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Martín-Perea, David M.; Domingo, María S.; Cantero, Enrique; [et al.]. «Recurring taphonomic processes in the carnivoran-dominated Late Miocene assemblages of Batallones-3, Madrid Basin, Spain». *Lethaia*, (September 2021). DOI 10.1111/let.12445

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1 **Recurring taphonomic processes in the carnivoran-dominated assemblages of**

2 **Batallones-3 (Late Miocene, Madrid Basin, Spain)**

3

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22

23 **Abstract**

24

25 Carnivoran-dominated fossil sites are scarce in the fossil record but provide precious

26 information on the diversity and ecology of past carnivoran guilds. The Cerro de los

27 Batallones sites host the oldest carnivoran-dominated assemblages, with the highest

28 carnivoran abundances observed in the fossil record. Batallones-3 (Late Miocene,

29 Madrid Basin, Spain) hosts three discrete, carnivoran-dominated fossiliferous levels
30 deposited in a 15 m diameter, 4 m high pseudokarstic cavity with 1 m high talus cone
31 located beneath the mouth of the cavity on the roof. Levels I, II and III are multitaxic
32 multidominant assemblages, with the sabretooth cats *Promegantereon ogygia* and
33 *Machairodus aphanistus* and the ursid *Indarctos arctoides* being the most abundant
34 species. These carnivoran-dominated assemblages are autochthonous and show
35 diagenetically fractured but well-preserved remains (complete, little to no weathering or
36 abrasion). Root marks and manganese oxide precipitation are common and more
37 abundant in Level III, due to modern pedogenic processes. There are also a few
38 allochthonous, badly preserved (weathered and abraded) herbivore remains that were
39 washed into the cavity. The taphonomic homogeneity of all three levels suggest
40 recurring taphonomic and geologic processes throughout the accumulation of infill in
41 the cave. The trap-like nature of the cave, unbroken, and largely unweathered
42 carnivoran bones suggest these predators intentionally jumped into the cave but were
43 unable to escape.

44

45 **Keywords:** Taphonomy, Mammals, Carnivoran, Vallesian, Pseudokarst

46

47 **Introduction**

48

49 Carnivore fossil remains commonly outnumber herbivore remains in mammalian fossil
50 sites, with carnivorans usually represented by less than 10% of the total Number of
51 Identified Specimens (NISP) and Minimum Number of Individuals (MNI; Klein & Cruz-
52 Uribe 1984). This amount of carnivores in fossil sites is a result of the position of this
53 group of animals at the top of the trophic pyramid in living communities, where they
54 constitute a small portion of the ecosystem biomass, with herbivore to carnivore ratios
55 estimated at 50:1 in modern ecosystems (Eisenberg 1981; Stock & Harris 1992; Farlow
56 1993).

57

58 For this reason, there are few known carnivoran-dominated fossil sites, most of them
59 Pleistocene or Holocene in age. The best examples are the Pleistocene localities of
60 Rancho La Brea (Stock 1972; Marcus & Berger 1984; Stock & Harris 1992; Binder *et*
61 *al.* 2002; Feranec *et al.* 2009), Talara (Lindsey & Seymour 2015), La Carolina
62 (Hoffstetter 1952), Sima de los Huesos (Arsuaga *et al.* 1997; García *et al.* 1997),
63 Moonshine Cave (White *et al.* 1984) and Middle Butte Cave (White *et al.* 1984). At
64 Rancho La Brea, Talara and La Carolina, it is proposed that herbivores trapped in
65 asphalt attracted carnivores, which also became trapped. Similar taphonomic histories
66 are inferred for the other carnivoran-dominated localities, although in caves. In these
67 cases, either karstic caves (Sima de los Huesos) or Lava Blisters (Moonshine Cave
68 and Middle Butte) acted as natural traps, where carnivores entered the cave to feed on
69 entrapped herbivores but became trapped themselves (Arsuaga *et al.* 1997; García *et*
70 *al.* 1997; White *et al.* 1984).

71

72 Not all fossil sites interpreted as the result of natural trapping host carnivoran-
73 dominated assemblages. Natural Trap Cave (Gilbert 1978; Martin & Gilbert 1978;
74 Chomko & Gilbert 1987; Wang & Martin 1993), Rantis Cave (Marder *et al.* 2011) and
75 Trinchera-Galería (García & Arsuaga 1998) in the Pleistocene, and Cupcake Cave
76 shaft (Lord *et al.* 2016), McEachern's Deathtrap Cave (Kos 2003) and Shield Trap
77 Cave (Oliver 1986; Oliver *et al.* 1986) in the Holocene are all examples of naturally
78 trapped, herbivore-dominated assemblages in karstic caves. The differences between
79 these herbivore-dominated and carnivore-dominated sites are attributed to different
80 entrance modes, with animals being trapped after active entrance in carnivoran-
81 dominated assemblages and due to accidental entrance in herbivore-dominated
82 assemblages (White *et al.* 1984).

83

84 Since the discovery of the Cerro de los Batallones (Batallones Butte) sites in 1991, two
85 carnivoran-dominated sites, Batallones-1 and Batallones-3, have provided precious
86 information on the diversity of carnivorans in the past. These sites are unique for
87 numerous reasons: 1) dating back to the Miocene, these sites host the oldest known
88 carnivoran-dominated assemblages, 2) both assemblages host the highest carnivoran
89 abundances observed in the fossil record, 3) the excellent nature of preservation and
90 skeletal completeness of the remains allows the description of new or poorly-known
91 species, and 4) the peculiar, piping pseudokarst nature of the cavities constitutes a
92 new geological setting for fossil assemblages. These sites constitute an exceptional
93 archive that expands our knowledge of the diversity and ecology of these ancient
94 carnivoran guilds and the taphonomic history of these uncommon fossil localities.

95

96 **The Cerro de los Batallones complex**

97

98 The Cerro de los Batallones complex is located 30km south of Madrid (Spain, Figure
99 1A), and 1 km east of Valdemoro (Figure 1B) and belongs to the municipality of
100 Torrejón de Velasco. The paleontological complex is comprised of nine sites, which
101 host rich and diverse faunal assemblages (Morales 2017) including a wide array of
102 carnivorans, such as sabretooth cats (Antón *et al.* 2004; Salesa *et al.* 2006, 2008,
103 2010; Monescillo *et al.* 2014; Siliceo *et al.* 2014; Antón *et al.* 2020), other felids (Salesa
104 *et al.* 2012), amphicyonids (Peigné *et al.* 2008; Siliceo *et al.* 2015; Morales *et al.* 2017;
105 Siliceo *et al.* 2017, 2020; Morales *et al.* 2021), ailurids (Peigné *et al.* 2005; Antón *et al.*
106 2006; Salesa *et al.* 2006; Salesa *et al.* 2017), ailuropod ursids (Abella *et al.* 2012,
107 2013, 2015; Abella & Valenciano 2017), hyaenids (Fraile 2016, 2017), mustelids and
108 mephitids (Valenciano *et al.* 2015; Valenciano 2017; Valenciano *et al.* 2020;
109 Valenciano & Govender 2020), herbivorous mammals, including proboscideans
110 (Alberdi *et al.* 2017), perissodactyls (Romano *et al.* 2017; Sanisidro & Cantalapiedra
111 2017; Domingo *et al.* 2018), and artiodactyls (Sánchez *et al.* 2009; Sánchez *et al.*

112 2011; Pickford 2015; Ríos *et al.* 2017; Ríos & Morales 2019). These sites have also
113 yielded a rich assemblage of small mammals, including rodents, insectivores and
114 lagomorphs (López-Antoñanzas *et al.* 2010; 2014; Álvarez-Sierra *et al.* 2017; Medina-
115 Chavarriás *et al.* 2019).

116

117 Based on their faunal association, the Cerro de los Batallones sites are inferred to have
118 a late Vallesian age (ca. 9.1 Ma; early Late Miocene; Domingo *et al.* 2007; Morales *et*
119 *al.* 2008; López-Antoñanzas *et al.* 2010; Gómez Cano *et al.* 2011). However, the
120 rodent fauna indicates the sites differ in age, with Batallones-10 being the oldest,
121 followed by Batallones-1, with Batallones-3 being the youngest site in the Cerro de los
122 Batallones complex (López-Antoñanzas *et al.* 2010). In any event, given that López-
123 Antoñanzas *et al.* (2010) placed all the Batallones localities either in the local subzone
124 J2 (dated 9.71-9.48 Ma) or J3 (9.34-9.05 Ma), the age difference between the oldest
125 and youngest of these localities is at most of 0.3 Myr.

126

127 The Cerro de los Batallones sites are vertical, hourglass-shaped cavities (Figure 1C)
128 formed in the Late Miocene due to soil piping pseudokarstic processes (Pozo *et al.*
129 2004; Calvo *et al.* 2013) in a woodland palaeoenvironment with patches of wooded
130 grassland (Domingo *et al.* 2013b, 2016). In most of these caves, the basal strata are
131 dominated by carnivoran remains which formed when the cavities were natural traps
132 (Domingo *et al.* 2011, 2012, 2013a) whereas the upper strata host more typical
133 herbivore-dominated assemblages, probably formed around ponds that corresponded
134 to the upper part of the cavities as they were filled with sediment. However, at
135 Batallones-3, located in the slope of the Batallones butte, only one stratum is
136 preserved, and it contains the carnivoran-dominated assemblage. It is not known
137 whether an upper stratum existed and if it did, it could have been lost to erosion..

138

139 . The cavity has a diameter of ~15 metres with an 8-metre-wide, ~1-metre-high debris
140 cone below the vertical entrance. The debris cone is composed of collapsed cavity
141 blocks embedded in a detritic matrix, which hosts plant remains and abundant
142 freshwater fauna (gastropods, river crabs, amphibians and some beaver remains).
143 These materials are inferred to come from the upper part of the hourglass-shaped
144 cavity, which in this case would have acted as a small pond or a permanent water body
145 before roof collapse (Abella *et al.* 2017).

146

147 Recent studies have confirmed the existence of discrete fossiliferous levels in both the
148 upper (at Batallones-10) and lower parts (at Batallones-3) of the cavities (Martín-Perea
149 *et al.* 2020). Three discrete fossiliferous levels were found in the lower part of the
150 hourglass structure at Batallones-3 (Figure 2).

151

152 The identification of discrete fossiliferous levels makes it necessary to analyse each of
153 them independently to better characterise the taphonomic history of the site which, in
154 turn, will allow more accurate future palaeoecological and palaeoenvironmental
155 inferences of such similar assemblages. In this work, a detailed taphonomic and
156 comparative study is carried out for each of the levels present at Batallones-3 to
157 understand the biostratinomic and diagenetic processes of this carnivoran-dominated
158 assemblage and to assess whether or not these different assemblages differ in their
159 taphonomy.

160

161 **Materials and Methods**

162

163 Batallones-3 has undergone numerous excavations, including a campaign in 2001 and
164 continuous annual interventions from 2005 through 2017, ranging in duration from
165 three weeks to six weeks. Standard fossil vertebrate excavation protocols were
166 followed Eberth *et al.* 2007). The extraction of fossils was documented on-site,

167 including taxonomic features, element identification, mapping of bones (x, y and z
168 coordinates) and the trend and plunge of elongated bones. Unidentified bone
169 fragments and splinters smaller than 4 cm were not mapped.

170

171 Artificial intelligent systems (AI) were used to identify discrete fossiliferous levels within
172 Batallones-3. AI methods followed Martín-Perea *et al.* (2020) and consisted of: (1)
173 unsupervised Machine Learning for density-based clustering, (2) expert-in-the-loop
174 Collaborative Intelligence Learning for the integration of geological data and (3)
175 supervised learning for fine-tuning of fossiliferous level models. Once the levels had
176 been identified across several sections of the site, supervised Random Forest (RF) and
177 Support Vector Machines (SVM) models were used to classify remaining fossils into
178 their corresponding fossiliferous levels. These algorithms were trained using a
179 traditional machine learning approach, employing k-fold cross-validation (k = 10), a
180 70:30% train:test split, and random searches for hyperparameter optimization. An 80%
181 probability threshold was established for each prediction to determine whether a fossil
182 should be classed as indeterminable (<80%) or not (>80%). In cases where SVM and
183 RF disagree on a class label, the model with the greatest confidence in their prediction
184 was used for the final classification.

185

186 Each fossil was then provided with a final class probability. Overall confidence for the
187 final classified site was established and calculated using robust statistical metrics,
188 reporting the median confidence as well as a +/- margin of error using non-symmetric
189 inter-quartile ranges marking 68.3% of overall variability (Höhle & Höhle 2009). Finally,
190 agreement between the two machine learning algorithms was determined measuring
191 for inter-rater reliability through the calculation of Cohen's Kappa (k) coefficient (Cohen
192 1960).

193

194 Trend and plunge of elongated fossils in each level were plotted on equal area
195 (Schmidt) stereographic projections with inverse area smoothing. A stereographic
196 projection was plotted for each of 8 theoretical 2 m² grids around the debris cone for
197 each fossil level. A detailed spatial analysis was carried out using R software (Spatstat
198 library; Baddeley *et al.* 2015) to represent fossil densities per taxon per level.

199

200 A taphonomical analysis was carried out for each of the identified discrete fossiliferous
201 levels. Number of Identified Specimens (NISP) and Minimum Number of Elements
202 (MNE) were calculated following Lyman (1994). To calculate MNE, age, side and the
203 superposition of anatomical markers have been taken into account. Since NISP may
204 differentially exaggerate sample sizes across taxa (Lyman 2008), Minimum Number of
205 Individuals (MNI) was also calculated. Relative Abundance (Ri) of particular skeletal
206 elements for the dominant taxa (*Machairodus aphanistus*, *Promegantereon ogygia* and
207 *Indarctos arctoides*) were calculated with the formula by Andrews (1990):

$$R_i = \frac{MNE_i}{MNI \times E_i} \times 100$$

208 where Ri is the relative abundance of element i, MNEi is the minimum number of
209 element i in the sample, MNI is the minimum number of individuals of the taxon, and Ei
210 is the number of times that element i appears in a complete skeleton. In order to
211 determine whether or not there were significant bias in the skeletal representation of
212 different taxa, Kolmogorov-Smirnov tests were performed comparing the observed
213 skeletal representation to the expected skeletal representation according to the MNI
214 estimated for each taxon.

