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Comparison of organic substrates in urban rooftop agriculture, towards improving crop production resilience to water stress in Mediterranean cities

Short running title: Comparison of organic substrates in urban rooftop agriculture resilience to water stress

Felipe Parada^a, Mireia Ercilla-Montserrat^a, Verónica Arcas-Pilz^a, Elisa Lopez-Capel^c, Núria Carazo^d, Juan Ignacio Montero, Xavier Gabarrell^{ab}, Gara Villalba^{ab}, Joan Rieradevall^a, Pere Muñoz^{ab*}.

^a Sostenipra Research Group 3.0 2017SGR 1683, Institute of Environmental Sciences and Technology (MDM-2015-0552), Z Building, Autonomous University of Barcelona (UAB), Campus UAB, 08193 Bellaterra, Barcelona, Spain.

^b Department of Chemical Engineering, Biological and Environmental, School of Engineering, Building Q, Universitat Autònoma de Barcelona (UAB), 08193 Bellaterra, Barcelona, Spain.

^c School of Natural and Environmental, Agriculture Building, Newcastle University, Newcastle upon Tyne, NE1 7RU, UK.

^d Department of Agri Food Engineering and Biotechnology (DEAB), Polytechnical University of Catalonia (UPC), Campus del Baix Llobregat, Castelldefels, Spain.

Corresponding author: Pere Muñoz (Pedro.Munoz@uab.cat).

Abstract

BACKGROUND: Urban agriculture contributes to meet food production demand in cities. In a context of low water availability, it is important to consider alternatives that are able to maintain production. This study aimed to assess the use of substrates made from local materials and high water retention capacity as an alternative for urban agriculture in periods with water stress. Different substrates were used for 3 consecutive crop cycles of lettuce (*Lactuca sativa* L.) during the spring and summer periods of 2018 to observe these substrates performance during warmer periods of the year in an integrated rooftop greenhouse near Barcelona. The substrates used were coir commercial organic substrate, vegetable Compost from urban organic waste, Perlite (as control) commercial standard substrate, and a Mixture of the urban Compost and Perlite (1:1). Substrate crop performance was assessed under conventionally irrigation (0-5 cbar) and water restricted conditions (irrigation stop until the water tension inside the perlite bags reached -20 cbar). **RESULTS:** The results demonstrate that the Compost and Mix yields were similar to those obtained from Perlite (11.5% y 3.7% of more production in a restricted water condition average values). Compared to the Perlite, the organic substrates increased the crops resilience to water restriction, through biomass accumulation comparison, it took longer for Coir to lose water (1 and 2 test); however, when dryness began, it occurred very quickly. **CONCLUSION:** The vegetable Compost and the substrate Mixture presented tolerance to water restriction when water restriction reached -20 cbar.

Keywords: Circular economy, sustainable cities, soilless system, water stress resilience, water restriction, urban Agriculture.

1. Introduction

Currently, the increase in population within cities has created a concern due to an increased demand for resources such as energy, water, and food. This situation is exacerbated by the advance of climate change, causing persistent droughts, one of the biggest problems to be addressed in agriculture due to the high water demand for food production¹.

Urban agriculture (UA) is an alternative that originated to satisfy the higher demand, contributing to food production's sustainability by reducing different production chain

54 elements, such as energy in distribution and used packaging ². UA can be carried out at
55 different levels: Rooftop Agriculture (RA) (3) on the ground and on building rooftops.
56 The advantage of rooftop greenhouses is access to unutilized spaces, increasing current
57 local food production, and reducing the environmental load associated with food
58 production and the buildings that sustain it ⁴. The evaluation of unoccupied roof spaces
59 for rooftop greenhouse (RTGs) found that these are usually small and well-ventilated and
60 have a very low relative humidity. This leads to a condition with high water consumption
61 by plants and, therefore, a propensity for crops to suffer hydric stress ⁵.

