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Established tools and emerging trends for the production of recombinant proteins and metabolites in *Pichia pastoris*

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22 **Abstract**

23 Besides bakers' yeast, the methylotrophic yeast *Komagataella phaffii* (also known as *Pichia*
24 *pastoris*) has been developed into the most popular yeast cell factory for the production of
25 heterologous proteins. Strong promoters, stable genetic constructs and a growing collection
26 of freely available strains, tools and protocols have boosted this development equally as
27 thorough genetic and cell biological characterization. This review provides an overview of
28 state-of-the-art tools and techniques for working with *P. pastoris*, as well as guidelines for
29 the production of recombinant proteins with a focus on small scale production for
30 biochemical studies and protein characterization. The growing applications of *P. pastoris* for
31 *in vivo* biotransformation and metabolic pathway engineering for the production of bulk and
32 specialty chemicals are highlighted as well.

33

34 **Introduction**

35 Methylotrophic yeasts raised the interest of biotechnologists first for their ability to grow on
36 methanol as the only carbon and energy source, thus promising the production of cheap
37 protein rich biomass for animal and human nutrition (1). The oil crisis in the 1970s
38 interrupted these plans, however, triggered the development of these yeasts as platforms
39 for the production of heterologous proteins. Among them, *Komagataella phaffii* (formerly
40 known as *Pichia pastoris*) stands out by its wide application in research labs and industrial
41 production. More recently, *P. pastoris* was also employed for the production of metabolites
42 by metabolic engineering. A well-annotated genome sequence (2) as well as genome editing
43 tools and collections of synthetic biology parts and devices make *P. pastoris* a promising
44 chassis for synthetic biology applications (3).

45 The first yeast strain of what is known today as the *Komagataella* genus was isolated in 1920
46 by Alexandre Guillermond from a wounded horse chestnut tree and described as
47 *Zygosaccharomyces pastori* (4). Further strains were described as *Pichia pastoris* by Herman
48 Phaff, isolated mainly from oak and pine trees of Southwest United States (5). Based on
49 ribosomal gene sequences *P. pastoris* was further allocated to a new genus, *Komagataella*,
50 and split into two species. With new isolates found over the following years *Komagataella*
51 comprises seven species today (6). The strains used in biotechnology however are usually
52 still all referred to as *Pichia pastoris* which will be used as a synonym for *Komagataella spp.*
53 in the following as well.

54

55 **Methylotrophy**

56 Methylotrophy, i.e. the ability to use methanol and similar one-carbon molecules as carbon
57 and energy source, has developed several times among bacteria and yeasts. Methylotrophic
58 yeasts are phylogenetically related and comprise species of the genera *Komagataella*,
59 *Ogataea*, and some *Candida* sp. (7). They share the specific metabolism, first oxidizing
60 methanol by alcohol oxidases (AOX) to formaldehyde, which is further assimilated by
61 dihydroxyacetone synthase (DAS) via the xylulose monophosphate cycle (**Figure 1**). Due to
62 the low specific activity of these enzymes extraordinarily high expression levels are required,
63 so that the promoters regulating *AOX1* and the two *DAS* genes are stronger than any other
64 metabolic gene promoters of *K. phaffii*. The entire xylulose monophosphate cycle is localized
65 in peroxisomes which probably increases its efficiency by metabolic channeling and shielding

66 the cytosol from toxic intermediates like hydrogen peroxide (8, 9). In three interconnected
67 cyclic pathways 3 xylulose-5-phosphate molecules are regenerated while one
68 glyceraldehyde-3-phosphate is built as a precursor for biomass formation (**Figure 1**). This
69 pathway shares surprising similarity to the Calvin-Benson-Bessham cycle of CO₂ assimilation
70 (9). Besides this assimilatory pathway, energy is produced by oxidation of formaldehyde to
71 CO₂ via formate, yielding cytosolic NADH which is both used as reduction equivalent and
72 channeled into the respiratory chain to provide ATP.

73 Methylotrophy was first employed in biotechnology for the production of yeast biomass
74 from natural gas for food and feed applications (1), but soon also considered useful for
75 recombinant protein production because of the strong and regulated promoters, mainly the
76 *AOX1* promoter of *P. pastoris* (10). In a standard setup, methanol is used both as the carbon
77 and energy source and as the inducer of heterologous gene expression. To this end, three
78 different methanol utilization (Mut) phenotypes are employed based on the presence or
79 deletion of the two alcohol oxidase genes. The wild type with both *AOX1* and *AOX2* active is
80 called Mut⁺, while deletion of the main form, *AOX1*, leads to the Mut^S (methanol utilization
81 slow) phenotype, and strains deleted in both *AOX* genes are called Mut⁻. Mut^S strains are
82 often employed in protein production while Mut⁻ strains are considered less suitable based
83 on the assumption that they lack any energy supply from methanol, and also do not provide
84 for formaldehyde and formate which are considered to play a major role in the induction of
85 methanol utilization genes (11). Zavec et al. (12) showed however that also Mut⁻ strains
86 consume methanol and can serve as a platform for the production of proteins. The same
87 authors demonstrated recently that native alcohol dehydrogenase (Adh2) of *P. pastoris* is
88 responsible for methanol oxidation in Mut⁻ strains (13).

89

90 **Tools & Techniques**

91 From strain construction until bioprocess optimization for recombinant production in any
92 expression system, several product-specific aspects need to be considered. This is where the
93 well-established and constantly expanding set of tools and techniques available for strain
94 and bioprocess engineering (**Figure 2**) contributes in making *P. pastoris* a highly efficient
95 expression system (14, 15).

