

### STUDY PROTOCOL

# Learning to make informed health choices: Protocol for a pilot study in schools in Barcelona [version 3; peer review: 2 approved]

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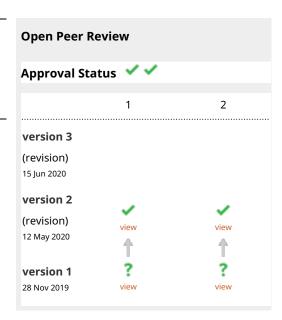
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# **Abstract**

**Introduction:** The Informed Health Choices (IHC) project has developed learning resources to teach primary school children (10 to 12-year-olds) to assess treatment claims and make informed health choices. The aim of our study is to explore both the students' and teachers' experience when using these resources in the context of Barcelona (Spain).

**Methods:** During the 2019-2020 school year, we will conduct a pilot study with 4 <sup>th</sup> and 5 <sup>th</sup>-year primary school students (9 to 11-year-olds) from three schools in Barcelona. The intervention in the schools will include: 1) a workshop with the teachers, and 2) lessons to the



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students. The data collection will include: 1) assessment of the IHC resources by the teachers before the lessons, 2) non-participatory observations during the lessons, 3) semi-structured interviews with the students after a lesson, 4) assessment of the lessons by the teachers after a lesson, 5) treatment claim assessment by the students at the end of the lessons, and 6) assessment of the IHC resources by the teachers at the end of the lessons. We will use ad hoc questionnaires and guides to register the data. We will perform a quantitative and qualitative analysis of the data to explore understandability, desirability, suitability, usefulness, facilitators and barriers of the resources. The most relevant results will be discussed and some recommendations on how to use, how to adapt (if needed), and how to implement the IHC resources to this context will be agreed. The findings of the contextualization activities could inform the design of a cluster-randomised trial, to determine the effectiveness of the IHC resources in this context prior to scaling-up its

**Ethical considerations:** The study protocol has obtained an approval exemption from the Ethics Committee of the Hospital de la Santa Creu i Sant Pau (Barcelona, Spain).

# **Keywords**

Children's health, critical thinking, evidence-based medicine, health education, health promotion, public health.

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Any reports and responses or comments on the article can be found at the end of the article.



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# **REVISED** Amendments from Version 2

We would like to thank the reviewers for their comments to our manuscript. In this new version, we have included only minor amendments to the text.

Any further responses from the reviewers can be found at the end of the article

### Introduction

In our day-to-day, we hear and make claims about treatments that can improve or worsen our health ("treatment" can be defined broadly as any action to improve or maintain the health of individuals). Claims we make, or are exposed to, may be about therapeutic interventions (take drugs, undergo surgery or use medical devices), changes in lifestyle (follow dietary guidelines, do exercise), interventions involving alternative medicine (use medicinal herbs), public health or environmental interventions, or changes in how health care is provided, funded or managed<sup>1,2</sup>.

Many of these claims, regardless of whether they are well-intentioned or driven by various interests, can be wrong, inadequate or untrustworthy<sup>3</sup>. When people make decisions based on untrustworthy treatment claims, or when they ignore trustworthy claims, they may harm their health and use resources inadequately<sup>3</sup>.

In order for people to make informed health choices, they need to be able to obtain, process and understand the relevant health information (health literacy) and use that information from a critical perspective (critical thinking)<sup>4-6</sup>. Unfortunately, many people lack that ability. A European survey showed that 58.3% of the Spanish population has a limited level of health literacy<sup>7</sup>.

# Informed Health Choices project

The main objective of the Informed Health Choices (IHC) project is to teach people to assess treatment claims and make informed health choices.

The IHC project has a focus on enabling people to learn these skills at a young age and began their first work in developing learning resources for primary school children (10 to 12-year-olds) from low-income countries (Uganda)8. There are several reasons the IHC project started with primary school children: 1) children can learn about fair comparisons (controlled research) and critical appraisal (in some countries, teaching these basic capabilities is already part of the curriculum)<sup>9,10</sup>; 2) primary school interventions can reach a large population group, before many of them leave school11; 3) compared to adults, children have more time to learn and show less resistance to change with regard to their beliefs, attitudes or behaviours<sup>12</sup>; 4) teaching children to think critically improves their academic performance<sup>13</sup>; and 5) learning how to think critically about claims about treatment effects can help them, once they become adults, to make decisions about their health and to contribute, as citizens or as health decision-makers, to develop and implement health policies<sup>14</sup>. In addition, the IHC project focused on the child population of low-income countries because making informed health choices can contribute to a more efficient use of resources in contexts with higher social and economic inequality<sup>8</sup>.

The IHC Working Group has developed several resources to help people understand the differences between trustworthy and untrustworthy health claims, and how to use reliable information to make informed health choices<sup>8</sup>. The main resources are: 1) key concepts, 2) learning resources, and 3) a tool to evaluate the ability to assess treatment claims.

IHC key concepts. Using the principles of a spiral curriculum, the IHC Working Group has compiled a list of concepts that individuals need to understand and apply when assessing claims about treatment effects and making health choices.<sup>3,15</sup>

The list of concepts is reviewed and updated periodically. The list currently includes 44 concepts divided into three capability groups: 1) identify when the treatment claim has an untrustworthy basis, 2) recognise when evidence from comparisons of treatments is trustworthy and when it is not, and 3) make well-informed choices about treatments. Table 1 shows the list of key concepts<sup>13</sup>.

*IHC learning resources.* Using a human-centred design approach<sup>16–18</sup>, the IHC Working Group has produced various learning resources (IHC resources) to teach children and their families to understand and apply some of the key concepts<sup>8</sup>.

The following resources were produced for primary school children (10 to 12-year-olds): a book (that includes and explains 12 key concepts), an exercise book, a teachers' guide, some activity cards, a poster and a song (Figure 1)<sup>8,19</sup>. The book tells a story, narrated as a comic, about a brother and a sister, John and Julie, who know two teachers and health researchers, professor Compare and professor Fair. The professors teach John and Julie: 1) what questions they should ask when someone says something about a treatment; 2) what questions health researchers ask to find out more about treatment effects; and 3) what questions they should ask when deciding to use a treatment or not<sup>19</sup>.

The effect of the resources was assessed in a cluster randomised trial conducted in Uganda<sup>14</sup>. In the trial, 120 schools were assigned randomly to receive the intervention with the resources (60 schools, 76 teachers and 6,383 children) or not receive it (60 schools, 67 teachers and 4,430 children)<sup>14</sup>. The study showed that the children who used the resources improved their ability to assess treatment claims in comparison with the group without resources (69% of the children who use the learning resources got a passing score *vs.* 27% of children in the control group)<sup>14</sup>. A follow-up study one year later showed that children retained this knowledge and, in fact, the proportion of children with a passing score increased from 69% to 80%<sup>20</sup>.

# Table 1. List of key concepts from the Informed Health Choices project<sup>13</sup>.

| 1. Beware of treatment <u>claims</u> like these:   |                          |
|--|--------------------------|
| We hear claims about the effects of treatments all the time. Many of these are not trustwo | rthy. When you hear      |
| someone use one of these reasons to support a claim about the effects of a treatment, yo   | ou should beware and ask |
| where the evidence is.   |                          |



| 1.1. Beware of claims that are too good to be true | 1. "100% safe!"*               |
|--|--------------------------------|
|  | 2. "100% effective!"           |
|  | 3. "100% certain!"             |
| 1.2. Beware of claims based on faulty logic        | 4. "Treatment needed!"         |
|  | 5. "It works like this!"       |
|  | 6. "Associated with!"          |
|  | 7. "Real world data!"          |
|  | 8. "No comparison needed!"*    |
|  | 9. "A study shows!"*           |
|  | 10. "Old is better!" *         |
|  | 11. "New is better!"*          |
|  | 12. "More is better!"          |
|  | 13. "Early is better!"         |
|  | 14. "Personalised medicine!"   |
| 1.3. Beware of claims based on trust alone         | 15. "As advertised!"*          |
|  | 16. "It worked for me!"*       |
|  | 17. "Recommended by experts!"* |
|  | 18. "Peer reviewed!"           |

