



Contents lists available at ScienceDirect

# International Journal of Applied Earth Observation and Geoinformation

journal homepage: [www.elsevier.com/locate/jag](http://www.elsevier.com/locate/jag)

## Assimilating Sentinel-2 data in a modified vegetation photosynthesis and respiration model (VPRM) to improve the simulation of croplands CO<sub>2</sub> fluxes in Europe

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### ARTICLE INFO

#### Keywords:

Carbon Cycle  
Data-driven Modelling  
Crop Types  
Sentinel-2  
MODIS  
Eddy covariance

### ABSTRACT

In Europe, the heterogeneous features of crop systems with majority of small to medium sized agricultural holdings, and diversity of crop rotations, require high-resolution information to estimate cropland Net Ecosystem Exchange (NEE) and its two main components of Gross Ecosystem Exchange (GEE) and the Ecosystem Respiration ( $R_{ECO}$ ). In this context, this paper presents an assimilation of high-resolution Sentinel-2 indices with eddy covariance measurements at selected European cropland flux sites in a new modified version of Vegetation Photosynthesis Respiration Model (VPRM). VPRM is a data-driven model simulating CO<sub>2</sub> fluxes previously applied using satellite-derived vegetation indices from the Moderate Resolution Imaging Spectroradiometer (MODIS). This study proposes a modification of the VPRM by including an explicit soil moisture stress function to the GEE and changing the equation of  $R_{ECO}$ . It also compares the model results driven by S2 indices instead of MODIS. The parameters of the VPRM model are calibrated using eddy-covariance data. All possible parameters optimization scenarios include the use of the initial version vs. the proposed modified VPRM, S2, or MODIS vegetation indices, and finally the choice of calibrating a single set of parameters against observations from all crop types, a set of parameters per crop type, or one set of parameters per site. Then, we focus the analysis on the improvement of the model with distinct parameters for different crop types vs. parameters optimized without distinction of crop types. Our main findings are: (1) the superiority of S2 vegetation indices over MODIS for cropland CO<sub>2</sub> fluxes simulations, leading to a root mean squared error (RMSE) for NEE of less than 3.5  $\mu\text{molm}^{-2}\text{s}^{-1}$  with S2 compared to 5  $\mu\text{molm}^{-2}\text{s}^{-1}$  with MODIS (2) better performances of the modified VPRM

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<https://doi.org/10.1016/j.jag.2024.103666>

Received 3 April 2023; Received in revised form 28 December 2023; Accepted 18 January 2024

Available online 29 January 2024

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version leading to a significant improvement of  $R_{ECO}$ , and (3) better performances when the parameters are optimized per crop-type instead of for all crop types lumped together, with lower RMSE and Akaike information criterion (AIC), despite a larger number of parameters. Associated with the availability of crop-type land cover maps, the use of S2 data and crop-type modified VPRM parameterization presented in this study, provide a step forward for upscaling cropland carbon fluxes at European scale.

## 1. Introduction

Studying the carbon cycle requires precise monitoring of the Net Ecosystem Exchange (NEE) of carbon dioxide ( $CO_2$ ) between the terrestrial biosphere and the atmosphere (Beer et al., 2010; Reichstein et al., 2013) as well as of its two component fluxes, the Gross Ecosystem Exchange (GEE) and the Ecosystem Respiration ( $R_{ECO}$ ). Croplands represent 12 % of the global vegetated areas and contribute to 16 % of global NEE (Hicke et al., 2004; Potter et al., 1993). Between 2003 and 2019, cropland area increased by 9 %, but NEE increased by 25 % (Potapov et al., 2021) due to intensification from irrigation, fertilizers, and better cultivars (Zeng et al., 2014). In the European Union, croplands cover 157 million hectares, that is 38 % of the total land area. A better monitoring of the  $CO_2$  fluxes in cropland can help better understand the drivers of crop yields, improve resource supply (Marshall et al., 2018; Reeves et al., 2005), analyze the impacts of climate change on cropland productivity (Ciais et al., 2010, 2005; He et al., 2022), and inform policies for improving the C budget for croplands (Pique et al., 2020).

