# Amyloid-beta peptide and tau protein crosstalk in Alzheimer's disease

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#### Abstract

Alzheimer's disease is a neurodegenerative disease that accounts for most of the 50-million dementia cases worldwide in 2018. A large amount of evidence supports the amyloid cascade hypothesis, which states that amyloid-beta accumulation triggers tau hyperphosphorylation and aggregation in form of neurofibrillary tangles, and these aggregates lead to inflammation, synaptic impairment, neuronal loss, and thus to cognitive decline and behavioral abnormalities. The poor correlation found between cognitive decline and amyloid plaques, have led the scientific community to question whether amyloid-beta accumulation is actually triggering neurodegeneration in Alzheimer's disease. The occurrence of tau neurofibrillary tangles better correlates to neuronal loss and clinical symptoms and, although amyloid-beta may initiate the cascade of events, tau impairment is likely the effector molecule of neurodegeneration. Recently, it has been shown that amyloid-beta and tau cooperatively work to impair transcription of genes involved in synaptic function and, more importantly, that downregulation of tau partially reverses transcriptional perturbations. Despite mounting evidence points to an interplay between amyloid-beta and tau, some factors could independently affect both pathologies. Thus, the dual pathway hypothesis, which states that there are common upstream triggers causing both amyloid-beta and tau abnormalities has been proposed. Among others, the immune system seems to be strongly involved in amyloid-beta and tau pathologies. Other factors, as the apolipoprotein E ɛ4 isoform has been suggested to act as a link between amyloid-beta and tau hyperphosphorylation. Interestingly, amyloid-beta-immunotherapy reduces not only amyloid-beta but also tau levels in animal models and in clinical trials. Likewise, it has been shown that tau-immunotherapy also reduces amyloid-beta levels. Thus, even though amyloid-beta immunotherapy is more advanced than tau-immunotherapy, combined amyloid-beta and tau-directed therapies at early stages of the disease have recently been proposed as a strategy to stop the progression of Alzheimer's disease. Key Words: aggregation; Alzheimer; amyloid-beta; dementia; immunotherapy;

inflammation; neurodegeneration; tau

## Introduction

Most of the 50 million people living with dementia worldwide in 2018 suffered from Alzheimer's disease (AD). AD is a major cause of disability and dependency among elderly people, so economic impact is huge, with an estimate of US\$ 1,000,000 million just for the year 2018 (Alzheimer's Disease International, 2018). AD is a neurodegenerative disease characterized by memory loss, cognitive impairment, and behavioral and psychological symptoms of dementia. The main histopathological hallmarks of AD include the extracellular accumulation of the amyloid-beta (A $\beta$ ) peptide in senile plaques and formation of neurofibrillary tangles (NFTs) by hyperphosphorylated tau protein. Mounting evidence supports the assumption that cerebral  $A\beta$  deposition begins decades before AD clinical onset and prior to cortical spreading of tau pathology. However, a complete understanding of the role of  $A\beta$ , from loss of proteostasis to synaptic toxicity is still lacking. Similarly, it is accepted that tau hyperphosphorylation plays a critical role in neuron death; however, whether it is cause or consequence of disease development remains unknown. Although controversial, the amyloid cascade hypothesis (ACH) is the only hypothesis covering the multifactorial nature of AD (Karran and De Strooper, 2016; Selkoe and Hardy, 2016). The aim of this review is to discuss new findings on the interplay between A $\beta$  and tau in the context of an updated view of the ACH and to summarize the state of the art in AD clinical trials that are the basis for finding subsequent anti-A $\beta$  and anti-tau combined therapies.

## Search Strategy and Selection Criteria

Literature search was performed on PubMed until November 2020. Only papers published in English were considered. The key words/terms were aggregation, Alzheimer, amyloid-beta, cascade hypothesis, dementia, crosstalk, interaction, immunotherapy, inflammation, neurodegeneration, and tau.

## Amyloid-beta Peptide as the Alzheimer's Disease Trigger: the Amyloid Cascade Hypothesis

Amyloid precursor protein (APP) is an ubiquitous single-pass transmembrane protein that contains an extracellular domain, a hydrophobic transmembrane domain, and an intracellular domain

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(Kang et al., 1987). Under physiological conditions, soluble APP (sAPP) is involved in neurite outgrowth, synaptogenesis, synaptic plasticity, and cell survival through N-methyl-D-aspartate and gamma-aminobutyric acid receptors modulation, which maintain intracellular calcium homeostasis (Müller et al., 2017; Rice et al., 2019).

APP can be cleaved by a combination of different secretases complexes, following two pathways (Figure 1A). In the nonamyloidogenic APP processing, the combined action of  $\alpha\text{-}$  and y-secretases releases sAPPa, P3 peptide (which corresponds to the  $A\beta_{\rm 17-40/42}$  sequence) and the APP intracellular domain (De Strooper et al., 1998). The amyloidogenic processing of APP is initiated by  $\beta$ -secretase (or  $\beta$ -site APP-cleaving enzyme 1 (BACE1)), instead of  $\alpha$ -secretase so sAPP $\alpha$  is released; next, y-secretase generates A $\beta$ peptides with different C-terminal residues and APP intracellular domain. This cleavage, at what is known as the  $\beta$ -site, can be also performed by BACE2 (59% identity to BACE1 and similar structural organization), although BACE2 tends to cleavage at the  $\beta'$ -site, rendering an N-truncated, non-amyloidogenic β-peptide (Kimura et al., 2016; Wang et al., 2019). Therefore, cleavage by y-secretase is the one generating heterogeneity at the C-terminus end of AB peptide, producing mainly  $A\beta_{1\!-\!40}$  and, to a lesser extent, the most amyloidogenic  $A\beta_{1-42}$  form (**Figure 1B**).  $A\beta_{1-40}$  tends to accumulate in the vasculature whereas  $A\beta_{1-42}$  constitutes the predominant form in amyloid plagues.

