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1 **The type of article:** Research paper

2 **Title:** Global patterns and drivers of leaf photosynthetic capacity: the relative importance of
3 environmental factors and evolutionary history

4 **Running title:** Drivers of global photosynthetic capacity

5

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8

9 **Abstract**

10 **Aim:** Understanding the considerable variability and drivers of global leaf photosynthetic capacity
11 (indicated by the maximum carboxylation rate standardized to 25°C; $V_{c,max25}$) is an essential step
12 for accurately modelling terrestrial plant photosynthesis and carbon uptake under climate change.
13 Although current environmental conditions have often been connected with empirical and
14 theoretical models to explain global $V_{c,max25}$ variability through acclimation and adaptation, long-
15 term evolutionary history has largely been neglected, but may also explicitly play a role in shaping
16 the $V_{c,max25}$ variability.

17 **Location:** Global

18 **Time period:** Contemporary.

19 **Major taxa studies:** Terrestrial plants

20 **Methods:** We compiled a geographically comprehensive global dataset of $V_{c,max25}$ for C_3 plants
21 ($n= 6917$ observations from 2157 species and 425 sites covering all major biomes worldwide),
22 explored the biogeographic and phylogenetic patterns of $V_{c,max25}$, and quantified the relative

23 importance of current environmental factors and evolutionary history in driving global $V_{c,max25}$
24 variability.

25 **Results:** We found that $V_{c,max25}$ differed across different biomes with higher mean values in
26 relatively drier regions, and across different life-forms with higher mean values in non-woody
27 relative to woody plants and in legumes relative to non-leguminous plants. $V_{c,max25}$ displayed a
28 significant phylogenetic signal and diverged contrastingly across phylogenetic groups, with a
29 significant trend along the evolutionary axis towards the higher $V_{c,max25}$ in more modern clades. A
30 Bayesian phylogenetic linear mixed model revealed that evolutionary history (indicated by
31 phylogeny and species) explained nearly three-fold more of the variation in global $V_{c,max25}$ than
32 present-day environment (53% vs 18%).

33 **Main conclusions:** These findings contribute to a comprehensive assessment of the patterns and
34 drivers of global $V_{c,max25}$ variability, highlighting the importance of evolutionary history in driving
35 global $V_{c,max25}$ variability and, resultingly, terrestrial plant photosynthesis.

36

37 **Keywords:** biogeography, biome, environmental factor, evolutionary history, global carbon
38 cycling, life-form, photosynthetic capacity, phylogeny, species

39 **1 Introduction**

40 Accurate predictions of terrestrial ecosystem responses to global environmental changes require
41 correct modelling of land plant photosynthesis in terrestrial biosphere models (TBMs), the largest
42 carbon flux in the global carbon cycle (Bonan & Doney, 2018; Walker et al., 2021). The amount
43 of carbon assimilated by land plants depends on the interactions between external environmental
44 factors and the intrinsic photosynthetic machinery, which is primarily controlled by the maximum
45 carboxylation rate of the enzyme Ribulose-1,5-bisphosphate carboxylase/oxygenase (RuBisCO)
46 in the chloroplasts ($V_{c,max}$; Rogers et al., 2017). Given that RuBisCO has reached an evolutionary
47 trapped state suggested by limited variation in its catalytic activity among phylogenetically distant
48 clades (Bracher et al., 2017), $V_{c,max25}$ ($V_{c,max}$ standardized to a reference temperature of 25°C)
49 mainly reflects the amount of RuBisCO enzyme present per leaf area, and directly mediates biotic
50 regulations of photosynthetic carbon uptake and interactions with climate from individual plants
51 to large, vegetated landscapes. It is also a key parameter at the heart of many photosynthetic
52 schemes in TBMs (Farquhar et al., 1980; Kattge et al., 2009; Bernacchi et al., 2013; Wu et al.,
53 2016; Wang et al., 2020). Despite its importance, however, $V_{c,max25}$ is highly dynamic in nature,
54 and is influenced by multiple abiotic and biotic factors, such as climate conditions, soil variables,
55 and species properties (Kattge et al., 2009; Walker et al., 2014; Ali et al., 2015; Smith & Dukes,
56 2018; Detto & Xu, 2020). Accurate characterization and understanding of $V_{c,max25}$ variability thus
57 represent a fundamental step for improving the modelling of plant photosynthesis in TBMs
58 (Rogers et al., 2017; Bonan & Doney, 2018). Although understanding and predicting $V_{c,max25}$
59 variability have received much scientific attention (Kattge et al., 2009; Ali et al., 2016; Smith et
60 al., 2019; Peng et al., 2021), a holistic understanding and assessment of the patterns and drivers of
61 global $V_{c,max25}$ variability is still needed.

62
63 Current environmental conditions have been assimilated into both empirical and theory-based
64 optimality models for interpreting the large-scale $V_{c,max25}$ variability (Prentice et al., 2014; Ali et
65 al., 2016; Smith et al., 2019; Peng et al., 2021). For example, studies have revealed associations
66 between $V_{c,max25}$ and present-day temperature, water, light, soil pH and soil nutrients they are
67 subjected to across large geographical extents (Paillassa et al., 2020; Peng et al., 2021; Luo et al.,
68 2021). The likely underlying reason is that these environmental factors mediate plant
69 photosynthetic carbon gain and water or nutrient costs for the construction of RuBisCO, and thus
70 determine plant investment in $V_{c,max25}$ (Prentice et al., 2014; Paillassa et al., 2020; Wang et al.,
71 2020). These empirical observations motivated subsequent theoretical explorations of $V_{c,max25}$
72 variability relying on environmental factors, such as the eco-evolutionary optimality theory that
73 establishes that plants optimize their $V_{c,max25}$ to best adapt to their living environment to maximize
74 photosynthetic carbon gain (Ali et al., 2016; Smith et al., 2019; Jiang et al., 2020). Moreover,
75 environmental factors could affect $V_{c,max25}$ variability indirectly by filtering species occurrences
76 and driving biotic competition among species, which in turn feeds back to plant nitrogen (N)
77 uptake and other processes related to plant photosynthesis (Kattge et al., 2009; Smith & Dukes,
78 2018). Through these processes, $V_{c,max25}$ has been found to differ considerably across vegetated
79 biomes and life-forms (Kattge et al., 2009; Ali et al., 2015; Smith & Dukes, 2018; Luo et al., 2021).
80 Despite recent progress in elucidating the patterns and factors responsible for large-scale $V_{c,max25}$
81 variability, current environmental conditions are generally considered as the major independent
82 variables to explain global site-mean $V_{c,max25}$ variability, with the predictive power often found to
83 be low to moderate (Ali et al., 2016; Smith et al., 2019; Peng et al., 2021; Luo et al., 2021). Thus,

84 whether other factors related to plants themselves also play an important role in shaping the large-
85 scale $V_{c,max25}$ variability remains unclear.