96

97

98 **Design of the expression construct**

99 *P. pastoris* offers a range of well-characterized constitutive and inducible promoters to
100 choose from. The constitutive promoter P_{GAP} has frequently been used in large scale
101 production of recombinant proteins in *P. pastoris* (16). Other strong constitutive promoters
102 include P_{TEF1} and P_{GCW14} (17). However, constitutive promoters are not ideal for recombinant
103 proteins that are toxic to the cells. In terms of inducible promoters, most commonly the
104 methylotrophic feature of *P. pastoris* is harnessed by driving the expression of recombinant
105 genes under the influence of the methanol-inducible promoter of the alcohol oxidase I
106 ($AOX1$) gene (18). Other strong methanol-based promoters include P_{FLD1} (also induced by
107 methylamine), P_{DAS} and P_{CAT1} (also induced by glycerol and de-repressed under carbon
108 source limitation) (17). While methanol-based promoters provide strong induction, their
109 disadvantage in terms of toxicity of methanol has led to extensive research for the discovery
110 of alternative, methanol-independent expression systems. On one hand, cell engineering has
111 been successfully applied to develop methanol-independent P_{AOX1} and P_{DAS1} host systems
112 (19, 20). Hartner *et al.* created a library of several P_{AOX1} variants, by which they not only
113 identified variants with activities higher than the native promoter upon methanol induction,
114 but also identified some variants which demonstrated strong methanol-independent activity
115 in de-repressing conditions (21). On the other hand, several methanol-independent
116 promoters were identified, including P_{GTH1} (induced in glucose-limited conditions), P_{THI11}
117 (thiamine repressed) and P_{ADH3} (ethanol-induced) (22-24). Orthologous promoters have also
118 been successfully used, for example, the promoter of the methanol oxidase gene from
119 *Hansenula polymorpha* (P_{Mox}) (25, 26). Promoter engineering has been applied to some of
120 these native promoters as effective tool for engineering existing promoters for better
121 performance (27-30). Additionally, bidirectional promoters have been engineered which can
122 offer an advantage when co-expression of multiple genes is necessary (31).

123

124 Secretion of the recombinant product can simplify the downstream purification steps and
125 therefore is often desirable. Signal peptides play a very important role in efficiently driving
126 the secretion of the recombinant protein. While the secretion signal of the *Saccharomyces*
127 *cerevisiae* α -factor mating pheromone is most commonly used to drive the secretion of
128 recombinant proteins in *P. pastoris*, there have been instances where the native signal
129 peptide of the protein or other endogenous signal peptides were shown to have similar or

130 even higher efficiencies (32, 33). Additionally, higher yields of recombinant proteins have
131 been demonstrated using modified versions of the *S. cerevisiae* α -mating factor secretion
132 signal (34). However, selection of signal peptide can be protein-dependent and therefore,
133 testing multiple signal peptides can lead to higher yields (35).

134 In addition to transcriptional termination, terminator regions can also influence the level of
135 expression. The toolbox of terminators in *P. pastoris* was quite small, consisting of only 20
136 terminators with not much variation in expression between them (36). Only recently, a
137 catalog of 72 endogenous, heterogeneous and synthetic terminators was developed and
138 characterized, within which a tunability of 17-fold was observed (37).

139

140 **Selection of vector and background strain**

141 While there have been some studies towards the generation of circular plasmids with
142 autonomously replicating sequences (ARS) for stable recombinant expression in *P. pastoris*,
143 genomic integration vector systems are most commonly used (38, 39). A selection of vector
144 systems is commercially available with a choice of antibiotic or auxotrophic selection
145 markers (40, 41). Additionally, there are vectors based on the GoldenPiCS modular cloning
146 system which enable single reaction assembly of selected promoters, terminators, resistance
147 cassettes and genomic integration loci (36). For selection of a background strain, one gets to
148 choose from various wild-type and auxotrophic strains, strains with different methanol-
149 utilization phenotypes (Mut⁺, Mut^S & Mut^C), protease-deficient strains and glycol-engineered
150 strains (42).

151

152 **Molecular biology methods**

153 Several well-established molecular biology techniques in *P. pastoris* aid in speeding up the
154 strain generation process (**Figure 2**). The modular cloning toolbox of GoldenPiCS, mentioned
155 earlier, allows one-step assembly of multiple expression cassettes into a single vector, which
156 can then be linearized and integrated into the genome of the host strain. This method
157 requires the use of selection markers, which can be exploited to increase the copy number
158 of the recombinant gene construct by increasing the selection pressure. This can be an
159 advantage since increased gene dosage is often associated with increase in protein titers (15,
160 43, 44). In case of antibiotic resistance markers, there is a possibility for removal of the
161 resistance gene post selection of positive clones by transient expression of Cre recombinase,

162 however, this is not applicable if multicopy strains are intended (45). Recent development
163 and fine-tuning of the CRISPR/Cas9 technology in *P. pastoris* allows for marker free
164 integration of expression cassettes (46-48). While the technology still has its limitations, a
165 large amount of research has been directed in improving the technology leading to higher
166 efficiencies, possibility of multiple genomic integrations as well as application of deactivated
167 Cas9 (dCas9) for targeted gene interference (49).

