



## Full Length Article

## Analysis

## Comparison of Outcomes after Unrelated Double-Unit Cord Blood and Haploidentical Peripheral Blood Stem Cell Transplantation in Adults with Acute Myelogenous Leukemia: A Study on Behalf of Eurocord and the Acute Leukemia Working Party of the European Society for Blood and Marrow Transplantation



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## A B S T R A C T

Unmanipulated haploidentical hematopoietic stem cell transplantation (HCT) with post-transplantation cyclophosphamide as graft-versus-host disease (GVHD) prophylaxis (haplo-PTCY) and unrelated double-unit umbilical cord blood transplantation (dUCBT) are feasible options for treating patients with high-risk acute myelogenous leukemia (AML). This study compared outcomes after dUCBT and haplo-HCT using peripheral blood stem cells (PBSCs) in adult patients with AML in complete remission (CR) who underwent transplantation in European

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Double cord blood transplantation  
Post-transplantation cyclophosphamide

Society for Blood and Marrow Transplantation (EBMT)-affiliated centers. In a population of adults with de novo AML in first or second CR, we compared outcomes after dUCBT ( $n = 165$ ) and after haplo-PTCY PBSC ( $n = 544$ ) performed between January 2013 and December 2018. Patients receiving in vivo antithymocyte globulin, Campath, or ex vivo T cell depletion were excluded. The median follow-up was 33 months for the haplo-PTCY arm and 52 months for the dUCBT arm. No statistically significant differences were observed between the 2 arms in the rates of grade II-IV acute graft-versus-host disease (GVHD) (hazard ratio [HR], 1.31;  $P = .18$ ), grade III-IV acute GVHD (HR, 1.17;  $P = .56$ ), chronic GVHD (HR, .86;  $P = .48$ ), relapse (HR, 1.07;  $P = .77$ ), nonrelapse mortality (NRM) (HR, .94;  $P = .77$ ), leukemia-free survival (LFS) (HR, .99;  $P = .95$ ), or overall survival (OS) (HR, .99;  $P = .97$ ). Favorable cytogenetic risk was the sole factor predictive of lower relapse incidence (RI). Younger age at transplantation was associated with lower NRM and higher LFS and OS. Both dUCBT and haplo-PTCY with PBSCs can be considered valid approaches for adult AML patients in CR. New strategies should be investigated in both settings to define the most appropriate conditioning regimen and potentially decrease RI and NRM through better immune reconstitution and optimal supportive care.

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## INTRODUCTION

Haploidentical donors and umbilical cord blood (UCB) are alternative sources of hematopoietic cells for patients lacking an HLA-matched sibling or an HLA-matched unrelated donor [1–3]. UCB transplantation (UCBT) has been used successfully over the last 3 decades with comparable results to transplantation with other donor sources, as reported in single-center reports and large registry studies [3]. Advances in UCBT were achieved with the optimal unit selection based on HLA matching and cell dose [4], the use of more appropriate regimens for myeloablative conditioning (MAC) and reduced-intensity conditioning (RIC) regimens [5,6], and the successful use of double-unit UCBT (dUCBT) in adults to overcome the cell dose limitation of single-unit UCBT [5].

The use of unmanipulated haploidentical grafts from peripheral blood stem cells (PBSCs) or bone marrow (BM) with post-transplantation cyclophosphamide (PTCY) as the backbone for graft-versus-host disease (GVHD) prophylaxis has contributed to reducing the historically high incidence of nonrelapse mortality (NRM; infection, rejection) and delayed the immune reconstitution observed with the use of T cell-depleted haploidentical grafts without increasing the incidence of GVHD. Several studies have reported comparable overall outcomes to matched-donor hematopoietic stem cell transplantation (HCT), resulting in a large increase in the use of haploidentical transplants with PTCY (haplo-PTCY) in recent years [2].

