

Postoperative Chemotherapy Use and Outcomes From ADAURA: Osimertinib as Adjuvant Therapy for Resected EGFR-Mutated NSCLC



Yi-Long Wu, MD,^{a,*} Thomas John, PhD,^b Christian Grohe, MD,^c Margarita Majem, MD, PhD,^d Jonathan W. Goldman, MD,^e Sang-We Kim, MD, PhD,^f Terufumi Kato, MD,^g Konstantin Laktionov, PhD,^h Huu Vinh Vu, MD, PhD,ⁱ Zhijie Wang, MD,^j Shun Lu, MD,^k Kye Young Lee, MD, PhD,^l Charuwan Akewanlop, MD,^m Chong-Jen Yu, MD, PhD,ⁿ Filippo de Marinis, MD,^o Laura Bonanno, MD,^p Manuel Domine, MD, PhD,^q Frances A. Shepherd, MD,^r Lingmin Zeng, PhD,^s Ajlan Atasoy, MD,^t Roy S. Herbst, MD, PhD,^u Masahiro Tsuboi, MD^v

^aGuangdong Lung Cancer Institute, Guangdong Provincial People's Hospital and Guangdong Academy of Medical Sciences, Guangzhou, People's Republic of China

^bDepartment of Medical Oncology, Austin Health, Melbourne, Australia ^cDepartment of Respiratory Diseases, Evangelische Lungenklinik, Berlin, Germany

*Corresponding author.

Disclosure: Prof. Wu reports receiving speaker bureau fees from AstraZeneca, Bristol-Myers Squibb, Pfizer Inc., Roche AG, Boehringer Ingelheim, Eli Lilly & Co., Merck Sharp & Dohme, and Sanofi and research grants from AstraZeneca, Bristol-Myers Squibb, Pfizer Inc., and Roche AG. Dr. John reports receiving advisory board and consultancy fees from Roche AG, Bristol-Myers Squibb, Merck & Co., Ignyta, AstraZeneca, Takeda Pharmaceutical, Merck Sharp & Dohme, Specialised Therapeutics, and Pfizer Inc. Dr. Grohe reports receiving honoraria, speaker bureau, and advisory board fees from AstraZeneca, Boehringer Ingelheim, and Merck Sharp & Dohme and travel and accommodation fees from Boehringer Ingelheim. Dr. Majem reports receiving honoraria from Bristol-Meyers Squibb, Merck Sharp & Dohme, Boehringer Ingelheim, AstraZeneca, Roche AG, Kyowa Kyrin, Pierre Fabre, Sanofi-Aventis, Janssen, Takeda Pharmaceutical, and Bayer AG and research funding from Bristol-Myers Squibb. Dr. Goldman reports receiving speaker bureau fees from Merck & Co., honoraria and travel support from AstraZeneca, and research grants from AbbVie Inc., Merck & Co., Bristol-Myers Squibb, and AstraZeneca. Dr. Kim reports receiving speaker bureau fees from Boehringer Ingelheim; advisory board fees from AstraZeneca, Boehringer Ingelheim, Bristol-Myers Squibb, Eli Lilly & Co., and Novartis; and financial support from AstraZeneca. Dr. Kato reports receiving advisory fees from AbbVie Inc., AstraZeneca, Amgen Inc., Eli Lilly & Co., Merck Biopharma, Merck Sharp & Dohme, ONO Pharmaceutical Co., Ltd., Pfizer Inc., Daiichi Sankyo, Nippon Kayaku, Takeda, and Taiho Pharmaceutical; speaker bureau fees from AstraZeneca, Chugai Pharmaceutical Co. Ltd., Eli Lilly & Co., Merck Biopharma, Merck Sharp & Dohme, Pfizer Inc., Novartis, and Roche AG; and research grants from AstraZeneca, AbbVie Inc., Amgen Inc., Chugai Pharmaceutical Co. Ltd., Eli Lilly & Co., Merck Biopharma, Merck Sharp & Dohme, Novartis, Pfizer Inc., and Regeneron; and having a family member who is an employee of Eli Lilly & Co. Dr. Laktionov reports receiving advisory board fees from AstraZeneca, Bristol-Myers Squibb, Merck Sharp & Dohme, Roche AG, Biocad, and Pfizer Inc.; speaker bureau fees and honoraria from AstraZeneca, Bristol-Myers Squibb, Merck Sharp & Dohme, Roche AG, and Biocad; and research grants from AstraZeneca. Dr. Lu reports receiving advisory board fees from AstraZeneca, Roche Hutchison MediPharma, Boehringer Ingelheim, Simcere, ZaiLab, and GenomiCare; speaker bureau fees from AstraZeneca, Hansoh, and Roche AG; and research grants from AstraZeneca, Bristol-Myers Squibb, Hutchison MediPharma, Heng, Roche AG, and Rui. Dr. de Marinis reports receiving advisory board and consultancy fees

from Roche AG, Bristol-Myers Squibb, AstraZeneca, and Merck Sharp & Dohme. Dr. Bonanno reports receiving speaker bureau fees from Roche AG, Bristol-Myers Squibb, and Merck Sharp & Dohme; advisory board fees from AstraZeneca; and a research grant from AstraZeneca. Dr. Domine reports receiving speaker bureau and advisory and consultancy fees from AstraZeneca, Bristol-Myers Squibb, Boehringer Ingelheim, Merck Sharp & Dohme, Pfizer Inc., and Roche AG. Dr. Shepherd reports having stock interests in AstraZeneca. Dr. Zeng reports having stock interests and employment at AstraZeneca. Dr. Atasoy reports having employment at AstraZeneca. Dr. Herbst reports receiving personal fees from AstraZeneca, AbbVie Inc., ARMO Biosciences, Biodesix, Bolt Biotherapeutics, Bristol-Myers Squibb, Eli Lilly & Co., EMD Serono, Genmab, Halozyme, Heat Biologics, IMAB Biopharma, Genentech/Roche AG, Immunocore, Infinity Pharmaceuticals, Loxo Oncology, Merck & Co., Mirati Therapeutics, Nektar, Neon Therapeutics, NextCure, Novartis, Pfizer, Sanofi, Seattle Genetics, Discontinued Construmtion Construction Shire PLC, Spectrum Pharmaceuticals, Symphogen, Takeda Pharmaceutical, Tesaro, Tocagen, Cybrexa, and Oncternal Therapeutics; advisory board fees from Junshi Pharmaceuticals; and research grants from AstraZeneca, Eli Lilly & Co., Genentech/ Roche, and Merck & Co. Dr. Tsuboi reports receiving lecture fees and honoraria from Johnson & Johnson Japan, AstraZeneca KK, Eli Lilly Japan, Chugai Pharmaceutical Co., Ltd., Taiho Pharma, Medtronic Japan, ONO Pharmaceutical Co., Ltd., Merck Sharp & Dohme, Bristol-Myers Squibb KK, and Teijin Pharma; advisory and consultancy fees from AstraZeneca KK, Chugai Pharmaceutical Co., Ltd., Merck Sharp & Dohme, and Novartis; and research funding or has been awarded commissioned research (to facilities) from Boehringer Ingelheim Japan, Merck Sharp & Dohme, AstraZeneca KK, ONO Pharmaceutical Co., Ltd., Bristol-Myers Squibb KK, and Eli Lilly Japan. The remaining authors declare no conflict of interest.