2. Check the evidence from treatment <u>comparisons</u>
A treatment has to be compared to something else to know what would happen without the treatment. For treatment comparisons to be FAIR, the only important difference between comparison groups should be the treatments they receive. Unfair treatment comparisons and unsystematic summaries of treatment comparisons can be misleading. The way that treatment effects are described can also be misleading.



| 2.1. Don't be misled by unfair comparisons!       | 19. Dissimilar comparison groups*          | ā |
|---|--|---|
|   | 20. Indirect comparisons                   |   |
|   | 21. Dissimilar attention and care          |   |
|   | 22. Dissimilar expectations or behaviours* |   |
|   | 23. Dissimilar assessment of outcomes      |   |
|   | 24. Unreliable assessment of outcomes      |   |
|   | 25. Lots of people not followed-up         |   |
|   | 26. Outcomes counted in the wrong group    |   |
| 2.2. Don't be misled by unreliable summaries of   | 27. Unsystematic summaries                 |   |
| treatment comparisons!                            | 28. Selective reporting                    |   |
|   | 29. Unfounded assumptions                  |   |
| 2.3. Don't be misled by how treatment effects are | 30. Just words                             |   |
| described!  | 31. Relative effects                       |   |
|   | 32. Average effects                        |   |
|   | 33. Few people or events*                  |   |
|   | 34. Subgroup analyses                      |   |
|   | 35. Statistically significant              |   |
|   | 36. No confidence interval                 |   |
|   | 37. No evidence                            |   |

# 3. Make well-informed treatment choices Deciding what to do requires judgements about the relevance of the evidence, how important the good and bad outcomes are to you, and how sure you can be about the treatment effects. 3.1. What is the problem and what are the options? 3.2. Is the evidence relevant? 3.3. What is your health problem and what are your options? 40. Are the people (or animals) very different from you? 41. Are the treatments different from those available to you? 42. Are the circumstances different from yours? 3.3. Do the advantages outweigh the disadvantages for you?\* 44. How sure are you about the treatment effects?

<sup>\*</sup> The 12 concepts included in the learning resources of the IHC project for primary school children. The IHC Key Concepts' explanations are available from the "That's a Claim" website. This table has been reproduced with permission from Oxman et al. (Box 3)13.



Figure 1. Learning resources from the Informed Health Choices project for primary school children translated into Spanish.

Additionally, the IHC project team developed and evaluated a podcast with several episodes for parents (that introduce and explain nine key concepts)<sup>8,18,21,22</sup>.

Tool to evaluate the ability to assess treatment claims. The IHC Working Group has created a database with questions to assess people's understanding and ability to apply the key concepts; the CLAIM Evaluation Tools<sup>23</sup>. Each question is based on a scenario that involves a claim about a treatment. There are two types of questions: 1) individual multiple-choice questions and 2) several true or false statements<sup>23</sup>.

This tool is a flexible resource, since people may design a questionnaire according to the key concepts that they wish to evaluate, selecting the questions that are most relevant for their objectives<sup>19</sup>. For example, teachers can design questionnaires to assess children, and researchers can design questionnaires to assess interventions or to describe a population's ability to make informed health choices<sup>23</sup>. All the questions have been designed to be answered by children over 10 years of age as well as by adults<sup>23</sup>. The CLAIM Evaluation Tools can be found on the Testing Treatments international website.

In the previously cited trials that assessed the effect of the IHC resources, the researchers used questions from this database<sup>14,22</sup>.

# Contextualization of the Informed Health Choices project

The IHC resources have proven to be effective in the Ugandan trial, but it is still unknown whether they may be useful in other contexts<sup>24</sup>. Different working groups from more than 20 countries are adapting, or planning adaptation, of the IHC resources to their context<sup>25,26</sup>.

The IHC Working Group has proposed the following contextualization activities to explore how these resources can be used in a context different from the one that they were originally designed for: 1) context analysis, 2) translation of the resources, 3) pilot study, 3) content adaptation, 5) resource production, and 6) validation of the tool to assess treatment claims<sup>24</sup>.

Currently, we do not have any specific learning resources to teach primary school children to think critically about their health in the context of Barcelona (Spain). The working group from the Iberoamerican Cochrane Centre (CCIb) - Sant Pau Biomedical Research Institute (IIB Sant Pau) has translated the IHC resources into Spanish Spanish based on methods proposed by the IHC Working Group<sup>27</sup>. The Spanish IHC resources were included on the IHC website. The next step is to explore how to use and, if needed, how to adapt the IHC resources in this context.

# **Objectives**

# Primary objective

• Explore the students' and teachers' experience when using the learning resources of the IHC project in the context of Barcelona (Spain).

# Secondary objectives

• Explore potential changes to the IHC resources to adapt them to this context.

- Explore the feasibility of implementing the IHC resources in this context.
- Evaluate the ability of the students to assess treatment claims and make informed health choices after using the IHC resources in this context.

### Methods

During the 2019–2020 school year, we will conduct a pilot study with 4th and 5th-year primary school students (9 to 11-year-olds) from three schools in Barcelona, based on methods proposed by the IHC Working Group<sup>28</sup>. Table 2 shows and describes the different steps of the pilot study.

# **Participants**

Establishment of the IHC-Barcelona Working Group. We will establish a "coordination group" to lead and coordinate the pilot study and to ensure it is completed according to the established work plan. We will establish a multidisciplinary "advisory group" (researchers, teachers, paediatricians, student representatives, family representatives, education and health stakeholders, and translators) to review and advise on the development of the different steps of the pilot study.

We will aim for profile representativeness of the IHC-Barcelona Working Group members. We will identify researchers from CIBER of Epidemiology and Public Health (CIBERESP) and expert colleagues; teachers, student representatives, and family representatives from selected schools; paediatricians from Asociación Española de Pediatría de Atención Primaria (AEPap); education and health stakeholders from Catalan Education and Health Departments; and translators who participated in the IHC resources translation into Spanish. Potential members will be contact and invite to participate by email. We will request and register the conflicts of interest of all the members of the IHC-Barcelona Working Group.

Selection of the schools. To achieve the objective, we will select a convenience sample of three schools in Barcelona<sup>29</sup>. The IHC-Barcelona Working Group reach a consensus on eligibility criteria of the schools: 1) schools included in the school directory from the Department of Education from the Government of Catalonia (2018-2019); 2) schools that have participated in a health promotion programme (2016-2017)30; and 3) schools that take part in the initiative Escola Nova 21 (alliance of schools and civil society institutions for an advanced education system, carried out between 2016 - 2019, and responding to United Nations and UNESCO's call for the participation of all sectors in an inclusive process to make possible the education paradigm shift). We will also take into consideration whether the schools include students that are representative of the neighbourhood, if they are in different neighbourhoods of the city, and their type of funding (two public schools and one private or charter school).

**Selection of the students and teachers.** We will select 4<sup>th</sup> and 5<sup>th</sup>-year primary school students (9 to 11-year-olds) in all the lines from the selected schools (in this context, the number of

Table 2. Pilot study tasks.

