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# Climate change increases carbon allocation to leaves in early leaf green-up

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#### 30 AUTHOR CONTRIBUTIONS

S. P designed the research; F. M and J. W performed analysis and created all figures; S. H, F.
M and S. P created the first draft of the paper; and all authors contributed to the interpretation
of the results and to the text.

DATA AVAILABILITY STATEMENT

- 35 Data and codes supporting the results are available in the Figshare:
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#### 44 Abstract

Global greening characterized by an increase in leaf area index (LAI) implies an increase in 45 foliar carbon (C). Whether this increase in foliar C can be due to higher photosynthesis or higher 46 allocation of C to leaves remains unknown under climate change. Here, we explored the 47 divergent trends in foliar C accumulation and allocation during leaf green-up from 2000 to 2017 48 using satellite-derived LAI and solar-induced chlorophyll fluorescence (SIF). The 49 accumulation of foliar C accelerated in early green-up period due to both increased 50 photosynthesis and higher foliar C allocation driven by climate change. In the late stage, 51 however, we detected negative decreased of foliar C accumulation and foliar C allocation. Such 52 stage-variable trends in the accumulation and allocation of foliar C are not represented in 53 current terrestrial biosphere models. Our results highlight that better representation of C 54 allocation should be incorporated into models. 55

#### 57 INTRODUCTION

Plant photosynthesis and respiration are two important fluxes affecting the terrestrial ecosystem 58 carbon (C) cycle, and carbon allocation can affect these two processes by distribution 59 assimilated C among plant parts (Lambers 1998; Chapin et al. 2002; Brüggemann et al. 2011). 60 Many studies have investigated the assimilation of C and processes of respiration (Janssens et 61 al. 2001; Keenan et al. 2013; Wehr et al. 2016; Bond-Lamberty et al. 2018), but much less effort 62 has been devoted to the investigation of C allocation, i.e. the investment of photosynthetic 63 products in different plant organs and functions (Brüggemann et al. 2011; Hartmann et al. 2020). 64 Plants are often subjected to different resource limitations and stress factors and have different 65 inherited life-history strategies, and hence the portfolios of C investment can change with shifts 66 of dominant factors of resource limitations or stresses (Iwasa & Roughgarden 1984; Reich et 67 al. 2014; Chen et al. 2020). For example, more C could be allocated to nonphotosynthetic parts 68 such as stems for harvesting light or to roots for absorbing belowground resources, depending 69 on the main type of resource limitation (Litton et al. 2007; Poorter et al. 2012; Guillemot et al. 70 2017). 71

72 The modifications of C allocation with environmental changes are important for both plant autoecological growth and ecosystem C cycles (Friedlingstein et al. 1999; Vicca et al. 2012; 73 Konôpka et al. 2020). Most studies on plant C allocation, however, have been conducted at the 74 autoecological level, with few at ecosystem or regional scales. Factors of global change, 75 particularly the increase in CO<sub>2</sub> concentration, extreme droughts and increasing nitrogen (N) 76 deposition, may profoundly alter stress factors and the broad-scale availability of plant 77 resources (Finzi et al. 2007; Sardans et al. 2008; Kicklighter et al. 2019). Therefore, a better 78 understanding of large-scale variations in the strategies of plant C allocation in response to 79

80 global change is essential for predicting vegetation dynamics and C cycles.

Recent advances in remote-sensing technology and data collection provide a potentially 81 practical approach to investigate variations in the allocation of C between photosynthetic 82 83 (leaves) and nonphotosynthetic (e.g. roots and stems) organs, both between years and within a growing season. In particular, seasonal plants grow leaves during the early part of growing 84 season, but allocate more C to nonphotosynthetic organs at the peak of the season (Chapin 1991; 85 Pantin et al. 2012; Tilman 2020). The exact allocation ratio between leaves and 86 nonphotosynthetic organs during different stages of a growing season is difficult to obtain at 87 broad scales, but remote sensing-based changes in the leaf area index (LAI) across these stages 88 89 can be indicative of the amount of C allocated to leaves. Global greening identified using LAI has been widely observed under anthropogenic climate change (Zhu et al. 2016; Chen et al. 90 91 2019; Piao et al. 2020), but it remains unknown how different stages of a growing season 92 contribute to this greening trend and how the allocation of C across different stages is regulated by climate change. Exploring these questions is important for increasing our understanding on 93 the strategies used by plants to adapt to climate change and for improving the modeling of 94 95 vegetation dynamics.