62
63 Barcelona is an example of a Mediterranean city area, where droughts have been repeated
64 cyclically for the past two decades. This situation has led to creating a management plan
65 that aims to prioritize water uses in cities, especially during emergencies. UA is
66 considered as a green space amenity activity rather than an agricultural activity in Spain,
67 hampered by the legal restrictions applied to these areas. As an example, tap water
68 irrigation of private gardens and city parks was forbidden during water shortages in 2008.
69 This highlights the importance to develop alternatives to alleviate drought conditions of
70 urban crop systems and maintain the food production. There is a need to study strategies
71 and technologies that allow the development of crops in water-limiting conditions, such
72 as irrigation optimization, reuse of leachates, and soilless culture systems (SCSs). SCSs,
73 is frequently used to establish crops in an artificial medium to produce food under
74 different growing conditions ⁶. A variety of organic and inorganic substrates could be
75 suitable for crop production under restricted water availability.

76
77 One of the most used substrates is Perlite, an inorganic grow media characterized by its
78 capacity for aeration, drainage, and optimum water retention. However, a high amount of
79 energy is required for its production and transportation. Organic alternative substrates
80 widely use include Coir and Compost ⁷. These present desirable substrate characteristics,
81 such as high water holding, cation exchange, that are comparable to Perlite). Coir is an
82 agricultural waste and, therefore, a renewable resource. However, it must be noted that
83 Coir is a material from a tropical crop produced in minimal geographical areas far from
84 maximum horticultural use and present the same Perlite problem on transportation.
85 Compost is an alternative to Coir ⁸, since it is possible to obtain it locally, avoiding
86 transportation. Compost is produced from local organic waste, which is highly available
87 at city levels. This is nutrient rich, therefore reducing the need of use of nutrient solution.
88 Its use as a substrate contributes to the recovery of organic waste resources and reduction
89 of dependency on nonrenewable substrates, such as Perlite. The recent increased interest
90 in urban agricultural activities highlights the timely need to investigate low environmental
91 impact substrates. Alternative urban organic substrates growing need to easy to manage,
92 have a low environmental impact, show high moisture retention, and have nutrients that
93 are readily available for crops.

94
95 Organic substrates have been widely studied for their use in the horticultural industry ⁹–
96 ¹¹ but not in the UA circular economy context. There is an urge in both horticultural
97 industry and gardening (including UA) to study organic materials derived from
98 agricultural, industrial, and municipal waste streams. The disposal of such organic (also
99 referred to as biodegradable) waste materials is an environmental problem ¹², and their
100 reuse as substrates might provide a suitable solution ¹³. Compost from municipal organic
101 waste would specifically target reduction of urban organic waste to landfill and reuse,
102 towards a short-chain circular economy and contributing to sustainable development goal
103 (SDG) 11 (sustainable cities and communities) and SDG 2 (sustainable food production)

104 ¹⁴ Considering a future scenario of low water availability, which can be addressed from
105 the use of organic substrates, the need arises to study the behavior of these substrates
106 under more restrictive conditions, in such a way, to generate strategies that allow
107 maintaining food production in situations where the use of water is restricted in urban
108 communities.

109
110 We hypothesize the use of Compost as an alternative growing media to Perlite and Coir,
111 in terms of comparable crop production and lower environmental impacts. A better
112 understanding of the use of urban and agricultural residues as substrates for urban crop
113 production will contribute towards meeting SGDs 2 and 11 and urban circular economy
114 approach. The objectives are to determine the agronomic feasibility of using alternative
115 substrates for Perlite in an RTG in the context of UA and characterize the behavior of a
116 green leaf crop as an indicator of the substrates' crop production performance under
117 conventional and restricted irrigation conditions

118 119 **2. Materials and methods**

120 **2.1. Study site**

121 The experiments were conducted in the rooftop greenhouse laboratory (i-RTG Lab), a
122 cropping system representing other UA projects developed ¹⁵. It is located in the
123 Environmental Science and Technology (ICTA-UAB) building on the campus of the
124 Universitat Autònoma de Barcelona. Protected cultivation is performed under a steel and
125 polycarbonate greenhouse structure. The weather conditions in the i-RTG Lab were
126 passively controlled.

127 128 **2.2. Substrate characteristics**

129 The present study focused on three growing media substrates. These consist of: Perlite as
130 control substrate, Coir, Compost from municipal vegetal wastes, and a (1:1) Mixture of
131 the vegetal Compost and Perlite. Growing media physical and chemical properties as
132 shown in Table 1.

