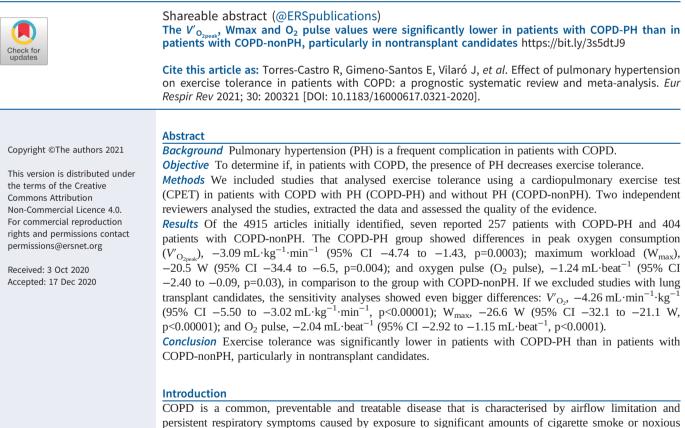


# Effect of pulmonary hypertension on exercise tolerance in patients with COPD: a prognostic systematic review and meta-analysis

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persistent respiratory symptoms caused by exposure to significant amounts of cigarette smoke or noxious particles [1]. Pulmonary hypertension (PH) is a frequent complication in patients with COPD [1, 2], and it is associated with increased risk for hospitalisation, worse clinical course and increased mortality [3, 4]. The prevalence of PH in patients with COPD is not negligible; it has been reported to range between 23% and 91%, depending on the diagnostic criteria used to define it and the severity of the disease [5–7]. In most cases, the severity of PH is usually moderate, and right ventricular function is not impacted.

Patients with COPD show reduced exercise tolerance in comparison to healthy subjects due to several factors, summarised as follows: 1) imbalance between ventilatory capacity and demand; 2) imbalance

between oxygen supply to the respiratory and peripheral muscles and demand; and 3) peripheral muscle dysfunction [8].

The presence of PH has been hypothesised to further decrease exercise tolerance because it adds cardiovascular limitation to the aforementioned mechanisms, but these results are inconclusive. CUTTICA *et al.* studied patients with advanced COPD, who were also lung transplant candidates; they reported that PH resulted in significant functional impairment measured by the 6-min walk test (6MWT) and was associated with an increased risk of mortality [9]. Recently, these findings were confirmed in a study by BLANCO *et al.* who found that patients with COPD-PH walked nearly 100 m less than patients with COPD-nonPH [10]. However, other similar studies did not report significant differences in the 6MWT results between patients with COPD-PH and COPD-nonPH [11–13].

The cardiopulmonary exercise test (CPET) is the gold standard for assessing exercise tolerance and provides additional information about cardiopulmonary function. In patients with COPD, the severity of the disease is determined based on symptoms and spirometry results; however, a pulmonary function test at rest does not accurately predict the grade of tolerance on exertion [14]. The inability to increase ventilation to levels that allow an adequate gas exchange is one of the mechanisms that could explain dyspnoea on exertion. This phenomenon can be observed on CPET, and it is usually interpreted as a ventilatory limitation [15].

Additionally, the literature describes the relevance of adding the CPET results to the specific evaluation of patients with PH. The 6<sup>th</sup> World Symposium on Pulmonary Hypertension (WSPH) recommends using CPET for a more elaborated distinction between respiratory *versus* circulatory limitation to discriminate between different forms of PH [16, 17]. This recommendation is mainly used to discriminate between PH group 1 with an exhausted circulatory reserve (predominant haemodynamic profile) *versus* PH group 3 with an exhausted ventilatory reserve (predominant obstructive/restrictive pattern) [17]. This distinction is based on the results shown by BOERRIGTER *et al.*, who showed that patients with COPD and severe PH had an exhausted circulatory reserve at the end of the exercise with a low arterial carbon dioxide tension ( $P_{aCO_2}$ ), whereas their breathing reserve at the end of the exercise, whereas their circulatory reserve was maintained [18].

Oxygen uptake  $(V'_{O_2})$  is the main parameter that is obtained in the CPET.  $V'_{O_2}$  at peak exercise  $(V'_{O_{2peak}})$  is one of the most important prognostic factors for patients with COPD, and it is a strong predictor of mortality [19, 20]. The effect of the presence of PH on  $V'_{O_{2peak}}$  in patients with COPD is unclear. While some authors reported a reduction of  $V'_{O_{2peak}}$  in CPET [10, 11], others did not find any significant difference between patients with COPD-PH and patients with COPD-nonPH [12, 13, 21]. Accordingly, our objective was to carry out a systematic review with meta-analysis to determine if, in patients with COPD, the presence of PH decreases exercise tolerance.

#### Methods

#### Protocol and registration

We performed a systematic review using Preferred reporting items for systematic reviews and meta-analyses (PRISMA) guidelines [22]. The meta-analysis was designed and performed in accordance with the Meta-analysis of Observational Studies in Epidemiology (MOOSE) [23].

#### Criteria for considering the studies in this review

We included randomised clinical trials (RCTs) or observational studies (cross-sectional, longitudinal, casecontrol and cohort) in adults of either gender with any degree of COPD. The included studies aimed to determine the effect of PH on exercise tolerance in patients with COPD. Accordingly, all the studies had at least one group of patients with COPD-PH and one group of patients with COPD-nonPH. PH was considered when echocardiography showed a systolic pulmonary arterial pressure (sPAP) >40 mmHg or when right heart catheterisation (RHC) showed a mean PAP (mPAP)  $\geq$ 25 mmHg. Additionally, the studies reported on the ventilatory and cardiocirculatory outcomes of exercise tolerance that were obtained in the CPET.

The main outcome was exercise tolerance, as assessed by  $V'_{O_{2peak}}$  and maximum workload ( $W_{max}$ ) in the CPET. The secondary outcomes were  $O_2$  pulse, minute ventilation ( $V'_E$ ), minute ventilation/carbon dioxide production ( $V'_E/V'_{CO_2}$ ) slope and ventilatory reserve. The test should be performed on an electronically braked cycle ergometer with the patients breathing ambient air. The equipment should have been calibrated

before every test, and the physiological variables (main outcomes) must have been measured breath-by-breath.