Determining the most appropriate donor and graft types is challenging and usually based on a center's experience and protocols. In the setting of RIC, the Blood and Marrow Transplant Clinical Trials Network (BMT CTN) conducted a randomized multicenter prospective phase III trial in the United States comparing dUCBT and haploidentical related donor HCT (haplo-HCT) with bone marrow (BM) grafts for the treatment of leukemia and lymphoma in adults and reported lower NRM after haplo-HCT [7]. More recently, Wagner et al. [8] reported comparable survival after UCBT (single or double) and haplo-HCT (with BM or PBSC grafts) after a MAC regimen, with increased NRM in UCBT with a greater degree of HLA mismatches [8].

The aim of the present study was to compare outcomes after dUCBT and haplo-HCT using PBSCs in a population of adults with acute myelogenous leukemia (AML) in complete remission (CR) who underwent transplantation at a European Society for Blood and Marrow Transplantation (EBMT)-affiliated center.

## METHODS

### Study Design and Definition

We analyzed all adults (age  $\geq 18$  years) with de novo AML available in the EBMT and Eurocord databases who underwent a first allogeneic HCT while in

first or second CR between January 2013 and December 2018. Grafts were double-unit unrelated UCB or related haploidentical PBSCs ( $\geq 2$  recipient-donor HLA mismatches). All patients undergoing haplo-HCT received PTCY as GVHD prophylaxis in combination with calcineurin inhibitors and mycophenolate mofetil, according to center policy; this is designated the Haplo-PTCY arm. Patients who received in vivo antithymocyte globulin (ATG), Campath, or ex vivo T cell depletion were excluded.

Transplantations were performed in 162 transplantation centers; 26 centers performed only UCBTs, 116 performed only Haplo-PTCYs, and 20 performed both operations. Disease stage was defined according to International Bone Marrow Transplant Registry criteria [9]. Poor-risk cytogenetics for AML included complex karyotype ( $>3$  abnormalities [abn]), add(5q), del(5q), del(7q)-7, t(6;9), t(6;11), t(10;11), abn(3) [10].

For patients undergoing dUCBT, HLA matching considered antigen-level typing for HLA-A and HLA-B and allele-level typing of HLA-DRB1. Then HLA disparity was calculated based on the maximal number of HLA mismatches between UCB unit 1/UCB unit 2 and the patient.

Conditioning regimen intensity was defined according to current practice [11]. EBMT centers commit to obtain informed consent according to the local regulations applicable at the time of transplantation to report pseudonymized data to the EBMT. All patients or their legal guardians provided informed consent for transplantation according to the guidelines of the Declaration of Helsinki. The Institutional Review Board of the EBMT Acute Leukemia Working Party/Eurocord approved this study.

### Endpoints

The primary endpoint was leukemia-free survival (LFS), calculated from the date of transplantation until relapse or death. Patients alive and free of disease were censored at the last follow-up. Overall survival (OS) was calculated from the date of transplantation until death or last follow-up. Relapse incidence (RI) was defined as the time from transplantation until the first event of relapse. Death without relapse was a competing event. NRM was defined as death without relapse. Neutrophil recovery was defined as achievement of an absolute neutrophil count  $\geq 5 \times 10^9/L$  for 3 consecutive days. Death and consecutive transplantations were competing events. The diagnosis and grading of acute GVHD (aGVHD) [12] and chronic GVHD (cGVHD) [13] were performed at the transplantation centers using standard criteria. Relapse and death were competing events for GVHD outcomes.

### Statistical Analysis

Quantitative variables were recorded as median and interquartile range (IQR); categorical variables, as number and percentage. Patient-, disease-, and transplantation-related variables were compared between the dUCBT and Haplo-PTCY groups using the chi-square or Fisher exact test for categorical variables and the Wilcoxon test for quantitative variables. The probabilities of OS and LFS were calculated using the Kaplan-Meier method, and the log-rank test was used for univariate comparisons.

Neutrophil engraftment, grade II-IV aGVHD, cGVHD, RI, and NRM were calculated with the cumulative incidence (Cul) method and Gray's test for univariate comparisons [14]. The median duration of follow-up was calculated using the reverse Kaplan-Meier method. All outcomes were censored at 2.5 years owing to a shorter follow-up in the Haplo-PTCY group.