Address for correspondence: Yi-Long Wu, MD, Guangdong Lung Cancer Institute, Guangdong Provincial People's Hospital and Guangdong Academy of Medical Sciences, 106 Zhongshan Er Road, Yuexiu Qu, Guangzhou 510080, People's Republic of China. E-mail: syylwu@live.cn

© 2021 International Association for the Study of Lung Cancer. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/ 4.0/).

ISSN: 1556-0864

https://doi.org/10.1016/j.jtho.2021.10.014

^dDepartment of Medical Oncology, Hospital de la Santa Creu i Sant Pau, Barcelona, Spain ^eDavid Geffen School of Medicine at University of California, Los Angeles, California ^fDepartment of Oncology, Asan Medical Center, University of Ulsan College of Medicine, Seoul, South Korea ⁸Department of Thoracic Oncology, Kanagawa Cancer Center, Yokohama, Japan ^hFederal State Budgetary Institution N.N. Blokhin National Medical Research Center of Oncology of the Ministry of Health of the Russian Federation (N.N. Blokhin NMRCO), Moscow, Russia ⁱDepartment of Thoracic Surgery, Choray Hospital, Ho Chi Minh City, Vietnam ^jState Key Laboratory of Molecular Oncology, Department of Medical Oncology, National Cancer Center/National Clinical Research Center for Cancer/Cancer Hospital, Chinese Academy of Medical Sciences & Peking Union Medical College, Beijing, People's Republic of China ^kLung Cancer Center, Shanghai Chest Hospital, Shanghai Jiao Tong University, Shanghai, People's Republic of China ¹Precision Medicine Lung Cancer Center, Konkuk University Medical Center, Seoul, South Korea ^mDivision of Medical Oncology, Faculty of Medicine, Siriraj Hospital, Bangkok, Thailand ⁿDepartment of Internal Medicine, National Taiwan University Hospital Hsinchu Branch and National Taiwan University College of Medicine, Taipei, Taiwan ^oThoracic Oncology Division, European Institute of Oncology (IEO), IRCCS, Milan, Italy ^pMedical Oncology 2, Istituto Oncologico Veneto IOV IRCCS, Padova, Italy ^aOncology Department, Instituto de Investigación Sanitaria-Fundación Jiménez Díaz, Madrid, Spain Department of Medical Oncology and Hematology, University Health Network, Princess Margaret Cancer Centre and the University of Toronto, Toronto, Ontario, Canada ^sLate Oncology Statistics, AstraZeneca, Gaithersburg, Maryland ^tLate Oncology Research & Development, AstraZeneca, Cambridge, United Kingdom ^uMedical Oncology, Yale School of Medicine and Yale Cancer Center, New Haven, Connecticut

^vDepartment of Thoracic Surgery and Oncology, National Cancer Center Hospital East, Kashiwa, Japan

Received 28 September 2021; accepted 14 October 2021 Available online - 2 November 2021

ABSTRACT

Introduction: Adjuvant chemotherapy is recommended in patients with resected stages II to IIIA (and select IB) NSCLC; however, recurrence rates are high. In the phase 3 ADAURA study (NCT02511106), osimertinib was found to have a clinically meaningful improvement in disease-free survival (DFS) in patients with resected stages IB to IIIA EGFR-mutated (EGFRm) NSCLC. Here, we report prespecified and exploratory analyses of adjuvant chemotherapy use and outcomes from ADAURA.

Methods: Patients with resected stages IB to IIIA EGFRm NSCLC were randomized 1:1 to receive osimertinib or placebo for 3 years. Adjuvant chemotherapy before randomization was not mandatory, per physician and patient choice. DFS in the overall population (IB–IIIA), with and without adjuvant chemotherapy, was a prespecified analysis. Exploratory analyses included the following: adjuvant chemotherapy use by patient age, disease stage, and geographic location; DFS by adjuvant chemotherapy use and disease stage.

Results: Overall, 410 of 682 patients (60%) received adjuvant chemotherapy (osimertinib, n = 203; placebo, n = 207) for a median duration of 4.0 cycles. Adjuvant chemotherapy use was more frequent in patients: aged less than 70 years (338 of 509; 66%) versus more than or equal to 70 years (72 of 173; 42%); with stages II to IIIA (352 of 466; 76%) versus stage IB (57 of 216; 26%); and enrolled in Asia (268 of 414; 65%) versus outside of Asia (142 of 268; 53%). A DFS benefit favoring osimertinib versus placebo was observed in patients with (DFS hazard ratio = 0.16,

95% confidence interval: 0.10-0.26) and without adjuvant chemotherapy (hazard ratio = 0.23, 95% confidence interval: 0.13-0.40), regardless of disease stage.

Conclusions: These findings support adjuvant osimertinib as an effective treatment for patients with stages IB to IIIA EGFRm NSCLC after resection, with or without previous adjuvant chemotherapy.

© 2021 International Association for the Study of Lung Cancer. Published by Elsevier Inc. This is an open access article under the CC BY-NC-ND license (http:// creativecommons.org/licenses/by-nc-nd/4.0/).