Three decades ago, mutations in *APP* (Citron et al., 1992) (21q11.2– q21) (**Figure 1C**), as well as in the catalytic units of  $\gamma$ -secretase genes, presenilin 1 (Sherrington et al., 1995) (*PSEN1*, 14q24.3) and presenilin 2 (Levy-Lahad et al., 1995) (*PSEN2*, 1q42.2), were reported to cause familial AD (FAD) (Esquerda-Canals et al., 2017b). These mutations result in A $\beta$  accumulation because of amyloidogenic APP processing enhancing. One of the most remarkable FAD mutations is the Swedish mutation, a double mutation at the  $\beta$ -site (K670/ N, M671/L) that enhances APP processing by  $\beta$ -secretase and so generates increased levels of A $\beta$  compared with control individuals. In contrast, Icelandic mutation (A673T), located at the  $\beta$ -site as well, lessens amyloidogenic APP processing and so protects against AD onset (Jonsson et al., 2012). Some other relevant mutations on *APP* gene are located on the N-terminal part of the A $\beta$  peptide or close to the  $\gamma$ -secretase site (Esquerda-Canals et al., 2017b).

The variety of mutations on PSEN genes encompasses missense mutations, small deletions and insertions, as well as genomic exon deletions, being PSEN1 mutations the most usual in FAD (Park et al., 2017; Bagyinszky et al., 2018; Giau et al., 2018a, b). Although hundreds of mutations on APP. PSEN1. and PSEN2 have been described, they only account for a small part of early-onset AD cases, attributable when AD onset occurs before 65 years of age. Non-FAD early-onset AD cases are clustered with late-onset AD into what is called the sporadic form of the disease (SAD). Several years ago, it was observed that the serum of non-AD individuals shows autoantibodies against A $\beta$ , which indicates that some amyloidogenic processing also occurs in normal conditions. In addition, there is a differential physiological role of BACE1 (which generates AB peptide, as mentioned) and BACE2 (which generates both Aß peptide and an N-truncated form, as mentioned). BACE1 is indispensable for proper astrogenesis, axonal growth and migration, myelination and remyelination, neuronal excitation, and synaptic plasticity; whereas BACE2 is involved in different processes, as glucose homeostasis and pigmentation (Yan, 2017). Studies using physiological AB concentrations (pM) show a role in normal synaptic physiology, enhancing long term potentiation, promoting survival of neurons, and promoting a neurogenic effect on neural progenitor cells. In addition,  $A\beta$  is involved in the repair of leaks in the blood-brain barrier, promotes recovery from injury, and acts as an antimicrobial and tumor suppressor peptide (Kent et al., 2020).

In 1992, taking into consideration FAD cases, together with the fact that location of APP gene on chromosome 21 leads Down syndrome individuals to suffer from AD (Macleod et al., 2015) by the time they reach middle to late age, the ACH was postulated (Hardy and Higgins, 1992) (**Figure 2**). ACH states that A $\beta$  peptide deposition triggers tau hyperphosphorylation and aggregation in the form of NFTs, and these aggregates lead to inflammation, synaptic impairment, neuronal loss, and thus to cognitive decline and behavioral abnormalities. However, A $\beta$  overproduction is not the only cause of AD since the reduction in A $\beta$  auto-antibodies featuring SAD points to an impairment in A $\beta$  clearance. In consonance, the most well-known genetic risk factor for developing SAD is the apolipoprotein E (*APOE*) gene, which encodes

apolipoprotein E, and the risk specifically occurs when the APOE $\epsilon$ 4 allele is present (Roda et al., 2019; Andrews et al., 2020).

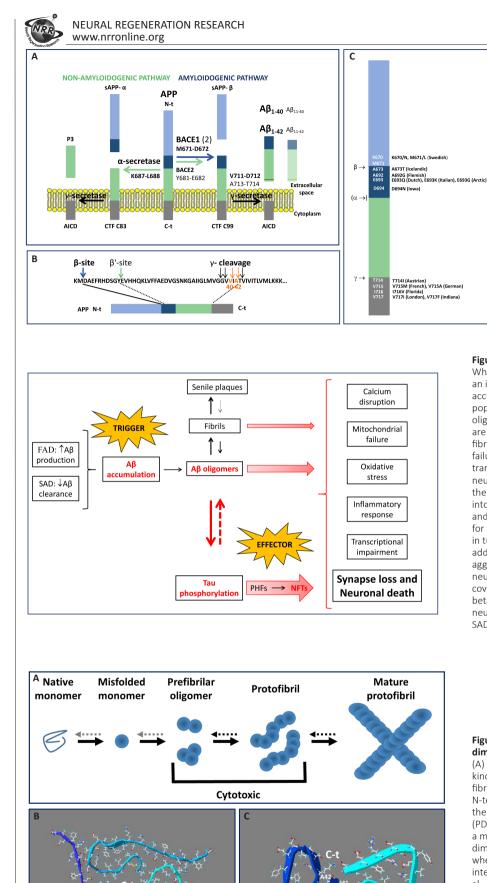
The fact that several clinical trials for Aβ-immunotherapy-based drugs have failed make made some researchers to question whether the ACH explains the physiopathology of AD by itself (Herrup, 2015). However, the decision on the accelerated approval of aducanumab (Aduhelm, Biogen) was recently make (https://www.fda.gov/drugs/ news-events-human-drugs/fdas-decision-approve-new-treatment-alzheimers-disease). An accelerated decision means that the drug is shown to have an effect on a surrogate endpoint that is reasonably likely to predict a clinical benefit to patients and there remains some uncertainty about the drug's clinical benefit.