215

216 Age at death of carnivoran individuals was calculated based the eruption and
217 replacement of teeth, degree of wear of occlusal surfaces and bone epiphisiary fusion.
218 These were grouped into juveniles, prime adults and old individuals, following Stiner
219 (1990).

220

221 A systematic examination of bone surface modifications was carried out with an 8X-
222 15X hand lens following Blumenschine (1995). Given the taphonomic homogeneity of
223 the assemblage and the large number of remains, 10% of the elements in level were
224 randomly selected for taphonomic evaluation (479 for Level I, 405 for Level II, 171 for
225 Level III). Although unidentified bone fragments with an elongated axis smaller than 4
226 cm and splinters were not mapped, a random sample of 1004 of these remains was
227 also taphonomically analysed.

228

229 Breakage analyses followed the criteria of Villa & Mahieu (1991) and Lyman (1994).
230 Perpendicular and smooth (dry) fractures often occur in recrystallized or permineralized
231 bones due to diagenetic processes, whereas spiral, irregular and saw-toothed (green)
232 fractures mainly occur in fresh, collagen-rich bones, usually produced by carnivoran or
233 anthropic activity and trampling (Lyman 1994). Bone completeness was analysed
234 following Pesquero *et al.* (2013) using the following categories: complete, almost
235 complete (bones only missing a chip), more than one-half complete, and one-half
236 complete or less.

237

238 Weathering was estimated according to Behrensmeyer's (1978) scale from 0 (not
239 weathered, exposed for less than one year before burial) to Stage 5 (extremely
240 weathered, exposed for 15-30 years before burial). Note that other authors, such as
241 Lyman & Fox (1989) have warned that direct correlations between weathering stages
242 and time should be considered with caution as other taphonomic factors
243 (microenvironment, skeletal element, taxon, agents of exposure and burial) might
244 produce deviations.

245

246 Abrasion is indicative of the erosion caused to the remains by means of friction with
247 sedimentary particles. Stages proposed by Alcalá (1994) were used to analyse

248 abrasion: intact bone (Stage 1), rounded bone (Stage 2) and polished and smoothed
249 bone (Stage 3).

250

251 Other surface modifications such as corrosion, digestion, root markings, presence of
252 manganese oxide, trampling, rodent marks and carnivore marks were also recorded,
253 following Fisher (1995) and Fernández-Jalvo & Andrews (2016). Diagnostic criteria
254 defined by Blumenschine (1988, 1995) were used to identify carnivore marks.

255

256 Statistical analyses (chi-square tests) were carried out to compare the three discrete
257 fossiliferous levels at Batallones-3. Additionally, these were also compared to the
258 Batallones-1 assemblage. In order to avoid type I errors, we set our significance level
259 at $p = 0.01$. In the Supporting Information, we provide the adjusted residuals of those
260 analyses that were statistically significant in order to shed light on the specific variables
261 that contribute more strongly to the observed differences (values outside the two-sigma
262 interval [-1.96, 1.96]).

263

264 **Results**

265

266 *Batallones-3 discrete fossiliferous levels*

267

268 The three discrete fossiliferous levels previously described at Batallones-3 (Martín-
269 Perea *et al.* 2020) could be observed throughout the site (Figure 2). Out of the 18,922
270 fossil remains recovered from the excavations, 16,178 had complete coordinate data
271 (x, y and z values). A total of 10,553 fossil remains were classified with over 80%
272 confidence into one of the three fossil levels: 4794 into Level I, 4048 into Level II and
273 1711 into Level III.

274

275 Both machine learning algorithms agreed on 89.2% of classifications, with RF generally
276 considered the most confident. Overall probability of level association revealed models
277 where 99.6 +/- [0.004, 0.006] % confident of the final classifications for the Batallones-3
278 site levels I, II and III. Furthermore, calculations reveal models have a 75-1% likelihood
279 of agreement according to Cohen's k. This implies substantial agreement between
280 models, thus supporting the use of both RF and SVM for the systematic analyses of
281 this site.

282

283 *Assemblage data*

284

285 A total of 16 large-mammal species have been identified at Batallones-3, out of which
286 12 are carnivoran species (Table 1). The felids *Promegantereon ogygia* (NISP = 956,
287 20.13%) and *Machairodus aphanistus* (NISP = 752, 15.83%) as well as the ursid
288 *Indarctos arctoides* (NISP = 918, 19.33%) dominate the Level I assemblage (Table 1).
289 Similarly, Level II is dominated by *Promegantereon ogygia* (NISP = 1117, 27.67%),
290 *Machairodus aphanistus* (NISP = 844, 20.91%) and *Indarctos arctoides* (NISP = 517,
291 12.81%; Table 1). The same is true for the Level III assemblage, which is
292 multidominant but with *Machairodus aphanistus* remains (NISP = 537, 31.44%) being
293 more abundant than *Promegantereon ogygia* (NISP = 110, 6.44%) and *Indarctos*
294 *arctoides* (NISP = 149, 8.72%; Table 1). The remaining carnivores are represented in
295 notably lower proportions. The statistical test points to a significant difference in the
296 carnivoran composition between Level I and Level III (Table 2), with *Machairodus*
297 *aphanistus* (more abundant in Level III than in Level I) and *Protictitherium crassum*
298 (more abundant in Level I than in Level III) having more weight in this comparison (see
299 Supplementary Information).

300

301 Table 3 shows the skeletal element proportions in Batallones-3 levels. Vertebrae
302 constitute the most abundant skeletal element of Level I (15.05%), Level II (15.52%)

303 and Level III (18.09%) assemblages. Moderately abundant elements (over 2% of the
304 assemblage) include incisors, ribs, femurs, metapodials, phalanges, sesamoids, carpal
305 and tarsal bones. A total of 461 (9.62%) remains were anatomically unidentifiable in
306 Level I, 210 (5.17%) in Level II and 91 (5.33%) in Level III (Table 3).

307

308 Skeletal completeness percentages for *Machairodus aphanistus*, *Promegantereon*
309 *oxygia* and *Indarctos arctoides* are high in Levels I and II (Figures 3A-F), but decrease
310 in Level III (Figures 3G-I). Even though vertebrae constitute the most abundant
311 elements for the total sample (Table 3), vertebrae and ribs are underrepresented for
312 any taxon in any level (Figure 3).

313

314 *Spatial data*

315

316 Batallones-3 has an excavated area of 160 m². Bedrock floor has been reached
317 throughout the site, while the lowermost cave walls are also observable (Figure 2). The
318 uppermost section of the cave was lost due to erosion on slopes along the northwest
319 side of the cave (Figure 2). The three fossiliferous levels dip away from the centre to the
320 walls of the cave and rest disconformably over a debris cone of collapsed cave
321 fragments (Figures 2B, 2C).

322

323 Trend and plunge data were represented on several stereographic projections per level
324 per 16 m² grids around the debris cone (Figure 4). Stereographic projections indicate
325 bones generally dipping very gently (sub-horizontal) towards the northwest or towards
326 the wall of the cave.

327

328 Fossil densities were visualized per level per species in plan view (X-Y plain) using the
329 “spatstat” R package. The number of clusters per level closely resembles the MNI for

330 each species in that given level, as exemplified for *Indarctos arctoides* remains in
331 Levels I, II and III (Figure 5).

332

333 *Bone modification data*

334

335 Breakage, along with root etching, is the most pervasive taphonomic alteration in all
336 Batallones-3 fossiliferous levels (Figure 6). Although some bones are fractured, with
337 bones presenting more than 3 fractures making up 37.5% of the Level I assemblage,
338 43.1% of Level II and 43.8% of Level III (Figures 6A, B and C), the majority of remains
339 are complete: 84.4% complete bones in Level I, 76.4% in Level II and 53.4% in Level III
340 (Figures 6D, E and F). These fractures appear in different angles, types (Figure 6G, H
341 and I) and most have smooth fracture plane surfaces: 63.4% of fractures in Level I,
342 58.0% in Level II and 67.9% of fractures in Level III (Figures 6J, K and L). In general,
343 there is homogeneity among Batallones-3 levels when the fracture categories are
344 statistically compared. Nevertheless, as for bone completeness, there are significant
345 statistical differences between Level I and Level III, and between Level II and Level III
346 (Table 2). In Level III, bones that are less than one half complete are significantly more
347 abundant than in Level I and Level II, where complete bones are more abundant
348 (Figure 6; Supporting Information).

349

350 Unweathered bones (Stage 0) dominate in all Batallones-3 levels (Table 4).
351 Weathering Stage 1 is only observed in 0.6% of Level III fossil remains, whereas for
352 unidentified bones with no assigned level it is slightly higher (2.5%). Only three
353 unidentified remains (0.3 %) showed Weathering Stage 2. No bones displayed
354 Weathering Stages 3 or higher. Abrasion follows a similar pattern with a predominance
355 of Abrasion Stage 1 (intact, non-abraded bone; Table 4). Abrasion Stage 2 only affects
356 5.3% of the remains in Level III and 7.7% in unidentified remains whereas Stage 3 is
357 only observed in a few unidentified remains with no assigned level (0.4%). There are

358 no statistically significant differences between levels for weathering or abrasion (Table
359 2).

360

361 Root marks are common throughout Batallones-3 levels: 43.8% of remains are root
362 marked in Level I, 59.7% in Level II and 64.4% in Level III (Table 4). Trampling was
363 only observed in 1.6% of the unidentifiable remains, none of which were assigned to a
364 level. There is no evidence of rodent or carnivore marks or digestion alteration in any
365 Batallones-3 levels.

366

367 The vast majority of analysed remains exhibited manganese oxide precipitations:
368 73.5% in Level I, 84.7% in Level II and 91.8% in Level III. Manganese oxides are
369 common along fractures and widespread on bone surfaces.

370

371 **Discussion**

372

373 Using traditional methods, which often make it difficult to recognize discrete horizons, a
374 taphonomy study would have been conducted on the entire deposit, obfuscating
375 potential differences through the time averaged assemblage. This problem was
376 minimized using AI, which identified three discrete fossil levels at Batallones-3 and
377 assigned 65% of the fossil remains into these levels. This enabled a more accurate
378 understanding of the environmental history of the site.

379

380 The carnivoran guilds in all three Batallones-3 levels, which includes 12 species, is the
381 most diverse of the Cerro de los Batallones complex. Moreover, carnivoran species
382 dominate the assemblage, which, as has previously been stated, is uncommon in the
383 fossil record. Our taxonomic study of the Batallones-3 fossil material provides
384 unprecedented information on such rare carnivoran-dominated assemblages. The
385 similarities between the three levels indicate (1) all were subject to the same

386 taphonomic conditions and (2) indicates taphonomic conditions did not skew
387 assemblage data.

388

389 Batallones-3 Levels I, II and III comprise multitaxic and multidominant assemblages,
390 dominated by the sabretooth felids *Promegantereon ogygia* and *Machairodus*
391 *aphanistus* and the ursid *Indarctos arctoides* (Table 1). Statistical analyses of the MNI
392 of the carnivoran species composition of the three levels reveal that Levels I and II
393 exhibit a similar carnivoran composition, which differ from Level III (Table 2; Supporting
394 Information).

395

396 In Levels I and II, which are deeper and therefore older, *Promegantereon ogygia* is
397 more abundant than the other sabretooth felid, *Machairodus aphanistus*, which in turn
398 becomes the dominant carnivoran taxon in the youngest level, Level III. Other trends in
399 the carnivoran guild involve a decrease in the hyaenid *Protictitherium crassum* and the
400 mustelid *Eomellivora piveteaui* and a slight increase in the two amphicyonid species.
401 The ursid *Indarctos arctoides* does not show a clear trend: its MNI decreases from
402 Level I to Level II, the increases in Level III.

403

404 When comparing Batallones-3 with Batallones-1 in terms of carnivoran faunal
405 composition, statistically significant differences emerge related to the
406 presence/absence of some of the carnivoran species, as revealed by the values of the
407 adjusted residuals of the chi-square analysis (Supporting Information). These
408 differences were previously discussed by Domingo *et al.* (2016) and mainly pertain to
409 the presence of the ailurid *Simocyon batalleri* at Batallones-1 and its absence at
410 Batallones-3, and the presence of the ursid *Indarctos arctoides* and the mustelid
411 *Eomellivora piveteaui* at Batallones-3 and its absence from Batallones-1. Evaluation of
412 the residuals also reveals differences in the abundance of *Magericyon anceps*, which is
413 greater at Batallones-1 than in any Batallones-3 levels. Apart from the aforementioned

414 absences of taxa, the adjusted residuals also show that the frequencies of
415 *Promegantereon ogygia* (higher at Batallones-1), and *Thaumastocyon* sp. (greater at
416 Batallones-3 Level III), play an important role in the statistically significant differences
417 between Batallones-3 Level III and Batallones-1 (Supporting Information).

418

419 As indicated by Domingo *et al.* (2016), these species abundance differences could
420 represent biological trends, such as diversity turnover or changes in population
421 densities in the Madrid Basin over time. The accumulation of the Batallones-1 and
422 Batallones-3 assemblages was asynchronous; they were deposited in the following
423 order, from oldest to youngest: Batallones-1, Batallones-3 Level I, Batallones-3 Level II
424 and Batallones-3 Level III (López-Antoñanzas *et al.* 2010), and our analyses indicate
425 that *Machairodus aphanistus*, *Indarctos arctoides* and *Thaumastocyon* sp. became
426 more abundant through time, whereas the abundance of individuals of
427 *Promegantereon ogygia*, *Protictitherium crassum* and *Simocyon batalleri* decreased.
428 Further light will be shed on these trends in future studies, which will consider not only
429 Batallones-1 and Batallones-3, but other Cerro de los Batallones localities once they
430 are excavated and studied. Furthermore, the identification of discrete fossiliferous
431 levels (following Martín-Perea *et al.* 2020) within the other Cerro de los Batallones
432 localities will provide more information on faunal compositional changes.