We excluded all articles that did not follow the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria for COPD diagnosis or the European Society of Cardiology and European Respiratory Society (ESC/ERS) task force or the American Heart Association (AHA) guidelines for PH as well as articles that did not follow the international guidelines for performing CPET.

#### Search strategies and data resources

We reviewed five databases: Embase, PubMed/MEDLINE, CINAHL, Web of Science and Cochrane Central Register of Controlled Trials (CENTRAL) from their inception to 19 September 2020 and conducted manual searches using the followings terms: 1) For condition: Pulmonary Hypertension [MESH] OR Pulmonary Artery Hypertension OR Pulmonary Arterial Hypertension OR Pulmonary Artery Pressure OR Pulmonary Arterial Pressure OR Lung artery pressure OR Lung pressure OR Pulmonary Artery Systolic Pressure OR Pulmonary Heart Disease [MESH] OR Pulmonary Vascular Disease OR Right Ventricular Systolic Pressure OR mPAP AND Chronic Obstructive Pulmonary Disease [MESH]; 2) For the main outcome: Cardiopulmonary Exercise Test [MESH] OR Exercise Tolerance OR Exercise Test OR Exercise Capacity OR Cardiorespiratory Fitness OR Oxygen Consumption [MESH] OR Oxygen uptake OR Physical Fitness OR Aerobic Capacity. We imposed no language or publication restrictions.

The terms selected were combined using Boolean logical operators (OR, AND, NOT). In addition, we did a manual search of the references that were included in the selected articles. All the references were analysed in Rayyan software, a web-based tool [24].

#### Reviewing procedure and study selection

The review was performed independently by two investigators (R. Torres-Castro and L. Vasconcello-Castillo) who have experience conducting literature reviews and meta-analyses. The first step consisted of reviewing the titles and abstracts of all the references retrieved by the database searches (R. Torres-Castro and L. Vasconcello-Castillo). We ordered all articles that were deemed potentially eligible by at least one of the reviewers. In the second step, the retrieved full texts were evaluated and a decision on inclusion or exclusion was made according to the predefined selection criteria (R. Torres-Castro and L. Vasconcello-Castillo). Any disagreement in any step was solved by a third reviewer (J. Vilaró). All studies that did not fulfil the predefined criteria were excluded, and their bibliographic details were listed with the specific reason for exclusion. We included studies if they fulfilled the following criteria: 1) original research; 2) patients with a diagnosis of COPD with and without PH; 3) reported parameters obtained from CPET; 4) full text available without language restriction.

# Data extraction and methodological quality assessment

Two investigators (R. Torres-Castro and L. Vasconcello-Castillo) extracted the data independently and in duplicate using a standardised protocol and reporting forms. The following information was extracted from each included study: design, population characteristics, pulmonary function in the predicted values of forced expiratory volume in 1 s (FEV<sub>1</sub>) and diffusing capacity of the lung for carbon monoxide ( $D_{LCO}$ ), mPAP, and exercise tolerance parameters ( $V'_{O_{2peak}}$ ,  $W_{max}$ ,  $O_2$  pulse,  $V'_E$ ,  $V'_E/V'_{CO_2}$  slope and ventilatory reserve). If some relevant data were not in the article, the authors were contacted to request the information.

The risk of bias of the included studies was assessed using the Quality in Prognosis Studies (QUIPS) risk of bias tool [25]. To minimise bias, the studies were graded independently by two reviewers (R. Torres-Castro and E. Gimeno-Santos). Any discrepancy in assessment was resolved by a third reviewer (J. Vilaró).

#### Data synthesis and analysis

We reported summaries of the association between the prognostic factors and the outcomes for each study in terms of the mean differences of absolute values. We obtained combined measurements of effect for each primary outcome through meta-analysis under a random-effects model, due to the expected heterogeneity between the studies in prognostic reviews [26]. Statistical heterogeneity was measured through the  $I^2$  statistic and classified as low ( $I^2 < 25\%$ ), moderate ( $I^2 25-50\%$ ) or high ( $I^2 > 50\%$ ) [27]. A sensitivity analysis was conducted by excluding the studies with lung transplant candidates from the meta-analysis due to the physical, respiratory and functional severity described in patients with end-stage COPD [12].

#### Results

# Study selection

The flow chart of the study selection process is shown in figure 1; from the 4915 identified references, seven articles were finally included [10–12, 18, 21, 28, 29]. Of the 16 studies assessed as full text, we excluded five for being conference abstracts and four for not meeting the diagnosis criteria.

#### Characteristics of the included studies

Four studies were conducted in Europe [10, 18, 21, 28], one was conducted in Israel [12], one was conducted in the USA [11] and one was conducted in China [29]. Regarding the design, three studies were retrospective [11, 12, 21], three were cross-sectional [10, 18, 28] and one was prospective [29]. None of the included studies was an RCT. Two studies were restricted to lung transplant candidates [11, 12]. The characteristics of the included studies are summarised in table 1.

#### **Participants**

In total, 661 participants were enrolled in the included studies. Among the studies, the sample size ranged from 25 [21] to 180 [29]. A total of 257 (38.9%) of the participants had PH (COPD-PH group) and 404 (61.1%) did not have PH (COPD-nonPH group). The mean age of the patients was  $64.6\pm7.1$  years in the COPD-PH group and  $64.3\pm7.1$  years in the COPD-nonPH group. The FEV<sub>1</sub> % pred was  $35.6\pm15.5\%$  in the COPD-PH group and  $42.2\pm15.4\%$  in the COPD-nonPH group (p=0.17). The  $D_{\rm LCO}$  % pred was  $35.3\pm13.1\%$  in the COPD-PH group and  $49.6\pm17.1\%$  in the COPD-nonPH group, with significant differences between groups (p=0.01). A summary of the patients' characteristics is presented in table 1.

