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1 Eocene/Oligocene global disruption and the revolution of 2 Caribbean mangroves

3
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9
10 **Abstract.** In a recent paper, the author demonstrated that, in contrast with the prevailing view
11 of eventual gradual regional differentiation from a hypothetical Cretaceous pantropical
12 mangrove belt around the Tethys Sea, the Caribbean mangroves originated *de novo* in the
13 Eocene after the evolutionary appearance of the first mangrove-forming tree species known
14 for the region, the ancestor of the extant *Pelliciera*. This paper represents a second step in the
15 analysis of the evolution of Caribbean mangroves dealing with the most important change
16 experienced by these communities, occurring across the Eocene–Oligocene transition (EOT),
17 which is termed here the Caribbean mangrove revolution. This shift consisted of the
18 disappearance of the primeval *Pelliciera* mangroves and their replacement by mangrove
19 communities dominated by *Rhizophora*, a newly emerged mangrove tree that still dominates
20 extant Caribbean mangroves. This paper first reviews the available literature on the EOT global
21 disruption (tectonic and paleogeographic reorganizations, ocean circulation, cooling, Antarctic
22 glaciation, sea-level fall) and its regional manifestations in the study area, along with the
23 corresponding biotic responses. This provides the paleoenvironmental framework with which
24 to analyze the EOT mangrove revolution using the nearly 80 pollen records available for the
25 region. In the circum-Caribbean region, cooling of 3–6 °C and a sea-level fall of 67 m were
26 recorded between 33.8 and 33.5 Ma, which led to significant shifts in dispersal pathways and
27 barriers, as well as in marine paleocurrents. Late Eocene mangroves were dominated by the
28 autochthonous *Pelliciera* (up to 60% of pollen assemblages), while *Rhizophora*, which likely
29 arrived from the Indo-Pacific region by long-distance dispersal, was absent or very scarce. After
30 the EOT, the situation was radically different, as the mangroves were widely dominated by
31 *Rhizophora*, and *Pelliciera*, when present, was a subordinate mangrove element (<10%). At the
32 same time, *Pelliciera*, which had been restricted to a small patch (Central America and NW
33 South America or CA/NWSA) during the Eocene, expanded its range across the Caribbean and
34 beyond, always as a minor component of *Rhizophora* mangroves. The dominance shift could
35 have been due to the cooling, by favoring the expansion of the euryclimatic and vagile
36 *Rhizophora* over the stenoclimatic *Pelliciera*, of limited dispersal ability. This is considered a
37 case of competitor coexistence by niche segregation. In addition, *Rhizophora* could have
38 facilitated the expansion of *Pelliciera* by providing refuge against environmental and biotic
39 stressors, notably light intensity and salinity. The Eocene *Pelliciera* mangroves never returned,
40 but this species survived to the present as a minor element and experienced significant range
41 shifts along three main phases, namely, EOT–Miocene expansion to the whole neotropics,
42 Mio-Pliocene contraction to the southern Caribbean margin and Pliocene to recent
43 reorganization to the original Eocene CA/NWSA location. The potential role of Neogene and
44 Pleistocene climatic shifts and human activities in these biogeographical loops (taxon cycles) is
45 discussed, with an emphasis on precipitation. The paper ends by suggesting some prospects
46 for future research.

47
48 **Keywords.** Eocene/Oligocene boundary, Neogene, climatic change, paleogeography, sea level,
49 mangroves, evolution, biogeography, Caribbean, Neotropics

50

51 *This paper is dedicated to Jan Muller for his contribution to Paleogene and Neogene
52 Neotropical palynology.

53

54 1. Introduction

55

56 Mangroves are unique forested ecosystems that dominate the intertidal fringe of tropical and
57 subtropical coasts worldwide and occupy a total of nearly 140,000 km² (Lugo & Snedaker,
58 1974; Bunting et al., 2018). Mangrove forests are usually dominated by a few mangrove-
59 forming tree species that provide the structural basis for the development of these
60 characteristic ecotonal land–sea communities (Chapman, 1976; Tomlinson, 2016), which are
61 instrumental in the maintenance of terrestrial and marine biodiversity and play a key role in
62 the functioning of global biogeochemical cycles (Saenger, 2002; Nagelkerken et al., 2008;
63 Nizam et al., 2022). Mangroves are highly sensitive to climatic changes, sea-level shifts and
64 human pressure (Gilman et al., 2008; Spalding et al., 2014; Biswas & Biswas, 2019; Wang & Gu,
65 2021) and are currently among the world’s most threatened ecosystems (Worthington et al.,
66 2020).

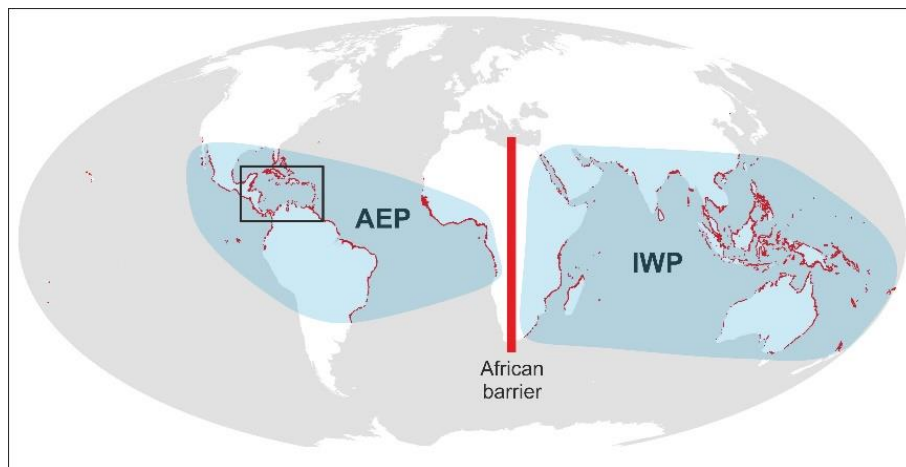
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68 Biogeographically, mangroves display a global asymmetric biodiversity pattern with maximum
69 species richness (up to 47 species) in the Indo–West Pacific (IWP) region and minimum
70 diversity (up to 12 species) in the Atlantic–Caribbean–East Pacific (ACEP or AEP) region, along
71 with important specific disjunctions within the most relevant genera (Ellison et al., 1999;
72 Tomlinson, 2016; Duke, 2017) (Fig. 1). This pattern has been explained assuming a hypothetical
73 continuous pantropical mangrove belt along the coasts of the Cretaceous Tethys Sea that
74 would have been disrupted after the closing of this sea by the African barrier, which would
75 have promoted regional evolutionary differentiation in the eastern and western hemispheres
76 (McCoy & Heck, 1976; Sowunmi, 1986; Ellison et al., 1999; Plaziat et al., 2001; Duke, 2017;
77 Srivastava & Prasad, 2015, 2018). However, this view has recently been challenged by a review
78 of palynological evidence that did not support the assumed Cretaceous precursors of
79 Caribbean mangroves and, instead, found robust evidence for a de novo Mid-Eocene (Lutetian)
80 origin of these Neotropical ecosystems (Rull, 2022a). The key evolutionary innovation for the
81 origin of Caribbean mangroves was the unexpected evolutionary appearance of the oldest
82 known mangrove-forming tree represented by form-species *Lanagiopollis crassa*, the fossil
83 pollen representative of the modern genus *Pelliciera*, which provided the structural basis for
84 the development of mangrove ecosystems, without which these communities would not have
85 existed. These findings were possible thanks to the quantitative analysis of pollen evidence
86 using a community assemblage approach instead of the formerly used qualitative approach
87 based on the presence/absence of individual fossil taxa with botanical affinity to modern
88 mangrove species (Rull, 2022a).

89

90 Modern Caribbean mangroves, however, are very different in composition and are not
91 evolutionary descendants of their Mid-Late Eocene counterparts. Indeed, *Pelliciera*, which was
92 of Neotropical distribution during the Eocene, went abruptly extinct in most of its range in
93 post-Eocene times, and the remaining extant representative, *P. rhizophorae*, is now restricted
94 to a relict spot around the Panama Isthmus, where it plays a subordinate role within mangrove
95 communities dominated by other tree species (Dangremond et al., 2015; Duke, 2020). Extant
96 Neotropical mangroves are dominated by mangrove trees of the genera *Rhizophora*, *Avicennia*
97 and *Laguncularia* (Tomlinson, 2016), belonging to disparate families and even orders with no
98 direct phylogenetic relationships among them or with *Pelliciera* (Table 1 and Fig. 2). Relevant
99 examples of the corresponding phylogenies can be found in Schwarzbach & Ricklefs (2000),
100 Schwarzbach & McDade (2002), Andergerg et al. (2002), Geuten et al. (2004), Schönenberger
101 et al. (2004), Tripp & McDade (2014), Fonseca (2021) and Maurin et al. (2021). This means that
102 extant Caribbean mangroves, as communities, are structurally and ecologically similar to

103 Eocene mangroves but are organized around tree species belonging to distant evolutionary
 104 lineages. Thus, the habit, architectural features and physiological traits of Caribbean mangrove
 105 trees are examples of the evolutionary convergence of non-closely
 106



107
 108

109 **Figure 1.** World distribution of mangroves (red fringes) showing the Atlantic-East Pacific (AEP) and the Indo-West
 110 Pacific (IWP) biogeographical regions. The Caribbean region is highlighted by a black box. Base map downloaded
 111 from the World Atlas of Mangroves (<https://data.unep-wcmc.org/datasets/5>).

112

113 related taxa, resulting from adaptation to intertidal saline environments. Therefore, the
 114 appearance of modern-like mangrove ecosystems represented a second evolutionary surprise
 115 linked, once more, to the emergence of new mangrove-forming trees within unexpected
 116 taxonomic groups, rather than to the phylogenetic progress of already existing mangrove
 117 species.

118

119 **Table 1.** Extant Caribbean mangrove-forming tree species grouped by order and family, to emphasize their high
 120 phylogenetic diversity (see also Fig. 2). The most abundant and characteristic species are indicated by an asterisk.
 121 The number of worldwide mangrove species within each genus (spp) is also provided for comparison. Distribution
 122 range: AEP, Atlantic-East Pacific region (Fig. 1); Af, Africa; Am, America. Raw data from Tomlinson (2016) and Duke
 123 (2017).

124

Family (Order)	Genus	Range	spp	Caribbean	Range
Malpighiaceae (Malpighiales)	<i>Rhizophora</i>	Pantropical	6	<i>R. mangle</i> *	AEP (Af-Am)
				<i>R. racemosa</i>	AEP (Af-Am)
Acanthaceae (Lamiales)	<i>Avicennia</i>	Pantropical	8	<i>A. germinans</i> *	AEP (Af-Am)
				<i>A. bicolor</i>	AEP (Am)
				<i>A. shauneriana</i>	AEP (Am)
Combretaceae (Myrtales)	<i>Laguncularia</i>	AEP	1	<i>L. racemosa</i> *	AEP (Af-Am)
	<i>Conocarpus</i>	AEP	1	<i>C. erectus</i>	AEP (Af-Am)
Tetrameristaceae (Ericales)	<i>Pelliciera</i>	AEP	1	<i>P. rhizophorae</i>	AEP (Am)

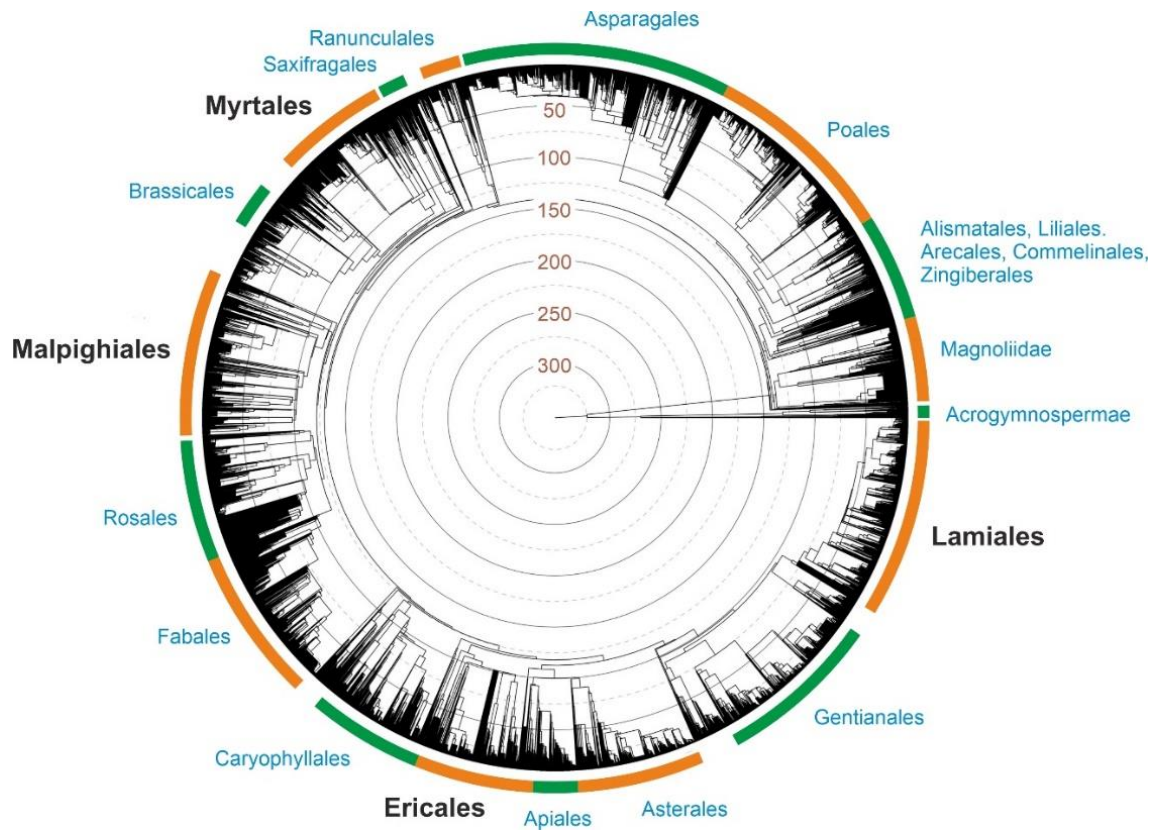
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126 According to the available fossil evidence (Fig. 3), the evolutionary replacement of Eocene
 127 mangroves by modern-like Caribbean mangroves took place in the Eocene–Oligocene
 128 transition (EOT), a geological boundary characterized by global and intense environmental and
 129 biogeographical disruptions (Prothero & Berggren, 1992; Coxall & Pearson, 2007). Therefore,
 130 the evolution of Caribbean (and, by extension, of Neotropical) mangroves has been
 131 punctuated by two main abrupt and unexpected events, namely, (i) the dawn of the primeval
 132 Eocene *Pelliciera* mangrove communities and (ii) their post-Eocene collapse and replacement
 133 by modern-like mangrove forests. After the EOT, a trend toward increasing species richness
 134 with no apparent extinctions characterized the evolution of post-Eocene mangrove forests, in
 135 the shaping of the extant taxonomic and biogeographical patterns of Caribbean mangroves

136 (Graham, 1995). The first event (i) was analyzed in detail by Rull (2022a), and the second (ii) is
 137 the main target of this review.

138

139 An early attempt to review the potential influence of EOT global disruptions on the evolution
 140 of Neotropical mangroves was made by the author of this paper (Rull, 1998a), who concluded
 141 that the global cooling and drying reversals in the EOT could have affected the taxonomic and
 142

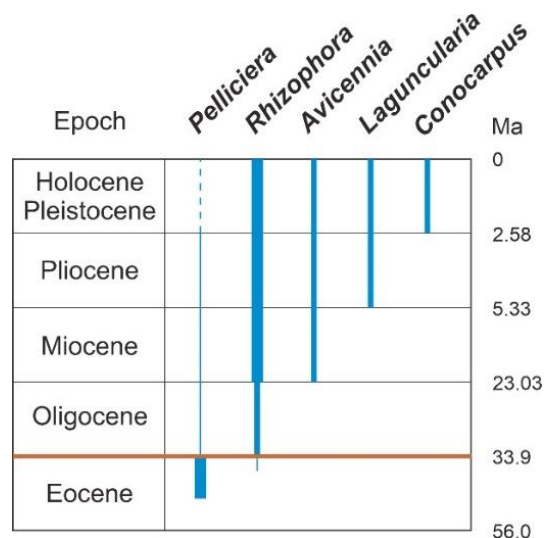


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145 **Figure 2.** Dated angiosperm phylogeny, based on global molecular genetic and phylogenetic data from GenBank and
 146 the Open Tree of Life project (Smith & Brown, 2018), showing the disparate phylogenetic and chronological features
 147 of the orders with extant Caribbean mangrove-forming tree genera: Myrtales (*Laguncularia* and *Conocarpus*;
 148 Combretaceae), Malpighiales (*Rhizophora*; Rhizophoraceae), Ericales (*Pelluciera*; Tetrameristaceae) and Lamiales
 149 (*Avicennia*; Acanthaceae). Numbers are divergence ages in million years.

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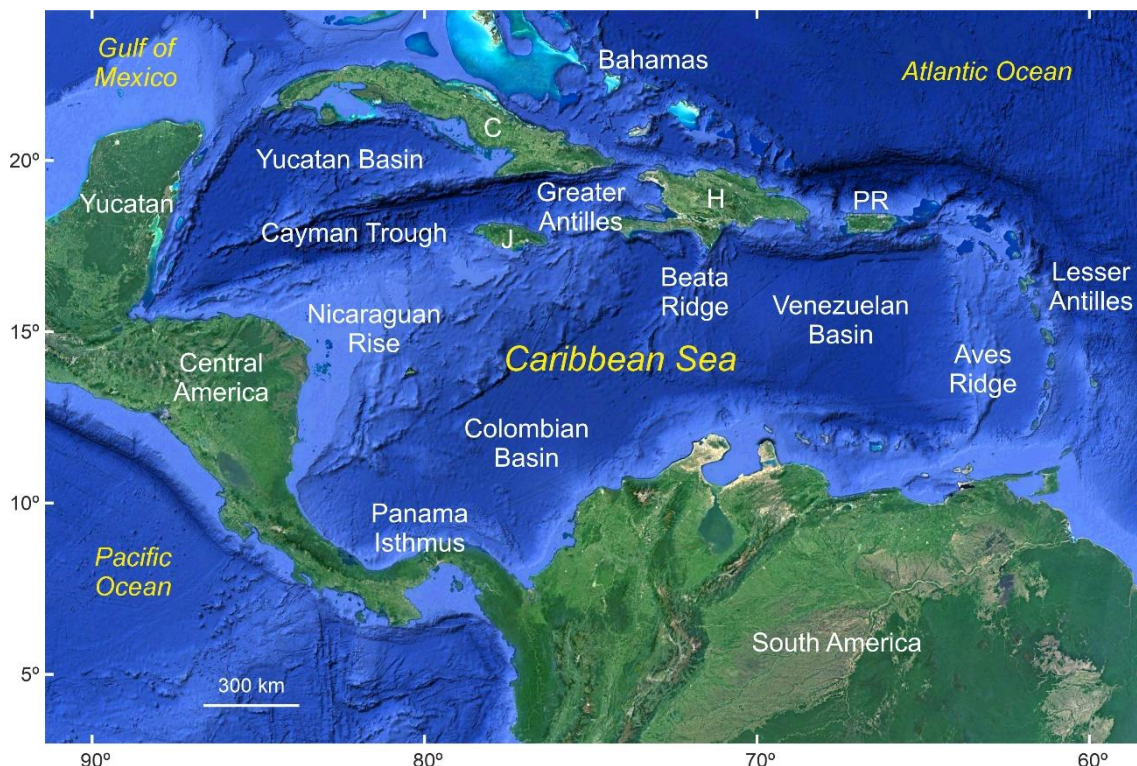
153 **Figure 3.** Stratigraphic ranges of the fossil pollen taxa representing the modern genera of Caribbean mangrove
154 trees. Redrawn from Rull (2022a) after raw data from Muller (1981), Frederiksen (1985), Lorente (1986), Mullet et
155 al. (1987), Thanikaimoni (1987) and Graham (1995, 1999a). Boundary dates after Cohen et al. (2013).

