H1
4 <sup>1,3</sup>	76, M	Bulbar	01:01	24:02	08:01	15:01	03:03	15:01	10:01	11:01	01:02	05:01	05:01	03:01	H1/H1
5 <sup>3</sup>	42, M	Bulbar	01:01	02:01	37:01	44:02	05:01	06:02	10:01	04:01	01:01	03:02	05:01	03:01	H1/H1
6	53, F	Sleep	01:01	02:11	15:07	40:06	07:01	12:02	10:01	03:01	01:01	05:01	05:01	02:01	H1/H1
7 <sup>3</sup>	65, F	Cognitive	02:01	66:03	07:02	44:03	02:02	04:01	10:01	13:01	01:01	01:03	05:01	06:03	H1/H1
8 <sup>3</sup>	53, F	Sleep	23:01	24:02	13:02	50:01	06:02	— <sup>b</sup>	10:01	07:01	01:01	02:01	05:01	02:02	H1/H1
9 <sup>3</sup>	49, M	Sleep	01:01	02:01	07:02	44:02	05:01	07:01	10:01	01:01	01:01	— <sup>b</sup>	05:01	— <sup>b</sup>	H1/H1
10	65, M	Sleep	01:01	03:01	07:02	37:01	07:01	07:02	10:01	15:01	01:01	01:02	05:01	06:02	H1/H2
11 <sup>3</sup>	64, M	Bulbar	ND	ND	ND	ND	ND	ND	10:01	UNK	ND	ND	05:01	UNK	ND
12 <sup>3</sup>	62, F	Bulbar	ND	ND	ND	ND	ND	ND	10:01	03:01	ND	ND	05:01	02:01	ND
13	64, M	PSP-like	ND	ND	ND	ND	ND	ND	10:01	UNK	ND	ND	05:01	UNK	ND
14 <sup>3</sup>	61, F	Cognitive	ND	ND	ND	ND	ND	ND	10:01	07:01	ND	ND	05:01	02:02	ND
15 <sup>3</sup>	63, F	Sleep	ND	ND	ND	ND	ND	ND	10:01	13:01	ND	ND	05:01	06:03	ND
16	68, M	Bulbar	ND	ND	ND	ND	ND	ND	10:01	UNK	ND	ND	05:01	UNK	ND
17	57, M	PSP-like	02:01	11:01	37:01	44:02	06:02	07:04	10:01	12:01	01:01	05:05	05:01	03:01	H1/H1
18	70, M	Bulbar	02:01	30:02	07:05	18:01	05:01	15:05	10:01	13:01	01:03	01:04	05:01	06:03	H1/H2
19 <sup>27</sup>	75, F	Cognitive	03:01	33:01	14:02	55:01	03:03	08:02	10:01	04:01	01:04	03:01	05:01	03:02	H1/H1
20	62, F	Ataxia	01:01	03:01	18:01	41:01	07:01	17:01	10:01	09:01	01:04	03:02	05:01	03:03	H1/H1
21	80, F	Cognitive	02:01	25:01	15:01	44:02	03:03	05:01	01:01	14:01	01:01	— <sup>b</sup>	05:01	05:03	H2/H2
22	60, M	Stiff-person	01:01	02:01	35:01	51:01	04:01	14:02	01:01	— <sup>b</sup>	01:01	— <sup>b</sup>	05:01	— <sup>b</sup>	ND
23	62, M	Sleep	03:01	26:01	39:01	56:01	01:02	12:03	01:01	11:01	01:01	05:05	05:01	03:01	H1/H1
24	52, F	Ataxic gait	01:01	02:01	37:01	57:01	06:02	— <sup>b</sup>	01:01	— <sup>b</sup>	01:01	— <sup>b</sup>	05:01	— <sup>b</sup>	H1/H2
25	71, F	Cognitive	ND	ND	ND	ND	ND	ND	01:01	07:01	ND	ND	05:01	03:03	ND