## Risk of bias assessment

Results of the risk of bias assessment of individual studies are presented in table 2. The majority of the studies were rated as having a low-to-moderate risk of bias. All the studies had a low risk of bias regarding

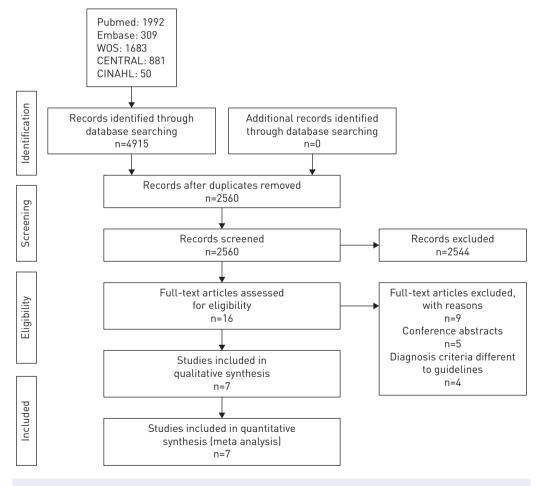


FIGURE 1 PRISMA flowchart of the selection of studies.

| TABLE 1 Descriptive                        | summary of select | ted studies |                  |            |                           |                         |                            |                          |              |
|--|-------------------|-------------|------------------|------------|---------------------------|-------------------------|----------------------------|--------------------------|--------------|
| First author [ref.]                        | Design            | Group       | Sample<br>size n | Age years  | BMI<br>Kg∙m <sup>-2</sup> | FEV <sub>1</sub> % pred | D <sub>LCO</sub> %<br>pred | P <sub>aO₂</sub><br>mmHg | mPAP<br>mmHg |
| Studies without lung<br>transplant candida | •                 |             |                  |            |                           |                         |                            |                          |              |
| Holverda [21]                              | Retrospective     | COPD-nonPH  | 15               | 66±10      | 25±3                      | 49±19                   | 50±13                      | 74.3±10.5                | 18±3         |
|  |                   | COPD-PH     | 10               | 64±11      | 22±4                      | 62±31                   | 37±14                      | 57.0±15.0                | 33±6         |
| BOERRIGTER [18]                            | Cross-sectional   | COPD-nonPH  | 24               | 62±14      | 25±2                      | 49±18                   | 50±14                      | 71±11                    | 18.5±3.1     |
|  |                   | COPD-PH     | 23               | 67±9       | 26±4                      | 51±19                   | 38±15                      | 57±11                    | 39.1±11.9    |
| Skjørten [28]                              | Cross-sectional   | COPD-nonPH  | 71               | 64.6±6     | 25±4                      | 47±16                   | 57±19                      | 74±9                     | 18±3.5       |
|  |                   | COPD-PH     | 22               | 61±8       | 25±6                      | 29±9                    | 30±14                      | 61±11                    | 28.7±4.6     |
| XIONG [29]                                 | Prospective       | COPD-nonPH  | 98               | 64.9 ±7.1  | 23.8±5.9                  | 44.9±20.2               | NR                         | 60.8±16.8                | 19.7±20.5    |
|  |                   | COPD-PH     | 82               | 67.3±6.7   | 20.1±6.0                  | 42.3±22.7               | NR                         | 48.1±16.0                | 32.0±20.6    |
| BLANCO [10]                                | Cross-sectional   | COPD-nonPH  | 109              | 68±8       | 28±5                      | 51±16                   | 59±17                      | 72±10                    | NR           |
|  |                   | COPD-PH     | 65               | 66±8       | 26±4                      | 32±11                   | 40±15                      | 67±12                    | NR           |
| Studies with lung<br>transplant candida    | ates              |             |                  |            |                           |                         |                            |                          |              |
| Thirapatarapong [11]                       | Retrospective     | COPD-nonPH  | 67               | 59 (55–61) | 23±3.9                    | 20±7                    | 28 (20–43)                 | 72.7±17                  | 20.9±3.1     |
|  |                   | COPD-PH     | 31               | 59 (57–62) | 22.7±4                    | 18±6                    | 25 (20–26)                 | 67.0±20                  | 30.2±5.0     |
| Adir [12]                                  | Retrospective     | COPD-nonPH  | 20               | 61.1±4.4   | 22.1±4.8                  | 24.9±8.9                | 35.2±17.3                  | NR                       | 20.2±2.2     |
|  |                   | COPD-PH     | 24               | 59.4±6.3   | 25.5±4.7                  | 25.9±11.6               | 39.2±15.9                  | NR                       | 28.6±2.7     |

Data are presented as mean $\pm$ sD or median (interquartile range), unless otherwise stated. BMI: body mass index; FEV<sub>1</sub>: forced expiratory volume in 1 s;  $D_{LCO}$ : diffusing capacity of the lung for carbon monoxide;  $P_{aO_2}$ : arterial oxygen tension; mPAP: mean pulmonary arterial pressure; PH: pulmonary hypertension; NR: not reported.

"study participation" and "outcome measurements". In the categories, "study attrition", "prognostic factor measurement" and "statistical analysis reporting", most of the studies varied between a low risk of bias and a moderate risk of bias because the diagnostic criteria of COPD and PH and the main outcomes obtained in the CPET are well established by the clinical guidelines, and there is consensus for their application. Regarding the "study confounding" category, most of studies varied between a moderate risk of bias and a high risk of bias.