133 134 **2.3. Experimental design**

135 The experiment consisted of monitoring substrates performance during 3 crop growing
136 cycles between spring and summer of 2018 in order to include warmer seasonal periods.
137 Internal and external meteorological conditions of the i-RTG were recorded (Datalogger
138 model CR3000; Campbell Scientific Inc., USA), a summary of the information in Table
139 2.

140
141 The 3 crop cycles are considered independent (Table 3). The 3 substrates selected were
142 tested under conventional irrigation (supplying all water requirements) and water
143 restricted conditions (cut the irrigation to reach -20 cbar) in triplicates during each three
144 crop cycle, except Perlite, which was tested in duplicates: two under conventional
145 conditions and the other water restricted conditions.

146
147 A 70 m² study area within the 125 m² i-RTG facility was used. Growing bags'
148 dimensions were 0.4 m x 1.0 m, and they had a volume of 40 L. Each plant was planted at
149 distances of 0.2 m x 0.4 m resulting in 4 plants per growing media bag, three bags per
150 rows, and 0.5 meter distance between rows, as shown in Figure 1. Oak leaf lettuces
151 (*Lactuca sativa* L. var. Intybacea) seedlings were planted in the 4 substrates. Crop growth
152 was monitored and growing conditions controlled, following conventional agronomic

153 guidelines for lettuce production). During the growing periods, diseases and deficiencies
154 detected in the crops were also monitored.

156 **2.4. Irrigation management**

157 The Nutrition solution was provided to the lettuces via a drip fertigation system. The
158 nutrient solution contained: HNO_3 63 $\text{mg}\cdot\text{L}^{-1}$, KPO_4H_2 136 $\text{mg}\cdot\text{L}^{-1}$, KNO_3 101 $\text{mg}\cdot\text{L}^{-1}$,
159 K_2SO_4 174 $\text{mg}\cdot\text{L}^{-1}$, $\text{Ca}(\text{NO}_3)_2$ 164 $\text{mg}\cdot\text{L}^{-1}$, CaCl_2 111 $\text{mg}\cdot\text{L}^{-1}$, $\text{Mg}(\text{NO}_3)_2$ 148.3 $\text{mg}\cdot\text{L}^{-1}$,
160 and microelements 0.1 $\text{mg}\cdot\text{L}^{-1}$.) Irrigation volumes were adapted and optimized to the
161 needs of lettuces grown in the control media (perlite substrate). They ranged between 0.3-
162 0.45 $\text{L}\cdot\text{day}^{-1}\cdot\text{plant}^{-1}$.

163 Induced water restriction took place 20 days after transplanting to make a late temporary
164 drought when the plants were fully developing and required a higher water and nutrient
165 supply following the methodology proposed Kerbirou et al. ¹⁶. This restriction was
166 applied by completely stopping irrigation until the perlite bags reached -20 cbar. At this
167 time, irrigation was reestablished for all the water restricted rows. Water tension in the
168 growing media was determined with an analog 12 cm tensiometer (irrometer® MLT)
169 through the hydric potential variation, with a range of 0 to -40 cbar. Also, in the third crop
170 cycle, a second hydric restriction was performed. This second restriction consisted of the
171 same irrigation stoppage, but it was only maintained until the tensiometers in the control
172 substrate perlite reached -10 cbar.

174 **2.5. Crop system monitoring conditions; irrigation data collection and substrate 175 physicochemical analysis**

176 To the water flow characterization, a daily sampling was performed in each repetition of
177 the tests, and the amount of irrigated water, the leachates drained, and its conductivity
178 was measured (Table 4). The characterization of the substrate was made by the bulk
179 density test (at the start and end of the completely essay), this was performed for each
180 growth medium by the ring method (USDA, 1999); indicating the amount of water, the
181 porosity and the bulk density. It was also shown the differential of the water content in
182 each substrate between the conventional irrigation treatment and the restriction irrigation
183 treatment (θ %W- θ %S).

185 **2.6. Crop sampling**

186 Five crop samples were taken randomly from different repetitions of each treatment. At
187 the end of the test, when the crop was harvested, the final yield was determined (g of the
188 commercial part of lettuce, Table 5). For the commercial weight, 5 plants in each crop
189 line were selected randomly, while for the water content determination, 3 plants were also
190 selected randomly in each line.