433

434 The low percentages of anatomically unidentified remains in Batallones-3 (9.62% in
435 Level I, 5.17% in Level II and 5.33% in Level III) are indicative of the good state of
436 preservation of these fossils. Although the remains are fractured (Figures 6A, B and C),
437 many of them are complete (Figures 6D, E and F) and well preserved (Figure 7).
438 Interestingly, there are statistically significant differences of bone completeness in the
439 Batallones-3 levels, between Level I and Level III and between Level II and Level III
440 (Table 2; Supporting Information). The bones are most complete in Levels I and II,
441 whereas in Level III 30.7% of the bones are one-half or less complete. Level III, the

442 highest level, was very close to the current surface and damage may be related to
443 rooting and slope erosion. The fractures at Batallones-3 are mostly perpendicular in
444 long bones (Figures 6G, H and I) and smooth (63.4% in Level I, 58.0% in Level II and
445 67.9% in Level III; Figures 6J, K and L), which is indicative of diagenetic breakage
446 (Figure 7, Figure 8B). The bone completeness at all three Batallones-3 levels
447 significantly differ from that at Batallones-1 (Table 2). This difference, on comparing
448 Batallones-3 Level I and Level II with Batallones-1, is due to the higher abundance of
449 complete bones, together with a lower abundance of almost complete bones at
450 Batallones-3 compared to Batallones-1 (Supporting Information). In any case, the
451 bones present a high degree of integrity, as complete and almost complete bones are
452 prevalent. Nevertheless, when comparing Batallones-3 Level III with Batallones-1, the
453 primary difference is the abundance of bones which are one-half or less complete at
454 Batallones-3 Level III in comparison with Batallones-1.

455

456 Although the most abundant skeletal elements are vertebrae (15.05% in Level I,
457 15.52% in Level II and 18.09% in Level III), all other skeletal elements are represented
458 (Table 3). The very low representation of vertebrae and ribs for all taxa (Figure 3) could
459 be due to the difficulty in assigning these to a particular species, given the lack of
460 diagnostic characters. Nonetheless, the percentages of skeletal completeness for
461 *Machairodus aphanistus*, *Promegantereon ogygia* and *Indarctos arctoides* are
462 generally high (Figure 3), and Kolmogorov-Smirnov tests indicate that there is no
463 significant anatomical bias relative to complete skeletons (Table 5). These results
464 suggest individuals were complete in the thanatocoenosis and taphocoenosis.

465

466 Furthermore, the fossil remains are found in monotaxic clusters, which when
467 represented per level, show approximately the same number of clusters as of MNI
468 (Figure 5). This appears to indicate that the remains have undergone little, if any,
469 biostratinomic or fossildiagenetic displacement. Conversely, the trend data for elongate

470 remains show that although they were not significantly displaced, they were slightly
471 reoriented (Figure 4). This partial and slight reorientation likely took place during the
472 episodic sedimentary infilling of the cavity, since the mean trend and plunges of the
473 fossil remains coincide with the inferred entry of alluvial sediments presenting a north-
474 western direction, following the slope dip of the Batallones butte rim (Figure 1).

475

476 Given the stable humidity and temperature levels in caves, as well as the lack of direct
477 sunlight, bones can remain unburied long periods of time without undergoing heavy
478 weathering (Wang & Martin 1993). For this reason, estimates of time before burial
479 according to weathering stages (Behrensmeyer 1978) are not applicable in this
480 scenario. Most of the bones at Batallones-3 are unweathered (Weathering Stage 0),
481 which is accounted for by the protective environment of the chamber. From a statistical
482 viewpoint, the three levels are similar in terms of weathering (Table 2). The same is
483 true when compared to Batallones-1, where unweathered bones were also prevalent
484 (Domingo *et al.* 2013a). Abrasion data imply that most of the bones were not
485 significantly impacted by sedimentary particles or by each other in any of the
486 Batallones-3 assemblages, a circumstance also observed at Batallones-1 (Tables 2
487 and 4). The prevalence of unabraded bones, alongside the monospecific clusters, along
488 with the associated and articulated complete or partial skeletons (Figure 8), reinforces
489 the interpretation of an autochthonous taphocoenosis (Fernández-López 1990),
490 maintaining its *in situ* properties.

491

492 Bones displaying trampling, higher degrees of weathering or abrasion are very scarce
493 (Table 4), corresponding either to unidentified remains or to herbivore mammal species
494 (Figures 7F and G). These elements, which in most cases are not classified into any
495 discrete fossiliferous level, likely correspond to allochthonous taphocoenoses,
496 transported and deposited along with sediment into the cavity. In the case of the

497 herbivore remains, this allochthony appears to be reinforced by the almost total lack of
498 remains.

499

500 Plant roots constitute common biological agents that can biochemically damage bone
501 (Sarjeant 1975; Behrensmeyer 1978; Mikulás 1999, 2001; Fernández-Jalvo *et al.* 2002;
502 Tjelldén *et al.* 2016; Paes-Neto *et al.* 2018). These types of alterations are well known
503 in both archaeological and palaeontological contexts (e.g. Mikulás 2001; Fernández-
504 Jalvo & Andrews 2016). Root marks are common at Batallones-3 on the surface of
505 teeth (Figure 7B) and bones (Figure 7C). Root marks are present in 43.8% of the
506 remains from Level I, 59.7% from Level II and 64.4% from Level III (Table 4). This
507 increasing prevalence of root marks towards the shallow level of Batallones-3 is
508 evidenced by the statistical analysis: Level III shows a significant higher abundance of
509 root-marked bones than Level I (Table 2; Supporting Information); and Level II shows a
510 statistically marginal greater abundance ($p = 0.02$) of root-marked bones than Level I.
511 This points to a progressive increase in root-marking in the shallower assemblage of
512 Batallones-3. Although it is difficult to assess when these modifications took place, the
513 most plausible scenario is that these are present-day root marks, mainly the result of
514 artificial pine tree reforestation in the area sometime between 1994 and 2000 (damage
515 to the bones by modern roots was observed throughout the Batallones-3 excavations),
516 and to a lesser extent, of the effect of natural shrubs on the butte slopes before and
517 during this period. The three levels from Batallones-3 show significantly higher
518 abundances of bones with root marks than Batallones-1 (Table 2; Supporting
519 Information). As they are located on the butte slope, modern soil comes into contact
520 with the Batallones-3 fossiliferous deposits, whereas Batallones-1 is located towards
521 the centre of the butte and, therefore, too deep to be subjected to present-day edaphic
522 processes, including plant root activity.

523

524 Significant differences in the percentages of remains with manganese oxide coatings
525 appear to be associated with the abundance of root marked specimens, as they follow
526 the same trend (Tables 2 and 4). It has been suggested that biological processes and
527 soil humification expedite precipitation of manganese oxides on fossil remains (e.g.
528 Shahack-Gross *et al.* 1997; Marín-Arroyo *et al.* 2008). Statistically significant
529 differences are observed between all three Batallones-3 levels and Batallones-1 in
530 terms of the presence of bones presenting manganese oxides, which are much more
531 abundant at Batallones-3. Once again, this might be because the Batallones-1 fossil
532 bones are better protected from pedogenic processes, due to their deeper position in
533 the butte.

534

535 There are no carnivore-marked bones at Batallones-3. The pervasiveness of root
536 marks could be affecting other taphonomic modifications or even hindering correct
537 taxonomical or anatomical identification. Such could be the case for carnivore marks,
538 which might be obscured by root etching. Nonetheless, and given that at Batallones-1,
539 the other carnivoran-dominated assemblage from Cerro de los Batallones, carnivore
540 marks are practically non-existent (0.30%), we believe that there are few tooth marks
541 on the bones at Batallones-3, and that this is not an artefact of other superimposed
542 taphonomic processes. Although it might seem puzzling that a carnivoran-dominated
543 site displays such a low number of tooth-marked bones, different hypotheses can
544 account for this. For example, taphonomic analyses performed on felid-consumed
545 carcasses conclude that very few marks are left (Domínguez-Rodrigo & Barba 2006;
546 Domínguez-Rodrigo *et al.* 2007; Gidna *et al.* 2014; Parkinson *et al.* 2015; Organista *et*
547 *al.* 2016), which could explain the extremely low percentages of carnivore marks in the
548 Cerro de los Batallones sites, where sabre-toothed cats are the dominant carnivoran
549 species. In their study of the Batallones-1 assemblage, Domingo *et al.* (2013a) also
550 suggested that the low incidence of carnivore marks could be the result of a low level of
551 exploitation of carcasses because there was abundant supply of meat or because

552 carnivorans died quickly after entrapment (from exhaustion, hypothermia, illness, toxic
553 gases, poisoning from drinking water or injuries after entrance). Also, it is possible that
554 carnivores were searching for water during droughts, as opposed to just food.

555

556 Finally, trampling marks are very scarce at Batallones-3. Again, root marks might have
557 obscured evidence in this sense. However, trampling is also extremely scarce at
558 Batallones-1, which suggests trapped carnivorans did not move a lot inside the
559 chamber (due to the above mentioned conditions - exhaustion, injuries or illness).

560

561 Given the inference that the carnivoran-dominated assemblages in Levels I, II and III at
562 Batallones-3 are autochthonous, with a few scattered allochthonous remains, there are
563 only two likely scenarios for the formation of these deposits: these were created either
564 by denning activities or by the cavity acting as a natural trap. As for the hypothesis that
565 Batallones-3 acted as a den for carnivoran species, from a taxonomical point of view,
566 prey species (generally, herbivores) dominate bone assemblages in these scenarios.

567 Carnivoran MNI's in den assemblages (from 6% to 53%; Brain 1981; Wang & Martin
568 1993; Marean & Ehrhardt 1995; Pokines & Kerbis Peterhans 2007) differ greatly from
569 the overwhelming majority of carnivoran remains in all Batallones-3 levels.

570

571 The geomorphology of the cavity in Batallones-3, exhibiting a vertical hourglass shape,
572 is inferred to only have one entrance in the middle of the cave roof, directly >3 metres
573 above the debris cone (Calvo *et al.* 2013; Martín-Perea *et al.* 2017). This opening likely
574 presented difficult entry and hindered exit. The absence of tooth marks or spiral
575 fractured bones resulting from consumption, supports the hypothesis that the
576 assemblages were not produced as a consequence of denning activities.

577

578 Ursids, such as *Ursus deningeri* and *Ursus spelaeus*, are one of the most common
579 dwellers of Pleistocene and Holocene caves, which usually result in fossil assemblages

580 abundant in carnivoran (mainly ursid) remains. Since these assemblages are frequently
581 found alongside human remains or artefacts, archaeologists have paid special attention
582 to this interaction. Study of data on ursid mortality is of particular interest with regard to
583 the taphonomic history of cave-bear assemblages (Webb 1988; Gargett 1996; Stiner *et*
584 *al.* 1996; García *et al.* 1997; Wolverton 2001, 2006) and to establishing whether it more
585 closely resembles a hibernation death assemblage (Stiner *et al.* 1996; Stiner 1998) or a
586 natural trap (Wolverton 2001, 2006).

587

588 Although Ailuropodinae ursids are not known to hibernate, *Indarctos arctoides* mortality
589 profiles (Figure 9) more closely resemble ursid prime-dominated natural-trap
590 assemblages (García *et al.* 1997; Wolverton 2001, 2006), compared to living-structure
591 assemblages resulting from natural hibernation deaths (Stiner 1998; Wolverton 2006).
592 Claw marks, which can be interpreted as resulting from the action of trapped live
593 animals, have been documented alongside ursid assemblages (Bednarik 1994, 2004).
594 These marks are normally found in karstic cavities with hard limestone bedrock walls.

595 In turn, the peculiar pseudokarstic formation of the Cerro de los Batallones cavities,
596 carved into a sepiolite-rich marl bedrock (Pozo *et al.* 2004; Calvo *et al.* 2013), would
597 preclude the formation of these claw markings.

598

599 Faunal concentrations in natural traps in caves can be either accidentally produced by
600 falling (passive accumulation) or intentional through purposefully entering these
601 cavities (active accumulation, Lyman 1994). Accidental passive accumulations are
602 unselective, both taxonomically and ontogenetically, and will result in a diverse,
603 unbiased assemblage (Wang & Martin 1993). This is clearly not the case for any of the
604 Batallones-3 fossiliferous levels, which are dominated by prime adult carnivorans,
605 presenting very few herbivore remains (Table 1, Figure 9). Consequently, a recurring,
606 intentional entrance of carnivores into the cavity is the most likely scenario (Figure 10).
607 Since most of the herbivore remains are allochthonous, it is safe to assume carnivores

608 were not lured into the cavity in order to scavenge herbivore remains, but rather were
609 searching for water. The absence of carnivore marks on the carnivoran remains
610 suggests these were not scavenged either.

611

612 Furthermore, as for the moment of death of the trapped animals, it is difficult to infer
613 whether these died as a consequence of the fall or *a posteriori* for other reasons.
614 Although the absence of trampling could suggest otherwise, the geomorphology of the
615 cave, with the opening inferred to be >3 meters above the ground, would not entail a
616 mortal fall. The absence of fragmented bones which would suggest falling-related
617 injuries, reinforces this idea. Other causes of death such as exhaustion or hypothermia,
618 as explained above, appear to constitute more logical options. Moreover, it seems
619 counterintuitive that carnivores would risk their lives intentionally to access the cavity.
620 The vertical distance between the cave opening and the cave floor would appear less
621 high due to the presence of the 1-metre-high debris cone beneath the cave entrance,
622 which would facilitate entry. However, once the carnivores intentionally entered the
623 cavity, they would be unable to escape due to the inward-slanting cave walls and the
624 insurmountable >3-meter-high cave opening.

625

626 The presence of the giant tortoise *Titanochelon bolivari* (Pérez-García & Vlachos 2014)
627 in all three levels is not surprising given this testudinid is exceptionally frequent in
628 continental facies in the Madrid Basin. It is uncertain, however, whether the remains of
629 this testudinid at Batallones-3 are autochthonous or allochthonous. On one hand, giant
630 tortoises could have actively and intentionally entered the cavity attracted to water,
631 looking for a place to breed or to bathe in mud in order to regulate body temperature,
632 as observed in extant giant tortoises (Walton *et al.* 2019). Although it seems
633 implausible that tortoises jumped into the cave, the phreatic level might have risen
634 intermittently, sufficing to partially fill the cavity, granting easy access to the tortoises
635 but difficult subsequent egress. These animals, unable to exit the partially filled cavity,

636 would have died of exhaustion. Well preserved (unabraded, unweathered) remains,
637 some of these articulated or associated, and the presence of these throughout all three
638 fossiliferous levels, makes this scenario feasible. It cannot, however, be ruled out that
639 the *Titanochelon* remains might be allochthonous, deriving from the older, freshwater
640 assemblage before the cave opening was formed (Figure 10A).