Here, we innovatively used the increases in LAI ( $\Delta$ LAI) as a proxy for the allocation of C to leaves. We explored the interannual trends in  $\Delta$ LAI in each month during the entire leaf green-up period in the Northern Hemisphere for 2000-2017, and further investigated how the trends were directly and indirectly regulated by environmental factors (e.g. temperature, soilmoisture content (SM) and solar radiation). Finally, we tested whether terrestrial biosphere models (TBMs) could identify the strategy used by plants to adapt to climate change by adjusting C allocation at different stages of leaf green-up period. Our work found that the
accumulation of foliar C accelerated in early green-up period and decreased in the late stage,
but the TBMs did not capture the decreased trend due to inaccurate representation of C
allocation strategy.

106

#### 107 MATERIAL AND METHODS

#### 108 **Phenological metrics**

We defined the period of leaf green-up period as the time between the start of the growing 109 season (SOS) and the peak of the growing season (POS). SOS, defined as the date when the 2-110 111 band Enhanced Vegetation Index (EVI2) value first exceeded 15% (Gray et al. 2019), which is 112 the phenological product of MCD12Q2 V6 calculated using data from the Moderate-resolution Imaging Spectroradiometer (MODIS) (downloaded from 113 114 https://lpdaac.usgs.gov/products/mcd12q2v006/), available at 500-m spatial resolutions for 2001-2018. POS was defined as the date when annual LAI derived from the MOD15A2H v006 115 product was highest (details about the product are described below). The multi-year average 116 dates of these two phenological metrics were converted from day of year into month of year, 117 and then the duration of the ecosystem green-up period was calculated as the difference between 118 POS and SOS. 119

120

#### 121 Satellite-observed LAI

122 We used the LAI product of MOD15A2H v006
123 (https://lpdaac.usgs.gov/products/mod15a2hv006/) (Myneni et al. 2015). This product is

124 available at 500-m spatial and 8-d temporal resolutions for 2000-2017 (Yan et al. 2016). Monthly LAI was used to perform further analysis: on the one hand, it may reduce extreme 125 values caused by clouds or aerosols (Verger et al. 2011) compared with multi daily LAI; on the 126 127 other hand, it could compare with the modeled results with monthly temporal resolution. We first assigned each 8-d LAI data set to the month with the longest temporal overlap to obtain an 128 accurate monthly LAI. For example, the LAI data set for 2 February was assigned to January 129 due to only two out of eight days in February. We then extracted the monthly maximum as a 130 proxy of monthly LAI, the monthly mean value was also used. Some types of vegetation lacking 131 strong seasonal dynamics (e.g. evergreen forests and barren soils) were excluded based on the 132 133 land-cover classification of MCD12C1 v006 (https://lpdaac.usgs.gov/products/mcd12c1v006/) 134 (Friedl et al. 2010). We also excluded regions where annual maximum LAI occurred outside the March to October window. Another LAI product, CGLS (or the European Geoland2 Version 135 136 2 (GEOV2)); data input source: SPOT/VGT & **PROBA-V** (https://land.copernicus.eu/global/products/lai), was used to further verify the robustness of the 137 results based on MODIS LAI. The spatial and temporal resolutions of LAI product of CGLS 138 139 (or GEOV2) were 1-km and 10-d, respectively, available from 1999 to the present. We rescaled the two LAI products to a resolution of  $0.5^{\circ}$  to match the meteorological data sets. 140

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#### 142 Model-simulated LAI

The project "Trends and drivers of the regional scale sources and sinks of carbon dioxide" (TRENDY) V7 is a dynamic global vegetation model project that simulates a factorial set of the Dynamic Global Vegetation Model (DGVM) simulations

(http://dgvm.ceh.ac.uk/index.html), which was used to test how well state-of-the-art TBMs 146 could reproduce satellite-observed changes in monthly foliar C accumulation and 147 corresponding dominant drivers. We chose monthly composites of LAI of the third simulation 148 149 (S3), including CO<sub>2</sub>, climate and land use from 2000 to 2017. We used five models with spatial resolution of 0.5° (the same as that of the observed meteorological data sets and resampled LAI 150 data): the Dynamic Land Ecosystem Model (DLEM), Lund-Postam-Jena General Ecosystem 151 Simulator (LPJ-GUESS), Land surface Processes and eXchanges (LPX), Vegetation Integrative 152 SImulator for Trace gases (VISIT) and the Vegetation Integrative Simulator for Trace gases 153 (ISAM). The corresponding driving factors of these models are climatic forcing (the Climatic 154 155 Research Unit (CRU) and the CRU Japanese 55-year Reanalysis (CRU-JRA55)), rising levels of atmospheric CO<sub>2</sub> from both ice core and atmospheric observations and land-use change 156 (LUH2 data sets). 157