Keywords: Adjuvant chemotherapy; EGFR; EGFR-TKI; NSCLC; Osimertinib

Introduction

Approximately 30% of patients with NSCLC present with resectable disease at diagnosis.^{1–3} For these patients, surgery with curative intent is the primary treatment option.^{4,5} After surgery, adjuvant cisplatin-based chemotherapy is recommended for patients with resected stages II to IIIA NSCLC and select patients with stage IB disease.^{5,6} Real-world studies have reported that approximately 48% to 57% of patients with resected stages IB to IIIA NSCLC received adjuvant chemotherapy in clinical practice, with increased use in stages II (55%–67% of patients) and IIIA disease (65%–71% of patients), compared with stage IB.^{7,8} Nevertheless, rates of disease recurrence after surgery remain high across

all disease stages (approximately 45% of patients with stage IB disease; 62% of patients with stage II disease; 76% of patients with stage III disease), regardless of adjuvant chemotherapy use.⁹

EGFR tyrosine kinase inhibitors (TKIs) are recommended for the first-line treatment of patients with EGFR-mutated (EGFRm) advanced NSCLC,^{10,11} and previous studies have indicated that there may be a role for first-generation EGFR TKIs in the EGFRm resected treatment setting, although these data did not lead to changes in clinical practice.¹²⁻¹⁵ Osimertinib is a thirdgeneration, irreversible, oral EGFR TKI that potently and selectively inhibits both EGFR TKI sensitizing and EGFR T790M resistance mutations and has been found to have efficacy in patients with NSCLC with central nervous system metastases.¹⁶⁻²¹ Osimertinib is recommended as the optimal first-line treatment option for patients with EGFRm (exon 19 deletion [Ex19del] or exon 21 L858R [L858R] mutations) advanced NSCLC and is the treatment of choice for patients with acquired T790M after disease progression on other first-line EGFR TKIs.^{10,11} Furthermore, osimertinib was recently approved by the U.S. Food and Drug Administration, China National Medical Products Administration, and European Commission^{22–24} for the adjuvant treatment of adult patients with EGFRm (Ex19del or L858R mutations) early-stage NSCLC after tumor resection, on the basis of results from the phase 3 ADAURA trial (NCT02511106), which evaluated osimertinib versus placebo in patients with stages IB to IIIA EGFRm NSCLC after complete tumor resection, with or without adjuvant chemotherapy.²⁵

In ADAURA, adjuvant osimertinib was found to have a statistically significant and clinically meaningful improvement in disease-free survival (DFS) compared with placebo in patients with completely resected stages IB to IIIA EGFRm NSCLC (hazard ratio [HR] = 0.20, 99.12% confidence interval [CI]: 0.14–0.30, p <0.001).^{25,26} A DFS benefit favoring osimertinib treatment versus placebo was observed consistently across all predefined subgroups, including disease stages IB, II, and IIIA and the use or nonuse of adjuvant chemotherapy.²⁵

To gain further insights into adjuvant chemotherapy use and its impact on efficacy outcomes in resected NSCLC, we report prespecified and exploratory analyses of adjuvant chemotherapy use and outcomes from the ADAURA trial.

Materials and Methods

Patients

Full details of the trial methodology have been published previously.^{25,27} Briefly, eligible patients were aged more than or equal to 18 years (\geq 20 y old in Japan and

Taiwan), with histologically confirmed primary nonsquamous NSCLC of postsurgical pathologic stage IB, II, or IIIA (classified according to the seventh edition of the American Joint Committee on Cancer Staging Manual²⁸), a WHO performance score (WHO PS) of 0 to 1, and centrally confirmed EGFR mutation (Ex19del or L858R). Complete surgical resection of the primary NSCLC (with negative margins) was mandatory. Magnetic resonance imaging or a computed tomography scan of the brain was required before surgery or randomization.

Postoperative (adjuvant) chemotherapy, comprising platinum-based doublet treatment for a maximum of four cycles before randomization, was allowed but not mandatory (decided by the physician and patient before enrollment). Complete recovery from surgery (a minimum of 4 weeks) and adjuvant therapy (if applicable) was required before randomization. For patients who received adjuvant chemotherapy, a minimum of 2 weeks was required between the last administered dose of chemotherapy and randomization. The maximum time interval permitted between surgery and randomization was 26 weeks for patients who received adjuvant chemotherapy and 10 weeks for patients who did not. Those patients who received adjuvant chemotherapy must have recovered from all grade greater than or equal to one toxicities associated with previous therapy before starting the study treatment, with the exception of alopecia and grade 2 neuropathy related to platinum therapy. Preoperative, postprevious operative, or planned radiation therapy was not permitted. Preoperative (neoadjuvant) chemotherapy was also not permitted.

Trial Design and Treatment

ADAURA (NCT02511106) is a phase 3, double-blind, placebo-controlled, randomized, global trial conducted in 26 different countries across Europe, the Asia-Pacific, North America, and South America. Patients were stratified according to disease stage (IB, II, or IIIA), EGFR mutation status (Ex19del or L858R), and race (Asian or non-Asian) and were randomly assigned in a 1:1 ratio to receive osimertinib 80 mg orally once daily or placebo. Screening and randomization occurred after the patients had undergone surgery and received adjuvant chemotherapy (if applicable). Patients received osimertinib or placebo for up to 3 years or until disease recurrence or fulfillment of a discontinuation criterion.²⁵

The ADAURA trial was conducted in accordance with the provisions of the Declaration of Helsinki, Good Clinical Practice guidelines (as defined by the International Conference on Harmonisation), applicable regulatory requirements, and the policy on bioethics and human biological samples of the trial sponsor, AstraZeneca. All patients provided informed written consent before participation.

Trial End Points

The primary end point of the study was DFS according to investigator assessment among patients with stages II to IIIA disease. Secondary end points included DFS in the overall population (patients with stages IB-IIIA disease), overall survival, health-related quality of life, and safety. The primary analysis, including key secondary end points, has been reported previously.²⁵ Health-related quality of life data are to be reported separately. Post hoc exploratory analyses of adjuvant chemotherapy use and its impact on clinical outcomes were also performed and are reported here. These include an overview of adjuvant chemotherapy use by patient age (<70 and ≥ 70 years), disease stage (stages IB, II, and IIIA), and geographic location (enrolled in Asia [People's Republic of China, Japan, South Korea, Taiwan, Thailand, and Vietnam], and outside of Asia [Europe, Australia, United States, Canada, and Brazil]). A prespecified subgroup analysis of DFS in the overall patient population (stages IB-IIIA disease), with and without adjuvant chemotherapy, will be reported. Post hoc exploratory analyses of DFS by adjuvant chemotherapy use (yes versus no) and by disease stage (stage IB versus II versus IIIA) will also be reported here.