156
157 biogeographical turnover observed in the mangrove pollen record. However, only qualitative
158 (presence/absence) fossil evidence was used on that occasion, which is insufficient to
159 reconstruct the origin and evolution of mangrove ecosystems (Rull, 2022a). In addition,
160 molecular phylogenetic studies for Caribbean mangrove taxa were still unavailable in the
161 1990s, and their recent development has been instrumental in reconstructing their evolution
162 (Graham, 2006; Duke, 2017). Additionally, new and meaningful paleoenvironmental and
163 paleogeographic information on the EOT has been produced and modeled in recent decades
164 that was unavailable at the time of the first review on the subject (compare, for example,
165 Prothero & Berggren, 1992, with Hutchinson et al., 2021). Finally, the review by Rull (1998a)
166 was written in Spanish, which limits access for those unable to read in this language.
167 Therefore, the need for an updated English review on the possible influence of EOT events on
168 Caribbean mangrove evolution that considers relevant and previously unavailable multiproxy
169 information seems evident, and providing such a review is the main aim of the present paper.

170
171 In this review, mangrove communities are reconstructed using the community approach based
172 on quantitative evidence (usually percentages), and the evolution of their main components,
173 the mangrove-forming tree taxa, is approached with the aid of molecular phylogeographical
174 methods or spatial projections of dated phylogenies. These methods provide robust
175 reconstructions of spatiotemporal trends in mangrove communities that are compared with
176 paleoenvironmental and paleogeographic trends, as deduced from independent proxies, to
177 establish potential chronological correlations that suggest possible causal relationships. To
178 facilitate reading and understanding, the paper is subdivided into four main parts. The first
179 part is a summary of the main EOT events, aimed at providing a general paleoenvironmental,
180 paleogeographical and biogeographical framework for this particular boundary. This part is of
181 global scope, and the main topics reviewed are climate change and its potential causes, biotic
182 responses and global mangrove evolution, with emphasis on *Pelliciera* and *Rhizophora*. The
183 second part is focused on the Caribbean region and is a summary of the physical (tectonics,
184 paleogeography, paleoclimates, paleocirculation, sea-level shifts) and biogeographical features
185 of this region during the EOT. Once the general and Caribbean physical and biological
186 frameworks have been outlined, the third part analyzes in detail the qualitative and
187 quantitative fossil palynological evidence of mangrove evolution during the Eocene and the
188 Oligocene, with emphasis on the transition between these two geological epochs. The main
189 aim of this part is to discuss mangrove evolution and the potential environmental and biotic
190 drivers involved in the shift from *Pelliciera*-dominated to *Rhizophora*-dominated mangroves
191 during the EOT in the Caribbean region. A summary of the main Neogene trends is also
192 provided for reference, but they are not analyzed in depth, as Mio-Pliocene evolution shaped
193 present-day Caribbean mangrove communities and this topic deserves specific treatment by
194 itself. The fourth part of the analysis is an account of the range shifts of *Pelliciera* from the
195 Eocene to the present and their possible causes, which are addressed using a novel
196 biogeographical framework provided by the fossil evidence gathered here. Finally, a
197 conclusions section aims to provide a take-home message by highlighting the main findings,
198 with emphasis on novel approaches to classical issues, and suggests some possible future
199 research directions. It is important to note that this paper considers the whole circum-
200 Caribbean region as a biogeographic unit (Fig. 4).

201 202 **2. The Eocene–Oligocene transition** 203

204 Initially, the EOT was defined as a phase of accelerated climatic and biotic change that began
 205 at the Eocene/Oligocene (E/O) boundary (33.9 Ma) and lasted ~500 kyr (Coxall & Pearson,
 206 2007). Further studies led to a slightly different scenario, with the EOT ranging from 34.4 Ma to
 207 33.7 Ma, with a duration of ~800 kyr (Hutchinson et al., 2021). The first compilation of the
 208 available evidence was published by Prothero & Berggren (1992) in a seminal multidisciplinary
 209 book. More recently, Coxall & Pearson (2007) and Hutchinson et al. (2021) updated the review,
 210 accounting for the addition of new methodological developments on paleoclimatic proxies and
 211 the outstanding contribution of the Ocean Drilling Program (ODP; <https://www.iodp.org/>) to
 212 the characterization of the EOT. The same authors reviewed the potential causes for the
 213 climatic shift, as well as the potential consequences of these events for terrestrial and marine
 214 biotas.
 215



216
 217
 218 **Figure 4.** Google-Earth map of the circum-Caribbean region considered in this paper. Greater Antilles: C, Cuba; H,
 219 Hispaniola, J, Jamaica; PR, Puerto Rico,
 220

221 2.1. Climatic and sea-level change

222
 223 Presently, there is consistent and widespread evidence for global EOT cooling, as recorded by
 224 oxygen isotopic ($^{18}\delta\text{O}$) records of benthic foraminifera from deep-sea marine cores retrieved
 225 worldwide by the Ocean Drilling Program (ODP) (Diester-Haas & Zhan, 1996; Zachos et al.,
 226 1996; Coxall et al., 2005; Coxall & Pearson, 2007; Pearson et al., 2008; Coxall & Wilson, 2011;
 227 Bohaty et al., 2012; Wade et al., 2012; Borrelli et al., 2014; Langton et al., 2016) (Fig. 5). This
 228 global cooling represented the end of Cenozoic greenhouse climates that dominated Earth
 229 until the Eocene and the onset of Cenozoic icehouse climates, which persist today. A
 230 prominent consequence of the EOT cooling was the glaciation of Antarctica, which remained
 231 unglaciated until the Eocene (Katz et al., 2008; Young et al., 2011). There is no comparable
 232 evidence for the Northern Hemisphere, and it is accepted that only Antarctica was glaciated
 233 during the Oligocene (Zachos et al., 2001; Westerhold et al., 2020). Evidence for Northern
 234 Hemisphere glaciation is not available until the Late Miocene (11-6 kyr BP) in Greenland
 235 (Larsen et al., 1994; Helland & Holmes, 1997; Maslin et al., 1998; Bierman et al., 2016; Pérez et

236 al., 2018), with outstanding intensification across Greenland, Eurasia and North America
237 around the Pliocene/Pleistocene boundary (2.6 Ma) (Shackleton, 1984; Raymo, 1994; Ehlers &
238 Gibbard, 2007; De Schepper et al., 2014).

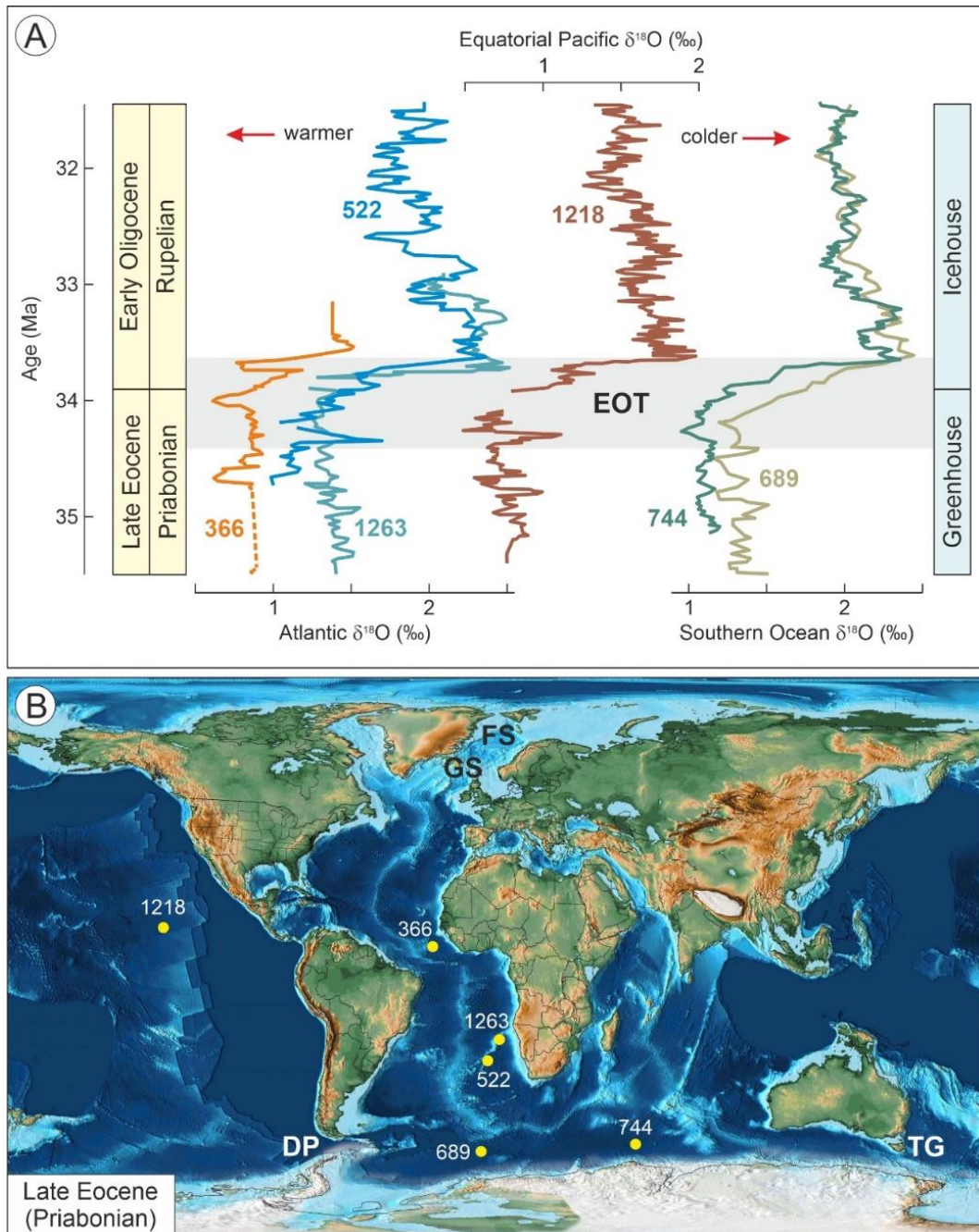
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240 The EOT cooling was also responsible for the establishment of strong latitudinal temperature
241 gradients, which has suggested that this phenomenon was the precursor of present-day
242 Earth's global climatic zonation (Pagani et al., 2011; Straume et al., 2022). During the Eocene, a
243 low-temperature gradient existed, manifested as high-latitude temperatures 20-40 °C higher
244 than those today and tropical temperatures only 5-10 °C above present ones (Huber & Sloan,
245 2000; Huber, 2008; Bijl et al., 2009; Huber & Caballero, 2011), along with the occurrence of
246 frost-intolerant flora and fauna at high latitudes (Greenwood & Wing, 1995). A number of
247 continental biotic records also suggest a trend toward aridification in the EOT, but it is still
248 unclear whether this may be considered a global phenomenon (Coxall & Pearson, 2007;
249 Hutchinson et al., 2021). Not only climate but also sea levels abruptly shifted across the E/O
250 boundary. The available evidence points toward a general sea-level fall of ~70 m (Miller et al.,
251 2008; Houben et al., 2012; Wilson et al., 2013), likely linked to Antarctic glaciation, which led
252 to marine disconnections that affected circulation patterns (Coxall et al., 2018; Hutchinson et
253 al., 2019).

254
255 The EOT cooling and the glaciation of Antarctica have been linked to the interplay of three
256 main mechanisms, namely, a significant reduction in global atmospheric CO₂ concentration,
257 the establishment of the Antarctic Circumpolar Current (ACC) and ice sheet growth (DeConto &
258 Pollard, 2003; Hutchinson et al., 2021; Straume et al., 2022). During the EOT, pCO₂ values
259 experienced an outstanding decline from ~1000 ppm in the Priabonian to 700-800 ppm in the
260 Rupelian, which significantly reduced the greenhouse effect. The use of several modeling
261 approaches suggested that this could have been the main cooling driver (Hutchinson et al.,
262 2021). In addition, the Late-Eocene tectonic opening of Southern Ocean pathways in the Drake
263 Passage and the Tasman (or Tasmanian) Gateway (Fig. 5) would have facilitated the
264 establishment of the ACC circulation leading to the thermal isolation of Antarctica and
265 accelerating its glaciation (Barker & Burrell, 1977; Barker & Thomas, 2004). The Drake Passage
266 opening was already open before the Late Eocene, but it was too shallow to sustain the ACC
267 (Livermore et al., 2007; Markwick, 2007). The appearance of a deep (>500 m) opening across
268 the Tasman Gateway occurred at 33.5 Ma, close to the E/O boundary (Stickley et al., 2004;
269 Scher et al., 2015), and both pathways would have been suitable to support the ACC by 30 Ma
270 (Hutchinson et al., 2021). Recently, it has also been suggested that connections between the
271 Arctic and North Atlantic through the Fram Strait and the Greenland–Scotland Rise (Fig. 5)
272 could have played a role in EOT cooling and Antarctic glaciation by regulating the southward
273 flow of cold Arctic freshwaters (Straume et al., 2022). According to the available modeling
274 outputs, albedo-driven changes in radiative forcing due to the growth of the Antarctic ice
275 sheet would have played a secondary role (Hutchinson et al., 2021).

276 277 2.2. Biotic responses

278
279 The E/O boundary was a time of intense biotic turnover due to enhanced Eocene extinction
280 and Oligocene radiation rates, as well as major biogeographical reorganizations, which have
281 been linked to rapid EOT cooling and sea-level fall and to the establishment of permanent
282 icehouse conditions. Although these biotic changes did not attain the magnitude of the “Big
283 Five” mass extinctions (Sepkoski, 1986; Jablonsky & Chaloner, 1994), they significantly affected
284 Earth's terrestrial and marine biotas (Coxall & Pearson, 2007). Relevant extinctions appear in
285 marine microfossils that are used as chronostratigraphic markers. One of the most
286 characteristic examples is the planktonic foraminiferal family Hantkeninidae, whose last
287 occurrence is the E/O marker in the Global Stratotype Section of this boundary (Premoli Silva &

288 Jenkins, 1993). In general, the EOT transition is characterized by the extinction of warm-loving
 289 tropical planktonic foraminifera, which has been attributed to the rapid cooling recorded in
 290 this transition (Boersma & Premoli Silva, 1986; Keller et al., 1992). Similar examples can be
 291 found in benthic foraminifera, calcareous nannoplankton, radiolarians, dinoflagellates,
 292 diatoms, ostracodes and shallow-marine invertebrates (Balfauf, 1992; Berggren et al., 1995;
 293 Schellenberg, 1998; Dockery & Lozouet, 2003; Nebelsick et al., 2005; Van Mourik & Brinkhuis,
 294 2005; Funakawa et al., 2006).
 295
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 299 **Figure 5.** EOT paleoclimatic trends. A) Cooling (gray band) as recorded in the oxygen isotopic curves of benthic
 300 foraminifera from six deep marine ODP cores retrieved in different oceans and different latitudes (see B for
 301 location). Redrawn and simplified from Hutchinson et al. (2021). B) Late Eocene (Priabonian) paleogeography
 302 indicating the approximate location of ODP cores and the gateways regulating ocean circulation: DP, Drake Passage;
 303 FS, Fram Strait; GS, Greenland-Scotland Rise; TG, Tasman Gateway. Base map downloaded from PALEOMAP

304 PaleoAtlas for GPlates (<https://www.earthbyte.org/paleodem-resource-scotese-and-wright-2018/>, retrieved 10
305 August 2022).

306

307 In contrast with marine records, continental biotic turnover across the EOT shows substantial
308 spatial variability (Sheldon et al., 2016). This is especially evident in mammal and plant records.
309 In the case of mammals, a major Eurasian faunal turnover known as the “Grand Coupure”,
310 characterized by the extinction of many (up to 60%) endemic European species and their
311 replacement by Asian immigrants, coincided with the onset of Oligocene glaciation (Hooker et
312 al., 2004). These changes have been attributed to a combination of climate-driven extinction,
313 competition and the opening of long-distance dispersal pathways due to sea-level regression.
314 A similar major mammal turnover was recorded in Asia and was attributed mainly to climate
315 change (Meng & McKenna, 1998), but no similar events have been found in North and South
316 American mammalian faunas (Prothero, 2012; Woodburne et al., 2014), where continental
317 evidence suggests heterogeneous responses.

318

319 This continental heterogeneity is also the main feature of vegetation responses to the EOT, as
320 recorded in a recent worldwide study based on global pollen/spore databases (Pound &
321 Salzmann, 2017). The authors attributed the heterogeneous patterns of vegetation response
322 to the EOT to the combination of multiple drivers (tectonics, sea-level fall and declining
323 greenhouse gas concentrations) and to the action of more local/regional factors, such as
324 orogeny and precipitation regimes. This does not mean that vegetation did not experience
325 significant shifts across the E/O boundary. On the contrary, major changes occurred on most
326 continents, but the pattern of change varied from continent to continent and across latitudes
327 (Coxall & Pearson, 2007). For example, in North America, widespread replacement of
328 subtropical evergreen Eocene vegetation by cold-adapted deciduous Oligocene forms
329 occurred, together with relevant regional extinctions, especially at higher latitudes (Liu et al.,
330 2007). In the continental interior, increasing aridity could have been the main driver for the
331 observed diversification of desert species (Yancey et al., 2003; Moore & Jansen, 2005). A shift
332 from subtropical to temperate vegetation was also recorded in southern South America
333 (Barreda & Palazzesi, 2007), but this shift was attributed later to long-term Eocene cooling
334 rather than to an abrupt EOT shift (Quatrocchio et al., 2013). In the Neotropics, pronounced
335 floral turnover was recorded at the E/O boundary, which has been linked to EOT cooling (Rull,
336 1998a; Jaramillo et al., 2006). Noteworthy, an important part of this evidence was recorded in
337 and around the Caribbean region (Germeraad et al., 1968; Frederiksen, 1985; Mullet et al.,
338 1987).