Continued

**Table 1** Clinical characteristics, HLA genotyping, and tau haplotype (*continued*)

N	Age, sex	Syndrome <sup>a</sup>	HLA-A	HLA-B	HLA-B	HLA-B	HLA-C	HLA-C	HLA-DRB	HLA-DRB	HLA-DQA	HLA-DQA	HLA-DQB	HLA-DQB	Tau haplotype
26	62, M	Cognitive	02:01	29:02	35:01	44:03	04:01	16:01	01:01	07:01	01:01	02:01	05:01	02:02	H1/H1
27	69, M	PSP-like	01:01	29:01	07:02	08:01	07	15	03:01	14:01	01:04	05:01	05:03	02:01	H1/H1
28 <sup>3</sup>	65, M	PSP-like	02:05	30:02	18:01	50:01	05:01	06:02	03:01	07:01	02:01	05:01	02:01	03:03	H1/H1
29	71, M	Bulbar	01:01	25:01	08:01	18:01	07:01	12:03	03:01	04:01	03:01	05:01	02:01	03:02	H1/H1
30	67, F	PSP-like	01:01	24:02	08:01	14:02	07:01	08:02	03:01	01:02	01:01	05:01	05:01	02:01	H1/H1
31	66, F	Bulbar	02:01	11:01	14:02	18:01	07:01	08:02	03:01	14:01	01:04	05:01	05:03	02:01	H1/H1
32 <sup>1,3</sup>	69, F	Sleep	01	32	08	15	03	07	03:01	16:01	ND	ND	05:02	02:01	H1/H1
33	81, F	PSP-like	24:02	— <sup>b</sup>	35:02	35:03	04:01	12:03	04:03	14:01	01:01	03:02	05:03	03:01	H1/H1
34	67, F	Cognitive	11:01	— <sup>b</sup>	35:01	51:01	04:01	— <sup>b</sup>	04:03	14:01	01:01	03:02	05:03	03:01	H1/H1
35 <sup>3</sup>	69, F	Cognitive	11:01	34:01	38:02	39:01	07:02	12:02	09:01	14:04	01:01	03:02	05:03	03:03	H2/H2

Abbreviations: F = female; M = male; ND = not done; PSP = progressive supranuclear palsy; UNK = unknown.

Seventeen patients have been previously reported (references 1, 3, and 27).

<sup>a</sup> Syndromes were defined as described.<sup>3</sup> Patient 22 presented with a different syndrome characterized by fasciculations, cramps, and stiffness in the lower limbs reminiscent of stiff-person syndrome (sleep, bulbar, gait, and cognitive symptoms were absent).

<sup>b</sup> Indicates that the allele is homozygous.

**Table 2** Clinical features in patients HLA-DRB1\*10:01 positive and negative

	HLA-DRB1*10:01 (n = 20)	HLA-DRB1*non-10:01 (n = 15)	p Value
Age at onset (y)	62 (42–76)	67 (52–81)	0.069 <sup>a</sup>
Male sex	12 (60)	5 (33.3)	0.12 <sup>b</sup>
Age at diagnosis (y)	64.5 (46–77)	71 (61–83)	0.023 <sup>a</sup>
Chronic presentation <sup>d</sup>	17 (85)	9 (60)	0.13 <sup>c</sup>
Sleep or bulbar profile vs others	14 (70)	4 (26.7)	0.011 <sup>b</sup>
Parasomnia	14 (70)	4 (26.7)	0.011 <sup>b</sup>
Sleep breathing disorder	19 (95)	8 (53.3)	0.011 <sup>c</sup>
Bulbar dysfunction	17 (85.5)	8 (53.3)	0.062 <sup>c</sup>
Gait difficulties	12 (60)	9 (60)	1.0 <sup>b</sup>
Cognitive impairment	9 (45)	12 (80)	0.036 <sup>b</sup>
Oculomotor abnormalities	10 (50)	8 (53.3)	0.84 <sup>b</sup>
Dysautonomia	12 (60)	4 (26.7)	0.05 <sup>b</sup>
Chorea	3 (15)	2 (13.3)	1.0 <sup>c</sup>
CSF pleocytosis (>5 cells) <sup>e</sup>	5/17 (29.4)	1/12 (8.3)	0.35 <sup>c</sup>
Elevated proteins (>45 mg/dL) <sup>e</sup>	10/17 (58.8)	5/12 (41.7)	0.36 <sup>b</sup>
Anti-IgLON5 negative in CSF <sup>f</sup>	1/18 (5.6)	2/14 (15.4)	0.57 <sup>c</sup>
IgG4-predominant IgG subclass	14 (70)	15 (100)	0.027 <sup>c</sup>
Tau H1/H1 haplotype	12/14 (85.7)	9/12 (75)	0.63 <sup>c</sup>
Response to immunotherapy <sup>g</sup>	2/14 (14.3)	3/5 (60)	0.084 <sup>c</sup>
Disease duration (mo) <sup>h</sup>	44.5 (9–180)	96 (8–228)	0.24 <sup>a</sup>
Death	6 (30)	3 (20)	0.7 <sup>c</sup>

Data are presented as median and (range) or in number and (%).