641

642 The few taphonomic differences between the Batallones-3 levels, and also between
643 Batallones-3 and Batallones-1, are related to *post-mortem*, pedogenic alterations,
644 which are possibly modern, such as root markings, manganese oxide precipitation and
645 a slightly lower bone completeness in Level III. The homogeneity of taphonomic
646 properties between levels and even between Batallones-1 and Batallones-3 (Table 2)
647 suggests recurring taphonomic and geologic processes throughout the infill process of
648 the cave, which implied the successive intentional entry of carnivorans and their
649 subsequent entrapment (Figure 10). Given that almost one third of the carnivoran
650 species known for this time period (~9.8 to 8.8 Ma) are exclusively from the Cerro de
651 los Batallones sites, there is a vital need for future palaeoecological and
652 palaeoenvironmental studies of each of the Batallones-3 levels. The present study
653 ensures that these studies can be conducted without risk of differential taphonomic
654 histories skewing the assemblage data.

655

656 **Conclusions**

657

658 The Batallones-3 site (Madrid Basin, Spain) hosts an unusual fossil assemblage, both
659 because of its location in a pseudokarstic cavity and its carnivoran-dominated
660 composition. Artificial intelligence systems enabled us to identify three fossiliferous
661 levels embedded within the massive Batallones-3 deposit.

662

663 Batallones-3 Levels I and II are multitaxic multidominant assemblages containing two
664 sabretooth cats, *Promegantereon ogygia* and *Machairodus aphanistus*, and an ursid,
665 *Indarctos arctoides*, which is the most abundant species. On the other hand,
666 Batallones-3 Level III is also a multitaxic multidominant assemblage, although it is
667 primarily dominated by *Machairodus aphanistus*. Prime adults dominate all three
668 assemblages. Statistically significant differences in the carnivoran faunal composition
669 may be a function of faunal changes in the populations of carnivoran species
670 throughout the accumulation of these assemblages.

671

672 These carnivoran-dominated assemblages are autochthonous, with diagenetically
673 fractured but well preserved remains (complete, little to no weathering or abrasion),
674 presenting abundant root marks and manganese oxide precipitation, with a very small
675 amount of allochthonous, badly preserved (weathered and abraded) herbivore remains.
676 Statistical analyses reveal homogeneity in most of the taphonomic variables of the
677 assemblages. A trend can be seen, however, which is associated with the higher
678 prevalence of pedogenic and erosional slope processes (more root marks, manganese
679 oxide precipitates and bone incompleteness) in the shallower Batallones-3 Level III.

680

681 We propose that all three levels were mainly formed by the active entrance of
682 carnivorans into the cavity. These were likely attracted by water and subsequently
683 became trapped. Many of the taphonomic characteristics of Batallones-3, including the
684 prevalence of carnivorans, coincide with those observed in the previously studied
685 neighbouring Batallones-1 site. Indeed, both sites present a similar formation scenario.
686 Future palaeoecological and palaeoenvironmental studies can therefore be conducted
687 in each level with the assurance that differential taphonomic histories have not biased
688 the assemblage data; this would provide a better understanding of the diversity and
689 ecology of these important Late Miocene carnivoran faunas.

690

691 **Acknowledgements**

692

693 We thank everyone involved in the excavation campaigns carried out at Batallones-3.
694 Excavations carried out in the Cerro de los Batallones are funded by the Dirección
695 General de Patrimonio Cultural (Comunidad de Madrid). David M. Martín-Perea was
696 funded by an FPI Predoctoral grant BES-2016-079460 from the Spanish Government
697 associated with Projects CGL2015-6833-P and PGC2018-094122-B-100. Alberto
698 Valenciano was funded by a “Juan de la Cierva Formación” program (FJC2018-
699 036669-I) from the Spanish Ministry of Science, Innovation and Universities and by the
700 Aragon Government (E33_17R). Juan Abella was funded by the Generalitat de
701 Catalunya (CERCA Programme and Beatriu de Pinós) contract 2017-BP-00223 from
702 AGAUR. This study is also a contribution of Projects PGC2018-094122-B100
703 (AEI/FEDER, UE), CGL2016-76431-P (AEI/FEDER, UE) and the research groups
704 CSIC-64-1538 and CAM-UCM-910607. The authors declare no conflict of interest. The
705 authors thank Antonio Rodríguez-Hidalgo, an anonymous reviewer and Associate
706 Editor Brooks Britt, whose helpful suggestions greatly improved this manuscript.

707

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1193 **Table 1.** Number of Identified Specimens (NISP), Minimum Number of Elements (MNE) and Minimum Number of Individuals (MNI) of the large
 1194 taxa in Batallones-3 levels. Mortality patterns of individuals is given in brackets (young/prime adult/old).
 1195

	Family/Order	Level I					Level II					Level III				
		NISP	%NISP	MNE	MNI	%MNI	NISP	%NISP	MNE	MNI	%MNI	NISP	%NISP	MNE	MNI	%MNI
<i>Machairodus aphanistus</i>	Felidae/Carnivora	752	15.83	730	7 (0/7/0)	15.56	844	20.91	824	8 (1/7/0)	20.51	537	31.44	530	7 (1/6/0)	41.18
<i>Promegantereon ogygia</i>	Felidae/Carnivora	956	20.13	913	10 (1/9/0)	22.22	1117	27.67	1042	12 (1/11/0)	30.77	110	6.44	101	3 (0/3/0)	17.65
<i>Leptofelis vallesiensis</i>	Felidae/Carnivora	4	0.08	4	1 (0/1/0)	2.22	1	0.02	1	1 (0/1/0)	2.56	0	0.00	0	0 (0/0/0)	0.00
Felidae indet.	Felidae/Carnivora	29	0.40	-	-	-	27	0.59	-	-	-	5	0.23	-	-	-
<i>Indarctos arctoides</i>	Ursidae/Carnivora	918	19.33	782	9 (3/6/0)	20.00	517	12.81	434	6 (2/4/0)	15.38	149	8.72	136	3 (0/3/0)	17.65
<i>Magericyon anceps</i>	Amphicyonidae/Carnivora	12	0.25	12	1 (0/1/0)	2.22	32	0.79	30	1 (0/1/0)	2.56	50	2.93	50	1 (0/1/0)	5.88
<i>Ammictocyon kainos</i>	Amphicyonidae/Carnivora	14	0.29	14	1 (0/1/0)	2.22	14	0.35	14	1 (0/1/0)	2.56	56	3.28	56	1 (0/1/0)	5.88
Amphicyonidae indet.	Amphicyonidae/Carnivora	2	0.04	-	-	-	7	0.17	-	-	-	90	5.27	-	-	-
<i>Proictitherium crassum</i>	Hyaenidae/Carnivora	110	2.32	110	6 (0/6/0)	13.33	105	2.60	102	3 (0/3/0)	7.69	13	0.76	12	1 (0/1/0)	5.88
<i>Eomellivora piveteaui</i>	Mustelidae/Carnivora	85	1.79	85	4 (0/4/0)	8.89	109	2.70	109	3 (0/3/0)	7.69	2	0.12	2	1 (0/1/0)	5.88
aff. <i>Adroverictis</i> sp.	Mustelidae/Carnivora	8	0.17	8	1 (0/1/0)	2.22	1	0.02	1	1 (0/1/0)	2.56	0	0.00	0	0 (0/0/0)	0.00
<i>Circamustela peignei</i>	Mustelidae/Carnivora	5	0.11	5	1 (0/1/0)	2.22	1	0.02	1	1 (0/1/0)	2.56	0	0.00	0	0 (0/0/0)	0.00
Mustelidae indet.	Mustelidae/Carnivora	65	1.37	-	-	-	65	1.61	-	-	-	9	0.53	-	-	-
Mephitidae nov. gen. et sp.	Mephitidae/Carnivora	3	0.06	2	1 (0/1/0)	2.22	0	0.00	0	0 (0/0/0)	0.00	0	0.00	0	0 (0/0/0)	0.00
<i>Promephitis</i> nov. sp.	Mephitidae/Carnivora	19	0.40	-	-	-	4	0.10	-	-	-	2	0.12	-	-	-
Carnivora indet.	Carnivora	85	1.79	-	-	-	18	0.45	-	-	-	3	0.18	-	-	-
	Total Carnivora	3067	64.36	2665	42 (4/38/0)	93.33	2862	70.82	2558	37 (4/33/0)	94.87	1026	60.01	887	17 (1/16/0)	100
<i>Hipparium cf. matthewi</i>	Equidae/Perissodactyla	3	0.06	3	1 (0/1/0)	2.22	4	0.10	4	1 (0/1/0)	2.56	0	0.00	0	0	0.00
Rhinocerotidae indet.	Rhinocerotidae/Perissodactyla	0	0.00	-	-	-	1	0.02	-	-	-	0	0.00	-	-	-
	Total Perissodactyla	3	0.063	3	1 (0/1/0)	2.22	5	0.12	4	1 (0/1/0)	2.56	0	0.00	0	0	0.00
<i>Hippopotamodon major</i>	Suidae/Artiodactyla	2	0.04	2	1 (0/1/0)	2.22	1	0.02	1	1 (0/1/0)	2.56	0	0.00	0	0	0.00
<i>Austroportax</i> sp.	Bovidae/Artiodactyla	3	0.06	3	1 (0/1/0)	2.22	0	0.00	0	0	0.00	0	0.00	0	0	0.00

Bovidae indet.	Bovidae/Artiodactyla	3	0.06	-	-	-	0	0.00	-	-	-	1	0.06	-	-	-
	Total Artiodactyla	8	0.168	5	2 (0/2/0)	4.44	1	0.02	1	1 (0/1/0)	2.56	1	0.06	0	0	0.00
Herbivore indet.		10	0.21	-	-	-	0	0.00	-	-	-	0	0.00	-	-	-
Aves indet.		178	3.75	-	-	-	185	4.58	-	-	-	44	2.58	-	-	-
Testudines indet.	Testudines	103	2.17	-	-	-	44	1.09	-	-	-	67	3.92	-	-	-
<i>Varanus marathoniensis</i>	Varanidae/Squamata	40	0.84	-	-	-	3	0.07	-	-	-	0	0.00	-	-	-
Reptilia indet.		4	0.08	-	-	-	3	0.07	-	-	-	0	0.00	-	-	-
Indetermined		1337	28.15	-	-	-	934	23.14	-	-	-	570	33.37	-	-	-
	Total	4750	100	2683	45	100	4037	100	2566	39	100	1708	100	888	17	100

1196 **Table 2.** Chi-square tests to compare the three fossiliferous levels of Batallones-3 and
 1197 Batallones-1. Above the diagonal are the p-values. Below diagonal is the chi-square
 1198 statistic. Significant differences when p<0.01.

		Batallones-3			Batallones-1
		Level I	Level II	Level III	Batallones-1
%NISP	Batallones-3 Level I	-	1.00	1.00	1.00
Carnivora -	Batallones-3 Level II	0.00	-	1.00	1.00
Artiodactyla -	Batallones-3 Level III	0.00	0.00	-	1.00
Perissodactyla	Batallones-1	0.00	0.00	0.00	-
%MNI	Batallones-3 Level I	-	0.52	< 0.01	< 0.01
Carnivoran species	Batallones-3 Level II	5.19	-	0.02	0.06
	Batallones-3 Level III	20.98	15.25	-	< 0.01
	Batallones-1	53.31	45.27	49.67	-
%NISP	Batallones-3 Level I	-	0.63	0.16	< 0.01
Number of fractures	Batallones-3 Level II	1.86	-	0.80	< 0.01
	Batallones-3 Level III	5.19	0.93	-	< 0.01
	Batallones-1	22.11	30.86	36.81	-
%NISP	Batallones-3 Level I	-	0.013	0.12	< 0.01
Fracture angle	Batallones-3 Level II	16.10	-	< 0.01	0.03
	Batallones-3 Level III	7.35	22.47	-	< 0.01
	Batallones-1	33.24	13.77	44.59	-
%NISP	Batallones-3 Level I	-	0.60	0.10	< 0.01
Fracture surface	Batallones-3 Level II	1.03	-	0.09	< 0.01
	Batallones-3 Level III	4.64	4.75	-	< 0.01
	Batallones-1	29.73	32.30	45.70	-
%NISP	Batallones-3 Level I	-	0.15	< 0.01	< 0.01
Bone completeness	Batallones-3 Level II	5.40	-	< 0.01	< 0.01
	Batallones-3 Level III	25.50	20.40	-	< 0.01
	Batallones-1	26.88	12.52	38.23	-
%NISP	Batallones-3 Level I	-	1.00	1.00	1.00
Weathering	Batallones-3 Level II	0.00	-	1.00	1.00
	Batallones-3 Level III	0.00	0.00	-	1.00
	Batallones-1	0.00	0.00	0.00	-
%NISP	Batallones-3 Level I	-	0.31	0.02	1.00
Abrasion	Batallones-3 Level II	1.01	-	0.09	0.31
	Batallones-3 Level III	5.18	2.75	-	0.02
	Batallones-1	0.00	1.01	5.13	-
%NISP	Batallones-3 Level I	-	1.00	1.00	1.00
Trampling	Batallones-3 Level II	0.00	-	1.00	1.00
	Batallones-3 Level III	0.00	0.00	-	1.00
	Batallones-1	0.00	0.00	0.00	-
%NISP Root marks	Batallones-3 Level I	-	0.02	< 0.01	< 0.01
	Batallones-3 Level II	5.18	-	0.46	< 0.01
	Batallones-3 Level III	8.97	0.54	-	< 0.01

	Batallones-1	54.93	84.04	94.57	-
%NISP Manganese oxides	Batallones-3 Level I	-	0.05	< 0. 01	< 0. 01
	Batallones-3 Level II	3.72	-	0.46	< 0. 01
	Batallones-3 Level III	11.51	2.41	-	< 0. 01
	Batallones-1	44.63	68.81	88.27	-
%NISP Carnivore marks	Batallones-3 Level I	-	1.00	1.00	1.00
	Batallones-3 Level II	0.00	-	1.00	1.00
	Batallones-3 Level III	0.00	0.00	-	1.00
	Batallones-1	0.00	0.00	0.00	-

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1220 **Table 3.** Skeletal element frequencies for Batallones-3 levels expressed as Number of
 1221 Identified Specimens (NISP) and percentages.

