

# Ndufs4 knockout mouse models of Leigh syndrome: pathophysiology and intervention

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Mitochondria are small cellular constituents that generate cellular energy (ATP) by oxidative phosphorylation (OXPHOS). Dysfunction of these organelles is linked to a heterogeneous group of multisystemic disorders, including diabetes, cancer, ageing-related pathologies and rare mitochondrial diseases. With respect to the latter, mutations in subunit-encoding genes and assembly factors of the first OXPHOS complex (complex I) induce isolated complex I deficiency and Leigh syndrome. This syndrome is an early-onset, often fatal, encephalopathy with a variable clinical presentation and poor prognosis due to the lack of effective intervention strategies. Mutations in the nuclear DNA-encoded *NDUFS4* gene, encoding the NADH:ubiquinone oxidoreductase subunit S4 (*NDUFS4*) of complex I, induce ‘mitochondrial complex I deficiency, nuclear type 1’ (MC1DN1) and Leigh syndrome in paediatric patients. A variety of (tissue-specific) *Ndufs4* knockout mouse models were developed to study the Leigh syndrome pathomechanism and intervention testing.

Here, we review and discuss the role of complex I and *NDUFS4* mutations in human mitochondrial disease, and review how the analysis of *Ndufs4* knockout mouse models has generated new insights into the MC1DN1/Leigh syndrome pathomechanism and its therapeutic targeting.

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**Abbreviations:** AAV = adeno-associated virus; BN-PAGE = blue native polyacrylamide gelelectrophoresis; CI-V = complex I-V; ETC = electron transport chain; OXPHOS = oxidative phosphorylation; MC1DN1 = mitochondrial complex I deficiency, nuclear type 1; MEFs = mouse embryonic fibroblasts; MIM = mitochondrial inner membrane; MTS = mitochondrial targeting sequence; *Ndufs4*<sup>-/-</sup>-WB = whole-body *Ndufs4* knockout; TCA = tricarboxylic acid

## Mitochondria and oxidative phosphorylation

Mitochondria are constituents of virtually every eukaryotic cell. These organelles generate cellular energy in the form of adenosine triphosphate (ATP) and also play a central role in reactive oxygen species and redox metabolism, fatty acid oxidation, haem biosynthesis, apoptosis induction, heat generation and calcium (Ca<sup>2+</sup>) homeostasis.<sup>1–5</sup> Structurally, mitochondria consist of a matrix compartment surrounded by the mitochondrial inner membrane (MIM) and mitochondrial outer membrane and an in-between intermembrane space. The MIM contains numerous folds ('cristae') that enlarge its surface area. Several nutrient-dependent pathways can deliver substrates for mitochondrial ATP generation by the MIM-embedded oxidative phosphorylation (OXPHOS) system.<sup>6–8</sup> These pathways include: (i) the cytosolic glycolysis-mediated conversion of glucose, galactose or fructose into pyruvate, which subsequently enters the mitochondrion. There, pyruvate is converted into acetyl coenzyme A (acetyl-CoA) that is used as a substrate for the tricarboxylic acid (TCA) cycle to generate reduced nicotinamide adenine dinucleotide (NADH) and flavin adenine dinucleotide (FADH<sub>2</sub>); (ii) entry of glutamine into the TCA cycle; and (iii) entry of fatty acids into  $\beta$ -oxidation and the TCA cycle. In addition to OXPHOS, ATP is also generated by the glycolysis pathway in the cytosol and mitochondrial TCA cycle. Under physiological conditions, OXPHOS is the prime generator of ATP in most cells. However, during mitochondrial dysfunction increased glycolytic ATP production can compensate for loss of OXPHOS-mediated ATP generation. This compensation involves the conversion of glycolysis-generated pyruvate into lactate, which leaves the cell and acidifies the extracellular environment.<sup>9,10</sup> The OXPHOS process requires the combined action of four electron transport chain (ETC) complexes (complex I–IV; CI–CIV) and an ATP-producing fifth complex (CV or F<sub>o</sub>F<sub>1</sub>-ATPase) by a chemiosmotic coupling mechanism.<sup>11</sup> ETC action sustains a matrix-directed proton-motive force by transporting electrons from NADH (via CI) and FADH<sub>2</sub> (via CII) to molecular oxygen (O<sub>2</sub>; via CIV). This process further requires coenzyme Q<sub>10</sub> and cytochrome-c, which mediate electron transport from CI/CII to CIII and from CIII to CIV, respectively. Of note, electrons can also enter the ETC via alternative coenzyme Q<sub>10</sub>-converging pathways.<sup>12,13</sup> These are often tissue-specific and include:

(i) the electron-transferring flavoprotein (ETF)-ubiquinone oxidoreductase; (ii) *s*, *n*-glycerophosphate dehydrogenase; and (iii) dihydroorotate dehydrogenase. At CI, CIII and CIV, protons (H<sup>+</sup>) are transported from the mitochondrial matrix to the intermembrane space, leading to a *trans*-MIM electrical potential ( $\Delta\psi$ ) and chemical proton gradient ( $\Delta\text{pH}$ ), which together constitute the proton-motive force.<sup>6</sup> Matrix re-entry of H<sup>+</sup> is then used at CV for ATP generation.<sup>14</sup> In addition, the proton-motive force is also crucial to sustain other mitochondrial functions including ion/metabolite exchange and protein import.<sup>4,15,16</sup>

## Complex I of the oxidative phosphorylation system

NADH:ubiquinone reductase (EC 1.6.5.3.) or complex I (CI) is the first and largest (~1 MDa) OXPHOS complex, which couples H<sup>+</sup> transport to electron transfer from NADH to coenzyme Q<sub>10</sub>.<sup>6,17–21</sup> It was estimated that CI action is responsible for sustaining ~40% of the total proton-motive force.<sup>22</sup> CI is composed of 44 different subunits (Table 1), 14 of which are 'core subunits' that suffice to carry out CI catalytic function.<sup>22</sup> In humans, seven hydrophobic core subunits are encoded by mitochondrial DNA (mtDNA): ND1, ND2, ND3, ND4, ND4L, ND5 and ND6, whereas the remaining core subunits are nuclear DNA (nDNA)-encoded: NDUFV1, NDUFV2, NDUFS1, NDUFS2, NDUFS3, NDUFS7 and NDUFS8. In addition to these core subunits, human CI also contains 30 nDNA-encoded 'accessory' (or 'supernumerary') subunits. Although the function of these subunits still is largely unknown, it is expected that they play a role in CI assembly, stabilization, functional regulation, prevention of electron escape and the formation of OXPHOS supercomplexes (see below). Currently, 14 assembly factors have been identified that mediate CI biogenesis: NDUFAF1, NDUFAF2, NDUFAF3, NDUFAF4, NDUFAF5, NDUFAF6, NDUFAF7, NDUFAF8, NUBPL, TIMMDC1, ECSIT, ACAD9, TMEM126B and FOXRED1.<sup>19,23</sup> All of these are nDNA-encoded. Structurally, CI is L-shaped and consists of a hydrophobic MIM-embedded membrane arm and a matrix-protruding peripheral arm (Fig. 1). It appears that CI can exist in active and inactive states, which are associated with changes in CI structure.<sup>21,24</sup> Functionally, CI consists of three modules: N, Q and P (Table 1), with the P module comprising two

Table 1 Complex I subunits

Number	LS-linked <sup>a</sup>	Name <sup>b</sup>	Module <sup>c</sup>	Alternative names	Remarks
1	+	<b>NDUFV1</b>	N	51-kDa, Nqo1, NuoF	Contains FMN and N3
2	+	<b>NDUFV2</b>	N	24-kDa, Nqo2, NuoE	Contains N1a
3	–	<i>NDUFV3<sup>d</sup></i>	N	10-kDa	
4	+	<b>NDUFS1</b>	N	75-kDa, Nqo3, NuoG	Contains N1b, N4, N5
5	+	<b>NDUFS6</b>	N	13-kDa	Contains Zn <sup>2+</sup> binding site
6	+	<b>NDUFA12</b>	N	B17.2	
7	+	<b>NDUFS4</b>	N/Q?	AQDQ, 18-kDa	
8	+	<b>NDUFA2</b>	N/Q?	B8	Contains thioredoxin fold
9	+	<b>NDUFS2</b>	Q	49-kDa, Nqo4, NuoCD	
10	+	<b>NDUFS3</b>	Q	30-kDa, Nqo5, NuoCD	
11	+	<b>NDUFS7</b>	Q	PSST, Nqo6, NuoB	Contains N <sub>2</sub>
12	+	<b>NDUFS8</b>	Q	TYKY, Nqo9, NuoI	Contains N6a and N6b
13	–	<b>NDUFA5</b>	Q	B13	
14	–	<b>NDUFA6</b>	Q	B14	LYR
15	–	<b>NDUFA7</b>	Q	B14.5a	
16	+	<b>NDUFA9</b>	Q	39-kDa	Short-chain dehydrogenase/reductase fold with bound NAD(P)H
17	–	<b>NDUFAB1</b>	Q & Pd	SDAP-a, SDAP-b	Acyl carrier protein; 2 copies present; contains phosphopantetheine cofactor
18	–	<b>NDUFS5</b>	Pp	15-kDa	Quadruple CX <sub>9</sub> C domain; double CHCH domain
19	+	<b>NDUFA1</b>	Pp	MWFE	STMD
20	–	<b>NDUFA3</b>	Pp	B9	STMD
21	–	<b>NDUFA8</b>	Pp	PGIV	Quadruple CX <sub>9</sub> C domain; double CHCH domain
22	+	<b>NDUFA10</b>	Pp	42-kDa	Nucleoside kinase family
23	+	<b>NDUFA11</b>	Pp	B14.7	
24	+	<b>NDUFA13</b>	Pp	B16.6	Identical to GRIM19, STMD
25	–	<b>NDUFC1</b>	Pp	KFYI	STMD
26	+	<b>NDUFC2</b>	Pp	B14.5b	
27	+/-	<b>MT-ND1</b>	Pp	Nqo8, NuoH, ND1	8 TMHs
28	+	<b>MT-ND2</b>	Pp	Nqo14, NuoN, ND2	11 TMHs
29	+	<b>MT-ND3</b>	Pp	Nqo7, NuoA, ND3	3 TMHs
30	–	<b>MT-ND4L</b>	Pp	Nqo11, NuoK, ND4L	3 TMHs
31	+	<b>MT-ND6</b>	Pp	Nqo10, NuoJ, ND6	5 TMHs
32	–	<b>NDUFB1</b>	Pd	MNLL	STMD
33	–	<b>NDUFB2</b>	Pd	AGGG	STMD
34	–	<b>NDUFB3</b>	Pd	B12	STMD
35	–	<b>NDUFB4</b>	Pd	B15	STMD
36	–	<b>NDUFB5</b>	Pd	SGDH	STMD
37	–	<b>NDUFB6</b>	Pd	B17	STMD
38	–	<b>NDUFB7</b>	Pd	B18	Double CX <sub>9</sub> C domain; double CHCH domain
39	+	<b>NDUFB8</b>	Pd	ASHI	STMD
40	–	<b>NDUFB9</b>	Pd	B22	LYR
41	–	<b>NDUFB10</b>	Pd	PDSW	
42	–	<b>NDUFB11</b>	Pd	ESSS	STMD
43	+	<b>MT-ND4</b>	Pd	Nqo7, NuoM, ND4	14 TMHs
44	+	<b>MT-ND5</b>	Pd	Nqo12, NuoL, ND5	16 TMHs

CHCH = coiled-coil-helix-coiled-coil-helix; FMN = flavin mononucleotide; LYR = member of mitochondrial LYR (LYRM) protein family; N = iron-sulphur cluster; O = for ovine CI; STMD = small single transmembrane domain; TMH = transmembrane helices. Adapted from Guerrero-Castillo et al.,<sup>19</sup> Fiedorczuk and Sazanov,<sup>20</sup> Adjobo-Hermans et al.,<sup>64</sup> and Zhu et al.<sup>192</sup>

<sup>a</sup>Linked to Leigh syndrome (LS; OMIM #256000; compiled using a literature search and information from: www.omim.org; +/- marks a potential link).