192 **2.7. Statistical analysis**

193 The crop measurements were expressed using average values and standard deviations.
194 “R” version 3.1.2 software ¹⁷ was used to determine significant differences between the
195 different substrates and the effect of water restriction. The significance was tested using
196 a one-way analysis of variance (ANOVA). Before the statistical analysis, the assumptions
197 of ANOVA were checked by a Shapiro-Wilk and Levene. Multiple comparisons of the
198 means were determined by a post hoc Duncan test. When the data were not normally
199 distributed, a Kruskal-Wallis test was used.

200

3. Results and Discussion

The commercial production and the crop development were analyzed, and a difference was detected between the lettuces in the different substrates by comparing the first tests to the second test. Within the third test it was possible to appreciate a lower variability between the yields of plants irrigated conventionally and with water restriction. In addition, a trend towards a reduction in yield, regarding on the applied water restriction (-10 and -20 cbar).

3.1. Substrate characteristics

At the end of the three consecutive experiments, the bulk density (BD) for each growing medium was determined and is shown in Table 4. This information allows us to describe each substrate's porosity system behavior under conventional irrigation and water restriction. The Coir presented an 81.76% water content, the Perlite showed a 14.43% water content, and the vegetable Compost and substrate Mixture showed 31.62% and 25.29% water content, respectively. The Coir showed the lowest value for the BD, with $0.09 \text{ g}\cdot\text{cm}^{-3}$, followed by Perlite, Mix, and Compost, the latter with $0.23 \text{ g}\cdot\text{cm}^{-3}$ (Table 4).

Perlite: In this study, it was not possible to see final compaction of this growing media, which was possible in all the other substrates. The leachates' conductivity in the conventional irrigation ranged between $0.86 \text{ mS}\cdot\text{cm}^{-1}$ and $1.90 \text{ mS}\cdot\text{cm}^{-1}$ depending on the percent drainage or the water consumption plants.

Coir: The amount of water at the end of the assay for the conventional irrigation Coir was 71.17% (Table 4). Compared to the conventionally irrigated Perlite (57.00%), there was a 14% higher WC in the Coir. Additionally, the Coir showed the smallest BD of all the growing media used in this assay, with $0.1 \text{ g}\cdot\text{cm}^{-3}$. In other word present a very low weight and high water retention, characteristic desirable in a substrate. The Coir's conductivity was constant throughout the study, ranging between $1.59 \text{ mS}\cdot\text{cm}^{-1}$ and $1.70 \text{ mS}\cdot\text{cm}^{-1}$.

Vegetable Compost: The conductivity on the first day of the first test was $3.80 \text{ mS}\cdot\text{cm}^{-1}$, which decreased over time, down to $3.40 \text{ mS}\cdot\text{cm}^{-1}$ and $2.10 \text{ mS}\cdot\text{cm}^{-1}$ at the beginning of the two other tests. At the end of the study, the Compost leachates had a conductivity of $1.90 \text{ mS}\cdot\text{cm}^{-1}$.

Mixture: The substrate Mixture indicated values ranging between Compost and Perlite, for the EC's leachates ($2.27 \text{ mS}\cdot\text{cm}^{-1}$) and the BD ($0.17 \text{ g}\cdot\text{cm}^{-3}$), indicated in Table 4. During the experiment, the leachate's EC had the same decreasing tendency reported in the Compost substrate. Besides, to understand the behavior over time, the final water content (WC) was evaluated together with the measures obtained daily with the tensiometers placed in each substrate.

Effect of water restriction on the substrates

The Coir showed a 32% higher water content (θ % conventional irrigation treatment - θ % restricted water treatment); in this sense, the Mixture showed poor performance, at 18%. The vegetable Compost and Perlite had a performance of approximately 25% and 22%, respectively.

Due to each growing media's different hydric curves, the point of restriction was not the same for all of them (the minimum hydric potential reached in each substrate was different) because the period of no irrigation was the same in all the substrates (Figure 2).

251 For example, during the first test, when the Perlite presented 19 cbar, the Coir and
252 Compost presented -23 and 4, respectively. The restriction period was different
253 throughout the three tests (Table 3) due to the temperature increase during the study, with
254 each test showing higher temperatures than the previous test. This induced the same water
255 stress levels in less time.