Trial Assessments and Statistical Methods

DFS was defined as the time from the date of randomization until the date of disease recurrence or death (by any cause in the absence of recurrence). Baseline assessments for disease recurrence were performed within 28 days before treatment initiation; subsequent assessments were performed at weeks 12 and 24, then every 24 weeks until 5 years, and yearly thereafter. Disease recurrence was defined by computed tomography or magnetic resonance imaging scan, or pathologic disease on biopsy by investigator assessment. The statistical analysis plan, including sample size estimation, has been published previously.²⁵ Briefly, analysis of DFS in prespecified subgroups in the overall population (full analysis set, including all randomized patients) was conducted to compare DFS between treatment arms in patients with and without adjuvant chemotherapy. Exploratory DFS subgroup analyses were performed in the following subgroups: stage IB disease without adjuvant chemotherapy; stage II disease with and without adjuvant chemotherapy; and stage III disease with and without adjuvant chemotherapy. Subgroup categories with less than 20 events, such as patients with stage IB disease who received adjuvant chemotherapy, were excluded from the analysis. The Kaplan-Meier (KM) method was used to summarize DFS data by treatment group. The total number of events and median DFS (calculated from the KM plot, with twosided 95% CIs) were summarized. For each subgroup level, HRs and 95% CIs were calculated using a Cox proportional hazards model including a term for treatment, the subgroup covariate of interest, and the treatment-by-subgroup interaction term. No adjustment to the significance level for testing was made for the exploratory analyses because these are only supportive of the primary analysis of DFS. Data cutoff was January 17, 2020.

Results

Patients and Treatment

From November 2015 to February 2019, a total of 682 patients with completely resected stage IB, II, or IIIA NSCLC were randomized to receive either osimertinib (n = 339) or placebo (n = 343). Of all randomized patients, 680 (99.7%) received at least one dose of study treatment (337 patients in the osimertinib arm and 343 in the placebo arm). As previously reported, baseline characteristics were well balanced between the treatment arms.²⁵ Patients were predominantly Asian (64% in both arms) with WHO PS of 0 (64% in both arms). The median age (range) was 64 (30–86) years in the osimertinib arm and 62 (31–82) years in the placebo arm.²⁵

Adjuvant Chemotherapy Use

Overall, 410 of 682 patients received adjuvant chemotherapy, which was consistent across the osimertinib (n = 203) and placebo (n = 207) arms (60% in both arms) and received for a median duration of 4.0 cycles (Quartile 1: 4.0, Quartile 3: 4.0). Most patients (409 of 410) received platinum-based chemotherapy, predominantly cisplatin based (n = 275) or carboplatin based (n = 139) (Table 1). One patient received singleagent, non-platinum chemotherapy (pemetrexed) as adjuvant treatment, with an adjunct traditional Chinese medicine (protocol deviation). Of the 466 patients with stages II to IIIA disease, 76% of patients (352 of 466) received adjuvant chemotherapy (stage II = 35% [165 of 466]; stage IIIA = 40% [187 of 466]), compared with 26% of patients (57 of 216) with stage IB disease. Adjuvant chemotherapy use was more frequent in patients aged less than 70 years (338 of 509; 66%) compared with those aged greater than or equal to 70 years (72 of 173; 42%) and in patients enrolled in Asia (268 of 414; 65%; People's Republic of China, Japan, South Korea, Taiwan, Thailand, and Vietnam) compared with those enrolled outside of Asia (142 of 268; 53%; Europe, Australia, United States, Canada, and Brazil). There seemed to be no difference in adjuvant

Characteristics	Patients, n	Received Adjuvant Chemotherapy, %
Stage IB	216	26 ^a
Stage II	231	71 ^a
Stage IIIA	235	80 ^a
Aged $<$ 70 y	509	66
Aged \geq 70 y	173	42
WHO PS 0	434	60
WHO PS 1	248	60
Enrolled in Asia ^b	414	65
Enrolled outside of Asia ^c	268	53
Adjuvant chemotherapy	Patients, n ^d	Total, %
Number of patients who received adjuvant chemotherapy	410	60
Adjuvant platinum chemotherapy agents ^e		
Carboplatin	139 ^f	20
Cisplatin	275 ^f	40
Secondary chemotherapy agents ^e		
Vinorelbine/vinorelbine tartrate	92 ^f /101 ^f	13/15
Pemetrexed	82 ^f	12

WHO PS, WHO performance status.

Reprinted with permission from ADAURA data cutoff: January 17, 2020.

^{*a*}Includes only patients who received platinum-based chemotherapy (n = 409).

^bEnrolled in Japan, People's Republic of China, South Korea, Taiwan, Thailand, and Vietnam. No patients in Japan had stage IB disease.

^cEnrolled in Europe, Australia, United States, Canada, or Brazil.

^dOne patient received only single-agent non-platinum chemotherapy (pemetrexed) as adjuvant treatment with an adjunct traditional Chinese medicine (protocol deviation).

^eMost frequent (>10% of patients).

^fPatients may appear under more than one previous treatment type, if they received more than one regimen.

chemotherapy use between patients with WHO PS of 0 (261 of 434; 60%) and WHO PS of 1 (149 of 248; 60%).

DFS in Patients With and Without Adjuvant Chemotherapy in the Overall Population (Stages IB-IIIA Disease)

Among the 410 patients in the overall population (stages IB-IIIA disease) who received adjuvant chemotherapy, disease recurrence or death occurred in 125 patients (30% maturity); 22 DFS events were observed in the osimertinib arm (11% maturity) and 103 in the placebo arm (50% maturity) (Fig. 1A). As previously reported, the percentage of patients who were alive and disease-free at 24 months was 89% (95% CI: 83-93) in the osimertinib arm and 49% (95% CI: 41-56) in the placebo arm (overall HR for disease recurrence or death = 0.16, 95% CI: 0.10–0.26; Fig. 1A).²⁵ Median DFS was not reached in the osimertinib arm (95% CI: 39-not calculable [NC]) and was 22.1 months in the placebo arm (95% CI: 17-33; Fig. 1A). The median follow-up for DFS was 22.1 months in the osimertinib arm and 16.6 months in the placebo arm.