#### Main findings

#### Primary outcomes

#### Peak oxygen consumption

All seven studies examined exercise tolerance considering  $V'_{O_{2peak}}$  in mL·kg<sup>-1</sup>·min<sup>-1</sup> [10–12, 18, 21, 28, 29] (table 3). These studies compared 257 participants with COPD-PH *versus* 404 participants with COPD-nonPH. The heterogeneity of the comparison was high (I<sup>2</sup>=87%). Patients with COPD-PH had, on average,  $-3.09 \text{ mL}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  (95% CI -4.74 to  $-1.43 \text{ mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ ) of  $V'_{O_{2peak}}$  in comparison to patients with COPD-nonPH (p=0.0003). If we exclude the studies with lung transplant candidates, the average difference in  $V'_{O_{2peak}}$  between the two groups was even greater,  $-4.26 \text{ mL}\cdot\text{min}^{-1}\cdot\text{kg}^{-1}$  (95% CI -5.50 to  $-3.02 \text{ mL}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$ , p<0.00001, I<sup>2</sup>=61%) (figure 2).

| TABLE 2 Risk of bias assessment summary using the Quality in Prognosis Studies tool |                     |                    |                                  |                        |                      |                                   |  |  |  |  |  |
|---|---------------------|--------------------|----------------------------------|------------------------|----------------------|-----------------------------------|--|--|--|--|--|
| First author [ref.]   | Study participation | Study<br>attrition | Prognostic factor<br>measurement | Outcome<br>measurement | Study<br>confounding | Statistical analysis<br>reporting |  |  |  |  |  |
| Holverda [21]   | Low                 | Moderate           | Low                              | Low                    | Moderate             | Low                               |  |  |  |  |  |
| BOERRIGTER [18]   | Low                 | Low                | Low                              | Low                    | Moderate             | Low                               |  |  |  |  |  |
| Thirapatarapong [11]  | Low                 | Moderate           | Low                              | Low                    | High                 | Low                               |  |  |  |  |  |
| Adir [12]   | Low                 | Low                | Moderate                         | Low                    | High                 | Moderate                          |  |  |  |  |  |
| Skjørten [28]   | Low                 | Low                | Low                              | Low                    | Low                  | Low                               |  |  |  |  |  |
| Xiong [29]  | Low                 | Low                | Low                              | Low                    | Moderate             | Low                               |  |  |  |  |  |
| Blanco [10]   | Low                 | Moderate           | Moderate                         | Low                    | Moderate             | Low                               |  |  |  |  |  |

| First author [ref.]                    | Participants | Sample<br>size n | V′ <sub>O₂peak</sub><br>mL∙Kg <sup>−1</sup> ∙min <sup>−1</sup> | W <sub>max</sub> W | O₂ pulse<br>mL·beat <sup>−1</sup> | V′ <sub>E</sub><br>L∙min <sup>−1</sup> | V' <sub>E</sub> /V' <sub>CO2</sub><br>slope | Ventilatory<br>reserve % |
|--|--------------|------------------|--|--------------------|-----------------------------------|--|---|--------------------------|
| Studies without lu<br>transplant candi | 0            |                  |  |                    |                                   |  |   |                          |
| Holverda [21]                          | COPD-nonPH   | 15               | 13±3.8   | 65±25              | 7.6±1.6                           | 37±10                                  | 36±11                                       | NR                       |
|  | COPD-PH      | 10               | 13.2±5.1   | 47±20              | 6.6±2.4                           | 46±24                                  | 51±23                                       | NR                       |
| BOERRIGTER [18]                        | COPD-nonPH   | 24               | 14±4.2   | 71±47              | 8.3±3.0                           | 40.8±13                                | NR  | 22.9±20.7                |
|  | COPD-PH      | 23               | 9.8±2.9  | 36±26              | 6.8±2.5                           | 34.7±16.3                              | NR  | 33.1±17.0                |
| Skjørten [28]                          | COPD-nonPH   | 71               | 17.6±4.3   | 72±31              | 9.4±2.9                           | 46±15                                  | NR  | 9±22                     |
|  | COPD-PH      | 22               | 13.9±3   | 40±21              | 7.5±2.1                           | 35±12                                  | NR  | -7±19                    |
| XIONG [29]                             | COPD-nonPH   | 98               | 20.4±7.2   | NR                 | NR                                | NR                                     | NR  | NR                       |
|  | COPD-PH      | 82               | 14.6±6.5   | NR                 | NR                                | NR                                     | NR  | NR                       |
| BLANCO [10]                            | COPD-nonPH   | 109              | 15±3   | 68±27              | 9.4±2.5                           | 46±15                                  | NR  | 12.3±21.3                |
|  | COPD-PH      | 65               | 10±2   | 43±20              | 6.5±2.1                           | 31±11                                  | NR  | 5.9±24.8                 |
| Studies with lung<br>transplant candi  | dates        |                  |  |                    |                                   |  |   |                          |
| Thirapatarapong<br>[11]                | COPD-nonPH   | 67               | 11.3±4.1   | 21±15              | 5.2±1.7                           | 22±10                                  | 36±8  | 17 (11–25)               |
|  | COPD-PH      | 31               | 9.5±2.5  | 15±9               | 5.0±1.7                           | 18±7                                   | 36±7  | 13 (-2-25)               |
| Adir [12]                              | COPD-nonPH   | 20               | 9.5±2.9  | 35.7±19            | 5.5±2.1                           | 26±6.4                                 | 44.1±7.8                                    | 13.5±15.0                |
|  | COPD-PH      | 24               | 9.3±2.3  | 40.4±20.4          | 5.7±2.3                           | 27.9±13.1                              | 37.6±7.3                                    | 19.4±28.3                |

Data are presented as mean±sp or median (interquartile range), unless otherwise stated.  $V'_{O_{2peak}}$ , peak oxygen uptake;  $W_{max}$ . maximum workload;  $V'_{E}$ : minute ventilation;  $V'_{E}/V'_{CO}$ ; minute ventilation/carbon dioxide production; PH: pulmonary hypertension; NR: not reported.

#### Maximum workload

Five studies examined  $W_{max}$  in W [10, 12, 18, 21, 28] (table 3). These studies compared 144 participants with COPD-PH *versus* 239 participants with COPD-nonPH. The heterogeneity of the comparison was high (I<sup>2</sup>=84%). Patients with COPD-PH had a  $W_{max}$  –20.5 W (95% CI –34.4 to –6.5 W) lower than patients with COPD-nonPH (p=0.004). If we exclude the studies with lung transplant candidates, the difference between the two groups was even greater: –26.6 W (95% CI –32.1 to –21.1 W, p<0.00001, I<sup>2</sup>=0%) (figure 3).