256

257 **Perlite:** Focusing on the tensiometers, the perlite water holding capacity (WHC)
258 remained constant through the 3 tests, with a progressive release of water content over
259 time. When water restriction was induced, the percent drainage variation occurred in
260 hours compared to the other substrates, which took approximately 2 days. After the
261 restriction period, the EC was very high at $3.45 \text{ mS}\cdot\text{cm}^{-1}$, $2.95 \text{ mS}\cdot\text{cm}^{-1}$, and $2.52 \text{ mS}\cdot\text{cm}^{-1}$
262 for each consecutive test, indicating a higher concentration of salts in the bag. Moreover,
263 it was detected that the major differences in the leachate electrical conductivities of the
264 conventional irrigated and restricted perlite bags were related to the duration of the
265 restriction periods and not just to the hydric tension of the substrate. As previously
266 explained, the temperatures increased throughout the second and third tests, reaching the
267 limiting - 20 cbar in shorter periods. Lower EC values in the second test (9 days without
268 irrigation) and the third test (7 days without irrigation) compared to the first test (14 days
269 without irrigation) once irrigation was restored.

270

271 **Coir:** In tests 1 and 2, the Coir showed a slow response to water restriction, but when the
272 matrix potential ranged between 5 and 8 cbar, it decreased rapidly. The results obtained
273 using the water retention curve, a high percent available water (27.60%), especially easily
274 available water (23.06%). Its water loss was more progressive than the Perlite since
275 Perlite has 19.49% available water and 8.25% easily available water. Moreover, the stress
276 response measure decreased in the last test with the same hydric demand, and the growing
277 media presented less tension in the pore system. It can explain by the collapse of coarse
278 porosity through the different processes of irrigation and drought in the essay, creating a
279 more complex porosity with a normalized pore distribution, which would explain its
280 behavior during test number 3. The treatment with restricted water present a constant EC
281 throughout the study, and no differences were detected in the conventionally irrigated
282 substrates.

283

284 **Vegetable Compost:** Through monitoring with tensiometers, the Compost showed a low
285 response to hydric potential in tests 1 and 2 (4 and 17.5 cbar). In the last test, the Compost
286 had similar behavior to Perlite in both water restrict treatments (-10/- 20 cbar, with 15
287 and 25 cbar for Compost and 11 and 20 cbar for Perlite, Figure 2). Compost presented a
288 similar available water content (20.67%) as Perlite, but the percent easily available water
289 was higher (17.23%). The restricted Compost's final water content was similar to that of
290 Perlite and the water content when the Compost was conventionally irrigated. The
291 increase of BD (0.23 to $0.3 \text{ g}\cdot\text{cm}^{-3}$) can be explained by the general irrigation management
292 of the essay was adjusted to the perlite demands. This could have meant a higher irrigation
293 input during tests 1 and 2, which could have favored particles' arrangement, and for
294 concomitance, the increment of the bulk density. Moreover, when irrigation was stopped,
295 no leachates were detected, and after the water restriction period, the EC was the highest
296 among the substrates.

297 Specifically, in the first test, the leachates were detected 6 days after irrigation was
298 reestablished, and the conductivity was $4.70 \text{ mS}\cdot\text{cm}^{-1}$. For the other two tests, the
299 leachates were recovered after two days, and the conductivity was $3.70 \text{ mS}\cdot\text{cm}^{-1}$ and 2.20

300 $\text{mS}\cdot\text{cm}^{-1}$. Nevertheless, this finding highlights that at the end of each test, the leachates'
301 EC was the same in the restricted crops as in the conventionally irrigated crops.