158

#### 159 Meteorological data sets

The mean 2-m surface temperatures were acquired from CRU.TS4.04 at a spatial resolution of 160 0.5° and a monthly temporal resolution, which were interpolated from ground meteorological 161 stations (https://crudata.uea.ac.uk/cru/data/hrg/cru ts 4.04/cruts.2004151855.v4.04/) (Harris 162 et al. 2020). The soil-moisture (SM) at a depth of 2-5 cm was acquired from the C3S dataset 163 164 provided by European Centre for Medium-Range Weather Forecasts (ECMWF) v201812.0.0 at monthly spatial resolution of 0.25° temporal resolution 165 a and a (https://cds.climate.copernicus.eu/cdsapp#!/dataset/satellite-soil-moisture?tab=overview). 166

167 Data for solar radiation were acquired from CRU-JRA v2.0, which is a combination of CRU

and Japanese reanalyzed data (JRA) 168 a set (https://catalogue.ceda.ac.uk/uuid/7f785c0e80aa4df2b39d068ce7351bbb), 169 spatial at a resolution of 0.5° and 6-hourly temporal resolution (Harris et al. 2014; Kobayashi et al. 2015). 170 171 The SM data set was resampled to a spatial resolution of  $0.5^{\circ}$ .

172

#### 173 **Photosynthesis indicators**

We used the synchronously simulated gross primary productivity (GPP) dataset from the five 174 models described above to represent the photosynthetic activity. Solar-induced chlorophyll 175 fluorescence (SIF), a probe of photosynthesis (Baker 2008), was used as a proxy for GPP in the 176 177 satellite-observed data analysis due to the lack of long-term observational GPP data at large scale. The data set for contiguous SIF (CSIF) dataset was trained by a neural networks method 178 using SIF from Orbiting Carbon Observatory-2 (OCO-2) and MCD43C1 v6 reflectance as input 179 180 variables (Zhang et al. 2018), which has a 4-d temporal and a 0.05° spatial resolutions, available from 2000 to 2020 (https://doi.org/10.17605/OSF.IO/8XQY6). Therefore, it makes up for the 181 coarse spatiotemporal resolution and high uncertainty in the current SIF data set (Zhang et al. 182 183 2018).

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#### 185 Data analysis

We used the increase in LAI ( $\Delta$ LAI) in each month and throughout green-up period to indicate the net allocation of C to leaves because the respired C was not considered here (hereafter leaf C).  $\Delta$ LAI during green-up period was defined as annual maximum LAI minus LAI in the month before SOS, and monthly  $\Delta$ LAI was calculated as (Fig. S1a):

 $\Delta LAI = LAI_t - LAI_{t-1} \qquad \text{Eq. 1}$ 

Because foliar growth is irreversible during the green-up period (Pantin et al. 2012) and there were few pixels with  $\Delta$ LAIs <0 (only 0.07~2.4%, Fig. S2), So these pixels were discarded from our analysis.

Linear regression was used to identify the interannual trends in ΔLAI throughout the entire
study period (Fig. S1b, Eqn2):

196 
$$(\Delta LAI)_i = a_i * (Time) + b_i \qquad Eq. 2$$

where time is the number of years from 2000 to 2017 for pixel i,  $a_i$  is the temporal trend in  $\Delta$ LAI for pixel i,  $b_i$  is the intercept for pixel i. For the pixels with positive trends in green-up period  $\Delta$ LAI, we further defined the month contributing the most to the increase in  $\Delta$ LAI (the month with largest positive trends in monthly  $\Delta$ LAI) during the green-up period as the dominant month (TDM). The time between SOS and TDM is shown in Fig. 1g. We further examined the temporal autocorrelation of  $\Delta$ LAI, we found that there was no evident temporal autocorrelation of  $\Delta$ LAI for different months (Fig. S3).

The amount of C allocated to leaves  $(C_{leaf})$  depends on the total amount of assimilated photosynthetic C assimilation  $(C_{total})$  and the proportion of  $C_{total}$  that is allocated to leaves  $(P_{leaf})$ :

206

$$C_{leaf} = C_{total} \times P_{leaf}$$
 Eq. 3

To disentangle the relative importance of these two drivers. We built two different statistical models by the partial correlation analysis. The partial correlation analysis is a method that can access the net correlation coefficient between two variables by setting other synergistic variables as the controlling variables. The first model is driven by climate factors including temperature, SM and solar radiation. It consisted of the integrated effects of both  $C_{total}$  and  $P_{leaf}$  on  $C_{leaf}$ . Note that the lagged effects of the climate factors were also considered by means of the preseason period. The preseason, defined as the period which most strongly affects phenological events (Menzel et al. 2006), was determined as the period preceding an event that exhibited the largest absolute value of partial correlation coefficient between  $\Delta$ LAI and a climate factor, after controlling for the other climatic factors.