Of the 272 patients in the overall population who did not receive adjuvant chemotherapy, disease recurrence or death occurred in 71 patients (26% maturity); 15 patients in the osimertinib arm (11% maturity) and 56 in the placebo arm (41% maturity) (Fig. 1*B*). The percentage of patients who were alive and disease-free at 24 months was 89% (95% CI: 81–94) in the osimertinib arm and 58% (95% CI: 49–67) in the placebo arm (overall HR = 0.23, 95% CI: 0.13–0.40), as previously reported (Fig. 1*B*).²⁵ Median DFS was not reached in the osimertinib arm (95% CI: NC–NC) and 33.1 months in the placebo arm (95% CI: 23–NC; Fig. 1*B*). The median follow-up for DFS was 22.1 months in the osimertinib arm and 18.2 months in the placebo arm.

DFS in Patients With and Without Adjuvant Chemotherapy, by Disease Stage

The DFS benefit with osimertinib was observed consistently, regardless of adjuvant chemotherapy use and disease stage, with DFS HRs ranging between 0.10 and 0.38 (Figs. 2 and 3 and Table 2). For each disease stage, with and without adjuvant chemotherapy, DFS KM curves revealed early separation between the osimertinib and placebo arms (Fig. 3). Among those patients treated with osimertinib versus placebo who received previous adjuvant chemotherapy, 81% (95% CI: 52-94) versus 66% (95% CI: 44-81), 91% (95% CI: 81-96) versus 59% (95% CI: 46-69), and 89% (95% CI: 79-94) versus 33% (95% CI: 22-44) remained alive and disease-free at 24 months in stages IB, II, and IIIA, respectively. Among those patients treated with osimertinib versus placebo who did not receive previous adjuvant chemotherapy, 90% (95% CI: 78-95) versus

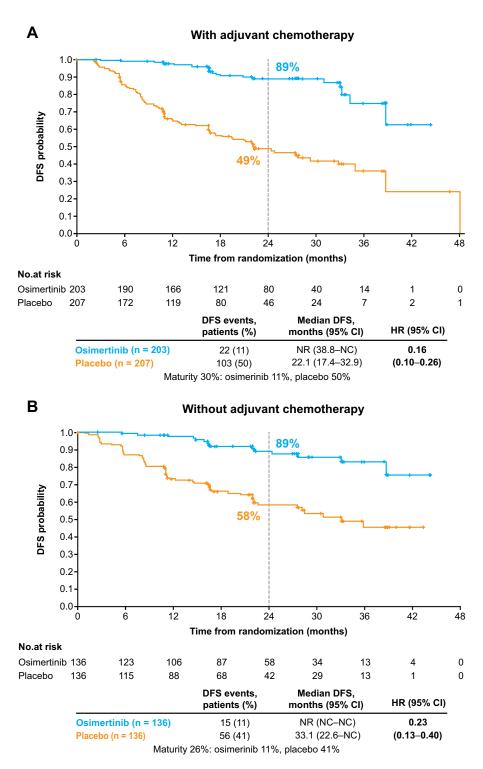


Figure 1. DFS in patients with (*A*) and without (*B*) adjuvant chemotherapy (stages IB-IIIA). ADAURA data cutoff: January 17, 2020. Tick marks indicate censored data. CI, confidence interval; DFS, disease-free survival; HR, hazard ratio; NC, not calculable; NR, not reached. From Wu et al.²⁵ Copyright (Oct 29, 2020) Massachusetts Medical Society. Reprinted with permission from Massachusetts Medical Society.

74% (95% CI: 60-83), 89% (95% CI: 70-96) versus 47% (95% CI: 26-65), and 86% (95% CI: 55-97) versus 27% (95% CI: 12-45) remained alive and disease-free at 24 months in stages IB, II, and IIIA, respectively.

Discussion

As previously reported, a DFS benefit favoring osimertinib versus placebo was observed in the ADAURA trial (DFS HR = 0.20, 99.12% CI: 0.14–0.30, p < 0.001),

Subgroup			HR	95% CI
Overall	Stratified log-rank	⊢●⊣	0.20	0.15–0.27
(N = 682)	Unadjusted Cox PH	⊢●⊣	0.19	0.13–0.27
Stage	With adjuvant chemotherapy (n = 352)	┝━━┥	0.14	0.08–0.23
II / IIIA	Without adjuvant chemotherapy (n = 118)	├──● ──┤	0.15	0.06-0.30
Stage IB*	Without adjuvant chemotherapy (n = 154)	├──● ──┤	0.38	0.15–0.88
Stage II	With adjuvant chemotherapy (n = 166)	├──● ─┤	0.15	0.06-0.32
	Without adjuvant chemotherapy (n = 70)	⊢	0.20	0.07–0.52
Stage IIIA	With adjuvant chemotherapy (n = 186)	⊢-•	0.13	0.06-0.23
	Without adjuvant chemotherapy (n = 48)	├───	0.10	0.02–0.29
	oulation th adjuvant chemotherapy thout adjuvant chemotherapy	0.25 0.5 1 HR for DFS (95% CI) Favors osimertinib	Favors place	bo

Figure 2. Subgroup analysis of DFS in patients with and without adjuvant chemotherapy, by disease stage. ADAURA data cutoff: January 17, 2020. Performed using a Cox PH model including treatment, subgroup, and a treatment-by-subgroup interaction term. *Subgroup categories with less than 20 events, such as patients with stage IB disease with adjuvant chemotherapy (15 events in total; four patients in the osimertinib arm and 11 patients in the placebo arm) were excluded from the analysis. HR of less than 1 favors osimertinib. CI, confidence interval; DFS, disease-free survival; HR, hazard ratio; PH, proportional hazards.

	Patients Who Received Adjuvant Chemotherapy		Patients Who Did Not Receive Adjuvant Chemotherapy	
Disease stage	Osimertinib (n = 203)	Placebo (n = 207)	Osimertinib (n = 136)	Placebo (n = 136)
Stage IB				
Total number of patients	28	30	78	76
Number (%) of patients with recurrence events	4 (14)	11 (37)	7 (9)	18 (24)
Percentage of patients alive and disease-free at 24 mo (95% CI)	81 (52-94)	66 (44-81)	90 (78-95)	74 (60-83)
Median DFS, mo (95% CI) Hazard ratio (95% CI)	NR (33-NC) NC (NC-NC)	48.2 (21-48)	NR (NC-NC) 0.38 (0.15-0.88)	NR (NC-NC)
Stage II	, , , , , , , , , , , , , , , , , , ,		, ,	
Total number of patients	81	85	37	33
Number (%) of patients with recurrence events	6 (7)	36 (42)	5 (14)	16 (48)
Percentage of patients alive and disease-free at 24 mo (95% CI)	91 (81-96)	59 (46-69)	89 (70-96)	47 (26-65)
Median DFS, mo (95% CI) Hazard ratio (95% CI)	NR (NC-NC) 0.15 (0.06-0.32)	29.4 (22-NC)	NR (28-NC) 0.20 (0.07-0.52)	22.1 (11-NC)
Stage IIIA	, , ,		, , , , , , , , , , , , , , , , , , ,	
Total number of patients	94	92	21	27
Number (%) of patients with recurrence events	12 (13)	56 (61)	3 (14)	22 (81)
Percentage of patients alive and disease-free at 24 mo (95% CI)	89 (79-94)	33 (22-44)	86 (55-97)	27 (12-45)
Median DFS, mo (95% CI) Hazard ratio (95% CI)	38.8 (34-NC) 0.13 (0.06-0.23)	12.9 (11-19)	38.6 (39-NC) 0.10 (0.02-0.29)	11.2 (8-22)