302
303 **Mixture:** For hydric potential, the Mixture showed an intermediate performance between
304 the Compost and the Perlite in the water restricted treatment during the first test, while
305 during the second and third tests, it showed a high response to hydric potential, with a
306 lower value (27 cbar) compared to the control (Perlite with 20 cbar) (Figure 2). This is
307 consistent with results obtained in the water retention curve as the percent available water
308 and the easily available water ranged between the values obtained in the Compost and the
309 Perlite (19.20% and 11.79%, respectively). This could be explained by the Mix having a
310 poor water content performance. The conventionally irrigated and restricted Mixture
311 substrates had the lowest WC values (31% and 49%, respectively, compared to the Perlite,
312 at 34% and 51%, respectively), confirming the relationship of low water content and low
313 hydric potential (a lower value more strongly strengthens the stress due to the fact that
314 the hydric potential is tension). The BD remained constant over time, being unaffected
315 by the irrigation treatment, and showed an average value of $0.17 \text{ g}\cdot\text{cm}^{-3}$; the BDs of the
316 Compost and Perlite at the start of the essay were 0.23 and $0.11 \text{ g}\cdot\text{cm}^{-3}$, respectively. In
317 the end, the Compost showed slight compaction ($0.29 \text{ g}\cdot\text{cm}^{-3}$), but this was not the case
318 in the Mixture. The EC was similar, but its behavior was closer to that of the Perlite than
319 the Compost. The Mixture had the same pattern as the Compost in the electric
320 conductivity, but the conductivity was approximately the average between the EC in the
321 Compost and the Perlite. For example, at the beginning of the three tests, the EC was 2.50
322 $\text{mS}\cdot\text{cm}^{-1}$ (test 1), $3.30 \text{ mS}\cdot\text{cm}^{-1}$ (test 2), and $1.80 \text{ mS}\cdot\text{cm}^{-1}$ (test 3). The highest values after
323 the restricted period were $2.87 \text{ mS}\cdot\text{cm}^{-1}$ (test 1), $2.36 \text{ mS}\cdot\text{cm}^{-1}$ (test 2) and $2.20 \text{ mS}\cdot\text{cm}^{-1}$
324 (test 3). Nevertheless, as shown, the differences between the conventionally irrigated
325 treatment and the restricted treatment are smaller than those of the Compost.

326 327 **3.2. Crop production**

328 The crop yields ranged from 245.7 to $490.0 \text{ g}\cdot\text{plant}^{-1}$, and some differences were detected
329 due to the substrates, the effect of water restriction, and the meteorological conditions.
330 Studies have shown that the water content of a media has a direct influence on the fresh
331 weight gain by lettuce plants¹⁸. The main result is that in all three alternative substrates
332 studied, commercial productions were obtained; therefore, they could be used in UA. As
333 expected, when the crops suffered under a water restriction period, production decreased,
334 but the magnitude of these losses was different among the substrates.

335 336 **Conventional irrigation**

337 During the first test (April), when the crops were irrigated appropriately, no significant
338 differences ($p<0.05$) in the yield were observed among the substrates. The yield obtained
339 ranged between 422.7 and $445.7 \text{ g}\cdot\text{plant}^{-1}$. Comparing tests 1 and 2, different results were
340 obtained. In test 2, when appropriated irrigation was applied, the crops grown on the
341 Mixture and coir substrates obtained statistically the same production as the control
342 (which is the substrate with the highest production: $490.0 \text{ g}\cdot\text{plant}^{-1}$), and the Compost
343 presented the lowest production ($423.9 \text{ g}\cdot\text{plant}^{-1}$, 14% less weight). In 3 test, the best
344 results in the conventional irrigated crops were obtained with Compost ($408.7 \text{ g}\cdot\text{plant}^{-1}$)
345 and Mixture ($418.4 \text{ g}\cdot\text{plant}^{-1}$). Compared to the substrate with the highest obtained weight
346 (Mixture), the Coir presented the lowest production ($370.1 \text{ g}\cdot\text{plant}^{-1}$), -11.5% less. The
347 behavior of the Compost was notably different from those of the other substrates. The
348 lettuce grown in the Compost presented successive decreasing weights with the three
349 consecutive tests (Table 5). This difference could be due to the fact that in the first test,

350 the vegetable Compost was new and could provide a large quantity of nutrients to the
351 lettuce. However, in May, a nutrient depletion was detected by measuring the leachates'
352 electric conductivity, as noted in the previous section. The Compost leachates' EC ranged
353 between 4.77 and 3.60 mS·cm⁻¹ in April, between 3.70 and 2.47 mS·cm⁻¹ in May, and
354 between 2.8 mS·cm⁻¹ and 2.2 mS·cm⁻¹ in July. Furthermore, a compaction of the substrate
355 was detected, which could be a further reason for the production decrease ¹⁹.