Multivariate analyses (MVs) were performed using a Cox proportional hazards regression model for LFS and OS and a cause-specific Cox proportional hazards regression model for GVHD, NRM, and RI [15]. The final MVA models included the following variables: transplantation strategy (Haplo-PTCY or dUCBT), disease status at HCT, cytogenetic risk group, age at HCT, type of conditioning regimen (MAC versus RIC), and Karnofsky Performance Status  $\geq 90$ . To test for a center effect, we introduced a random effect or frailty for each center into the model. All  $P$  values were 2-sided. Statistical analyses

**Table 1**  
Patient and Disease Characteristics

Characteristic	Haplo-PTCY Arm (N = 544)		dUCBT Arm (N = 165)		P value
Follow-up (before censoring), y (95% CI)	2.5	(2.2-2.8)	4.3	(4-5)	<.001
Age, yr, median (IQR)	53	(42-62)	53	(39-61)	.67
Year of transplantation, median (IQR)	2017	(15-18)	2014	(13-16)	<.001
Time from diagnosis to transplantation, mo, median (IQR)	6.2	(4.7-14)	6.5	(4.5-16)	.58
Disease status at transplantation, n (%)					
	CR1	375 (69)	106 (64)		.26
	CR2	169 (31)	59 (36)		
Patient sex, n (%)					
	Male	295 (54)	92 (56)		.73
	Female	249 (46)	73 (44)		
KPS, n (%)					
	< 90	114 (22)	40 (28)		.14
	≥90	400 (78)	102 (72)		
	Missing	30	23		
Recipient CMV serostatus, n (%)					
	Negative	123 (23)	56 (35)		.003
	Positive	412 (77)	106 (65)		
	Missing	9	3		
Cytogenetics, n (%)					
	Good	40 (9)	12 (10)		.44
	Intermediate	314 (71)	78 (65)		
	Poor	89 (20)	30 (25)		
	Missing	101	45		
Conditioning regimen, n (%)					
	RIC	220 (40)	127 (77)		<.001
	MAC	324 (60)	38 (23)		
TBI, n (%)					
	No	438 (80)	8 (5)		<.001
	Yes	106 (20)	157 (95)		

CMV indicates cytomegalovirus.

were performed with R version 4.0.2 software packages (R Foundation for Statistical Computing, Vienna, Austria).

## RESULTS

### Patient, Disease, and Transplantation Characteristics

Patient and disease characteristics are summarized in Table 1. A total of 709 adult patients with AML underwent either Haplo-PTCY (n = 544) or dUCBT (n = 165) between January 2013 and December 2018. Before censoring, the median duration of follow-up was shorter for the Haplo-PTCY group (2.5 years versus 4.3 months;  $P < .001$ ). Haplo-PTCY transplantations were performed more recently ( $P < .001$ ), and Haplo-PTCY recipients more often had a positive cytomegalovirus serology ( $P = .003$ ) and were more likely to receive a MAC regimen ( $P < .001$ ). Busulfan-based and treosulfan-based MAC were the most frequently used MAC regimens in the Haplo-PTCY group, whereas total body irradiation (TBI)-based regimens ( $>6$  Gy) were most commonly used in the dUCBT group. RIC regimens were used more frequently in the dUCBT group compared with the Haplo-PTCY group (74% versus 16%). Details of the conditioning regimens and GVHD prophylaxis are provided in Supplementary Table S1.

For Haplo-PTCY recipients, the median cell doses were  $9.4 \times 10^8$  total nucleated cells (TNCs) (IQR, 7.1 to  $12.2 \times 10^8$ ) and  $6.7 \times 10^6$  CD34<sup>+</sup> cells (IQR, 5.1 to  $8.2 \times 10^6$ ). For dUCBT recipients, the median cell doses (UCB1 + UCB2) at cryopreservation were  $4 \times 10^7$  (IQR, 3.3 to  $5.1 \times 10^7$ ) for TNCs and  $1.4 \times 10^5$  (IQR, .9 to  $1.9 \times 10^5$ ) for CD34<sup>+</sup> cells. Recipients and

dUCBT pairs had 0 to 1/6 mismatches in 26% of cases, 2/6 mismatches in 71%, and 3/6 mismatches in 3%. Graft characteristics for both treatment strategies, including cell dose, HLA matching, and sex mismatches, are described in Supplementary Table S1.