Different aggregation states of AB coexist in AD brains, monomers, oligomers, fibrils and amyloid plaques (Figure 3A). Experimental evidence suggests that plaques maintain a dynamic equilibrium with toxic oligomer species (Benilova et al., 2012). Monomeric Aβ is an intrinsically disordered protein; hence,, AB does not adopt a single folded shape in aqueous environment but acquires an ensemble of conformations which are prone to aggregation in the form of Aβ oligomers (Ball et al., 2011). Aβ oligomers are the transient intermediate states between monomer and fibril formation. Both Aβ oligomers and fibrils are heterogeneous in structure and size and they are known to be the most toxic AB species (Benilova et al., 2012), particularly oligomers. Although solving the structure of the AB peptide from human samples has been guite challenging, the 3D-structure of both,  $A\beta_{1-40}$  and  $A\beta_{1-42}$  fibrils has been solved in the last decade (Lu et al., 2013; Qiang et al., 2017). A $\beta_{1-40}$  fibrils from one single patient shows that  $A\beta_{1\text{--}40}$  peptide monomers aggregate into multiple of three oligomers (trimers, hexamers, nonamers, and dodecamers), where N-termini (DAEFR, residues 1-5) are exposed to the solvent and hydrophobic C-termini are buried in the trimer core (Figure 3B; pdb 2M4J) (Lu et al., 2013). Because the concentration of  $A\beta_{1-40}$  is much higher than  $A\beta_{1-42}$ , such multiple of three oligomers are found in most studies with animal models for AD, i.e., in the 3xTg-AD model (Giménez-Llort et al., 2013). The structure of  $A\beta_{1-42}$  fibrils shows a quite different structure, with two twisted protofilaments stacked in parallel and thus built of "LS"-shaped dimers, with the N-terminus slightly solvent-exposed and the C-terminus completely buried (Figure 3C; pdb 50QV) (Gremer et al., 2017). How oligomers promote cell toxicity has not been completely unraveled. However, several molecular mechanisms as activation of metabotropic glutamate receptor 5, Caspase-3 activation, N-methyl-D-aspartate receptor interaction, and  $\alpha$ 7 nicotinic acetylcholine receptor upregulation have been proposed (Bezprozvanny, 2009).

Although amyloid deposits do not correlate with either disease progression or cognitive decline (Hanseeuw et al., 2019), their spatial and temporal emergence are well described (Braak and Braak, 1991). In a first stage, amyloid deposits occur in the frontal, temporal, and occipital lobes of the isocortex. In a second stage, they spread to all the isocortical association areas, except on primary sensory areas and motor field. Disperse amyloid deposits also occur in the frontal and parietal lobes. In this stage, the hippocampus is slightly affected. The final stage is characterized by depositions in primary isocortical areas and progressive spreading to the striatum, thalamus and hypothalamus.

## Tau and Its Role in Neurodegeneration

As mentioned before, the lack of success in approving any anti-AB therapy up to now, as well as the poor correlation found between cognitive decline and the occurrence of amyloid plaques, have led the scientific community to question whether  $A\beta$  accumulation is actually triggering neurodegeneration in AD. The occurrence of tau NFTs better relates to neuronal loss and clinical symptoms (Hanseeuw et al., 2019) and, although A $\beta$  may initiate a cascade of events, tau impairment is likely the effector molecule of neurodegeneration. Tau, apart from being a stabilizer of microtubules and being involved in their dynamics, plays a role in several physiological processes including myelination, axonal transport, neurogenesis, motor function, learning and memory, neuronal excitability, glucose metabolism, iron homeostasis, and DNA protection (Kent et al., 2020). It is important to mention that while AB accumulation is characteristic of AD, tau pathology also exists in a group of neurodegenerative diseases known as tauopathies, which are different to AD.



## Figure 1 | Amyloid precursor protein (APP) processing.

Review

(A) α- and γ-secretase act in the nonamyloidogenic pathway, whereas the activity of  $\beta$ -secretase (or BACE) instead of  $\alpha$ -secretase defines the amyloidogenic pathway generating the A $\beta$  peptide. BACE1 hydrolyses the  $\beta$ -site (M671-D672 bond) and BACE2 cleaves the β'-site (681Y-682E bond), rendering an N-t truncated form of the precursor (E11-Xx), although also cleaves the  $\beta$ -site. Thus,  $\beta'$ site cleavage is amyloidolytic rather than amyloidogenic. Finally, γ-secretase releases the Aβ peptide, or its N-t truncated form, after preferentially cleaving the V711-D712 and A713-T714 bonds rendering  $A\beta_{1\!-\!40}$  and  $A\beta_{1-42}$ , respectively. (B) Target proteolytic sites in the Aß peptide. (C) Some of the main FAD-related mutations in human APP ACID. APP intracellular domain; Aβ: amyloid-beta peptide; BACE: beta-site APP cleaving enzyme; CTF: carboxyterminal fragments; FAD: familial Alzheimer's disease.

Figure 2 | The amyloid cascade hypothesis (ACH). Whether by an increase in production (FAD) or an impairment in clearance (SAD). AB peptide accumulates through an aggregation pathway populated by different species, i.e. monomers, oligomers, fibrils, and amyloid plaques. Aß oligomers are the most toxic species, and to a lesser extent fibrils, causing calcium disruption, mitochondrial failure, inflammatory response, oxidative stress, transcriptional impairment, synaptic loss and, finally, neuronal death. In addition, AB oligomers induce the hyperphosphorylation of tau, which aggregates into oligomers that evolve to paired helical filaments and finally to NFTs. Tau oligomers are also toxic for neurons, with a strong effect on synapses, and, in turn, induce the formation of  $A\beta$  oligomers. In addition to this positive feedback between AB and tau aggregation, AB and tau cooperatively work to lead to neuronal death. Although still controversial, the ACH covers the multifactorial nature of AD. AB: Amyloidbeta peptide; FAD: familial Alzheimer's disease; NFTs: neurofilament tangles; PHF: paired helical filaments; SAD: sporadic Alzheimer's disease.