339

340 In Europe, the EOT was characterized by a change to more seasonal temperate flora and the
341 extinction of tropical and subtropical taxa (Collinson, 1992; Eldrett et al., 2009). However, the
342 impact of the EOT was far from homogeneous, including shifts from subtropical to warm-
343 temperate forests, deciduous mixed forests or more arid and seasonal biomes, along with no
344 evident vegetation changes, depending on the region (Pound & Salzamann, 2017; Hutchinson
345 et al., 2021). In Asia, synchronous floral and faunal turnover was recorded during the European
346 Grand Coupure, characterized by a shift from warm-temperate forests and large-bodied
347 perissodactyl faunas (Eocene) to dry-temperate forest-steppe vegetation with small-bodied
348 rodents/lagomorphs (Sun et al., 2014). However, regional differences linked to local
349 phenomena such as the Tibetan uplift and associated climatic changes also existed (Jin et al.,
350 2017; Su et al., 2018). In Australia, the passage from the Eocene to the Oligocene was
351 characterized by a trend toward lower diversity, higher seasonality and the expansion of cool-
352 temperate flora (Sluiter et al., 2022). In Antarctica, the expansion of ice sheets was
353 accompanied by the replacement of evergreen forests by shrubby and tundra vegetation
354 (Francis & Poole, 2002; Galeotti et al., 2021).

355

356 To summarize, the EOT was not only a phase of profound environmental change leading to a
357 modern climatic regime but also characterized by a deep biotic turnover that initiated a trend
358 toward the establishment of modern biotas. In both the marine and terrestrial realms,
359 enhanced E/O extinction and renewed Oligocene radiation seem to have been common
360 features; however, biotic responses were significantly more heterogeneous in continental
361 environments, where biogeographical reorganizations played a fundamental role (Coxal &
362 Pearson, 2007; Hutchinson et al., 2021). Biotic change across the EOT has been attributed to
363 global phenomena such as cooling, sea-level drop and declining atmospheric CO₂
364 concentrations, but other more local drivers such as tectonic and topographical
365 reorganizations have also been important, especially in the case of changing precipitation
366 regimes. Although insufficient to be considered a phenomenon of global extent, increasing
367 aridity and/or precipitation seasonality has been suggested in a number of continental records,
368 especially in interior environments, on the basis of paleontological evidence.

369 2.3. Mangroves and the EOT

371 This section analyzes the present distribution of mangrove tree genera with Caribbean
372 representatives and the phylogeographic trends that may have led to these biogeographic
373 patterns, with emphasis on the EOT. Two genera are of pantropical distribution (*Rhizophora*
374 and *Avicennia*), but their species have disjunct AEP and IWP distributions. The other three
375 genera (*Pelliciera*, *Laguncularia* and *Conocarpus*) are monotypic and restricted to the EAP
376 region (Table 1, Fig. 6). In this review, the focus is on *Pelliciera* and *Rhizophora*, as the
377 dominant Caribbean mangrove-forming trees of Eocene and Oligocene times, respectively
378 (Graham, 1005; Rull, 1998). The other genera (*Avicennia*, *Laguncularia* and *Conocarpus*)
379 emerged later, between the Miocene and the Pleistocene (Fig. 3), and will be addressed in a
380 further review of Neogene mangrove evolution.

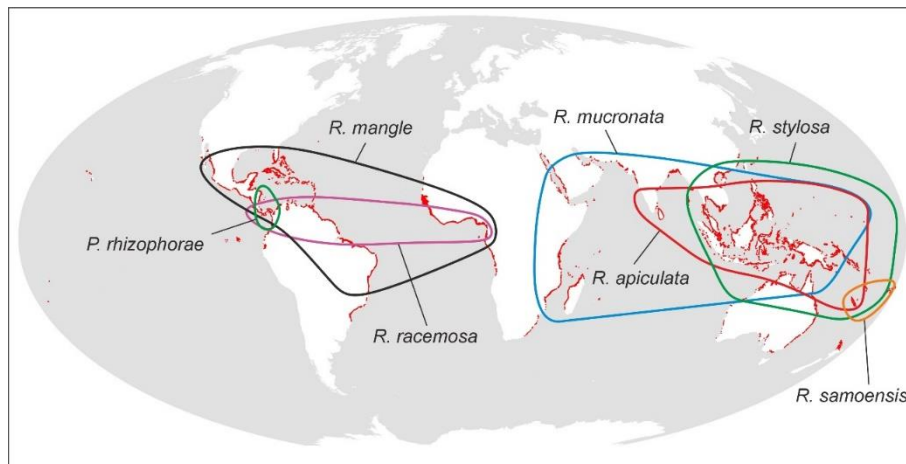
382 383 2.3.1. Fossil and biogeographical evidence

384 Before the recent development of molecular phylogeographic studies, biogeographical and
385 evolutionary hypotheses were based mostly on theoretical inference, for example, the center-
386 of-diversity and center-of-origin concepts, and fossil evidence. The AEP–IWP polarity was first
387 explained using either dispersalist or vicarianist points of view. Dispersalists proposed that
388 mangroves originated in the high-diversity IWP region and then dispersed to the ACEP region
389 (Van Steenis, 1962). Vicarianists believed that mangroves evolved during the Late Cretaceous
390 around the coasts of the continuous Tethys Sea and diversified later, when this sea was closed
391 by the African barrier (McCoy and Heck, 1976). A fairly complete review by the time of
392 macrofossil (leaves, wood, flowers, and fruits) and microfossil (pollen) evidence, as well as
393 fossils of mollusks characteristic of the mangroves, was used to support the vicariance
394 hypothesis (Ellison et al., 1999). Under this hypothesis, the timing of mangrove origin and the
395 further split into the ACEP and IWP regions varied from author to author, ranging from
396 Cretaceous to Oligocene (e.g., Sowunmi, 1986; Ellison et al. 1999; Plaziat et al., 2001; Duke,
397 2017; Srivastava and Prasad, 2015, 2018). Using fossil evidence, the E/O environmental
398 disruption has been advocated as an important driver for the initial split between IWP and EAP
399 biogeographical mangrove regions (Plaziat et al., 2001; Srivastava & Prasad, 2019), as well as
400 for the replacement of *Pelliciera* by *Rhizophora* mangroves in the Neotropics (Rull, 1998a).
401 However, no definite explanations have been provided for these events, and hence, no causal
402 links have been established between the EOT global disruption and mangrove evolution on the
403 basis of fossil and biogeographical evidence.

405 406 2.3.2. Molecular phylogenetics and phylogeography

407

408 Recent developments in molecular phylogenetics and phylogeography have provided more
 409 clues for understanding how extant mangrove biodiversity and biogeographical patterns have
 410 been shaped. Molecular phylogenetic studies on mangrove tree taxa with Caribbean
 411 representatives, notably *Rhizophora* and *Avicennia*, began in the late 1980s and have
 412 significantly increased since the 2000s (review in Triest, 2008). A full account of the results of
 413 these studies is beyond the scope of this paper, and only an overview of the main findings for
 414 the extant Caribbean mangrove-forming tree genera before and after the E/O boundary is
 415 provided. As mentioned above, *Pelliciera* and *Rhizophora* were the most important players in
 416 this transition and will be analyzed in more detail.
 417



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 420
 421

Figure 6. Geographical distribution of extant *Rhizophora* (*R*) and *Pelliciera* (*P*) species. Redrawn from Lo et al. (2014) and Duke (2017).

422

423 *Pelliciera* is a monotypic genus (but see Duke, 2020) with a very restricted geographical range
 424 and no close phylogenetic relatives in mangrove ecosystems worldwide, which hinders the
 425 establishment of phylogeographic relationships with evolutionary significance for these
 426 communities. The available phylogenetic studies for *Pelliciera* are essentially systematic and
 427 aimed at resolving its taxonomical placement within the family and the order to which this
 428 genus belongs (e.g., Anderberg et al., 2002; Bremer et al., 2002; Geuten et al., 2004;
 429 Schönenberger et al., 2004). Molecular phylogenetic data have also been used to evaluate
 430 potential incipient speciation trends within the extant species *P. rhizophorae* (Castillo-
 431 Cárdenas et al., 2014, 2015).

432

433 The case of *Rhizophora* is very different, as this genus has greater diversity, a worldwide
 434 distribution and clear biogeographical differentiation between the IWP and AEP mangrove
 435 regions (Fig. 6). Given its present biogeographical patterns and the antiquity of the oldest
 436 known fossils – 38-34 Ma (Late Eocene), according to Graham (2006) – phylogeographical
 437 trends of this genus have been used as a proxy for mangrove evolution and historical
 438 biogeography at a global level. Xu et al. (2017) estimated that mangrove members of the
 439 Rhizophoraceae family, including the IWP genera *Bruguiera*, *Ceriops*, and *Kandelia* and the
 440 cosmopolitan *Rhizophora*, separated from their non-mangrove counterparts of the same
 441 family by 55 Ma (Early Eocene), coinciding with the Paleocene/Eocene Thermal Maximum. The
 442 same study estimated that the genus *Rhizophora* emerged by 39 Ma (Late Eocene) and that
 443 the EAP species *R. mangle* diverged from its IWP sisters *R. apiculata*, *R. mucronata* and *R.*
 444 *stylosa* at some unspecified point in the Neogene. It should be noted that this phylogeny was
 445 calibrated with the above-mentioned Late Eocene fossil records (Graham, 2006); therefore,
 446 comparisons with the fossil record are hindered by the principle of circularity.

447

448 According to Takayama et al. (2021), the genus *Rhizophora* would have originated in the IWP
 449 region during the Eocene and was distributed worldwide by the Late Eocene, after dispersal to
 450 the EAP region through the Tethys seaway and possibly a southern route around Africa. This
 451 would have occurred between 50 Ma (Early Eocene) and 23 Ma (Oligo/Miocene). The same
 452 study situates the splitting between EAP and IWP *Rhizophora* species by 11 Ma (Late Miocene),
 453 favored by the Tethys closure and a cooling that would have limited dispersal across the Indian
 454 and Atlantic Oceans by the southern African corridor. Further diversification within the EAP
 455 and IWP regions would have occurred later, favored by the Mio-Pliocene global cooling. Again,
 456 these phylogenies were calibrated with the same fossil records. Former molecular
 457 phylogenetic studies obtained similar results regarding the IWP origin and further EAP
 458 dispersal of *Rhizophora* but situated the initial IWP–EAP diversification event in the Eocene,
 459 between 50 Ma and 34 Ma, and the intra-IWP diversification at the Oligocene/Miocene
 460 boundary (Lo et al., 2014). These authors concluded that neither vicarianist nor dispersalist
 461 explanations alone can account for the current biogeography of *Rhizophora* and that a
 462 combination of both is needed to understand present-day geographical patterns of
 463 mangroves. Based on these results and the available fossil record, Duke (2017) proposed the
 464 occurrence of two main radiations within *Rhizophora*; the first would have separated the IWP
 465 and EAP clades in the Oligocene (35–25 Ma), and the second would have been responsible for
 466 the Late Miocene (~15 Ma) intra-IWP and intra-EAP diversifications. The Oligocene radiation
 467 was linked to the Tethys closure, which would have terminated pantropical interchange,
 468 whereas the Miocene radiation coincided with the North Atlantic widening and the
 469 Australia/SE Asia collision.

470
 471 In these studies, the EOT cooling and sea-level fall are rarely mentioned as drivers for
 472 mangrove evolution; only Lo et al. (2014) pointed out that “The ancestral *Rhizophoras* that
 473 once existed along the Tethys seaway, Mediterranean and Arabian coasts and Europe could
 474 have become extinct by the Cenozoic with its notable cooling and drastic environmental
 475 changes during the late Tertiary”, citing the EOT papers by Pagani et al. (2005) and Liu et al.
 476 (2009). Therefore, the potential influence of EOT global disruption on mangrove evolution
 477 remains largely unexplored in the phylogeographical literature, and the preferred explanations
 478 regarding the historical biogeography of mangroves are related to the waxing and waning of
 479 dispersal pathways and barriers driven by continental drift (Dodd & Afzal-Rafii, 2002; Dodd et
 480 al., 2002; Duke et al., 2002; Triest, 2008; Duke, 2017; Van der Stocken et al., 2019).

481

482 **3. The Caribbean region during the EOT**

483

484 Before getting fully into the topic, it is necessary to highlight that this paper considers the
 485 Caribbean region in a wide sense, including all Caribbean coasts, from north (Greater Antilles)
 486 to south (northernmost South America) and from east (Lesser Antilles) to west (Central
 487 America) (Fig. 4). The inclusion of a number of presently interior localities (notably from South
 488 America) is necessary because these sites were on past proto-Caribbean coasts and now are
 489 more distant from this sea due to the highly dynamic nature of coastal configuration in the
 490 region. In this regard, it is worth mentioning that all localities with palynological information
 491 found in the literature have been included, without any a priori selection. The geographical
 492 imbalance among different areas, for example, the large number of South American localities
 493 in comparison with the lower amount of insular sites, reflect only differences in the intensity of
 494 research efforts.

495

496 **3.1. Tectonic setting**

497

498 From a tectonic point of view, the Caribbean is a very peculiar region owing to the existence of
 499 the Caribbean microplate, situated between the North American and South American plates,

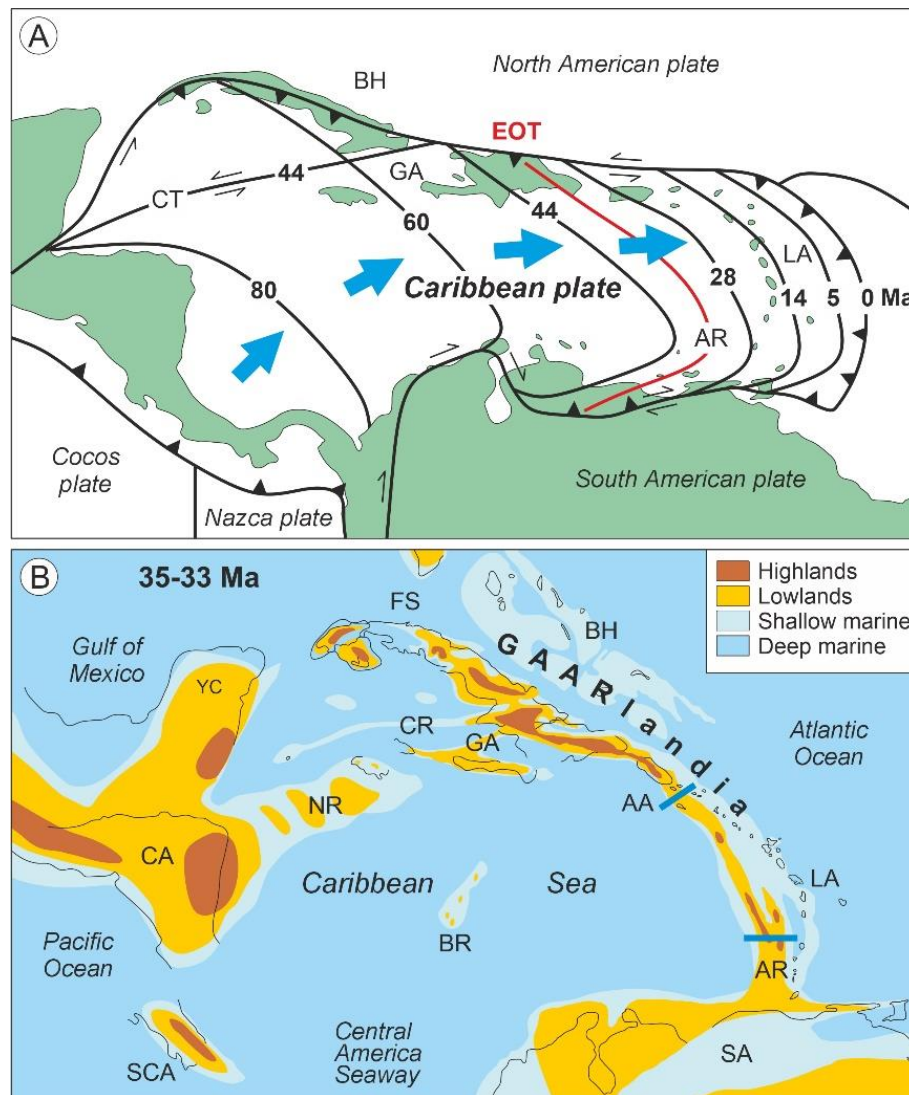
500 and the Pacific plates of Cocos and Nazca (Fig. 7). According to the current models, the
501 Caribbean plate originated in the eastern Pacific and migrated eastward to its present position
502 after the Triassic–Jurassic (210-140 Ma) breakup of Pangea and the subsequent seafloor
503 spreading between the North American and South American plates, which created the
504 Cretaceous (140-90 Ma) proto-Caribbean seaway (Pindell & Dewey, 1982; Pindell, 1990;
505 Pindell et al., 2006; Mann et al., 2006; Pindell & Kennan, 2009). In the Late Cretaceous, (80-75
506 Ma), the incipient Caribbean plate front progressed northeastward and formed the Greater-
507 Antilles arc that collided with the Bahamas carbonate platform and reoriented plate motion
508 from northeastward to eastward during the Paleocene (60-44 Ma) (Pindell & Dewey, 1982;
509 Pindell et al., 1988; Mann et al., 1995). This change in direction initiated the Cayman Trough as
510 a pull-apart basin (Rosencrantz et al., 1988; Leroy et al., 2000). Eocene to Miocene (44-14 Ma)
511 eastward migration led to the formation of the Aves arc and its further abandonment as the
512 Aves Ridge, as a remnant arc, and the formation of the Lesser-Antilles arc (Aitken et al., 2011;
513 Neill et al., 2013; Allen et al., 2019). The most updated review on the evidence that supports
514 this model can be found in Romito & Mann (2020) and Mann (2021).

515

516 3.2. Paleogeography and paleocurrents

517

518 Paleogeographical changes are intimately linked to tectonic evolution and constitute the basis
519 for reconstructing the waxing and waning of past migration/dispersal pathways and barriers,
520 as fundamental traits for understanding biogeographical shifts. In this case, the main interest is
521 the paleogeographic situation during the EOT when, according to the current models, the
522



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 524
 525 **Figure 7.** Tectonic evolution and paleogeography of the Caribbean region. A) Tectonic evolution of the Caribbean
 526 plate from Late Cretaceous to present. Numbered lines indicate the position of the leading edge of the Caribbean
 527 plate at the Late Cretaceous (80 Ma), Middle Paleocene (60 Ma), Middle Eocene (44 Ma), Middle Oligocene (28 Ma),
 528 Middle Miocene (14 Ma), Early Pliocene (5 Ma) and the present (0 Ma). The approximate location of the advancing
 529 plate front during the EOT is indicated by a red line. The direction of the movement is indicated by blue arrows.
 530 Present-day continental and island configuration (green areas) are shown only for reference. Redrawn and
 531 simplified from Xie et al. (2010). B) Caribbean paleogeography at the Late Eocene/Early Oligocene transition (35-33
 532 Ma), showing the exposure of the Greater Antilles-Aves Ridge arc (GAARlandia) due to lowered EOT sea levels. Black
 533 lines show the approximate location of present coasts. AA, Anguilla-Antigua passage; AR, Aves Ridge; BH, Bahamas
 534 carbonate platform; BR, Beata Ridge; CA, Central America; CR, Cayman Ridge; CT, Cayman Trough; FS, Florida Strait;
 535 GA, Greater Antilles; LA, Lesser Antilles; NR, Nicaraguan Ridge; SA, South America; SCA, southern Central America;
 536 YC, Yucatán. Redrawn from Iturralde-Vinent (2006) and Iturralde & MacPhee (1999).