| Tasks  | Participants   | Activities   |
|--|--|--|
| 1. Establishment of the IHC-Barcelona W  | •  | Activities   |
| 1.1. Establishment of the coordination   | - Researchers  | Group responsible for planning, coordinating and monitoring the  |
| group  |  | different steps of the pilot study.  |
| 1.2. Establishment of the advisory group                                       | <ul> <li>Researchers</li> <li>Teachers</li> <li>Paediatricians</li> <li>Student representatives</li> <li>Family representatives</li> <li>Education and health stakeholders</li> <li>Translators</li> </ul> | Group responsible for reviewing and advising during the development of the different steps of the pilot study.   |
| 2. Protocol development  |  |  |
| 2.1. Protocol development  | - IHC-Barcelona Working<br>Group   | Develop and publish the pilot study protocol. Request the approval of Ethics Committee of the Hospital de la Santa Creu i Sant Pau (Barcelona, Spain).   |
| 3. Preparation of the activities in the sch                                    | ools   |  |
| 3.1. Selection of the schools  | - Coordination group   | Select three schools in Barcelona (convenience sample).  |
| 3.2. Selection of the students and the teachers                                | - Coordination group   | Select 4th and 5th-year primary school students (10 to 11-year-olds) and teachers.   |
| 3.3. Introduction of the pilot study to the families                           | - Researchers<br>- Teachers  | Introduce the IHC project and the pilot study in a meeting with the families (first meeting of the school year and/or specific meeting about the project).   |
| 3.4. Compilation of the informed consent forms                                 | - Teachers   | Request the families and the teachers to give their informed consent (Extended data 1, 2 and 3)31.   |
| 3.5. Delivery IHC resources to the schools                                     | - Coordination group   | Send a book for each student. Send a book, a teachers' guide, activity cards, and a poster for each teacher.   |
| 4. Intervention in the schools   |  |  |
| 4.1. Workshop with the teachers  | <ul><li>Researchers</li><li>Teachers</li></ul>   | Introduce and review the IHC project, the pilot study, and the IHC resources with the teachers (Extended data 5) <sup>31</sup> .   |
| 4.2. Lessons to the students   | - Students<br>- Teachers   | Teach students to assess treatment claims and make informed health choices using the IHC resources.  |
| 5. Data collection   |  |  |
| 5.1. Assessment of the IHC resources by the teachers before the lessons        | - Teachers   | Explore the teachers' initial perception of the IHC resources (Extended data 6) <sup>31</sup> .  |
| 5.2. Non-participatory observations during the lessons                         | - Researchers  | Assess (objectively) the degree of implementation of the IHC resources and explore the students' experience when using the IHC resources (Extended data 7) <sup>31</sup> .   |
| 5.3. Semi-structured interviews with the students after a lesson               | <ul><li>Students</li><li>Researchers</li><li>Teachers</li></ul>  | Explore the students' experience when using the IHC resources (Extended data 8) <sup>31</sup> .  |
| 5.4. Assessment of the lessons by the teachers after a lesson                  | - Teachers   | Assess (self-reportedly) the degree of implementation of the IHC resources and explore the teachers' experience when using the resources (Extended data 9) <sup>31</sup> .   |
| 5.5. Treatment claim assessment by the students at the end of the lessons      | - Students   | Evaluate the ability of the students to assess treatment claims and make informed health choices after using the IHC resources in this context (the questionnaire is accessible upon request from the Testing Treatments website to preserve the validity of the questions). |
| 5.6. Assessment of the IHC resources by the teachers at the end of the lessons | - Teachers   | Explore the teachers' final experience when using the IHC resources and compare their initial perception with the final experience (Extended data 10) <sup>31</sup> .  |
| 6. Data analysis   |  |  |
| 6.1. Data analysis   | - Researchers  | Quantitative and qualitative analysis of the data.   |
| 7. Formulation of the recommendations  |  |  |
| 7.1. Formulation of the recommendations  | - IHC-Barcelona Working<br>Group   | Suggest and agree some recommendations on how to implement the IHC resources in this context.  |
| 8. Dissemination of the results  |  |  |
| 8.1. Dissemination of the results  | - IHC-Barcelona Working<br>Group   | Publish in a peer-reviewed journal, publish in several internet resources and introduce to the different users of interest.  |

lines means the number of student groups per academic level). We expect to include a convenience sample of approximately 150 students (25 students per class \* two lines per school \* three schools). We will request written informed consent from the families (Extended data 1 and 2)<sup>31</sup>.

We will select one teacher from every 4<sup>th</sup> or 5<sup>th</sup> year class in the selected schools. We expect to include six teachers (one teacher per class \* two lines per school \* three schools). The profile of the participatory teachers, as well as the subject where the lessons will be included (for example, in Science, Ethics or even Spanish) will depend on the education plan and the availability of the resources in each school. We will request informed consent from the teachers (Extended data 1 and 3)<sup>31</sup>.

# Intervention in the schools

The intervention in the schools will include: 1) a workshop with the teachers, and 2) lessons to the students (Extended data 4 provides a description of the intervention using the TIDieR checklist)<sup>31,32</sup>. Each of the activities is summarised below:

# 1. Workshop with the teachers

The objective is to introduce and review the IHC project, the pilot study, and the IHC resources with the teachers.

Before the workshop, a paper copy of the IHC resources translated into Spanish will be sent to the teachers for their review. During the workshop, a researcher from the IHC-Barcelona Working Group will introduce the IHC project and the pilot study. In addition, a mock lesson will be taught as an example (previously selected by the teachers). Finally, a teacher from each school will explain the plan to teach the lessons to the student body. The workshop will last approximately five and a half hours (Table 3; Extended data 5)<sup>31</sup>.

# 2. Lessons to the students

The objective is to teach students to assess treatment claims and make informed health choices using the IHC resources.

The IHC resources were designed to be used over nine weeks, with one double period (80 min) per week, during a single term, and one hour to complete the test at the end of the term<sup>14</sup>. In the pilot study, we will require to read and discus the story during each lesson. Although the teacher will be able to adapt the lessons to their students depending on the education plan of each school. The criteria that the teachers must take into consideration are:

- Continuity of lessons (number of lesson/week and number of weeks)
- Duration of lessons (number of minutes/lesson)
- Completion of some or all activities and/or exercises proposed in the lessons

- Resource format (Spanish and/or English, printed and/or digital)
- Completion of extra activities
- The teachers will reach an agreement with the IHC-Barcelona Working Group regarding their proposal for adaptation.

### Data collection

The data collection will include: 1) assessment of the IHC resources by the teachers before the lessons, 2) non-participatory observations during the lessons, 3) semi-structured interviews with the students after a lesson, 4) assessment of the lessons by the teachers after a lesson, 5) treatment claim assessment by the students at the end of the lessons, and 6) assessment of the IHC resources by the teachers at the end of the lessons. Each of the activities is summarised below:

# 1. <u>Assessment of the IHC resources by the teachers before the lessons</u>

The objective is to explore the teachers' initial perception of the IHC resources.

We will explore the teachers' initial perception of the IHC resources using an *ad hoc* self-administered questionnaire after the workshop. The questionnaire will include: teacher's impression of the students' expected experience with the IHC resources (understandability, desirability, suitability, and usefulness), the teachers' experience with the IHC resources (understandability, desirability, suitability, and usefulness), examples of treatment claims, and comments (Table 3; Extended data 6)<sup>31</sup>.

# 2. <u>Non-participatory observations during the lessons</u>

The objectives are to assess (objectively) the degree of implementation of the IHC resources and explore the students' experience when using the IHC resources.

A researcher from the IHC-Barcelona Working Group will make the non-participatory observations during the lessons. For convenience, each lesson will be observed in two classes (18 observations). Which lesson is going to be observed in each class will be assigned randomly. Each non-participatory observation will be audio-recorded and transcribed. The researcher will register his or her observations in an ad hoc guide that will include: researcher's impression of the students' and teachers' experience with the IHC resources (understandability, desirability, suitability, and usefulness), technique used to teach the lesson, the facilitators and barriers to teach the lesson, examples of treatment claims, questions, and comments (Table 3; Extended data 7)31. Another researcher will check the notes with the recorded audios. The two researchers will resolve potential disagreements by discussion, and if necessary, by consulting a third researcher.

Semi-structured interviews with the students after a lesson
 The objective is to explore the students' experience when using the IHC resources.

Table 3. Pilot study variables.

| Variables   | Assessment<br>of the IHC<br>resources by<br>the teachers<br>before the<br>lessons | Non-<br>participatory<br>observations<br>during the<br>lessons | Semi-<br>structured<br>interviews<br>with the<br>students<br>after a<br>lesson | Assessment<br>of the<br>lessons by<br>the teachers<br>after a lesson | Treatment claim assessment by the students at the end of the lessons | Assessment<br>of the IHC<br>resources by<br>the teachers<br>at the end of<br>the lessons |
|---|---|--|--|--|--|--|
| 1. Questionnaire identification   | Χ   | Χ  | Χ  | X  | X  | X  |
| 2. Students' experience with the IHC resources (understandability, desirability, suitability, and usefulness) | X   | X  | X  | X  |  | Х  |
| 3. Teachers' experience with the IHC resources (understandability, desirability, suitability, and usefulness) | X   | X  |  | X  |  | X  |
| 4. Technique used to teach the lesson   |   | X  |  | X  |  |  |
| 5. Facilitators and barriers to teach the lesson  |   | X  |  | X  |  |  |
| 6. Examples of treatment claims   | Χ   | Χ  | Χ  |  |  |  |
| 7. Suggestions to improve the lesson  |   |  | Χ  | X  |  |  |
| 8. Questions  |   | Χ  | Χ  | X  |  |  |
| 9. Comments   | Χ   | Χ  | Χ  | X  |  | X  |
| 10. Treatment claim assessment  |   |  |  |  | Χ  |  |

A researcher from the IHC-Barcelona Working Group will hold, with the support of a teacher, semi-structured individual interviews with a selection of students after a lesson. For convenience, two interviews will be held per lesson (18 interviews). Which student is going to be interviewed in each class will be assigned randomly (using the alphabetical attendance sheet). In the event that any of the selected students does not wish to participate, the next student will be selected from the list. Each interview will last approximately 30 minutes, and its audio will be recorded and transcribed. The researcher will hold the semi-structured interview using an ad hoc guide that will include: the students' experience with the IHC resources (understandability, desirability, suitability, and usefulness), examples of treatment claims, suggestions to improve the lesson, questions, and comments (Table 3, Extended data 8)31. Another researcher will check the notes with the recorded audios. The two researchers will resolve potential disagreements by discussion, and if necessary, by consulting a third researcher.