The second model is driven by including photosynthesis as the mediator between climate 217 factors and Cleaf. The indicator of photosynthesis is substituted as SIF for observational data 218 and modeled GPP for modeled data. The photosynthesis in the partial correlation analysis 219 indicated the effect of C<sub>total</sub> on C<sub>leaf</sub>, and the remaining effects represented the effect of P<sub>leaf</sub> 220 221 which is affected by climate factors on  $C_{leaf}$  based on the Eq. 3. The difference between the partial correlation analyses with and without the indicator of photosynthesis then represented 222 the climate effect on Cleaf by affecting Ctotal. The same analysis was conducted for the modeled 223 data. The effect of atmospheric VPD (CRU) (Harris et al. 2020) was also examined in the partial 224 225 correlation analysis.

226

#### 227 **RESULTS**

#### 228 Changes in leaf C accumulation during the green-up period and their climate drivers

The green-up period  $\Delta$ LAI (annual maximum LAI minus LAI in the month before the start of the growing season (SOS)) showed positive trends in most areas (71% of pixels) from 2000 to 2017 (Fig. 1a). Monthly  $\Delta$ LAI (LAI in the current month minus LAI in the previous month), which is indicative of the inter-month rate of foliar C accumulation, however, had divergent trends across different months (Fig. 1b-e). For example, the trends in  $\Delta$ LAI in Europe were 234 widely positive in April (Fig. 1b) but negative in May (Fig. 1c). ΔLAI had widespread uptrends in North America in May (Fig. 1c) and June (Fig. 1d), but widespread uptrends in Siberia only 235 in June (Fig. 1d). This spatiotemporal heterogeneity of  $\Delta$ LAI trends is associated with 236 237 vegetation phenology: earlier phenology coincided with the earlier occurrence of positive  $\Delta LAI$ trends, and vice versa. Indeed, if we defined the month contributing the most to the uptrend in 238  $\Delta$ LAI in green-up period as the dominant month (TDM) (Fig. 1f), the duration from SOS to 239 TDM was no more than one month in most areas (Fig. 1g). Surprisingly, 78% of all pixels in 240 July showed negative trends in  $\Delta$ LAI during 2000-2017 (Fig. 1e), despite the widespread 241 increase in July LAI over the same period (Fig. S4d). We also tested the robustness of the above 242 243 results obtained with the Moderate-resolution Imaging Spectroradiometer (MODIS) LAI 244 product (MOD15A2H v006) using the monthly mean value as a proxy of monthly LAI (Fig. S5) and the Copernicus Global Land Service (CGLS) LAI product (data input source: the 245 'Satellite Pour l'Observation de la Terre' (SPOT) VEGETATION (SPOT/VGT) & the Project 246 for On-Board Autonomy–Vegetation (PROBA-V)), which consistently indicated that foliar C 247 accumulation generally had positive trends in the early stage of green-up but negative trends in 248 249 late stages (Fig. S6).

We further performed partial correlation analyses between  $\Delta$ LAI and climatic factors (temperature, SM and radiation) to understand how changes in climatic variables may contribute to the observed trends in foliar C accumulation during each month of the green-up period (Fig. 2a-h). Since the climate of the preceding months (preseason) can also influence phenological dates and affect the rate of foliar C accumulation, we also included potential lagged effects of the climatic variables on  $\Delta$ LAI in the analyses (Fig. S7). The results suggested 256 that temperature was the dominant climatic driver for  $\Delta$ LAI in the early green-up stage (Fig. 2). For example, temperature in regions with the earliest onset of spring phenology (such as Europe 257 and central and eastern North America, Fig. S8) was often the dominant climatic factor 258 259 positively associated with  $\Delta$ LAI in April, the month of spring onset, after controlling for SM and solar radiation (Figs. 2a, S9a). This dominant and positive effect of temperature on  $\Delta$ LAI 260 extended to higher latitudes in May, including Canada and Siberia (Figs. 2b, S9b), but was not 261 observed in the northernmost regions until June (Figs. 2c, S9c). SM played a key role in 262 regulating  $\Delta$ LAI in May for regions with continental climate such as inland Eurasia and North 263 America (Figs. 2b, S9f), especially where the dominant type of vegetation is temperate and 264 265 semi-arid grassland (Fig. S10). For these regions, both SM and temperature jointly regulated foliar C accumulation in June, but with opposite effects on  $\Delta$ LAI (Figs. 2, S9). Across the whole 266 study area, SM positively affected  $\Delta$ LAI in 56% of the pixels (Fig. S9g), and temperature was 267 generally negatively correlated with  $\Delta$ LAI (Fig. S9c). These contrasting effects of SM and 268 temperature on  $\Delta$ LAI were even more widespread in July, when  $\Delta$ LAI and SM were positively 269 correlated in 65% of the pixels (Fig. S9h) and  $\Delta$ LAI and temperature were negatively correlated 270 271 in 66% of the pixels (Fig. S9d).