537
 538 advancing front of the Caribbean plate was close to the present-day eastern Greater Antilles
 539 and the Aves Ridge (Fig. 7). A number of paleogeographical reconstructions consider that,
 540 during the EOT, the Caribbean region was an open seaway that connected the Pacific and
 541 Atlantic Oceans, as the eastern and western plate boundaries were defined by volcanic island
 542 arcs open for marine circulation (e.g., Pindell & Kenan, 2006; Mann, 2021). Others, however,
 543 contend that the outstanding sea-level fall that characterized the EOT, combined with
 544 enhanced uplift, would have led to the emergence of a continuous land bridge between South
 545 America and the Greater Antilles, known as GAARlandia (Greater Antilles-Aves Ridge landspan)
 546 (Fig. 7), which blocked marine currents, except for a small opening in the Florida Strait

547 (MacPhee & Iturralde-Vinent, 1995; Iturralde-Vinent, 2006; Iturralde-Vinent & MacPhee, 1999)
548 (Fig. 7). According to these authors, GAARlandia would have existed for a short time period (<3
549 million years), thus facilitating the interchange of terrestrial flora and fauna between South
550 America and the Caribbean. The same authors suggest that, under these conditions, Caribbean
551 waters may have been cooled by the combined action of the EOT cooling and the southward
552 California current, entering the Caribbean by the southern seaway (today's southern Central
553 America) (Figs. 7 and 8).

554
555 The occurrence of the GAARlandia land bridge during the EOT could have been decisive for
556 marine circulation and, therefore, for climatic and biogeographical patterns across the region.
557 Presently, the dominant superficial current is the warm Caribbean Current, entering this sea
558 from the equatorial Atlantic through the Lesser Antilles and progressing to the Gulf of Mexico
559 via the Yucatan Current (Fig. 8). After loop circulation inside the gulf, waters flow outside
560 through the Florida Current to join the Antilles Current and develop the Gulf Stream. Cold
561 North Atlantic Deep Waters enter the Caribbean by the available passages in the Greater
562 Antilles. This circulation pattern has been established since the closure of the Panama Isthmus
563 in the Pliocene (~3 Ma; O'Dea et al., 2016). Before then, an open seaway existed in the south,
564 the Central America Seaway (Kirilova et al., 2019; Öğretmen et al., 2020), which connected the
565 proto-Caribbean with the Pacific circulation, at least since the Cretaceous. This seaway was
566 open during the EOT, when two main circulation modes might have existed, depending on the
567 occurrence or absence of GAARlandia. In the absence of this landspan, the proto-Caribbean
568 would have been connected with both the Atlantic and the Pacific, thus allowing circulation
569 between them. If GAARlandia actually existed, the Atlantic circulation would have been
570 blocked, and the Caribbean would have been connected only to Pacific currents. Another
571 possibility in the GAARlandia scenario is the occurrence of marine passages between the
572 Greater and the Lesser Antilles – called here the AA passage for its location between the
573 present Anguilla and Antigua banks – and the Aves Ridge (AR), which would have connected
574 the Caribbean and the Atlantic circulation to some extent (Cornée et al., 2021; Garrocq et al.,
575 2021).

576 577 3.3. Paleoclimates and paleo-sea levels

578
579 The few available oxygen isotope records from circum-Caribbean deep-sea marine cores and
580 terrestrial outcrops including the E/O boundary show that global EOT cooling also occurred in
581 the region (Fig. 9). Of these records, the Saint Stephens Quarry (SSQ) outcrop is the best
582 constrained chronologically and has the highest resolution and has been one of the keystone
583 sites used to characterize global EOT paleoenvironmental shifts (Keigwin & Croliss, 1986; Katz
584 et al., 2008; Miller et al., 2008; Wade et al., 2012; De Lira Mota et al., 2020). In this record,
585 three main events were recognized around the EOT (33.8-33.5 Ma), which demonstrated the
586 stepwise, rather than continuous, nature of this transition (Katz et al., 2008). The first 2.5 °C
587 cooling, as estimated from the Mg/Ca ratio of benthic foraminifera, occurred at 33.8 Ma (EOT-
588 1 event), accompanied by a minor 30 m relative sea-level fall (Fig. 9). After a return to pre-
589 event conditions, a second step (EOT-2; 33.63 Ma) took place that is difficult to quantify in
590 terms of temperature but occurred in a shallowing-upward sequence, indicating a sustained
591 sea-level fall. The third event occurred in the early Oligocene (Oi-1; 33.545 Ma) and was
592 characterized by a 2 °C cooling and a 105 m sea-level drop, which equates to a 67 m eustatic
593 fall. Adding glycerol-dialkyl-glycerol-tetraethers (GDGTs) measurements of archaea as
594 paleotemperature proxies in the same outcrop, Wade et al. (2012) estimated that, in the Gulf
595 of Mexico, the overall EOT sea-surface temperature (SST) cooling was 3-6 °C, and thermal
596 seasonality significantly increased in the Early Oligocene.
597

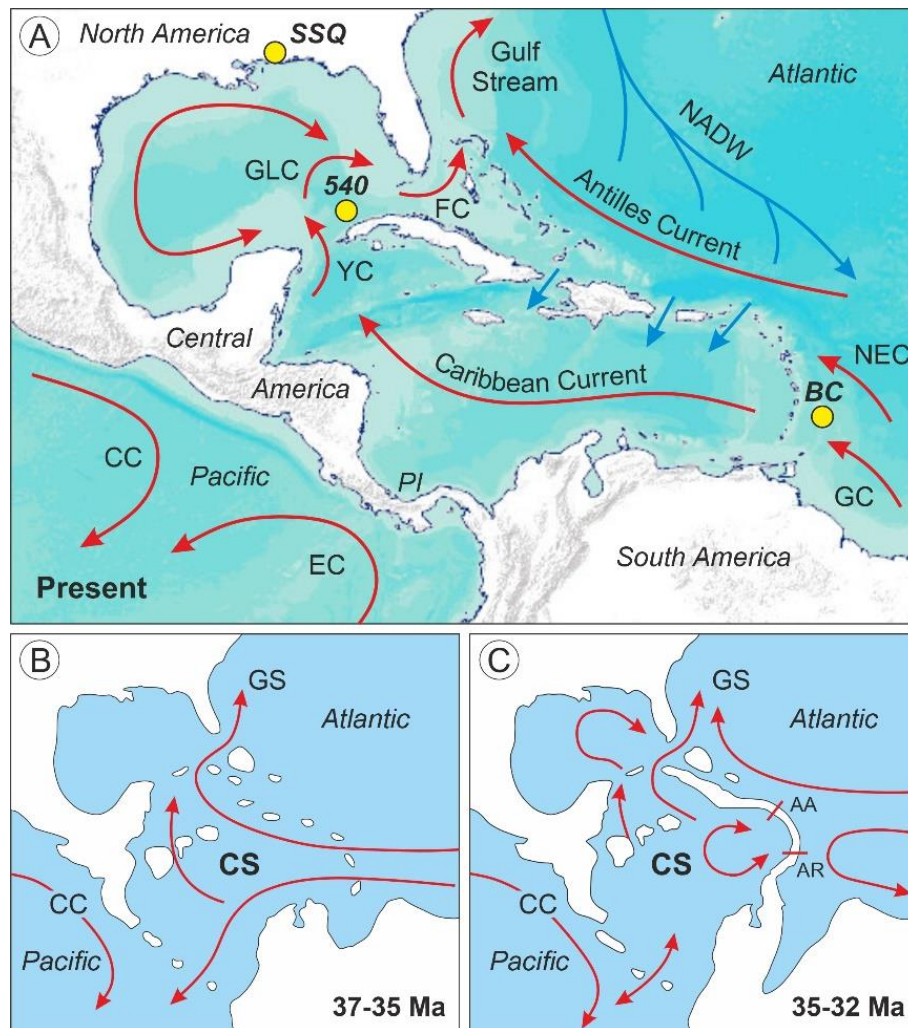
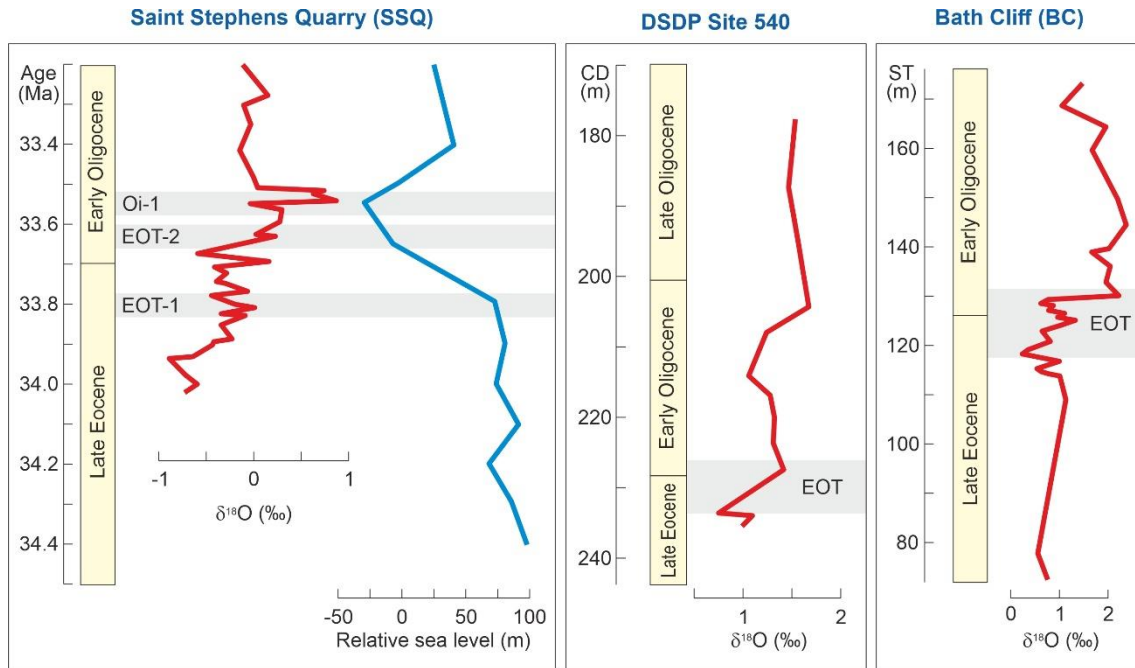


Figure 8. A) Present-day superficial (red arrows) and deep (blue arrows) marine currents of the Caribbean-Gulf of Mexico region. CC, California current; EC, Equatorial Countercurrent; FC, Florida Current; GC, Guiana Current; GLC, Gulf Loop Current; NADW, North Atlantic Deep Waters; NEC, North Equatorial Current; YC, Yucatan Current. Modified from Amador-Castro et al. (2021) and Öğretmen et al. (2020). The location of marine cores and terrestrial outcrops with EOT paleoclimatological studies are indicates by yellow dots: BC, Bath Cliff outcrop; SSQ, St. Stephens Quarry outcrop; 540, DSDP Site 540 (marine core). B-C) Late Eocene to Early Oligocene paleocurrents with and without the GAARlandia land bridge. AA, Anguilla-Antigua passage (Cornée et al. 2021); AR, Aves Ridge passage (Garroq et al., 2021); CS, Caribbean Sea. Redrawn from Iturralde-Vinent (2006).

3.4. Biogeography

The origin of the Caribbean biota has recently been discussed by Roncal et al. (2020), who considered four main, likely complementary, hypotheses for explaining the historical assembly of terrestrial Caribbean biogeographical patterns, with emphasis on plants: (i) Late Cretaceous vicariance, (ii) GAARlandia colonization, (iii) transoceanic dispersal, and (iv) in situ speciation. According to the Late Cretaceous vicariance (LCV) hypothesis, the Caribbean biota originated in a proto-Antillean land mass – which was the front of the migrating Caribbean plate and occupied a location similar to today's Central America between 80 and 70 Ma – and diversified by allopatric speciation following the eastward migration and the progressive fragmentation leading to the present Antilles (Rosen, 1975; Matos-Maraví et al., 2014). Critics argue that no proto-Antillean land masses existed before the Middle Eocene (40 Ma) (Iturralde-Vinent & MacPhee, 1999). These authors proposed the GAARlandia (GAA) hypothesis, according to which the colonization of the Antilles occurred later, in the Late Eocene/early Oligocene, and

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Figure 9. Circum-Caribbean oxygen isotope and sea-level records around the EOT (see Fig. 8 for the location of the study sites). The oxygen isotope curves are based on benthic foraminifera *Cibicides* spp. (SSQ and DSDP 540) and *Oridorsalis umbonatus* (BC). Sea levels relative to today were estimated from the percentage of benthic foraminifera *Uvigerina* spp. SSQ section is represented in a chronological scale and the other sections are in core depth (CD; Site 540) and section thickness (ST; BC section) metric scales. Redrawn from Belanger & Matthews (1984), Saunders et al. (1984) and Katz et al. (2008).

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proceeded from South America through the GAARlandia land bridge described above. The hypothesis of long-distance dispersal (LDD) from adjacent continents, especially South America, has been the preferred explanation for many decades (Darlington, 1983; Hedges et al., 1992; Regalado, et al., 2018). The main dispersal mechanisms would have been water currents flowing from South America to the Greater Antilles during the Cenozoic (Huber & Caballero, 2003; Sarnthein et al., 2009). Finally, the in situ speciation (ISS) hypothesis has been proposed to explain the origin and maintenance of high species richness and endemism characteristic of the region (Losos & Schluter, 2000).

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All the above hypotheses have some empirical support in the geographical distribution and/or the phylogeographical patterns of particular taxonomic groups, but there is no conclusive evidence that favors one over the others. However, some general trends can be suggested from recent studies using molecular phylogenetic methods. A recent Caribbean-wide meta-analysis estimated the colonization time of nearly 90 endemic plant lineages and concluded that plants have repeatedly colonized the Antilles for the last 60 Ma (roughly the Cenozoic) from continental America, especially from Central and South America (Roncal et al., 2020). This does not support the LCV hypothesis. In addition, more than 80% of the colonization events occurred after the EOT, which questions the GAA hypothesis as a robust explanation for the origin of the Caribbean biota. More than half of the species studied colonized the Caribbean during the last 10 Ma, which coincides with the estimate from a former study by Antonelli et al. (2018), who reported an increase in the number of Neotropical dispersal events during the same time period, thus favoring the LDD hypothesis. The same meta-analysis failed to find a relationship between the colonization time and the number of species in each endemic clade, which is unresponsive of the ISS hypothesis. Based on these results, Roncal et al. (2020) supported the LDD hypothesis and highlighted the role of Central America as a potential

658 source for Caribbean flora, as nearly 40% of the studied lineages were derived from this
659 continental area.

660

661 As this review is concerned with the EOT, the most relevant point is the occurrence or absence
662 of GAARlandia, which would have represented not only a dispersal pathway for terrestrial
663 biota, as commonly emphasized in current biogeographical models, but also a barrier to
664 marine dispersal between the Atlantic and Pacific oceans, as well as a major modifier of the
665 internal Caribbean circulation (Fig. 8). It should also be noted that many studies on the origin
666 of Caribbean biota are focused on island colonization, but within the scope of this review,
667 continental coasts are equally important, as usual environments for the occurrence of
668 mangrove ecosystems. Whether GAARlandia existed should be evaluated using direct
669 geological and geophysical evidence and is beyond the scope of this review, which is focused
670 on the biogeographical significance of the controversy. Indeed, although biogeography is at
671 the root of the GAARlandia concept, it can provide indirect evidence – that is, evidence that is
672 better understood in a GAARlandia scenario – but cannot demonstrate the actual existence of
673 this landspan. This section concentrates on this type of evidence; the potential influence of a
674 GAARlandia versus a non-GAARlandia scenario for the evolution of Caribbean mangroves will
675 be evaluated after discussing the paleontological evidence from mangrove ecosystems.

676

677 The term GAARlandia was introduced by MacPhee & Iturralde-Vinent (1995) to explain the
678 Early Miocene land mammal diversity of the Greater Antilles, specifically on the islands of Cuba
679 and Puerto Rico. Shortly before, the same authors had already mentioned the potential
680 occurrence of a continuous Oligocene subaerial pathway open to colonization by land
681 vertebrates between South America and the Greater Antilles, but they still did not use the
682 term GAARlandia to refer to it (MacPhee & Iturralde-Vinent, 1994). According to these
683 authors, during the Late Eocene–Oligocene, the founder clades of the Antillean mammals were
684 widely distributed across a “long-lasting positive topographic feature of the Caribbean basin”
685 that they named GAARlandia, including “Cuba, Hispaniola, Puerto Rico, Virgin Islands, Aves
686 Island, and some islands on the northern fringe of South America.” The disruption and
687 fragmentation of this emerged land mass since the Late Oligocene would have led to the
688 appearance of modern insular mammal faunas by vicariance and local extinction. By the time,
689 the occurrence of GAARlandia was considered useful for explaining the distribution of a
690 number of land faunal groups in the Greater Antilles (Borhidi, 1985; MacPhee & Iturralde-
691 Vinent, 1994, 1995, 2000, 2005; Iturralde-Vinent & Mac Phee, 1996, 1999). Recently, this
692 model has received support from molecular phylogeographic studies on a variety of taxonomic
693 groups, including amphibians, mammals, insects, arachnids and plants (Fritsch, 2003; Dávalos,
694 2004; Van Ee et al., 2008; Moen & Wiens, 2009; Alonso et al., 2012; Bacon et al., 2012; Deler-
695 Hernández et al., 2018; Pederneiras et al., 2018; Tong et al., 2019).

696

697 All the above considerations were based on biogeographical/phylogeographical patterns, and
698 direct geological/geophysical evidence for the existence of GAARlandia remains inconclusive
699 (Ali, 2012). The recent finding of geophysical (seismic) evidence for submerged environments
700 in the Anguilla–Antigua banks and the Aves Ridge during the EOT (Cornée et al., 2020, 2021;
701 Philippon et al., 2021; Garroq et al., 2021) (Fig. 7) questions the existence of a continuous
702 emerged land bridge from a physical perspective and has been used to dismiss the GAARlandia
703 hypothesis (Ali & Hedges, 2021). However, the debate continues, and some researchers
704 believe that, even in the absence of a continuous pathway for terrestrial organisms, biotic
705 connection could have occurred via stepping stone dispersal (Pennington & Dick, 2004;
706 Muellner-Riehl & Rojas-Andrés, 2022). In this hypothetical fragmented GAARlandia scenario,
707 intra-Caribbean circulation and the colonization of continental coasts, two paramount features
708 for water dispersal of mangrove trees, remain unknown. In the present state of knowledge,
709 mangrove biogeography and evolution across the EOT should consider the two general

710 paleogeographical scenarios represented in Fig. 8, which are abbreviated here as GAAR (with
711 the variant frag-GAAR) and non-GAAR.