### Engraftment and GVHD

The Cul of day 60 neutrophil engraftment was 95% after Haplo-PTCY and 94% after UCBT. Overall, 34 patients experienced graft failure (Haplo-PTCY, n = 25; dUCBT, n = 9). Of these, 23 died early within 90 days after HCT, 10 underwent another HCT, and 1 was alive at a follow-up of 120 days (9 patients were missing information on neutrophil engraftment). The median time to neutrophil engraftment was 19 days (IQR, 17 to 24 days) for the Haplo-PTCY group and 25 days (IQR, 19 to 32 days) for the UCBT group. The Cul of day 180 platelet engraftment was 84.8% (95% confidence interval [CI], 81.3% to 87.7%) for the Haplo-PTCY group and 82.4% (95% CI, 75.2% to 87.6%) for the UCBT group, with a median time of 16 days (range, 22 to 28 days) and 40 days (range, 32 to 49 days), respectively.

The Cul of day 100 grade II-IV aGVHD was 35% after Haplo-PTCY and 44% after dUCBT ( $P = .02$ ), and that for grade III-IV aGVHD was 14% and 18%, respectively ( $P = .23$ ). MVA showed no significant differences between the groups in grade II-IV aGVHD (HR, 1.31;  $P = .18$ ) and grade III-IV aGVHD (HR, 1.17;  $P = .56$ ). Patients in second CR had an increased risk of developing grade III-IV aGVHD (HR, 1.78;  $P = .007$ ) (Table 3).

**Table 2**  
Outcomes of AML after Haplo-PB and dUCBT

Outcome	Haplo-PTCY Arm				dUCBT Arm			
	N	Event (n at time t)	Estimation (95%CI)		N	Event (n at time t)	Estimation (95%CI)	
Median follow-up (yr)	544		2.5		165		2.5	
OS (2 yr)	544	178	64.2	(59.7-68.3)	165	64	59.4	(51.2-66.6)
LFS (2 yr)	544	205	59.0	(54.5-63.3)	165	70	55.9	(47.8-63.2)
PMN recovery (60 d)	535	508	95.0	(92.7-96.5)	164	154	93.9	(88.7-96.7)
aGVHD grade II/IV (100 d)	519	181	34.9	(30.8-39.0)	153	68	44.4	(36.4-52.1)
aGVHD grade II/IV (100 d)	519	73	14.1	(11.2-17.2)	153	28	18.3	(12.6-24.8)
GRFS (2 yr)	523	268	45.3	(40.7-49.7)	161	90	43.1	(35.2-50.7)
RI (2 yr)	544	88	18.0	(14.7-21.6)	165	35	22.2	(16.1-29.0)
NRM (2 yr)	544	117	22.9	(19.3-26.7)	165	35	21.9	(15.8-28.6)
cGVHD (2 yr)	518	164	35.1	(30.8-39.5)	161	50	32.3	(25.0-39.8)
cGVHD, extensive (2 yr)	512	56	12.2	(9.4-15.4)	157	13	8.8	(4.9-14.0)

PMN indicates polymorphonuclear; GRFS, Graft-versus-host disease-free/relapse-free survival.

The Cul of cGVHD at 2 years was 35% after Haplo-PTCY versus 32% after dUCBT ( $P = .48$ ), and the Cul of extensive cGVHD was 12% and 9%, respectively ( $P = .07$ ). Graft source was not associated with an increased risk of cGVHD in the MVA (HR, .86;  $P = .48$ ) (Table 3). Table 2 summarizes the major outcomes in the 2 groups, and Table 3 reports the results of the MVA. Univariate analysis results are provided in Supplementary Table S2.

#### Relapse and NRM

The Cul of 2-year relapse was 18% after Haplo-PTCY and 22% after dUCBT ( $P = .28$ ) (Table 2, Figure 1A). On MVA, the risk of relapse was not statistically different between the 2 treatment groups (HR, 1.07;  $P = .77$ ), whereas the relapse risk was increased in patients with poor cytogenetics (HR, 1.77;  $P = .01$ ) (Table 3).