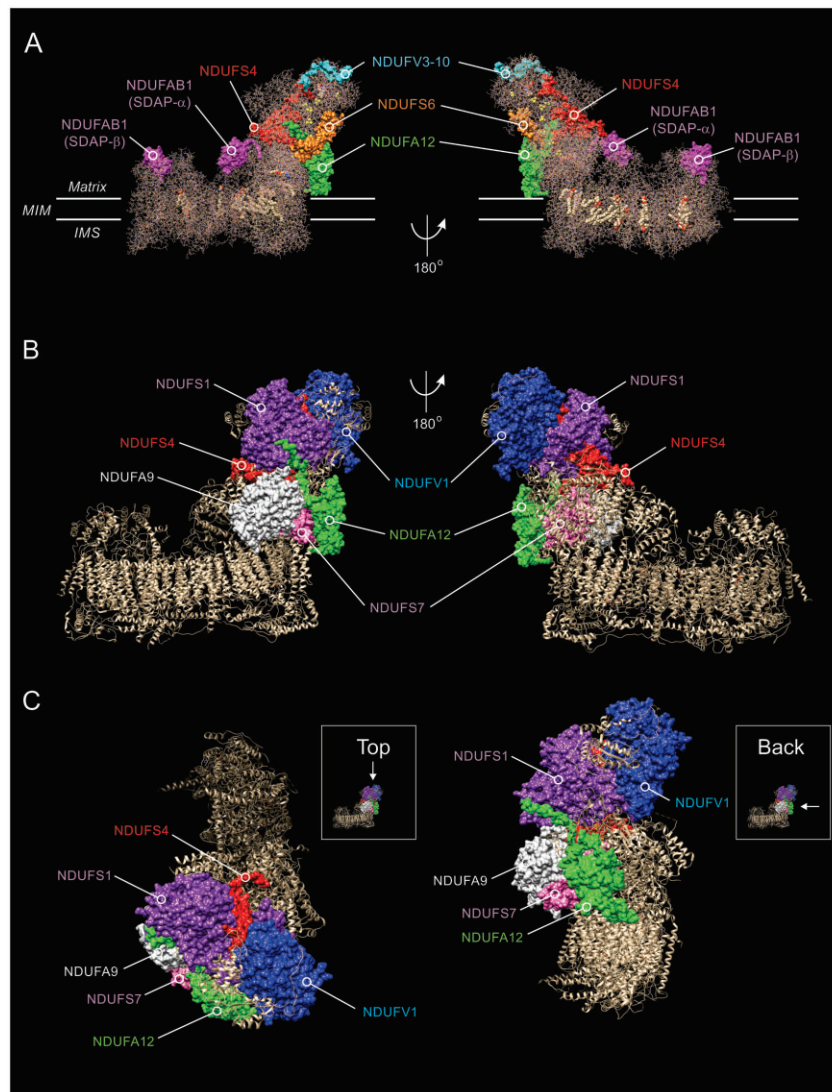
<sup>b</sup>Human protein name according to HGNC (www.genenames.org). Core subunits are highlighted in bold. Subunits encoded by the mtDNA are in italics.

<sup>c</sup>Functional modules: N (NADH binding and oxidation), Q (electron transfer to ubiquinone), P module (consisting of Pp and Pd submodules, proton pumping).

<sup>d</sup>Represents the NDUFV3-10 subunit (10 kDa).

submodules (Pp and Pd). Electrons enter the N-module via an NDUFV1-bound flavin mononucleotide (FMN), after which the Q-module transports them to coenzyme Q<sub>10</sub> via eight iron-sulphur (FeS) clusters (N1a/NDUFV2, N1b/NDUFS1, N2/NDUFS7, N3/NDUFV1, N4/NDUFS1, N5/NDUFS1, N6a/NDUFS8, N6b/NDUFS8). The P module mediates the trans-MIM proton pumping from the matrix to the intermembrane space.<sup>19,22,25</sup> At a higher level of

organization, CI can assemble into supercomplexes (also known as ‘respirasomes’) consisting of CI<sub>1</sub>CIII<sub>1</sub>, CI/CIII<sub>2</sub>/CIV, CI<sub>2</sub>CIII<sub>2</sub>CIV<sub>2</sub>, CI<sub>2</sub>CII<sub>2</sub>CIII<sub>2</sub>CIV<sub>2</sub> or potentially even larger oligomers. It was hypothesized that formation of these supercomplexes mediates substrate channelling, prevents electrons from escaping and thereby reactive oxygen species production and/or serves protein stabilization purposes.<sup>26–34</sup>



**Figure 1** Structure of CI and location of NDUFS4 and other subunits. (A) Side view of the cryogenic-electronic microscopy structure of CI in *Ovis aries* heart at 3.90 Å resolution (PDB accession number: 5LNK; www.rcsb.org), highlighting the position of the NDUFS4 protein (red) relative to the NDUFAB1, NDUFV3-10, NDUFS6 and NDUFA12 subunits.<sup>192</sup> The two copies ( $\alpha$  and  $\beta$ ) of the NDUFAB1/SDAP accessory subunit are indicated. Yellow spheres mark iron-sulphur clusters. Transmembrane helices are depicted in the MIM-embedded part. (B) Same as A but now highlighting the position of the NDUFS4 protein relative to the NDUFV1, NDUFS1, NDUFA9, NDUFS7 and NDUFA12 subunits. (C) Same as B, but now depicting a view from the top and back of CI. The molecular graphics in this figure were created using the PyMOL Molecular Graphics System v.2.0 (Schrödinger-LLC, Mannheim, Germany).

## Isolated complex I deficiency and Leigh syndrome

Mutations in nDNA- or mtDNA-encoded OXPHOS genes impair mitochondrial energy metabolism and result in multisystemic diseases, a heterogeneous group of severe, often fatal, pathologies affecting  $\sim 1:5000$  live births.<sup>6,35,36</sup> However, mitochondrial dysfunction is not only observed in 'rare' mitochondrial syndromes, but also in more common diseases such as Parkinson's disease, Alzheimer's disease, age-related frailty, cancer, epilepsy, diabetes and obesity. In this sense, studying rare mitochondrial diseases is of great value to better understand mitochondrial dysfunction-related diseases with a higher incidence. We previously proposed to classify monogenic mitochondrial diseases as 'primary' and 'secondary' disorders.<sup>37</sup> This classification is based on whether the disease arises from a mutation in a mitochondrial protein-encoding gene (primary disorder) or from an outside influence on

mitochondria (secondary disorder). The latter include for instance viral infections, environmental toxins and off-target drug effects.<sup>38,39</sup> In this review, we focus on isolated CI deficiencies due to nDNA mutations (OMIM #252010). The latter often induce Leigh syndrome (OMIM #256000),<sup>40,41</sup> first described in 1951 by Denis Archibald Leigh.<sup>42</sup> Currently, mutations in 24 CI subunit-encoding genes have been implicated in Leigh syndrome (Table 1). In addition, Leigh syndrome has been linked to mutations in various assembly factors of CI (NDUFAF1, NDUFAF2, NDUFAF3, NDUFAF4, NDUFAF5, NDUFAF6, NDUFAF8 and FOXRED1). Leigh syndrome is also referred to as subacute necrotizing encephalopathy and is characterized by an early-onset, often fatal, neurodegenerative disorder presenting with bilateral, symmetric lesions in the brainstem, midbrain, pons, thalamus, basal ganglia and cerebellum.<sup>43–48</sup> Patients with Leigh syndrome are born without obvious clinical abnormalities and have a normal prenatal development.<sup>49</sup> Most patients start developing symptoms within the first year of life

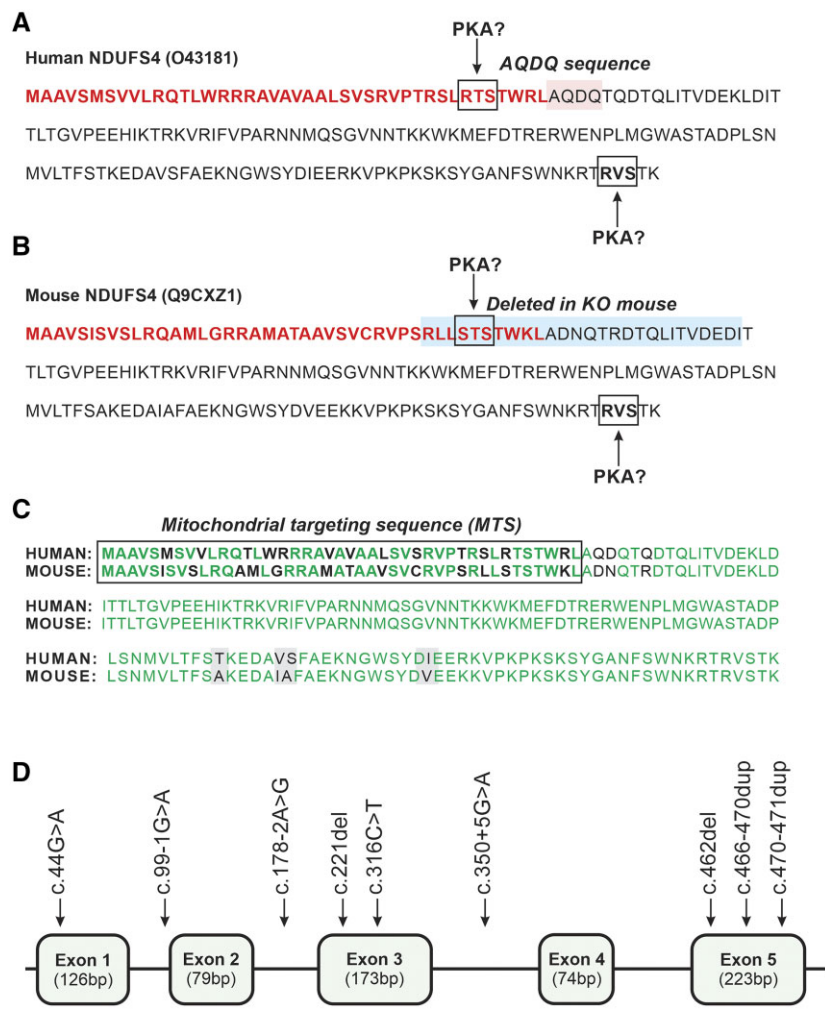


and most die before the age of 3 years. Mutations are generally transferred to the offspring in an autosomal recessive manner,<sup>50</sup> although X-linked inheritance (NDUFA1 mutation) has also been reported.<sup>51</sup> Symptoms primarily develop in high-energy demanding tissues, such as the CNS, skeletal muscle and heart.<sup>37,49,52</sup> Leigh syndrome presents with variable clinical symptoms such as progressive encephalopathy, failure to thrive, hypotonia, psychomotor retardation, breathing difficulties, recurrent vomiting, dysphagia, nystagmus, ataxia, neuropathy, hypertrophic cardiomyopathy, loss of vision, impaired hearing, seizures, lactic acidosis and eventually early death.<sup>43,44,46–48</sup> Similar to most other mitochondrial diseases, the prognosis of CI deficiency-linked Leigh syndrome is poor, as currently no effective treatment strategies exist.<sup>40,54,55</sup>