4. <u>Assessment of the lessons by the teachers after a lesson</u>
The objectives are to assess (self-reportedly) the degree of implementation of the IHC resources and explore the teachers' experience when using the resources.

After teaching each lesson, the teachers will assess it in an *ad hoc* self-administered questionnaire. The questionnaire will include: teacher's impression of the students' experience with the lesson (understandability, desirability, suitability, and usefulness), the teachers' experience with the lesson (understandability, desirability, suitability, and usefulness), the technique used to teach the lesson, the facilitators and barriers to teach the lesson, suggestions to improve the lesson, questions, and comments (Table 3, Extended data 9)<sup>31</sup>.

# 5. Treatment claim assessment by the students at the end of the lessons

The objective is to evaluate the ability of the students to assess treatment claims and make informed health choices after using the IHC resources in this context.

After completing all the lessons, the students will take a self-administered test (CLAIM questionnaire test) to evaluate their ability to apply the concepts discussed during the lessons. The test will include 24 questions (15 multiple-choice questions and nine true or false statements) from the CLAIM Evaluation Tools (Table 3; the questionnaire is accessible upon request from the Testing Treatments website to preserve the validity of

the questions). The evaluation will be in Spanish (even if the resources were used in English), on a paper copy, and with a duration of approximately 60 minutes.

# 6. Assessment of the IHC resources by the teachers at the end of the lessons

The objectives are to explore the teachers' final experience when using the IHC resources and compare their initial perception with the final experience.

After completing all the lessons, we will explore the teachers' final experience with the IHC resources using an *ad hoc* self-administered questionnaire. The questionnaire will include: teacher's impression of the students' experience with the IHC resources (understandability, desirability, suitability, and usefulness), the teachers' experience with the IHC resources (understandability, desirability, suitability, and usefulness), and comments (Table 3; Extended data 10)<sup>31</sup>.

# Data analysis

**Quantitative analysis.** We will perform a descriptive analysis of the categorical variables (absolute and relative frequencies), and the continuous variables (mean and standard deviation or median and range).

With regard to the treatment claim assessment by the students, we will show the mean score and the standard deviation, the proportion of the students with a passing score (basic knowledge of the concepts and how to apply them, 13 points or more over 24), and the proportion of the students with a high score (clear knowledge of the concepts and how to apply them, 20 points or more over 24)<sup>33</sup>.

*Qualitative analysis.* We will register in an excel sheet the feedback from: 1) the initial assessments by the teachers, 2) the non-participatory observations, 3) the semi-structured interviews with the students, 4) the assessment of the lessons by the teachers, and 5) the final assessments by the teachers.

We will perform a thematic analysis based on the categories previously used in the IHC project (seriousness, user experience, facilitators and barriers, and potential changes) (Table 4)<sup>28,34</sup>. One researcher will identify, codify, and summarise the feedback using these categories and search for emerging categories; another researcher will check the codification. They will discuss and review the definitions and limits of each category. Finally, using the summarised data, they will explore the nature of the phenomena (understandability, desirability, suitability, usefulness, facilitators and barriers) and the possible explanations of the results.

# Formulation of the recommendations

The IHC-Barcelona Working Group will discuss the most relevant results from the qualitative analysis. They will reach a consensus on the potential changes of the IHC resources (dramatic changes, major changes, or minor changes) (Table 4). Finally, they will suggest and agree on recommendations - both for practice and research purposes - on how to use, how to adapt (if needed), and how to implement the IHC resources to this context.

# Dissemination of the results

The dissemination activities of the pilot study results will include: 1) publication in a peer-reviewed journal, 2) publication in several Internet resources (for example, related web pages, electronic bulletins and social media), and 3) introduction to the different users of interest (researchers, teachers, paediatricians, student representatives, family representatives, education and health stakeholders, and translators) in conferences, workshops and meetings. The implementation activities will include: 1) offering support to the schools that have participated in the pilot study and that are interested in including the IHC resources in the following school years, 2) giving support to other schools that are interested in including the IHC resources in their education plan.

# User participation

Representatives from all the different areas of interest (researchers, teachers, paediatricians, student representatives, family representatives, education and health stakeholders, and translators) will be invited to be members of the IHC-Barcelona Working Group.

# Ethical considerations

The study protocol has obtained an approval exemption (does not include patients, biological specimens or clinical data) from the Ethics Committee of the Hospital de la Santa Creu i Sant Pau (Barcelona, Spain)<sup>35</sup>. We will inform participants about the pilot study and will request their written informed consent (Extended data 1–3)<sup>31</sup>. If a family does not want to participate, the student will participate in the lessons as a curricular activity but will not participate in any of the study data collection activities. We will anonymise the data removing participant and school name.

# Study status

Figure 2 is a Gantt chart illustrating the schedule of the pilot study. Currently, we have started the intervention in schools with the teachers' workshop.

### Discussion

It is important that people learn how to think critically about their health and how to make informed choices. The IHC project tackles this challenge from an innovative perspective because: 1) it focuses in children and 2) uses learning resources designed and assessed to facilitate the teaching and learning process. By introducing the IHC resources in a new context, we hope to contribute to the global effort to help people make informed choices regarding their health.

# Our study in the context of current knowledge

Introducing the IHC resources in schools can be considered as a health promotion and education intervention<sup>36</sup>. According to the World Health Organization (WHO), the concept of health promotion comprises "the process of enabling people to increase control over, and to improve their health"<sup>37</sup>. Additionally, health education comprises "consciously constructed opportunities for learning involving some form of communication designed to improve health literacy, including

Table 4. Categories of the qualitative analysis for the pilot study<sup>28,34</sup>.

|  | Description   |  |
|--|---|--|
|  |   |  |
| XXX  | Issues associated with incorrect (or a lack of) understanding, critical errors, severe lack of interest, or any issue that may result in abandoning the whole exercise, task or lesson  |  |
| XX   | Issues associated with frustration, unnecessarily slow use, or deviation from the lesson guide/plan but that are either resolved or do not interfere with the learning/teaching/use in a critical way   |  |
| Х  | Minor or cosmetic issues that probably don't have consequences for use, such not liking some detail in the drawing  |  |
| 00   | Praise where we should consider changes in the resources  |  |
| 0  | Praise that do not involve a change in the resources  |  |
| i  | A suggestion made by the participant  |  |
|  |   |  |
| Easy for participant to comprehend (co                             | ontent) and recognize (type of product)   |  |
| Something the participant wants, likes                             | , or has a positive emotional response  |  |
| Something the participant feels is for "                           | someone like me", is suitable for use in her context  |  |
| Helpful to participant in achieving her goals/tasks/needs          |   |  |
|  |   |  |
| Profiles and competences   | Teacher's education and experience in relation to the lessons being taught  |  |
| Understanding of the content being taught                          | Teachers' understanding of the context  |  |
| Sufficient training  | The extent to which the teachers received sufficient training in teaching the lessons   |  |
| Self-efficacy  | Teacher's confidence in teaching the lessons  |  |
| Fit to the teacher's teaching style and context (e.g., class size) | Teachers' comfort or ability to adapt the instructions to their style and context   |  |
| Attitudes  | Teachers' attitude towards new resources (change), science, critical thinking and independent thinking by the student body (or their role as authorities in the classroom)  |  |
| Beliefs  | Teachers' beliefs about the methods or content (e.g., what treatments work or the concepts)   |  |
| Emotions   | Teachers' emotions, such as stress or anxiety   |  |
| Motivation   | Teachers' motivation to teach the material  |  |
| Positive learning environment                                      | Teachers' ability to create a positive learning environment; for example, encourage discussion, respond positively to questions, engage students  |  |
| Literacy   | Students' ability to read and understand the material   |  |
| Attendance   | Students' attendance or reasons for poor attendance (e.g., long distance to school or inability to pay school fees)   |  |
| Motivation to learn  | Students' motivation to learn the new material  |  |
| Attitudes  | Students' attitudes towards learning, towards authorities, towards science, towards critical thinking   |  |
| Beliefs  | Students' beliefs about the content (e.g., what treatments work or the concepts)  |  |
|  | XX  00  0  i  Easy for participant to comprehend (or Something the participant wants, likes Something the participant in achieving her Helpful to participant in achieving her Profiles and competences  Understanding of the content being taught Sufficient training Self-efficacy Fit to the teacher's teaching style and context (e.g., class size) Attitudes  Beliefs Emotions Motivation Positive learning environment  Literacy Attendance Motivation to learn Attitudes |  |