	Level I		Level II		Level III	
	NISP	%	NISP	%	NISP	%
Horn	2	0.04	0	0.00	0	0.00
Skull	52	1.08	35	0.86	22	1.29
Mandible	55	1.15	43	1.06	11	0.64
Molar	23	0.48	16	0.39	3	0.18
Premolar	46	0.96	45	1.11	8	0.47
Canine	34	0.71	57	1.40	15	0.88
Incisor	118	2.46	116	2.86	30	1.76
Indet. Tooth	15	0.31	6	0.15	2	0.12
Hyoid	10	0.21	3	0.07	8	0.47
Atlas	19	0.40	16	0.39	3	0.18
Axis	22	0.46	14	0.34	9	0.53
Vertebra	721	15.04	630	15.52	309	18.09
Sternebra	39	0.81	34	0.84	23	1.35
Rib	367	7.66	262	6.45	134	7.85
Clavicle	9	0.19	14	0.34	2	0.12
Scapula	41	0.86	35	0.86	21	1.23
Pelvis	69	1.44	43	1.06	11	0.64
Baculus	5	0.10	1	0.02	5	0.29
Humerus	21	0.44	57	1.40	30	1.76
Radius	72	1.50	55	1.36	19	1.11
Ulna	78	1.63	54	1.33	28	1.64
Femur	78	1.63	84	2.07	30	1.76
Tibia	84	1.75	70	1.72	18	1.05
Fibula	23	0.48	44	1.08	10	0.59
Patella	47	0.98	48	1.18	10	0.59
Carpal/Tarsal	415	8.66	356	8.77	148	8.67
Astragalus	53	1.11	51	1.26	18	1.05
Calcaneus	60	1.25	60	1.48	17	1.00
Indet. Metapodial	0	0.00	85	2.09	36	2.11
Metacarpal	167	3.48	150	3.70	59	3.45
Metatarsal	184	3.84	141	3.47	47	2.75
1st Phalanx	374	7.80	287	7.07	126	7.38
2nd Phalanx	296	6.17	255	6.28	98	5.74
3rd Phalanx	247	5.15	230	5.67	94	5.50
Indet. Phalanx	107	2.23	111	2.73	52	3.04
Sesamoid	340	7.09	321	7.91	145	8.49
Turtle shell	40	0.83	20	0.49	16	0.94
Indetermined	461	9.62	210	5.17	91	5.33
TOTAL	4794		4048		1711	

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1224 **Table 4.** Other surface bone modifications per level. Indet. = Unidentifiable remains
 1225 with no assigned fossiliferous level.

	Level I		Level II		Level III		Indet.	
	NISP	%	NISP	%	NISP	%	NISP	%
Weathering								
Stage 0	479	100.0	405	100.0	170	99.4	976	97.2
Stage 1	0	0.0	0	0.0	1	0.6	25	2.5
Stage 2	0	0.0	0	0.0	0	0.0	3	0.3
Abrasion								
Stage 1	479	100.0	399	98.5	162	94.7	923	91.9
Stage 2	0	0.0	6	1.5	9	5.3	77	7.7
Stage 3	0	0.0	0	0.0	0	0.0	4	0.4
Root marks	210	43.8	242	59.7	110	64.4	618	61.6
Trampling	0	0.0	0	0.0	0	0.0	16	1.6
Manganese oxides	352	73.5	343	84.7	157	91.8	598	59.6

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1244 **Table 5.** Skeletal completeness for Batallones-3 Levels I, II and III most abundant

1245 carnivorans. Teeth, vertebrae, ribs, and sesamoids were removed for the estimation of

1246 the percentage of skeletal completeness. Kolmogorov-Smirnov test compares the MNE

1247 and the expected number of elements for the MNI per taxa, per level. $p > 0.01$ = not

1248 significantly different. $p < 0.01$ = significantly different.

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	% Skeletal completeness	Kolmogorov-Smirnov Test	
		D	p
Level I			
<i>Machairodus aphanistus</i>	71.29	0.50	0.039
<i>Promegantereon ogygia</i>	71.17	0.43	0.111
<i>Indarctos arctoides</i>	70.15	0.36	0.267
Level II			
<i>Machairodus aphanistus</i>	75.20	0.57	0.012
<i>Promegantereon ogygia</i>	72.69	0.43	0.111
<i>Indarctos arctoides</i>	62.07	0.50	0.039
Level III			
<i>Machairodus aphanistus</i>	55.09	0.57	0.012
<i>Promegantereon ogygia</i>	45.62	0.50	0.039
<i>Indarctos arctoides</i>	33.67	0.64	0.029

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1264 **Figure legends**

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1266 **Figure 1.** A. Map of the Iberian Peninsula showing the location of Madrid and

1267 Valdemoro. B. Location of Batallones-1 and Batallones-3 sites, west of Valdemoro. C.

1268 Theoretical hourglass shaped cavity, with an upper herbivore-dominated assemblage

1269 and a lower, carnivore-dominated assemblage. Modified from Pozo *et al.* (2004) and

1270 Domingo *et al.* (2013a).

1271

1272 **Figure 2.** Spatial distribution of Batallones-3 fossil remains. A. Plan view (X-Y

1273 coordinate plane). B. X-Z cross section (left), showing the fine-tuned discrete

1274 fossiliferous level models. C. X-Z cross section (right), showing the fine-tuned discrete

1275 fossiliferous level models.

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1277 **Figure 3.** Skeletal element proportions expressed as Relative Abundance (R_i) in

1278 Batallones-3. A, D and G. *Machaerodus aphanistus* skeletal element proportions in

1279 Batallones-3 Levels I, II and III, respectively. B, E and H. *Promegatereon ogygia*

1280 skeletal element proportions in Batallones-3 Levels I, II and III, respectively. C, F and I.

1281 *Indarctos arctoides* skeletal element proportions in Batallones-3 Levels I, II and III,

1282 respectively. Mand = mandible, Vert = vertebra, Scap = scapula, Pel = pelvis, Hum =

1283 humerus, Rad = radius, Uln = ulna, Fem = femur, Tib = tibia, Pat = patella, Carp/Tars =

1284 carpal/tarsal, Mtc/Mtt = metacarpal/metatarsal, Phal = phalanx, Sesa = sesamoid.

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1286 **Figure 4.** Stereographic projections of the elongated axis of remains. Mean trend and

1287 plunge represented by plus sign. A. Level I stereographic projections. B. Level II

1288 stereographic projections. C. Level III stereographic projections. Cold colours: lower

1289 density of remains. Warm colours: higher density of remains.

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1291 **Figure 5.** *Indarctos arctoides* fossil densities for Batallones-3 levels. Density values
1292 represent the number of points per square unit (cm), per quadrat. A. Level I *Indarctos*
1293 *arctoides* fossil densities. B. Level II *Indarctos arctoides* fossil densities. C. Level III
1294 *Indarctos arctoides* fossil densities. The MNI of *Indarctos arctoides* per level is provided
1295 in the lower right corner.

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1297 **Figure 6.** Bone breakage at Batallones-3. A, B and C. Number of fractures. D, E and F.
1298 Degree of bone completeness. G, H, and I. Fracture type/angle. J, K and L. Fracture
1299 surface. A, D, G and J. Level I remains. B, E, H and K. Level II remains. C, F, I and L.
1300 Level III remains.

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1302 **Figure 7.** A. Common Batallones-3 taphonomic modifications. Ailuropodine bear
1303 *Indarctos arctoides* skull BAT-3'15-1000 (Level I), displaying typical Batallones-3
1304 taphonomical modifications on autochthonous remains. Fractures are due to
1305 fossildiagenetic breakage. Note that, despite the high frequency of fractures, the skull
1306 is complete. B. Detail of the root-marked upper carnassial (P4). C. Detail of root marks
1307 on the mandible. D and E. Sabretooth felid *Promegantereon ogygia* right femur BAT-
1308 3'09-1236 (Level III) with perpendicular fossildiagenetic fractures with smooth fracture
1309 surfaces. F and G. Allochthonous herbivore remains in the Batallones-3 assemblage.
1310 F. *Hipparium* inferior molar BAT-3'12-1695 (Undetermined level). G. *Hipparium* incisors
1311 with mandible fragments BAT-3'11-1716 and BAT-3'11-1717 (Level I).

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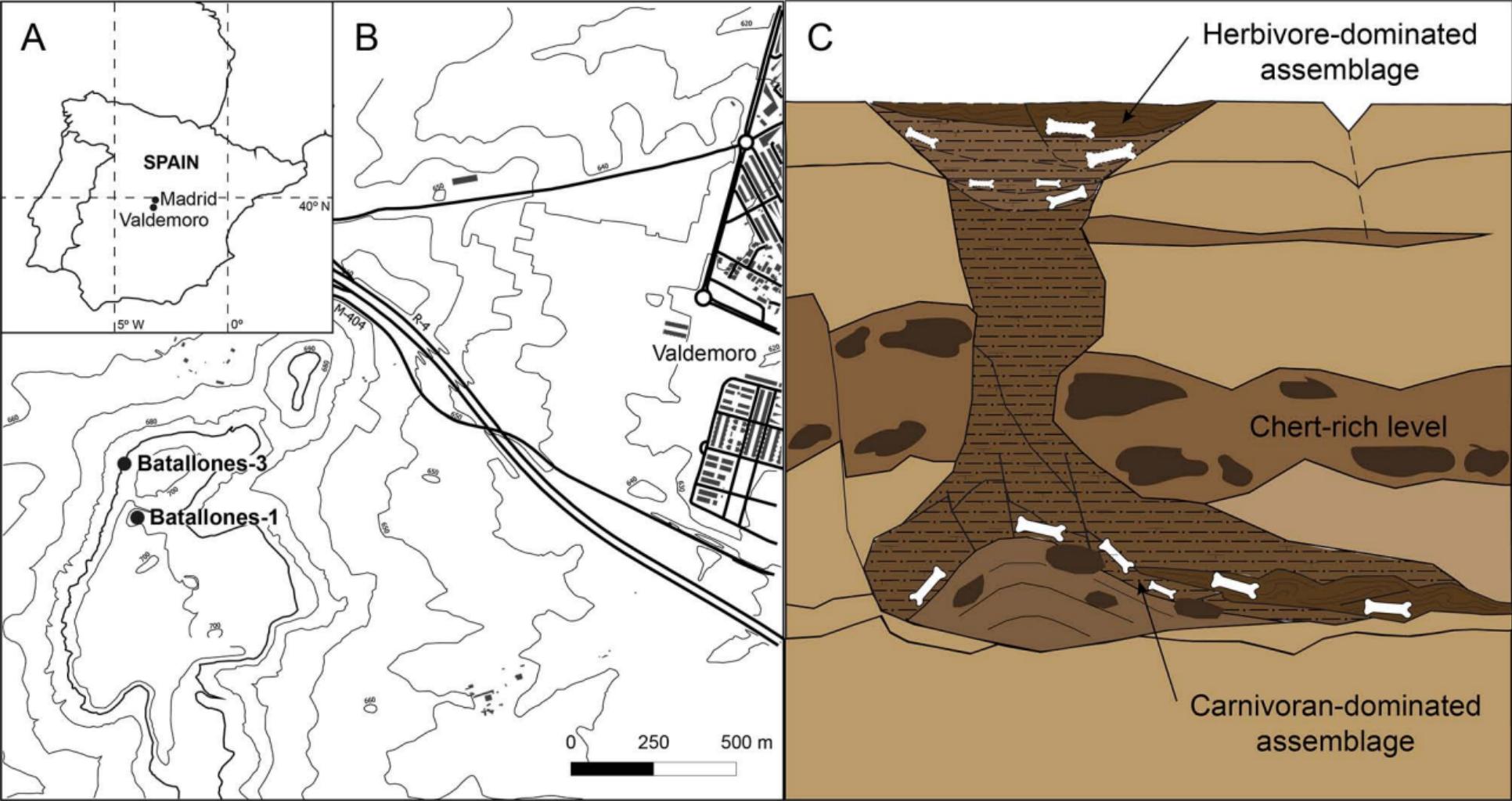
1313 **Figure 8.** Batallones-3 associated and articulated remains. A. *Machairodus aphanistus*
1314 articulated carpals, metacarpals, and phalanges. B. Associated *Magericyon anceps*
1315 radius, ulna, tibia, femur, and vertebrae. Note diagenetic fractures affecting both bones
1316 and surrounding matrix.