86

87 One candidate, yet underexplored, factor of $V_{c,max25}$ variability is evolutionary history of plants,
88 the complex and long-term product of evolutionary processes resulting from natural selection over
89 time (Cavender-Bares et al., 2016; Peñuelas et al., 2019; Sardans et al., 2021). These evolutionary
90 processes according to the timescale can be simplified by phylogeny and species. The phylogenetic
91 term accounts for the variability in shared ancestry (i.e., the ancient adaptation and differentiation
92 from other clades), while the species term accounts for the interspecific variability independent of
93 the shared ancestry, mostly due to recent processes of evolutionary convergence and divergence
94 not yet incorporated to the long-term evolutionary separation among taxonomic clades (Sardans et
95 al., 2021; Vallicrosa et al., 2022a). The evolutionary history, together with current environmental
96 conditions, have contributed to the distribution of modern biomes (Cavender-Bares et al., 2016),
97 and can leave an imprint on plant photosynthetic traits, such as the maximum leaf photosynthetic
98 rate (Gago et al., 2019; Flexas & Carriquí, 2020; Huang et al., 2022; Liu et al., 2022). Meanwhile,
99 evolutionary history has been demonstrated to explain 84-94% of the large-scale variability in leaf
100 nitrogen (N) and phosphorus (P) concentrations (Sardans et al., 2021; Vallicrosa et al., 2022a,b),
101 both of which are essential components of RuBisCO enzyme and directly correlate with $V_{c,max25}$
102 (Walker et al., 2014; Bahar et al., 2017). Also, there is empirical evidence that genotypes and
103 phylogeny can alter RuBisCO kinetic parameters (Jump & Peñuelas, 2005; Galmes et al., 2015).

104 All together, these accumulated clues suggest that evolutionary history may be a key and
105 fundamental factor in driving the global variability in $V_{c,max25}$, but the phylogenetic structure of

106 $V_{c,max25}$ and the relative importance of current environmental factors and evolutionary history in
107 shaping the $V_{c,max25}$ variability on a global scale remain largely unknown.

108

109 The aim of this study is to explore biogeographic patterns and phylogenetic structure of $V_{c,max25}$ on
110 a global scale, and to comprehensively assess the relative roles of current environmental factors
111 and long-term evolutionary history in explaining the global $V_{c,max25}$ variability. Specifically, we
112 ask the following three questions: (1) What are the patterns of $V_{c,max25}$ varying across vegetated
113 biomes and life forms? (2) Does $V_{c,max25}$ have a phylogenetic signal and vary across phylogenetic
114 groups? (3) What is the relative importance of environmental factors and evolutionary history in
115 shaping global $V_{c,max25}$ variability? We address these questions by testing the following
116 hypotheses: (1) $V_{c,max25}$ could vary across different vegetated biomes and life forms, with the
117 relatively higher values in grasslands relative to shrublands and forests, and in fast-growing
118 relative to slow-growing species, because the former plant types usually have higher nutrient
119 concentrations that often are related to more investments in photosynthetic apparatus (Kattge et
120 al., 2009; Ali et al., 2016; Smith & Dukes, 2018); (2) $V_{c,max25}$ shows a significant phylogenetic
121 signal as $V_{c,max25}$ has been previously connected with multiple biotic factors (i.e. RuBisCO
122 kinetic parameters and photosynthesis-associated leaf nutrient concentrations) that all display
123 strong phylogenetic regulation (Jump and Peñuelas, 2005; Galmes *et al.*, 2015; Sardans *et al.*,
124 2021; Huang *et al.*, 2022; Liu *et al.*, 2022); and (3) the global patterns of $V_{c,max25}$ are jointly
125 regulated by both current environmental factors and long-term evolutionary history, with the latter
126 being the dominant driver, because mounting evidence suggests more important contribution of
127 species identity information to the variability of photosynthesis-associated leaf nutrient
128 concentrations than environmental factors (Dahlin *et al.*, 2013; Asner *et al.*, 2014; Sardans *et al.*,

129 2021; Palacio *et al.*, 2022; Vallicrosa *et al.*, 2022a,b). To test these three hypotheses, we first
 130 collated a global dataset of field measured $V_{c,max25}$ for C_3 plants with concurrent measurements of
 131 present-day environmental factors (i.e., climate and soil variables), and then integrated this unique
 132 global dataset with multiple statistical modelling analyses detailed below.

133

134 2 Materials and Methods

135 2.1 Field dataset of $V_{c,max25}$, climate and soil variables

136 A geographically comprehensive global dataset of $V_{c,max25}$ for C_3 plants was compiled from three
 137 different sources, including one data record from three contrasting forest ecosystems in China (Yan
 138 *et al.*, 2021), and two global datasets compiled by Smith *et al.* (2019) and Peng *et al.* (2021),
 139 respectively. The two global datasets were mainly derived from earlier compilations from different
 140 authors or open data sources, including Atkin *et al.* (2015), Bahar *et al.* (2017), Bloomfield *et al.*
 141 (2018), Cernusak *et al.* (2011), Domingues *et al.* (2010, 2015), Dong *et al.* (2017), Maire *et al.*
 142 (2015), Meir *et al.* (2007), Smith & Dukes (2017), Walker *et al.* (2014), Wang *et al.* (2018), Xu *et*
 143 *al.* (2021), and the TRY plant trait database (<https://www.try-db.org/TryWeb/dp.php>). In this
 144 newly compiled global $V_{c,max}$ dataset, we only retained records with concurrent measurements of
 145 leaf temperature. With $V_{c,max}$ derived at its measurement temperature (T_{obs} , °C), or $V_{c,maxT_{obs}}$, we
 146 then calculated $V_{c,max}$ at 25°C ($V_{c,max25}$), using a modified Arrhenius function (Equations 1-2) that
 147 describes the instantaneous response of enzyme kinetics to any given temperature (Kattge *et al.*,
 148 2007).

$$149 V_{c,max25} = V_{c,maxT_{obs}} \times f(T_{obs}, 25) \quad (1)$$

150 where

$$151 f(T_{obs}, 25) = e^{\frac{H_d(25-T_{obs})}{298.15R(T_{obs}+273.15)}} \times \frac{1+e^{\frac{(T_{obs}+273.15)\Delta S-H_d}{R(T_{obs}+273.15)}}}{1+e^{\frac{298.15\Delta S-H_d}{298.15R}}} \quad (2)$$

152 where H_d is the deactivation energy (200,000 J mol⁻¹), H_a is the activation energy (71,513 J mol⁻¹)
153 ¹), R is the universal gas constant (8.314 J mol⁻¹ K⁻¹), and ΔS is an entropy term (J mol⁻¹ K⁻¹)
154 calculated following Kattge & Knorr (2007):

$$155 \Delta S = -1.07 \times T_g + 668.39 \quad (3)$$

156 Where T_g is the mean growing-season temperature as defined below. All the records in this dataset
157 were reported to be measured from natural vegetation, with 6917 measurements from 2157 species
158 and 425 sites covering all major biomes worldwide (Fig. 1). In addition, all these $V_{c,max}$
159 measurements were accompanied with corresponding records of present-day climate and soil
160 variables.