| Categories                    |  | Description   |  |
|-------------------------------|--|---|--|
|                               | Home environment   | The extent to which the student's home environment encourages or discourages learning from the lessons  |  |
|                               | Differentiated instruction                               | The extent to which students different learning needs are met   |  |
|                               | Peer influence   | Positive or negative attitudes of other students towards the material   |  |
| Learning resources            | Value of the material                                    | The extent to which the materials are valued by the teachers and students   |  |
|                               | Compatibility with the curriculum                        | The extent to which the resources fits with the rest of the curriculum and how it is taught   |  |
|                               | Appropriateness of the material                          | The extent to which the resources are relevant, challenging and engaging  |  |
|                               | Credibility of the material                              | The extent to which the teachers and students perceive the resources as credible  |  |
| School system and environment | Time constraints   | The extent to which there is sufficient time to accommodate introducing the new material  |  |
|                               | Competing priorities                                     | The extent to which other priorities for the school, teachers or students limit introducing the resources (e.g., preparing for exams)   |  |
|                               | School organisation and management                       | The extent to which the school provides an environment that supports adoption of new subjects, resources and teaching methods   |  |
|                               | School resources, particularly human resources           | The extent to which the school has adequate resources to introduce the new resources (e.g., human resources, student/teacher ratio, teacher workload, classroom space and classroom resources, such as blackboards and acoustics) |  |
|                               | Attitudes and beliefs of head teacher and other teachers | Attitudes or beliefs of colleagues that influence the teacher's interest in and ability to teach the material   |  |
|                               | Parent and community involvement                         | Parents' attitudes towards the new resources or how things are done at the school   |  |
|                               | Regulations  | Regulations (e.g., Ministry of Education policies and regulations) that affect introducing the new material   |  |
|                               | Political environment                                    | Elements of the political environment that affect introducing the new material; for example, authoritarianism or teacher strikes  |  |
|                               | Bureaucracy  | Bureaucratic arrangements that delay or limit introduction of the new materials, or facilitate introducing them   |  |
|                               | Incentives and disincentives                             | Incentives or disincentives to introduce the new resources for teachers or head teachers  |  |
| Potential changes             |  |   |  |
| Dramatic changes              | Involve creating new IHC resources                       |   |  |
| Major changes                 | Involve changing the IHC resources drawings              |   |  |
| Minor changes                 | Involve changing the IHC resources text                  |   |  |

The 'Facilitators and barriers' section of this table has been reproduced with permission from Nsangi et al. (Table 1)34.

improving knowledge, and developing life skills which are conducive to individual and community health"<sup>37</sup>. Health education and promotion interventions in schools have proven to be beneficial for the health of the population<sup>38,39</sup>.

There are several definitions of critical thinking, as well as several strategies to teach how to think critically<sup>9,40</sup>. In 1990, a Delphi panel of experts defined this ability as a "purposeful, self-regulatory judgment which results in interpretation, analysis, evaluation, and inference, as well as explanation of the evidential, conceptual, methodological, criteriological, or contextual considerations upon which that judgment is based"<sup>41</sup>. Therefore, promoting critical thinking at schools can be not only useful in the health area<sup>22</sup>, but also in other curricular areas (e.g., Mathematics, Science, Literacy)<sup>42</sup>.

The IHC project offers several learning resources that were created accurately and explicitly, and have been assessed in a

cluster randomised trial<sup>14,22</sup>. Thus far, there are few studies that assess the effect of the learning resources when acquiring competences<sup>43</sup>. Moreover, the available studies show that the evaluated textbooks provide little learning support<sup>44–46</sup>. We must start demanding the same standards for evaluating educational interventions that are used for evaluating health interventions<sup>47</sup>.

# Study strengths and limitations

Our proposal has several strengths. Firstly, before this study, we have translated the IHC resources into Spanish. A translator, researchers, students, teachers, and medical doctors participated in the translation process and fit the text of the IHC resources to this context. Secondly, we have expanded the profile of the users of interest (researchers, teachers, paediatricians, student representatives, family representatives, education and health stakeholders, and translators) to establish the pilot study's multidisciplinary working group. Thirdly and lastly, we will pilot



Figure 2. Gantt chart of the pilot study.

an intervention in the schools that has already been shown to be effective in a cluster randomised trial in Uganda, where over 100 schools participated (100 teachers and 10,000 children).

Our proposal also has some limitations. The main limitation is using a convenience sample (small, geographically limited, and non-representative sample). However, we will provide a detailed description of our data collection and research context to help other stakeholders consider transferring our results to their settings. It is also worth noting that we will not be assessing the impact of the IHC resources in this study; due to this, we will not include a control group and we will not have a questionnaire validated to assess treatment claims for students.

### Implications for practice and research

The next contextualization activities will be: 1) content adaptation - if needed, 2) context analysis (exploring factors that can impact scaling up), and 3) validation of the CLAIM questionnaire test into Spanish for use in this context. The findings of the contextualization activities could inform the design of a cluster-randomised trial, to determine the effectiveness of the IHC resources in this context prior to scaling-up its use.

# Data availability

# Underlying data

No data are associated with this article.

# Extended data

Extended data is available at: https://figshare.com/articles/IHC\_BCNPilotStudy/12221189/1 DOI: https://doi.org/10.6084/m9.figshare.12221189.v1<sup>31</sup>.

Data are available under the terms of the Creative Commons Attribution 4.0 International license (CC-BY 4.0).

# Acknowledgements

Laura Samsó Jofra is a doctoral candidate at the Paediatrics, Obstetrics and Gynaecology and Preventive Medicine Department, Universitat Autònoma de Barcelona, Barcelona, Spain.

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We would like to thank Dr Andrew Oxman (Centre for Informed Health Choices, Norwegian Institute of Public

Health, Oslo, Norway) for his advice and feedback on an earlier version of this protocol.

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# **Open Peer Review**

# **Current Peer Review Status:**





# Version 2

Reviewer Report 02 June 2020

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# Loai Albargouni 🗓



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- <sup>2</sup> The Institute for Evidence-Based Healthcare, Faculty of Health Sciences and Medicine, Bond University, Gold Coast, Qld, Australia

Thanks for this opportunity to review this revised manuscript. The authors have revised the manuscript taking into considerations the raised comments. No further comments on this protocol.

**Competing Interests:** No competing interests were disclosed.

Reviewer Expertise: Evidence-based practice

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Reviewer Report 02 June 2020

https://doi.org/10.5256/f1000research.26302.r63343

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# Luis Gabriel Cuervo 🗓



- <sup>1</sup> Pan American Health Organization, Washington, DC, USA
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Thanks for providing a revised version with substantial improvements including enhanced details

and a Gantt Chart, the revised version is an improvement over the original.