#### Figure 3 | Amyloid fibril formation and threedimensional structure.

(A) Aggregation of A $\beta$  from the formation of different kind of oligomers to amyloid fibrils. (B) A $\beta_{1-40}$  amyloid fibrils are composed by multiple ordered trimers. The N-termini are completely solvent-exposed, whereas the C-termini are buried in the hydrophobic core (PDB 2M4J) (Lu et al., 2013). Each color represents a monomer. (C) A $\beta_{1-42}$  amyloid fibrils are made of dimers. The N-termini are slightly solvent-exposed, whereas the C-termini are completely buried in the interface between monomers (PDB 5OQV) (Gremer et al., 2017). Each monomer in the dimer shows higher  $\beta$ -content than in the trimer. Each color represents a monomer. Images were generated with the Swiss-PdbViewer software (Guex and Peitsch, 1997). A $\beta$ : Amyloid-beta peptide; PDB: protein data bank.

N-1

N-t

The link between tau dysfunction and neurodegeneration was set through human genetics. Mutations in MAPT, the microtubuleassociated protein Tau gene, give rise to an inherited form of Frontotemporal Dementia (FTD) and parkinsonism with abundant filamentous tau deposits in the brain, in the absence of AB deposits (Goedert, 2015). Six different isoforms of tau are expressed in the adult human central nervous system via alternative splicing of the MAPT gene, which comprises 16 exons and is found on chromosome 17q21.3 (Figure 4A). Regulated expression of exons 2 and 3 gives rise to tau isoforms with 0, 1, or 2 N-terminal inserts, whereas exclusion or inclusion of exon 10 leads to expression of tau isoforms with three (3R) or four (4R) microtubule-binding repeats (Connell et al., 2005). 3R or 4R isoforms are predominant in tau inclusions depending on the neurodegenerative disease. Progressive supranuclear palsy (PSP), corticobasal degeneration, argyrophylic grain disease, among others, exhibit overexpression of 4R isoforms; whereas Pick's disease, and familial FTD and parkinsonism (MAPT mutations such as G272V and Q336R) are mainly characterized by tau inclusions rich in 3R tau isoforms (Irwin, 2016). In other diseases such as AD, Down syndrome or Chronic traumatic encephalopathy, both 3R and 4R isoforms are found in tau tangles. The N-terminal phosphatase-activating domain in tau, which triggers a signaling pathway that disrupts axonal transport, is exposed in all the isoforms. The extent of phosphataseactivating domain exposure and oligomerization is larger for tau aggregates composed of 4R isoforms than 3R isoforms. However, aggregates of all isoforms exhibit enough phosphatase-activating domain exposure to impair axonal transport (Cox et al., 2016).

Tau is predominantly expressed in the central and peripheral nervous system, where it is most abundant in nerve cell axons. In the brain, tau is subject to several posttranslational modifications, including phosphorylation, acetylation, methylation, glycation, isomerization, O-GlcNAcetylation, nitration, sumoylation, ubiquitination, and truncation (Morris et al., 2015), but the role all these modifications play in tau function remains unknown. The most studied tau posttranslational modification is phosphorylation, as despite the significant heterogeneity that exists between and within the different tauopathies, the deposited tau in pathological lesions is invariably highly phosphorylated (Noble et al., 2013). Phosphorylation of tau negatively regulates its ability to interact with microtubules, but whether phosphorylation is a trigger for aggregation remains to be proved. Although aggregated tau is heavily phosphorylated in the human brain, not all phosphorylated tau is aggregated (Goedert et al., 2017). Full-length tau assembles into filaments through its repeats, with the N-terminal half and the C-terminus forming the fuzzy coat (Figure 4B; pdb 6HRE) (Falcon et al., 2018). The filament core contains the repeat sequences that are required to the binding of soluble tau to microtubules, suggesting that physiological function and pathological assembly are mutually exclusive (loss of function). Brains from different individuals with the same tauopathy show the same kind of tau filaments (Falcon et al., 2018), whereas each disease is characterized by its own unique tau fold (Scheres et al., 2020). Cross-seeding experiments have shown that Aβ oligomers induce tau oligomerization in vitro (Lasagna-Reeves et al., 2010), indicating that early abnormal AB oligomerization precedes tau aggregation. Interestingly, it has been reported that structurebased inhibitors of A $\beta$  are able to avoid tau aggregation, suggesting that there is a common aggregation interface in both tau and AB (Griner et al., 2019). Although tau is mainly an intracellular protein, extracellular tau is present in the brain and interstitial fluid (Yamada





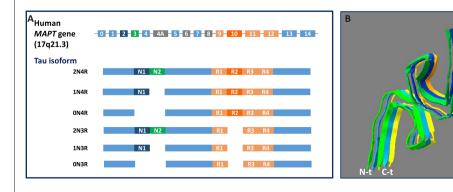
et al., 2011), and it is thought to be secreted under physiological conditions. Microglial cells may propagate tau through exosomedependent mechanisms (Asai et al., 2015). Spread of tau aggregated assemblies may seed and promote aggregation (Falcon et al., 2015) along different brain regions. In AD, deposition of tau aggregates follows a highly stereotyped pattern, beginning in the entorhinal cortex and hippocampus before propagation to other regions. Their stereotypical appearance in nerve cells underlies Braak's stages of AD (Jellinger, 1998), according to which inclusions form first in subcortical regions, transentorhinal cortex, and entorhinal cortex (stages I and II). They then appear in the hippocampal formation and some parts of the neocortex (stages III and IV), followed by most of the neocortex (stages V and VI). Individuals at stages I and II are asymptomatic, some individuals at stages III and IV show signs of memory impairment, and those at stages V and VI suffer AD (Schöll et al., 2016).

## Amyloid-beta Peptide and Tau Protein Interplay: More Than Casual Partners

Currently it is becoming widely accepted that A $\beta$  is the "trigger" and tau the "bullet" driving AD (Bloom, 2014). In addition, and although tau load better relates to cognitive decline than A $\beta$  load, as mentioned, it has recently been reported that the presence of both A $\beta$  and tau is necessary for memory decline in the preclinical stages of AD (Sperling et al., 2019). However, the mechanisms behind A $\beta$  and tau interplay in AD remain elusive.