ADAURA data cutoff: January 17, 2020.

CI, confidence interval; DFS, disease-free survival; NC, not calculable; NR, not reached.

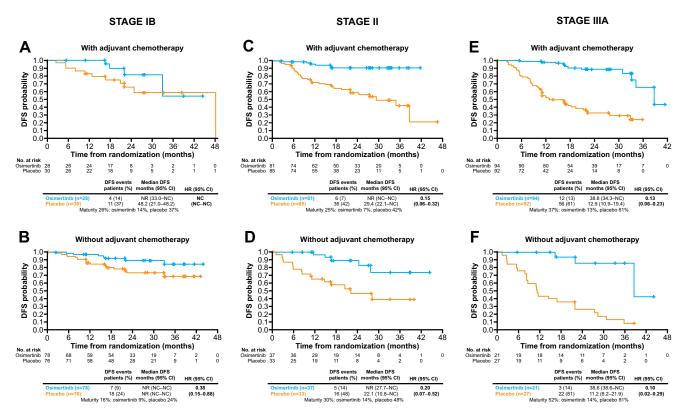


Figure 3. DFS in patients with and without adjuvant chemotherapy, by disease stage. Tick marks indicate censored data. ADAURA data cut-off: January 17, 2020. CI, confidence interval; DFS, disease-free survival; HR, hazard ratio; NC, not calculable; NR, not reached.

irrespective of whether patients received previous chemotherapy or not.^{25,26} In this exploratory analysis, we investigated adjuvant chemotherapy use and outcomes in ADAURA.

Overall, adjuvant chemotherapy use in ADAURA was broadly in line with uptake observed in previous studies and clinical practice, 7,8,14,29 irrespective of subsequent randomization to either osimertinib or placebo. In the phase 3 RADIANT trial, which compared adjuvant erlotinib versus placebo in patients with stages IB to IIIA NSCLC, uptake of chemotherapy in patients with EGFR mutation was 49%; 45% in the erlotinib arm and 56% in the placebo arm.¹⁴ RADIANT had a slightly higher proportion of patients with EGFRm stage IB disease (47%), compared with stages II (29%) and IIIA (22%).¹⁴ In the phase 3 MAGRIT trial, which evaluated efficacy and safety of the MAGE-A3 cancer immunotherapeutic as adjuvant therapy in patients with resected stages IB to IIIA MAGE-A3-positive NSCLC, uptake of chemotherapy was 52% in both the MAGE-A3 arm and placebo arm.²⁹ MAGRIT also had a larger proportion of patients with stage IB disease (47%), compared with stages II (36%) and IIIA (17%).²⁹ In ADAURA, which conversely had a larger proportion of patients with stages II (34%) and IIIA (34%) disease, compared with stage IB (32%), the proportion of patients who received previous

chemotherapy (60% of patients in both the osimertinib and the placebo arms)²⁵ was slightly higher than those reported in these studies. This is as expected on the basis of previous real-world evidence,^{7,8} wherein higher disease stage has been found to be associated with increased chemotherapy use.

Chemotherapy use can vary across different geographic regions. It has been previously reported that the proportion of patients with stages IB to IIIA NSCLC who receive adjuvant chemotherapy in clinical practice is 48% across Europe (62% in France, 52% in Germany, and 33% in the United Kingdom)7 and 57% in the United States.⁸ One population-based study reported the uptake of platinum-based adjuvant chemotherapy in East Asia (Taiwan) to be 19% of patients, although these data included patients with stages IA to IIIA NSCLC.³⁰ In ADAURA, previous adjuvant chemotherapy use was more frequent in patients enrolled in Asia (65%; People's Republic of China, Japan, South Korea, Taiwan, Thailand, and Vietnam), compared with outside of Asia (53%; Europe, Australia, United States, Canada, and Brazil).

A DFS benefit with osimertinib versus placebo was observed across disease stages IB to IIIA in ADAURA, irrespective of whether patients received previous chemotherapy or not. It should be noted that the ADAURA trial was not designed to define the optimal role of adjuvant chemotherapy in resected EGFRm NSCLC. Patients were not randomized to compare adjuvant chemotherapy versus adjuvant osimertinib, nor were they stratified by adjuvant chemotherapy use. Hence, we cannot compare efficacy in these two groups within treatment arms. In this respect, the ADAURA trial design differs from previous studies of first-generation EGFR TKIs versus chemotherapy in the resected NSCLC setting. For example, in the phase 3 ADJUVANT/ CTONG1104 trial, Chinese patients with completely resected stages II to IIIA (N1-N2) EGFRm NSCLC were randomly assigned to receive either gefitinib or standard vinorelbine plus cisplatin chemotherapy.¹² In the phase 3 IMPACT trial, Japanese patients with completely resected stages II to III EGFRm NSCLC were randomly assigned to receive either gefitinib or vinorelbine plus cisplatin chemotherapy.¹⁵ In the phase 2 EVAN trial, Chinese patients with resected stage IIIA EGFRm NSCLC were randomly assigned to either erlotinib or vinorelbine plus cisplatin chemotherapy.³¹ Furthermore, in the phase 3 EVIDENCE trial, patients with completely resected stages II to IIIA EGFRm NSCLC were randomly assigned to either icotinib or vinorelbine plus cisplatin chemotherapy.³² In ADAURA, delivery of adjuvant chemotherapy was allowed (not mandatory), per physician and patient choice, before randomization. Specific reasons to why patients did not receive adjuvant chemotherapy were not documented but may have included patient decision, age, disease stage, geographic variation, timing after the surgical resection, or patients being deemed clinically unfit.