168

169 **Strain selection & bioprocess optimization**

170 The final steps in strain development comprise evaluation of generated strains for final clone
171 selection and bioprocess optimization (**Figure 2**). Strain evaluation, often also referred to as
172 “screening”, is usually done in shake-flasks or in high-throughput microtiter plate cultivation.
173 Guidelines for such screenings are given in **Box 1**. To find suitable production clones,
174 screening of at least 20-40 clones is recommended. Depending on the protein of interest and
175 the desired product titer screening of several hundred clones may be required, which makes
176 high-throughput screening methods desirable. While on one hand it is possible to mimic
177 batch or fed-batch fermentation conditions and select optimum clones, bioprocess
178 optimization is usually not possible in shake-flasks/microtiter plates. In that respect
179 mini/microscale cultivations or microfluidics and Lab-on-Chip based technologies provide
180 alternative screening platforms that also integrate bioprocess optimization, making scale-up
181 more convenient (50-53).

182

183 **Strain engineering to improve protein production**

184 Several studies report strategies on how to enhance recombinant protein production and
185 secretion in *P. pastoris* (summarized in 54, 55). Such cell engineering approaches are mostly
186 not applied for initial characterization, unless a specific bottleneck is observed. Examples are
187 mainly the avoidance of proteolytic degradation through use of strains deficient in the major
188 cellular proteases such as *pep4* deficient strains or the co-expression of certain chaperones,
189 especially protein disulfide isomerase (55, 56). Some proteins such as cytochrome P450s or
190 heme-containing proteins require co-factors that either need to be added externally or
191 produced by the cells (57).

192

193

194 **Systems biology**

195 While a lot of advances has been made regarding the tools and techniques for strain
196 engineering in *P. pastoris*, development of systems biology tools is steadily gaining
197 importance. For example, genomic and proteomic studies have been applied to some
198 recombinant strains under conditions of recombinant protein production (54). Metabolic
199 flux analysis (MFA) has been carried out to understand the metabolic impact of recombinant
200 protein production in *P. pastoris* (58). Extensive MFA studies have been performed to
201 compare metabolite concentrations under different cultivation conditions (9, 59, 60).

202

203 Genome scale metabolic models (GEMs) have the potential to identify engineering targets to
204 alleviate metabolic burdens as well as to develop efficient bioprocess strategies. Several
205 GEMs have been available for *P. pastoris* since 2010 (61-65). Additionally, efforts have been
206 directed towards refining or upgrading existing GEMs, for e.g. by incorporating native and
207 humanized N-glycosylation pathways for production of glycoproteins (66), improving
208 biomass synthesis equations allowing improved prediction capabilities over a wide range of
209 substrates (67) or including additional reaction pathways (68). Thus, systems-level studies
210 are now being incorporated into the strain engineering and improvement workflow.

211

212 ***Pichia pastoris* as a host for recombinant protein production**

213

214 ***P. pastoris* produced recombinant proteins for biomedical and industrial applications**

215 Since the late 1990s *P. pastoris* has been used to produce proteins for biopharmaceutical,
216 industrial and diagnostic applications. Commercialized products include insulin, growth
217 factors, interferon, and subunit vaccines such as hepatitis B surface antigen, and several
218 others including peptides and antibody fragments (especially single chain antibody
219 fragments and nanobodies) are in the clinical pipeline (56, 69, 70). Furthermore, industrial
220 enzymes such as phospholipase C and phytase are produced using *P. pastoris* as host (71,
221 72). *P. pastoris* is also described to be a preferred expression system to prepare several
222 other recombinant subunit antigens against human and animal pathogens (70, 73), e.g.
223 brucellosis subunit vaccine (74), Zika virus envelope domain III (75), influenza hemagglutinin
224 and neuraminidase (69), and lately also Sars-CoV-2 spike antigen (76).

225 Very recently, *P. pastoris* has entered into another emerging market, as soy leghemoglobin
226 produced in *P. pastoris* was approved as a flavor component of cultured meat by the FDA
227 (77, 78).

228 While virus antigens are often produced as intracellular virus like particles (VLPs) (73) or self-
229 assembling nanoparticles (69), most proteins produced in *P. pastoris* are secreted (56, 70).
230 This allows for a reduced number of downstream processing steps during purification
231 compared to intracellular production (56). As several proteins secreted by *P. pastoris* have
232 received a GRAS notice by the FDA (77), it is even possible to directly use the culture
233 supernatant containing the secreted protein of interest in some cases such as in animal feed
234 applications (79).

235

236 **Production of recombinant proteins for structural and biophysical analysis**

237 Apart from being an important host for commercial protein production purposes, a high
238 number of published studies deal with using *P. pastoris* to provide a wide variety of
239 heterologous proteins and mutants thereof for biochemical characterization such as enzyme
240 activity or for structural and biophysical studies. Often these studies are the first steps for
241 later utilization of enzymes. For example, *P. pastoris* was used to determine 1.7-Å resolution
242 crystal structures and to identify the substrate specificities and the catalytically active sites
243 of several *Aspergillus* rutinosidases, which are potential catalysts for flavonoid compounds
244 with nutritional value (80, 81). Substrate specificity and crystal structures of several plant
245 and fungal carbohydrate active enzymes have been elucidated by expressing them in *P.*
246 *pastoris*. Heterologous expression of plant glycosyltransferases did not only result in the
247 production of mannan and glucomannan in *P. pastoris*, but additionally provided important
248 insights into the yet unresolved biosynthesis of these polysaccharides in plants (82, 83). Site-
249 directed mutagenesis was also carried out for proteins of biomedical interest, e.g. to study
250 immunogenic residues of the buckwheat allergen (84), to increase efficacy and stability of
251 ocriplasmin (85) or to strengthen the affinity of a scFv to its target and should be even more
252 facilitated by the high-throughput cloning tools available for *P. pastoris* now (see section
253 Tools & Techniques).