Recent data supports the hypothesis that there is a bidirectional interplay between A $\beta$  and tau. Few years ago, it was demonstrated that addition of AB to human cells induces tau aggregation in the form of paired helical filaments-like filaments (Ferrari et al., 2003). Interestingly, cellular models have shown that over-expression of APP and PSEN1 increases not only AB, but also tau aggregation (Choi et al., 2014). A relation between AB and tau was also found in animal models, as in APPPS1 transgenic mice (APP KM670/671NL (Swedish), PSEN1 L166P) overexpressing Aβ also show an increase in CSF tau levels. Furthermore, tau is able to facilitate Aβ aggregation, as tau deletion in APPPS1 transgenic mice ameliorated Aβ deposition (Peters et al., 2019); whereas the addition of human tau increased plaque size, supporting the hypothesis that there is a bidirectional interplay between  $A\beta$  and tau. However, the APPPS1 mouse model of AD does not develop NFTs, neither do other APP and/or PS1 models (Esquerda-Canals et al., 2017b). The only mouse model developing NFTs is the triple transgenic mouse model (3xTg-AD; PS1<sub>M146V</sub>, APP<sub>Swe</sub>,  $tau_{P3011}$ ) and this is so because one of the three transgenes is a mutated MAPT ( $tau_{P301L}$ ), found in familial FTD (Oddo et al., 2003). In addition, this mouse model is the only one where an evident neuron loss has been quantified even at the early stages of the disease. Interestingly, cellular density showed a strong correlation with intracellular  $A\beta$  burden in glutamatergic neuronal populations (Esquerda-Canals et al., 2017a). At the most advanced stage of the disease, it has been demonstrated that AB-immunotherapy not only decreases Aβ-burden but also affects tau load in this mouse model (Roda et al., 2020). Similarly, active DNA  $A\beta_{42}$  immunization in 3xTg-AD mice reduced not only  $A\beta$  deposition but also tau pathology (Rosenberg et al., 2018).

The challenging modeling of AD in rats has been achieved with the line TgF344-AD expressing  $APP_{Swe}$  and  $PS1_{\Delta E9}$  genes (Cohen et



## Figure 4 | Three-dimensional structure of tau NFTs and tau isoforms scheme.

(A) Six different isoforms of tau are expressed in the adult human central nervous system via alternative splicing of the MAPT gene. Regulated expression of exons 2 and 3 gives rise to tau isoforms with 0, 1, or 2 N-terminal inserts whereas exclusion or inclusion of exon 10 leads to expression of tau isoforms with three (3R) or four (4R) microtubule-binding repeats. (B) Paired helical filaments from AD brain. The structure shows the fuzzy core formed by aggregated repeats (residues G304-E380) (PDB 6HRE)(Falcon et al., 2018). Each color represents a monomer. Images were generated with the Swiss-PdbViewer software (Guex and Peitsch, 1997). AD: Alzheimer's disease; MAPT: microtubule associated protein tau; PDB: protein data bank.



al., 2013). This rat model shows AB oligomers, AB plaques, tau pathology, behavioral impairment and neuron loss. Abundant NFTs were detected in close proximity to  $\beta$ -amyloid plaques in aged transgenic rats that were reminiscent of NFTs found in AD patients brains. Taken into account that rats are 4-5 million years closer to humans than mice in evolution and that they express all six tau isoforms found in humans, this rat model supports the validity of the ACH in humans. Several studies show that AB and tau co-localize in neurons, as well as in astrocytes (Pickett et al., 2019). Aß peptide is mainly accumulated in the synapses near to senile plaques, whereas tau synaptic localization is no dependent on senile plagues vicinity. Moreover, only 0.02% of the synapses were positive for A $\beta$  and tau, indicating that direct Aβ-tau interaction might not be the major link between both pathologies. Interestingly, different crossings of MAPTnull + APP/PS1 + rTg21221 AD mouse lines (APP/PS1 + Tau), homozygous for deletion of mouse tau and heterozygous for the human wild-type tau inducible transgene, have shown that  $A\beta$  and tau cooperatively work to impair transcription of genes involved in synaptic function. In addition, accumulation of tau was observed in synapses of this mouse model and in human postmortem tissues. Downregulation of tau in these mice after behavioral deficits emerged, restored behavioral deficits, reduced synaptic tau levels, and partially reversed transcriptional perturbations (Pickett et al., 2019). Therefore, this is another evidence of tau being the effector molecule in AD

Another proposed link between A $\beta$  and tau alterations is the C-terminus of hsp70-interacting protein, as it has been reported that A $\beta$  accumulation decreases C-terminus of hsp70-interacting protein expression and increases tau levels. In addition, and A $\beta$ -induced effects on tau are rescued by restoring C-terminus of hsp70-interacting protein levels (Oddo et al., 2008; Lyon and Milligan, 2019).

A common hallmark among neurodegenerative diseases is neuroinflammation. The innate immune system could be another link between amyloid and tau pathologies. Interestingly, both AB and tau induce a neuroinflammatory response (Yoshiyama et al., 2007; Alawieyah Syed Mortadza et al., 2018) that leads to neuronal death, plasmatic leakage, and brain atrophy. On the one hand, microglia and complement factors seem to be involved in the early synaptic loss induced by oligometric species of A $\beta$  (Hong et al., 2016). On the other hand, some studies suggest that microglia could play a pivotal role in tau spreading by making synapses more vulnerable to tau-induced synaptic impairment. Inhibition of exosome secretion by microglia suppressed tau propagation to the dentate gyrus in a mouse model, recovering non-pathological excitability in this brain region. In contrast, extracellular soluble, but not intracellular, AB has been related with increased exosome-dependent tau spreading in both animal models and human (Gomes et al., 2019). These observations support the important role microglia, and particularly microglial exosomes, play in prion-like spreading of tau throughout the brain (Asai et al., 2015). It is worth noting that the immune system is not only a mediator between AB or tau and neuronal death. AB oligomers could activate the immune system, prior to senile plaque formation, triggering tau hyperphosphorylation and, subsequently, the formation of NFTs. A  $\beta$  oligomers are able to activate the inflammasome (Nod-like receptor family pyrin domain containing 3, NLRP3) that, in turn, regulates kinases, as glycogen synthase kinase 3 (GSK-3) and phosphatases, as protein phosphatase 2A, inducing tau abnormal phosphorylation (Ising et al., 2019) and evidencing a clear link among A $\beta$ , the immune system, and tau pathology.