161
162 Our dataset had six climate variables, including temperature, precipitation, incoming
163 photosynthetically active radiation (PAR), vapor pressure deficit (VPD), atmosphere CO₂
164 concentration (C_a) and elevation (indicator of atmospheric pressure). We chose these six climate
165 variables due to their empirical or theoretical links to $V_{c,max25}$ variability as explored previously
166 (Ali et al., 2015; Smith & Dukes, 2018; Smith et al., 2019; Jiang et al., 2020; Peng et al., 2021).
167 Specifically, at each site, temperature, precipitation, PAR and VPD were calculated using the
168 average values across the full growing season, which was defined as all the months with mean
169 monthly air temperature above 0 °C. These four climate variables were extracted using the
170 corresponding coordinates of each site from monthly, 1901-2015, 0.5° resolution data provided by
171 the Climatic Research Unit (CRU TS4.01) climatology data (Harris et al., 2014). C_a was mostly
172 extracted from original records in the databases but was approximated using the corresponding
173 value from global average estimates by the NASA GISS model
174 (<https://data.giss.nasa.gov/modelforce/ghgases/>) when C_a records were lacking in some cases.

175 Elevation was mostly extracted from original records in the databases but was estimated using the
176 extracted values from 0.5° resolution data from the WFDEI meteorological forcing dataset
177 (Weedon et al., 2014) when elevation records were lacking in some cases. Temperature and
178 precipitation were three-dimensionally interpolated to the actual site locations (i.e., latitude,
179 longitude, and elevation) using Geographically Weighted Regression following Peng et al. (2021),
180 while PAR and VPD were calibrated to the site-specific elevation following Smith et al. (2019).

181

182 In addition, our dataset had ten soil variables, including carbon (C) concentration, nitrogen (N)
183 concentration, C:N ratio, cation exchange capacity (CEC), silt concentration, clay concentration,
184 sand concentration, bulk density, pH, and the ratio of actual evapotranspiration to equilibrium
185 evapotranspiration (Priestley-Taylor coefficient, α) as an indicator of plant-available surface
186 moisture. These ten variables comprehensively reflected soil physical and chemical properties and
187 were chosen primarily due to their apparent correlations with large-scale variability in plant
188 photosynthetic traits (Prentice et al., 2014; Maire et al., 2015; Smith et al., 2019; Paillassa et al.,
189 2020; Peng et al., 2021). α of each site was calculated at the 0.5° resolution with the SPLASH
190 model run at a monthly timescale (Davis et al., 2017). Other soil variables were extracted using
191 the corresponding coordinates of each site from a 250-m resolution global data at the top 30 cm
192 depth provided by the ISRIC SoilGrids database (<https://soilgrids.org/>).

193

194 **2.2 Classification of the types of biomes and life-forms**

195 To explore the biogeographic patterns of global $V_{c,max25}$ variability, we analysed the variability of
196 $V_{c,max25}$ across different biomes. Following the criteria of classic Whittaker Biome Classification
197 system based on mean annual precipitation and mean annual temperature (Whittaker, 1975), all

198 our study sites were grouped into nine biomes: tundra, boreal forest, temperate seasonal forest,
199 temperate rainforest, tropical rainforest, tropical seasonal forest/savanna, subtropical desert,
200 temperate grassland/desert, and woodland/shrubland.

201

202 To explore the change in $V_{c,max25}$ across different life-forms, we first verified the scientific names
203 of each species against The World Checklist of Vascular Plants
204 (<https://www.gbif.org/dataset/f382f0ce-323a-4091-bb9f-add557f3a9a2>) and The Leipzig
205 Catalogue of Vascular Plants (<https://div-biodiversity.github.io/lcvplants/>), and identified the
206 plant functional group for each species according to the following literature: the TRY plant trait
207 database (<https://www.try-db.org/TryWeb/Home.php>), the Flora of China (<http://frps.eflora.cn/>),
208 Useful Tropical Plants (<http://tropical.theferns.info/>), Australian Native Plants
209 (<https://www.anbg.gov.au/index.html>), and Wikipedia (<https://en.wikipedia.org/wiki>). Afterwards,
210 we categorized species into woody or non-woody (i.e., herbaceous) species, and legume or non-
211 leguminous plants. The woody species were further categorized into broadleaved or coniferous
212 species, and evergreen or deciduous species, while the non-woody species were further categorized
213 into perennial (including biennial species) or annual species, and forb or graminoid species.

214

215 **2.3 Statistical analysis**

216 All the statistical analyses were conducted upon the R code (see Method S1 for details).

217 **2.3.1 Cross-comparison of $V_{c,max25}$ variability across different biomes and life-forms**

218 Following Han et al. (2005), we characterized the biogeographic patterns of $V_{c,max25}$ across
219 different biomes using data at the site-species level (i.e., the averaged $V_{c,max25}$ for each species
220 within the same sampling site), and explored the change in $V_{c,max25}$ across different life-forms using

221 data at the species level (i.e., the averaged $V_{c,max25}$ for each species). We assessed the normality of
222 the $V_{c,max25}$ distribution with the Shapiro-Wilk test using the software platform R 4.0.5 (R
223 Development Core Team, 2021) and found that a log-transformation improved the normality of
224 $V_{c,max25}$. Therefore, differences among different biomes or life-forms for the log transformed
225 $V_{c,max25}$ were determined using one-way analysis of variance (ANOVA) with the least significant
226 difference post-hoc test.

227

228 **2.3.2 Phylogenetic analysis of $V_{c,max25}$**

229 To characterize the phylogenetic structure of $V_{c,max25}$, two levels of analyses were conducted at the
230 species level. First, we calculated the phylogenetic signal (i.e., Pagel's λ), which indicates the
231 strength of trait convergence within lineages resulting from stabilizing selection, and
232 environmental constraints (Münkemüller et al., 2012). A phylogenetic tree was constructed using
233 the R package 'V.PhyloMaker' based on an available mega-phylogeny of vascular plants (Jin &
234 Qian, 2019). We calculated Pagel's λ using the `phylosig` function from the R package 'phytools'
235 based on the variance in phylogenetically independent contrasts relative to tip shuffling
236 randomization (Revell, 2012). We chose the Pagel's λ as the phylogenetic signal because it can
237 discriminate between complex models of trait evolution and provide a reliable measurement of
238 effect size (Münkemüller et al., 2012). In addition, Pagel's λ is not sensitive to the number of
239 species in the phylogeny and suitable for large phylogenies with >50 species (or taxa) (Felsenstein,
240 1985). Second, we cross-compared the variability in $V_{c,max25}$ among different phylogenetic groups.
241 Species were divided into five phylogenetic groups including pteridophyte, gymnosperm,
242 magnoliids, monocotyledon and dicotyledon, following the evolutionary time from the oldest to
243 the youngest (Zhang et al., 2020).