### The NDUFS4 subunit of complex I

In the context of mitochondrial disease, pathogenic mutations in the nDNA-encoded NDUFS4 gene (OMIM \*602694) induce ‘mitochondrial complex I deficiency, nuclear type 1’ (MC1DN1; OMIM

#252010) and Leigh syndrome. NDUFS4 is located on chromosome 5q11.2, contains five exons and encodes the accessory NADH-dehydrogenase subunit S4 (NDUFS4) of CI, also referred to as the ‘AQDQ’ or ‘18-kDa’ subunit.<sup>43,48,56,57</sup> The NDUFS4 pre-protein is 175 amino acids long, with its ATG start codon located in exon one and the TGA stop codon in exon five, and contains a mitochondrial targeting sequence (MTS) of 42 amino acids. The latter is removed following mitochondrial import yielding a mature protein of 133 amino acids, which is highly similar between human and mouse (Fig. 2A–C). NDUFS4 contains two predicted phosphorylation sites (R-X<sub>1-2</sub>-S/T-X)<sup>58</sup> for AMP-activated protein kinase A (PKA). These are located at position 36–38 (RTS/STS) in the MTS, and at position 171–173 (RVS) in the pre-protein (Fig. 2A and B). Evidence was provided that NDUFS4 exists in non-phosphorylated and phosphorylated forms and that PKA-mediated phosphorylation can occur at Ser173 of the RVS site.<sup>59</sup> Of note, another study concluded that not NDUFS4 but another CI accessory subunit of 18 kDa (NDUFB11) was phosphorylated.<sup>60</sup> It was proposed that NDUFS4 phosphorylation affects its mitochondrial import, MTS removal and subsequent incorporation in CI.<sup>57,59,61,62</sup> In addition, PKA-mediated



**Figure 2** Sequence of the human and mouse NDUFS4 and pathogenic NDUFS4 mutations. (A) Human (*Homo sapiens*) NDUFS4 pre-protein sequence (O43181 from UniProt: www.uniprot.org). The MTS is highlighted in bold red. The two PKA consensus phosphorylation sites in the MTS and NDUFS4 protein are highlighted by boxes. The AQDQ sequence (highlighted in pink) is also indicated. (B) Same as panel A but now for the mouse (*Mus musculus*) NDUFS4 protein sequence (Q9CXZ1). In the whole-body *Ndufs4* knockout animal (*Ndufs4*<sup>-/-</sup>-WB), the last part of the MTS and the first 17 amino acids of NDUFS4 (highlighted in blue) were deleted.<sup>72</sup> (C) Alignment of the pre-protein sequences in A and B. The MTS is highlighted in bold and identical amino acids are in green. The mature human and mouse NDUFS4 proteins differ by only four amino acids (highlighted in grey), rendering them 97% identical. (D) Schematic structure of NDUFS4 (NM\_002495.2) consisting of five exons (not drawn to scale). The currently known mutations are highlighted (Table 2).

NDUFS4 phosphorylation might stimulate CI activity.<sup>61</sup> Within ovine CI, the NDUFS4 subunit is located at the interface between the N- and Q-module (Fig. 1) and interacts with various other CI subunits within the N-module (NDUFV1, NDUFV2, NDUFV3-10, NDUFS1, NDUFA12) and Q-module (NDUFS3, NDUFS8, NDUFA6, NDUFA9). In case of bovine CI, the NDUFS4 protein also interacts with NDUFS2 but not with NDUFV2 (Table 2). Taken together, the current experimental data suggests that the NDUFS4 subunit is potentially PKA-phosphorylated and plays a key role in CI assembly and/or stabilization (likely involving N-to-Q-module attachment), thereby exerting control over CI levels and/or activity.<sup>63,64</sup>

## NDUFS4 mutations and human mitochondrial disease

Pathogenic mutations in NDUFS4 linked to MC1DN1/Leigh syndrome are inherited in an autosomal recessive manner. Several of these mutations have been described (Table 3), occurring in intronic and exonic nDNA regions (Fig. 2D). The first reported mutation (c.466-470dup) induced a 5 bp duplication leading to a frameshift (K158fs) in a patient with symptoms resembling Leigh syndrome. This mutation resulted in an elongation of the mature protein by 14 amino acids and destruction of the RVS phosphorylation consensus site.<sup>56</sup> Hereafter, various other NDUFS4 mutations associated with Leigh syndrome, Leigh-like syndrome and/or MC1DN1 were presented including: (i) a homozygous c.462del mutation disrupting the NDUFS4 reading frame<sup>44</sup>; (ii) introduction of a stop codon resulting in degradation of transcribed product and suppression of the truncated NDUFS4 subunit<sup>43,61,65</sup>; and (iii) nonsense mutations leading to premature truncation of NDUFS4.<sup>66</sup> Analysis of 14 patients with pathogenic NDUFS4 mutations demonstrated that the age of death varied between 3.6 and 27 months.<sup>67</sup> Compatible with the last study, analysis of a cohort of 22 patients (18 families) yielded an average age of disease onset of

4.5±4.4 months and an average age of death of 10±7.7 months.<sup>48</sup> The last study further reported that NDUFS4 mutations were associated with diverse clinical features including: (i) CI deficiency in muscle (n = 18 patients) and fibroblasts (n = 12); (ii) lactate elevation in plasma (n = 16) and CSF (n = 11); (iii) lesions of the brainstem (n = 14) and basal ganglia (n = 9); (iv) cortical atrophy (n = 3); (v) hypotonia (n = 22); (vi) developmental arrest-regression (n = 11); (vii) absence of eye contact (n = 10); (viii) apnoeic episodes (n = 10); (ix) pyramidal signs (n = 6); (x) epilepsy/seizures (n = 4); (xi) movement disorder (n = 2); (xii) microcephaly (n = 1); (xiii) ocular abnormalities (n = 11); (xiv) hypertrophic cardiomyopathy (n = 5); (xv) feeding problems/failure to thrive (n = 8); and (xvi) ragged-red fibres and lipid accumulation in muscle biopsies (n = 4).

## Impact of NDUFS4 mutations in patient-derived cells

In patient-derived primary skin fibroblasts, NDUFS4 mutations typically result in reduced NDUFS4 mRNA levels and the absence of NDUFS4 protein, associated with reduced levels of the CI holocomplex and catalytic activity.<sup>44–46,53,64,68,69</sup> In general, the expression/activity of OXPHOS complexes other than CI is not affected by NDUFS4 mutations and/or absence of the NDUFS4 protein.<sup>45,56,64,70</sup> On native gels (i.e. using blue native polyacrylamide gel electrophoresis; BN-PAGE), NDUFS4-mutated patient fibroblasts exhibit complete absence of the fully assembled CI holocomplex. Instead, these cells display a catalytically-inactive CI subcomplex with a size of ~830-kDa ('CI-830').<sup>44,45,68,69,71</sup> This suggests that NDUFS4 mutations impair CI biogenesis and/or stability.<sup>68</sup> Analysis of patient-derived cells further demonstrated that NDUFS4 mutations were associated with partial Δψ depolarization, increased reactive oxygen species and NAD(P)H levels, aberrations in cytosolic and mitochondrial calcium/ATP homeostasis and altered mitochondrial morphology.<sup>49,69</sup>

**Table 2 Interactions of NDUFS4 and NDUFA12 with other CI subunits**

Subunit	Module <sup>a</sup>	Interacting with <sup>b</sup>			
		NDUFS4		NDUFA12	
		Ovine	Bovine	Ovine	Bovine
<b>NDUFV1/51-kDa</b>	N	X	X	—	—
<b>NDUFV2/24-kDa</b>	N	X	—	—	—
<b>NDUFV3-10/10-kDa</b>	N	X	X	—	—
<b>NDUFS1/75-kDa</b>	N	X	X	X	X
<b>NDUFS6/13-kDa</b>	N	—	—	X	X
<b>NDUFA12/B17.2</b>	N	X	X	NA	NA
<b>NDUFS4/18-kDa</b>	N/Q?	NA	NA	X	X
<b>NDUFS2/49-kDa</b>	Q	—	X	—	—
<b>NDUFS3/30-kDa</b>	Q	X	X	—	—
<b>NDUFS7/PSST</b>	Q	—	—	X	X
<b>NDUFS8/TYKY</b>	Q	X	X	X	X
<b>NDUFA6/B14</b>	Q	X	X	—	—
<b>NDUFA7/B14.5a</b>	Q	—	—	X	X
<b>NDUFA9/39-kDa</b>	Q	X	X	—	—
<b>MT-ND1/ND1</b>	Pp	—	—	X	X
<b>NDUFA1/MWFE</b>	Pp	—	—	—	X

For each subunit the human (according to HGNC: www.genenames.org) and bovine names (kDa) are given. Core subunit are highlighted in bold, mtDNA-encoded subunits are highlighted in italics. NA = not appropriate.

<sup>a</sup>Functional modules: N (NADH binding and oxidation), Q (electron transfer to ubiquinone), P module (consisting of Pp and Pd submodules, proton pumping).

<sup>b</sup>Interactions are marked by X and obtained from the ovine CI structure<sup>191</sup> and bovine CI structure.<sup>192</sup>

## The Ndufs4 whole-body knockout mouse model

In human patients, most of the currently known pathogenic NDUFS4 mutations lead to absence of (full length) NDUFS4 protein (Table 3). These mutations are inherited in an autosomal recessive manner and thus present in every cell. Therefore, from a genetic point of view, whole-body *Ndufs4* deletion is a valid strategy to create mouse models of MC1DN1/Leigh syndrome for pathomechanistic analysis. Next, we will primarily focus on the *Ndufs4* whole-body knockout mouse model (*Ndufs4*<sup>-/-</sup>-WB), which was created by deleting exon 2 of NDUFS4 (Fig. 2B), resulting in a frameshift that prevented formation of NDUFS4 protein.<sup>72</sup> Among *Ndufs4* knockout models, *Ndufs4*<sup>-/-</sup>-WB mice are most extensively studied (Supplementary Table 1) and applied in intervention studies (Supplementary Table 2). However, to allow better interpretation of the *Ndufs4*<sup>-/-</sup>-WB model, results obtained with relevant (tissue-specific) *Ndufs4* knockout mouse models will also be discussed. Mouse models focusing on the impact of *Ndufs4* deletion on inflammation, bone resorption and immune cell fate are presented elsewhere.<sup>73,74</sup>

## The phenotype of *Ndufs4*<sup>-/-</sup>-WB mice

Heterozygous *Ndufs4*<sup>+/-</sup>-WB mice exhibited no phenotype when compared to wild-type mice.<sup>72</sup> In contrast, *Ndufs4*<sup>-/-</sup>-WB mice appeared smaller and displayed hair loss by postnatal Day (PD) 21, whereas hair grew back during the next hair-growth cycle. Detailed analysis revealed that *Ndufs4*<sup>-/-</sup>-WB mice display an