<sup>a</sup> Mann-Whitney *U* test.

<sup>b</sup>  $\chi^2$  test.

<sup>c</sup> Fisher exact test was used.

<sup>d</sup> Time from symptom onset to initial medical consultation >4 mo.

<sup>e</sup> CSF analysis was performed in 29 of 35 patients.

<sup>f</sup> IgLON5 antibodies were tested in CSF in 32 patients; in 3 (9.4%) of them, the antibodies were only detectable in serum.

<sup>g</sup> Immunotherapy was performed in 18 of 35 patients. Clinically significant improvement occurred in 5 (26.3%).

<sup>h</sup> Time from symptom onset until the last visit or death.

## HLA-IgLON5 peptide binding prediction

The binding ability of IgLON5 peptide sequences to 15 HLA-DRB1 alleles using the NetMHCIIpan 3.1 server showed that only 3 DRB1 molecules, the DRB1\*01:01, DRB1\*10:01, and DRB1\*09:01, were strong binders for the same 2 IgLON5-derived peptides (table 3). The epitope with the strongest predicted affinity was LRLAAAAL, belonging to the signal peptide of IgLON5. The second epitope, IVHVPARIV, is located in the Ig-like 2 domain of the protein. Seven different HLA-DRB1 molecules also had a predicted binding capacity for one of these peptides, mainly IVHVPARIV. Another peptide (WTSDPRVRL) showed binding capacity for only HLA-DRB1\*03:01 present in 8 patients (table 3). No IgLON5 peptide sequences were defined as strong binders considering all possible, cis

or trans, combinations of the DQA and DQB molecules. Similarly, no IgLON5 peptide sequences were binders to HLA Class I–associated alleles A\*01:01 and B\*37:01 using the NetMHC 3.4 server.<sup>14</sup>

Given that the signal peptide sequence may vary among different family members and isoforms of a protein family, we determined the prediction of binding to HLA Class II molecules the signal peptide sequences of the other members of the IgLON family, IgLON1, 2, 3, and 4. In contrast to the IgLON5 peptide LRLAAAAL, none of the other signal peptide sequences showed strong predicted binding affinity. Only sequences from isoforms 1, 2, 5, 7, and 9 of IgLON2 (Uniprot accession NP-057606.1, NP\_001041674.1, NP\_001338930.1, NP\_001338932.1, and NP\_001338934.1,

**Table 3** IgLON5 peptides with strong binding affinity for HLA-DRB1 molecules in anti-IgLON5 disease

MHC molecule	Full peptide (15-mer)	Core peptide (9-mer)	Affinity	
			IC <sub>50</sub> <50nM	Rank
<b>DRB1*10:01</b>	GARLRLAAAAAGL	LRLAAAAAL	11.04	0.04
	QVYLIVHVPARIVNI	IVHVPARIV	30.04	0.80
<b>DRB1*01*01</b>	GARLRLAAAAAGL	LRLAAAAAL	2.71	0.01
	QVYLIVHVPARIVNI	IVHVPARIV	4.29	0.25
<b>DRB1*09:01</b>	GARLRLAAAAAGL	LRLAAAAAL	28.19	0.30
	QVYLIVHVPARIVNI	IVHVPARIV	35.39	0.50
DRB1*03:01	GNDRWTS DPRVRLLI	WTSDPRVRL	40.89	0.50
DRB1*04:01	GARLRLAAAAAGL	LRLAAAAAL	47.65	0.90
DRB1*07:01	QVYLIVHVPARIVNI	IVHVPARIV	12.11	0.17
DRB1*12:01	QVYLIVHVPARIVNI	IVHVPARIV	2.74	0.25
DRB1*13:01	QVYLIVHVPARIVNI	IVHVPARIV	12.43	0.01
DRB1*14:01	QVYLIVHVPARIVNI	IVHVPARIV	24.24	0.12
DRB1*14:04	QVYLIVHVPARIVNI	IVHVPARIV	20.03	0.30
DRB1*15:01	QVYLIVHVPARIVNI	IVHVPARIV	20.45	0.17

Binding of epitopes of IgLON5 was predicted using NertMHCIIpan 3.1 server in accordance with the instruction of the server. Binding affinity below 50 nM and a rank <1% among 200,000 random peptides was associated with strong binders. In bold, the 3 DRB1 molecules that bind to both IgLON5 peptides.

respectively) resulted in weak predicted binding affinities to HLA-DRB1 alleles. The second epitope, IVHVPARIV, was not present in any of the other members of the IgLON family.