244

245 **2.3.3 Disentangling the relative contribution of environmental factors and evolutionary**
246 **history to global $V_{c,max25}$ variability**

247 To explore the separate and joint effects of current environmental factors and evolutionary history
248 on global $V_{c,max25}$ variability, we performed two analyses at the site-species level, in which the
249 averaged $V_{c,max25}$ for each species within the same sampling site was used. In the first analysis, we
250 quantified the effects of current environmental factors as a whole on the $V_{c,max25}$ variability, and
251 identified the most important variables. To reduce the impact of multicollinearity among the
252 environmental factors (Fig. S1), we retained only the variables with correlation coefficients having
253 absolute values below 0.7 and variance inflation factor (VIF) below 10 (Doetterl et al., 2015; Table
254 S1). We then used the R package ‘glmulti’ to perform the model selection for $V_{c,max25}$ based on the
255 corrected Akaike Information Criterion (AICc) and evaluated the relative importance of each
256 environmental variable based on the sum of the Akaike weights for the models in which the
257 variable was included. A cut-off relative importance value of 0.8 was set to differentiate between
258 the important and unimportant variables (Du et al., 2020). We further conducted partial regression
259 plots to illustrate the effect sign (positive or negative) of each selected variable on $V_{c,max25}$
260 variability while holding all the other variables constant at their median values, using the R
261 package ‘visreg’ under the ‘conditional plot’ scenario (Calcagno & de Mazancourt, 2010; Breheny
262 & Burchett, 2017; Du et al., 2020).

263

264 In the second analysis, we used a Bayesian phylogenetic linear mixed model from the R package
265 ‘MCMCglmm’ to disentangle the relative contributions of current environmental factors and
266 evolutionary history to the global $V_{c,max25}$ variability. We selected only the most important

267 environmental factors identified above as fixed factors and the phylogeny and species as random
268 factors. For the phylogeny, we used the phylogenetic tree constructed in section 2.2 based on an
269 available mega-phylogeny of vascular plants (Jin & Qian, 2019). The random factors described
270 the effect of evolutionary history on $V_{c,max25}$ variability, with the phylogenetic term accounting for
271 the variability in shared ancestry, and the species term accounting for the interspecific variability
272 independent of the shared ancestry (Sardans et al., 2021; Vallicrosa et al., 2022a,b). To examine
273 whether intraspecific variability would affect the Bayesian phylogenetic linear mixed model
274 performance, we performed a sensitivity analysis on the model that respectively was conducted at
275 individual level (i.e., all original data of $V_{c,max25}$ from individual observations) or site-species level
276 (i.e., the averaged $V_{c,max25}$ for each species within the same sampling site). Our sensitivity analysis
277 demonstrated that the results remained consistent regardless of the analysis at individual or site-
278 species level (Table S5). For clarity, we primarily focused on presenting the data analysis for the
279 Bayesian phylogenetic linear mixed model at site-species level thereafter.

280

281 **3 Results**

282 **3.1 Patterns of $V_{c,max25}$ across biomes and life-forms**

283 To investigate the biogeographical patterns of $V_{c,max25}$, we cross-compared the $V_{c,max25}$ variability
284 across different Whittaker biomes and life-forms. Our results showed that $V_{c,max25}$ varied
285 considerably across biomes, with the mean values maximum in the subtropical desert and
286 temperate grassland/desert, minimum in the tropical and temperate rainforests, and intermediate
287 in other biomes (i.e., boreal forest, tropical seasonal forest/savanna, tundra, temperate seasonal
288 forest and woodland/shrubland) (Fig. 2a; Table S1). We further observed large $V_{c,max25}$ variability
289 across life-forms, with higher $V_{c,max25}$ values in non-woody relative to woody plants, and in legume

290 relative to non-legume plants (Fig. 2b and 2c; Table S2). Dividing the woody plants into sub-
291 categories, we found that deciduous relative to evergreen plants had higher $V_{c,max25}$, while
292 broadleaved and coniferous plants had no significant difference in $V_{c,max25}$ (Fig. 2d and 2e; Table
293 S2). Dividing the non-woody plants into sub-categories, we found that annuals relative to
294 perennials had significantly higher $V_{c,max25}$, while forb and grass had no significant difference in
295 $V_{c,max25}$ (Fig. 2f and 2g; Table S2). Importantly, whilst the differences in $V_{c,max25}$ means were
296 sometimes quite large, there was considerable overlap between the $V_{c,max25}$ ranges across biomes
297 and life-forms.

298

299 **3.2 Phylogenetic structure of $V_{c,max25}$**

300 To investigate the phylogenetic structure of $V_{c,max25}$, we analysed the phylogenetic signal of $V_{c,max25}$,
301 and cross-compared the variation in $V_{c,max25}$ across different phylogenetic groups. We found that
302 $V_{c,max25}$ showed a significant phylogenetic signal (Pagel's $\lambda = 0.675$; $p < 0.001$) (Fig. 3a). This
303 finding was also supported by the significant differences of $V_{c,max25}$ across the five phylogenetic
304 groups, in which we found that $V_{c,max25}$ increased from the oldest plants (i.e., pteridophyte) to the
305 youngest plants (i.e., monocotyledon) based on the divergence time (Fig. 3b; Table S3). However,
306 while broad differences in $V_{c,max25}$ means certainly existed, $V_{c,max25}$ space was not divided neatly
307 among different phylogenetic groups.

308

309 **3.3 Relative contribution of environmental factors and evolutionary history to global $V_{c,max25}$** 310 **variability**

311 To investigate the relative importance of environmental factors and evolutionary history on
312 shaping global $V_{c,max25}$ variability, we first identified the important environmental factors based on

313 the model selection, and then conducted a Bayesian phylogenetic linear mixed model to
314 disentangle their separate and joint roles. Seven most important environmental factors were
315 identified to explain a significant proportion of global $V_{c,max25}$ variability: temperature, VPD,
316 elevation, soil silt, soil pH, soil clay, and soil bulk density (Fig. 4). Partial regression analysis
317 indicated that $V_{c,max25}$ decreased significantly with temperature, elevation and soil silt content, but
318 increased with VPD, soil pH, soil clay content and soil bulk density (Fig. 4). After incorporating
319 these seven environmental factors into the Bayesian model, we found that evolutionary history
320 (indicated by phylogeny and species) outweighed the environmental factors in explaining global
321 $V_{c,max25}$ variability, with the current environmental factors as a whole explaining only 18.0% of
322 $V_{c,max25}$ variance, whereas phylogeny and species explained 31.3% and 21.7% of $V_{c,max25}$ variance,
323 respectively (Table 1; Fig. 5). In other words, evolutionary history had nearly three-fold more
324 importance (53.0% vs 18.0%) in explaining the global $V_{c,max25}$ variability than current
325 environmental factors (Fig. 5).