Table 3 Pathogenic NDUFS4 gene mutations

ClinVar accession	Variant	Variant type	Effect at protein level	Disease phenotype	Reference
VCV0000006890	c.44G>A	Single nucleotide (1 bp)	p.Trp15Ter (W15*). MTS mutation. NDUFS4 protein absent.	MC1DN1	Petruzzella et al. <sup>66</sup>
VCV0000496165.3	c.99-1G>A	Single nucleotide (1 bp)	Abnormal splicing.	Leigh syndrome; MC1DN1	Bénit et al. <sup>193</sup>
VCV0000488559	c.178-2A>G	Single nucleotide (1 bp)	Abnormal splicing.	Leigh syndrome	ClinVar only
VCV0000006888	c.291del	Deletion (1 bp)	p.Lys96_Trp97insTer (W96*). No full-length NDUFS4 protein.	MC1DN1	Budde et al. <sup>61</sup>
VCV0000006889	c.316C>T	Single nucleotide (1 bp)	p.Arg106Ter (R106*). NDUFS4 protein absent. <sup>64</sup>	MC1DN1	Budde et al. <sup>61</sup>
VCV0000930177	c.350+5G>A	Single nucleotide (1 bp)	Abnormal splicing. Analysis of muscle and fibroblast cDNA from the patient showed reduced expression of NDUFS4 to 13% and 18% of control levels, respectively, and the presence of abnormal transcript species indicative of splicing abnormalities was detected in muscle.	MC1DN1	González-Quintana et al. <sup>194</sup>
VCV0000040257	c.462del	Deletion (1 bp)	p.Lys154fs (K154fs). Replacement of the last 22 amino acids with 34 novel amino acids. RVS phospho-site destroyed. A 60% reduction in NDUFS4 transcript levels due to nonsense-mediated mRNA decay. No full length NDUFS4 protein was detected in isolated mitochondria. <sup>46</sup>	Leigh syndrome; MC1DN1	Anderson et al. <sup>44</sup>
VCV0000006887.2	c.466-470dup	Duplication (5 bp)	p.Lys158fs (K158fs). Mature NDUFS4 protein is 14 amino acids longer. RVS phospho-site destroyed. NDUFS4 protein absent. <sup>64</sup>	Leigh syndrome; MC1DN1	Van den Heuvel et al. <sup>56</sup>
VCV0000488560	c.470-471del	Deletion (2 bp)	p.Lys156_Ser157insTer.	Leigh syndrome	ClinVar only

The data in this table were compiled using ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>; only mutations marked as 'Pathogenic') and OMIM ([www.omim.org](http://www.omim.org)).

infiltration of inflammatory monocytes and macrophages and elevated mRNA levels of inflammatory markers in their skin.<sup>73</sup> The latter might explain the sudden hair loss and be linked to the systemic inflammation reported in *Ndufs4*<sup>-/-</sup>-WB mice.<sup>73</sup> Until PD30, mice appeared healthy and displayed similar behaviour (e.g. grooming, feeding, socializing) as wild-type littermates. After PD30, mice became lethargic, hypothermic, blind and eventually developed severe ataxia, marked by uncoordinated gait/balance (also see below) and hindlimb claspings. Additionally, mice developed abnormal oxygen saturation, heart rate, breathing patterns and displayed elevated cerebral and serum lactate levels (see [Supplementary Table 1](#) for further phenotypic information). These symptoms progressively worsened and mice died at ~PD50.<sup>72,75–79</sup> Neurologically, *Ndufs4*<sup>-/-</sup>-WB mice developed bilateral spongiform lesions in the vestibular nuclei, and neurodegeneration in various other brain regions including the olfactory bulb, optic chiasm, optic tract, superior colliculus, interpeduncular nucleus, lateral lemniscus, trapezoid body, cochlear nuclei, fastigial nucleus, inferior olivary complex, nodulus (X) and the uvula (IX) granular and molecular layer.<sup>75,77,80</sup> In addition, progressive microgliosis was observed from PD26 (mid-stage disease) onwards.<sup>75,77</sup> It is expected that the vestibular nuclei/fastigial nucleus lesions contribute to the breathing abnormalities observed in these mice.<sup>75</sup> *Ndufs4*<sup>-/-</sup>-WB mice also displayed (mild) glial lipid droplet accumulation in olfactory bulb/vestibular nuclei, periaqueductal grey, cerebellum, dorsal motor nucleus, vagus and abducens nuclei. Accumulation of lipid droplets in glial cells is strongly associated with neuroinflammation and progression of neurodegeneration in *Drosophila* mutants and *Ndufs4*<sup>-/-</sup>-WB mice.<sup>80</sup> The last study also demonstrated that lipid droplet accumulation correlated with elevated reactive oxygen species levels, and that both phenomena were mitigated by antioxidants. This suggests that oxidative stress can induce lipid droplet accumulation during mitochondrial dysfunction. Therefore, reactive oxygen species-induced lipid peroxidation and ensuing neuroinflammation might be part of the pathomechanism in *Ndufs4*<sup>-/-</sup>-WB mice.

### The behaviour of *Ndufs4*<sup>-/-</sup>-WB mice

Because of their severe and progressive phenotype, early death and small size, it is challenging to analyse *Ndufs4*<sup>-/-</sup>-WB knockout mice in behavioural studies. Mice suffered vision loss at PD21, as evidenced by an absent B wave in their electroretinogram and their failure to recognize a visual cliff.<sup>72</sup> Until ~PD30 normal locomotor activity during both day and night cycle was observed.<sup>72</sup> Gait analysis performed at PD30, PD35 and PD40 revealed severe impairment.<sup>77</sup> From PD35 onwards the mice: (i) failed to maintain balance on a ledge; (ii) failed in a negative geotaxis test; (iii) displayed a decline in locomotor activity in the open field test; (iv) exhibited deteriorating muscle strength on the wire grip hang test; and (v) were unable to remain on a rotating rod as long as wild-type littermates.<sup>72,77,81</sup> Although we do not have information at the level of individual animals, the water intake of *Ndufs4*<sup>-/-</sup>-WB mice is ~50% of that of wild-type mice and further decreases around PD32–36 (A.Q., unpublished observation). However, it was reported that food consumption of *Ndufs4*<sup>-/-</sup>-WB animals was within the normal range during day, night and fasting.<sup>72</sup> This leaves open the question as to why *Ndufs4*<sup>-/-</sup>-WB animals have a smaller size. Between PD26–37 mice were housed in metabolic cages. Weight loss occurred from PD35–40 onwards, which coincides with the reduced water intake and ataxia induction. This might suggest that food intake is normal before this time window, but *Ndufs4*<sup>-/-</sup>-WB animals start to eat less and lose weight once the disease phenotype becomes more severe. Supporting this idea, conditional *Ndufs4*<sup>-/-</sup>

knockout animals displayed reduced food intake coinciding with the appearance of symptoms.<sup>82</sup>

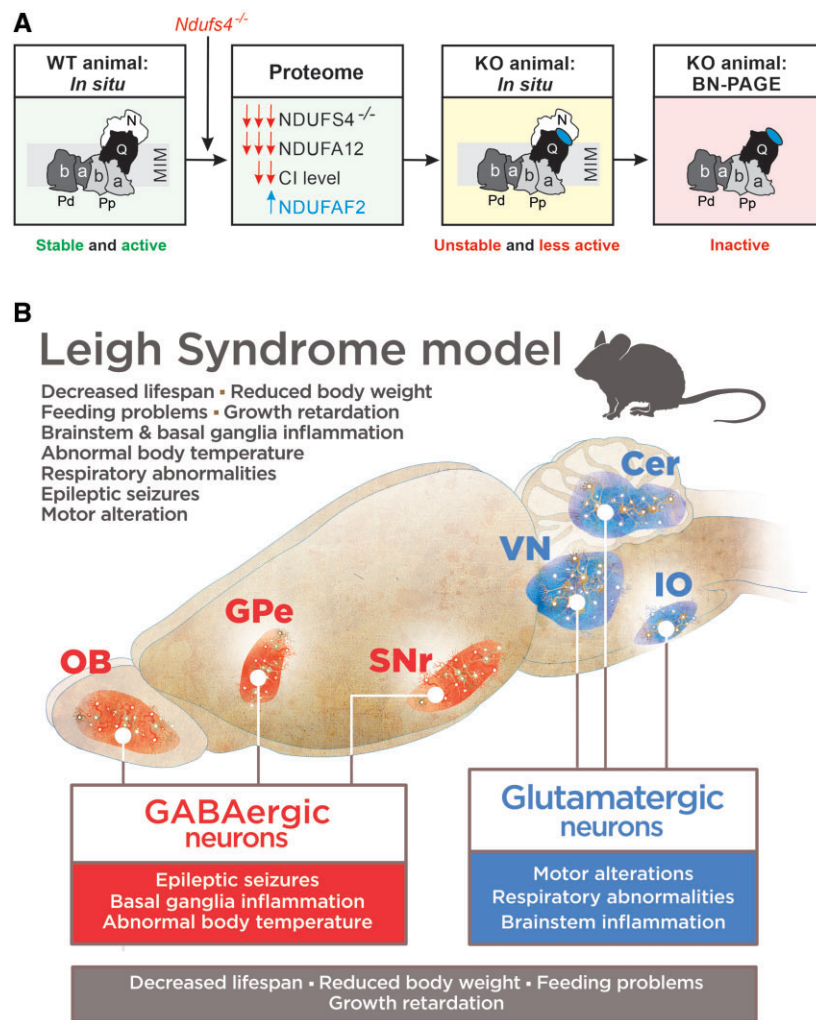
### Sensitivity of *Ndufs4*<sup>-/-</sup>-WB mice to anaesthetics

It is well established that the use of anaesthetics in patients with mitochondrial disease requires careful consideration.<sup>83</sup> In this sense, *Ndufs4*<sup>-/-</sup>-WB mice displayed resistance against ketamine sedation, but were hypersensitive to volatile anaesthetics, such as isoflurane and halothane.<sup>84–87</sup> It is still incompletely understood why ketamine resistance occurs, but this phenomenon might be linked to its different mode-of-action when compared to volatile anaesthetics. It was suggested that ketamine action is mediated by increased neuronal activation and cortical synchronization rather than neuronal inactivation. Moreover, ketamine may induce the anaesthetized state via a different target than other anaesthetics.<sup>84</sup> Glutamatergic neuron-specific *Ndufs4* knockout animals (see below) also displayed hypersensitivity to volatile anaesthetics,<sup>86,88</sup> whereas animals with GABAergic neuron- or cholinergic neuron-specific *Ndufs4* loss (see below) did not display this phenomenon.<sup>82</sup> The increased volatile anaesthetic sensitivity of *Ndufs4*<sup>-/-</sup>-WB mice may be due to volatile anaesthetic-induced CI inhibition<sup>89,90</sup> and the key role played by CI function in maintaining neuronal activity.<sup>84</sup> These findings suggest that excitatory glutamatergic transmission is the major contributor to the volatile anaesthetic hypersensitivity of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>88</sup> Mechanistically, it was reported that volatile anaesthetics rapidly depleted the blood levels of  $\beta$ -hydroxybutyrate ( $\beta$ HB) in neonatal mice.<sup>90</sup> In this study volatile anaesthetic concentrations well below those required for anaesthesia were applied and depletion of  $\beta$ -HB was mediated by citrate accumulation, malonyl-CoA production by acetyl-CoA carboxylase and inhibition of fatty acid oxidation. Analysis of PD17 mice revealed significantly higher  $\beta$ -HB levels in *Ndufs4*<sup>-/-</sup>-WB neonates relative to their wild-type littermates.<sup>90</sup> It was concluded that CI is not the direct target of volatile anaesthetic mediating the acute  $\beta$ -HB effect in neonates, but may contribute to the increased lactate observed in animals exposed to volatile anaesthetic.