In ADAURA, higher disease recurrence rates were observed among patients in the placebo arm who received adjuvant chemotherapy, compared with those who did not. This may have been driven by the large proportion of patients with stage II and IIIA disease who received adjuvant chemotherapy in ADAURA, as disease stage is a known prognostic factor for clinical outcome.⁴ Although the ADAURA study was not designed to evaluate the efficacy of adjuvant chemotherapy, the ADAURA results do not indicate that chemotherapy is harmful and should not displace the use of adjuvant chemotherapy in the resected NSCLC setting. To date, adjuvant chemotherapy is one of the only treatments that, even if modest, was found to have an overall survival benefit in resected NSCLC.⁹ As such, physicians should continue to deliver adjuvant chemotherapy in accordance with guidelines and local practice. As the treatment landscape evolves, future studies designed to understand the role of adjuvant chemotherapy in resected EGFRm NSCLC are required.

Nevertheless, the DFS benefit observed in ADAURA with osimertinib versus placebo across disease stages IB

to IIIA, with or without previous chemotherapy, coupled with the favorable tolerability profile previously reported,²⁵ suggests that adjuvant osimertinib could be an effective treatment option for patients, regardless of adjuvant chemotherapy use. Therefore, these data advocate the need for EGFR mutation testing across all NSCLC disease stages, not only advanced disease, to guide treatment decisions.

Nevertheless, it should be noted that at the current data cutoff, these data are limited by low DFS event numbers and the subgroups of patients with and without chemotherapy at each disease stage were small. Data with a longer duration of follow-up and increased maturity will therefore be of further value once available.

In conclusion, a DFS benefit with osimertinib versus placebo was observed across disease stages IB to IIIA in ADAURA, irrespective of whether patients received previous chemotherapy or not, further supporting adjuvant osimertinib as a highly effective treatment for patients with stages IB to IIIA resected EGFRm NSCLC, with or without adjuvant chemotherapy, as indicated.

CRediT Authorship Contribution Statement

Yi-Long Wu: Conceptualization, Investigation, Resources, Writing - review & editing, Visualization, Supervision.

Thomas John: Conceptualization, Investigation, Resources, Writing - review & editing, Supervision.

Christian Grohe: Conceptualization, Methodology, Validation, Formal analysis, Investigation, Writing - original draft, Writing - review & editing, Supervision.

Margarita Majem: Validation, Writing - review & editing.

Jonathan W. Goldman: Formal analysis, Investigation, Resources, Data curation, Writing - review & editing.

Sang-We Kim, Terufumi Kato: Investigation, Resources, Data curation, Writing - review & editing.

Konstantin Laktionov: Writing - review & editing.

Huu Vinh Vu: Conceptualization, Resources, Data curation.

Zhijie Wang, Charuwan Akewanlop: Investigation, Resources, Writing - review & editing.

Shun Lu: Conceptualization, Methodology, Validation, Formal analysis, Investigation, Resources, Writing - review & editing.

Kye Young Lee: Validation, Investigation, Resources, Writing - review & editing.

Chong-Jen Yu: Investigation, Writing - review & editing.

Filippo de Marinis: Investigation, Resources.

Laura Bonanno: Investigation, Resources, Data curation, Writing - review & editing.

Manuel Domine: Investigation, Writing - original draft, Writing - review & editing.

Frances A. Shepherd: Resources, Writing - review & editing.

Lingmin Zeng: Methodology, Validation, Formal analysis, Data curation, Writing - review & editing.

Ajlan Atasoy: Investigation, Data curation, Writing - original draft, Writing - review & editing.

Roy S. Herbst: Conceptualization, Methodology, Validation, Formal analysis, Investigation, Writing original draft, Writing - review & editing, Visualization, Supervision.

Masahiro Tsuboi: Conceptualization, Resources, Writing - review & editing.

Acknowledgments

This study (NCT02511106) was funded by AstraZeneca, Cambridge, United Kingdom, the manufacturer of osimertinib. The sponsor funded and designed the trial in collaboration with the investigators. The sponsor was responsible for collection and analysis of the data and had a role in the data interpretation. The authors thank all patients and their families and the staff and investigators at all study sites. The authors acknowledge Rachel Gater, PhD, of Ashfield MedComms, an Ashfield Health Company, part of UDG Healthcare, for medical writing support that was funded by AstraZeneca in accordance with Good Publications Practice (GPP3) guidelines (http://www.ismpp.org/gpp3).

References

- 1. Datta D, Lahiri B. Preoperative evaluation of patients undergoing lung resection surgery. *Chest*. 2003;123:2096-2103.
- Le Chevalier T. Adjuvant chemotherapy for resectable non-small-cell lung cancer: where is it going? *Ann Oncol*. 2010;21(suppl 7):vii196-vii198.
- 3. Cagle PT, Allen TC, Olsen RJ. Lung cancer biomarkers: present status and future developments. *Arch Pathol Lab Med*. 2013;137:1191-1198.
- 4. Chansky K, Detterbeck FC, Nicholson AG, et al. The IASLC Lung Cancer Staging Project: external validation of the revision of the TNM stage groupings in the eighth edition of the TNM classification of lung cancer. *J Thorac Oncol.* 2017;12:1109-1121.
- Postmus PE, Kerr KM, Oudkerk M, et al. Early and locally advanced non-small-cell lung cancer (NSCLC): ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. Ann Oncol. 2017;28(suppl 4):iv1-iv21.
- 6. Kris MG, Gaspar LE, Chaft JE, et al. Adjuvant systemic therapy and adjuvant radiation therapy for stage I to IIIA completely resected non-small-cell lung cancers: American Society of Clinical Oncology/Cancer Care Ontario Clinical Practice Guideline Update. *J Clin Oncol.* 2017;35:2960-2974.