# Secondary outcomes

#### Oxygen pulse

Six studies examined O<sub>2</sub> pulse [10–12, 18, 21, 28] (table 3). These studies compared 175 participants with COPD-PH *versus* 306 participants with COPD-nonPH participants. The heterogeneity of the comparison was high ( $I^2=86\%$ ). Patients with COPD-PH had an O<sub>2</sub> pulse  $-1.24 \text{ mL} \cdot \text{beat}^{-1}$  (95% CI -2.40 to  $-0.09 \text{ mL} \cdot \text{beat}^{-1}$ ) lower than the COPD-nonPH group (p=0.03). If we exclude the studies with lung transplant candidates, the difference between the two groups was  $-2.04 \text{ mL} \cdot \text{beat}^{-1}$  (95% CI -2.92 to  $-1.15 \text{ mL} \cdot \text{beat}^{-1}$ , p<0.00001,  $I^2=55\%$ ) (figure 4).

#### Minute ventilation

Six studies examined maximum  $V_{\rm E}$  [10–12, 18, 21, 28] (table 3). These studies compared 175 participants with COPD-PH *versus* 306 participants with COPD-nonPH. Both groups had similar maximum  $V_{\rm E}$  values (mean difference  $-5.54 \,\mathrm{L\cdot min^{-1}}$ ; 95% CI  $-11.65-0.57 \,\mathrm{L\cdot min^{-1}}$ , p=0.08). The heterogeneity of the comparison was high (I<sup>2</sup>=85%). If we exclude the studies with lung transplant candidates, patients with COPD-PH had a  $V_{\rm E}$   $-8.58 \,\mathrm{L\cdot min^{-1}}$  (95% CI -15.54 to  $-1.62 \,\mathrm{L\cdot min^{-1}}$ , p=0.02, I<sup>2</sup>=73%) lower than patients with COPD-nonPH (figure 5).

## $V'_{\rm E}/V'_{\rm CO_2}$ slope

Three studies examined the  $V'_E/V'_{CO_2}$  slope [11, 12, 21] (table 3). These studies compared 65 participants with COPD-PH *versus* 102 participants with COPD-nonPH. Both groups had similar  $V'_E/V'_{CO_2}$  slope values (mean difference -0.33; 95% CI -7.61-6.95, p=0.93). The heterogeneity of the comparison was high (I<sup>2</sup>=80%) (figure 6). The analysis excluding the lung transplant candidates was not performed due to the insufficient number of studies reporting this outcome.

| First author [ref.]  | COPD-PH  | COPD-nonPH   |                                     | Mean difference   |     | Mear          | n differer | nce             |         |
|--|--|--|-------------------------------------|---|-----|---------------|------------|-----------------|---------|
|  | Mean±sp Total  | Mean±sp Total  | Weight %                            | 6 (95% CI)  |     |               |            |                 |         |
| Studies without lung   | transplant candidat  | es   |                                     |   |     |               |            |                 |         |
| Holverda [21]<br>Boerrigter [18]<br>Xiong [29]<br>Skjorten [28]<br>Blanco [10] | 13.2±5.1 10<br>9.8±2.9 23<br>14.6±6.5 82<br>13.9±3 22<br>10±2 65 | 13±3.8 15<br>14±4.2 24<br>20.4±7.2 98<br>17.6±4.3 71<br>15±3 109 | 9.3<br>13.8<br>14.0<br>15.1<br>16.9 | 0.20 (-3.50-3.90)<br>-4.20 (-6.262.14)<br>-5.80 (-7.803.80)<br>-3.70 (-5.302.10)<br>-5.00 (-5.744.26) |     | +             |            |                 |         |
| Subtotal   | 202  | 317  | 69.1                                | -4.26 (-5.503.02)   |     |               |            |                 |         |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect                   |  | 4 (p=0.04); l <sup>2</sup> =61%                                  |                                     |   |     |               |            |                 |         |
| Studies with lung tra  | nsplant candidates   |  |                                     |   |     |               |            |                 |         |
| Thirapatarapong [11]<br>Adir [12]  | 9.5±2.5 31<br>9.3±2.3 24   | 11.3±4.1 67<br>9.5±2.9 20  | 15.8<br>15.1                        | -1.80 (-3.120.48)<br>-0.20 (-1.77-1.37)   |     |               | <b></b>    |                 |         |
| Subtotal   | 55   | 87   | 30.9                                | -1.06 (-2.62-0.50)  |     | •             |            |                 |         |
| Heterogeneity: Tau <sup>2</sup> =(<br>Test for overall effect                  |  | (p=0.13); I <sup>2</sup> =57%                                    |                                     |   |     |               |            |                 |         |
| Total  | 257  | 404  | 100.0                               | -3.09 (-4.741.43)   |     | -             | •          |                 |         |
| Heterogeneity: Tau²=4<br>Test for overall effect<br>Test for subgroup diff     | : Z=3.66 (p=0.0003)  |  |                                     |   | -10 | -5<br>COPD-PH | 0<br>0     | 5<br>COPD-nonPl | 10<br>H |

FIGURE 2 Mean difference (inverse variance method, random-effects model) for mean peak oxygen consumption for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

| First author [ref.]      | COPD-PH COPD-nonPH |                    |                          |       | Mean difference   |                      | Mean difference |    |        |  |  |
|--------------------------|--------------------|--------------------|--------------------------|-------|-------------------|----------------------|-----------------|----|--------|--|--|
|                          | Mean±sp            | lean±sp Total Mear |                          | Total | Weight % (95% CI) |                      |                 | (9 | 5% CI) |  |  |
|                          |                    |                    |                          |       |                   |                      |                 |    |        |  |  |
|                          |                    |                    |                          |       |                   |                      |                 |    |        |  |  |
|                          |                    |                    |                          |       |                   |                      |                 | -  |        |  |  |
|                          |                    |                    |                          |       |                   |                      | -               |    |        |  |  |
| Blanco [10]              | 43±20              | 65                 | 68±27                    | 109   | 23.6              | -25.00 (-32.0217.98) |                 |    |        |  |  |
| Subtotal                 |                    | 120                |                          | 219   | 78.6              | -26.60 (-32.0821.13) |                 | •  |        |  |  |
|                          |                    |                    | (p=0.47); l <sup>2</sup> | =0%   |                   |                      |                 |    |        |  |  |
| Studies with lung tran   | splant cand        | idates             |                          |       |                   |                      |                 |    |        |  |  |
| Adir [12]                | 40.4±20.4          | 4 24               | 35.7±19                  | 20    | 21.4              | 4.70 (-6.96-16.36)   |                 |    |        |  |  |
| Subtotal                 |                    | 24                 |                          | 20    | 21.4              | 4.70 (-6.96-16.36)   |                 |    |        |  |  |
| <b>J J I</b>             |                    | 43)                |                          |       |                   |                      |                 |    |        |  |  |
| Total                    |                    | 144                |                          | 239   | 100.0             | -20.48 (-34.426.54)  |                 |    |        |  |  |
| Test for overall effect: | Z=2.88 (p=0.       | 004)               |                          |       |                   | _                    | -50             |    | •      |  |  |