254

255 Membrane proteins (MP) are a particularly challenging class of proteins that have been
256 produced with a quite high rate of success in *P. pastoris* (86, 87). Several efforts have been

undertaken to improve the expression levels and purification of MPs. Common cell engineering strategies and tools for MP production in yeast were summarized by Byrne, 2015 and Routledge *et al.* 2016 (86, 88). Recently, a novel procedure was devised in which misfolding and aggregation of integral MPs is avoided by directly solubilizing them from protoplasts instead of crude membrane preparations (89). Nanodisc reconstitution was successfully applied to generate sufficient amounts of purified channelrhodopsins for biophysical characterization (90). The human tetraspanin CD81 expressed in *P. pastoris* could be efficiently solubilized and purified within a lipid environment by using styrene-maleic anhydride co-polymers, providing a platform to study the influence of protein-lipid interactions of tetraspanins (91).

Crystal structures and biophysical characterization of human amino acid transporters, aquaporins and several human G protein coupled receptors (GPCRs) expressed in *P. pastoris* contributed significantly to our understanding of their substrate transport dynamics (87, 92-94). The recent elucidation of the mating pathway in *P. pastoris* together with its high expression capacity of GPCRs, so far mainly exploited for structural studies, paves the way to perform *in vivo* GPCR signaling studies as described for *S. cerevisiae* (95, 96).

273

P. pastoris has been used since the 1990s to produce isotope-labeled proteins for NMR-based structural analysis, and it is the preferred yeast host for this powerful structural biology technique (87, 94). NMR spectroscopy is well suited to study protein-ligand interactions and dynamics and to guide ligand design, however, for such purposes incorporation of sophisticated isotopic labels is often required. Zhang, 2020 provided a collection of suitable labeling protocols and summarized the most recent advances made in *P. pastoris* (94). Labeling strategies include global or selective ¹³C ¹⁵N-labeling, ¹⁹F-labeling, deuterium labeling for improved resolution of spectra, and methyl-labeling of valine, leucine or isoleucine for even more improved sensitivity of spectra of high-molecular-weight proteins or complexes. Site-specific methyl-labeling in a deuterated background permitted sensitive methyl-TROSY experiments in *P. pastoris* that were successfully conducted to elucidate structure-function relationships of several human GPCRs of biomedical relevance (87). On the other hand, selenomethionine labeling might be applied to improve X-ray crystallography (97). For all of the latter approaches, uptake of labeled amino acid(s) might be limiting, thus cell engineering provides a promising strategy for improving labeling

289 efficiencies (94, 98). Cell-free expression systems recently established also for *P. pastoris* are
290 another alternative especially for the incorporation of otherwise cytotoxic selenomethionine
291 (99).

292

293 **Design of culture conditions for small scale protein production**

294 While projects aiming at producing a certain protein or compound usually required large
295 scale cultivation in bioreactors, studies aiming at protein characterization are often (at least
296 initially) performed in small scale such as shake flasks or deep well plates (100). Guidelines
297 for selecting suitable producer clones are given in **Box 1**.

298 Often, substantial efforts are invested to improve the cultivation conditions for a certain
299 protein of interest. Based on our experience we suggest investigating the impact of pH,
300 which should not be too close to the isoelectric point of the protein to avoid precipitation,
301 and may as well impact product quality and stability through proteolysis. Many researchers
302 investigate methanol concentration, with the outcome that in most cases addition of 0.5-1%
303 methanol twice a day works best. Usually higher cell density and higher product titers are
304 obtained in complex medium containing yeast extract and peptone or casamino acids,
305 however, proteolytic activity (probably due to cell lysis) might be observed. For secretory
306 proteins, growth-limiting carbon supply (e.g. by enzyme-mediated glucose release simulating
307 a fed batch regime) leads to good product titers and high product quality in our experience
308 (49, 101), and works comparably well for both complex and synthetic media. This cultivation
309 strategy is also well suited for the above described amino acid labeling strategies where the
310 use of minimal medium, with or without deuteration, is required, and helps to overcome the
311 previously reported limit of low protein amounts.

312

313 **Metabolite production by whole-cell biotransformation and fermentation
314 bioprocesses**

315

316 Although *P. pastoris* has been developed primarily as a cell factory for recombinant protein
317 production, its potential for metabolite production purposes has received increasing
318 attention over the past 15 years, likely boosted by the increasing physiological knowledge
319 base and synthetic biology tools availability (see excellent reviews by (102-104)). The use of
320 *P. pastoris* has been demonstrated for the production of diverse chemical compounds,

321 particularly for complex metabolites such as plant secondary metabolites (polyketides,
322 terpenoids, isoflavonoids) and other drug metabolites (e.g. steroids, sphingolipids) as well
323 as, to a much lesser extent, for bulk chemicals such as organic acids, biofuels or biopolymers
324 (**Figure 3**). Nonetheless, most of these examples are proof-of-principle studies reporting
325 titers, yields and productivities far from industrially attractive metrics.

326 Two major metabolite production approaches have been explored, namely
327 biotransformation (biocatalysis) and fermentation bioprocesses. Whereas in fermentations
328 the products are synthesized from carbon/nitrogen substrates via the host cells' native or
329 engineered metabolism, in biotransformations, cell growth and product synthesis phase are
330 separated, i.e. biotransformations are typically performed by resting cells, which convert
331 substrates/precursors to the desired products. Generally, biotransformations are catalyzed
332 by intracellular enzymes, although cell surface display of enzymes for biotransformation
333 purposes has also been demonstrated.