326

327 **4 Discussion**

328 A deep understanding of the environmental variables and evolutionary history underlying the
329 large-scale $V_{c,max25}$ variability can yield critical insights for the development of TBMs that simulate
330 and forecast terrestrial carbon cycling (Rogers et al., 2017; Walker et al., 2021). However,
331 characterizing the global variability of $V_{c,max25}$ has been challenging, and current approaches
332 provide substantially divergent estimates (Kattge et al., 2009; Ali et al., 2015; Smith & Dukes,
333 2018). These divergences are likely the result of the poor representativeness of existing datasets
334 of field measured $V_{c,max25}$ that allows us to understand how $V_{c,max25}$ varies spatially, across biomes,
335 and within taxa. We studied the global variability of $V_{c,max25}$ based on an unprecedentedly large and

336 geographically comprehensive dataset, with a high degree of variability across Whittaker biomes
337 and life-forms (Fig. 2; Tables S1 and S2). This large variability allowed us to systematically
338 explore biome-specific patterns that were reported based on smaller field-measured datasets. For
339 example, we found higher $V_{c,max25}$ in grasslands relative to shrublands and forests, which was
340 previously reported by Kattge et al. (2009) and Smith et al. (2019). We also found that short-lived,
341 fast-growing species with higher nutrient concentrations and lower leaf mass per area had higher
342 $V_{c,max25}$ than their long-lived, slow-growing counterparts (Fig. 2; Table S2). However, despite
343 significant differences in the mean $V_{c,max25}$, variation within each biome and life-form is too large
344 (Fig. 2; Tables S1 and S2) to allow assigning average $V_{c,max25}$ values for use in TBMs (Rogers et
345 al., 2017) or other practical applications.

346

347 So what mechanisms cause such a large variability of $V_{c,max25}$ on a global scale? When the
348 variability explained by phylogeny and species was excluded, we found that the current-day
349 climatic and soil variables altogether explained 18% of this large global $V_{c,max25}$ variability (Table
350 1). These current environmental conditions can partly explain some of the observed biome-
351 dependent patterns of $V_{c,max25}$. For example, the higher $V_{c,max25}$ in subtropical desert and temperate
352 grassland/desert relative to tropical and temperate rainforests is partly explained by higher VPD,
353 soil pH, and soil bulk density (Table S4). These three environmental variables (i.e., VPD, soil pH
354 and soil bulk density) were picked up in the final statistical model of $V_{c,max25}$ (Fig. 4), and could
355 upregulate $V_{c,max25}$ due to their positive effects on the investments in photosynthetic biochemistry
356 (Maire et al., 2015; Paillassa et al., 2020; Luo et al., 2021; Peng et al., 2021). However, current
357 environmental factors were found to only have a low to moderate accumulative predictive power

358 on global $V_{c,max25}$ variability (Table 1; Smith et al., 2019; Peng et al., 2021), whereas the
359 evolutionary history could explain much of the remaining variation (Fig. 3; Table 1).

360

361 The important role of evolutionary history in explaining global $V_{c,max25}$ variability is particularly
362 evident from two results (its link with phylogenetic structure, and the higher relative weight of
363 evolutionary history over environmental factors). Our results thus unveil the phylogenetic
364 relatedness of $V_{c,max25}$ at global scales, expanding previous results that showed the phylogenetic
365 effect on $V_{c,max25}$ variability at taxon-specific (Huang et al., 2022) and regional scales (Yang et al.,
366 2019; Xu et al., 2021). This phylogenetic structure of $V_{c,max25}$ also adds essential information to
367 the patterns of $V_{c,max25}$ across contrasting biomes with different evolutionary histories. For example,
368 tropical forest biomes are evolutionarily ancient (Ma et al., 2018), while shrubland, woodland,
369 grassland and desert biomes are evolutionarily young (Cavender-Bares et al., 2016; Ma et al.,
370 2018). Such differences in evolutionary history seem to support that most late-emerging
371 ecosystems (e.g. woodland/shrubland, subtropical desert, temperate grassland/desert) have higher
372 $V_{c,max25}$ than the early-emerging ecosystems (e.g. tropical rainforest) (Fig. 2b). In addition, the
373 observed increasing trend of $V_{c,max25}$ in more modern clades is also consistent with the trend of
374 light-saturated photosynthetic rate (A_{max}) over the evolutionary scale (Gago et al., 2019; Flexas &
375 Carriquí, 2020; Huang et al., 2022; Liu et al., 2022). The observed increasing $V_{c,max25}$ and A_{max}
376 along plant phylogeny could possibly be explained by the corresponding variation in the fraction
377 of N_a allocated to RuBisCO, and in leaf structural properties (e.g., mesophyll conductance and
378 cell-wall thickness), which are both tightly related to leaf photosynthesis (Gago et al., 2019; Flexas
379 & Carriquí, 2020; Huang et al., 2022).

380

381 We next investigated the relative importance of environmental factors and evolutionary history in
382 explaining global $V_{c,max25}$ variability, and found that evolutionary history (represented by both
383 phylogeny and species) explained a much greater proportion than current environmental factors
384 (Table 1). Phylogeny represents long-term evolution together with ancient adaptation and
385 differentiation from other clades, while species is linked to more recent evolutionary processes,
386 including strong selection within the phylogeny and recent phenotypic/epigenetic shifts that are
387 not directly detectable by phylogenetic information (Sardans et al., 2021; Vallicrosa et al.,
388 2022a,b). Adaptation to different environments in recently separated clades can conduct to a
389 convergent or divergent fast evolution not yet incorporated in the timescales considered in
390 phylogenetic analyses (Sardans et al., 2021). Thus, previous research if only considering the
391 $V_{c,max25}$ control from current-day environmental conditions often results in very small $V_{c,max25}$
392 variance being explained (Fig. 4; Ali et al., 2015; Smith & Dukes, 2018; Peng et al., 2021). This
393 new paradigm could be applied to other plant traits. For example, studies focusing on multi-
394 elemental concentrations and secondary metabolites also consistently demonstrated the dominant
395 role of evolutionary history in explaining the large-scale variability in various leaf traits (Asner et
396 al., 2014; Sardans et al., 2015, 2021; Palacio et al., 2022; Vallicrosa et al., 2022a,b). Since both
397 evolutionary history information and current environmental factors jointly regulate large-scale
398 variability in plant functional traits, including $V_{c,max25}$, our results further suggest that the
399 variability stored in the species and phylogeny must be credited, in addition to the site associated
400 current environmental factors, to accurately estimate and project the global $V_{c,max25}$ variability.
401 However, it should be noted that the exclusion of species within clades may have major effects on
402 the interpretation of the evolutionary history in shaping $V_{c,max25}$ variability, which should merit
403 further study with a larger dataset including enough data coverage within clades.

404

405 In summary, this study firstly revealed that $V_{c,max25}$ showed significant biogeographical patterns at
406 global scale, and varied remarkably within and across different biomes and life-forms. Secondly,
407 $V_{c,max25}$ exhibited a significant phylogenetic signal with the evolution trend towards higher values
408 in more modern clades. Thirdly, evolutionary history consisted of both phylogeny and species
409 largely outperformed current-day environmental conditions in explaining global $V_{c,max25}$ variability.
410 These results collectively suggest that dynamics related to evolutionary history could be first-order
411 priorities for improving theoretical understanding and modelling of global $V_{c,max25}$ variability. In
412 addition to the effects of evolutionary history and environmental factors that cumulatively
413 explained 71% of the total variance, there remained a considerable proportion (29%) of
414 unexplained $V_{c,max25}$ variability. Some of this unexplained $V_{c,max25}$ variability could be attributed to
415 phenological variability in measuring young and old leaves (Albert et al., 2018; Wu et al., 2019),
416 the random measurement and sampling error in our assembled $V_{c,max25}$ records (Bloomfield et al.,
417 2018), other unexplored but important environmental factors (e.g. day length, soil moisture, soil
418 available phosphorus concentration) (Ali et al., 2015; Maire et al., 2015; Smith & Dukes, 2018),
419 and intraspecific variability at a single site (Bloomfield et al., 2018; Sardans et al., 2021). These
420 warrant more sophisticated investigation through experimental manipulation and field observation
421 approaches across large environmental gradients.