712

713 4. The EOT Caribbean mangroves

714

715 Once the tectonic, paleogeographic, paleoclimatic and biogeographical Caribbean context has
716 been outlined, this section introduces the available qualitative and quantitative pollen records
717 useful for discussing the major disruption experienced by mangrove communities during the
718 EOT. More than 80 sites have been identified with palynological information suitable for
719 reconstructing mangrove evolutionary history (Table 2). The majority of these localities are
720 situated in today's countries of the southern Caribbean area (Fig. 10), notably Colombia and
721 Venezuela, which could be linked to the higher development of exploration activities for
722 natural resources, primarily oil and gas, in these countries. In the northern sector, only two
723 sites from Puerto Rico and Jamaica contained fossil mangrove records useful for this study,
724 whereas the other two from Cuba and Haiti (Hispaniola) hold pollen assemblages representing
725 inland environments. The oldest of these inland Greater Antillean records (site 80) was from
726 the Middle Eocene and suggested the occurrence of terrestrial vegetation of North American
727 floral affinities growing under warm-temperate to tropical climates (Graham et al., 2000). The
728 Haiti record (site 81) was Late Miocene in age and represented montane pine and cloud forests
729 also of North American affinity and characteristic of warm and rainy climates (Graham, 1990).
730 These records were used to dismiss the potential occurrence of arid climates (Graham, 2000)
731 and to support that proto-Cuba/Hispaniola islands were part of the North American plate and
732 were not directly connected to South America during the Cenozoic (Graham et al., 2000). This
733 could have implications for the existence or absence of the GAARlandia land bridge that will be
734 discussed in the next section.

735

736 **Table 2.** Eocene to Pliocene *Pelliciera* (represented by *Lanagiopollis crassa* and *Psilatricolporites crassus*) and
737 *Rhizophora* (represented by *Zonocostites ramonae* and other species of this genus) records from the Neotropics,
738 with indication of the relative abundance according to the original data reported in the corresponding papers (+
739 present, - absent or not mentioned). Numbers (N) correspond to localities depicted in Figs. 10 and 14. Age: Eo,
740 Eocene; Oli, Oligocene; Mio, Miocene; Plio, Pliocene; E, Early; M, Middle; L Late. Countries: BRA, Brasil; COL,
741 Colombia; CRI, Costa Rica; CUB, Cuba; GUA, Guatemala; GUY, Guyana; HAI, Haiti; JAM, Jamaica; MEX, Mexico;
742 Guatemala; PAN, Panamá; PER, Perú; PUR, Puerto Rico; SUR, Surinam; TRI, Trinidad; VEN, Venezuela.

743

N	Site/area	Country	Age	<i>Pelliciera</i>	<i>Rhizophora</i>	References
1	Columbus	TRI	Plio	+	+	Lamy (1986)
2	Orinoco	VEN	Plio	+	+	Pocknall et al. (2001)
3	Talamanca	CRI	Plio	+	+(?)	Graham & Dilcher (1998)
4	Montañita	VEN	Plio	+	+	Lorente (1986)
5	Jocotán	GUA	Plio	-	≤100%	Graham (1998)
6	Barinas	VEN	Mio-Plio	+	+	Bermúdez et al. (2017)
7	Gatun	PAN	Mio-Plio	-	≤80%	Graham (1990b, 1991)
8	Urumaco	VEN	Mio-Plio	+	≤70%	Lorente (1986); Hambalek et al. (1994)
9	Tig-141X	VEN	L Mio	+	≤20%	Lorente (1986)
10	Veracruz	MEX	L Mio	-	≤96%	Graham (1975, 1976)
11	Zamuro-1X	VEN	L Mio	+	≤50%	Lorente (1986)
12	Guanipa-1X	VEN	L Mio	+	≤70%	Lorente (1986)
13	Hervidero-1X	VEN	L Mio	+	≤60%	Lorente (1986)
14	Cucaracha	PAN	M Mio	-	≤60%	Graham (1988b)
15	Yopal	COL	M Mio	-	+	Dueñas & Van der Hammen (2007)
16	TJ	VEN	E Mio	+	+	Rull (2001)
17	Sheroli	CRI	E Mio	-	≤5%	Graham (1987)
18	Gold Hill	PAN	E Mio	-	Common	Graham (1988a)
19	SZZ-119X	VEN	E Mio	-	≤60%	Lorente (1986)
20	SCZ-124X	VEN	E Mio	+	≤60%	Lorente (1986)
21	IZZ-100X	VEN	E Mio	-	≤20%	Lorente (1986)

22	Cascadas	PAN	E Mio	≤3%	70-90%	Graham (1989)
23	Saltarín	COL	E/M Mio	+	Abundant	Jaramillo et al. (2017)
24	NZZ-154/160	VEN	E/M Mio	+	≤80%	Lorente (1986)
25	Los Pobres-1	VEN	E/M Mio	+	≤40%	Lorente (1986)
26	Pariaguán-1X	VEN	E/M Mio	≤10%	≤90%	Lorente (1986)
27	Panchita-1X	VEN	E/M Mio	+	≤90%	Lorente (1986)
28	SDZ-117X	VEN	E/M Mio	-	≤30%	Lorente (1986)
29	LSJ-3310	VEN	E/M Mio	+	≤60%	Lorente (1986)
30	LS-992B	VEN	E/M Mio	+	≤40%	Lorente (1986)
31	LL-370	VEN	E/M Mio	+	≤30%	Lorente (1986)
32	Pozón-SX	VEN	E/M Mio	≤10%	-	Lorente (1986)
33	CO-85	TRI	Mio	+	Abundant	Germeraad et al. (1968)
34	B-188	VEN	E Mio	≤3%	≤80%	Lorente (1986)
35	Alliance	SUR	Mio	+	+	Wijmstra (1969)
36	SLA	VEN	Mio	-	Abundant	Rull (1992)
37	Culebra-1X	VEN	Mio	+	≤80%	Lorente (1986)
38	Catatumbo-1X	VEN	Mio	≤10%	≤40%	Lorente (1986)
39	OG-1X	VEN	Mio	+	≤80%	Lorente (1986)
40	Mariñame	COL	E/M Mio	+	≤70%	Hoorn (1994)
41	Chorros	COL	Mio	+	≤20%	Hoorn et al. (2022)
42	La Frontera	PER	Mio	+	-	Parra et al. (2020)
43	105-AM	COL	E/M Mio	+	+	Jaramillo et al. (2017)
44	Pará	BRA	Mio	≤2%	≤40%	Antonioli et al. (2015); Aguilera et al. (20217)
45	Amazon	BRA	Oli-Mio	+	+	De Boer et al. (1965)
46	Maranhão	BRA	Oli-Mio	+	+	Regali et al. (1974)
47	Banneirinhas	BRA	Oli-Mio			Regali et al. (1985)
48	Coastal Plain	SUR	Oli-Mio	+	+	Amstelveen (1971)
49	Falcón	VEN	Oli-Mio	-	Abundant	Rull & Poumot (1997)
50	Maturín	VEN	Oli-Mio	+	-	Helenes & Cabrera (2002)
51	Chama	VEN	Oli-Mio	-	Common	Rull (1997a, 2002)
52	Shelter Belt	GUY	Oli-Mio	≤5%	≤90%	Van der Hammen & Wijmstra (1964); Wijmstra (1968)
53	Planeta Rica	COL	L Oli-E Mio	-	Abundant	Dueñas (1980)
54	Chafurray	COL	Oli-Mio	+	Abundant	Germeraad et al. (1968)
55	Furrial	VEN	Oli-Mio	+	+	Fasola et al. (1991)
56	Simojovel	MEX	Oli-Mio	≤2.5%	>95%	Langenheim et al. (1967); Graham (1999b)
57	Carbón	VEN	Oli	≤25%	≤50%	Lorente (1986)
58	Friata-1X	VEN	Oli	+	+	Lorente (1986)
59	Lares	PRI	Oli	≤2%	≤95%	Graham & Jarzen (1969)
60	Pozón-SX	VEN	Oli	≤10%	-	Lorente (1986)
61	COT-1X	VEN	Oli	+	Common	Rull (2003)
62	Tig-141X	VEN	Oli	+	-	Lorente (1986)
63	Concentración	COL	L Eo/E Oli	≤47%	-	Ochoa et al. (2012)
64	Delicias	VEN	Eo/Oli	+	-	Colmenares & Teran (1993)
65	Bogotá	COL	L Eo	≤15%	+	Ochoa et al. (2012)
66	COT-1X	VEN	L Eo	Com	+	Rull (2003)
67	Alcalde Díaz	PAN	M/L Eo	≤11%	≤10%	Graham (1985)
68	Tarra	VEN	M/L Eo	Common	+	Rull (1997b)
69	Paz del Rio	COL	M Eo	Abundant	-	Germeraad et al. (1968)
70	VLC	VEN	M Eo	≤60%	-	Rull (1998b, 2002)
71	Chapelton	JAM	M Eo	Common	-	Graham (1977)
72	Sagu	COL	M Eo	Common	-	De la Parra et al. (2021)
73	Icotea	VEN	M Eo	Abundant	-	Germeraad et al. (1968)
74	Piñalerita	COL	M Eo	Common	+	Jaramillo & Dilcher (2001)
75	Boscán	VEN	M Eo	+	+	Colmenares (1988)
76	Rubio/Lora	VEN	E/M Eo	+	-	Germeraad et al. (1968); Pocknall & Erlich (2020)

77	Maracaibo	VEN	Eo	Abundant	-	Rull & Poumot (1997)
78	Nuevo Mundo	COL	Eo	Abundant	-	Rodríguez-Forero et al. (2012)
79	Maché	VEN	E Eo	Common	-	Rull (1999, 2000, 2002)
80	Maraguán	CUB	M Eo	-	-	Graham et al. (2000)
81	Mirebalais	HAI	Mio-Plio	-	-	Graham (1990a)

744

745 The available evidence on Caribbean mangrove paleocommunities is discussed here
746 considering three main time intervals, namely, the Eocene, the Oligocene and the Neogene
747 (Miocene and Pliocene) (Fig. 10). The Eocene mangroves will not be discussed in depth, as they
748 were already analyzed and characterized in a former paper on the evolutionary origin of
749 Caribbean mangroves (Rull, 2022a). Here, we will concentrate on the EOT and the replacement
750 of the *Pelliciera* by the *Rhizophora* mangroves. It should be noted that a number of Oligocene
751 records are reported in the literature as Oligo-Miocene records (Table 2) for several reasons,
752 including the lack of sufficient chronological resolution or the transitional nature of the
753 sequence studied, among others. In this review, these records are included in the Oligocene
754 category, and only those clearly from the Miocene and Pliocene, as stated in the original
755 references, are placed in the Neogene category. Although the focus of this paper is on the EOT,
756 it has been considered that ignoring the Neogene, when *Rhizophora* mangroves attained their
757 maximum development, would have left the account incomplete. Despite this, Neogene
758 mangroves are not fully analyzed in terms of the ecological and evolutionary trends of their
759 components and the communities they develop since they deserve special treatment, and as
760 formerly stated, a more detailed analysis focused on the Neogene will be carried out in the
761 future.

762

763 It should be noted that a number of doubtful *Pelliciera* records exist for Europe, Africa and
764 North America (Germeraad et al., 1968; Machin, 1971; Elsik, 1974; Châteauneuf, 1980; Gruas-
765 Cavagnetto et al., 1980). According to Frederiksen (1985), however, the accuracy of these
766 identifications remains to be determined. Actually, *Pelliciera* is not mentioned in the extensive
767 review by Graham (1999b) about the Late Cretaceous and Cenozoic history of North American
768 vegetation, where mangrove dynamics and evolution are fully addressed. Therefore, this
769 review concentrates on confirmed *Pelliciera* records without ruling out the possibility that
770 future findings may offer new interpretations.

771

772 The representativity of pollen, in relation to its vegetation sources, is also a relevant parameter
773 to be considered when discussing fossil records. The relationship between the amount and
774 spatial patterns of sedimentary pollen and their parent plants is usually addressed by analogy
775 with modern studies. In the case of *Rhizophora*, modern analogs show that its anemophilous
776 (dispersed by wind) pollen is largely dominant in Caribbean mangrove assemblages due to its
777 high pollen production and dispersal power. This pollen is locally very abundant on mangrove
778 settings and decreases landward, but it remains abundant on shallow marine sediments in
779 front of coasts with mangroves (Rull, 2022b). Therefore, *Rhizophora* pollen is a reliable
780 indicator of coastal and shallow marine environments. The situation is less well known in the
781 case of *Pelliciera*, due its restricted distribution and the scarcity of studies on its pollen
782 dispersal. However, this plant is entomophilous (pollinated by insects) and its pollen is large
783 and heavy (Duke, 2020), and hence, it is sedimented mostly locally, not far from the
784 communities of its parent plant. Therefore, the presence of *Pelliciera* pollen, even in low
785 quantities, can be considered a reliable indicator of the presence of in situ stands of this tree.

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787 4.1. Eocene

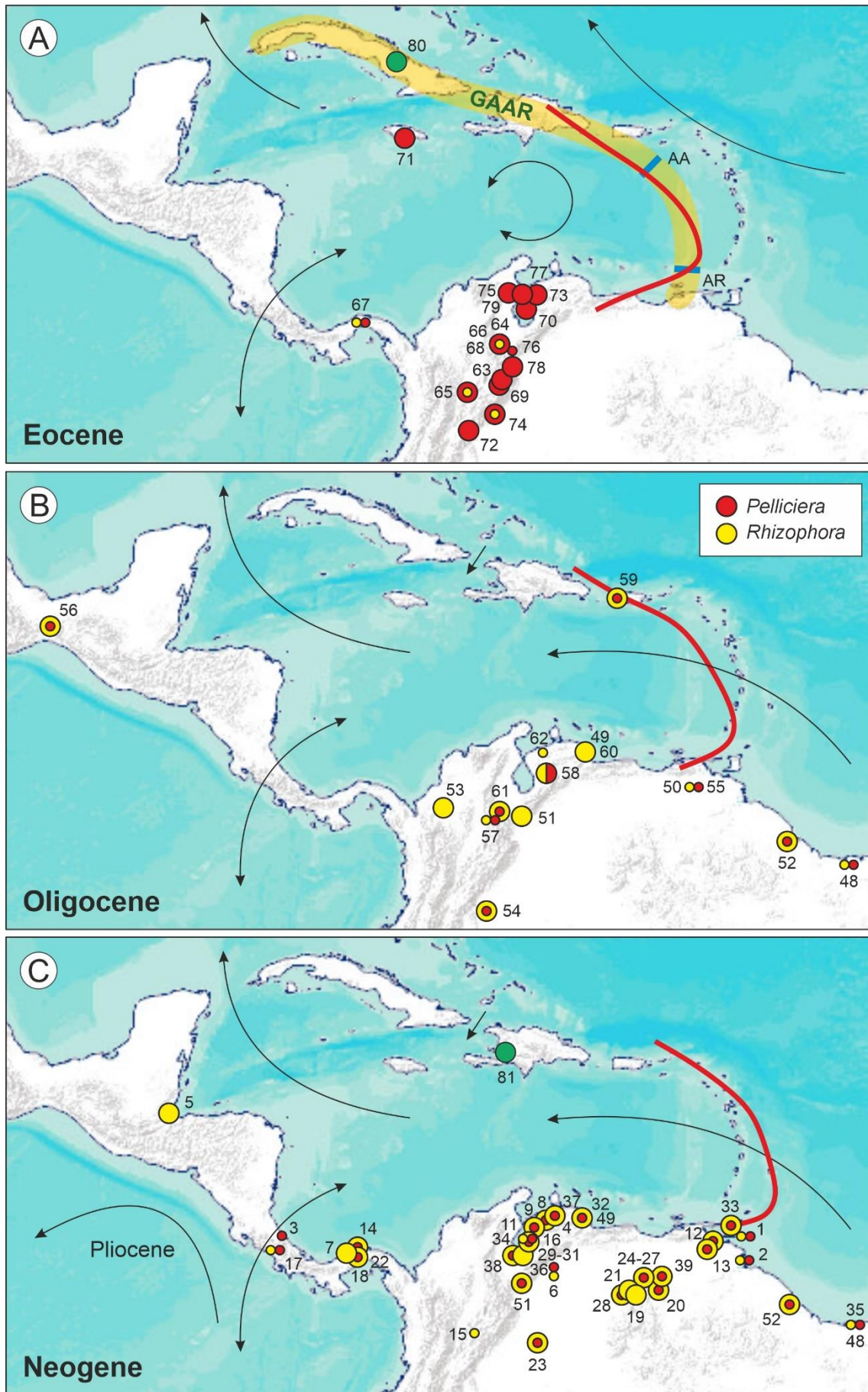
788

789 A clear spatial pattern can be observed in the Eocene records that, with the exception of a
790 single Jamaican record (site 71), are restricted to the present southern Caribbean margin (Fig.

791 10A). Noteworthy, all these records were situated west of the proposed GAARlandia
792 emplacement during the Eocene, which could be used to support the actual existence of this
793 landspan. In this scenario, *Pelliciera* mangroves would be considered isolated from the Atlantic
794 circulation and, therefore, under the influence of Pacific mangroves in terms of dispersal and
795 colonization. Indeed, modern studies have shown that the main dispersal agents for mangrove
796 propagules are coastal currents (Van der Stocken et al., 2019) (Fig. 11), and GAARlandia would
797 have acted as a barrier for Atlantic coastal continuity. All Eocene plate tectonic models agree
798 that the western margin of the Caribbean plate was marked by a volcanic island arc open to
799 Pacific circulation (Romito & Mann, 2020; Mann, 2021). Under the GAARlandia scenario, this
800 may have important implications for mangrove dispersal, as only Pacific colonization would
801 have been possible and, furthermore, the eventual Atlantic expansion of *Pelliciera* mangroves
802 would have been prevented.