334 *P. pastoris* presents several *a priori* physiological advantages and specific metabolic traits
335 that make this yeast particularly interesting for whole-cell biocatalytic systems. i) As
336 Crabtree negative yeast, high cell densities, that is, high amounts of biocatalyst, can be easily
337 obtained from bioreactor cultures using cheap substrates; also, *P. pastoris* shows higher
338 resistance to harsh process conditions such as low pH compared to other cell factories such
339 as *Escherichia coli*. ii) The native alcohol oxidase (Aox), a peroxisomal enzyme with relative
340 low substrate specificity, can catalyze the oxidation of many short-chain alcohols to the
341 respective aldehydes using oxygen as electron acceptor, generating hydrogen peroxide as a
342 toxic by-product. Notably, *P. pastoris* also synthesizes large amounts of catalase in the
343 peroxisomes together with Aox during methylotrophic growth, thereby providing an
344 interesting system for oxidase-catalase-based reactions (104). This concept can be further
345 expanded by, e.g. targeting heterologous oxidases such as D-amino acid oxidases (DAO) to
346 the peroxisome, providing a system for α -keto acids production from α -amino acid
347 substrates and resolution of racemic mixtures of amino acids (105). iii) The native methanol
348 dissimilation pathway, which oxidizes formaldehyde stepwise to formate and CO₂ by two
349 NAD⁺-dependent dehydrogenases has been exploited as a natural NADH regeneration
350 system for reduction reactions. Moreover, such endogenous system has been specifically
351 optimized for whole-cell biotransformation purposes by overexpressing the formaldehyde
352 dehydrogenase encoding gene (FLD) and disrupting the DAS genes, key genes in the

353 competing methanol assimilatory pathway (106, 107). Also, NADPH regeneration engineered
354 systems or process strategies have been proposed (104). For instance, Tang and co-workers
355 (108) have used glucose as a co-substrate for NADPH synthesis to improve NADPH-
356 dependent synthesis of the steroid boldenone, an androgenic-anabolic steroid and a
357 testosterone derivative. iv) *P. pastoris* has shown a high aptitude for expression of
358 membrane bound proteins like cytochrome P450 monooxygenases (CYP) and reductases
359 (CPR). v) Under methanol-growing conditions, peroxisomes largely proliferate which offers
360 the possibility to compartmentalize synthetic metabolic pathways in this organelle as a
361 metabolic engineering strategy (103).

362 *P. pastoris* has also been used in whole-cell biotransformations requiring ATP such as the
363 synthesis of dipeptides and tripeptides, as well as in other reactions not dependent of
364 cofactors, e.g. hydrolysis, carbon-carbon bond formation reactions, and further synthesis
365 reactions. Some recent exemplary studies include the production of the dipeptides (109),
366 synthesis of phospholipids (110), and the use of transketolase-overproducing strains to
367 catalyze asymmetric carbon-carbon bond formation reactions, e.g. the production of L-
368 erythrulose from prochiral substrates (111) or, strains combining the overexpression of
369 transketolase and ω -transaminases encoding genes for chiral amino-alcohols synthesis (112,
370 113).

371
372 Despite the fact *P. pastoris* presents several features such as an industrially well-established
373 fermentation technology and the ability to grow on renewable feed stocks like glycerol and
374 methanol that are more reduced than glucose, it remains largely unexploited as a cell factory
375 for bulk/platform chemicals and biofuels production (102, 103). For instance, conversion of
376 glycerol into L-lactic acid has been demonstrated by the expression of a lactate
377 dehydrogenase gene from *Bos taurus* (114). Additional metabolic engineering of this strain
378 investigated the impact of deletion of the pyruvate decarboxylase gene, aiming at reducing
379 acetic acid formation and consequently obtaining higher lactic acid titers. This resulted in a
380 yield of 67% L-lactic acid and 20% arabitol as a by-product in glycerol batches with oxygen
381 limitation (115). Further disruption of the arabitol dehydrogenase encoding gene has been
382 recently reported by the same group (116), obtaining an increase of 20% in lactic acid and a
383 50% reduction in arabitol yields in chemostat cultures. Also recently, the production of D-
384 lactic acid from methanol using an engineered *P. pastoris* strain expressing multiple copies of

385 the D-lactate dehydrogenase gene from *Leuconostoc mesenteroides* has been reported
386 (117). Similarly, production of an increasing number of platform chemicals has also been
387 demonstrated, typically at shake flask or small bioreactor scale, including isobutanol and
388 isobutyl acetate (118), isopentanol (3-Methyl-1-butanol) (119), and 2,3-butanediol (120).
389 Moreover, the potential of *P. pastoris* to produce malic acid from methanol has been lately
390 explored through different metabolic engineering strategies, obtaining up to about 2.8 g/L of
391 malic acid in shake flask cultures (121).

392

393 So far, these novel developments to metabolically engineer *P. pastoris* for metabolite
394 production have generally relied on classical genetic tools (promoters, markers etc.) and
395 conventional strains that were originally optimized for recombinant protein production.
396 Despite enormous advances in systems and synthetic biology tools in recent years,
397 significant challenges in genome engineering remain compared to *Saccharomyces cerevisiae*,
398 e.g. generally lower efficiency of currently available CRISPR and homologous recombination
399 based methods (122). The incorporation of new-generation synthetic biology tools should
400 speed up development of metabolite production strains beyond mere demonstration/proof-
401 of-concept. To this end, the design of robust chassis strains supporting efficient conversion
402 of alternative carbon substrates/energy sources, for e.g., CO₂ (123), acetate (124) or
403 cellulose/cellobiose (125) to key metabolic precursors e.g. acetyl-CoA, farnesyl
404 pyrophosphate, malonyl-CoA (**Figure 3**) will be key in the forthcoming years.