The authors need to clarify if this protocol if for an ongoing study or the protocol they intend to implement. Once this has been clarified, the text needs to be amended accordingly. Currently, parts are written in the future tense (abstract, methods, most of the article), parts in the past tense (e.g. acknowledgments), and the implementation dates suggest that they study is way underway (2019-2020 School year, Gantt Chart). That some of the recommendations in the earlier review were not addressed also suggests that perhaps they were received after the fact.

Given the disruption COVID-19 has brought, including to the school systems in Spain, it makes sense to point out if the implementation is/was affected and how this will affect the protocol.

The paragraph entitled "Formulation of the recommendations" needs to be copy edited for clarity and grammar.

Congratulations on your protocol for this important study, I wish you success with the prompt publication of the findings, and the implementation and scaling up of the intervention in Barcelona and beyond, as appropriate.

Developing effective critical thinking skills from young ages seems increasingly important and I commend the authors for building on existing knowledge to further advance and expand its implementation. Well done!

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**Competing Interests:** The peer reviewer did this review in his personal time and he alone is responsible for the views expressed in this comment. His views do not necessarily represent the decisions or policies of the Pan American Health Organization.

**Reviewer Expertise:** Clinical Epidemiology and Biostatistics, Family Medicine, Research for Health, Policies on Research for Health, Health Systems Research, Public Health

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 09 Jun 2020

Laura Martínez García

Comment 1 - Ongoing study Response 1

We already included the state of the study in the "Study status" section; the text reads: "Figure 2 is a Gantt chart illustrating the schedule of the pilot study. Currently, we have started the intervention in schools with the teachers' workshop". We have reviewed the verb tense consistency. According to study status, we wrote the text in the future tense, except the "Introduction", "Objectives", and "Acknowledgements" sections.

# Comment 2 - COVID-19

# Response 2

The first version of the "Learning to make informed health choices: Protocol for a pilot study in schools in Barcelona" was published on 28 Nov 2019. We will describe details about adjustments to the COVID19 in the publication of the results of the pilot study.

# Comment 3 - Formulation of the recommendations Response 3

We have modified the text in the "Methods - Formulation of the recommendations" section according to the suggestion of the reviewers. The text reads: "The IHC-Barcelona Working Group will discuss the most relevant results from the qualitative analysis. They will consensus the potential changes of the IHC resources (dramatic changes, major changes, or minor changes) (Table 4). Finally, they will suggest and agree on recommendations - both for practice and research purposes - on how to use, how to adapt (if needed), and how to implement the IHC resources to this context."

**Competing Interests:** No competing interests were disclosed.

# Version 1

Reviewer Report 26 March 2020

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# Sofía Giraldo-Hoyos 🗓

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We congratulate the authors for developing this protocol for a pilot study to adapt a tested intervention with a lasting effect in education of benefit to children, teachers and families. This is especially important at a time when pseudoscience and false statements frequently confuse people. There is great potential and value in people being able to critically assess information and distinguish valid information from unreliable one. Well done.

We were pleased to review this protocol and to provide recommendations to enhance it ahead of the study.

# Is the rationale for, and objectives of, the study clearly described?

The manuscript provides a detailed description of the study previously done in Uganda<sup>1,2,3</sup> and needs to provide a similar level of detail of the protocol to be implemented in Barcelona. The rationale and objectives are described under "Contextualization of the Informed Health Choices project" yet the descriptions need to provide in-depth detail of how the pilot is to be prepared, implemented, analysed, reported and used to inform future developments. Although it is a pleasure to read the description they do of the above cited research conducted in Uganda, if length were an issue, the authors can summarize some details by citing the studies and instead offering more details from their own study.

The objectives need to explicitly state their intent to *to assess the effects of the intervention and replicability of the study in a IHC setting in a Spanish speaking country*, or *to prepare the IHC project for its scaling up in Barcelona, Spain*. This appears to be a central objective to the study. Also describe their aims regarding the scaling-up of the intervention beyond the pilot schools.

The information in the abstract needs to be matched to the key elements of the study, thus highlighting the ultimate purpose of the pilot and subsequent studies.

# Is the study design appropriate for the research question?

Please describe the target and study population in detail elaborating on the characteristics of the school districts and system in Barcelona and provide descriptive details of the target population (children, teachers, parents) disaggregating the information where possible by gender and other relevant variables (e.g. socioeconomic stratum, ethnicity, religion, etc.)<sup>4,5,6</sup>. This way it will be clear if the pilot relates to the target population and/or elicit any limitations that need to be considered in the analysis or conclusions, as well as considerations for subsequent research.

Elaborate on the criteria they will be using to select the participating schools in the convenience sample.

The sample of teachers will be small thus it is important to illustrate any efforts to determine if these are representative of the broader population of teachers, to deal with imprecision or bias arising from the small sample, and to elaborate on considerations for their subsequent implementation research. How will they control if the selected teachers are over or under performers?

We believe there are important conceptual issues to address under Ethical considerations. First, the decision to waive an ethical review falls with the Ethics Committee; apparently this was the case. However, we have some concerns with the rationale presented:

1. Describe what review process is being undertaken to ensure that their is compliance with

local laws, with the assent of children (if appropriate), and whether the informed consent process (and corresponding documents) are appropriate for each of the groups of participants so that there is an informed decision;

- 2. Elaborate on the processes to protect the privacy of participants considering that this is a small sample size making feasible that identities can be exposed;
- 3. The rationale for the exemption is flawed because this is research in human subjects. If there was a reason for conducting an expedited review (ideal) or waiving it would be this being a "less than minimal risk" or "minimal risk study with the prospect of direct benefit to individual subjects". Definitions are provided and surely there is equivalent European regulation that applies.<sup>4</sup>,<sup>5</sup>.

Clarify what the management will be if a student, teacher or parent decline to participate, considering the whole grade is participating. Will informed consent be managed at the individual or class level?

# Are sufficient details of the methods provided to allow replication by others?

There are aspects that need more detail or perhaps a visual aid, such as:

- Specify the times when measurements will be done illustrating them with a PERT Chart complementing their timetable (or GANTT). This will make the information clear and also help to determine if the evaluations will be done at an appropriate time, avoiding for example these extending into the holiday season.
- Describe how the sample was chosen for the pilot and what implications this may have in the preparation of the larger implementation study.
- Details of the specific skills sought in the IHC-Barcelona Working Group and the Advisory Group are missing. It is of relevance to know what skills and attitudes are sought in the advisory group members to perform a proper job, and how they will be assessed. What criteria will be used in selecting the researchers and experts from the institutions listed? Will education policy makers be involved from the outset (advisable) and what positions do they have in Barcelona's education system? Kindly describe what is the Escola Nova 21 initiative and how it relates to Barcelona's education structure and standards. Elaborate on the implications -including bias that can result from the selection, and how this will be controlled.
- Describe what efforts will be made to determine the replicability and adaptations needed for the study in different settings in Barcelona and beyond.
- Clarify the criteria that will be used to select the convenience sample as well the the
  justification for the sample size. Describe the process to be followed in selecting the schools
  meeting criteria 1, 2 and 3, and how these schools represent the broader education district
  of Barcelona, and the population of students. Elaborate how you will analyse
  representation.
- Provide specific dates for data collection and their place within the school calendar.

 Clarify if the selected classes will be co-ed (see note on PROGRESS) and if groups turn out to have >25 students, how will this be managed.

# Are the data sets clearly presented in a usable and accessible format?

- The data analysis section would benefit from a table with the variables that will be analysed, and the analysis strategies (e.g. summary statistics, dispersion assessment, etc.) for each variable.
- o Consider ways to minimize the variability of the assessments from teachers.
- Consider having double data capturing and whether and the thought process behind having one researcher identifying, codifying and summarizing feedback instead of having a duplicate data-capturing with a third person as tie breaker, or other methods to enhance precision and assess consistency (page 10.)
- In section "Dissemination of the results" elaborate on the engagement and appropriation by education and science authorities as a strategy to enhance implementation and sustainability in Barcelona and beyond. Elaborate on the participation (if any) of science communicators and journalists, and potential advocates for education.
- Elaborate on the inclusion and exclusion criteria under "User participation" and describe the characteristics and more detailed relevant information of the group.
- In section "Discussion" highlight any preparations to adjust to the COVID19 (and if this may provide suitable material) and if possible frame the study on relevant policies that promote critical appraisal learning and partnerships with civil society to increase the value and use of research for health. We list a couple of policy documents and surely the authors can illustrate some local and European policies that apply as well<sup>6,7</sup>

We were delighted to read this study and how it will build on the success of a previous study to facilitate the implementation of an effective intervention in a different setting. Congratulations to the authors! It is important for these new studies to uphold the methodological rigour of the original studies and we have made some recommendations towards this end. A significant part of the protocol elaborates on the earlier studies referenced and some additional enhancements and details are needed to level this protocol with previous studies. We have provided actionable recommendations and we look forward to a revised version. This study has a tremendous potential to address with a key population, the current crisis that pseudo-science, false news, and misleading claims have brought upon societies, and we believe it needs to be advanced reported and developed in collaboration with education and science and technology leaders, seeking to strengthen appropriation and uptake. We also believe that equity considerations need to be reflected in every aspect of this study, and we have made some recommendations towards this. Well done, we look forward to a revised version!