### Tissue analyses of *Ndufs4*<sup>-/-</sup>-WB mice

Comparison of residual CI activities in isolated mitochondria between seven tissues of wild-type, *Ndufs4*<sup>+/-</sup>-WB and *Ndufs4*<sup>-/-</sup>-WB mice revealed normal values for *Ndufs4*<sup>+/-</sup>-WB and reduced but non-zero values for *Ndufs4*<sup>-/-</sup>-WB animals.<sup>91</sup> The last equalled: 44% of wild-type (heart), 29% (muscle), 26% (brain), 25% (kidney), 19% (liver), 17% (pancreas) and 9% (lung). No significant differences between wild-type and *Ndufs4*<sup>-/-</sup>-WB tissues were detected with respect to CII–CV activities.<sup>91</sup> A differential reduction in CI activity was observed in various knockout brain regions: 14% of wild-type (olfactory bulb), 25% (brainstem), 28% (cerebellum) and 62% (anterior cortex).<sup>92,93</sup> It was hypothesized that, for the affected brain regions, the inability of mitochondrial energy production to meet cellular demands is responsible for the observed neurodegeneration and disease progression in *Ndufs4*<sup>-/-</sup>-WB mice.<sup>92</sup> In contrast to the findings of Calvaruso et al.,<sup>91</sup> the original study reported no CI activity in liver of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>72</sup> As suggested in the latter paper, it is to be expected that differences in sample preparation will lead to different amounts of functional CI due to its destabilization by NDUFS4 absence. Using BN–PAGE, inactive CI-830 and active ~200-kDa CI-subassemblies were observed in heart, muscle, brain and kidney. The smaller subassembly at least contained the NDUFV1 and NDUFV2 subunit, and therefore likely represents the N-module of CI.<sup>91</sup> These data indicate that *Ndufs4*<sup>-/-</sup>-WB tissues display a reduced but not zero CI activity due to a destabilized CI





**Figure 3 Consequences of *Ndufs4* knockout.** (A) *Ndufs4* knockout induces absence of the NDUFSA4 subunit of CI, near complete absence of the NDUFSA12 subunit and increased levels of the CI-attached NDUFSAF2 assembly factor. This results in an unstable CI holocomplex that is present at lower levels *in situ* and therefore displays a lower activity in *Ndufs4*<sup>-/-</sup> mice. On isolation, the unstable CI complex loses its N-module, resulting in an inactive ~800 kDa subcomplex on BN-PAGE gels. Adapted from Adjobo-Hermans *et al.*<sup>64</sup> (B) Genetic dissection of clinical signs in *Ndufs4*<sup>-/-</sup>-WB mice. Vglut2-expressing glutamatergic neurons mediate most of the phenotype of *Ndufs4*<sup>-/-</sup>-WB mice, such as motor and respiratory alterations, while GABAergic neurons are involved in basal ganglia inflammation, development of epilepsy and hypothermia. Conditional alteration in either population leads to reduced lifespan and decreased body weight. Cer = cerebellum; GPe = external globus pallidus; IO = inferior olive; KO = knockout; OB = olfactory bulb; SNr = substantia nigra pars reticulata; VN = vestibular nuclei; WT = wild-type. Adapted from Bolea *et al.*<sup>82</sup>

holo-complex.<sup>91</sup> This is compatible with a follow-up proteomics analysis, demonstrating that overall CI subunit levels were reduced by ~50% in brain, liver, heart, kidney, diaphragm and skeletal muscle of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>64</sup> The latter study also demonstrated that overall CII–CV subunit levels were not greatly affected in these tissues. Analysis of the CI structure revealed that NDUFSA4 and the CI accessory subunit NDUFSA12 interact with each other and with CI subunits of the N- and Q-module (Table 2). Remarkably, absence of the NDUFSA4 protein induced near complete absence of the NDUFSA12 subunit and increased the protein level of NDUFSA2 (an assembly factor of CI) in brain, liver, heart, kidney, diaphragm and skeletal muscle of *Ndufs4*<sup>-/-</sup>-WB animals.<sup>64</sup> This finding was confirmed in *Ndufs4*<sup>-/-</sup>-WB-derived mouse embryonic fibroblasts (MEFs; see below), *Ndufs4*<sup>-/-</sup> brainstem glutamatergic neurons<sup>94</sup> and NDUFSA4-mutated Leigh syndrome patient cells. Compatible with previous data,<sup>91</sup> *Ndufs4*<sup>-/-</sup>-WB-derived MEFs displayed *in situ* CI activity, but BN-PAGE analysis revealed that NDUFSAF2 attached to an inactive CI subcomplex (CI-830) and

inactive assemblies of higher molecular weight. Interestingly, NDUFSA12 absence did not reduce NDUFSA4 levels but triggered NDUFSAF2 association to active CI in NDUFSA12-mutated Leigh syndrome patient cells.<sup>64</sup> This strongly suggests that absence of NDUFSA4 induces absence of NDUFSA12 but not vice versa. Association of NDUFSAF2 with active CI was not observed in BN-PAGE experiments with mutations in other CI subunit-encoding genes where NDUFSAF2 was attached to CI-830 (NDUFSA1, NDUFSA1 mutation) or not detected (NDUFSA7 mutation). Taken together (Fig. 3A), this evidence supports a model in which absence of NDUFSA4 induces: (i) absence of NDUFSA12; (ii) attachment NDUFSAF2 to stabilize active CI *in situ*; (iii) a reduced stability of N- to Q-module attachment<sup>95</sup>; and (iv) a reduced, but not zero, level of active CI. In addition to NDUFSAF2 binding, *in situ* CI stabilization might also involve MIM lipids and/or interaction with other ETC complexes.<sup>29,91,96–98</sup> However, in multiple models (ovine, porcine, human) NDUFSA4, NDUFSA12 and their interacting subunits (Table 2) were not involved in ETC supercomplex interactions.<sup>29–32</sup>

### Skeletal muscle bioenergetics of *Ndufs4*<sup>-/-</sup>-WB mice

In soleus muscle of *Ndufs4*<sup>-/-</sup>-WB mice, ATP levels were slightly decreased and CI activity was reduced, whereas phosphocreatine, creatine and inorganic phosphate levels were normal.<sup>72</sup> Compatible with these observations, the histology, physiology and metabolism of soleus muscle tissue appears to be minimally affected by *Ndufs4* deletion. Enzymological analysis of isolated skeletal muscle mitochondria<sup>99</sup> demonstrated a 79% reduction in maximal CI activity ( $V_{\max}$ ), which was paralleled by a 45–72% increase in  $V_{\max}$  for CII, CIII, CIV and citrate synthase. Using integrated *in silico* and experimental analysis, the same study demonstrated that the maximal rates of mitochondrial pyruvate oxidation and ATP production were not significantly affected in muscle mitochondria of *Ndufs4*<sup>-/-</sup>-WB mice. Computer modelling further predicted that CI deficiency alters the concentration of intermediate metabolites, increases mitochondrial NADH/NAD<sup>+</sup> ratio and stimulates the lower half of the TCA cycle, including CII.<sup>99</sup> The computer model further predicted that CI deficiency only has a major metabolic impact when its activity decreases below 10% of normal levels, compatible with a biochemical threshold effect, and that mouse skeletal muscle mitochondria display a substantial CI overcapacity, minimizing the effect of CI dysfunction on mitochondrial metabolism. Both CI overcapacity and the biochemical threshold effects probably differ between tissues and therefore could be involved in the tissue-specific impact of *Ndufs4* deletion.<sup>100–102</sup>

### Metabolome analysis of *Ndufs4*<sup>-/-</sup>-WB mouse brain and skeletal muscle tissue

A disturbed redox balance is often associated with accumulation of pyruvate, lactate and alanine levels. Accordingly, pyruvate, lactate and glycolytic intermediates were increased in the whole brain of *Ndufs4*<sup>-/-</sup>-WB mice at PD30.<sup>103</sup> Likewise, the ratios of alanine, leucine and isoleucine relative to glutamic acid, as well as pyruvate/lactate and pyruvate/acyl-carnitine ratios, were increased in lesion-prone brain regions (i.e. brainstem, cerebellum and olfactory bulb) of male *Ndufs4*<sup>-/-</sup>-WB mice at PD45–50. These alterations may be due to an increased NADH/NAD<sup>+</sup> ratio, which controls the formation of amino acids and lactate, while the increased pyruvate/acyl-carnitine ratio suggests NAD<sup>+</sup>-dependent acetyl-CoA formation from pyruvate.<sup>93</sup> Glutathione was increased in brainstem, olfactory bulb and lesion-resistant brain regions. Analysis of skeletal muscle revealed decreased levels of pyruvate, glycerol, alanine and lactate.<sup>104,105</sup> In addition, *Ndufs4*<sup>-/-</sup>-WB skeletal muscle also displayed decreased levels of *N,N*-dimethylglycine, fatty acid acyl-carnitines (chain lengths of: C0, C3, C4, C5, C6, C8, C12, and C16) and 2-aminoadipate.<sup>105</sup> Similar changes were observed in *Ndufs4*<sup>-/-</sup>-WB brains,<sup>93</sup> suggesting that fatty acid  $\beta$ -oxidation and amino acid catabolism is altered. Furthermore, muscle creatinine and creatine levels were decreased as well as fumarate, malate and succinate. The last may indicate an increased use of succinate to fuel CII thereby bypassing CI. Proline, hydroproline, citrulline, glutamate and glutamine were decreased.<sup>105</sup> Similar metabolic alterations were observed in lesion-prone brain regions of male *Ndufs4*<sup>-/-</sup>-WB mice.<sup>93</sup> These metabolic alterations may indicate altered proline metabolism that has been suggested to play a central role in mTOR signalling.<sup>106,107</sup> Branched-chain amino acids (BCAAs) were increased, while butyryl(C4)- and isovaleryl(C5)-carnitine were decreased in lesion-prone brain regions.<sup>93</sup> BCAA accumulation may be a consequence of inhibition of the NAD<sup>+</sup>-dependent reaction catalysed by branched-chain  $\alpha$ -keto acid dehydrogenase due to redox imbalance.<sup>108–112</sup> In addition, glutamate, aspartic acid and  $\alpha$ -hydroxyglutaric acid were also decreased in *Ndufs4*<sup>-/-</sup>-WB

brains, which could point to a compensatory increase of  $\alpha$ -ketoglutaric acid to the TCA cycle. These alterations are in line with disruptions of neuronal transport systems and the beneficial effects of mTOR complex 1 (mTORC1) inhibition that have been attributed to a restored glutamine/glutamate/ $\alpha$ -ketoglutaric acid axis in pre-symptomatic *Ndufs4*<sup>-/-</sup>-WB mice.<sup>113</sup> Pyruvate and alanine levels tended to be increased in all brain regions, lactate was only increased in lesion-prone brain regions. The olfactory bulb displayed higher levels of other glycolysis and pentose phosphate pathway-related intermediates, which were not observed in other brain regions. Last, metabolic changes were detected in dihydroxyacetone phosphate (DHAP) and glycerol-3-phosphate (G3P). These metabolites link glycolysis, lipid metabolism and OXPHOS. G3P was most severely decreased in lesion-prone brain regions, suggesting that G3P oxidation is involved in fuelling respiration in CI deficiency. Collectively, an adaptive increase in glutamate,  $\alpha$ -hydroxyglutaric acid and G3P oxidation seems to drive energy-generation in lesion-resistant brain regions, while these mechanisms appear less effective in lesion-prone brain regions where CI activity is more severely reduced.<sup>93</sup> In this context, analysis of brainstem tissue from *Ndufs4*<sup>-/-</sup>-WB mice suggested glycolysis impairment, as supported by increased levels of fructose-6-phosphate (F6P), G3P and DHAP, which are glycolysis intermediates upstream of the NADH-producing step.<sup>114</sup> Suggestive of an increased brainstem NADH/NAD<sup>+</sup> ratio, the latter study also demonstrated increased  $\alpha$ -hydroxybutyrate ( $\alpha$ HB) and lactate in the brainstem, reduced aspartate levels in the brainstem, and increased  $\alpha$ HB plasma levels.