405

406 **Summary points**

- 407 • Methylotrophy and the strong methanol regulated promoters were the key drivers to
408 develop *P. pastoris* to a protein production host.
- 409 • Early free access to strains and vectors has promoted research on *P. pastoris*
410 enormously.
- 411 • The development of tools and parts for *P. pastoris* make it an important synthetic
412 biology chassis.
- 413 • A wide range of available molecular and synthetic biology toolkits contributes in
414 developing a simple workflow from strain design to bioprocess optimization.
- 415 • The efficient native one-carbon metabolism offers promising perspectives for a
416 single-carbon (methanol, formate, CO₂) based bioeconomy.

417

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430

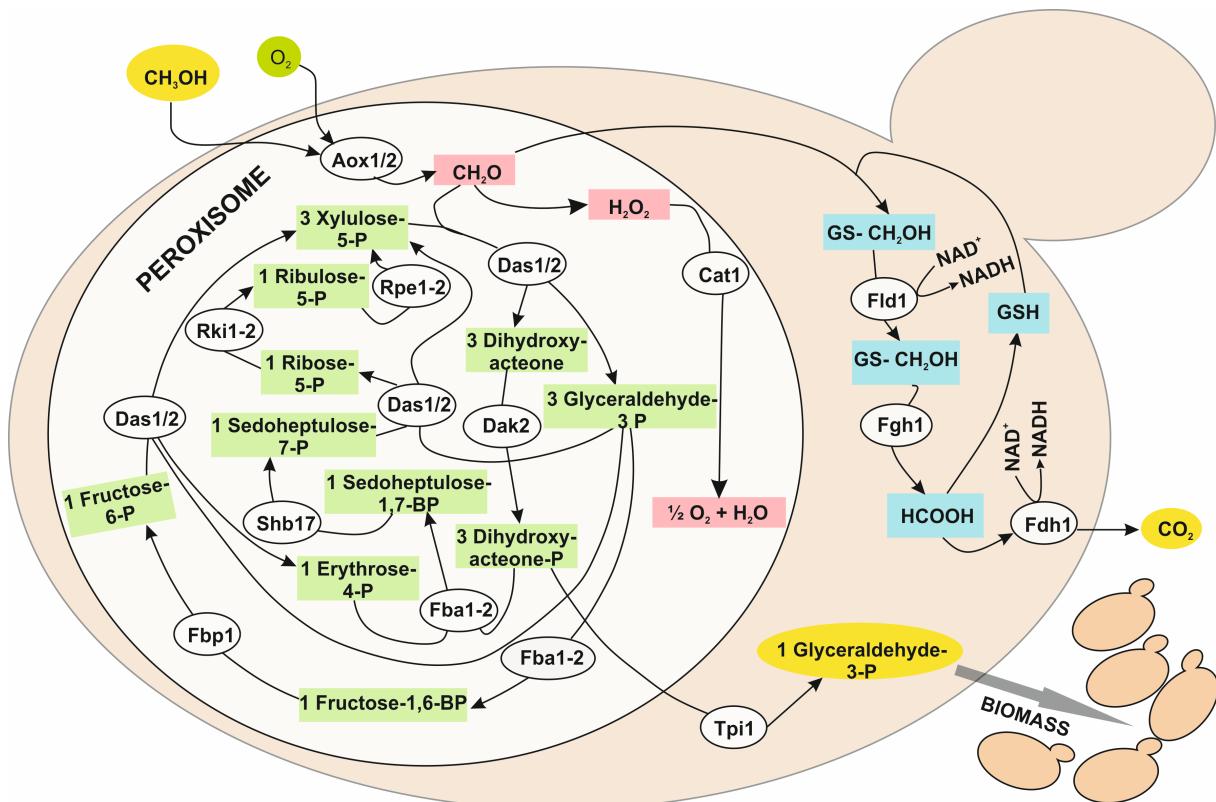
431

432 **Figure Legends**

433 **Figure 1: Metabolic pathways of methanol utilization in *P. pastoris*.** After oxidation of
 434 methanol to formaldehyde assimilation is achieved in the xylulose-5-phosphate cycle,
 435 indicated in green. A byproduct of methanol oxidation is hydrogen peroxide, which is further
 436 detoxified by catalase. These reactions, as well as the Xu5P cycle, are localized in
 437 peroxisomes. Formaldehyde is also dissimilated to CO₂ in three cytosolic reactions, indicated
 438 in blue. The produced NADH serves as reduction equivalents and for ATP production.
 439 Assimilation of three formaldehyde molecules leads to the release of one molecule of
 440 glyceraldehyde-3-phosphate from peroxisomes which serves for biomass growth.

441 GSH: reduced glutathione; GS-CH₂OH: S-(hydroxymethyl)glutathione; GS-CHO: S-
 442 formylglutathione; Cat1: catalase; Fld1: formaldehyde dehydrogenase; Fgh1: S-
 443 formylglutathione hydrolase; Fdh1: formate dehydrogenase; Aox1/2: alcohol oxidase 1 and
 444 2; Das1/2: dihydroxyacetone synthase 1 and 2; Dak2: dihydroxyacetone kinase; Tpi1:
 445 triosephosphate isomerase; Fba1-2: fructose 1,6-bisphosphate aldolase; Fbp1: fructose 1,6-
 446 bisphosphatase; Shb17: sedoheptulose 1,7-bisphosphatase; Rki1-2: Ribose 5-phosphate
 447 ketol-isomerase; Rpe1-2: D-ribulose 5-phosphate 3-epimerase.