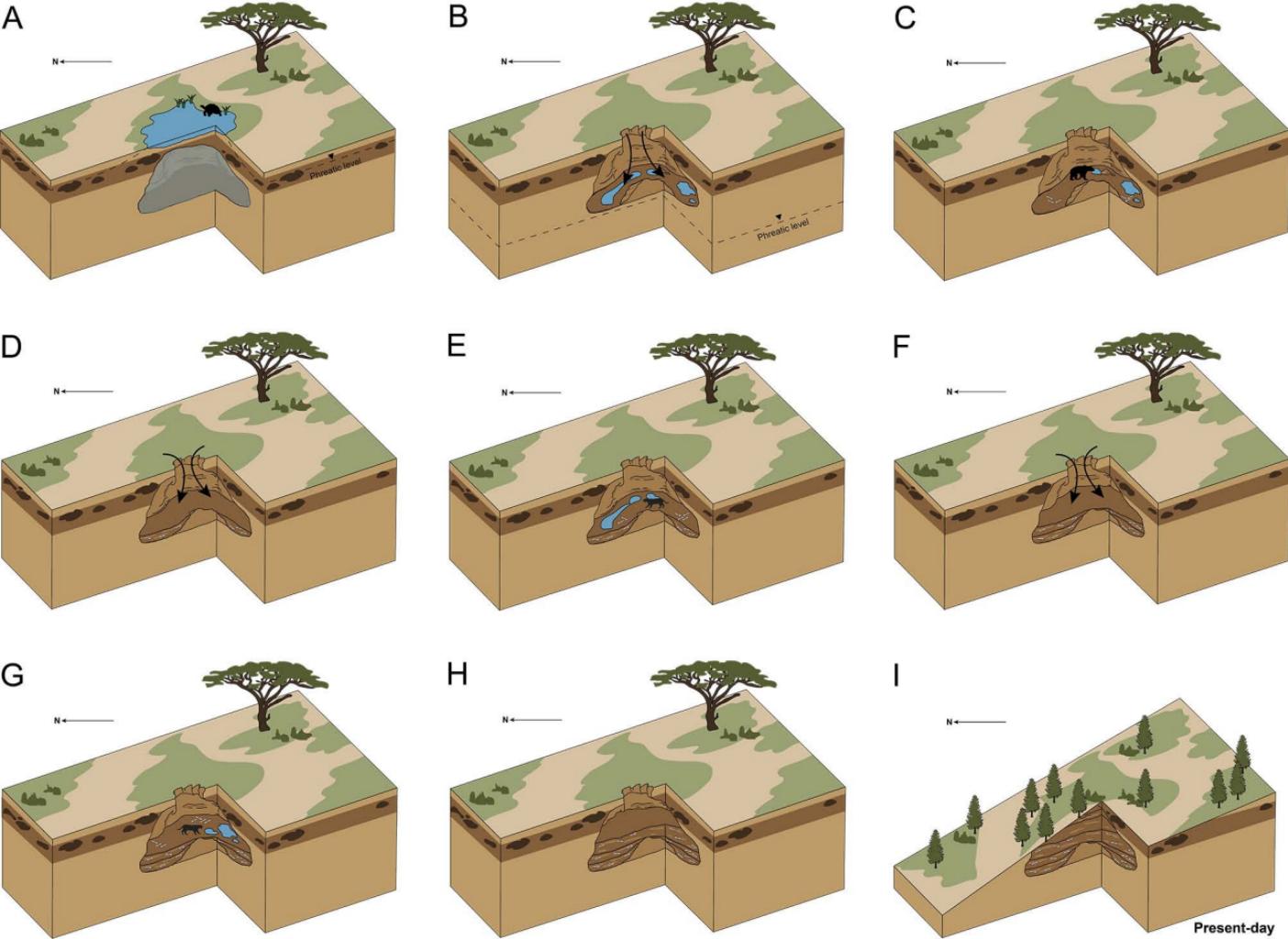
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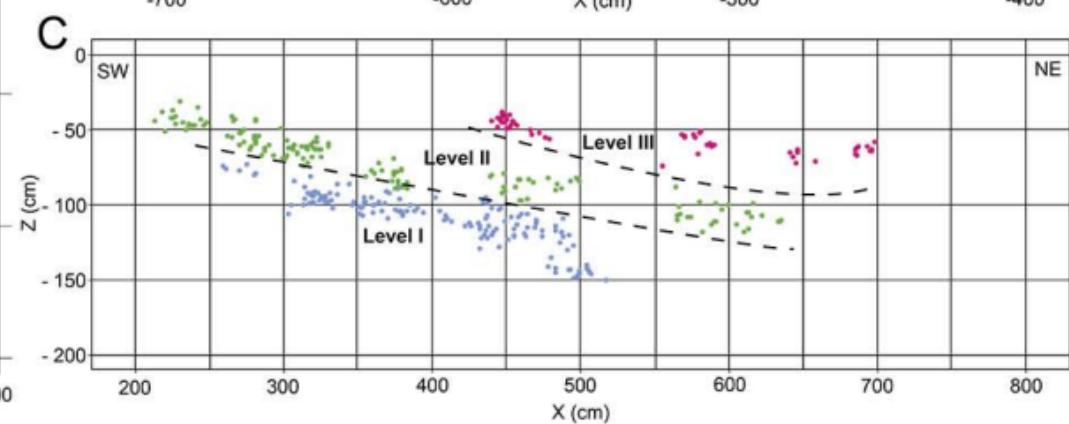
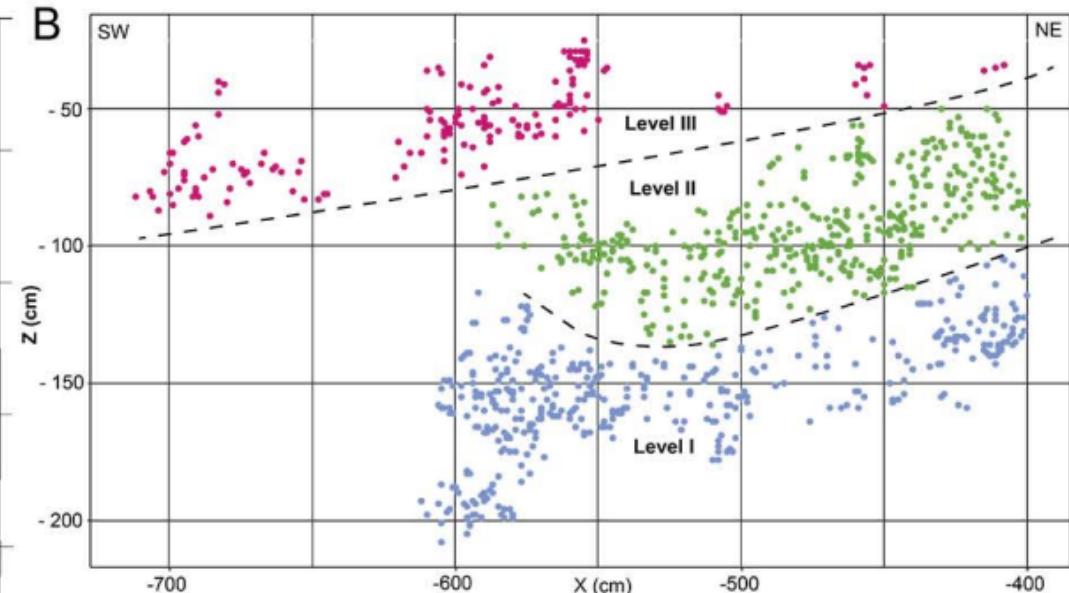
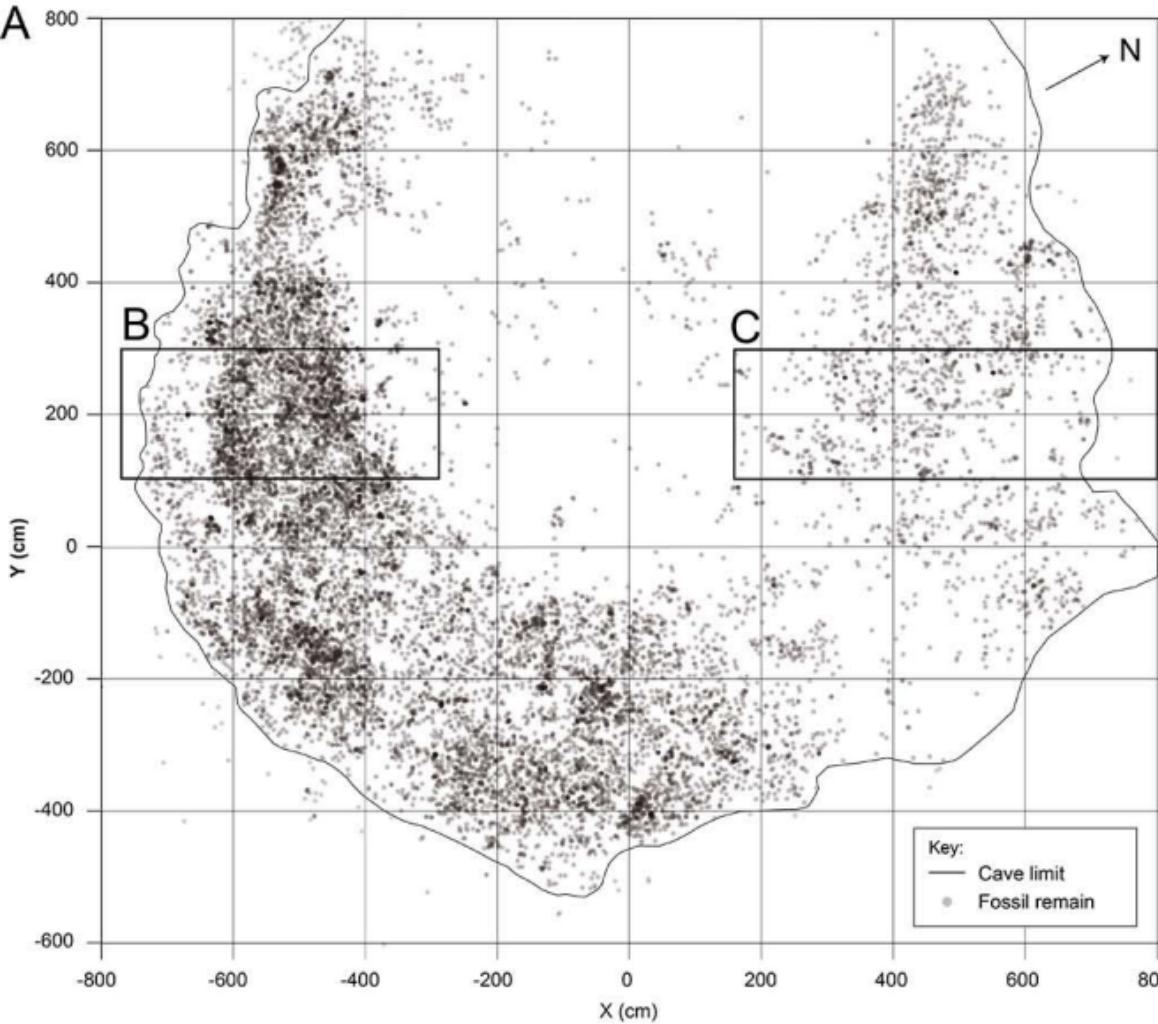
1318 **Figure 9.** Mortality patterns for *Indarctos arctoides* individuals for each level, compared
1319 to other ursid-abundant assemblages. YC = Yarimburgaz Cave, a hibernation death
1320 assemblage (Stiner *et al.* 1996; Stiner 1998). LC = Lawson Cave and JC = Jerry Long
1321 Cave (Wolverton 2006), two natural trap bear assemblages.

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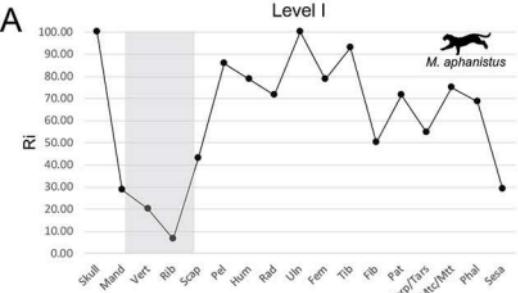
1323 **Figure 10.** Taphonomic history of the Batallones-3 assemblage. A. Permanent water
1324 body before cavity roof collapse. B. Descent of phreatic level, roof collapse and debris
1325 cone formation. C, E and G. Natural trapping of carnivores (autochthonous
1326 assemblages). D, F and H. Alluvial sediment and allochthonous remains input. C.
1327 Batallones-3 Level I. E. Batallones-3 Level II. G. Batallones-3 Level III. I. Present day
1328 slope erosion, pine replantation. Due to slope erosion, it is uncertain if an upper
1329 herbivore-dominated assemblage was ever formed after step H.