FIGURE 3 Mean difference (inverse variance method, random-effects model) for mean maximum workload for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

| First author [ref.]  | COPD-I                                   | РΗ                   | COPD-n                                 | onPH                  |                              | Mean difference  |    | Mean dif        | ference       |   |
|--|--|----------------------|--|-----------------------|------------------------------|--|----|-----------------|---------------|---|
|  | Mean±sp                                  | Total                | Mean±sD                                | Total                 | Weight %                     | (95% CI)   |    | (95%            | CI)           |   |
| Studies without lung t   | ransplant c                              | andidat              | es                                     |                       |                              |  |    |                 |               |   |
| Holverda [21]<br>Boerrigter [18]<br>Skjorten [28]<br>Blanco [10]                           | 6.6±2.4<br>6.8±2.5<br>7.5±2.1<br>6.5±2.1 | 10<br>23<br>22<br>65 | 7.6±1.6<br>8.3±3<br>9.4±2.9<br>9.4±2.5 | 15<br>24<br>71<br>109 | 14.1<br>14.7<br>17.1<br>19.0 | -1.00 (-2.69-0.69)<br>-1.50 (-3.08-0.08)<br>-1.90 (-3.010.79)<br>-2.90 (-3.592.21) | _  |                 |               |   |
| Subtotal   |  | 120                  |  | 219                   | 65.0                         | -2.04 (-2.921.15)  |    | $\bullet$       |               |   |
| Heterogeneity: Tau <sup>2</sup> =0.<br>Test for overall effect:                            | Z=4.52 (p<0.                             | 00001)               | (p=0.09); l <sup>2</sup> =             | 55%                   |                              |  |    |                 |               |   |
| Studies with lung tran   | splant cand                              | idates               |  |                       |                              |  |    |                 |               |   |
| Thirapatarapong [11]<br>Adir [12]  | 5±1.7<br>5.7±2.3                         | 31<br>24             | 5.2±1.7<br>5.5±2.1                     | 67<br>20              | 18.8<br>16.2                 | -0.20 (-0.92-0.52)<br>0.20 (-1.10-1.50)  |    |                 |               |   |
| Subtotal   |  | 55                   |  | 87                    | 35.0                         | -0.11 (-0.74-0.53)   |    |                 |               |   |
| Heterogeneity: Tau <sup>2</sup> =0.<br>Test for overall effect:                            |  |                      | (p=0.60); l <sup>2</sup> =             | 0%                    |                              |  |    |                 |               |   |
| Total  |  | 175                  |  | 306                   | 100.0                        | -1.24 (-2.400.09) -  |    |                 |               |   |
| Heterogeneity: Tau <sup>2</sup> =1.<br>Test for overall effect:<br>Test for subgroup diffe | Z=2.11 (p=0.                             | 03)                  |  |                       |                              |  | -4 | -2 (<br>COPD-PH | 0 2<br>COPD-r | 4 |

FIGURE 4 Mean difference (inverse variance method, random-effects model) for mean oxygen pulse for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

| First author [ref.]   | COPD-PH                          | COPD-nonPH                                      |                             | Mean difference  | Mean difference                       |
|---|----------------------------------|---|-----------------------------|--|---------------------------------------|
|   | Mean±sp Total                    | Mean±sp Total                                   | Weight %                    | (95% CI)   | (95% CI)                              |
| Studies without lung trans  | splant candidates                | 5   |                             |  |                                       |
| Blanco [10]<br>Boerrigter [18]<br>Holverda [21]<br>Skjorten [28]  | 31±116534.7±16.32346±241035±1222 | 46±15 109<br>40.8±13 24<br>37±10 15<br>46±15 71 | 19.9<br>15.3<br>8.9<br>17.8 | -15.00 (-18.8811.12)<br>-6.10 (-14.55-2.35)<br>9.00 (-6.71-24.71)<br>-11.00 (-17.114.89) |                                       |
| Subtotal  | 120                              | 219   | 61.8                        | -8.58 (-15.54-1.62)  |                                       |
| Heterogeneity: Tau <sup>2</sup> =33.47<br>Test for overall effect: Z=2.                                 | .42 (p<0.00001)                  | 8 (p=0.01); I <sup>2</sup> =73%                 | 6                           |  |                                       |
| Studies with lung transpla  | ant candidates                   |   |                             |  |                                       |
| Adir [12]<br>Thirapatarapong [11]   | 27.9±13.1 24<br>18±7 31          | 26±6.4 20<br>22±10 67                           | 17.9<br>20.2                | 1.90 (-4.04-7.84)<br>-4.00 (-7.440.56)   |                                       |
| Subtotal  | 55                               | 87  | 38.2                        | -1.57 (-7.26-4.12)   |                                       |
| Heterogeneity: Tau <sup>2</sup> =11.27<br>Test for overall effect: Z=0.                                 |                                  | (p=0.09); l <sup>2</sup> =65%                   |                             |  |                                       |
| Total   | 175                              | 306   | 100.0                       | -5.54 (-11.65-0.57)  |                                       |
| Heterogeneity: Tau <sup>2</sup> =44.96<br>Test for overall effect: Z=1.<br>Test for subgroup difference | 78 (p=0.08)                      | •   |                             | _  | -20 -10 0 10 20<br>COPD-PH COPD-nonPH |