448

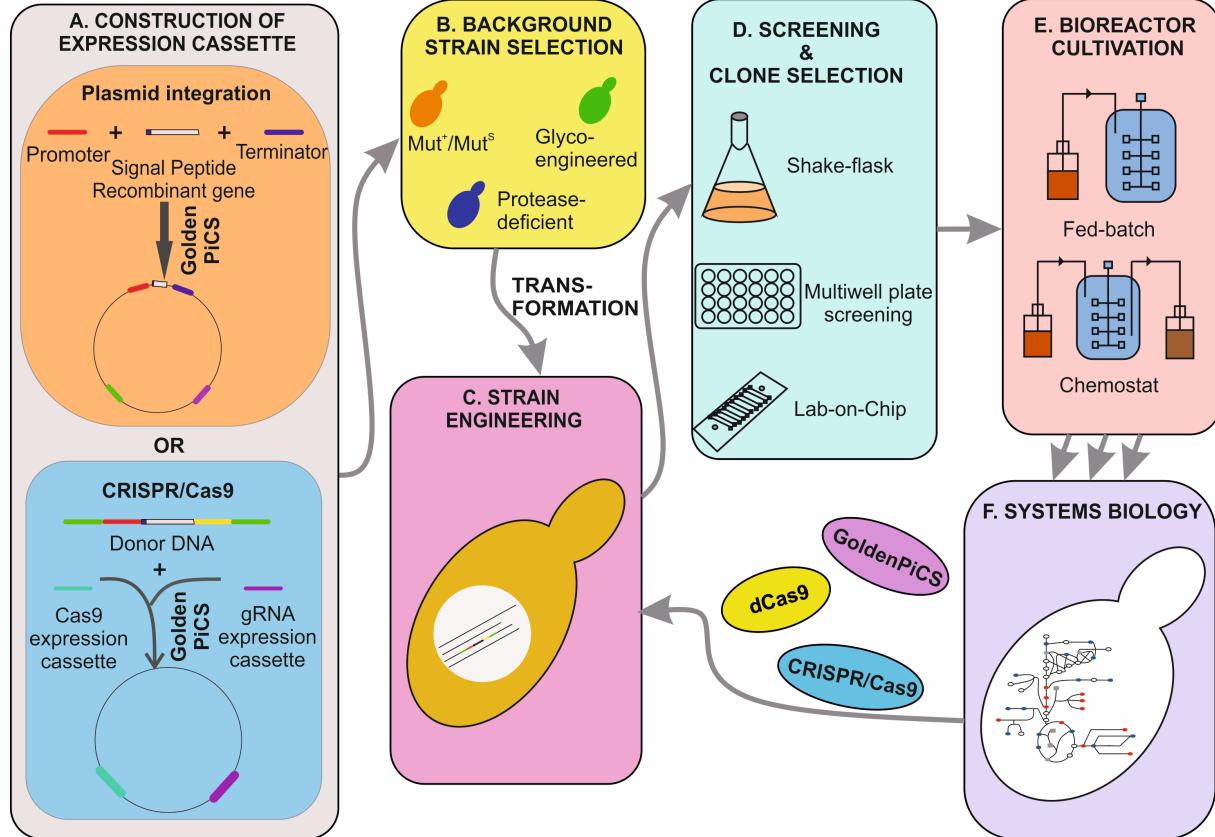


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451 **Figure 2: Workflow from construct design to bioprocess optimization and strain**
 452 **improvement for recombinant protein production in *P. pastoris*.** A. After selection of the
 453 different modules, the expression cassette can be constructed using GoldenPiCS. There is a
 454 possibility to integrate the expression construct in the recombinant strain either with
 455 selection marker by direct plasmid linearization and integration or marker-free by
 456 CRISPR/Cas9-based homology directed recombination. B. An optimum strain background
 457 depending on the recombinant protein can be selected. C. Well-established transformation
 458 protocols are available for generating the recombinant strain. D. Different screening
 459 strategies enable evaluation of a large number of clones resulting in faster clone selection.
 460 Lab-on-Chip technology also allows bioprocess optimization at this stage. E. Final clones can
 461 be cultivated in bioreactors for producing high titers of the recombinant protein. F. Data
 462 obtained during bioreactor cultivations combined with systems biology tools can be used to
 463 identify further cell engineering targets for strain improvement