# References

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# PubMed Abstract | Publisher Full Text

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- 4. WHO: Standards and operational guidance for ethics review of health-related research with human participants. Reference Source
- 5. Electronic Code of Federal Regulations, Part 46—Protection of Human Subjects. Reference Source
- 6. WHO: The WHO strategy onresearch for health. Reference Source
- 7. Pan American Health Organisation World Health Organisation 49th Directing Council and 61st Session of the Regional Committee of WHO. Reference Source

# Is the rationale for, and objectives of, the study clearly described? Partly

# Is the study design appropriate for the research question?

Partly

# Are sufficient details of the methods provided to allow replication by others? Partly

# Are the datasets clearly presented in a useable and accessible format?

Not applicable

**Competing Interests:** Luis Gabriel Cuervo is employed by the Pan American Health Organization / World Health Organization (PAHO/WHO) as Senior Advisor on Research for Health. Sofia Giraldo Hoyos is doing a volunteer internship at PAHO/WHO; this review was done in the reviewers' personal time. Their opinions and contributions are their own and do not necessarily reflect the decisions or policies of PAHO/WHO.

We confirm that we have read this submission and believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however we have significant reservations, as outlined above.

Author Response 01 May 2020

# Laura Martínez García

# Comment 1.1 - Detailed description of the study

# Response 1.1

We assume that the details requested by the reviewers in this comment are disaggregated below. We describe our responses to each of the comments below.

# **Comment 1.2 - Objectives**

# Response 1.2

The primary objective of the pilot study is to "explore the students' and teachers' experience

when using the learning resources of the IHC project in the context of Barcelona (Spain)." To achieve this objective, we will conduct a pilot study in a convenience sample of three schools in Barcelona. Our principal results will be recommendations - both for practice and research - on how to use, how to adapt (if needed), and how to implement the IHC resources in this context.

To evaluate the effect of the intervention, we would have to conduct a cluster-randomised trial [Nsangi 2017]. We already described this limitation in the "Discussion - Study strengths and limitations" section; the text reads "It is also worth noting that we will not be assessing the impact of the IHC resources in this study; due to this, we will not include a control group and we will not have a questionnaire validated to assess treatment claims for students."

# Comment 1.3 - Scaling-up the intervention Response 1.3

We have modified the text in the "Discussion - Implications for practice and research" section according to the suggestion of the reviewers. The text reads: "The next contextualization activities will be: 1) content adaptation - if needed, 2) context analysis (exploring factors that can impact scaling up), and 3) validation of the CLAIM questionnaire test into Spanish for use in this context. The findings of the contextualization activities could inform the design of a cluster-randomised trial, to determine the effectiveness of the IHC resources in this context prior to scaling-up its use."

# **Comment 1.4 - Abstract**

# Response 1.4

We have modified the text in the "Abstract" section according to the suggestion of the reviewers. The text reads: "The findings of the contextualization activities could inform the design of a cluster-randomised trial, to determine the effectiveness of the IHC resources in this context prior to scaling-up its use.".

# Comment 2.1 - Study population Response 2.1

We have included, as extended data, the description of the intervention in the schools using TIDieR checklist. The text now reads "The intervention in the schools will include: 1) a workshop with the teachers, and 2) lessons to the students (Extended data 4 provides a description of the intervention using the TIDieR checklist) [Hoffmann 2014, Martínez García 2020].".

We will describe the study population (children, teachers, parents) and relevant related variables (e.g. gender, socioeconomic stratum, ethnicity, or religion, etc.) in the publication of the results of the pilot study.

# Comment 2.2 - Eligibility criteria of the sample Response 2.2

Relating the eligibility criteria of the sample, please see "Response 2" for Reviewer 1.

# Comment 2.3 - Generalisation and implementation considerations Response 2.3

The primary objective of the pilot study is to "explore the students' and teachers' experience when using the learning resources of the IHC project in in the context of Barcelona (Spain)." To achieve this objective, we will conduct a pilot study in a convenience sample of three schools in Barcelona. Our principal results will be recommendations - both for practice and research - on how to use, how to adapt (if needed), and how to implement the IHC resources in this context.

To consider to generalise the results, we would have to include a representative sample [Nsangi 2017]. We have included this limitation in the "Discussion - Study strengths and limitations" section; the text reads "The main limitation is using a convenience sample (small, geographically limited, and non-representative sample). However, we will provide a detailed description of our data collection and research context to help other stakeholders consider transferring our results to their settings."

To evaluate implementation considerations, we would have to conduct a context analysis [IHC 2020]. The mail objectives of a context analysis would be: 1) explore what demand there is for learning resources for teaching critical thinking about health in schools, 2) map where teaching critical thinking about health best fits in the curriculum, 3) identify and examine relevant resources already in use, and 4) explore conditions for introducing new learning resources [IHC 2020]. The development of context analysis in our setting is already considered in the "Discussion - Implications for practice and research" section, the text reads: "The next contextualization activities will be: 1) content adaptation - if needed, 2) context analysis, and 3) validation of the CLAIM questionnaire test into Spanish for use in this context. Finally, a cluster randomised trial with the adapted IHC resources could be conducted to evaluate their effect on the students' ability to assess treatment claims in this context."

# Comment 2.4- Ethical considerations Response 2.4

We have modified the text in the "Ethical considerations" section according to the suggestion of the reviewers. The text now reads: "The study protocol has obtained an approval exemption (does not include patients, biological specimens or clinical data) from the Ethics Committee of the Hospital de la Santa Creu i Sant Pau (Barcelona, Spain) [CEIm 2020]. We will inform participants about the pilot study and will request their written informed consent (Extended data 1-3) [Martínez García 2020]. If a family does not want to participate, the student will participate in the lessons as a curricular activity but will not participate in any of the study data collection activities. We will anonymise the data removing participant and school name."

# Comment 3.1 - Gantt chart Response 3.1

We have modified the text and Figure 2 according to the suggestion of the reviewers. The text now reads "Figure 2 is a Gantt chart illustrating the schedule of the pilot study."

# Comment 3.2 - Eligibility criteria of the sample Response 3.2

Relating the eligibility criteria of the sample, please see "Response 2" for Reviewer 1.

Relating the implementation considerations, please see "Response 2.3" above.

# Comment 3.3 - IHC-Barcelona Working Group

# Response 3.3

To establish the IHC-Barcelona Working Group, we only considered profession criteria (researchers, teachers, paediatricians, education and health stakeholders, and translators) or family-related criteria (student and family representatives). We will describe detail about IHC-Barcelona Working Group in the publication of the results of the pilot study.

# Comment 3.4 - Escola Nova 2

# Response 3.4

Relating the eligibility criteria of the sample, please see "Response 2" for Reviewer 1.

# Comment 3.5 - Contextualising IHC resources Response 3.5

The primary objective of the pilot study is to "explore the students' and teachers' experience when using the learning resources of the IHC project in the context of Barcelona (Spain)." To achieve this objective, we will conduct a pilot study in a convenience sample of three schools in Barcelona. Our principal results will be recommendations - both for practice and research - on how to use, how to adapt (if needed), and how to implement the IHC resources in this context.

The IHC project team has developed several guidelines for contextualising IHC resources [IHC 2019a, IHC 2019b, IHC 2017a, IHC 2017b]. Different working groups from more than 20 countries are adapting, or planning adaptation, of the IHC resources to their context [IHC Newsletter 2019, IHC Newsletter 2020].