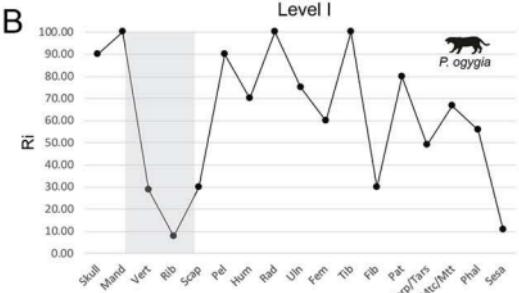




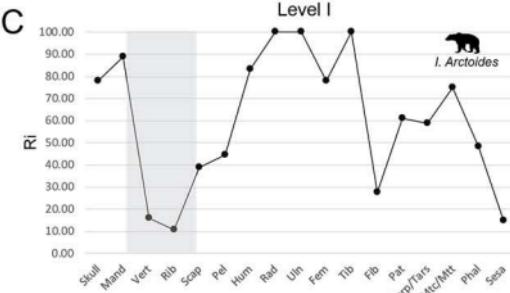
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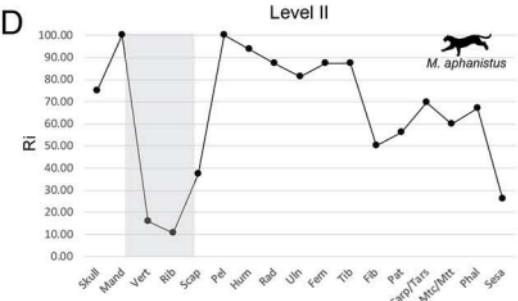
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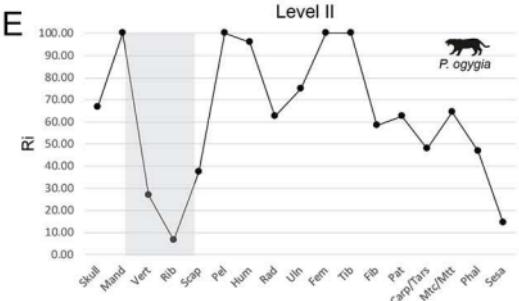
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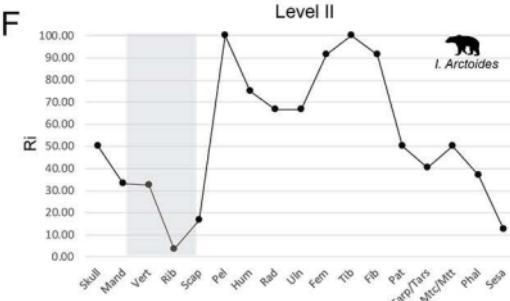
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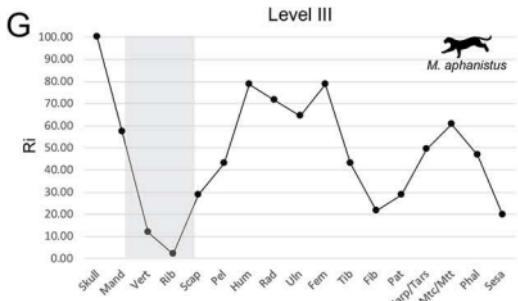
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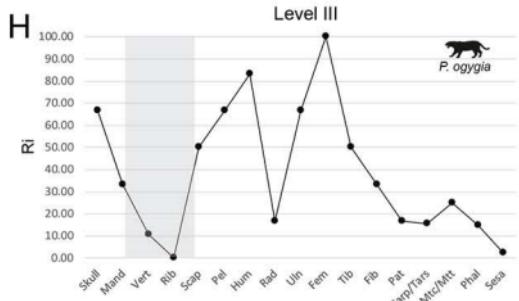
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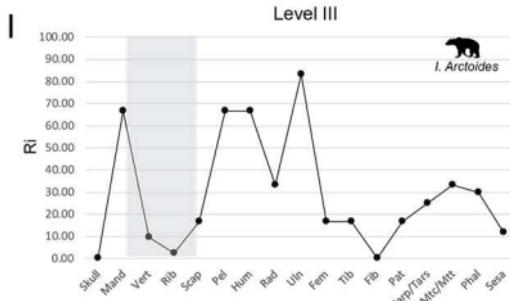
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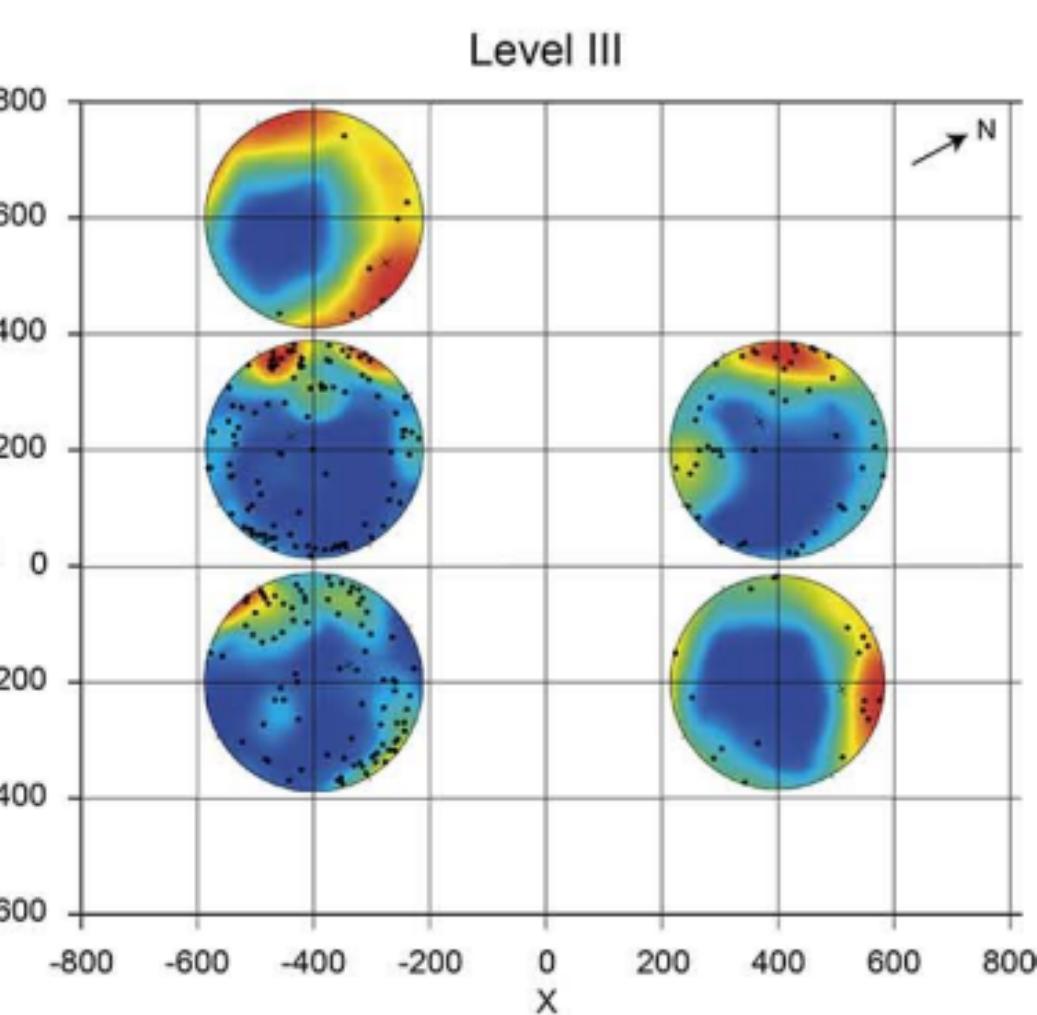
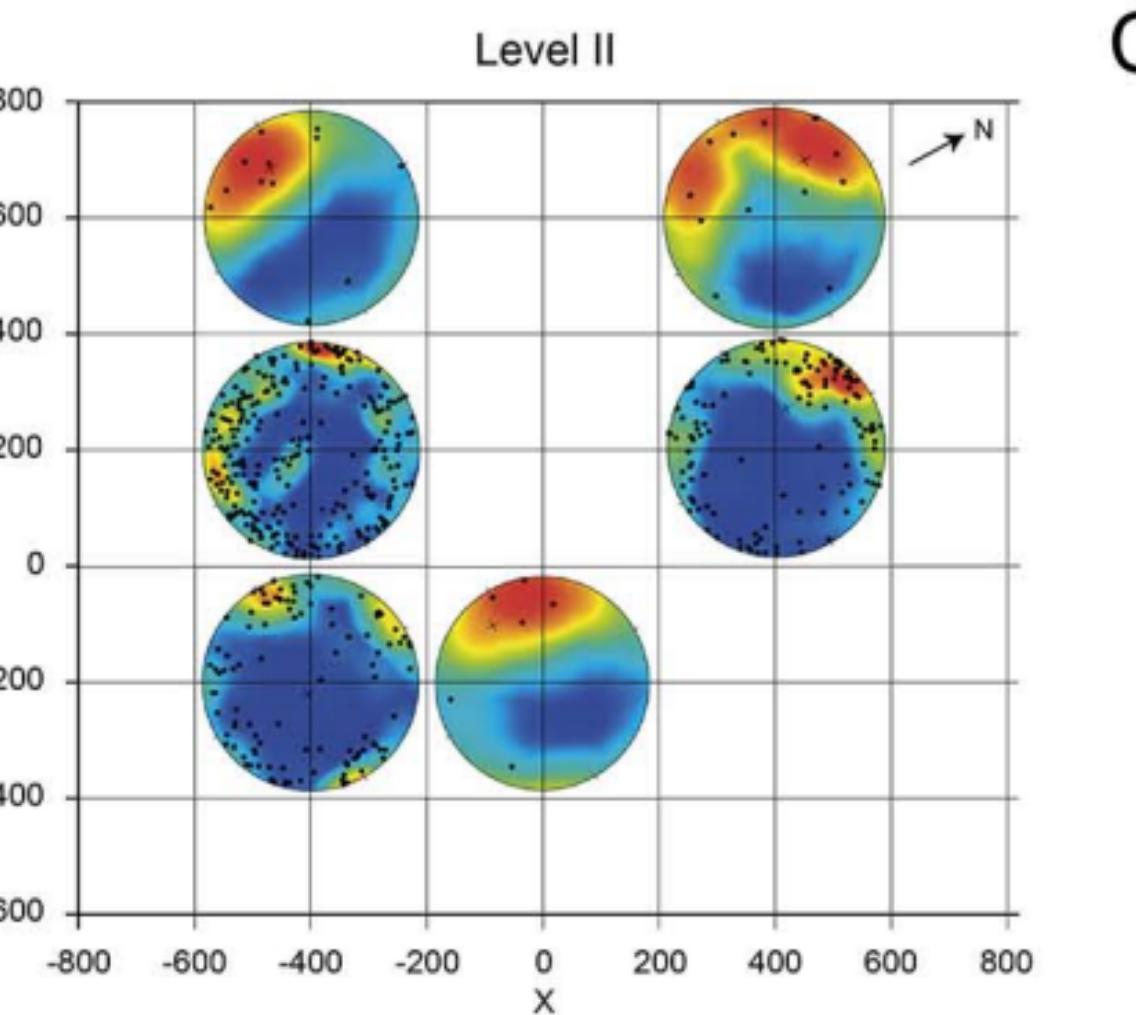
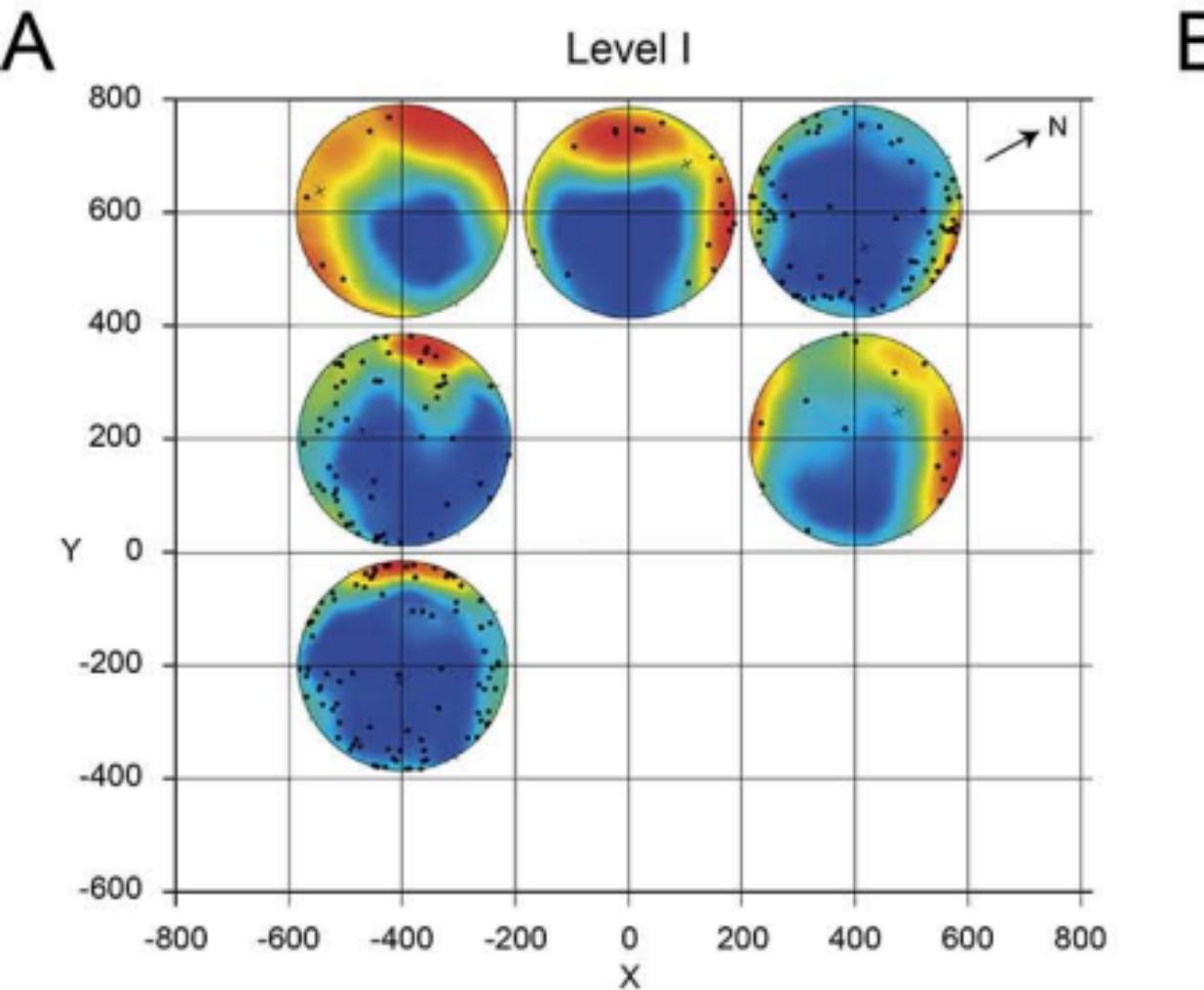