### Analysis of *Ndufs4*<sup>-/-</sup>-WB mice-derived cell models

To gain further insight into the cellular consequences of *Ndufs4* deletion, immortalized MEFs were generated from *Ndufs4*<sup>-/-</sup>-WB mice.<sup>115</sup> Mitochondrial fractions isolated from these MEFs lacked NDUF54 protein, displayed virtually no CI activity and reduced CII, CIII and CIV activity. Also, SCC activity (a combined measure of CII and CIII activity) was reduced whereas CV and CS activities were normal. Similar to tissues of *Ndufs4*<sup>-/-</sup>-WB mice, BN-PAGE analysis of mitochondrial fractions demonstrated the presence of CI-830 and ~200-kDa CI-subassemblies, whereas the levels of fully assembled CII-CV were not affected in *Ndufs4*<sup>-/-</sup> MEFs. Using intact cells, it was observed that *Ndufs4* knockout displayed a ~50% reduced O<sub>2</sub> consumption that was, however, sensitive to acute treatment with the CI inhibitor rotenone.<sup>115</sup> The latter demonstrates that active CI (i.e. a complex in which the N-module is attached to the Q-module) is present in *Ndufs4*<sup>-/-</sup> MEFs. As stated previously, it is likely that differences in sample preparation lead to different amounts of functional CI due to its destabilization by NDUF54 absence. This explains why no CI activity was detected in mitochondrial fractions of *Ndufs4*<sup>-/-</sup> MEFs, whereas rotenone effectively inhibited O<sub>2</sub> consumption in intact *Ndufs4*<sup>-/-</sup> MEFs.<sup>115</sup> Complementation of the *Ndufs4*<sup>-/-</sup> cells with the wild-type gene increased the levels of catalytically active CI and O<sub>2</sub> consumption. At the intact cell level, *Ndufs4*<sup>-/-</sup> MEFs displayed an increase in the combined mitochondrial autofluorescence signal of NADH and NADPH, increased lactate release, an increased NADH/NAD<sup>+</sup> ratio and slight  $\Delta\psi$  hyperpolarization. In contrast, *Ndufs4*<sup>-/-</sup> MEFs displayed no detectable alterations in NADPH/NADP ratio, glucose consumption, protein expression of hexokinases (I and II), pyruvate dehydrogenase phosphorylation, total ATP content, free cytosolic ATP concentration, cell growth rate and levels of hydroethidium-oxidizing reactive oxygen species.<sup>115</sup> These findings indicate that *Ndufs4* deletion destabilizes CI and suggest that *Ndufs4*<sup>-/-</sup> MEFs display an increased mitochondrial NADH level and are slightly more glycolytic than wild-type cells. However, alterations

in cell metabolism might be difficult to detect in the MEFs given their intrinsic bioenergetic properties (e.g. a relatively high glycolytic rate). Analysis of primary muscle- and skin-derived fibroblasts from *Ndufs4*<sup>-/-</sup>-WB mice revealed that these cells displayed no active CI on BN-PAGE, increased levels of hydroethidium-oxidizing reactive oxygen species and minor aberrations in mitochondrial morphology.<sup>116</sup> *Ndufs4*<sup>-/-</sup>-fibroblasts proliferated normally and displayed no obvious apoptotic signs.<sup>116</sup> These findings are compatible with results obtained in patient-derived primary skin fibroblasts harbouring *NDUFS4* mutations,<sup>49,64,68,69</sup> and suggest a connection between CI deficiency, reactive oxygen species levels and (regulation of) mitochondrial structure in the fibroblast cell model.<sup>2,4,117,118</sup> In summary, we conclude that the cellular consequences of *Ndufs4* deletion (co)depend on the type of cell, cell metabolic state, culture conditions, external substrate (e.g. glucose) concentration and immortalization status.

## Results with other whole-body *Ndufs4* knockout mouse models

In general, results obtained with other whole-body knockout models (Supplementary Table 1) were highly similar (e.g. CRISPR–Cas9 *Ndufs4*<sup>-/-</sup> mice) but not always identical (e.g. *Ndufs4*<sup>ky/fky</sup>, *Ndufs4*<sup>GT/GT</sup> mice) to those from *Ndufs4*<sup>-/-</sup>-WB mice. For instance, *Ndufs4*<sup>ky/fky</sup> mice displayed a reduced CI activity in brain, heart, muscle, liver and kidney but presented with symptoms earlier than *Ndufs4*<sup>-/-</sup>-WB animals.<sup>95,119</sup> Similar to the *Ndufs4*<sup>-/-</sup>-WB mouse model, *NDUFS4* protein was not detectable in MEFs from *Ndufs4*<sup>ky/fky</sup> mice, and these cells displayed the CI-830 subassembly, reduced CI activity, reduced O<sub>2</sub> consumption, but normal ATP content and lactate production.<sup>119,120</sup> MEFs from *Ndufs4*<sup>ky/fky</sup> and *Ndufs4*<sup>+ /fky</sup> (heterozygous) mice exhibited downregulation of genes involved in cellular function, transcriptional regulation, neural differentiation/signalling pathways and synaptic transmission. This suggests that these cells have variable gene expression patterns in early differentiation highlighting the effect of CI dysfunction on the cell's differentiation potential.<sup>119</sup> In addition to these genetic changes, *Ndufs4* deletion induced shifts in acyl-carnitine and AA levels in *Ndufs4*<sup>ky/fky</sup>, MEFs, pointing towards a reverse TCA flux.<sup>95</sup> *Ndufs4*<sup>GT/GT</sup> mice displayed a milder phenotype than *Ndufs4*<sup>-/-</sup>-WB animals and their degree of CI deficiency was less than in *Ndufs4*<sup>-/-</sup>-WB and *Ndufs4*<sup>ky/fky</sup> mice.<sup>121,122</sup> Relative to their wild-type littermates, *Ndufs4*<sup>GT/GT</sup> mice were hyperactive, which might indicate increased restlessness, a symptom that is also observed in patients with mitochondrial disease.<sup>123</sup> *Ndufs4*<sup>GT/GT</sup> mice displayed a normal maximal ATP production capacity and mitochondrial content in left hippocampus and, similar to *Ndufs4*<sup>-/-</sup>-WB and *Ndufs4*<sup>ky/fky</sup> mice, displayed a normal CII and CIII activity in left hippocampus and frontal cortex. *Ndufs4*<sup>GT/GT</sup> mice presented with elevated levels of acyl-carnitine C3, C4 and C12 in the frontal cortex,<sup>133</sup> whereas no alterations were found in whole brain tissue.<sup>121</sup> In addition, amino acid metabolism and TCA metabolites were also altered in brain tissue. These findings are compatible with a reverse TCA cycle flux in *Ndufs4*<sup>GT/GT</sup> mice due to altered brain bioenergetics.<sup>121</sup> Individuals with mitochondrial disease often present with diabetes,<sup>124</sup> and therefore, plasma corticosterone and glucose levels were measured in *Ndufs4*<sup>GT/GT</sup> mice. Relative to wild-type littermates, *Ndufs4*<sup>GT/GT</sup> mice presented with normal plasma glucose and insulin levels but lower baseline plasma cortisone levels. The last increased on chronic unpredictable stress.<sup>121</sup> Given their minor CI deficiency and phenotype *Ndufs4*<sup>GT/GT</sup> mice are less suited as animal models of Leigh syndrome. However, these mice could be of particular value for pathomechanistic analysis of mild CI deficiencies.<sup>121</sup>

## Results with neuron-specific *Ndufs4* KO mouse models

Analysis of a neuron- and glia-specific model (NesKO mice) revealed a phenotype similar to that of *Ndufs4*<sup>-/-</sup>-WB animals (Supplementary Table 1) including failure to thrive, hypothermia, optic atrophy, cataracts, ptosis, seizures and breathing abnormalities.<sup>125</sup> Furthermore, these animals exhibited severe progressive ataxia from ~PD35 onwards, marked by an uncoordinated gait, reduced balance, hindlimb clasp and decreased rotarod performance.<sup>125,126</sup> These results suggest that *Ndufs4* deletion in NesKO mice induces glial activation, associated with increased oxidative stress, cytokine release and eventually necrotic, but not apoptotic, cell death due to ATP depletion. Animals with specific *Ndufs4* knockout in vestibular nuclei (AAV-VN-KO mice) also presented with a similar phenotype as *Ndufs4*<sup>-/-</sup>-WB mice.<sup>75</sup> The development of severe breathing abnormalities in AAV-VN-KO mice suggests that vestibular nuclei neurodegeneration might be responsible for these abnormalities in *Ndufs4*<sup>-/-</sup>-WB mice. Glutamatergic neuron-specific *Ndufs4* knockout mice (Vglut2:*Ndufs4*KO mice) developed several of the symptoms observed in *Ndufs4*<sup>-/-</sup>-WB mice, associated with a similar phenotype and leading to early death.<sup>82,113,127</sup> However, Vglut2:*Ndufs4*KO mice did have a longer lifespan (~10 weeks)<sup>127</sup> relative to *Ndufs4*<sup>-/-</sup>-WB mice.<sup>72,75</sup> Although GABAergic neuron-specific loss of *Ndufs4* (Gad2:*Ndufs4*KO mice) resulted in failure to thrive, it was not associated with clinical symptoms compared to wild-type littermates.<sup>82</sup> However, mice suffered from hypothermia as early as PD20–30, exhibited (spontaneous) seizures when being handled, and displayed an increase in seizure incidence from PD50 onwards. Gad2:*Ndufs4*KO mice showed a different profile compared to Vglut2:*Ndufs4*KO mice with increased microglial and astroglial activation in the external globus pallidus (GPe), basal ganglia and the substantia nigra pars reticulata (SNr). *Ndufs4* deletion in cholinergic neurons (ChAT:*Ndufs4*KO mice) did not induce development of fatal encephalopathy and animals remained clinically healthy throughout the study.<sup>82</sup> Knockout of *Ndufs4* in striatal medium spiny neurons (MSN *Ndufs4*<sup>-/-</sup> mice) did not affect body weight or life span up to 6 months after birth, and animals did not develop neuroinflammation.<sup>128</sup> Finally, animals with dopaminergic neuron-specific *Ndufs4* deletion (DA *Ndufs4*<sup>-/-</sup> mice) appeared healthy and were indistinguishable from wild-type littermates.<sup>129–131</sup> In summary (Fig. 3B), the use of neuron-specific models suggests that *Ndufs4* deletion affects glutamatergic neurons in the vestibular nuclei, cerebellum and inferior olive to induce motor alterations, respiratory (breathing) abnormalities and brainstem inflammation. In addition, it appears that malfunction of GABAergic neurons in the olfactory bulb, GPe and SNr probably underlies the epileptic seizures, basal ganglia inflammation and abnormal body temperature.