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#### 273 Climate effects on leaf C assimilation and allocation

Interestingly, SIF was more strongly correlated with  $\Delta$ LAI than the climatic variables in the early green-up stage (Fig. 2). Temperature had a weakened, but still positive, effect on  $\Delta$ LAI after controlling for SIF (Figs. S11-13). In the late stage of the green-up period (May at low latitudes and June and July at higher latitudes), the correlation between  $\Delta$ LAI and SIF was generally weaker and even nonsignificant in most areas (Figs. 2, S11). The correlation between  $\Delta$ LAI and temperature in this late green-up stage did not change when SIF was or was not controlled for (Figs. S11-13).

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#### 282 Assessing the performance of TBMs in C allocation simulations

The partial correlation analysis between  $\Delta$ LAI and climatic factors (temperature, SM and 283 radiation) with a potential lagged effect (Fig. S14) indicated that the models could generally 284 reproduce the apparent response of foliar C accumulation to climate change (Figs. 3e-l, S15). 285 Nonetheless, the partial correlations between modeled  $\Delta$ LAI and temperature in the late green-286 287 up stage were more negative than the satellite-based results (comparing Figs. S15 and S9), suggesting a potentially overestimated apparent sensitivity of  $\Delta LAI$  to temperature by the 288 models. These models also underestimated the apparent influence of SM on foliar C 289 accumulation (Fig. S15), especially in temperate and semi-arid grasslands, where satellite data 290 indicated strong correlations between  $\Delta$ LAI and SM (Fig. S9). 291

We also assessed whether the models could reproduce the climatic regulation of  $C_{total}$  and P<sub>leaf</sub> by including modeled gross primary productivity (GPP) as an independent variable in the partial correlation analyses (Figs. 3m-t, S16). The results suggested that the models generally replicated the weak effects of solar radiation on foliar C accumulation by P<sub>leaf</sub> (Fig. S17) but did not adequately simulate the changes in strategy of foliar allocation (i.e. P<sub>leaf</sub>) due to variations in temperature and SM (Figs. 3m-t, S16).

298

#### 299 **DISCUSSIONS**

300 Our study provides the first understanding on vegetation foliar C accumulation and allocation in large scale. We found that foliar C accumulation is increasing during the early stage of green-301 up but decreasing in the late green-up stage on the northern ecosystem over the past 18 years 302 303 from 2000 to 2017. Climate change can affect foliar C accumulation (Cleaf) by impacting on Ctotal (Wehr et al. 2016; Gampe et al. 2021) and/or Pleaf (Iwasa & Roughgarden, 1984; Reich et 304 al., 2014; Chen et al., 2020) (Fig. 4). In early stage, we found that an increase in photosynthesis 305 over the past 18 years was the primary factor contributing to the increase in foliar C 306 accumulation, but temperature could still contribute to the increase in Cleaf even after accounting 307 for its direct impact on C<sub>total</sub>, likely by affecting P<sub>leaf</sub>. This preference of plants to allocate more 308 309 C to leaves in the early green-up stage under warming is likely because vegetation productivity 310 is more limited by the foliar surface than by the access of roots to soil water and nutrients (Chapin et al. 2002; Chen et al. 2020). 311

In the late stage, the increase in foliar photosynthesis did not necessarily increase the 312 accumulation of foliar C. As the canopies close in this stage, vegetation productivity is more 313 constrained by the availability of water and nutrients than by the number of leaves for 314 photosynthesis, resulting in more C invested in nonphotosynthetic plant organs for acquiring 315 resources (Chapin et al. 2002; Chen et al. 2020). An increase in temperature during this late 316 green-up stage generally increases plant autotrophic respiration (Chapin et al. 2002) more than 317 318 it does to the increase in photosynthesis because of the reduced optimal temperature of photosynthesis by stomatal closure (Drake et al. 2016). Continuing the allocation of more C to 319 leaves under temperature increases in this late green-up stage is therefore not economical 320 (Bloom et al. 1985; McCarthy & Enquist 2007). Warming can also increase atmospheric vapor-321

pressure deficits (VPDs) and induce water stress (Yuan et al. 2019), which can become increasingly important in limiting vegetation productivity from the early to late green-up stages and thus diverting more C investment to organs for acquiring and transporting water (Guillemot et al. 2017; Hartmann et al. 2020). This indirect effect of temperature by increasing VPD was particularly possible in Europe (Fig. S18b) and North America (Fig. S18d), where the significantly negative correlation between  $\Delta$ LAI and temperature was weakened and even disappeared when VPD was further controlled for.