The Cul of NRM at 2 years was 22.9% after Haplo-PTCY and 18.9% after dUCBT ( $P = .99$ ). NRM was not different between the 2 groups (HR, .94;  $P = .77$ ). NRM was higher in patients undergoing transplantation in second CR (HR; 1.55,  $P = .01$ ) and in those who underwent transplantation at an older age (per 10-year increment) (HR, 1.25;  $P = .004$ ) (Figure 1B, Table 3, Supplementary Table S2).

Infection and GVHD were the most common causes of transplantation-related death in both groups (infection, 8% after Haplo-PTCY and 3% after dUCBT; GVHD, 6% and 8%, respectively). Death from disease recurrence was reported in 13% of Haplo-PTCY recipients and in 18% of dUCB recipients (data not shown).

#### LFS and OS

The 2-year probability of LFS was 59% after Haplo-PTCY and 56% after dUCBT ( $P = .33$ ) (Figure 1C). On MVA, LFS was not statistically different between the transplant types (HR, .99;  $P = .95$ ). The factors independently associated with lower LFS were poor performance status (Karnofsky Performance Status <90%) (HR, 1.37;  $P = .03$ ), and older age at HCT (HR, 1.12;  $P = .03$ ) (Table 3).

The probability of OS at 2 years was 64% after Haplo-PTCY and 59% after dUCBT ( $P = .26$ ) (Figure 1D). There was no difference in OS with respect to graft source (HR, .99;  $P = .97$ ). Older age at transplantation was the sole factor associated with worse OS (HR, 1.15;  $P = .01$ ).

#### DISCUSSION

This study of 709 patients comparing HCT outcomes from haploidentical donors using PBSCs with PT CY-based GVHD prophylaxis with dUCBT in adult patients with AML in CR shows that OS and LFS are similar for dUCBT and Haplo-PTCY, with older age at transplantation the sole factor predictive of worse survival in both groups. Moreover, no between-group difference in outcomes was observed in terms of engraftment, aGVHD, cGVHD, relapse, and NRM.

Neutrophil recovery was similar in the 2 groups despite the heterogeneity in conditioning regimens, with the majority of the patients in the Haplo-PTCY group receiving a MAC regimen (mainly busulfan-based).

Although not statistically significant, the rate of grade II-IV aGVHD was lower after Haplo-PTCY compared with dUCBT, but no differences were observed for grade III-IV aGVHD, cGVHD and extensive cGVHD. Moreover, in line with published results [8,16], the RI and NRM at 2 years were similar in the 2 groups.

When analyzing the impact of other patient- and disease-related prognostic factors, the MVA identified 3 factors that most closely predicted outcome. AML risk group was predictive of lower RI, and younger age and earlier disease remission stage were associated with lower NRM.

The development of dUCBT has enabled the provision of an adequate cell dose to patients lacking an optimal single UCB unit, and for adult patients it has extended access to UCBT. The use of non-ATG-based conditioning regimens and the improvement in UCB unit selection based on optimal doses of TNCs and CD34<sup>+</sup> cells, minimal allele-level HLA disparity, and lower ABO incompatibility also have allowed for further improvement of dUCBT outcomes [17].

In the haplo-HCT setting, PT CY proved to be highly effective in preventing GVHD in patients with AML in CR and compares favorably with standard GVHD prophylaxis in matched sibling and matched unrelated donor transplantations. Recent data from the Center for International Blood and Marrow Transplant Research demonstrated that both PT CY and haploidentical donor are associated with increased rates of viral infections [18], contributing to a high incidence of NRM and increased overall mortality [19].