356 357 **Water stress effect on the yield**

358 Some differences were detected when the crops were under water restriction. Compared
359 to the control, the Mixture, and the coir substrates in the first test, the plants grown in the
360 Compost reached higher weights (322.6 g·plant⁻¹). These results agree with Mastouri et
361 al.¹⁹, who detected that the growth of lettuce increased as the contribution of either type
362 of Compost in the growing media increased, where the production in the soil obtained in
363 that study was 282.2 g·plant⁻¹, and the highest production using a Mixture of tree bark
364 Compost was 308.9 g·plant⁻¹. These results demonstrate that vegetable Compost from
365 urban green waste is a competitive agronomic option for use in UA. Thus, the Compost
366 was able to provide some buffering capacity to the temporary drought. The Coir did not
367 reduce stress in the lettuce as much as expected based on the material's high water-
368 retention capacity. Previous studies have suggested that the yield decrease could have
369 been due to excessive osmotic stress from the combined effects of the drought and the
370 high salinity of the media, which would not have been reflected in the tensiometer
371 readings, as these only report matric potential (not osmotic) ²⁰. As previously shown, the
372 leachates' EC did not change after the water restriction periods, which could cause a
373 concentration of salts in the substrate.

374
375 In the second test, in all the treatments, compared to the conventional irrigated crops, the
376 water restricted crops' production decreased and was statistically the same between
377 treatments. In this case, the Compost results were worse than expected. First, the lettuce
378 presented the same weight as the other substrates, and the benefits detected in the previous
379 test were not detected here. Second, because the other three restricted substrates presented
380 an increase in production compared to the first test (25-30%), Compost's production was
381 similar to that in the first test (320 g).

382
383 Compared to the previous tests, during the third test, the higher temperatures induced a
384 more rapid appearance of water stress (Figure 2). Whether the water restriction reached -
385 10 cbar or -20 cbar, the lowest production was obtained in the perlite bags. When the
386 restriction reached -20 cbar, the Mixture and the Compost substrates presented the best
387 results (295.2 and 284.9 g·plant⁻¹, respectively). Nevertheless, when the restriction did not
388 exceed -10 cbar, the crops grown in the Coir, and the Mixture reached the highest
389 production values (358.9 and 350.3 g·plant⁻¹). These results could have been perceived
390 when analyzing the water loss curves of the different substrates. As shown in the previous
391 section, in the first test, the Coir took a long time to lose water; however, when dryness
392 begins, water loss occurs very quickly and can damage crop production.

393 394 **3.3. Relevance in UA**

395 The consumption model within cities is characterized by being unidirectional, where
396 inputs and outputs flow prevail. ²¹ Firstly, diverse externalities are generated by an
397 extractives model that feeds on natural resources, and secondly, it increases new spaces
398 for agricultural production to satisfy the city's requirements. From a circular economy

399 perspective, favor exchanges of flows between urban subsystems are part of the solution
400 to migrate to sustainable cities ²². The use of Compost and the Mixtures of substrates
401 derived from it, responds to these needs since at the city level 1; it reduces and values
402 municipal solid waste (MSW), 2; favors the recycling of nutrients, 3; as a substrate to
403 improve physical, chemical and biological properties of the culture medium.

- 404 (1) By using organic matter from MSW its amount will be reduced and, therefore, the
405 greenhouse gases emitted in landfill disposal ²³. In this sense, the advantages can be
406 seen at the UA level and interact with more elements within the city ²⁴. Viaene et al.,
407 (2016) ²⁵ present a study in Belgium about the opportunities and barriers of Compost
408 at the farm level, where they recommended 5 measures towards using Compost.
409 However, the study is carried out for farm conditions, the recommendations are
410 applicable in a circular economy context in the city. The third recommendation refers
411 to searching for new alternative sources of biomass from other industries to produce
412 Compost. It is possible to find different stakeholders at the city level that can regularly
413 provide biomass, such as greengrocers and coffee shops, among others. The integration
414 of agricultural production in the city would maintain a stable Compost production over
415 time due to its possible interconnections to other industries. Furthermore, research has
416 been made on Composting with common inorganic waste from the city, which has
417 shown good results, such as disposable diapers and biochar, among others. ²⁶⁻²⁸.
- 418 (2) By Composting the organic matter, it stabilizes, and the nutrients are available again
419 to produce new vegetables ^{29,30}. Since nutrients are a limited resource, reincorporating
420 and reusing them in the production system is vital for the UA's sustainable
421 development.
- 422 (3) The incorporation of Compost (total or partially) as a replacement for commercial
423 substrates can decrease the CO₂ emissions, depending on the origin of the replaced
424 substrate. As an example, for this, in Spain, close to 80% of the Perlite used comes
425 from Turkey, South Africa, Greece, Uganda, and United Kingdom (35%, 18%, 10%,
426 8% and 8% respectively), where the reduction in the transport item could result in an
427 environmentally better process. Studies suggest that under proper Compost
428 management, environmental impacts are reduced ³¹.