## Results with heart- and/or skeletal muscle-specific *Ndufs4* null mice

Next to the brain, the heart is one of the most severely affected organs in CI deficiencies due to its high dependency on aerobic metabolism.<sup>132,133</sup> However, in sharp contrast to the *Ndufs4*<sup>-/-</sup>-WB model, heart-specific *Ndufs4* null mice did not present with a pathological phenotype up to 1 year of age.<sup>134</sup> Instead, mice developed hypertrophic cardiomyopathy marked by decreased left ventricular ejection fraction and increased left ventricular mass.<sup>135</sup> Interestingly, hypertrophic cardiomyopathy was also observed in several patients (5 of 22) with *NDUFS4* mutations.<sup>48</sup> Animals with heart- and skeletal muscle-specific *Ndufs4* knockout (*Ckm*-NLS-*cre*; *Ndufs4*<sup>loxP/loxP</sup> mice), developed an increased heart-to-body weight



ratio. Although this may indicate cardiomyopathy, animals did not show signs of heart failure and remained clinically healthy up to at least 1 year of age.<sup>129</sup> Taken together, analysis of the heart- and/or muscle-specific *Ndufs4*<sup>-/-</sup> models suggests that the hypertrophic cardiomyopathy in *NDUFS4*-mutated patients (see above) might be a secondary consequence of the CI deficiency, which develops at a later age.

## Intervention studies in *Ndufs4* mouse models

Intervention studies primarily have been carried out using the *Ndufs4*<sup>-/-</sup>-WB mouse model. These interventions involve: (i) reducing tissue oxygenation (carbon monoxide exposure, hypoxia, phlebotomy); (ii) small molecule treatment; (iii) injection of induced pluripotent stem cells (iPSCs); and (iv) genetic approaches (Supplementary Table 2).

### Reduction of tissue oxygenation

As mitochondria are prime O<sub>2</sub> consumers, defects in the OXPHOS system might increase tissue oxygenation. Supporting this idea, venous hyperoxia was observed in patients with mitochondrial disease and tissue oxygenation was used as a measure of impaired OXPHOS function.<sup>136</sup> Whole-body O<sub>2</sub> consumption decreased over time and appeared to correlate with disease phenotype in *Ndufs4*<sup>-/-</sup>-WB mice. More detailed examination revealed that the partial oxygen pressure (PO<sub>2</sub>) in brain tissue increased in an age-dependent manner in *Ndufs4*<sup>-/-</sup>-WB mice and correlated with disease severity.<sup>137</sup> Different strategies were applied to reduce tissue oxygenation in these mice. Carbon monoxide (CO) exposure is an established approach to reduce tissue oxygenation, which has been linked to cytoprotective effects as well as oxidative stress induction.<sup>138,139</sup> Although various positive effects were observed (Supplementary Table 2), chronic CO exposure induced hyperintense lesions in the caudoputamen region of the brain in *Ndufs4*<sup>-/-</sup>-WB mice, indicative of adverse effects.<sup>137</sup> Similarly, hypoxic conditions prevented/mitigated part of the disease phenotype in *Ndufs4*<sup>-/-</sup>-WB mice (prolonged lifespan, no loss of body weight, improved motor function, prevention of hypothermia).<sup>140</sup> However, beneficial effects were only induced by continuous hypoxia (11% O<sub>2</sub> for 3 weeks starting from PD30) or by hypoxia applied during a late-stage of the disease (11% O<sub>2</sub>; Supplementary Table 2). In contrast, temporary or milder hypoxia conditions (17% O<sub>2</sub> for 3 weeks) were ineffective.<sup>141,142</sup> Decreasing the number of circulating red blood cells by phlebotomy is another approach to reduce brain oxygenation by decreasing oxygen delivery. Although phlebotomy increased the lifespan of *Ndufs4*<sup>-/-</sup>-WB mice (Supplementary Table 2) it only temporarily prevented development of vestibular nuclei lesions.<sup>137</sup> Taken together, these studies provide evidence that a (partial) reduction in brain oxygenation and/or oxygen delivery ameliorates the disease phenotype of *Ndufs4*<sup>-/-</sup>-WB mice. Regarding the mechanism of this amelioration, it was proposed that simultaneous reduction of oxygen delivery and consumption may reverse disease progression by triggering adaptive programs and at the same time limiting oxygen toxicity.

### Small molecule treatment

Intervention studies in *Ndufs4*<sup>-/-</sup>-WB mice have been carried out with several classes of small molecules targeting: redox metabolism, mitochondrial biogenesis, energy metabolism, the mechanistic target of rapamycin (mTOR), toll-like receptor 4 (TLR4), benzodiazepine receptors and cyclic-AMP (cAMP) homeostasis.

Below, we summarize the impact of these interventions, whereas additional details are provided in Supplementary Table 2.

### Redox active compounds

Idebenone is an antioxidant that rescues vision impairment in CI-deficiency-linked Leber's hereditary optic neuropathy.<sup>143</sup> However, idebenone treatment did not reverse visual impairment in *Ndufs4*<sup>-/-</sup>-WB mice. *N*-acetyl cysteine amide (AD4) is a blood-brain barrier penetrating antioxidant that reduces oxidative stress.<sup>144,145</sup> AD4 delayed disease onset and reduced the severity of the disease phenotype, marked by improved motor function at PD30.<sup>80</sup> The reactive oxygen species scavenger KH176 (sonlicromanol) is based on the vitamin E-derivative trolox.<sup>117</sup> KH176 diminished cellular damage caused by oxidative stress and improved residual enzyme activity of different OXPHOS complexes without inducing drug toxicity in Leigh syndrome patient-derived fibroblasts.<sup>146</sup> Although KH176 displayed various positive effects, including improved motor function and normalization of lipid peroxidation, this molecule did not improve disease onset/severity and brain pathology, and did not restore residual CI activity.<sup>147,148</sup> Individually, KH176 and clofibrate (see below) displayed positive effects in *Ndufs4*<sup>-/-</sup>-WB mice. However, combined treatment with these molecules did not prolong lifespan or improve motor function.<sup>148</sup> NAD<sup>+</sup> levels were reduced and NADH/NAD<sup>+</sup> ratios were increased in brain tissue of *Ndufs4*<sup>-/-</sup>-WB mice, suggesting that NAD(H) redox imbalance might be involved in the pathomechanism. Compatible with this hypothesis and reduced CI-mediated NAD<sup>+</sup> formation from NADH, galactose-induced death of Leigh syndrome patient primary skin fibroblasts with isolated CI deficiency was rescued by NAD<sup>+</sup> increasing interventions.<sup>149</sup> However, administration of the NAD<sup>+</sup> precursor nicotinamide mononucleotide (NMN) prolonged lifespan, but did not ameliorate the clinical phenotype of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>150</sup> P7C3 is an aminopropyl carbazole that was first identified by an *in vivo* screen in search of chemicals that enhanced neuron formation in the hippocampus of adult mice.<sup>151</sup> It is thought that P7C3 activates nicotinamide phosphoribosyltransferase (NAMPT; a key enzyme in the NAD<sup>+</sup> salvage pathway), thereby increasing intracellular NAD<sup>+</sup> levels. P7C3 moderately prolonged lifespan, but did not increase NAD<sup>+</sup> levels in *Ndufs4*<sup>-/-</sup>-WB mice brains or alter NAMPT protein levels.<sup>150</sup>

### Stimulating mitochondrial biogenesis

Stimulation of peroxisome proliferator-activated receptors (PPARs) and PPARG (gamma) coactivator 1 $\alpha$  (PGC-1 $\alpha$ ) enhances fatty acid  $\beta$ -oxidation and mitochondrial biogenesis.<sup>152,153</sup> Treatment with the PPARA (alpha) stimulator clofibrate prolonged lifespan and motor function of *Ndufs4*<sup>-/-</sup>-WB mice without inducing adverse hepatic effects.<sup>148</sup> Similar to clofibrate, the PPARA stimulator fenofibrate prolonged lifespan and partially improved motor function in *Ndufs4*<sup>-/-</sup>-WB mice.<sup>154</sup>

### Manipulation of energy metabolism

Dimethyl  $\alpha$ -ketoglutarate (DMKG), a cell-permeable form of  $\alpha$ -ketoglutarate, was administered to *Ndufs4*<sup>-/-</sup>-WB mice to increase brain  $\alpha$ -ketoglutarate levels. DMKG was investigated since the beneficial effects of NMN (see above) were attributed to elevated brain levels of  $\alpha$ -ketoglutarate. Moreover, DMKG increased cellular NAD<sup>+</sup> levels and rescued galactose-induced death of primary skin fibroblasts of Leigh syndrome patients with isolated CI deficiency.<sup>149</sup> DMKG prolonged lifespan and delayed onset of hind limb clamping of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>150</sup> In certain models, tetracyclines induced mitochondrial proteotoxic stress impacting on mitochondrial dynamics and function.<sup>155</sup> Nevertheless, tetracyclines such as



doxycycline were identified as potent small molecules to rescue against cell death in low-glucose culture conditions in mitochondrial disease cell models. It was found that doxycycline-mediated suppression of mitochondrial translation ameliorates the neuroinflammatory profile of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>156</sup>

### Inhibition of mTOR

Rapamycin (also known as sirolimus) and the macrocyclic lactone tacrolimus (also known as FK-506) display powerful immunosuppressant properties.<sup>157</sup> Both rapamycin and tacrolimus can bind to FK506-binding protein 12 (FKBP12), thereby inhibiting mTORC1, which is composed of several proteins including mTOR itself.<sup>158</sup> mTORC1 positively regulates cell growth and proliferation by promoting protein and lipid biosynthesis and inhibits catabolic processes such as autophagy.<sup>159,160</sup> Tacrolimus was also described to inhibit calcineurin.<sup>161</sup> Elevated mTOR levels have not been reported in *Ndufs4*<sup>-/-</sup>-WB mice; however, the mTOR kinase target ribosomal protein S6 (rpS6) was increased in whole brain homogenates from PD >45 mice.<sup>103</sup> In contrast, elevated rpS6 phosphorylation was not detected in presymptomatic *Ndufs4*<sup>-/-</sup>-WB mice,<sup>113</sup> suggesting that the mTOR pathway may be involved in the disease mechanism. Rapamycin delayed disease onset, prevented the development of neurological symptoms (ataxia, uncoordinated balance and hindlimb claspings) and prolonged the lifespan of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>103,162,163</sup> In contrast, tacrolimus did not affect disease onset or progression, suggesting that the beneficial effects of rapamycin might not be solely due to immunosuppression nor off-target disruption of calcineurin.<sup>103</sup> Similarly, modulation of the mTOR pathway using the poly(ADP-ribose)polymerase-1 (PARP1) inhibitor PJ34, delayed disease onset but did not extend the lifespan of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>164</sup> Evidence was provided that PARP1 inhibition modulates the mTOR pathway by decreasing the phosphorylation of AMPK, Raptor, s6 kinase, Rictor and Akt. In this context, PJ34-mediated PARP1 inhibition prevented reactive oxygen species-stimulated phosphorylation of these proteins.<sup>165</sup> Evidence was provided that rapamycin reduces neuroinflammation and glial activation through inhibition of protein kinase C (PKC).<sup>166</sup> Treatment with pan-PKC inhibitors (GO6983, GF109203X) or the PKC-β-specific inhibitor ruboxistaurin prevented hair loss, prolonged survival and delayed the onset of neurological symptoms (hindlimb claspings) in *Ndufs4*<sup>-/-</sup>-WB mice. Only ruboxistaurin prevented skin inflammation and reduced glial fibrillary acidic protein (GFAP) levels and the NF-κB inflammatory response in brain. These findings suggest that inhibition of PKC-β and NF-κB-mediated inflammation increases survival of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>166</sup> Importantly, rapamycin can display severe adverse effects,<sup>167</sup> especially in young children, which limits its therapeutic potential in paediatric Leigh syndrome patients.<sup>103</sup> In this context, encapsulated rapamycin did have similar efficacy as intraperitoneal injections, but did not result in toxicity in treated mice<sup>162</sup> potentially increasing its suitability for patient applications. In addition to encapsulated rapamycin, it was reported that the FDA-approved immunosuppressant everolimus is better tolerated by mitochondrial disease patients, although not all patients were responsive to this drug.<sup>168</sup> Collectively, mTOR inhibition may prove to be a suitable strategy to augment the severe phenotype of patients with Leigh syndrome. However, the toxicity and off-target effects of mTOR inhibitors should be closely monitored when using these compounds in clinical trials.