422

423 With these results, our work generates at least two insights for mechanistic understanding of global
424 $V_{c,max25}$ variability and terrestrial biosphere modelling. First, our finding can complement current
425 understandings of fundamental controls on global $V_{c,max25}$ variability. Most previous studies only
426 considered the effects of current-day environmental conditions (Kattge et al., 2009; Ali et al., 2016;

427 Smith et al., 2019; Peng et al., 2021) and failed to account for evolutionary history, which
428 displayed nearly three-fold higher contribution than current-day environmental factors. Our
429 identified three major factors (i.e., current environment factors, phylogeny and species) for $V_{c,max25}$
430 further lends us with a hypothesized time-scale dependent processes in regulating global $V_{c,max25}$
431 variability, thus providing a novel mechanistic framework for characterizing the variability of
432 $V_{c,max25}$ and, resultingly, plant photosynthesis across large geographical extents (Rogers et al.,
433 2017). Given that the evolutionary divergence within the same clade or the rate of evolutionary
434 convergence among species from different clades could be increased by recent evolutionary
435 pressures (e.g. climate warming, species migration and shifts in species interactions; Puurtinen et
436 al., 2016; Molina-Montenegro et al., 2018), our finding further implies that global changes may
437 restructure $V_{c,max25}$ biogeography through not only the plastic responses via the direct and short-
438 term environmental effects, but also the changes in species and phylogenetic distributions.

439
440 Second, our findings also shed critical insights for future work aiming to model $V_{c,max25}$ variability.
441 The dominant role of evolutionary history in shaping global $V_{c,max25}$ variability provides an
442 important benchmark and theoretical basis for evaluating current $V_{c,max25}$ models, including
443 optimality models based on eco-evolutionary first-principles (Wang et al., 2017; Smith et al., 2019).
444 Future studies should explore potential ways to mechanistically incorporate evolutionary history
445 information into the theoretical modelling of $V_{c,max25}$ and thus better constrain TBMs to improve
446 simulations of terrestrial photosynthesis, carbon cycling and climate change responses (Bonan &
447 Doney, 2018; Walker et al., 2021). This could be helped by leveraging other datasets and models
448 for model integration and benchmarking, such as the Global Biodiversity Information Facility
449 (GBIF) occurrences with globally georeferenced species data, Species Distribution Models (SDMs;

450 Elith & Leathwick, 2009), and the species classification capacity of remotely sensed imaging
451 spectroscopy and laser imaging detection and ranging (LiDAR) techniques (Cavender-Bares et al.,
452 2020). While challenging, our results indicate that facilitating the inclusion of species and
453 phylogenetic information in large-scale models is greatly needed in the future.

454

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694

695 **Data Availability Statement**

696 All data used in our study will be uploaded to the Dryad Digital Repository after the manuscript is
697 accepted.

698

699 **Conflict of Interest**

700 The authors declare no competing interests.

701

702 **Supporting information**

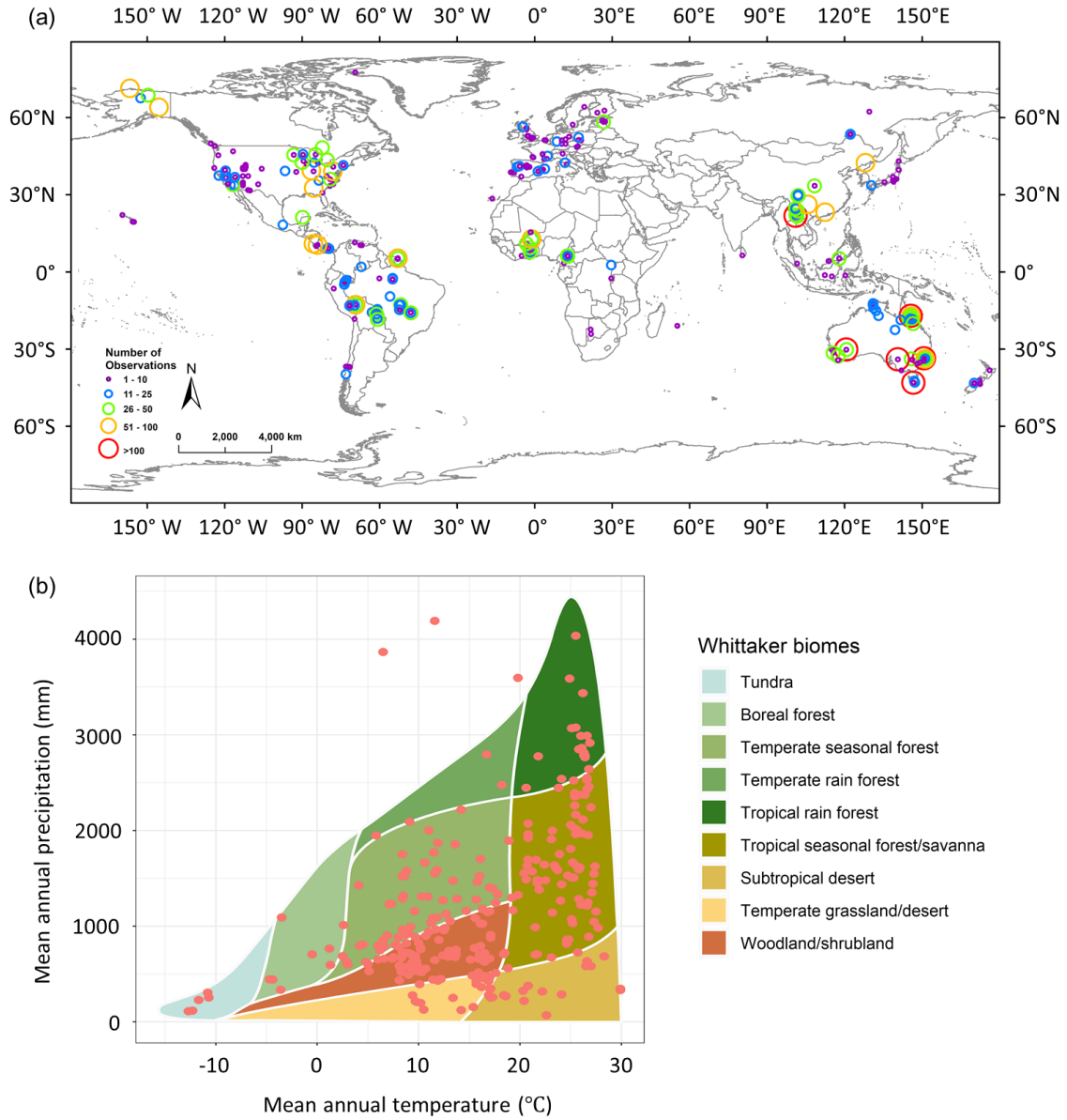
703 Additional Supporting Information may be found online in the Supporting Information section.