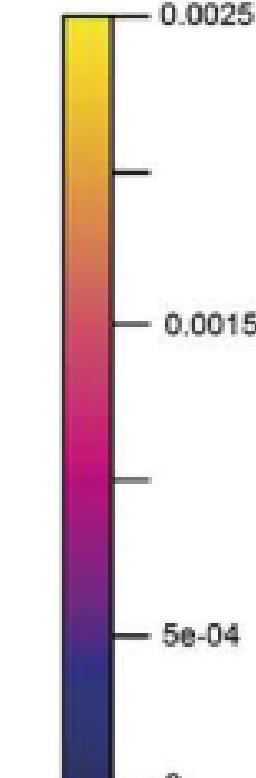
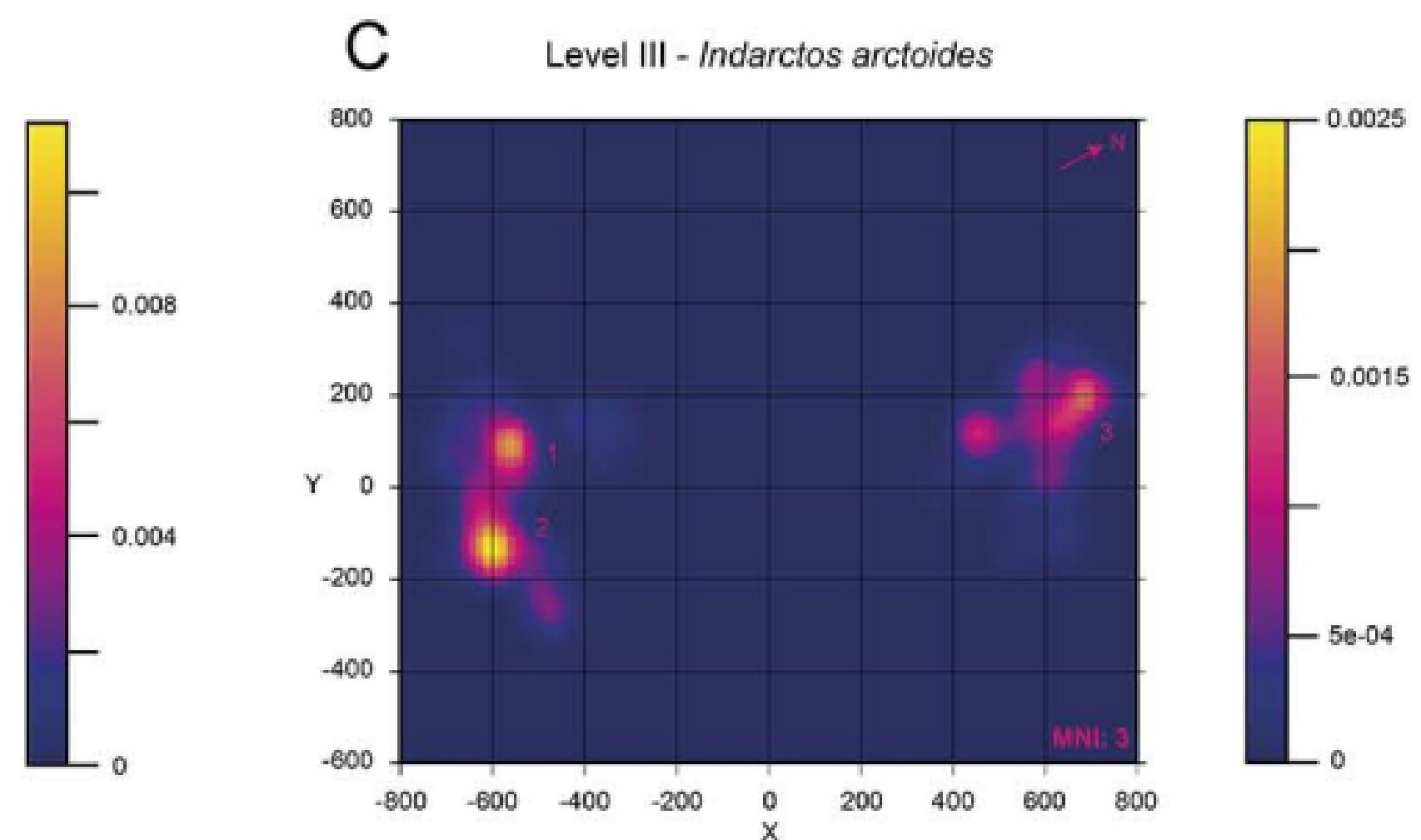
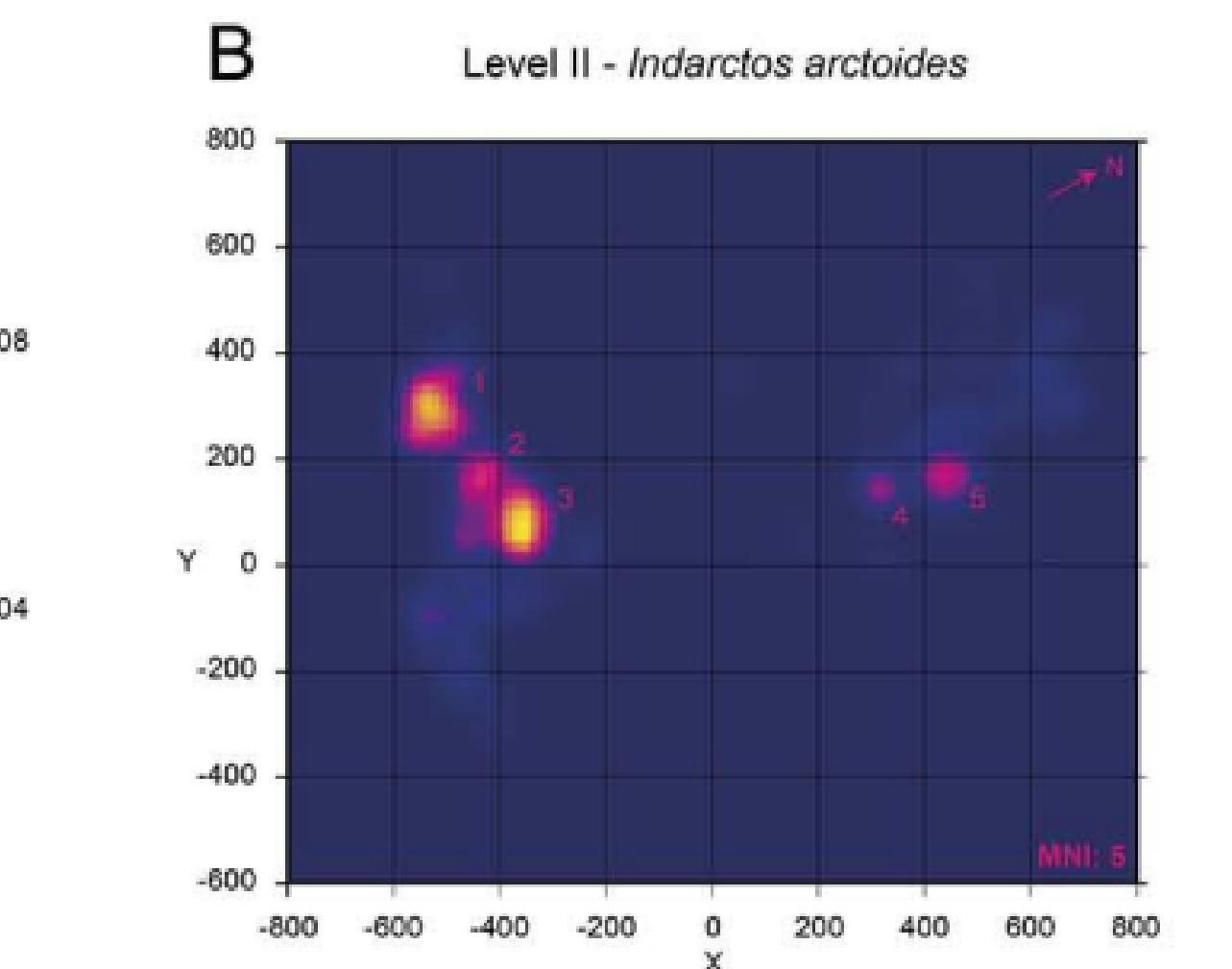
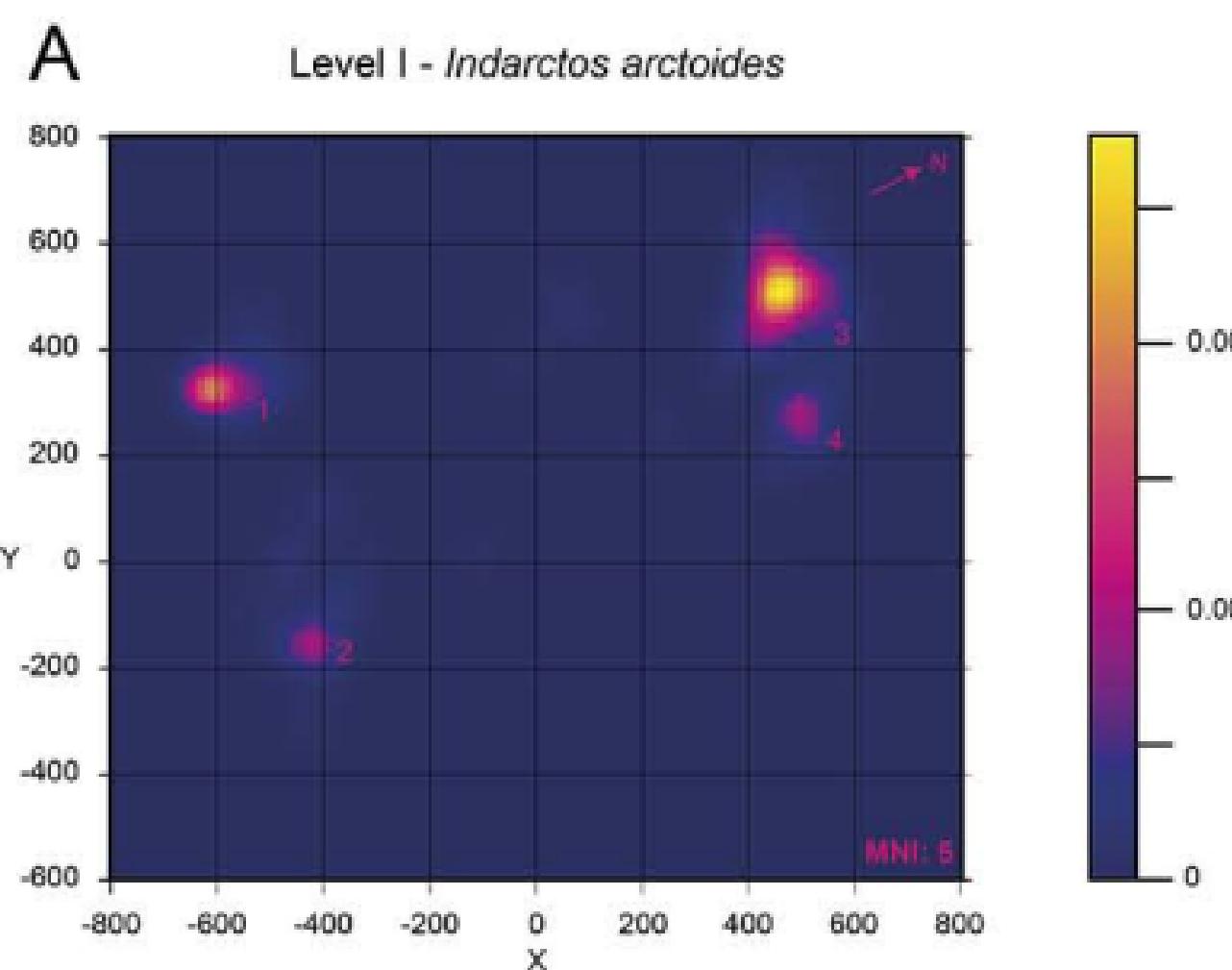
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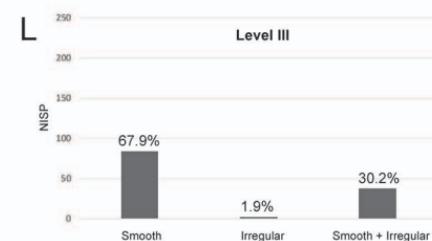
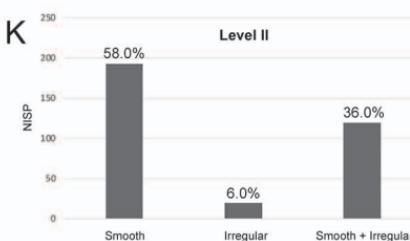
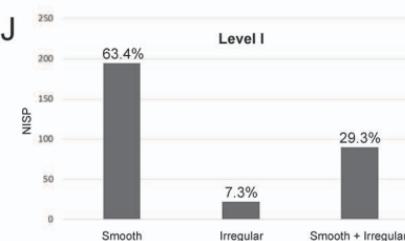
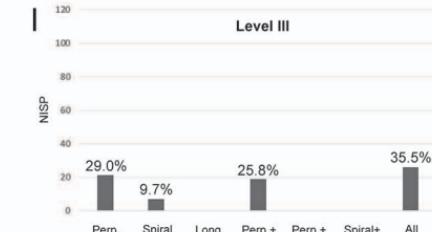
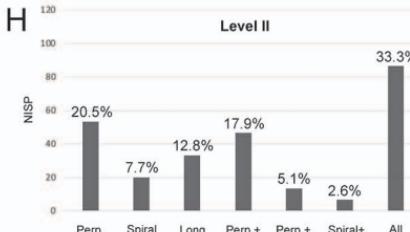
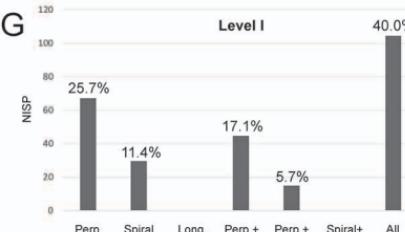
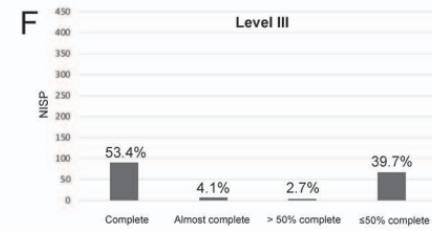
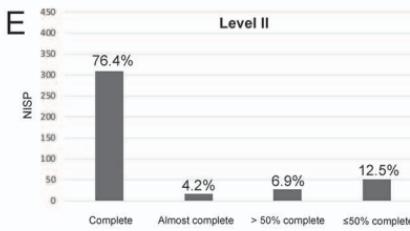
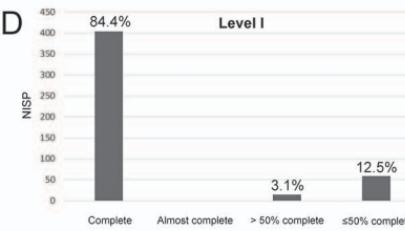
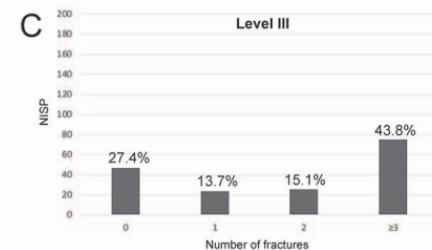
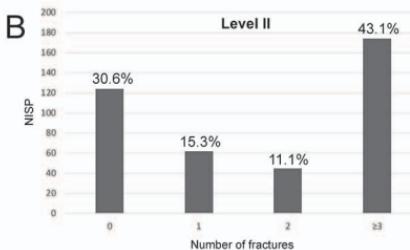
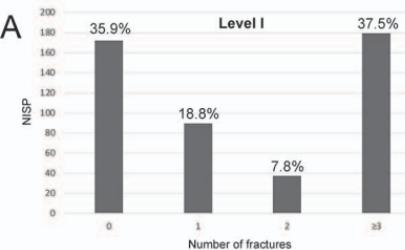


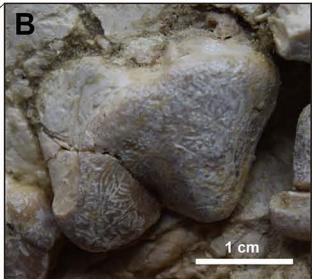
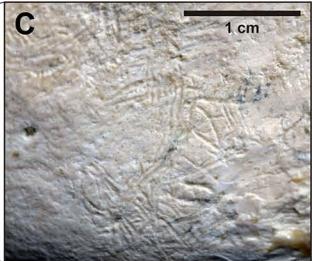
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A**B****C****D****F****E****G**

A**B**