Despite the evidence that the bidirectional interplay between AB and tau better explains the ACH, it is also true that there are factors that could independently affect and trigger both AB and tau abnormalities (Small and Duff, 2008). This idea has been formulated as "The dual pathway hypothesis", which states that there are common upstream triggers causing both AB and tau abnormalities (Small and Duff, 2008). Supporting this hypothesis, it has been shown that  $A\beta$  is necessary but not enough to induce tau pathology.  $A\beta$ plaque-bearing mouse models that do not overexpress tau need injection of misfolded AD-tau seeds to develop the three major types of AD-relevant tau pathologies: dystrophic neurites surrounding  $A\beta$  plaques (NP tau), neuropil threads and NFTs. These distinct tau pathologies show different temporal onsets and functional consequences on neural activity and behavior (He et al., 2018). Among other factors, APOE, specifically the apoE ɛ4 isoform, would have an important role in this new scenario. ApoE ɛ4 increases the activity of one of the major tau kinases, GSK-3 (Liang et al., 2019),

in neurons. Interestingly, GSK-3 is also involved in other dementias, as in FTD (Schaffer et al., 2008), and its inhibition reduces tau phosphorylation in vitro (Singh et al., 1995; Wagner et al., 1996), as well as in animal models (Uno et al., 2009). Thus, apoE or GSK-3 independent-AB alterations could enhance tau phosphorylation and aggregation, supporting the dual pathway hypothesis. Furthermore, it has been proposed that GSK-3 could be a linker between A $\beta$  and tau hyperphosphorylation (Medina and Avila, 2012). Regarding AB, it has been widely reported that apoE  $\varepsilon$ 4 enhances AB production and aggregation as well as impairs its clearance (Roda et al., 2019). Recently, an interaction effect between apoE  $\varepsilon$ 4 and A $\beta$ , rather than the sum of their independent effects, has been related to increased tau load in humans (Therriault et al., 2020). However, not only apoE  $\epsilon$ 4 is a common trigger of AB and tau aggregation. Several metabolic diseases have been suggested to be related with neurodegeneration. In fact, diet-induced insulin resistance in animal models stimulates Aβ and tau aggregation, as well as neurodegeneration (Bharadwaj et al., 2017). Which are the exact mechanisms behind such a stimulation remains to be elucidated, but the occurrence of amylin (or islet amyloid polypeptide) deposits in senile plaques suggests that a link between this pancreatic amyloid and AD could exist. Moreover, immune system dysregulation and oxidative stress, both present in type-2 diabetes, are involved in AD progression.

Thus, a large amount of evidence supports the assumption that  $A\beta$  peptide and tau protein are not casual partners. There is a link between both pathologies that cooperate to produce synaptic dysfunction, neuronal loss, and the eventual cognitive decline characterizing AD.

# Combined Therapies as a New Hope: Targeting Amyloid-beta and Tau

Even though there is a bidirectional interaction and some factors could independently affect both molecules, A $\beta$  oligomerization seems to precede tau hyperphosphorylation. The fact that A $\beta$ -immunotherapy still raises some concerns is in agreement with the new idea of A $\beta$  acting just as a switch-on. Once A $\beta$  triggers the cascade of events that leads to neuronal death and dementia, it is not that important whether its levels can be reduced or not because the effector molecule driving to synaptic impairment and neuronal death is tau. This would explain why previous clinical trials for A $\beta$ -immunotherapy enrolling mild to moderate AD patients, did not reach the end-points. Nowadays, active clinical trials for A $\beta$ -immunotherapy enroll patients in early, even prodromal stages of the disease (see below).

In the last years, it has been reported that A $\beta$ -immunotherapy reduces not only A $\beta$  but also tau levels in animal models (Roda et al., 2020) and clinical trials (Blennow et al., 2012; Sevigny et al., 2016). Likewise, it has been shown that tau-immunotherapy also reduces A $\beta$  levels (Dai et al., 2017). Since the interplay between A $\beta$  and tau becomes evident, several researchers claim that a combined therapy would be a better strategy to treat AD at early stages of the disease (Busche and Hyman, 2020; Tolar et al., 2020).

Currently, there are three principal therapeutic approaches focused on Aβ: avoiding Aβ aggregation, reducing Aβ production, and enhancing Aβ clearance (Table 1). Only one drug intended for avoiding A $\beta$  aggregation, Tramiprosate (reformulated as ALZ-801), has reached phase III but beneficial effect was only observed in APOE  $\varepsilon 4/4$  carriers (Abushakra et al., 2017; NIH, 2021). Other A $\beta$ aggregation inhibitors, such as ELND005, did not reach primary outcomes in phase II (Rafii et al., 2017; NIH, 2021). Most secretases inhibitors/activators have reached phase III but safety concerns occurred in most of them (Vellas et al., 2012; Penninkilampi et al., 2016; Rombouts et al., 2021). The first active immunotherapy clinical trial for AD tested the AN1792 vaccine, composed of fibrillar Aβ (1-42) and QS21 adjuvant. Although phase II was discontinued because 6% of immunized patients suffered meningoencephalitis, clearance of plaques and reduction in cognitive decline were demonstrated (Vellas et al., 2009). Next generation of Aβ active vaccines have consisted of N-terminal A $\beta$  sequences (i.e., 1–6, 1–14) encapsulated in different delivery systems (Hull et al., 2017; Lopez Lopez et al., 2019), The rationale behind the use of the N-terminal sequence is found in the 3D-structures of trimers (1-40) and dimers (1-42), both solvent exposing the N-termini, as mentioned. This rationale was also used for obtaining some of the monoclonal antibodies (mAbs) for passive Aβ-immunotherapy (La Porte et al., 2012; Salloway et al., 2014; Logovinsky et al., 2016; The Lancet Neurology, 2017; Manolopoulos