Regarding the impacts of SM and solar radiation on foliar C accumulation during green-329 up period, solar radiation noticeably affected C<sub>leaf</sub> in May (Fig. S9) by increasing photosynthetic 330 331 C<sub>total</sub> (Figs. S9, S11). In contrast, SM could potentially affect C<sub>leaf</sub> by affecting both C<sub>total</sub> and Pleaf (Figs. S9, S11), which is worth further studies. Increasing the availability of soil water can 332 increase C<sub>total</sub> (Reich et al. 2018; Liu et al. 2020), but little is known about how variations in 333 SM may also lead to trade-offs in C allocation between leaves and other organs (Bloom et al. 334 1985; Tilman 2020). Several mechanisms may have contributed to the observed impact of SM 335 on Pleaf (Fig. 4). First, high SM can relieve plant water stress and reduce the need for C 336 investment for acquiring and transporting water (Litton et al. 2007; Poorter et al. 2012; 337 Guillemot et al. 2017), which can shift more C to leaves and hence increase Pleaf. Second, an 338 increase in SM can increase the activities of soil microbes and accelerate the mineralization of 339 340 soil N and phosphorus (Keuper et al. 2012; Finger et al. 2016), which can also reduce the need of allocating more C to root systems (Litton et al. 2007; Poorter et al. 2012; Guillemot et al. 341 2017). Meanwhile, increasing N availability could stimulate plants to allocate more C to leaves 342 for assimilating more C to maintain the C: N stoichiometric ratio. Third, when SM is low, a 343

decrease in P<sub>leaf</sub> saves water and reduces respiratory C loss (Metcalfe et al. 2010). Fourth, root
exudation can also compete with leaves for C under drought, because thirsty tree roots exude
more C (Heinemeyer et al. 2012; Preece et al. 2018).

347 Correct schemes for C allocation simulations are essential for the accurate prediction of vegetation dynamics and ecosystem C cycles by process-based TBMs. However, TBMs could 348 well capture the early stage of foliar C allocation but overestimate it in the late stage of green-349 up. Parallel analysis like observations, the overestimation of foliar C allocation during the late 350 stage of green-up was caused by the neglect of the SM effect on foliar C accumulation. The 351 actual process was that the models generally replicated the weak effects of solar radiation on 352 353 foliar C accumulation by P<sub>leaf</sub> (Fig. S17) but did not adequately simulate the changes in strategy of foliar allocation (i.e. Pleaf) due to variations in temperature and SM (Figs. 3m-t, S16), which 354 were likely the cause of the biases in the modeled apparent sensitivities of foliar C accumulation 355 to these climatic variables, for three reasons. 356

First, the models overestimated the link between photosynthesis and C allocation to leaves 357 in the late stage of green-up (Figs. 3, S16), which may explain why the models could not 358 359 reproduce the widespread downward trends in  $\Delta$ LAI during July (Fig. 3d, 38% pixels for the models versus 78% pixels for the satellite-based results exhibiting negative trends in  $\Delta$ LAI, Fig. 360 1e). The satellite-based findings indicated that the increase in foliar photosynthesis did not 361 362 necessarily increase foliar C accumulation in the late stage of green-up. Four of the five models (all except LPX, Fig. S19), however, generated strong positive correlations between GPP and 363  $\Delta$ LAI in this stage, which could lead to false positive feedbacks that in turn lead to the 364 overestimation of vegetation productivity. Second, three of the models (LPJ-GUESS, LPX and 365

VISIT, Fig. S20) replicated the negative effect of temperature on foliar C accumulation by 366 influencing P<sub>leaf</sub> in the late stage of green-up but overestimated the strength of this negative 367 effect. The other two models either produced a positive effect of temperature on Pleaf (and 368 369 consequently on foliar C accumulation) in the late stage of green-up or a weak correlation between ΔLAI and temperature throughout green-up period, both in contrast to the satellite-370 derived results. These models may have skewed the trade-offs of C allocation between organs 371 372 under changing temperature. Third, surprisingly, none of the five models reproduced the positive effect of SM on  $P_{leaf}$  and  $\Delta LAI$  (Fig. S21), which may be another reason for the 373 mismatch between the models and satellite observations in the  $\Delta$ LAI trend in the late stage of 374 375 green-up period. Optimizing the response of C allocation in TBMs to different drought stresses 376 would likely improve their performance.