FIGURE 5 Mean difference (inverse variance method, random-effects model) for mean minute ventilation for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

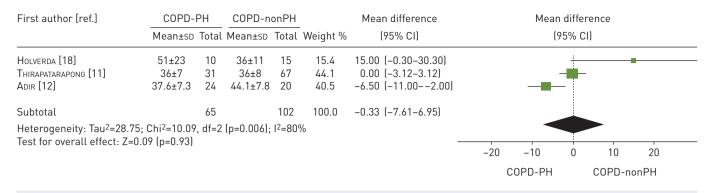


FIGURE 6 Mean difference (inverse variance method, random-effects model) for mean ventilatory equivalent for carbon dioxide at anaerobic threshold for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

#### Ventilatory reserve

Five studies examined the ventilatory reserve as a percentage of the maximum voluntary ventilation [10–12, 18, 28] (table 3). These studies compared 165 patients with COPD-PH *versus* 291 patients with COPD-nonPH. Both groups had similar ventilatory reserve values (mean difference -3.08%; 95% CI -11.33-5.16%, p=0.46). The heterogeneity of the comparison was substantial (I<sup>2</sup>=74%). If we exclude the studies with lung transplant candidates, the forest plot showed a similar value for ventilatory reserve (-4.35%; 95% CI -17.68-8.97%, p=0.52, I<sup>2</sup>=85%) between both groups (figure 7).

## Discussion

This systematic review and meta-analysis showed that the  $V'_{O_{2peak}}$ ,  $W_{max}$  and  $O_2$  pulse values were significantly lower in the patients with COPD-PH than the patients with COPD-nonPH, such differences were mainly driven by patients with less severe COPD who were not candidates for lung transplant.

Previous studies have provided conflicting results about the effect of PH on exercise tolerance in patients with COPD. A potential explanation for this could be the limited sample size in most of the studies, and for this reason we undertook a meta-analysis of the reported results, achieving a sizeable number of

| First author [ref.]  | COPD-   | COPD-nonPH |                                |                      | Mean difference      |   | Mear     | n differer     | nce |                |          |
|--|---|------------|--------------------------------|----------------------|----------------------|---|----------|----------------|-----|----------------|----------|
|  | Mean±sp Total Mean±sp Total Weight % (95% CI) |            | (95% CI)                       |                      |                      |   |          |                |     |                |          |
| Studies without lung trans   | plant candi                                   | dates      | ;                              |                      |                      |   |          |                |     |                |          |
| Boerrigter [18]<br>Skjorten [28]<br>Blanco [10]  | 33.1±17<br>-7±19<br>5.9±24.8                  | 22         | 22.9±20.7<br>9±22<br>12.3±21.3 | 71                   | 18.7<br>20.2<br>22.7 | 10.20 (-0.61-21.01)<br>-16.00 (-25.456.55)<br>-6.40 (-13.63-0.83) |          | -              |     |                |          |
| Subtotal   |   | 110        |                                | 204                  | 61.7                 | -4.35 (-17.68-8.97)   |          |                |     |                |          |
| Heterogeneity: Tau <sup>2</sup> =116.55<br>Test for overall effect: Z=0.0                                |   | 1, df=     | 2 (p=0.002                     | ); I <sup>2</sup> =8 | 5%                   |   |          |                |     |                |          |
| Studies with lung transpla   | nt candidat                                   | es         |                                |                      |                      |   |          |                |     |                |          |
| Thirapatarapong [11]<br>Adir [12]  | 12±21<br>19.4±28.3                            | 31<br>24   | 17.7±10.6<br>13.5±15           | 67<br>20             | 22.1<br>16.3         | -5.70 (-13.52-2.12)<br>5.90 (-7.19-18.99)                         |          | -              |     |                |          |
| Subtotal   |   | 55         |                                | 87                   | 38.3                 | -1.14 (-12.24-9.97)   |          |                |     |                |          |
| Heterogeneity: Tau <sup>2</sup> =37.02;<br>Test for overall effect: Z=0.3                                | ,   | df=1 (     | p=0.14); I <sup>2</sup>        | =55%                 |                      |   |          |                |     |                |          |
| Total  |   | 165        |                                | 291                  | 100.0                | -3.08 (-11.33-5.16)   |          |                |     |                |          |
| Heterogeneity: Tau <sup>2</sup> =64.26;<br>Test for overall effect: Z=0.<br>Test for subgroup difference | 73 (p=0.46)                                   |            |                                |                      |                      | -   | -50<br>C | -25<br>COPD-PH | 0   | 25<br>COPD-non | 50<br>PH |

FIGURE 7 Mean difference (inverse variance method, random-effects model) for mean ventilatory reserve for patients with COPD-PH and patients with COPD-nonPH. PH: pulmonary hypertension.

patients that may provide more robust results. Our results confirm that in COPD-PH has a significant impact on exercise tolerance. This impact might be considered clinically relevant because the main outcome of exercise test, namely  $V'_{O_{2peak}}$ , is an important predictor of mortality in COPD [19, 20]. In the case of  $W_{max}$ , the COPD-PH group showed a decrease in line with  $V'_{O_{2peak}}$ .

Patients with COPD-PH had lower  $O_2$  pulse than those without PH. The  $O_2$  pulse is a surrogate for stroke volume [30] and is typically reduced in patients with cardiovascular limitation of exercise tolerance, as in pulmonary arterial hypertension (PAH) and chronic thromboembolic pulmonary hypertension (CTEPH) [31] and left heart disease [32]. The reduction in  $O_2$  pulse reflects the impairment of stroke volume and the dependency of increasing cardiac output on heart rate [33, 34]. In patients with COPD who also have PH, there is an increased afterload during exercise, which results in RV dilation [30]. The RV pressure or volume overload results in a decrease in left ventricular diastolic compliance and ejection fraction depression due to ventricular interdependence.