803

804 There are three possible objections to this view. One is that GAARlandia was relatively
805 ephemeral – i.e., restricted to the last <3 million years of the Eocene epoch (Iturralde-Vinent,
806



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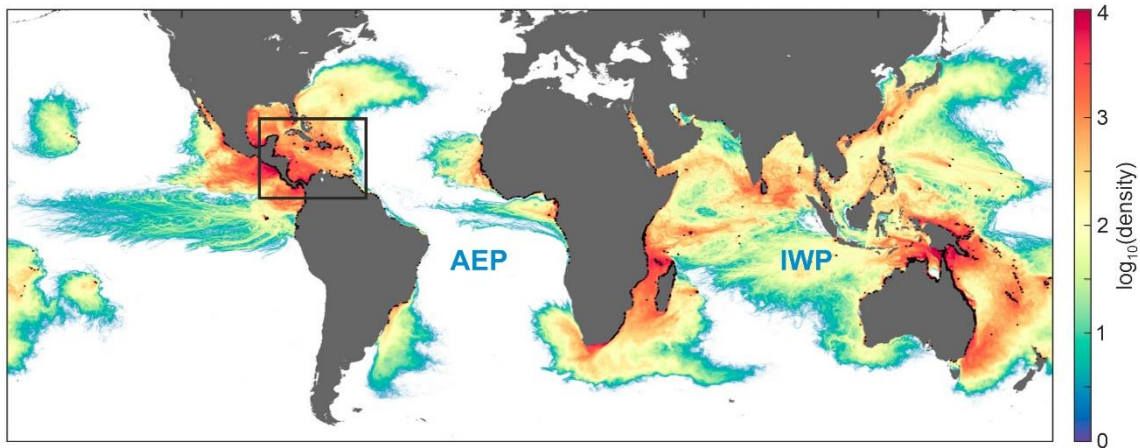
809 **Figure 10.** Eocene (A) Oligocene (B) and Neogene (C) *Pelliciera* and *Rhizophora* records from the Caribbean region
 810 (see Table 2 for site numbers and details). In some Oligocene sites, the distinction between Oligocene and Early
 811 Miocene was not possible (see Table 2). The dominant mangrove elements are indicated by larger dots and the
 812 scarcer mangrove components are represented by smaller dots. Green dots (sites 80 and 81) are localities with non-
 813 mangrove pollen assemblages (see text for details). The position of the advancing Caribbean plate boundary (thick
 814 red lines), the approximate location of the hypothetical EOT GAARlandia landspan (transparent yellow band) and
 815 the proposed marine connections AA and AR (blue strips) are indicated, following the chronological and
 816 paleogeographical frameworks of Fig. 7. Black thin lines indicate the main paleocurrents inferred from the
 817 paleogeographical reconstruction (see Fig. 8).

818
 819 2006) – whereas *Pelliciera* mangroves emerged in the Early Eocene and flourished in the
 820 Middle Eocene (Rull, 2022a), when the Atlantic connection was open through the present
 821 Lesser Antilles (Fig. 8B) and, hence, they would have had many opportunities for in-and-out
 822 Atlantic dispersal. Another drawback is that no *Pelliciera* mangroves were recorded in extra-
 823 Caribbean regions prior to the Middle Eocene that could have acted as sources for Caribbean
 824 mangroves, which implies that the mangroves were most likely the result of in situ evolution
 825 rather than Pacific immigration (Rull, 2022a). Finally, the closure of GAARlandia would have
 826 been incomplete, as some degree of Atlantic influence could have been possible through the
 827 AA and AR passages (Cornée et al., 2012; Garroq et al., 2021). The full picture suggests that the
 828 eventual occurrence of a dispersal barrier such as GAARlandia could hardly have had a major
 829 influence on the biogeographical patterns of the Eocene *Pelliciera* mangroves, except for a
 830 relatively short Late Eocene time lapse.

831
 832 During the Eocene, *Rhizophora* was mostly absent from the Caribbean region, with only
 833 scattered and rare occurrences, and when present, it was only a minor mangrove component,
 834 as already noted by Graham (1977) on the basis of qualitative records. This observation is
 835 reaffirmed here using the available qualitative and quantitative records summarized in Table 2
 836 and Fig. 10. Indeed, of the 17 Eocene records reported (sites 63 to 79), *Rhizophora* was absent
 837 or not mentioned in 11, present in five and relatively frequent (up to 10%) in only one locality
 838 from Panamá (site 67). In this case, biogeographical patterns and processes are of a very
 839 different nature at both regional and global scales. Indeed, as suggested by phylogeographical
 840 studies, *Rhizophora* would have emerged in the IWP and then dispersed to the EAP during the
 841 Eocene using the Tethys seaway (Takayama et al., 2021). According to these results,
 842 *Rhizophora* would have arrived in the Caribbean via the Atlantic Ocean. Studies on modern
 843 dispersal pathways for mangroves show that the combination of intercontinental distance,
 844 predominant currents and floating propagule longevity makes the Atlantic a barrier for
 845 mangrove dispersal, even in the case of *Rhizophora*, whose propagules remain viable for a year
 846 or more when floating in salt water (Rabinowitz, 1978). In the Eocene, however, the Atlantic
 847 was less extensive, and coastal dispersal through the former pantropical Tethys Sea was still
 848 possible (Fig. 5). If we consider that the GAARlandia barrier, if it existed, was only present for a
 849 few million years close to the EOT, the dispersal of *Rhizophora* from the IWP to the Caribbean
 850 via the Atlantic pathway would have been theoretically possible during most of the Eocene.

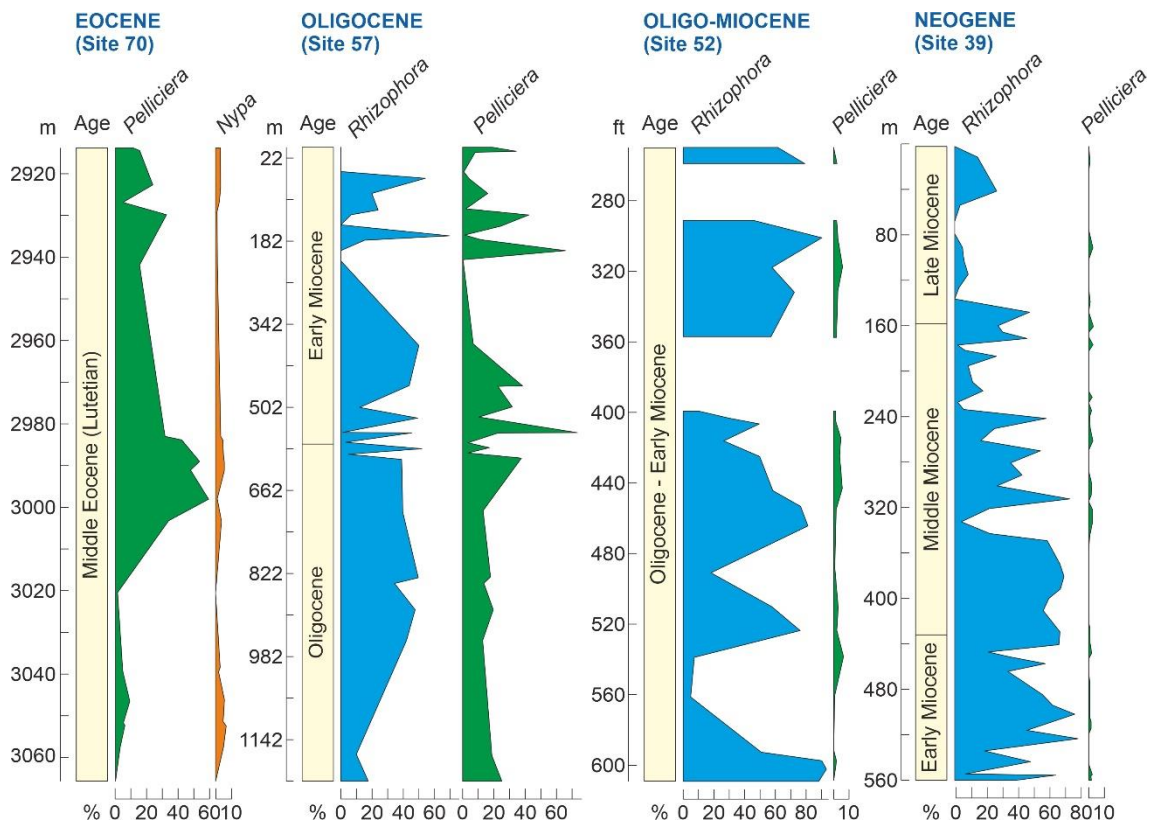
851
 852 One of the best quantitative examples of Eocene mangroves is from well VLC (site 70) in
 853 Venezuelan Lake Maracaibo, where *Pelliciera* was the dominant mangrove-forming tree during
 854 the Middle Eocene (Lutetian), associated with the palm *Nypa* (now restricted to the IWP;
 855 Pocknall et al., 2022), whereas *Rhizophora* was absent (Rull, 1998b) (Fig. 12). Examples with
 856 similar quantitative data are available from sites 69 (Paz del Rio, Colombia), 73 (Icotea,
 857 Venezuela), 74 (Piñalerita, Colombia) and 76 (Rubio Road and Rio Lora, Venezuela) (Germeraad
 858 et al., 1968; Jaramillo & Dilcher, 2001; Pocknall & Erlich, 2020). At other sites, only qualitative
 859 (presence/absence), semi-quantitative (abundant, common) or single-sample quantitative
 860 (percentage) information is available (Table 2).

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Figure 11. Present-day mangrove dispersal pathways represented as the simulated propagule trajectory density across the global ocean. The model combines the prevailing patterns of ocean currents with the viability of mangrove propagules during floating periods. Higher dispersal probability is represented by red tones and lower dispersal probability by blues tones, white represents zero probability. The Caribbean area is highlighted by a black box. Modified from Van der Stocken et al. (2019).



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Figure 12. Eocene to Miocene representative Caribbean pollen diagrams showing the percentage trends of *Pelliciera* and *Rhizophora*. Depth scales in meters (m) and feet (ft); in sites 57 and 39, the depth scales are in relation to the top of the Miocene section. Redrawn from Van der Hammen & Wilmstra (1964), Lorente (1986) and Rull (1998b).

4.2. Oligocene

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During the Oligocene, *Rhizophora* and *Pelliciera* expanded their geographical range beyond the proto-Caribbean Sea, reaching the northernmost plate boundary in the Greater Antilles (site 59), Central America (site 56) and the Atlantic Guianan coasts (sites 48 and 52) (Fig. 10B). The expansion of these mangrove trees, however, was of a radically different nature. Indeed, while

882 *Rhizophora* spread was accompanied by a general population increase that made this species
883 dominant in mangrove communities, *Pelliciera* expansion involved significant population
884 reductions that turned this tree into a subordinate component of mangrove vegetation. In
885 some places (sites 52, 56 and 59), *Rhizophora* accounted for up to 90-95% of pollen
886 assemblages (Van der Hammen & Wijmstra, 1964; Graham & Jarzen, 1969; Graham, 1999b),
887 whereas in others (site 57), *Rhizophora* represented up to half of pollen counts (Lorente, 1986)
888 (Fig. 12). The decline of *Pelliciera* was also somewhat heterogeneous, but its pollen frequency
889 was always below 10%, except in one case (site 57), where it reached 25% of the assemblage
890 (Lorente, 1986). According to qualitative and semi-qualitative records, *Pelliciera* is present in
891 nine cases and absent/not mentioned in three (Table 2). The whole picture is consistent with a
892 general expansion of Caribbean mangroves linked to the replacement of *Pelliciera* by
893 *Rhizophora* as the dominant tree.

894
895 Both Atlantic and Pacific pathways were open for dispersal through the corresponding volcanic
896 island arcs (Mann, 2021), which can help explain the Oligocene mangrove expansion. However,
897 it could be asked why Caribbean mangroves did not experience a similar expansion during the
898 Eocene, when similar marine circulation conditions existed (except for the possible short
899 GAARlandia interval). The answer may be related to the EOT dominance shift and the
900 ecological requirements of the taxa involved. First, it should be noted that the dispersal
901 capacity (vagility) of *Rhizophora* is clearly superior to that of *Pelliciera*, as the *Rhizophora*
902 propagules first can float in salt water for several months and are able to maintain their
903 viability for a year or more, whereas the *Pelliciera* propagules have a maximum flotation
904 period of barely a week and a maximum viability period of 70 days (Rabinowitz, 1978). This
905 could help explain the expansion of Oligocene *Rhizophora* mangroves compared to Eocene
906 *Pelliciera* mangroves. However, the dispersal capacity alone is insufficient to explain why
907 *Pelliciera* also expanded in the Oligocene, together with *Rhizophora*. One possibility could be
908 related to the phenomenon of ecological facilitation, in which a species provides refuge to
909 another in the face of environmental stress, predation or competition, thus allowing survival
910 under suboptimal conditions (Boucher et al., 1982; Callaway, 1995; Stachowicz, 2001; Bruno et
911 al., 2003). This possibility is supported by recent studies on modern mangroves from Central
912 America, as explained below.

913
914 Extant *Pelliciera rhizophorae* is highly sensitive to light intensity and salinity, and the
915 combination of high levels of these environmental stressors leads to increased mortality, lower
916 photosynthesis rates and reduced growth. When this species grows under shade conditions,
917 however, it can tolerate high salinities, which suggests that light intensity is the main limiting
918 factor for *P. rhizophorae*. As a result, this species is unable to establish in sites with an open
919 canopy and grows in the understory beneath the canopy of other tree species that, in the case
920 of Central America, is provided by *Rhizophora mangle*, which is more tolerant to
921 environmental stressors (Dangremond et al., 2015). Interspecific competition with *Rhizophora*
922 had been proposed in the past as a possible cause for the post-Eocene decline of *Pelliciera*
923 (Jiménez, 1984; Graham, 1977). The study by Dangremond et al. (2015) demonstrates that, on
924 the contrary, *Rhizophora* could have facilitated the survival of *Pelliciera* by providing protection
925 against harmful environmental drivers. The possibility of plant competition relaxation in favor
926 of facilitation relationships under biotic and abiotic stress cannot be disregarded, as
927 demonstrated by modern ecological studies worldwide (He et al., 2013).

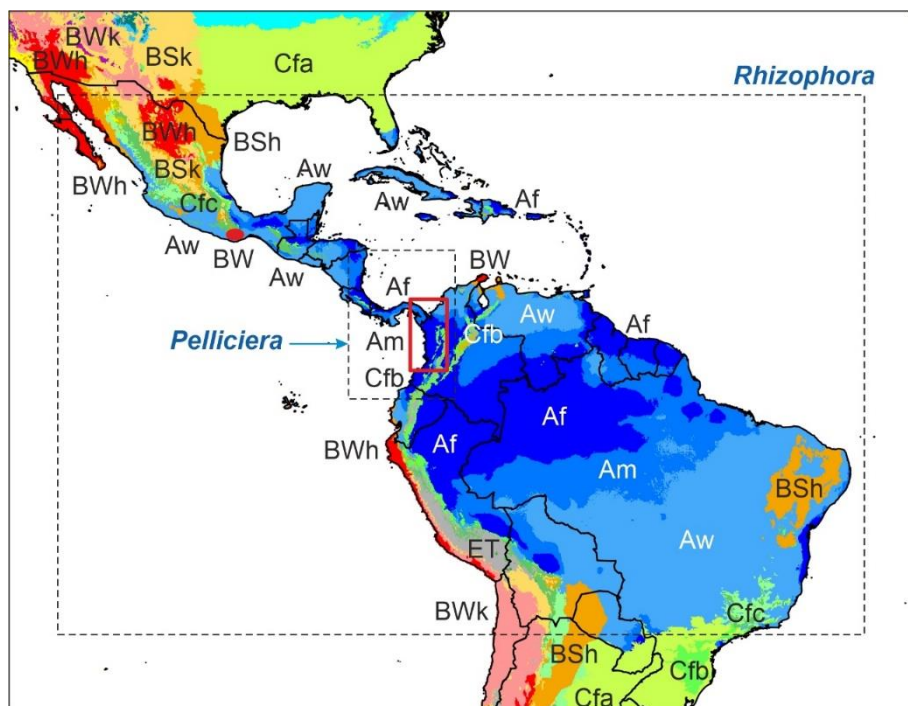
928
929 Other environmental factors, such as temperature, rainfall and sea-level changes, have also
930 been proposed as potential causes for *Pelliciera* reduction (Fuchs, 1970; Graham, 1977, 1995).
931 The chronological coincidence of *Pelliciera*-dominated and *Rhizophora*-dominated mangroves
932 with global EOT cooling and sea-level fall (sections 2.1 and 3.3) is noteworthy, but any causal
933 explanation should rely on the ecology of these taxa, which is unknown and can only be

934 addressed by comparison with their modern counterparts. Comparisons of this type are not
 935 unusual in paleoecological reconstructions and are based on the principle of niche
 936 conservatism over time, which is especially useful in the case of long-lasting ecosystems such
 937 as mangroves (Wiens & Graham, 2005; Hadly et al., 2009; Wiens et al., 2010).

938
 939 Climatically, *Rhizophora* is eurytopic (euryclimatic), as it lives under a variety of conditions with
 940 a relatively wide range of temperature and precipitation values and regimes, including tropical,
 941 subtropical, arid and temperate climates (Fig. 13, Table 3). In contrast, *Pelliciera* is stenotopic
 942 (stenoclimatic), as it is restricted to a small patch of equatorial climates characterized by high
 943 temperature and precipitation values and low seasonality. Typical values measured in modern
 944 *P. rhizophorae* studies are ~27 °C for average temperatures (minimum ~18 °C and maximum
 945 ~33 °C) and 1650-3050 mm of total annual precipitation (Castillo-Cárdenas et al., 2015;
 946 Dangremond et al., 2015). Under these conditions, a temperature drop like the EOT cooling is
 947 expected to have a greater influence on a stenothermic tree like *Pelliciera* than on a more
 948 eurythermic one like *Rhizophora*. In theory, *Pelliciera* mangroves could have adapted – in an
 949 evolutionary sense, that is, by experiencing genetic change – to the new climates or migrated
 950 toward more equatorial latitudes along the Pacific coasts, but none of this is observed in the
 951 fossil record. Instead, severe population reductions and local extinctions are documented at
 952 most sites (Fig. 10), likely due to the combined effects of stenothermy and low vagility. In
 953 contrast, *Rhizophora* not only accommodated the cooler Oligocene climates but also increased
 954 its geographical range and the size of its populations, thus becoming dominant in the
 955 mangrove communities, thanks to its higher phenotypic plasticity indicated by its wider
 956 environmental tolerance and greater dispersal capacity. The new *Rhizophora* mangrove
 957 ecosystems could have provided shelter for *Pelliciera* survival, as explained above.

958
 959 Whether EOT cooling was accompanied by a shift toward aridification and/or enhanced
 960 seasonality in the Neotropics remains unclear, but, whatever the case, *Rhizophora* would have
 961 also been more efficient in withstanding these changes, if we consider the variety of
 962 precipitation regimes in which it is able to live at present (Fig. 13). In a climatic context, it is
 963 difficult to escape the idea that *Rhizophora* could have outcompeted *Pelliciera* in the EOT, in
 964 which case the proposal that *Rhizophora* mangroves could have provided refuge for *Pelliciera*
 965 survival and range expansion would seem contradictory. However, in ecology, it is well known
 966 that competition does not necessarily lead to extinction, and the coexistence of competing
 967 species is possible by niche segregation, which is essential for the maintenance of biodiversity
 968 (MacArthur & Levins, 1967; Violle et al., 2011; Kosicki, 2022). Niche segregation can occur by
 969 spatiotemporal and/or functional divergence, and a variable degree of overlap may exist. In
 970 the case of EOT mangroves, *Rhizophora* and *Pelliciera* would have differentiated in features
 971 such as dominance or autecological requirements (functional segregation), maintaining the
 972 same habitat (spatial overlapping).