There are also uncertainties related to representation of leaf C and LAI product. The proxy 377 of leaf C by LAI may cause an uncertainty in evaluating its variation, for specific leaf area (the 378 ratio of fresh leaf area to dry leaf biomass) is not constant. SLA changes with environments, 379 then may lead to a non-linear relationship of LAI-leaf C. However, no long-term dataset of SLA 380 in current observations or databases (such as TRY database) allows us to explore its variation 381 over time. An experimental study showed a slight response of SLA over time due to the opposite 382 effect of temperature and CO2 (Tjoelker et al., 1999). Future progresses in observing networks 383 384 of plant traits may contribute to solving this uncertainty. In addition, our analyses were conducted at a spatial resolution of  $0.5^{\circ} \times 0.5^{\circ}$ , which is fairly coarse and may lead to some 385 uncertainties. First, it is difficult for us to distinguish whether the changes in LAI are attributed 386 climate change or vegetation type shift for a mixed pixel, especially in the ecological transition 387

388 zones. Second, the driving mechanisms at local-scale can be masked by broad-scale patterns 389 based on ecological scaling theory (Levin et al., 1992; Bradford et al., 2017). Accordingly, our 390 findings call to test the mechanisms controlling leaf C allocation with full scope from 391 population to community and from regional to global scales in future studies.

In summary, we provide the first account of how foliar C accumulation and allocation may 392 have changed during different stages of the green-up period from 2000 to 2017 at the landscape 393 394 level using data sets of satellite-derived LAI and SIF. Our results highlighted an accelerating accumulation of foliar C during the early stage of green-up due to the increases in both total 395 photosynthesis and the proportion of photosynthetic C allocated to leaves under recent climate 396 397 change. In contrast, foliar C accumulation during the late green-up stage showed a decreasing trend. The divergent trends of foliar C accumulation in the early versus late stages of green-up 398 are consistent with the optimal partitioning theory, which has been verified at the level of 399 400 individual plants, but never at the broader landscape level before. This landscape-level optimized C allocation scheme between photosynthetic and nonphotosynthetic plant organs in 401 response to climate changes has important implications for the global change modeling 402 community. TBMs are currently inadequate for modeling the response of C allocations to 403 climatic variations at different stages of vegetation growth, in particular the overestimation of 404 foliar C allocation during the late stage of green-up and the neglect of the SM effect on foliar 405 406 C accumulation. This lack of capacity in C allocation simulations may be one of the sources for 407 the large uncertainties in modeling C cycle responses to climate change.

Reducing model uncertainties requires better parameterization and description of the C
 allocation scheme and its dynamics with vegetation seasonal cycles and climate change. Clearly,

410 integrated studies combining data from manipulative field experiments and long-term observations of plant C allocation are valuable for model development and verifications. On 411 the other hand, however, ecological theories of optimal resource acquisition provide critical 412 413 guidelines in developing and refining climate change adapted allocation schemes used in TBMs, which can also be extended to other components (such as roots) where empirical experimental 414 and observational data are even more difficult to obtain over broader scales. Furthermore, while 415 direct evidence from in-situ long-term biomass observations is lacking, our findings will inspire 416 new research, especially that using networks of coordinated ground monitoring (e.g. the NEON 417 system), to further test the hypothesis and improve our understanding of carbon allocation under 418 419 climate change.

420

#### 421 CONFLICT OF INTEREST

422 There are no conflicts of interest to declare.

423

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**FIGURE 1** Trends in  $\Delta$ LAI throughout leaf green-up period (GUP) and for each month of the 566 GUP for 2000-2017. a, Trends in  $\Delta$ LAI throughout GUP ( $\Delta$ LAI is defined as the difference 567 between the annual maximum LAI and LAI in the month before the start of the growing season). 568 They are parameters of a1 in Eq. 2, same as subplots of b-d. Trends in  $\Delta$ LAI for (b) April 569 (monthly  $\Delta$ LAI is defined as the difference between LAI in the focused month and LAI in the 570 preceding month), (c) May, (d) June and (e) July. Panels a-e share the same color bar shown 571 below e. The black dots in a-e indicate significant trends at P<0.05. The histograms in a-e are 572 frequency distributions of the trend in  $\Delta$ LAI, the sequences of four bars represent the 573 574 percentages of pixels with non-significantly negative trends, significantly negative trends, nonsignificantly positive trends, and significantly positive trends, respectively. f, The dominant 575 month (TDM) contributing the most to the positive  $\Delta$ LAI trend in a. g, Durations between SOS 576 and TDM (Duration<sub>SOS-TDM</sub>). Only pixels with positive trends in a are shown in f and g. The pie 577 charts in f and g indicate the proportions of each group. 578