# Comment 3.6 - Sample and eligibility criteria

# Response 3.6

Relating the sample and eligibility criteria, please see "Response 2" for Reviewer 1.

# Comment 3.7 - Gantt chart

# Response 3.7

The schedule of the pilot study is illustrated in Figure 2. We will describe detail about data collection in the publication of the results of the pilot study.

# **Comment 3.8 - Study population**

# **Response 3.8**

We will describe detail about co-educational classes or the number of students in the publication of the results of the pilot study.

# **Comment 4.1 - Study variables**

# Response 4.1

The variables of the pilot study are already listed in "Table 3. Pilot study variables". The reviewers' proposal "to include a data analysis plan" [Simpson 2015] is not a request of the F1000Research journal [https://f1000research.com/for-authors/article-guidelines/study-protocols].

# Comment 4.2 - Variability of the assessments Response 4.2

We will use ad hoc questionnaires and guides to collect the data to minimize the variability of the assessments. We included questionnaires and guides as extended data, available at: https://figshare.com/articles/IHC\_BCNPilotStudy/12221189/1.

# **Comment 4.3 - Data collection**

# Response 4.3

We have included a review process to improve the precision of the data that we will collect from "Non-participatory observations during the lessons" and "Semi-structured interviews with the students after a lesson". The included text reads: "Another researcher will check the notes with the recorded audios. The two researchers will resolve potential disagreements by discussion, and if necessary, by consulting a third researcher."

# Comment 4.4 - Dissemination of the results Response 4.4

We already included education and science authorities in the "Dissemination of the results" section; the text reads: "The dissemination activities of the pilot study results will include: 1) publication in a peer-reviewed journal, 2) publication in several Internet resources (for example, related web pages, electronic bulletins and social media), and 3) introduction to the different users of interest (researchers, teachers, paediatricians, student representatives, family representatives, education and health stakeholders, and translators) in conferences, workshops and meetings."

Relating the implementation considerations, please see "Response 2.3" above.

# Comment 4.5 - IHC-Barcelona Working Group Response 4.5

Relating the description of IHC-Barcelona Working Group, please see "Response 3.3" above.

# Comment 4.6 - COVID19

# Response 4.6

The first version of the "Learning to make informed health choices: Protocol for a pilot study in schools in Barcelona" was published on 28 Nov 2019 [Martínez García 2019]. We will describe detail about preparations to adjust to the COVID19 in the publication of the results of the pilot study.

# References

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**Competing Interests:** No competing interests were disclosed.

Reviewer Report 10 February 2020

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Thanks for inviting me to review this protocol. The author proposes a pilot study to evaluate the IHC learning resources in 3 primary schools in Barcelona. the protocol is well -designed and - written. Few comments below:

# Introduction

 I would cite a few references to support each of the 5 arguments for starting the IHC project in primary school.

# Methods

- I would justify the sampling methods & eligibility criteria of the schools and describe if these
   3 schools are representatives to the average school in Barcelona (e.g. a number of students).
- I would consider TIDier Checklist to better describe intervention details.
- I would describe the time points for each of the outcome measures.
- Data analysis 'qualitative' variables should be replaced by 'categorical' and 'quantitative' variables by 'continuous' Both quantitative measures.
- Authors should describe whether the resources have been translated and validated into Spanish.

Is the rationale for, and objectives of, the study clearly described?

Yes

Is the study design appropriate for the research question?

Yes

Are sufficient details of the methods provided to allow replication by others? Partly

Are the datasets clearly presented in a useable and accessible format?

Not applicable

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Evidence-based practice

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 01 May 2020

# Laura Martínez García

# Comment 1 - References in the introduction

# Response 1

We have included relevant references to support the reasons for starting the IHC project in primary school children. The text now reads: "There are several reasons the IHC project started with primary school children: 1) children can learn about fair comparisons (controlled research) and critical appraisal (in some countries, teaching these basic capabilities is already part of the curriculum) [Oxman 2020, START 2020]; 2) primary school interventions can reach a large population group, before many of them leave school [UNESCO 2019]; 3) compared to adults, children have more time to learn and show less resistance to change with regard to their beliefs, attitudes or behaviours [Vosniadou 2013]; 4) teaching children to think critically improves their academic performance [Oxman 2019]; and 5) learning how to think critically about claims about treatment effects can help them, once they become adults, to make decisions about their health and to contribute, as citizens or as health decision-makers, to develop and implement health policies [Nsangi 2017]."

# Comment 2 - Sample, eligibility criteria, and description of the schools

# Response 2

**Sample** We have included a relevant reference to justify the sampling. The text now reads: "To achieve the objective, we will select a convenience sample of three schools in Barcelona [Etikan 2016]." On the other hand, we already highlight this limitation in the "Discussion - Study strengths and limitations" section, the text reads: "The main limitation is using a convenience sample (small, geographically limited, and non-representative sample)."

Eligibility criteria We have clarified that the IHC-Barcelona Working Group made a consensus to establish the eligibility criteria of the sample. The text now reads: "The IHC-Barcelona Working Group reach a consensus on eligibility criteria of the schools: 1) schools included in the school directory from the Department of Education from the Government of Catalonia (2018-2019); 2) schools that have participated in a health promotion programme (2016-2017) [Salvador 2018]; and 3) schools that take part in the initiative Escola Nova 21 (alliance of schools and civil society institutions for an advanced education system, carried out between 2016 - 2019, and responding to United Nations and UNESCO's call for the participation of all sectors in an inclusive process to make possible the education paradigm shift). We will also take into consideration whether the schools include students that are representative of the neighbourhood, if they are in different neighbourhoods of the city,

and their type of funding (two public schools and one private or charter school).

**Description of the schools** We will describe the included schools and discuss their representativeness in the publication of the results of the pilot study.

# Comment 3 - TIDieR Checklist

# Response 3

We have included, as extended data, the description of the intervention in the schools using TIDieR checklist. The text now reads "The intervention in the schools will include: 1) a workshop with the teachers, and 2) lessons to the students (Extended data 4 provides a description of the intervention using the TIDieR checklist) [Hoffmann 2014, Martínez García 2020]. Each of the activities is summarised below:"

Also, we have combined all extended data in a single document to facilitate its availability to readers. The text in the "Data availability" section now reads: "Extended data is available at: https://figshare.com/articles/IHC\_BCNPilotStudy/12167622 [Martínez García 2020]." We have modified the reference according "Martínez García L. IHC@BCNPilotStudy [Internet]. figshare; 2020. Available at: https://figshare.com/articles/IHC\_BCNPilotStudy/12221189/1 [Accessed April 30, 2020]."

# **Comment 4 - Time points of outcomes measures**

# Response 4

We have clarified the time points for data collection throughout the manuscript. The activities now read:

- 1. Assessment of the IHC resources by the teachers <u>before the lessons</u>
- 2. Non-participatory observations during the lessons
- 3. Semi-structured interviews with the students after a lesson
- 4. Assessment of the lessons by the teachers after a lesson
- 5. Treatment claim assessment by the students at the end of the lessons
- 6. Assessment of the IHC resources by the teachers at the end of the lessons

# Comment 5 - Data analysis

# Response 5

We have amended the text, now it reads: "We will perform a descriptive analysis of the categorical variables (absolute and relative frequencies), and the continuous variables (mean and standard deviation or median and range)."

# Comment 6 - Translation and validation into Spanish of IHC resources

# Response 6

We have included a relevant reference to support the method used to translate into Spanish the IHC resources. The text now reads "The working group from the Sant Pau Biomedical Research Institute (IIB Sant Pau) - Iberoamerican Cochrane Centre (CCIb) has translated the

IHC resources into Spanish based on methods proposed by the IHC Working Group [IHC 2019]."

On the other hand, we already highlight the strengths and limitations of translation process in the "Discussion - Study strengths and limitations" section, the text reads:

- Strength: "Firstly, before this study, we have translated the IHC resources into Spanish. A translator, researchers, students, teachers, and medical doctors participated in the translation process and fit the text of the IHC resources to this context."
- Limitation: "It is also worth noting that we will not be assessing the impact of the IHC resources in this study; due to this, we will not include a control group and we will not have a questionnaire validated to assess treatment claims for students."

# References

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