973
 974 Working on oceanic island vegetation, Fernández-Palacios et al. (2021) found that the
 975 dominant species of major plant communities, which they called “ecological winners”, usually
 976 are non-diversified immigrant species. In contrast, species that successfully evolve in situ by
 977 radiation, the so-called “evolutionary winners”, are abundant only in marginal habitats and are
 978 therefore considered “ecological losers”. Oligocene Caribbean mangrove communities could
 979 be considered to have some parallelisms with this situation, with *Rhizophora* – likely arriving
 980 by long-distance dispersal from the AEP region – as an ecological winner and *Pelliciera* – which
 981 evolved in situ and was replaced by *Rhizophora* as the dominant species – as an evolutionary
 982 winner but an ecological loser. In this case, however, the situation is more complex, as the
 983 ecological winner was precisely the one that provided the ecological loser the microhabitat
 984 conditions for becoming an evolutionary winner. Indeed, *Pelliciera* lost its dominance in favor
 985 of *Rhizophora* but obtained not only a microhabitat more protected from environmental



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Figure 13. Approximate longitudinal and latitudinal amplitude of *Rhizophora* and *Pelliciera* species' distribution in the Neotropics (see Fig. 6), in relation to the climatic types according to the Köppen-Geiger classification (Table 3). The Chocó region, one of the wettest places on Earth (~13,000 mm y⁻¹; Yepes et al., 2019), is highlighted by a red box. Base map from Peel et al. (2007).

Table 3. Description of Köppen-Geiger climate types represented in Fig. 13 and defining criteria (Kottek et al., 2006; Peel et al., 2007). MAP, mean annual precipitation; MAT, mean annual temperature; Th, temperature of the hottest month; Tc, temperature of the coldest month; T10, number of months where the temperature is above 10; Pd, precipitation of the driest month; Pt (threshold) varies according to the following rules: if 70% of MAP occurs in winter then Pt = 2 x MAT, if 70% of MAP occurs in summer then Pt = 2 x MAT + 28, otherwise Pt = 2 x MAT + 14. Temperature in °C and precipitation in mm.

1	Description	Criteria
Af	Tropical, rainforest	Tc≥18, Pd≥60
Am	Tropical, monsoon	Tc≥18, not Af, Pd≥100-MAP/25
Aw	Tropical, savanna	Tc≥18, not Af, Pd<100-MAP/25
BWh	Arid, desert, hot	MAP<5Pt, MAT≥18
BWk	Arid, desert, cold	MAP<5Pt, MAT<18
BSh	Arid, steppe, hot	MAP≥5Pt, MAT≥18
BSk	Arid, steppe, cold	MAP≥5Pt, MAT<18
Cfa	Temperate, no dry season, hot summer	Th>10, 0<Tc<18, Th≥22
Cfb	Temperate, no dry season, warm summer	Th>10, 0<Tc<18, not a, T10≥4
Cfc	Temperate, no dry season, cold summer	Th>10, 0<Tc<18, not a/b, T10<4
ET	Polar, tundra	0<Th<10

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stressors but also a formerly non-existent agency for expanding its geographical range. In ecological terms, competition would have led to mutualistic and facilitative relationships mediated by functional niche segregation with a degree of physical overlap.

In addition to rapid cooling, the EOT sea-level fall also could have had an influence on mangrove replacement. As mentioned in sections 2.1 and 3.3, a sea-level drop of ~70 m has been proposed at both global and regional (Caribbean) scales, which would have exposed shallow marine shelf environments. Beyond the existence or absence of GAARlandia, which is discussed in sections 3.2 and 3.4, this sea-level fall would have increased the extension and connectivity of coastal areas suitable for mangrove establishment and dispersal (Fig. 7B),

1011 which would have favored the mangrove expansion documented for the Oligocene (Fig. 10B).
 1012 This would have facilitated the expansion of generalist mangrove elements with high
 1013 dispersion capacities, such as *Rhizophora*, over the more specialized and less vagile *Pelliciera*,
 1014 which would have contributed to the EOT mangrove revolution by magnifying the effect of
 1015 temperature decline.

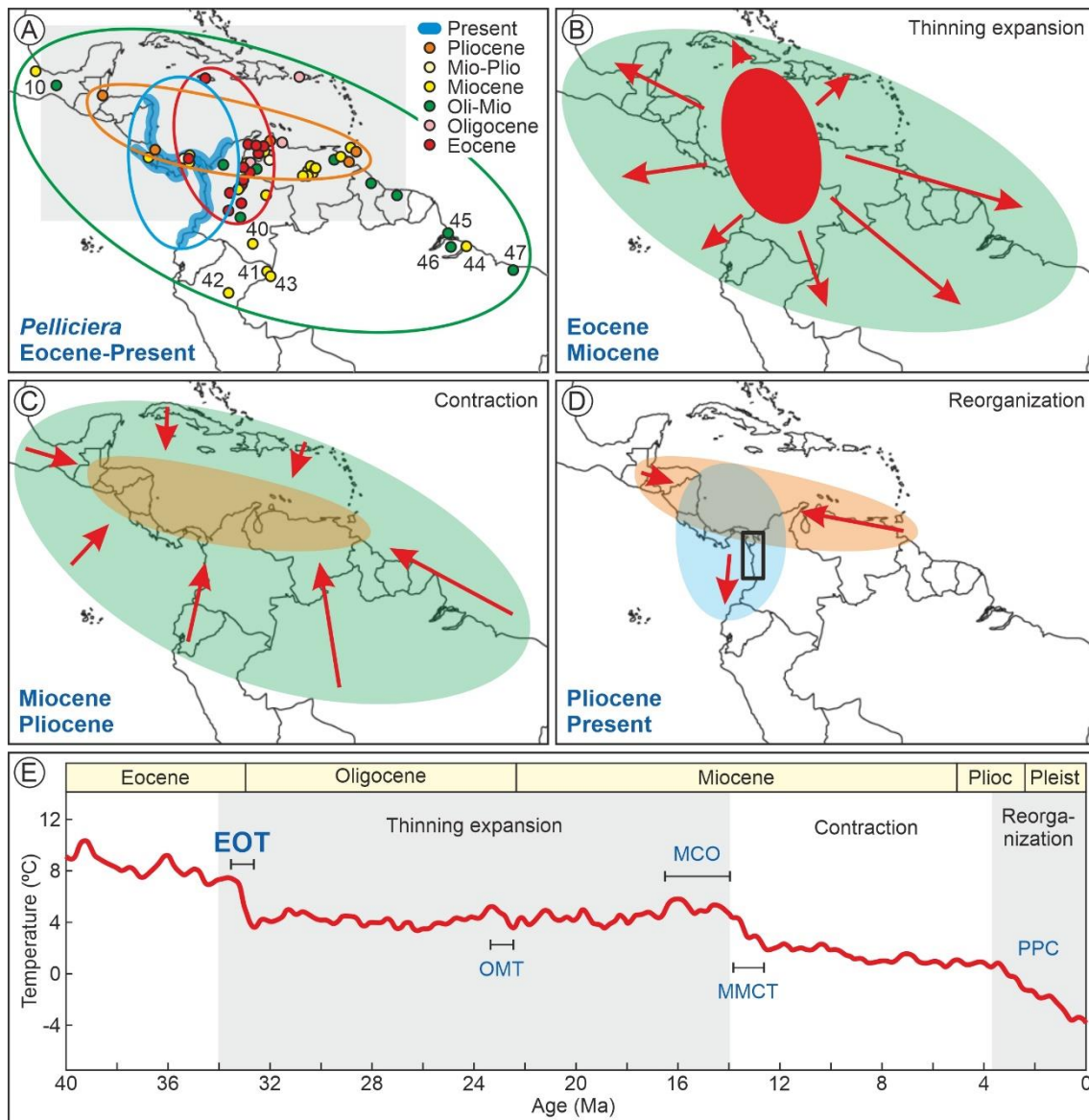
1016 1017 4.3. Neogene

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 1019 A brief comment on Miocene and Pliocene records is necessary to understand the full and, for
 1020 the time being, irreversible replacement of *Pelliciera* by *Rhizophora* mangroves, as well as for
 1021 the further analysis of *Pelliciera* range shifts leading to its present-day biogeographical
 1022 patterns. Neogene records are concentrated in the southern Caribbean region, with new
 1023 localities in Central America and northern South America (Colombia and Venezuela). Most of
 1024 the communities represented are *Rhizophora* mangroves with *Pelliciera* as a subordinate
 1025 element (Fig. 10). Marine circulation patterns were similar to those of the Oligocene, except
 1026 for the last ~3 million years (Pliocene), when the Pacific connection disappeared after the
 1027 closure of the Panamá Isthmus (O’Dea et al., 2016). A high proportion of Mio-Pliocene records
 1028 are quantitative (Table 2) and collectively show dominance by *Rhizophora*, whereas *Pelliciera*
 1029 attains a frequency up to 3-10% in a few samples and is barely present in most of the others. A
 1030 representative example is from site 39 (Venezuela), where *Rhizophora* shows abundance
 1031 values up to 80% and *Pelliciera* shows scarce and discontinuous occurrences (Fig. 12). These
 1032 Miocene *Rhizophora* mangroves were the direct ancestors of present-day ones, which
 1033 emerged after progressive Neogene diversification with no evident extinction or significant
 1034 range shifts (Graham, 1995). Detailed analysis of this process using quantitative pollen
 1035 evidence remains to be addressed and will be the target of a third article, in addition to Rull
 1036 (2022a) and this paper, on the origin and evolution of Caribbean mangroves.

1037 1038 **5. *Pelliciera* range shifts and potential causes**

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 1040 A favorite topic in the study of Neotropical mangrove evolution has been the contraction of
 1041 the geographical range of *Pelliciera* from the Miocene to the present and its potential causes,
 1042 which include those already mentioned in the former section for the EOT replacement of
 1043 *Pelliciera* by *Rhizophora* mangroves (Wijmstra, 1968; Fuchs, 1970; Graham, 1977, 1995;
 1044 Jiménez, 1984; Rull, 1998a, b, 2001a). However, the *Pelliciera* range shifts initiated well before
 1045 and did not consist of a single monotonous contraction trend. Using the evidence gathered in
 1046 this paper, the biogeographical history of *Pelliciera* has been subdivided into three main
 1047 phases (Fig. 14). The first phase (I) began in the EOT and was characterized by the geographical
 1048 expansion of *Pelliciera* accompanied by the significant reduction of its populations. The term
 1049 “dilution”, which would seem ideal to describe this phenomenon, has already been used to
 1050 refer to a different biogeographical concept (Keesing et al., 2006, 2010). Here, this
 1051 phenomenon, which extended until the Miocene, will be labeled “thinning expansion”. The
 1052 second phase (II) was the contraction of the widespread Miocene range, which encompassed a
 1053 substantial part of the Neotropics, to a narrower latitudinally restricted band situated along
 1054 the southern Caribbean margin. This is the Mio-Pliocene range contraction. The third phase
 1055 (III) took place between the Pliocene and the present and consisted of the reorganization of
 1056 the southern Caribbean Pliocene band to attain the current *Pelliciera* distribution in
 1057 Mesoamerica and NW South America. This is called the Plio-Pleistocene reorganization.

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Figure 14. Eocene to present distribution of *Pelliciera* (A) and range shifts experienced by this mangrove tree during this time lapse (B to D), compared with the average global temperature trends expressed as the difference to today (E). The Caribbean region represented in Fig. 10 is highlighted by a gray box (A) and the Chocó region is marked by a black box (D). The localities already mapped and labelled in Fig. 10 are depicted but not named, only the sites beyond the Caribbean region are identified using the numbers of Table 2. OMT, Oligo-Miocene Transition; MCO, Miocene Climate Optimum; MMCT, Middle Miocene Climate Transition; PPC, Plio-Pleistocene Cooling. Temperature curve and climatic events from Westerhold et al. (2020).

5.1. EOT–Miocene thinning expansion

The potential causes of Eocene–Miocene thinning expansion have already been discussed, and EOT cooling has been considered a potentially important climatic driver (section 4.2). It could be added that the biogeographical outcome, that is, the spreading of tiny *Pelliciera* populations within a matrix of dominant *Rhizophora* mangroves, is similar to the concept of diffuse microrefugia, where small stands of a given species are able to thrive in favorable microhabitats under generally unfavorable macroenvironmental conditions (Rull, 2009, 2010).

1085 5.2. Miocene–Pliocene contraction

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1087 The Mio-Pliocene contraction occurred during a phase of extended climatic stability after the
1088 Middle Miocene Climate Transition (MMCT), and the Pliocene–present reorganization took
1089 place during the Plio-Pleistocene cooling (PPC), which included the Pleistocene glaciations.
1090 Therefore, a coincidence between *Pelliciera* range shifts and the most relevant temperature
1091 drops is apparent, although a causal explanation is elusive, as the same climatic shift (i.e.,
1092 cooling) is linked to disparate biogeographical events (i.e., expansion, contraction, and
1093 reorganization). It should also be noted that *Pelliciera* range shifts were not paralleled by
1094 similar episodes in Neotropical *Rhizophora* mangroves, which were experiencing a continuous
1095 expansion and diversification process since the EOT, as shown in sections 4.2 and 4.3 – see also
1096 Graham (1995) and Rull (1998a). Therefore, the *Pelliciera* range shifts can be regarded as
1097 specific to this mangrove tree rather than consequences of community-scale biogeographical
1098 phenomena. It is possible that environmental shifts overcame the narrow environmental
1099 tolerance of *Pelliciera* and that the buffering provided by *Rhizophora* mangroves was
1100 insufficient to guarantee survival in certain areas, thus leading to local extinction. This would
1101 be valid for explaining the Mio-Pliocene range contraction but not the EOT–Miocene range
1102 expansion, and the fact that both occurred after coolings of similar magnitude (Fig. 14E) seems
1103 to argue against temperature as the main driver. In addition, Mio-Pliocene range contraction
1104 was a centripetal trend toward the southern Caribbean margin rather than latitudinal
1105 displacement toward the equator, as would be expected if temperature was the main
1106 environmental forcing.

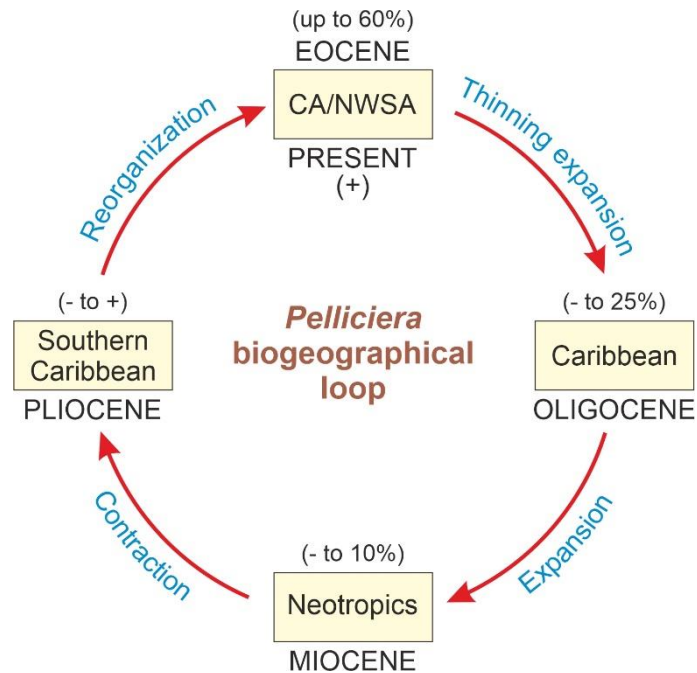
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1108 The possibility of precipitation – or hydrological balance (i.e., the precipitation/evaporation
1109 ratio) – being an important forcing for *Pelliciera* range shifts is difficult to evaluate because of
1110 the lack of information on precipitation patterns in the Caribbean region during the period of
1111 study. Once more, analogies with present conditions could provide some clues. As quoted
1112 above, *Pelliciera* is restricted to nearly equatorial warm and wet climates with little or no
1113 seasonality (Fig. 13, Table 3). Actually, the current distribution area of this mangrove tree is
1114 centered on one of the wettest regions of Earth, the Chocó region, with huge and unparalleled
1115 precipitation values on the order of 13,000 mm per year (Yepes et al., 2019). This suggests that
1116 the present distribution of *Pelliciera* is heavily influenced by precipitation. Using the principle
1117 of niche conservatism and considering the strong stenoclimatic nature of *Pelliciera*, it could be
1118 assumed that the threshold response for this tree to precipitation shifts would be easily
1119 attained and, hence, its distribution patterns would be affected even by small rainfall declines.
1120 For example, looking at the Pliocene distribution area (Fig. 14C), it could be hypothesized that
1121 the southern Caribbean sector would have remained perhumid during this geological epoch,
1122 whereas the surrounding areas would have been under rainfall regimes insufficient for
1123 *Pelliciera* survival. However, given the present state of knowledge, this type of reasoning is
1124 circular and, as in the case of temperature, cannot explain why the same taxon responds
1125 differently to the same climatic forcing. Regarding past precipitation seasonality, the same
1126 constraint of lack of information applies, but it would be interesting to consider this parameter
1127 in future paleoclimatic studies, especially in relation with the potential influence of relatively
1128 short-term (~20,000-100,000 yr period) Milankovitch cycles (Bennett, 1997).

1129

1130 Whether precipitation would have influenced *Pelliciera* in a straightforward manner or
1131 indirectly by affecting salinity, or both, is still difficult to assess, but this possibility should not
1132 be disregarded, as rainfall and soil salinity are inversely related and modern studies have
1133 demonstrated that *P. rhizophorae* is highly sensitive to salinity increases (Dangremond et al.,
1134 2015). In contrast, temperature and salinity are directly related to evaporation rates, and
1135 hence, salinity is higher in warmer climates. Therefore, salinity maximizes in hot/arid climates
1136 and minimizes under cold/humid conditions. Mangroves are mostly tropical ecosystems that

1137 do not grow in cold climates, but the range of moisture variation is extreme, ranging from
 1138 deserts such as the Sahara to perhumid zones, such as the Chocó. In this range of conditions,
 1139 precipitation is more critical than temperature for defining salinity patterns, and therefore, the
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1143 **Figure 15.** *Pelliciera* biogeographical cycle starting and ending with approximately the same distribution area
 1144 around Central America and northwestern South America (CA/NWSA) but with reduced population density and
 1145 dominance loss. The distribution range is inside the yellow boxes, whereas the age and the occurrence patterns (in
 1146 brackets) – in pollen abundance (%), presence (+) and absence (-) – are indicated above and below the boxes.

1147

1148 salinity of mangrove soils, which is a major limiting factor for *Pelliciera*, is more strongly linked
 1149 to aridity than to temperature. This reinforces the idea that past range shifts of this mangrove
 1150 tree would have been heavily influenced by moisture changes. Unfortunately, with the
 1151 available information, it is still difficult to relate the direction of biogeographical shifts (i.e.,
 1152 range expansion or contraction) with eventual moisture changes in a manner supporting a
 1153 causal explanation.