704 **TABLE 1** Results from Bayesian phylogenetic linear mixed model of $V_{c,max25}$ at site-species level with fixed factors (i.e., environmental factors)
705 and random factors (i.e., phylogeny+species) taking into account. The site-species level was analyzed by using the averaged $V_{c,max25}$ for each
706 species within the same sampling site. R^2_c = Percentage of variance explained by all the model (fixed + random). R^2_m = Percentage of variance
707 explained by fixed factors. R^2_p = Percentage of variance explained by phylogeny. R^2_s =Percentage of variance explained by species. Abbreviations:
708 T, mean growing-season temperature; VPD, vapor pressure deficit; Silt, soil silt content; pH, soil pH; Clay, soil clay content; BD, soil bulk density.

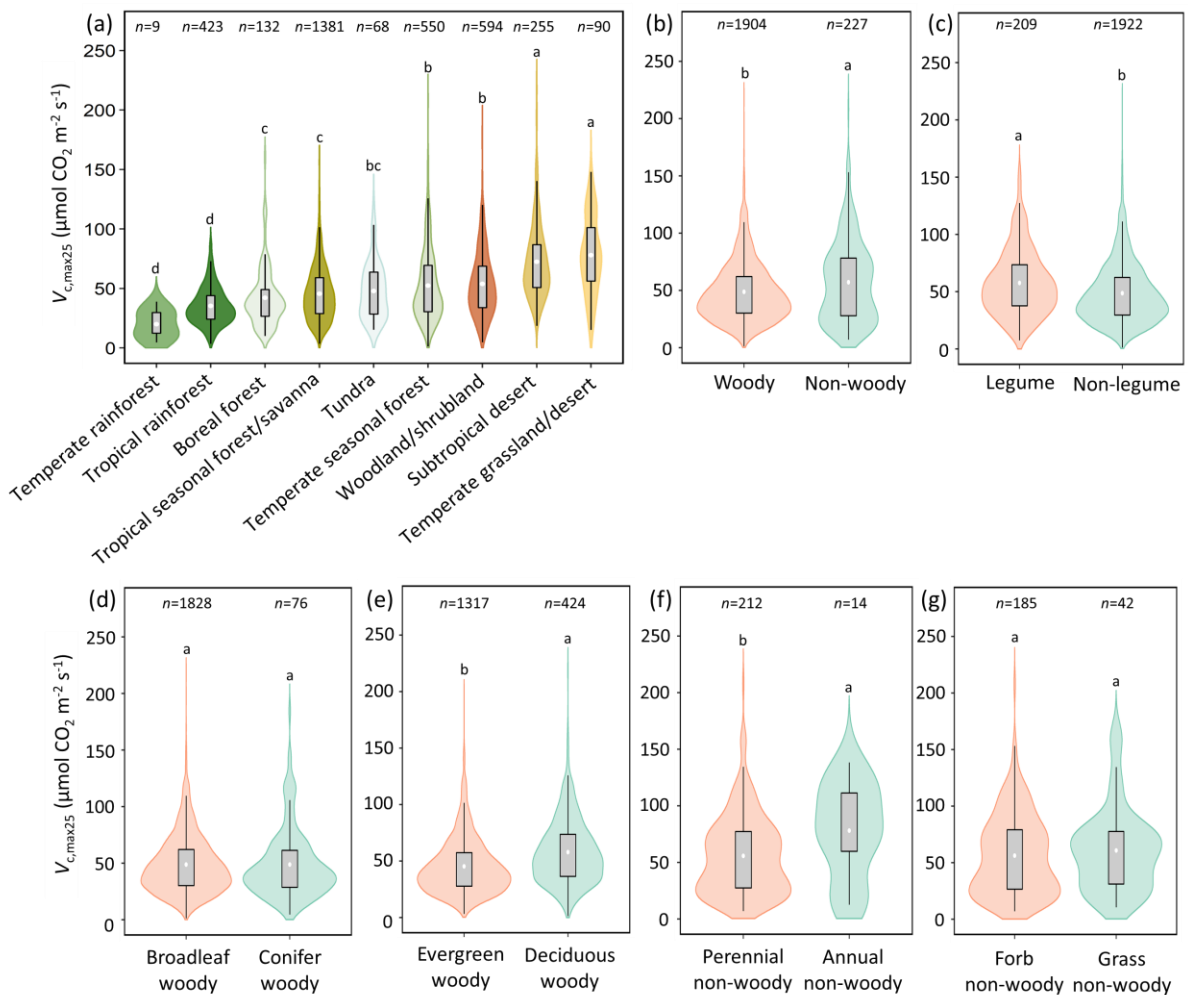
Bayesian model	The statistics of fixed variables						Model statistics
$V_{c,max25} \sim T + VPD + Elevation +$ Silt + pH + Clay + BD + (random=phylogeny + species)		post.mean	lower 95% CI	upper 95% CI	eff.samp	pMCMC	$R^2_m = 0.180$
	Intercept	-1.1078	-1.6765	-0.4602	1700	0.0012	$R^2_c = 0.710$
	T	-0.0732	-0.0840	-0.0622	1700	<0.0001	$R^2_p = 0.313$
	VPD	0.6207	0.4619	0.8081	1444	<0.0001	$R^2_s = 0.217$
	Elevation	-0.0003	-0.0004	-0.0002	1817	<0.0001	
	Silt	-0.0134	-0.0167	-0.0100	1700	<0.0001	
	pH	0.2105	0.1557	0.2606	1700	<0.0001	
	Clay	0.0182	0.0145	0.0222	1962	<0.0001	
	BD	0.5682	0.2791	0.8530	1700	<0.0001	

709

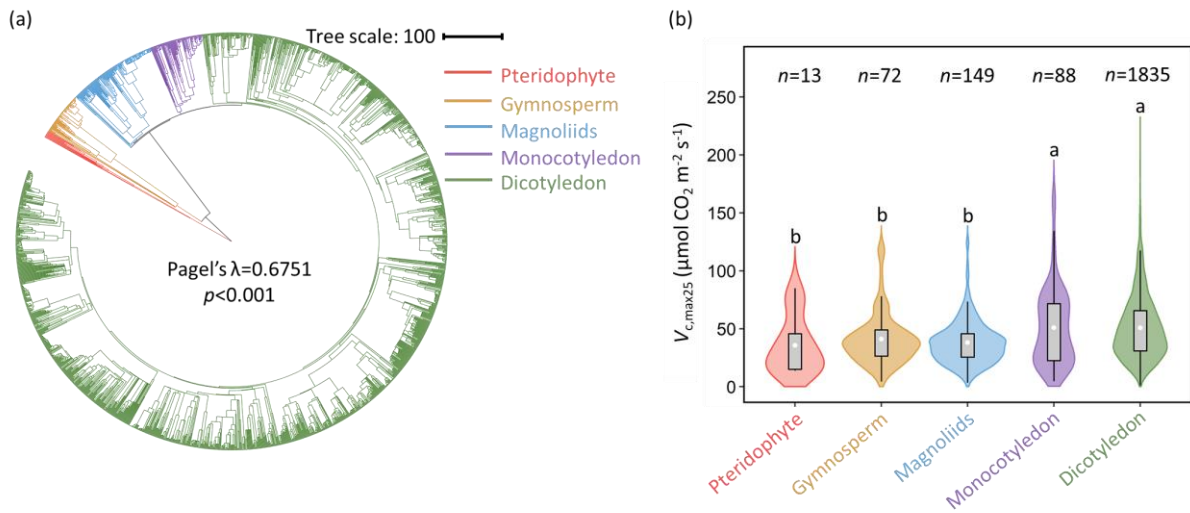
710 **FIGURE 1** Site distribution of the newly compiled field-measured $V_{c,max}$ dataset ($n=6917$
 711 records from 425 sites) for C_3 plants worldwide. (a) Location of each sampling site in a
 712 background of world map. The points with different color and size indicate the sites with
 713 different numbers of observations. (b) Location of each sampling site superimposed upon
 714 classic Whittaker Biome Classification by climate.