- Chouaid C, Danson S, Andreas S, et al. Adjuvant treatment patterns and outcomes in patients with stage IB-IIIA non-small cell lung cancer in France, Germany, and the United Kingdom based on the LuCaBIS burden of illness study. Lung Cancer. 2018;124:310-316.
- 8. Buck PO, Saverno KR, Miller PJ, Arondekar B, Walker MS. Treatment patterns and health resource utilization among patients diagnosed with early stage resected nonsmall cell lung cancer at US community oncology practices. *Clin Lung Cancer*. 2015;16:486-495.
- 9. Pignon JP, Tribodet H, Scagliotti GV, et al. Lung adjuvant cisplatin evaluation: a pooled analysis by the LACE Collaborative Group. J Clin Oncol. 2008;26:3552-3559.
- Hanna NH, Robinson AG, Temin S, et al. Therapy for Stage IV non-small-cell lung cancer with driver alterations: ASCO and OH (CCO) joint guideline update. *J Clin Oncol.* 2021;39:1040-1091.
- Planchard D, Popat S, Kerr K, et al. Metastatic non-small cell lung cancer: ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Ann Oncol*. 2018;29(suppl 4):iv192-iv237.
- 12. Zhong WZ, Wang Q, Mao WM, et al. Gefitinib versus vinorelbine plus cisplatin as adjuvant treatment for stage II-IIIA (N1-N2) EGFR-mutant NSCLC: final overall survival analysis of CTONG1104 phase III trial. *J Clin Oncol*. 2021;39:713-722.
- **13.** Huang Q, Li J, Sun Y, Wang R, Cheng X, Chen H. Efficacy of EGFR tyrosine kinase inhibitors in the adjuvant treatment for operable non-small cell lung cancer by a meta-analysis. *Chest*. 2016;149:1384-1392.
- 14. Kelly K, Altorki NK, Eberhardt WE, et al. Adjuvant erlotinib versus placebo in patients with stage IB-IIIA non-small-cell lung cancer (RADIANT): a randomized, double-blind, phase III trial. *J Clin Oncol*. 2015;33:4007-4014.
- 15. Tada H, Mitsudomo T, Yamanaka T, et al. Adjuvant gefitinib versus cisplatin/vinorelbine in Japanese patients with completely resected, EGFR-mutated, stage II-III non-small cell lung cancer (IMPACT, WJOG6410L): a randomized phase 3 trial. J Clin Oncol. 2021;39(suppl 15):8501-8501.
- Cross DA, Ashton SE, Ghiorghiu S, et al. AZD9291, an irreversible EGFR TKI, overcomes T790M-mediated resistance to EGFR inhibitors in lung cancer. *Cancer Discov.* 2014;4:1046-1061.
- 17. Mok TS, Wu YL, Ahn MJ, et al. Osimertinib or platinumpemetrexed in EGFR T790M-positive lung cancer. *N Engl J Med.* 2017;376:629-640.
- **18.** Soria JC, Ohe Y, Vansteenkiste J, et al. Osimertinib in untreated EGFR-mutated advanced non-small-cell lung cancer. *N Engl J Med.* 2018;378:113-125.
- Wu YL, Ahn MJ, Garassino MC, et al. CNS efficacy of osimertinib in patients with T790m-positive advanced non-small-cell lung cancer: data from a randomized Phase III Trial (AURA3). J Clin Oncol. 2018;36:2702-2709.
- Reungwetwattana T, Nakagawa K, Cho BC, et al. CNS Response to osimertinib versus standard epidermal growth factor receptor tyrosine kinase inhibitors in patients with untreated EGFR-mutated advanced nonsmall-cell lung cancer. J Clin Oncol. 2018;36:3290-3297.
- 21. Ramalingam SS, Vansteenkiste J, Planchard D, et al. Overall survival with osimertinib in untreated,

EGFR-mutated advanced NSCLC. N Engl J Med. 2020;382:41-50.

- 22. US Food and Drug Administration. Highlights of prescribing information. TAGRISSO (osimertinib). https://www. accessdata.fda.gov/drugsatfda_docs/label/2020/208065 s021lbl.pdf. Accessed July, 2021.
- AstraZeneca [press release]. Tagrisso approved in China for the adjuvant treatment of patients with early-stage EGFRmutated lung cancer. https://www.astrazeneca.com/ media-centre/press-releases/2021/tagrisso-approvedin-china-in-early-lung-cancer.html. Accessed July, 2021.
- 24. Astra Zeneca [press release]. Tagrisso approved in the EU for the adjuvant treatment of patients with early-stage EGFRmutated lung cancer Tagrisso. https://www.astrazeneca. com/media-centre/press-releases/2021/tagrisso-approvedin-eu-in-early-lung-cancer.html. Accessed July, 2021.
- 25. Wu YL, Tsuboi M, He J, et al. Osimertinib in resected EGFR-mutated non-small-cell lung cancer. *N Engl J Med*. 2020;383:1711-1723.
- 26. Herbst RS, Tsuboi M, John T, et al. Osimertinib as adjuvant therapy in patients (pts) with stage IB-IIIA EGFR mutation positive (EGFRm) NSCLC after complete tumor resection: ADAURA. *J Clin Oncol*. 2020;38(suppl 18): LBA5-LBA5.
- 27. Wu YL, Herbst RS, Mann H, Rukazenkov Y, Marotti M, Tsuboi M. ADAURA: Phase III, double-blind, randomized

study of osimertinib versus placebo in EGFR mutationpositive early-stage NSCLC after complete surgical resection. *Clin Lung Cancer*. 2018;19:e533-e536.

- 28. AJCC Cancer Staging Manual. 7th ed. New York, NY: Springer; 2010.
- 29. Vansteenkiste JF, Cho BC, Vanakesa T, et al. Efficacy of the MAGE-A3 cancer immunotherapeutic as adjuvant therapy in patients with resected MAGE-A3-positive non-small-cell lung cancer (MAGRIT): a randomised, double-blind, placebo-controlled, phase 3 trial. *Lancet Oncol.* 2016;17:822-835.
- **30.** Lin ZZ, Shau WY, Shao YY, et al. Survival following surgery with or without adjuvant chemotherapy for stage I-IIIA non-small cell lung cancer: an east Asian population-based study. *Oncologist*. 2012;17:1294-1302.
- **31.** Yue D, Xu S, Wang Q, et al. Erlotinib versus vinorelbine plus cisplatin as adjuvant therapy in Chinese patients with stage IIIA EGFR mutation-positive non-small-cell lung cancer (EVAN): a randomised, open-label, phase 2 trial. *Lancet Respir Med.* 2018;6:863-873.
- 32. ClinicalTrials.gov. Icotinib as adjuvant therapy compared with standard chemotherapy in stage II-IIIA NSCLC with EGFR-mutation (EVIDENCE). https://www.clinicaltrials. gov/ct2/show/NCT02448797. Accessed July, 2021.