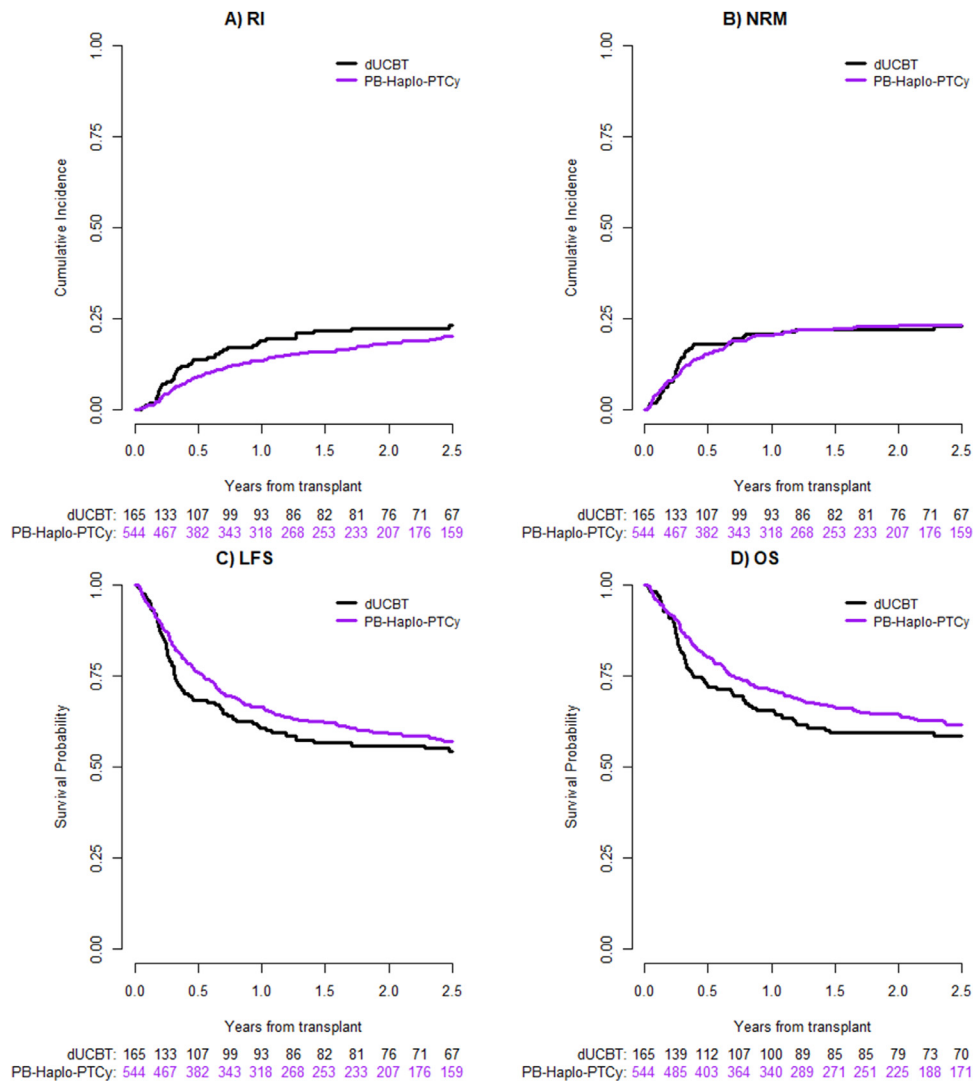
Comparative studies between Haplo-PTCY and UCBT are mainly registry-based and are limited by the heterogeneity of conditioning regimens, GVHD prophylaxis, and stem cell sources for haplo-HCT. In a prospective trial, Sanz et al. [20] compared 22 patients who underwent Haplo-PTCY with BM grafts