464

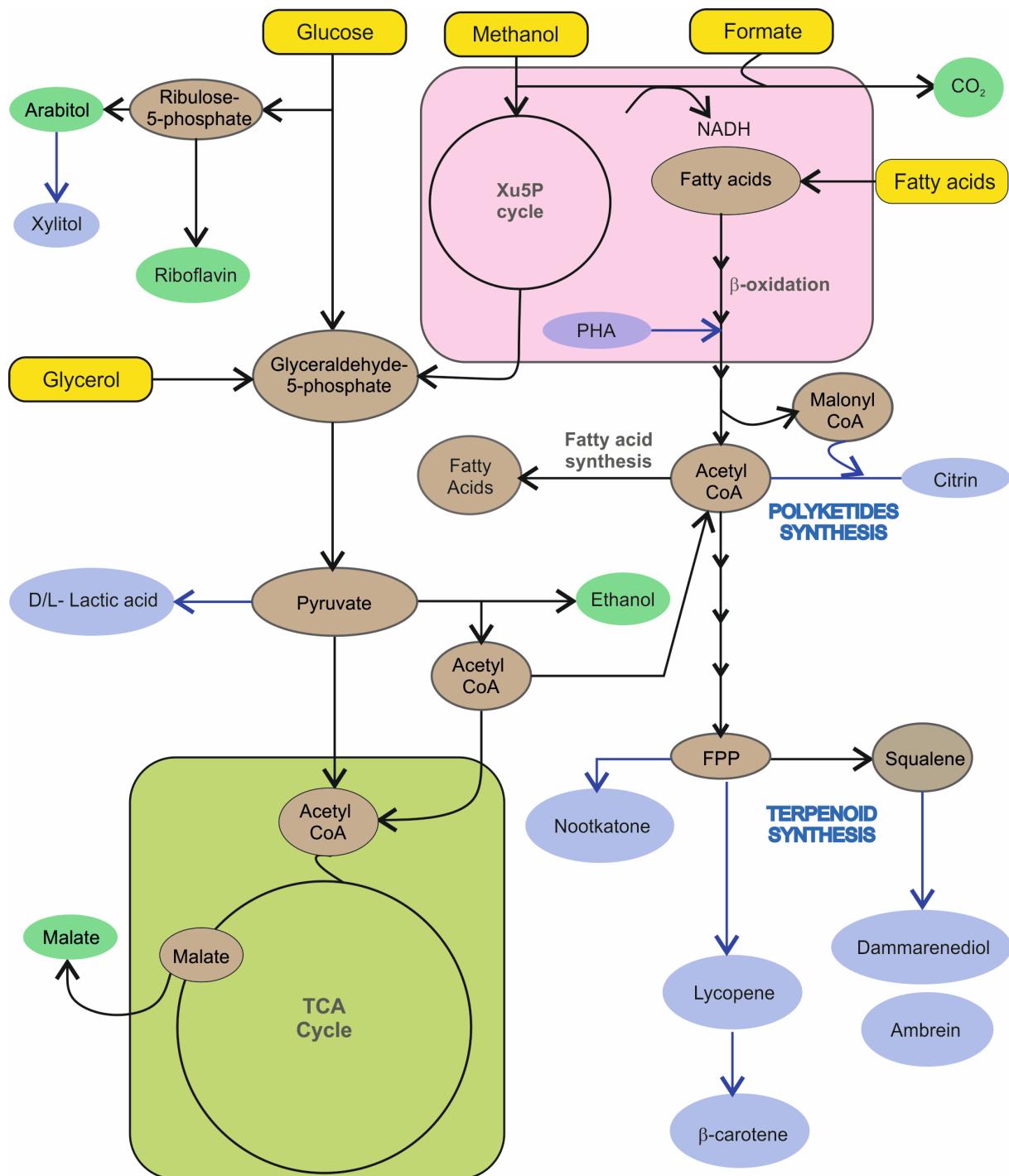


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467 **Figure 3: Metabolites overproduced in *P. pastoris*.** Major pathways towards key metabolic
 468 precursors or metabolic nodes (in brown) and products already made by *P. pastoris* (green)
 469 are depicted in black. Heterologous pathways and metabolites are depicted in blue. Relevant
 470 substrates that *P. pastoris* can naturally assimilate as carbon and/or energy source are
 471 shown in yellow.

472



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474

475 **Box1: Recommendations for selection of a producer strain**

476 Guidelines how to select strains for biochemical studies or protein production purposes.

477 1. After transformation, plate aliquots on a suitable selection medium e.g. YPD containing
478 100 µg/mL Zeocin (in case your vector has the Zeo resistance cassette). As strains with
479 higher gene copy number are often correlated with higher product titers, you might
480 also plate aliquots at a higher Zeocin concentration e.g. 250 µg/mL, 500 µg/mL or 1000
481 µg/mL.

482 2. After restreaking, use 20-40 transformants (selected from all Zeocin concentrations)
483 for the initial screening. On average, 5-10% of the clones outperform the
484 others. There may be cases where it is necessary to screen more clones, e.g. when
485 searching for a high production clone of a very difficult to produce protein.

486 3. Initial screening can be performed in 24 deep well plates, 96 deep well plates or any
487 other suitable format depending on the volume needed for quantification and analysis.

488 4. Select the pH of the cultivation medium according to your protein of interest (avoid
489 being close to the isoelectric point or a pH where degradation might occur). In most
490 cases, the starting pH of the medium is between 5.0-7.0, and a suitable buffer (e.g.
491 phosphate buffer, MES (2-(N-morpholino)ethanesulfonic acid), citrate) is used to
492 stabilize the pH during cell growth.

493 5. Prepare cryo stocks of your strains (e.g. 1 mL of selective overnight culture + 10%
494 glycerol and freeze at -70°C) early during screening. For further experiments, the
495 preparation of working cell banks (WCBs) from this stock is recommended to avoid
496 repeated freeze/thaw cycles of the stock.

497 6. Rescreen the 3-5 best or most-interesting clones to evaluate their performance, and
498 select 1 or 2 for further experiments.

499 7. If optimization of screening conditions is required, we recommend to evaluate
500 different pH values (see above) and cultivation temperatures (e.g. 20-25°C compared
501 to 28-30°C).

502 8. For inducible expression, e.g. using the *AOX1* promoter, a pre-culture should be
503 performed: overnight culture in selective YP-based medium to inoculate pre-culture on
504 glycerol at low OD₆₀₀ and grow for 20-24 h; inoculate main culture at higher OD (e.g.
505 OD₆₀₀ = 4 or 8) and induce with 0.5% methanol; add 1% methanol approx. every 12 h.

506 9. As secreted protein titers are also correlated to the achieved biomass concentration,
507 screening conditions often aim at high biomass by using complex medium. However, in
508 some cases such conditions resulting in fast growth are leading to product degradation
509 due to cell lysis, or the strain performance cannot be upscaled to the bioreactor later.
510 In such cases, growing the cells below their maximum specific growth rates by
511 simulating fed-batch like conditions already in screening by using a carbon-release
512 system is recommended.

513

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