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Therapeutic approach	Mechanism of action	Drugs (companies)	Clinical trials	Identifier
Aβ aggregation inhibitors	β-Breakers	Tramiprosate (3APS, Alzhemed, homotaurine, ALZ-801) (Bellus Health Inc.)	Phase III did not reach primary outcomes, but had beneficial effect on <i>APOE e4/4</i> patients with mild AD	NCT0031491
		ELND005 (Scyllo-inositol) (Ellan)	Phase II did not reach primary outcomes	NCT0093405
Secretases inhibitors / modulators/activators	β-Secretase (BACE) inhibitors	MK-8931 (Merk Sharp & Dohme Corp.), JNJ-54861911 (Janssen), E2609 (Eisai Inc.), AZD3293 (LY3314814) (Eli Lilly and Co. & AstraZeneca)	Safety concerns and no effects after Phase III studies	NCT0173934 NCT0256939 NCT0295648 NCT0278357
	γ-Secretase inhibidor or modulator	Semagacestat (LY-450139) (Eli Lilly) and Flurizan (Tarenflurbil, MPC- 7869) (Myriad Genetics')	Dangerous effects because their relation with cancer (+ for T-cell leukemia)	NCT0059456 NCT0010554
	$\alpha$ -Secretase activator	Etazolate (ETH 0202) (Exonhit)	No effect in Phase III	NCT0088041
Active immunotherapy	Fibrillar Aβ (1–42), QS21 adjuvant	AN1792 (Elan and Wyeth)	Phase II discontinued (2002). 6% of patients with meningoencephalitis. Clearance of plaques, decrease in cognitive decline.	NCT0002172
	N-t Aβ (1–6) in viral particles	CAD106 (Novartis)	Phase II/III ongoing with cognitively healthy APOE e4/4	NCT0256551
	N-t Aβ (1–14) + T-cell epitope, delivery system	UB-311 (United Neuroscience Ltd.)	Phase II recently terminated	NCT0353171
Passive immunotherapy		Bapineuzumab (AAB-001) (Janssen & Pfizer)	Phase III terminated (2012), mild to moderate AD. Fibrillar A $\beta$ clearance (PET), CSF-p-tau reduction	NCT0057413
	Anti-N-t (1–5)		No cognitive improvement. 5% ARIA-E ( <i>APOE e4</i> carriers)	
	Binds monomeric, oligomeric and fibrillar Humanized mAb, IgG2	Ponezumab (PF-	Phase II repurposed for CAA (1–40)	NCT0182111
		04360365RN1219)		
	Anti-C-t (30–40)	(Pfizer)	Hippocampal amyloid burden reduction.	
	Binds plasmatic Aβ (peripheral sink)		No cognitive improvement	
	Humanized mAb, IgG1	Solanezumab (LY206243) (Eli Lilly and Co.& Hoffmann–La Roche)	No benefit in Phase III, mild AD	NCT0176000
	Anti-mid-region (16–24)			NCT0200835
	Binds monomers (peripheral sink)		Phase III (DIAN-TU study, FAD asymptomatic)	
		Contar annach (PO 1000022	Phase III (A4 study, asymptomatic)	NCTO244207
	Fully human mAb, IgG1 Anti-N-t (1–10) and-mid-	Gantenerumab (RO4909832, RG1450) (Hoffmann-La Roche)	Eight Phase III studies, prodromal and/or mild AD on going (i.e.)	NCT0344397 NCT0433941
	region (23–25) Binds fibrils			NCT0344487
			Phase II (DIAN-TU study, FAD asymptomatic)	NCT0176000
	Humanized mAb, IgG4	Crenezumab (MABT5102A) (Genentech)	Phase III terminated (2019), mild to moderate AD	NCT0397758
	Anti-mid-region (12–23) Binds protofibrils		CSF Aβ-oligomers' decrease, no ARIA-E No cognitive improvement API study (pre-symptomatic PS1E280A carriers)	
	Fully human mAb, IgG1	Aducanumab (BIIB037) (Biogen Idec)	Accelerated approval by the FDA, June 7, 2021	NCT0247780
	Anti-N-t (3–6) and mid- region			
	Binds all aggregated forms E22G "arctic" mutation	PAN2401 (Fissiles)	Phase III just initiated early AD	NCTO20074
	(protofibrils)	BAN2401 (Eisai Inc.)	Phase III just initiated, early AD Clears amyloid plaques, Cognitive improvement 10% ARIA-E ( <i>APOE e4</i> carriers)	NCT0388745 NCT0446865
	Intravenous IgGIV	Gammagard (Baxalta US Inc.); Octagam (Octapharma); Flebogamma (Grifols Biol. Inc)	All just completed Phase III, mild to moderate AD	NCT0081866
	lgG fraction, polyclonal	,	Reduction in amyloid, cognitive improvement	
	Binds different linear and conformational epitopes		Low statistical potential, under analysis	

ClinicalTrials.gov accessed February 27, 2021. AD: Alzheimer's disease; APOE: apolipoprotein E; ARIA-E: amyloid-related imaging anomalies-E; A $\beta$ : amyloid-beta; BACE: beta-site APP cleaving enzyme; CAA: cerebral amyloid angiopathy; CSF: cerebro-spinal fluid; FAD: familiaol Alzheimer's disease; IgG: immunoglobulin-G; mAb: monoclonal antibody; PET: positron emission tomography.

et al., 2019; Servick, 2020; Yoshida et al., 2020). Bapineuzumab, an humanized mAb, was the first reaching phase III clinical trials, but amyloid related imaging abnormalities were observed, mainly in

APOE  $\varepsilon$ 4 carriers, and no effect on cognitive decline was achieved (Salloway et al., 2014). Apart from the accelerated approval for aducanumab mentioned above, gantenerumab and BAN2401 have



potential for near term approval (Tolar et al., 2020). Aducanumab and gantenerumab are fully human mAbs that target epitopes composed of the N-terminus and a central region of the A $\beta$  peptide, whereas BAN2401 is a humanized mAb directed to an epitope on the fibrils made by the E22G "arctic" mutation.