**Table 3**  
Multivariate Analysis for Patients with AML

Variables	HR	95% CI	P Value	
OS	dUCBT vs Haplo-PTCY	.99	(.71-1.39)	.97
	Status at transplantation: CR2 vs CR1	1.27	(.96-1.68)	.09
	Cytology: poor-risk group	1.34	(.95-1.90)	.10
	MAC vs RIC	.98	(.71-1.33)	.88
	KPS $\geq 90$ vs $<90$	.79	(.59-1.07)	.13
	Age at transplantation by 10-yr increment	1.15	(1.03-1.29)	<b>.01</b>
LFS	dUCBT vs Haplo-PTCY	.99	(.72-1.35)	.95
	Status at transplantation: CR2 vs CR1	1.22	(.94-1.58)	.14
	Cytology: poor-risk group	1.30	(.93-1.80)	.12
	MAC vs RIC	.98	(.73-1.31)	.87
	KPS $\geq 90$ vs $<90$	.73	(.56-.97)	<b>.03</b>
	Age at transplantation by 10-yr increment	1.15	(1.01-1.25)	<b>.03</b>
RI	dUCBT vs Haplo-PTCY	1.07	(.68-1.69)	.77
	Status at transplantation: CR2 vs CR1	.87	(.57-1.32)	.52
	Cytology: poor-risk group	1.77	(1.14-2.75)	<b>.01</b>
	MAC vs RIC	.97	(.63-1.50)	.90
	KPS $\geq 90$ vs $<90$	.73	(.48-1.10)	.14
	Age at transplantation by 10-yr increment	1.00	(.86-1.16)	.99
NRM	dUCBT vs Haplo-PTCY	.94	(.61-1.45)	.77
	Status at transplantation: CR2 vs CR1	1.55	(1.10-2.18)	<b>.01</b>
	Cytology: poor-risk group	.91	(.55-1.51)	.71
	MAC vs RIC	.99	(.66-1.47)	.94
	KPS $\geq 90$ vs $<90$	.74	(.51-1.08)	.12
	Age at transplantation by 10-yr increment	1.25	(1.07-1.45)	<b>.004</b>
GRFS	dUCBT vs Haplo-PTCY	.94	(.72-1.23)	.65
	Status at transplantation: CR2 vs CR1	1.26	(1.01-1.58)	<b>.04</b>
	Cytology: poor-risk group	1.25	(.94-1.66)	.12
	MAC vs RIC	1.06	(.82-1.36)	.66
	KPS $\geq 90$ vs $<90$	.76	(.60-.96)	<b>.02</b>
	Age at transplantation by 10-yr increment	1.02	(.94-1.12)	.59
aGVHD grade II-IV	dUCBT vs Haplo-PTCY	1.31	(.88-1.96)	.18
	Status at transplantation: CR2 vs CR1	1.27	(.95-1.70)	.11
	Cytology: poor-risk group	1.15	(.78-1.68)	.48
	MAC vs RIC	1.01	(.71-1.43)	.96
	KPS $\geq 90$ vs $<90$	.78	(.56-1.07)	.12
	Age at transplantation by 10-yr increment	1.06	(.95-1.19)	.29
aGVHD grade III-IV	dUCBT vs Haplo-PTCY	1.17	(.69-1.96)	.56
	Status at transplantation: CR2 vs CR1	1.78	(1.17-2.71)	<b>.007</b>
	Cytology: poor-risk group	1.13	(.63-2.02)	.68
	MAC vs RIC	1.26	(.77-2.05)	.36
	KPS $\geq 90$ vs $<90$	.77	(.48-1.24)	.28
	Age at transplantation by 10-yr increment	.94	(.80-1.11)	.49
cGVHD	dUCBT vs Haplo-PTCY	.86	(.56-1.32)	.48
	Status at transplantation: CR2 vs CR1	1.00	(.73-1.38)	.99
	Cytology: poor-risk group	.90	(.60-1.36)	.62
	MAC vs RIC	.79	(.55-1.13)	.20
	KPS $\geq 90$ vs $<90$	.99	(.70-1.41)	.96
	Age at transplantation by 10-yr increment	.95	(.84-1.07)	.37

and 23 patients with a single UCBT after a MAC regimen based on the association of busulfan, thiotepa, and fludarabine. No statistically significant between-group differences in grade II-IV aGVHD, extensive cGVHD, NRM, or RI were observed, whereas the 2-year OS was superior for the Haplo-PTCY group. Importantly in this study, single UCBT was based on the use of ATG for GVHD prophylaxis. Recent findings clearly demonstrate increased mortality and delayed immune reconstitution with the use of ATG,

especially in patients with malignancies. ATG severely impacts T cell recovery after UCBT, with detrimental effects on infections, NRM, RI, and survival [21]. The use of ATG in patients receiving UCBT outside clinical trials should be handled cautiously. Individualized dosing and therapeutic drug monitoring could aid in determining the optimal ATG dosage schedule to improve outcomes. The dUCBT platform has been conceived as an ATG-free platform, which might help explain the better results in our present series.