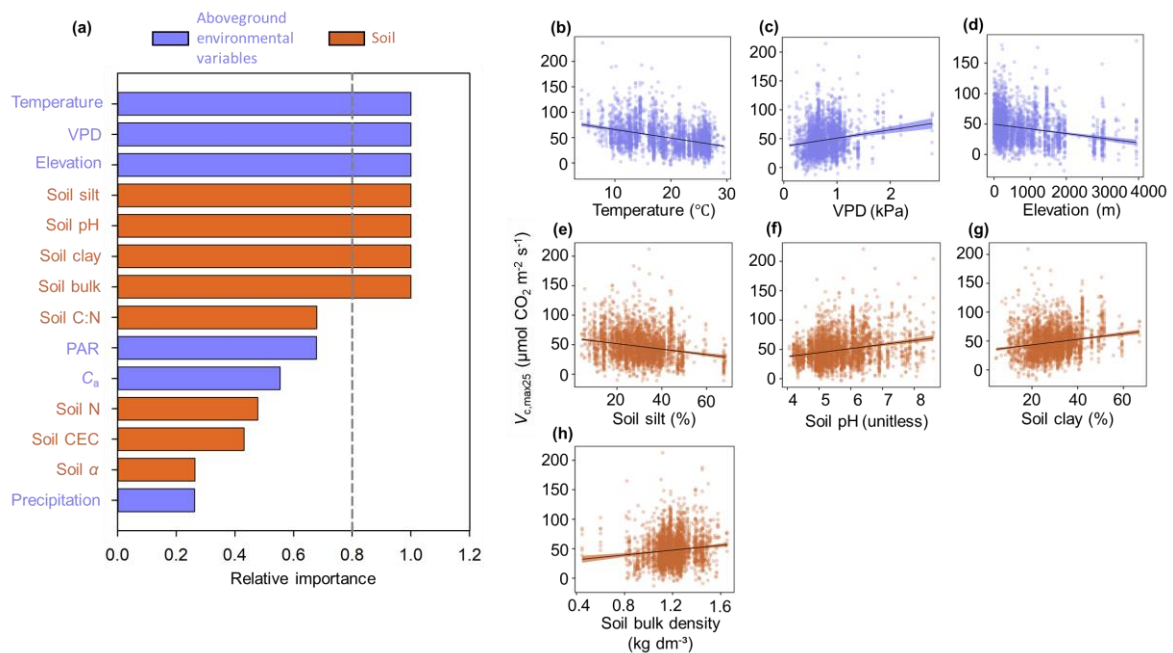
715 **FIGURE 2** Patterns of $V_{c,max25}$ across different Whittaker biomes (a) and life-forms (b-g). The
 716 white circles and the boxes within each violin plot show the mean values and the 95%
 717 confidence intervals, and the whiskers in each violin plot represent the range. Different lower-
 718 case letters adjoining the violin plots indicate significant difference ($p < 0.05$) among different
 719 groups for the log transformed $V_{c,max25}$ based on one-way analysis of variance with the least
 720 significant difference post-hoc test. The patterns of $V_{c,max25}$ across different biomes and life-
 721 forms were analysed at the site-species (i.e., the averaged $V_{c,max25}$ for each species within the
 722 same sampling site) and species levels (i.e., the averaged $V_{c,max25}$ for each species), respectively.
 723 The number above each violin plot in panel (a) is the number of records for the site-species
 724 combinations within that group; and the number above each violin plot in panel (b-g) is the
 725 number of species within that group.



726 **FIGURE 3** Phylogenetic structure of global $V_{c,max25}$ variability. (a) Phylogenetic tree of the
 727 2157 species and the phylogenetic signal of $V_{c,max25}$ indicated by the statistic metric of Pagel's
 728 λ . (b) Change in $V_{c,max25}$ across different phylogenetic groups. The white circles and the boxes
 729 within each violin plot show the mean values and the 95% confidence intervals, and the
 730 whiskers in each violin plot represent the range. Different lower-case letters adjoining the
 731 violin plots indicate significant difference ($p<0.05$) among different groups for the log
 732 transformed $V_{c,max25}$ based on one-way analysis of variance with the least significant difference
 733 post-hoc test. The number above each violin plot is the number of species within that group.



734 **FIGURE 4** Relative importance of environmental factors in predicting global $V_{c,max25}$
 735 variability. (a) The relative importance of each variable is based on the sum of the Akaike
 736 weights derived from a model selection using the corrected Akaike Information Criterion
 737 (AICc); (b-h) partial regression plots of $V_{c,max25}$ with the predictor of mean growing-season
 738 temperature, vapor pressure deficit (VPD), elevation, soil silt content, soil pH, soil clay content,
 739 and soil bulk density, respectively. The cutoff (dashed line) of panel (a) is set at 0.8 for
 740 identifying the most important predictor variables; the shade areas in (b-h) are 95% confidential
 741 intervals around the predicted relationships. Environmental factors include six aboveground
 742 environmental factors (i.e., temperature, VPD, incoming photosynthetically active radiation
 743 (PAR), precipitation, atmosphere CO_2 concentration (C_a) and elevation) and eight soil variables
 744 (i.e., pH, ratio of actual evapotranspiration to equilibrium evapotranspiration (α), clay content,
 745 silt content, N content, C:N ratio, bulk density, and cation exchange capacity (CEC)).



746 **FIGURE 5** Percentage of variance explained by environmental factors and evolutionary
747 history (represented by both phylogeny and species). R^2_m =Percentage of variance explained by
748 the seven important environmental factors (Fig. 4). R^2_p =Percentage of variance explained by
749 phylogeny. R^2_s =Percentage of variance explained by species. R^2_c =Percentage of variance
750 explained by both environmental factors and evolutionary history. Bayesian phylogenetic
751 linear mixed model was used to disentangle the role of different factors in shaping global
752 $V_{c,max25}$ variability (Table 1).