Similarly, treatments to reduce tau aggregation or decrease tau levels are under development (Table 2), but not as advanced as for Aβ. A new therapeutic approach for treating AD or other taupathologies is the use of antisense RNAs interfering the expression of MAPT mRNA (Evers et al., 2015). Morpholinos are synthetic RNA analogs that are stable and specifically bind to the designed target mRNA. In neuroblastoma cell cultures, reductions in tau levels up to 80% were achieved by exon skipping inducing an out-of-frame deletion in tau mRNA (Sud et al., 2014). As mentioned before, six tau isoforms are found in the human brain (Figure 4A) and the ration between R3 (not including exon 10) and R4 isoforms (including exon 10) is impaired in several diseases such as PSP, Corticobasal Degeneration, Argyrophylic grain disease, Pick's disease, and FTD-P, among others. Although this is not the case in AD, it is worth mentioning the capability of antisense oligonucleotides for controlling the skipping of exon 10 and therefore their therapeutic potential (Peacey et al., 2012). In this sense, a phase I clinical trials with the oligonucleotide NIO752 (Novartis) aimed to treat PSP is being initiated (NCT04539041) (NIH, 2021). In the specific case of the treatment of AD. IONIS MAPTRX oligonucleotide is being tested in phase I/II for decreasing tau mRNA (NIH, 2021). Another approach is the inhibition of tau aggregation that is being tested in a phase III clinical trial with methylene blue (Rember), already used for malaria. Concerning the enhancing of tau clearance, it must be taken into account that tau oligomers can exist in a variety of states including hyperphosphorylated forms, which can be both soluble and insoluble. In addition, the fact that they are six isoforms complicates the election of the best target. It remains to be determined (a) which of these oligomeric species of tau are causally involved in neurodegeneration, and (b) which trigger the beginning of the formation paired helical filaments filaments. Meanwhile, phase II trial for active immunotherapy with a fragment of tau (294-305) (AADvac1 (Axon Neuroscience SE) and several phase II trials for passive immunotherapy with gosuranemab, tilavonemab, semorinemab zagotenemab are ongoing (Alzforum, 2020). All these humanized mAbs have been obtained on an IgG4 scaffold and recognize the N-terminus of tau and hence extracellular tau, with the exception of zagotenemab that also recognizes a mid-region characterizing aggregated tau.

#### Table 2 | Tau therapeutics in clinical trials

Therapeutic approach	Mechanism of action	Drugs (companies)	Clinical trials	Identifier
Antisense RNA	MAPT mRNA splicing and/or silencing	NIO752 (Novartis)	Phase I registered for the treatment of PSP	NCT04539041
		IONIS MAPTRx (BIIB080, ISIS 814907) (Ionis Pharma, Inc.)	Phase I/II ongoing	NCT03186989
Tau aggregation inhibitors	Several mechanism (i.e. for malaria, others)	TRx0237/LMT-X/Methylene Blue (Rember)	Phase III ongoing	NCT03446001
Active immunotherapy	Tau (294–305) + keyhole limpet hemocyanin, Al(OH)₃ adjuvant.	AADvac1 (Axon Neuroscience SE)	Phase II slowed neurodegeneration biomarkers	NCT02579252
	66 copies of a synthetic, S396&S404-phosphorylated tau into liposomes. MPLA adjuvant	ACI-35 (AC Immune)	Elicited a weak immune response, and needed to be redesigned. Phase II ongoing.	NCT04445831
Passive immunotherapy	Humanized mAb, IgG4	Gosuranemab (BIIB092, IPN002) (Biogen)	Phase II just initiated, early AD	NCT03352557
	Anti-Nt (15–24)			
	Binds e-tau			
	Humanized mAb, IgG4	Tilavonemab (ABBV-8E12, C2N-8E12) (AbbVie)	Phase II just initiated, early AD	NCT03712787
	Anti-Nt (22–34), human			NCT02880956
	Binds e-tau			
	Humanized mAb, IgG4	Semorinemab (RO7105705, Genentech, Inc.)	Phase II just initiated, prodromal to mild and moderate AD	NCT03289143
	Anti-Nt (2–24), human			NCT03828747
	Binds e-tau			
	Humanized mAb, IgG4	Zagotenemab (LY3303560) (Eli Lilly Co)	Phase II just initiated, early AD	NCT03518073
	Anti-Nt (7–9) and mid (312–322)			
	Binds aggregated-tau			

ClinicalTrials.gov accessed February 27, 2021. AD: Alzheimer's disease; e-tau: extracellular tau; IgG: immunoglobulin; mAb: monoclonal antibody; MAPT: microtubule associated protein tau; mRNA: messenger ribonucleic acid; PSP: progressive supranuclear palsy.

In conclusion, the evidence of a bidirectional interplay between Aβ and tau better explains the ACH, which is currently complemented by the dual pathway hypothesis. Combined anti-Aβ and antitau therapies will be tested in the near future because Aβ-immunotherapy is quite advanced, with a recent accelerated approval for Aduhelm, and tau-immunotherapy is on the path. In addition, the determination of the three-dimensional structures of the fibrils made by Aβ<sub>1-40</sub>, Aβ<sub>1-42</sub>, and tau may be helpful in the design of new therapeutic molecules. Therefore, it is quite likely we are on the path to find a cure for this devastating disease.

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