Because tau protein deposits have been described in the brain of patients with anti-IgLON5 antibodies who underwent autopsy, a prediction study was also made on the binding ability of tau protein sequences (Uniprot P10636) to the indicated HLA-DRB1 and DQA-DQB alleles. No sequences with high affinity for HLA molecules were identified.

### Molecular modeling of HLA Class II-IgLON5 peptide interactions

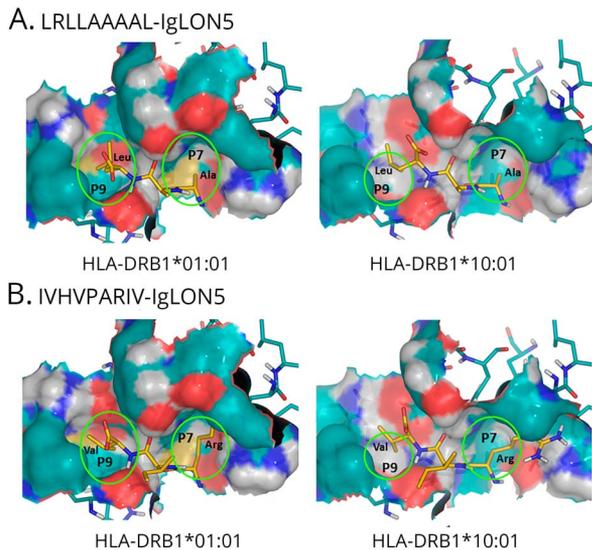
We next modeled the interaction of the strong binder IgLON5 peptide LRLAAAAAL with DRB1\*01:01 and DRB1\*10:01. The computed structure-based scores for the binding of this peptide to DRB1\*01:01 and DRB1\*10:01 were -97 and -91 kJ/mol, respectively. Although based on fundamentally different principles, this finding agrees with the NetMHCIIpan 3.1 binding affinity predictions, thus suggesting a slightly higher affinity of LRLAAAAAL for DRB1\*01:01 than for DRB1\*10:01. Taking the structure into account, the clearest differences between the pockets in the peptide-binding grooves of DRB1\*01:01 and DRB1\*10:01 are probably found in pocket P9, where there is absence of a well-defined pocket in DRB1\*10:01, whereas a shallow pocket is visible in DRB1\*01:01 (figure).

The computed scores for the binding of the other strong binder peptide IVHVPARIV to DRB1\*01:01 and DRB1\*10:01 were -75 and -57 kJ/mol, respectively. In this case, the difference of 18 kJ/mol is significantly larger than that predicted by NetMHCIIpan 3.1. Nevertheless, using any of these 2 methods, a higher affinity for DRB1\*01:01 is predicted. Comparing the values obtained for the 2 peptides, we also found that both methods predicted a higher affinity of LRLAAAAAL than IVHVPARIV for either of the 2 HLA molecules. Considering the binding scores of IVHVPARIV relative to LRLAAAAAL, and given that the other anchoring residues are significantly conserved, we conclude that Arg (R) in pocket P7 is likely the main responsible for the lower binding affinity of IVHVPARIV relative to LRLAAAAAL for the 2 HLA molecules.

### Genetic analysis of the *MAPT* gene in anti-IgLON5 disease

The *MAPT* H1/H1 homozygous genotype was significantly overrepresented with respect to H1/H2 and H2/H2 in 27 anti-IgLON5-positive patients (81.5% were H1/H1) compared with 116 healthy controls (46.5% H1/H1), OR 5.05 (95% CI: 1.79–14.25),  $p = 0.0007$ . Similar results were found when the sample was limited to the 24 anti-IgLON5-positive patients of European Caucasian origin (83% H1/H1) ( $p = 0.0007$ ). Finally, we did not find mutations in the commonly mutated *MAPT* exons 1, 9, 10, 11, 12, and 13 in a subset of 7 patients with anti-IgLON5 disease.