429

430 In the present study, the vegetable Compost and the Mixture of vegetable Compost with
431 Perlite are suitable substrates in horticulture, especially in RA. Besides, it has been
432 observed that these substrates have better characteristics for preventing hydric stress in
433 summer, despite previous studies showing that Compost production could decrease due
434 to salt concentrations ¹⁹.

435

436 The yield was markedly competitive and higher than that of Perlite in April and July.
437 Lettuce is a moderately sensitive crop to salinity, similar to most of the RA crops: pepper,
438 tomato, and spinach, among others. Therefore, the results obtained in this study could be
439 directly applied to other horticultural crops

440

441

442 **4. Conclusions**

443 This analysis quantified lettuce's agronomic performance grown in organic substrates,
444 including their resilience to water restriction. In the circular city context, the study of the
445 agricultural performance of environmentally friendly substrates (the recycled organic
446 municipal waste in cities) can contribute to RA implementation in Mediterranean urban

447 areas. Previous studies have addressed the environmental impacts and benefits of using
448 Coir and Compost as substrates ^{6,32} while considering the development of crop and
449 commercial production. Our results show that the studied organic substrates, Coir, as a
450 commercial substrate, and vegetable Compost alone or in a substrate Mixture with Perlite
451 1:1, could be used in UA, as they obtained similar or higher production than the control
452 substrate (Perlite). In summer, the best results were obtained with vegetable Compost
453 alone (408.7 g·plant⁻¹) and Compost mixed with Perlite (1:1) (418.4 g·plant⁻¹).
454 Nevertheless, a sequential decrease in the fresh lettuce weight grown in Compost in the
455 three tests was detected, probably due to the substrate's loss of nutrients.

456
457 We also presented the first quantitative assessment of the agronomic response of water
458 restriction conditions in three alternative substrates of Perlite in the urban Mediterranean
459 context. We found that compared to Perlite, the organic substrates improved the
460 conditions against applied water restriction and increased the crops' yield. Specifically,
461 the Coir tended to take a long time to lose water; however, when dryness begins, it occurs
462 very quickly, and commercial production decreases if drought induces water stress of -20
463 cbar, the Compost and the Mixture of Compost and Perlite present remarkable agronomic
464 resilience.

465
466 These results contribute to UA's knowledge and preventive measures of droughts in
467 Mediterranean cities with quantified data. In the current climate change context, with
468 increasing droughts in summer, commercial systems that utilize Compost as a growing
469 media could reduce irrigation frequency, save water without increasing the substrate's
470 salinity, and still produce commercially relevant yields. However, further studies should
471 determine the optimal irrigation and nutrient inputs for improving the growing conditions
472 to increase harvest weight. Additionally, this optimization could minimize the
473 environmental impacts for a lettuce unit, and kg of lettuce should be studied in a detailed
474 life-cycle assessment. More research is needed to contribute to this substrate's
475 environmental analysis, considering the substrates' lifespan and their waste management
476 impacts.

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490 491 **6. Conflict of Interest Statement**

492 The authors do not present any type of conflict of interest.

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494

495 **7. Author contribution**

496 All authors were responsible for the conception and design of the study conceived, M.
497 Ercilla-Montserrat, N. Carazo, J. I. Montero, X. Gabarrell, G. Villalba, J. Rieradevall, E.
498 Lopez-Capel, P. Muñoz, the original idea for the study. M. Ercilla-Montserrat, F. Parada,
499 V. Arcas-Pilz, and P. Muñoz set up, supervised and acquired the data for the experimental
500 tests. N. Carazo for laboratory analysis. F. Parada and M. Ercilla-Montserrat processed
501 and analyzed the data and took the lead in writing the manuscript. All authors critically
502 revised the draft for important intellectual content. All authors gave their final approval
503 to the manuscript.

504

505 **8. Reference**

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