Two of the reviewed articles dealt with lung transplant candidates [11, 12]. We performed a sensitivity analysis by excluding these patients. The sensitivity analysis showed that reductions of  $V'_{O_{2peak}}$ ,  $W_{max}$  and  $O_2$  pulse in patients with COPD-PH were mainly driven by patients not candidates to lung transplant.

Our results showed that there is a reduced exercise tolerance due to the presence of PH, although when we analysed the studies, including lung transplant candidates, these differences may not be so evident. Lung transplant candidates present worse lung function, and the presence of this great respiratory limitation may be difficult to distinguish from the influence of the cardiovascular limitation.

We analysed other exercise parameters. In the case of  $V_{\rm E}$ , we found no differences when we considered the studies. However, if we excluded the studies on lung transplant candidates, we found a significant difference in ventilatory capacity. Although FEV<sub>1</sub> did not show significant differences, there was a marked trend reduction on top of a significant reduction in  $D_{\rm LCO}$  in the COPD-PH group that could explain this difference which directly influences ventilatory capacity and exercise tolerance. In the case of the  $V'_{\rm E}/V'_{\rm CO_2}$ slope, there is probably a reporting bias because four out of the seven studies did not report it.

Due to the difficulty of analysing the  $V'_E/V'_{CO_2}$  slope to confirm whether the difference is ventilatory or cardiovascular, we analysed the ventilatory reserve. No significant difference in this parameter was found between the two groups; this shows that the cause of the dysfunction is cardiocirculatory and nonventilatory.

Regarding FEV<sub>1</sub> between patients with COPD-PH and COPD-nonPH, although there was no significant difference in the meta-analysis (p=0.17), this result may be surprising and is probably mainly driven by the studies of SKJØERTEN *et al.* [28] and BLANCO *et al.* [10]. This result may reflect that, in these studies, mainly patients with COPD with moderate PH with more severe airflow limitation were included and there were only a small number of patients with severe PH. In fact, we consider that there are two patient profiles: on the one hand, there are patients with less severe airflow limitation where the presence of PH limits exercise tolerance, and on the other hand, patients with severe airflow obstruction (such as candidates to lung transplant) who show mild or severe PH and present exercise intolerance in which both airflow obstruction (ventilatory limitation) and PH (cardiovascular limitation) contribute to limiting their exercise capacity. In accordance with this, when analysing candidates for lung transplant, the differences are less apparent.

In summary, patients with COPD-PH have a lower level of exercise tolerance. The facts that support these findings are the lower  $V'_{O_{2pusk}}$  and  $W_{max}$  values, in line with a lower  $O_2$  pulse value. Unfortunately, it was not possible to check this outcome with the  $V'_E/V'_{CO_2}$  slope due to significant biases in its selection. Future studies should report this variable to confirm or rule out our finding.

#### Applicability of the findings to the review question

Our results show a reduction in exercise tolerance in patients with COPD-PH with important clinical implications. These results show the debilitating consequences of PH as a comorbidity; consequently, it is critical to detect the presence of PH in COPD patients. The clinical guidelines recommend that echocardiography should always be performed when PH is suspected. This noninvasive test appears to be a valid tool given its good correlation with the RHC and its possibility of being applied more widely and with fewer associated complications [35]. We think that routine evaluation of the presence of PH in patients with COPD is mandatory because it will allow for determining what kind of intervention to carry

out, how beneficial it would be for patients and which patients would need stricter supervision, for example, if they do engage exercise or participate in rehabilitation programmes.

#### Strengths

Our systematic review has some strengths. We conducted a comprehensive search of the literature, including full-text publications, without language restrictions or the use of filters in the search strategy. Although we included studies published between 1950 and March 2020, it is unlikely that any may have been missed, given that the publications of well-designed and protocolised CPET began to appear in 1997 [36]. However, the guidelines that established the diagnosis criteria of PH are from 2004 [37]. The process of this systematic review was rigorous, and all the reviewing authors were appropriately trained and had experience in reviewing manuscripts.

#### Limitations

The most important limitation is the different designs of the selected studies (prospective, retrospective and cross-sectional). In particular, retrospective studies can affect the representativeness of the results since some key variables cannot be measured. Moreover, there may be a bias in patient selection. Another limitation is that not all the studies used the RHC as diagnostic criteria for PH; only one of them used echocardiography for some of the patients [10]. Despite being a limitation, we intentionally accepted PH assessed by echocardiography because RHC is an invasive test not always recommended for routine assessment in patients with COPD, and considering that noninvasive measurement of PAP has shown a good correlation with RHC [38, 39]. Moreover, some of the studies included different severity levels of COPD and PH, and some of the studies included lung transplant candidates. Although we examined by the severity of COPD, we were unable to analyse the differences in the variables studied between patients with severe PH and mild to moderate PH. We think that future studies must consider the patient's disease severity to obtain more robust conclusions.

#### Conclusion

The  $V'_{O_{2peak}}$ ,  $W_{max}$  and  $O_2$  pulse values were significantly lower in patients with COPD-PH than patients with COPD-nonPH, particularly in nontransplant candidates. Accordingly, the results of this systematic review reinforce the clinical value of CPET in routine evaluation of patients with COPD. Including CPET in a routine PH screening algorithm in patients with COPD could result in an earlier suspicious diagnosis.

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Authors contribution: R. Torres-Castro: conceptualisation, formal analysis, methodology, reviewing procedure and data extraction, writing (original draft, review and editing). E. Gimeno-Santos: conceptualisation, formal analysis, methodology, writing (original draft, review and editing). J. Vilaró: resolve disagreements between R. Torres-Castro and E. Gimeno-Santos, conceptualisation, writing (original draft, review and editing). M. Roqué-Figuls: formal analysis, methodology, writing (review and editing). J. Moisés: supervision, writing (review and editing). L. Vasconcello-Castillo: reviewing procedure and data extraction, writing (original draft, review and editing). T. Orizaga: supervision, writing (review and editing). J.A. Barberà: supervision, writing (review and editing). I. Blanco: conceptualisation, formal analysis, methodology, supervision, writing (original draft, review and editing).

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