**Figure** Modeling of the interaction between Class II molecules and IgLON5 peptides



Detail of the pockets P7 and P9 in the modeled complexes between peptides LRLAAAAAL (A) and IVHVPARIV (B) of IgLON5 and molecules HLA-DRB1\*01:01 and HLA-DRB1\*10:01. Residues 7–9 (from right to left) of the peptides are represented as sticks, with the carbons in golden yellow. Protein residues forming the pockets are represented as sticks, with carbon atoms in teal blue. Covering the protein atoms, their Connolly surface is represented to facilitate the visualization of the pockets. Noncarbon atoms are colored as follows: N-blue, H-light gray, O-red, and S-yellow. It can be observed that P9 (cavity at the left of each panel) is shallower in HLA-DRB1\*10:01 than in HLA-DRB1\*01:01. As a result, the aliphatic residues at position 9 of both peptides bind more deeply in HLA-DRB1\*01:01. The models also show that the Arg (R) residue at position 7 in IVHVPARIV is too bulky for the small P7 and has to orient its side chain toward previous pockets, particularly in HLA-DRB1\*10:01.

## Discussion

Anti-IgLON5 disease was the first among multiple CNS disorders associated with antibodies against synaptic receptors or neuronal surface proteins in which an association with HLA Class II alleles was identified.<sup>1</sup> Here, we confirm the association with HLA-DRB1\*10:01-DQB1\*05:01 alleles in a larger series of patients and provide several novel findings that help to understand the interplay between the autoimmune and neurodegenerative processes of the disease. First, the HLA-DRB1\*10:01 was strongly associated with anti-IgLON5 disease and was found more prevalent in patients who presented with parasomnias, sleep breathing disorder, or bulbar symptoms. Second, 2 IgLON5 peptides showed high affinity for the HLA-DRB1 molecules identified in patients with the disease as predicted by an algorithm using artificial neural networks. These findings were confirmed by molecular modeling using the 2 IgLON5 peptides and DRB1\*01:01 and DRB1\*10:01, the 2 alleles that showed the strongest affinity for these peptides. Last, the *MAPT* H1/H1 genotype was overrepresented in patients with anti-IgLON5 disease.

The robust association between anti-IgLON5 disease and distinct HLA alleles supports a primary autoimmune event

as cause of the disease. One of the hypotheses to explain the link between antibody-mediated diseases and specific HLA-DR or DQ alleles is that these molecules present peptides of the antibody-targeted antigen to autoimmune-prone CD4<sup>+</sup> T cells, which in turn induce B-cell proliferation and differentiation into antibody-secreting cells.<sup>15</sup> In anti-IgLON5 disease, there is an overrepresentation of DRB1\*10:01; this is an infrequent allele that in all population studies represents less than 5% of individuals (allele frequencies.net). The DRB1\*10:01 allele has been linked to an increased risk of several rheumatological autoimmune diseases.<sup>16</sup> Indeed, in HLA-DRB1\*10:01-positive patients with rheumatoid arthritis and antibodies against citrullinated type II collagen, CD4<sup>+</sup> T cells are activated when a peptide from citrullinated type II collagen protein is presented to T cells by DRB1\*10:01 but not by other DRB1 molecules showing the fine specificity of the peptide-binding motifs of the HLA molecules.<sup>17</sup>

The present study shows that the DRB1 alleles identified in patients with anti-IgLON5 disease, DRB1\*01:01 and DRB1\*10:01, are predicted to bind with high affinity 2 peptides of IgLON5. Molecular modeling of the interaction of the 2 IgLON5 peptides (LRLAAAAAL and IVHVPARIV) with the strongest binding with DRB1\*01:01 and DRB1\*10:01 confirmed the high affinity of this binding. LRLAAAAAL corresponds to a sequence of the signal peptide of IgLON5. Unlike other members of the IgLON family, only the IgLON5 signal peptide region showed a high binding affinity for DRB1 molecules. Signal peptides contain numerous hydrophobic residues that help to anchor the nascent polypeptide to the membrane.<sup>18</sup> Once the protein is anchored in the membrane, the signal sequence is cleaved and degraded in the cytosol, and the resulting peptides are known to be strong binders of the T-cell activating MHC Class I molecules. Indeed, signal peptides have been proposed as adjuvants in vaccination strategies.<sup>19</sup> Thus, although the epitope recognized by the helper T cell (e.g., signal recognition peptide) must be linked to that recognized by the B cell (surface peptide of the same antigen, recognized by antibodies), the 2 cells do not need to recognize identical epitopes, a phenomenon called linked recognition.<sup>20</sup> The in silico techniques to assess potential binding of specific IgLON5 peptides to HLA molecules used in the present study represent an exploratory computational tool that will require its confirmation in future immunologic experiments assessing the proliferative T-cell response to the selected IgLON5 peptides.