**FIGURE 2** Factors dominating the  $\Delta$ LAI trends for each month during leaf green-up period. 580 The dominant factor is defined as the variable with the highest partial correlation coefficient 581 after controlling for the other variables. Only climatic variables (soil-moisture content (SM), 582 temperature (Tem) and solar radiation (Rad)) are considered in a-h, and the three climatic 583 variables and solar-induced chlorophyll fluorescence (SIF) in the focused month are considered 584 in i-p. Dominant factors positively correlated with  $\Delta$ LAI are shown in a-d (climate only) and i-585 h (climate and SIF), while those negatively correlated with  $\Delta$ LAI are shown in e-h (climate 586 only) and m-p (climate and SIF) from April to July. Four intervals of |R| in [0 0.43], (0.43 0.50], 587

 $(0.50\ 0.62]$  and  $(0.62\ 1]$  for a-h and in  $[0\ 0.44]$ ,  $(0.44\ 0.51]$ ,  $(0.51\ 0.64]$  and  $(0.64\ 1]$  for i-p correspond to *P* values in  $[0\ 0.01]$ ,  $(0.01\ 0.05]$ ,  $(0.05\ 0.1]$  and  $(0.1\ 1]$ , respectively. The preseason length corresponding to the climatic data used for analysis is shown in Fig. S3.

**FIGURE 3** Trends in modeled  $\Delta$ LAI and their dominant driving factors for each month during 592 leaf green-up period. Trends in modeled  $\Delta$ LAI in (a) April (monthly  $\Delta$ LAI is defined as the 593 difference between LAI in a month and that in the preceding month), (b) May, (c) June and (d) 594 July for 2000-2007. Panels a-d share the same color bar shown below d. The black dots in a-d 595 indicate significant trends at P<0.05. The histograms in a-d are frequency distributions of the 596 trend in  $\Delta$ LAI. e-t, Factors dominating the modeled  $\Delta$ LAI trends for each month during leaf 597 green-up period. The dominant factor is defined as the variable with the highest partial 598 correlation coefficient after controlling for the other variables. Only climatic variables (soil-599 moisture content (SM), temperature (Tem) and solar radiation (Rad)) are considered in e-l, and 600 the three climatic variables and gross primary productivity (GPP) for the focused month are 601 considered in m-t. Dominant factors positively correlated with  $\Delta$ LAI are shown in e-h (climate 602 only) and m-p (climate and GPP), and dominant factors negatively correlated with  $\Delta LAI$  are 603 shown in i-l (climate only) and q-t (climate and GPP). Four intervals of |R| in [0 0.43], (0.43) 604 0.50], (0.50 0.62] and (0.62 1] for a-h and in [0 0.44], (0.44 0.51], (0.51 0.64] and (0.64 1] for 605 i-p correspond to P values in [0 0.01], (0.01 0.05], (0.05 0.1] and (0.1 1], respectively. The 606 preseason length corresponding to the climatic data used for analysis is shown in Fig. S9. 607 608

FIGURE 4 Schematic of the climatic regulation of foliar C accumulation. The allocation of C
to leaves (C<sub>leaf</sub>) is determined by both the total amount of assimilated photosynthetic C (C<sub>total</sub>)

611 and the proportion of C allocated to leaves ( $P_{leaf}$ ), i.e.  $C_{leaf} = C_{total} \times P_{leaf}$ . (I) The accelerating accumulation of foliar C in the early stage of green-up is attributed to increases in 612 photosynthesis and P<sub>leaf</sub>. (II) The negative trend in the accumulation of foliar C in the late stage 613 614 is mainly due to a decrease in P<sub>leaf</sub> driven by climate but is weakly linked with photosynthesis. Potential mechanisms by which climate regulates P<sub>leaf</sub> are shown in the ovals, where red arrows 615 indicate positive effects and blue arrows indicate negative effects. Models overestimate the link 616 between photosynthesis and the allocation of C to leaves and skew the change in Pleaf under 617 climate change, which leads to mismatches between the models and satellite observations for 618 the  $\Delta$ LAI trend in the late stage of green-up period. 619



**Fig. 2** 



**Fig. 3** 



## 628 **Fig. 4**

# I. Early stage: Foliar limitation

**II. Late stage:** Resource limitation



B Temperature; Soil moisture; Radiation; Negative effect; Nonsignificant effect; Nonsignificant effect;
Mechanism of model; Unexplored effect;