### TLR4 inhibition, benzodiazepine-agonist treatment and cAMP homeostasis

The TLR4 inhibitor TAK-242, a drug suppressing inflammatory mediators by binding to TLR4, rescued hair loss in *Ndufs4*<sup>-/-</sup>-WB

mice, suggesting that systemic inflammation in these mice is mediated by TLR4.<sup>73</sup> Similar to rapamycin, the benzodiazepine-agonist zolpidem, rescued visual function, prevented starburst amacrine cell degeneration and innate immune and inflammatory responses that occurred at time of vision loss.<sup>169</sup> Papaverine is an opium alkaloid antispasmodic drug that elevates cAMP levels by inhibiting phosphodiesterase.<sup>170</sup> Papaverine also restored visual function and prevented starburst amacrine cell degeneration and innate immune/inflammatory responses that occurred at time of vision loss.<sup>169</sup>

### Injection of human induced pluripotent stem cell-derived mesenchymal stem cells

Mitochondria can be uni- and bi-directionally transferred between cells via cell–cell contacts ('tunnelling nanotubes').<sup>171</sup> Using co-cultures of mesenchymal stem cells (MSCs) and CI-deficient mouse or human fibroblasts, it was demonstrated that mitochondria were transported from MSCs to CI-deficient cells.<sup>172</sup> Although reactive oxygen species levels were reduced in CI-deficient cells, mitochondrial transfer efficiency was low and (partial) restoration of CI assembly/activity was not detected. Intravitreal injection of iPSC-MSCs into retinal ganglion cells (RGCs) of 3-week-old *Ndufs4*<sup>-/-</sup>-WB mice resulted in transfer of mitochondria to neurons. The latter was associated with rescue of retinal function, prevention of RGC loss and prevention of abnormal RGC activation. RGCs that received mitochondria displayed extended longevity and normalized levels of pro-inflammatory cytokines. These results demonstrate that donation of healthy mitochondria reduces retinal degeneration, likely by improving retinal mitochondrial bioenergetics.<sup>173</sup> Although certainly promising, the therapeutic impact of iPSC-MSC delivery still faces several major challenges including tumorigenicity, immune rejection and genetic instability.<sup>174</sup>

### Genetic approaches

#### Adeno-associated virus vectors

Various adeno-associated virus (AAV) vectors were used to deliver human *NDUFS4* (h*NDUFS4*) in *Ndufs4*<sup>-/-</sup>-WB mice. Delivery of AAV-PHP.B-h*NDUFS4* (PD26–28) extended lifespan and reduced disease severity (normalization of growth rate, improvement of motor coordination, preventing development of failure to thrive, epileptic seizures and cardiac abnormalities).<sup>175,176</sup> This vector, in contrast to other tissues, did not transduce brain in newborn mice (PD1). The latter possibly relates to inefficient AAV transport mechanisms in neonates. Bilateral delivery of an AAV serotype 1 construct (AAV1-*Ndufs4*-IRES-GFP; i.e. containing the mouse *Ndufs4* gene) into the vestibular nuclei at PD21 extended lifespan and delayed disease progression.<sup>75</sup> Intravenous injection of the AAV2/9-h*NDUFS4* construct resulted in AAV2/9-h*NDUFS4* being present in skeletal muscle, heart and liver. However, this vector was absent in the brain and did not ameliorate clinical symptoms.<sup>177</sup> Intracerebroventricular injection in newborn mice increased h*NDUFS4* levels in the brain but did not improve the clinical phenotype. Combined intravenous and intracerebroventricular injections in newborn mice were associated with detectable levels of h*NDUFS4* in brain, muscle and heart. This resulted in near complete restoration of CI activity, extended lifespan, increased body weight and improved motor coordination.<sup>177</sup> These results suggest that AAV2/9-h*NDUFS4* cannot pass the blood–brain barrier, and further strengthen the hypothesis that the *Ndufs4*<sup>-/-</sup>-WB phenotype is brain-specific. Recently, intravenous injection of AAV-EF1α-GPD1 was applied to express glycerol-3-phosphate dehydrogenase 1 (GPD1) in the brain of *Ndufs4*<sup>-/-</sup>-WB mice in a neuron- and glia-specific

manner.<sup>114</sup> GPD1 is a cytosolic protein, which catalyses the reversible conversion of DHAP and NADH to G3P and NAD<sup>+</sup>. Together with the mitochondrial protein glycerol-3-phosphate dehydrogenase, it also forms a G3P shuttle that facilitates the transfer of reducing equivalents from the cytosol to mitochondria. It was found that GPD1 expression normalized several of the metabolic aberrations in the brainstem of *Ndufs4*<sup>-/-</sup>-WB mice, ameliorated neuroinflammation, partially prevented motor function decline and reduction in body temperature and extended lifespan to PD84.<sup>144</sup> These results provide evidence that stimulation of G3P biosynthesis regenerates cytosolic NAD<sup>+</sup> to mitigate the phenotype in *Ndufs4*<sup>-/-</sup>-WB mice. Taken together, although AAV vector delivery might be a promising strategy for mitochondrial disease patients with *NDUFS4* mutations, blood–brain barrier permeability differs between mice and human. In this sense, the ability of AAV vectors to penetrate the blood–brain barrier needs to be determined, or vector delivery should be performed directly in the affected brain region, to be applicable in a clinical setting.<sup>176</sup>

### Overexpression of specific proteins

Metallothioneins are small intracellular proteins that are induced in fibroblasts from mitochondrial disease patients with isolated CI deficiency<sup>178</sup> and potentially protect against oxidative stress. However, *Mt1* overexpression in *Ndufs4*<sup>-/-</sup>-WB mice (confirmed in quadriceps muscle and brain) did not prevent protein oxidation, oxidative stress or inflammation and did not rescue the disease phenotype.<sup>81</sup> This is compatible with other antioxidant-based therapies (see above), which did not rescue disease onset and severity. Evidence was provided that overexpression of the MIM-fusion and cristae-shaping protein optic atrophy 1 (OPA1) normalized aberrant mitochondrial cristae morphology in the forebrain and cerebellum of *Ndufs4*<sup>-/-</sup>-WB mice.<sup>179</sup> OPA1 overexpression was demonstrated in various tissues (brain, skeletal muscle, heart) and paralleled by improved rotarod performance at 5 weeks and a moderate increase in lifespan. However, no phenotypic improvement was observed at 7 weeks with respect to disease progression and death of the animals. Furthermore, the therapeutic potential of OPA1 overexpression may be limited by its antiapoptotic properties.<sup>180</sup> Small molecule mTOR inhibition ameliorated the disease phenotype of *Ndufs4*<sup>-/-</sup>-WB mice (see above). In this context, it was investigated whether the serine/threonine kinase S6K1, which is downstream of mTORC1 and involved in ribosomal protein synthesis and autophagy induction, plays a role in disease progression.<sup>181</sup> Whole-body and liver-specific *S6k1* knockout prolonged the lifespan of *Ndufs4*<sup>-/-</sup>-WB mice and delayed onset of hindlimb clasping. In contrast, fat- or brain-specific *S6k1* showed no beneficial effects, suggesting that the mitigating effects of rapamycin-induced mTOR inhibition are partially mediated by active S6K1, although whole-body or liver-specific S6K1 knockout was not as efficient as a high dose (8 mg/kg) of rapamycin.<sup>103</sup> In yeast and other models, the single-subunit NADH-dehydrogenase *Ndi1* functions as a non-proton pumping alternative enzyme that replaces CI and oxidizes intramitochondrial NADH.<sup>182,183</sup> This suggests that *Ndi1* expression might compensate for reduced CI-mediated NADH oxidation in *Ndufs4*<sup>-/-</sup>-WB mice. Introduction of *Ndi1* in neuron- and glia-specific *Ndufs4* knockout mice (NesKO mice) did not prevent motor function and breathing abnormalities but prolonged lifespan to a median of >1 year.<sup>126</sup> Importantly, in contrast to NesKO mice, *Ndi1*-expressing mice did not develop seizures, suggesting that seizures are a major cause of death in NesKO mice. These findings demonstrate that normalization of NADH oxidation partially prevents disease progression in NesKO mice, but does not fully restore neuronal function.<sup>126</sup>

## Summary and conclusions

Development of *Ndufs4*<sup>-/-</sup> mouse models for MC1DN1/Leigh syndrome delivered novel pathomechanistic insights and allowed *in vivo* evaluation of potential intervention strategies. Mechanistically, *Ndufs4* deletion induces the combined absence of two CI subunits (NDUFS4 and NDUFA12), paralleled by increased levels of the CI assembly factor NDUFAF2. As a consequence, the CI holocomplex becomes destabilized and, though still (partially) active *in situ*, dissociates during isolation prior to BN-PAGE analysis (Fig. 3A). Comprehensive analysis of the *Ndufs4*<sup>-/-</sup>-WB model demonstrated that these mice develop a fatal encephalopathy, which closely resembles the phenotype of human MC1DN1/Leigh syndrome patients with *NDUFS4* mutations (Fig. 3B). *Ndufs4*<sup>-/-</sup>-WB mice primarily presented with neurological symptoms that were similar to those of brain/neuron-specific *Ndufs4* knockout animals (Fig. 3B). This strongly suggests that whole-body *Ndufs4* deletion and joint absence of NDUFS4/NDUFA12 induces brain-specific pathology. The last might be due to tissue-specific differences in CI expression levels, CI-dependent energy demand and/or biochemical threshold effects. Of note, the *Ndufs4* mouse model(s) fail(s) to recapitulate the whole spectrum of manifestations encountered in different cases of Leigh syndrome.<sup>184,185</sup> Moreover, it was reported that spontaneous mutations in mouse (sub)strains due to excessive breeding programs can affect animal metabolism and study outcomes. This difference in genetic background might explain why homozygous *Ndufs4*<sup>-/-</sup> knock-in mice were embryonically lethal, whereas *Ndufs4*<sup>-/-</sup>-WB mice were healthy until ~5 weeks of age. Therefore, mouse (sub)strain selection should be scrutinized to minimize genotypic and phenotypic differences, potentially leading to misinterpretation of study outcomes.<sup>186,187</sup> In this context, it is likely that the genetic background also impacts on the detailed disease outcome and phenotype in human MC1DN1/Leigh syndrome patients. Most of the interventions discussed in this review partially rescue the phenotype in *Ndufs4*<sup>-/-</sup> mice, which is promising. Although various clinical trials are starting or ongoing, there is no evidence yet supporting the effectiveness of these interventions in human mitochondrial disease and MC1DN1/Leigh syndrome patients.<sup>188–190</sup>

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## Competing interests

W.J.H.K. acts as an *ad hoc* scientific adviser of the SME Khondrion B.V. (Nijmegen, The Netherlands). This company was not involved in the writing of the manuscript, nor in the decision to submit the manuscript for publication.

## Supplementary material

Supplementary material is available at *Brain* online.

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