1154

1155 5.3. Pliocene–present reorganization

1156

1157 The Pliocene to present reorganization is different from previous range shifts, as it combines a
 1158 longitudinal contraction around Central America with a latitudinal displacement toward the
 1159 equator (Fig. 14D). This latitudinal range migration could be linked to the effect of the global
 1160 Pliocene cooling and the ensuing Pleistocene glaciations (Lisiecki & Raymo, 2005), whose
 1161 magnitude far exceeded the intensity of EOT and MMCT coolings, and would have promoted
 1162 the southward migration of *Pelliciera* populations to warmer equatorial climates. The
 1163 longitudinal contraction is more difficult to explain with the available evidence, but the
 1164 absence of a longitudinal temperature trend suggests that moisture, which is more irregularly
 1165 distributed, could have been more influential than temperature. It has been suggested that, in
 1166 the Neotropics, Pleistocene glaciations were characterized by arid climates, and the precursors
 1167 of presently highly diverse rainforests, which required wetter climates, occupied refugia in
 1168 favorable areas that were isolated among them, thus favoring allopatric speciation. This idea
 1169 emerged from the study of the Last Glacial Maximum (LGM), which occurred ~21,000 yr BP, in
 1170 combination with the current patterns of biodiversity and endemism (Prance, 1982; Whitmore
 1171 & Prance, 1987), and was further extrapolated to the former Pleistocene glacial phases. This

1172 refuge hypothesis has been either supported or severely criticized on the basis of
1173 paleoecological evidence (Colinvaux et al., 2000; Van der Hammen & Hooghiemstra, 2000),
1174 and the debate is ongoing (Rull, 2008, 2011, 2020b). A number of potential LGM plant refuge
1175 areas have been identified in northern South America that fall within the Pliocene *Pelliciera*
1176 range (e.g., Steyermark, 1979), but no specific studies exist on the response of this mangrove
1177 tree to Pleistocene glaciations. Quaternary *Pelliciera* records are restricted to the Holocene,
1178 where it is present but at very low abundances (+ in the notation of Table 2) in surface
1179 sediments (Horn, 1985; Jaramillo & Bayona, 2000); no Pleistocene records are available for
1180 comparison with Pliocene and present spatial patterns. Again, the available evidence is indirect
1181 and insufficient for a sound assessment.

1182
1183 During the last millennia, human activities could have influenced mangrove distributions.
1184 Removal and range fragmentation are among the most common biogeographical
1185 consequences of anthropogenic disturbance for mangrove forests. This has deeply affected
1186 mangrove distributions, as a global loss of mangrove area of nearly 50% has been estimated
1187 for the last 50 years, with significant acceleration since the beginning of the 21st century (Giri
1188 et al., 2011; Wang et al., 2019; Goldberg et al., 2020; Toosi et al. 2022). Cultivation and
1189 aquaculture are among the main human activities responsible for global mangrove reduction,
1190 whereas the most influential natural hazards are coastal erosion, extreme climatic events and
1191 sea level rise (Goldberg et al., 2020). *P. rhizophorae* has been listed as Vulnerable – that is,
1192 under a high risk of extinction in the wild due to its small (500-2000 km²) and fragmented
1193 distribution area – on the IUCN (International Union for Conservation of Nature) Red List of
1194 Threatened Species (Polidoro et al., 2010; Blanco et al., 2012; Bhowmik et al., 2022). Urban
1195 expansion has been recognized as a major threat for *Pelliciera* populations, which are being
1196 heavily fragmented and threatened by habitat loss (Blanco-Libreros & Ramírez-Ruiz, 2021).
1197 Good regeneration prospects have been reported for some extant *Pelliciera* populations that
1198 would encourage eventual restoration and reforestation actions (Gross et al., 2014; Alvarez-
1199 León, 2019; Madrid et al., 2019). However, urgent conservation actions, such as the creation of
1200 protected areas to control urban expansion, are still needed. From a biogeographical
1201 perspective, the available evidence suggests that, rather than shrinking the distribution area of
1202 *Pelliciera* as a whole, human activities have caused its severe fragmentation, which affects
1203 population viability and increases sensitivity to extreme events and global warming (Blanco-
1204 Libreros & Ramírez-Ruiz, 2021). To extent to which prehistoric societies would have affected
1205 the distribution area of *Pelliciera* remains unknown due to the scarcity of paleoecological
1206 records.

1207 1208 5.4. The *Pelliciera* biogeographical loop 1209

1210 It seems pertinent to point out that the biogeographical history of *Pelliciera* is a complex
1211 subject that may have been influenced by a variety of factors, and none of the potential causal
1212 relationships discussed above can be considered, by itself, the ultimate explanation. Rather,
1213 the coupling of a variety of environmental, ecological, evolutionary and anthropogenic drivers
1214 and their eventual interactions, feedbacks and synergies should be taken into account
1215 depending on the spatiotemporal context under consideration. The long-term persistence of
1216 *Pelliciera* despite the competitive superiority of *Rhizophora* seems surprising. However, it is
1217 known that eurytopic generalist species are better able to live under a wide range of
1218 environmental conditions whereas stenotopic specialized species are more efficient within the
1219 restricted set of conditions in which they can develop (Futuyma & Moreno, 1988). Therefore,
1220 *Rhizophora* could have displaced *Pelliciera* from a large part of its Miocene distribution area.
1221 However, once *Pelliciera* occupied the optimum setting within its niche in the Pliocene, it was
1222 ecologically more efficient and able to endure *Rhizophora* competition.
1223

1224 During the Plio-Pleistocene, optimum conditions for *Pelliciera* would have shifted in the way
1225 suggested above, but the range would have remained approximately the same size, which is
1226 also similar to the Eocene range. Indeed, the present and Eocene *Pelliciera* ranges are very
1227 similar in size and position except for a few sites (Fig. 14), with the main differences lying in
1228 population size and dominance relationships. Hence, after more than 35 million years of
1229 ecological and evolutionary dynamics, environmental change and paleogeographic
1230 reorganizations, *Pelliciera* has returned to its ancestral distribution area, but this time as a
1231 subordinate, rather than a dominant, mangrove tree (Fig. 15). This biogeographical loop may
1232 be an example of the “taxon cycle” concept introduced by Wilson (1961), who postulated that
1233 “a taxon maintains its headquarters in a given land mass indefinitely, expanding and
1234 contracting cyclically, or else declines to extinction” (p. 191). In the case of *Pelliciera*, the
1235 “headquarters” would be the Central America/NW South America (CA/NWSA) region, where it
1236 originated in the Eocene and lives today. Wilson (1961) defined the taxon-cycle concept while
1237 working on ants from the IWP region and proposed that “the taxon cycle dated no further back
1238 than the early Tertiary, when the radiation of modern ant genera began” (p. 189). This was
1239 deduced from geological evidence indicating that the Melanesian archipelagos under study
1240 were of Cenozoic origin and, hence, the modern ant faunas of these islands could not be older
1241 than that.

1242
1243 The estimated duration of taxon cycles was further addressed by Ricklefs & Bermingham
1244 (2002), who applied this model to Greater Antillean bird evolution and found that intervals
1245 between expansion phases have characteristic times on the order of 0.1 to 10 my, as deduced
1246 from molecular phylogenetic studies. Using similar methods, estimated taxon cycle durations
1247 of ~5 my were found for Indo-Pacific birds (Jønsson et al., 2014; Pepke et al., 2018). As these
1248 periodicities did not fit with Pleistocene glacial/interglacial cycles, which occurred at 0.02-0.1
1249 my periods (Hays et al., 1976), these authors invoked other higher-rank phenomena, such as
1250 tectonic events (plate collision, orogenesis), as potential causes for taxon cycles (Pepke et al.,
1251 2018). Evidence for taxon cycles comes primarily from animal research; therefore,
1252 chronological comparisons with plants such as *Pelliciera*, with different life history traits and
1253 ecological/evolutionary patterns, are still premature. However, it is interesting to note that
1254 *Pelliciera* expansion initiated in the EOT (~34 Ma), which roughly coincides with the maximum
1255 ages for taxon cycles as proposed by Wilson (1961), and was characterized by profound
1256 tectonic, climatic and paleogeographical reorganizations.

1257
1258 Whether the biogeographical loop documented here for *Pelliciera* is a recurrent feature is hard
1259 to establish with the available information, as this taxon originated in the Eocene and no
1260 previous records of Caribbean mangroves are available before those dates (Rull, 2022a).
1261 However, the Eocene/Oligocene extinction event has been considered to be part of a
1262 Phanerozoic cyclic extinction series with a period of 26-30 my (Raup & Sepkoski, 1984; Melott
1263 & Bambach, 2014), which is in the range of possible durations of the *Pelliciera* loop. This means
1264 that the initial expansion of *Pelliciera* was linked to a cyclic phenomenon, but whether the
1265 biogeographical loop reported here for this taxon is a recurrent feature can only be known in
1266 ~34 my. This does not preclude, however, qualifying the event as a taxon cycle sensu Wilson
1267 (1961). Therefore, the *Pelliciera* biogeographical loop could be considered empirical evidence
1268 for such a concept, which was initially a hypothesis erected by evolutionary inference on the
1269 basis of present-day biogeographical patterns.

1270 1271 **6. Conclusions and prospects for future research**

1272
1273 The main topics discussed in this review and the contributions made to the knowledge of the
1274 EOT revolution of Caribbean mangroves can be summarized as follows:

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1276 6.1. Global

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- 1278 • The EOT was characterized by rapid (0.5-0.8 my duration) worldwide cooling that caused
1279 the glaciation of Antarctica and represented the end of Cenozoic greenhouse climates and
1280 the onset of the present icehouse state of Earth. Whether this cooling was accompanied
1281 by a global trend toward aridification remains unclear, although the available evidence
1282 suggests this possibility at a regional level. A global sea-level fall of ~70 m, on average, has
1283 been estimated for the EOT, which has been linked to the Antarctic glaciation.
- 1284 • The EOT cooling and Antarctic glaciation have been attributed to the interplay of three
1285 main mechanisms: a global reduction in atmospheric CO₂ concentration (from ~1000 ppm
1286 to 700-800 ppm), the establishment of the Antarctic Circumpolar Current (after the
1287 opening of the Drake Passage and the Tasman Gateway) and the albedo effect of ice sheet
1288 growth.
- 1289 • The EOT was characterized by intense biotic turnover due to cooling, sea-level fall and
1290 paleogeographical reorganizations. Globally, marine organisms (foraminifers, radiolarians,
1291 calcareous nanoplankton, dinoflagellates, diatoms, and ostracods) were the most affected
1292 by extinction, whereas terrestrial extinction rates exhibited strong spatial variability,
1293 especially in plants and mammals. These Eocene extinctions combined with increasing
1294 Oligocene speciation initiated the trend toward the establishment of modern biotas.
- 1295 • On the basis of fossil evidence, mainly pollen, the EOT global disruption has been
1296 considered to be an important driver of the initial split between the Indo-West Pacific
1297 (IWP) and Atlantic-East Pacific (AEP) mangrove biotas, as well as for the replacement of
1298 *Pelliciera*-dominated by *Rhizophora*-dominated Neotropical mangroves.
- 1299 • Recent molecular phylogeographic studies suggest that *Rhizophora* would have originated
1300 in the IWP region during the Eocene and migrated to the EAP region, attaining its current
1301 worldwide distribution in the Late Eocene. Modern EAP *Rhizophora* species would have
1302 emerged between the Late Miocene and the Pliocene. The same studies have shown that
1303 neither vicarianist nor dispersalist explanations alone can account for mangrove
1304 biogeography, and both are needed to understand the present-day patterns of these
1305 coastal ecosystems.

1306

1307 6.2. Caribbean region

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- 1309 • During the Eocene/Oligocene boundary, the Caribbean microplate, situated between the
1310 North American and South American plates, was migrating to the east, with the advancing
1311 front situated between the present eastern Greater Antilles in the north and the Aves
1312 Ridge in the south. The Lesser Antilles had not yet appeared.
- 1313 • Most paleogeographical reconstructions show that, during the EOT, the Caribbean region
1314 was an open seaway that connected the Pacific and Atlantic Oceans, as the eastern and
1315 western plate boundaries were defined by volcanic island arcs open for marine circulation.
1316 However, some have proposed that an ephemeral (<3 my duration) land bridge, named
1317 GAARlandia, would have existed that connected the Greater Antilles with northern South
1318 America. This landspan would have been due to the combination of lowered sea levels and
1319 tectonic uplift and would have blocked the Atlantic–Pacific marine connection.
- 1320 • Circum-Caribbean paleoenvironmental records suggest that the EOT occurred between
1321 33.8 and 33.5 Ma and was a stepwise event characterized by three short stages, with an
1322 overall cooling of 3-6 °C and a total eustatic sea-level fall of 67 m.
- 1323 • The EOT has been considered an important phase for the origin of present-day Caribbean
1324 biotic patterns. Some researchers favor long-distance dispersal using marine currents from
1325 Central and South America as the main source for the Caribbean biota, whereas others
1326 believe that terrestrial migration from South America via GAARlandia was the main

- 1327 dispersal pathway. The potential influence of the EOT cooling and sea-level drop is rarely
 1328 mentioned.
- 1329 • Most Caribbean biogeographical studies are focused on the origin of the island biotas,
 1330 especially in the Greater Antilles; therefore, emphasis is placed on dispersal pathways
 1331 from the continent. However, mangroves grow on continental and island coasts, and
 1332 understanding their biogeographical patterns and processes is a more complex task.
 - 1333 • More than 80 qualitative (presence/absence), semi-quantitative (abundance classes) and
 1334 quantitative (percentages) fossil pollen records have been reviewed, ranging from the
 1335 Eocene to the Pliocene, with emphasis on the Eocene/Oligocene transition. Neogene
 1336 records have also been listed but not analyzed in depth, as they deserve special treatment.
 - 1337 • The Eocene mangroves were dominated by the autochthonous *Pelliciera* with pollen
 1338 abundances up to ~60%, accompanied by the palm *Nypa* in the intertidal zone and other
 1339 back-mangrove elements, such as the fern *Acrostichum* (brackish waters) and the palm
 1340 *Mauritia* (freshwaters). *Rhizophora* was mostly absent or very scarce.
 - 1341 • *Rhizophora* could have arrived in the Late Eocene from the IWP by long-distance dispersal
 1342 through the Atlantic, which is supported by the high dispersal ability of its propagules and
 1343 the modeling of worldwide ocean currents.
 - 1344 • Under the GAARlandia hypothesis, *Pelliciera* mangroves would have been isolated from
 1345 the Atlantic influence and connected only to the Pacific coasts. Likewise, the arrival of
 1346 *Rhizophora* from the Atlantic would have been hindered by the GAARlandia barrier.
 1347 However, this hypothetical land bridge was ephemeral, and the Atlantic connection would
 1348 have existed for most of the Eocene. Therefore, GAARlandia could hardly have had a major
 1349 influence.
 - 1350 • The Oligocene mangroves were dominated by *Rhizophora*, and *Pelliciera* was a
 1351 subordinate element with pollen abundances below 10% and absent in some cases.
 1352 Therefore, the EOT witnessed a general and abrupt replacement of *Pelliciera* by
 1353 *Rhizophora* mangroves across the whole Caribbean region. This dominance shift was
 1354 accompanied by expansion from the original mangrove patch, situated in the Central
 1355 America/NW South America sector (CA/NWSA), to the whole circum-Caribbean region.
 - 1356 • The Oligocene mangrove expansion was led by *Rhizophora*, whose propagules can float for
 1357 months and remain viable for a year or more, whereas those of *Pelliciera* have a maximum
 1358 floating period of barely a week and a viability of a couple months. Despite this, *Pelliciera*
 1359 also expanded its range, likely facilitated by *Rhizophora*, which would have provided
 1360 protection against biotic and abiotic stress, as occurs in modern mangroves.
 - 1361 • The EOT mangrove dominance shift from *Pelliciera* to the newly arrived *Rhizophora* could
 1362 have been influenced by the recorded cooling. *Rhizophora* is euryclimatic, as it can live
 1363 under a wide range of temperature and moisture conditions, whereas *Pelliciera* is
 1364 stenoclimatic and restricted to warm and wet/perhumid climates. The EOT cooling would
 1365 have been withstood by *Rhizophora* due to its high climatic tolerance but not by *Pelliciera*,
 1366 whose survival was guaranteed only by occupying protected microhabitats within the
 1367 *Rhizophora* canopy. This EOT mangrove revolution could be considered an example of
 1368 ecological competition with no exclusion, as it favored the coexistence of the competing
 1369 species by niche segregation.
 - 1370 • Eocene-like *Pelliciera* mangroves never returned, but this species survives today as a minor
 1371 mangrove component, having experienced significant range shifts subdivided into three
 1372 main phases: EOT–Miocene expansion, Miocene–Pliocene contraction and Pliocene–
 1373 present reorganization. The maximum extent of the *Pelliciera* range (the whole
 1374 Neotropical area) was attained in the Miocene and was followed by significant Pliocene
 1375 contraction to the southern Caribbean margin, possibly linked to climatic causes. The
 1376 ensuing range reorganization could have been influenced by both Pleistocene glaciations
 1377 and, during the last millennia, human activities.

- 1378 • Long-term biogeographical shifts of *Pelliciera* are characterized by successive expansion,
 1379 contraction and reorganization trends that define a loop starting and ending in the same
 1380 geographical area, i.e., the Central America/NW South America (CA/NWSA) region, thus
 1381 defining a taxon cycle of ~34 my duration. Whether this taxon cycle is of a recurrent nature
 1382 remains unknown.

1383

1384 6.3. Future research

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1386 A number of issues remain to be clarified and should be addressed with future research.

1387 Among them, the following points can be highlighted:

1388

- 1389 • While the EOT cooling has been unequivocally documented worldwide, the occurrence of
 1390 global patterns of moisture conditions is uncertain, especially in reference to eventual
 1391 trends toward aridity and/or enhanced seasonality.
- 1392 • Phylogenetic and phylogeographic studies should pay special attention to the potential
 1393 influence of EOT on the global patterns of mangrove evolution.
- 1394 • More studies on DSDP and ODP Caribbean cores are needed to reconstruct EOT climates
 1395 and marine circulation in the region.
- 1396 • Caribbean biogeographical and phylogeographical studies have traditionally been
 1397 concentrated on island biotas, especially in the Greater Antilles, and more focus is needed
 1398 on continental coasts for a better understanding of mangrove evolution.
- 1399 • More conclusive geological and geophysical evidence is needed to verify the existence of
 1400 the GAARlandia land bridge. Inferences based on biogeographical and phylogeographical
 1401 patterns are indirect and may lead to circularity.
- 1402 • A detailed taxonomic review and more pollen records are needed to clarify the potential
 1403 occurrence of *Lanagiopollis crassa* (= *Psilatricolporites crassus*), the fossil representative of
 1404 *Pelliciera* in Africa, Europe and North America during the Paleogene and Neogene.
- 1405 • In pre-Quaternary palynological studies, emphasis should be placed on quantitative
 1406 records as the best way of reconstructing past communities.
- 1407 • More ecological studies on *Pelliciera* are needed to better define its niche and clarify its
 1408 ecological relationships with *Rhizophora*, with emphasis on the interplay between
 1409 competition and facilitation processes.
- 1410 • More Holocene paleoecological surveys are needed in the present distribution area of
 1411 *Pelliciera* to unravel the potential influence of human activities during the last millennia.
- 1412 • A detailed study of the *Pelliciera* biogeographical loop as a potential taxon cycle deserves
 1413 special attention as a contribution to ecological and biogeographical theory.

1414

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1429 **References**

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