**Figure 1.** Outcomes after Haplo-PTCY and dUCBT. (A) RI; (B) NRM; (C) LFS.

In a recently published phase III randomized trial [7] comparing dUCBT and haplo-HCT using PTCY in 368 patients age 18 to 70 years with lymphoma or acute leukemia after a TBI-based RIC regimen, although the primary endpoint of progression-free survival was not different among the 2 groups, dUCBT was associated with higher NRM and lower OS. Patient accrual was difficult owing to the consistent increase in the use of haploidentical donors and a decline in the use of UCBT during the time period, which might have limited trial participation.

Our results confirm that use of haplo-HCT using PBSCs with PTCY is as effective as dUCBT in patients with AML in CR. Our study has several limitations owing to its retrospective registry-based design and the wide heterogeneity in patient characteristics, conditioning regimens, and GVHD prophylaxis. We are aware that in the dUCBT group, some transplantations were performed with a very low cell dose, which might have a detrimental impact on outcomes. Indeed, we aimed to report the different practices in transplantation centers, and we included all the consecutive cases reported to the registry to avoid selection bias. We believe that this is important for the transplantation community. However, despite an increase in the use of RIC and low-dose TBI-based conditioning regimens

in the majority of dUCBT recipients, graft failure was not increased in this group.

In our series, patients in the Haplo-PTCY group most frequently received a MAC regimen, which should be carefully considered when interpreting our results. Several studies have suggested the possibility of using more intensive conditioning regimens in the dUCBT setting according to patient fitness and clinical course, and thus a careful comparison based on conditioning regimen is warranted.

Other limitations include the earlier year of transplantation in the dUCBT group, reflecting more recent changes in transplantation practices across centers [22]. In addition, we were unable to analyze chimerism and minimal residual disease data, major prognostic factors in patients with hematologic malignancies. Importantly, the reasons for selecting a haploidentical donor versus dUCB could not be identified, highlighting the role of a potential center effect.

Currently, the choice of an alternative donor source is driven mainly by institutional expertise, the algorithm for donor selection, and procedure-related costs. Haploidentical and dUCB grafts have the advantages of ready availability and prompt procurement for urgent transplantation needs and offer a shorter delay from indication to transplantation. Both

grafts may be used in emergency situations, as reflected in the Coronavirus disease 19 pandemic period [23]. UCB grafts offer the advantages of being off-the-shelf products, already typed and ready for immediate use. Haploidentical grafts may be preferred by transplantation centers based on lower cost of graft procurement and the availability of family donors in the post-transplantation setting. However, cost information needs to be addressed with caution in light of recent data comparing the 5-year health care burden with long-term health care requirements after transplantation with UCB, BM, or PBSC grafts [24]. In that study, recipients of UCB grafts had the lowest 5-year health care burden compared with recipients of BM and PBSC grafts. Unfortunately, recipients of haploidentical transplants were infrequent in that cohort (prior to 2016) and so were excluded.

In conclusion, with the accumulating experience and longer follow-up data, PTCY-based haploidentical donor PBSC transplantation is now considered a valid option for transplantation-eligible patients without an available HLA-matched

donor. Compared with dUCBT, Haplo-PTCY using PBSCs showed similar outcomes in adult AML patients in remission. Further evaluation of conditioning regimen intensity, supportive care, and center effects will aid the identification of strategies to potentially decrease RI and NRM with better immune reconstitution [25] and fewer infectious complications [26,27] and optimize supportive care in both the UCBT and haplo-HCT settings.

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#### SUPPLEMENTARY MATERIALS

Supplementary material associated with this article can be found in the online version at [doi:10.1016/j.jtct.2022.07.006](https://doi.org/10.1016/j.jtct.2022.07.006).

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