Despite the DQA1\*01/DQB1\*05 haplotype was strongly associated with anti-IgLON5 disease, no IgLON5 peptide could be defined as a strong binder for these HLA alleles. This association can only be partially explained by linkage disequilibrium with the DRB1\*10:01 allele, as 84.6% of the patients non-DRB1\*10:01 carriers were positive for DQA1\*01/DQB1\*05. No IgLON5 peptide could be defined either to be a binder to HLA Class I-associated alleles

(HLA-A\*01:01, B\*37:01). In this case, the association is probably due to linkage disequilibrium of these Class I alleles with the DRB1\*10:01 allele. Peptide binding to HLA Class I molecules implies antigenic presentation to CD8<sup>+</sup> cytotoxic T cells,<sup>21</sup> but there are no data suggesting a role of CD8<sup>+</sup> T cells in anti-IgLON5 disease.

Although this series is relatively small, we noted a trend to an association between DRB1\*10:01 and sleep and bulbar dysfunction as predominant symptoms at disease onset.<sup>1</sup> Indeed, although 78% (14/18) of patients with these clinical presentations had DRB1\*10:01, this haplotype was only observed in 36% (5/14) of patients who initially presented with PSP-like symptoms or cognitive deterioration. With the increasing number of reports on patients with IgLON5 antibodies, it is becoming evident that this disease is heterogeneous and that the sleep disorder that was characterized in the initial series is not always present.<sup>22</sup> Clinical heterogeneity occurs in many diseases, and the cause is probably multifactorial; however, the genetic background plays an important role in some disorders. For example, distinct HLA-DRB1 alleles appear to influence the clinical phenotype of inclusion body myositis or the spinal cord lesion load in MS.<sup>23,24</sup>

DRB1\*10:01 is in strong linkage disequilibrium with DQB1\*05 alleles that associate with some IgG4-related diseases such as MuSK antibody-mediated myasthenia.<sup>25</sup> IgLON5 antibodies are predominantly of the IgG4 subclass, but we found that in up to 30% of DRB1\*10:01-positive patients, the percentage of IgG1 was higher than that of IgG4. Whether the IgG subclass predominance in anti-IgLON5 disease associates with different HLA haplotypes should also be assessed in future studies.

An unexpected observation in anti-IgLON5 disease was that the brain of the first 3 autopsied patients showed a novel tauopathy with neuronal accumulation of hyperphosphorylated tau preferentially involving the hypothalamus and the tegmental nuclei of the brainstem.<sup>2</sup> A subsequent case report suggested that the tauopathy is not a constant finding and that it could be a late event in the evolution of the disease.<sup>26</sup> The absence of neuronal tau deposits in early stages of the disease perhaps could explain that some patients improve with immunotherapy and that brain biopsies showed inflammatory infiltrates without tau pathology.<sup>27,28</sup>

Independently of whether autoimmunity or neurodegeneration is the first pathogenic event, our current findings suggest that patients with anti-IgLON5 disease may have a genetic association with the *MAPT* H1/H1 genotype. Patients with this genotype are susceptible to several neurodegenerative diseases, particularly tauopathies (PSP and CBD) and synucleinopathies such as Parkinson disease and multiple system atrophy.<sup>29</sup> There is robust evidence that inflammation plays a critical role in some neurodegenerative diseases, and it has been postulated that it is required for the progression of the degenerative process.<sup>30</sup> Thus, it is conceivable that in anti-IgLON5 disease, the initial

event is an inflammatory autoimmune response that results in a stress situation in patients susceptible to neurodegenerative processes, eventually leading to the indicated tauopathy. However, our finding related to *MAPT* association must be taken with caution due to the relative low number of patients and the potential genetic heterogeneity among different populations. Future validation studies of the *MAPT* H1/H1 genotype association with anti-IgLON5 disease are warranted.

In summary, the tight association of anti-IgLON5 disease with the DRB1\*10:01-DQB1\*05:01 haplotype and its high affinity for IgLON5 peptides, particularly the peptide in the signal sequence of IgLON5, strongly support that the disease is autoimmune. Neuronal tau deposits might occur due to a genetic predisposition of the patients, supported by the association with the *MAPT* H1/H1 genotype. We have previously shown that IgLON5 antibodies cause an irreversible antibody-mediated internalization of surface IgLON5 in cultured hippocampal neurons.<sup>31</sup> Therefore, a task for the future is to determine how antibody disruption of IgLON5 ultimately leads to neuronal accumulation of hyperphosphorylated tau.

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## Appendix (continued)

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