Remote sensing offers a powerful tool for land surface monitoring at large scales especially with the advances in deep learning models (Hong et al., 2023; Hong et al., 2023). Remote sensing data combined with deep learning approaches have been applied in several geoscience applications, including and not limited to, land cover mapping (Ienco et al., 2019), forests heights and biomass estimations (Fayad et al., 2023), and most recently in  $CO_2$  fluxes estimations (Nathaniel et al., 2023). However, given the complexity of deep learning approaches and the sparse flux measurements of the  $CO_2$  fluxes, only few studies have explored the use of deep learning approaches in  $CO_2$  fluxes estimations. Recently, using MODIS (Moderate Resolution Imaging Spectroradiometer) satellite data at 500 m spatial resolution, Nathaniel et al. (2023) applied a *meta*-learning procedure to train a model to learn from sparse data (sparse eddy-covariance flux measurements) to estimate  $CO_2$  fluxes at large scales. They reported that *meta*-learning better estimated fluxes in critical regions (such as semi-arid or tropical regions) especially in extreme cases. Pabon-Moreno et al. (2022) assessed the potential of Sentinel-2 (S2) spectral bands at 10 m spatial resolution to estimate GEE using linear regression and random forest. They report that despite the good accuracy obtained for predicting the GEE using machine learning techniques, optical remote sensing information alone was not enough to predict GEE under water-stress conditions.

Specifically for cropland  $CO_2$  fluxes, models driven by remote sensing vegetation indices, such as SAFY- $CO_2$  and SAFY-WB, have been extensively used to simulate NEE. These models give good performances at sites after calibration but may have limitations for large scale applications (Battude et al., 2016; Pique et al., 2020; Revill et al., 2013). Other models are more complex and calculate carbon fluxes at a half-hourly time step based on processing land surface models (Jung et al., 2020; Keenan et al., 2016; Krinner et al., 2005; Kuppel et al., 2012; Santaren et al., 2014, 2014; Sellers et al., 1986). These process-based land surface models have many parameters that are difficult to calibrate (Santaren et al., 2014). Land surface models such as the ORCHIDEE, CLM (Community Land Model), JULES (Joint UK Land Environment Simulator), and LPJ GUESS (Lund-Potsdam-Jena General Ecosystem Simulator) included parameterizations for crops, yet without detailed information on varieties and management practices (Chen et al., 2015; Di Paola et al., 2016; Lawrence and Chase, 2007; Levis et al., 2012; Smith et al., 2014, 2001).

In this study, we chose to use the data-driven Vegetation Photosynthesis Respiration Model (VPRM) (Mahadevan et al., 2008) for estimating cropland NEE and its components. One advantage of this model is that it includes a limited number of parameters that can be optimized using flux tower data. VPRM is driven by remote sensing derived vegetation indices and climatic data. It was calibrated against eddy-covariance flux measurements over the American continent (Mahadevan et al., 2008) and Europe (Kountouris et al., 2018). Generally, VPRM uses MODIS derived Enhanced Vegetation Index (EVI) and the Land Surface Water Index (LSWI) at 500 m spatial resolution in addition to temperature and radiation climatic data to describe the light dependent part (GEE) of the NEE. The ecosystem respiration flux was described by a simple function using air temperature data. The VPRM parameters were optimized using flux tower measurements grouped into nine vegetation classes including the evergreen forests, deciduous forest, mixed forest, shrublands, savannas, grassland, wetlands, and croplands. Following its validation on the USA, the VPRM was recalibrated on the European continent using 46 European eddy-covariance towers (Kountouris et al., 2018) and is currently used by the European Integrated Carbon Observation System (ICOS) to provide hourly NEE, GEE and  $R_{ECO}$  maps across the European continent between  $-15.0^\circ$  to  $35.0^\circ$  east and  $33.0^\circ$  to  $73.0^\circ$  north (Gerbig and Koch, 2021).

When first developed over the USA, VPRM considered croplands as a single vegetation class regardless of the specific crop type and the inter-crop variation effects on NEE, GEE and  $R_{ECO}$ . The use of a single class of cropland might be justified in the USA by the relatively low diversity of the crop types, with maize and soybean being the two dominant cultivated annual crops. In addition, the large size of agricultural fields (exceeding 500 m in length and width) in the USA made MODIS data a reasonable choice to extract vegetation indices over the same crop cover class with no mixture of reflectance from different land covers. However, in Europe, the heterogeneous features of agricultural landscapes and the complex cropping systems with small to medium size fields, variable crop rotations, different management practices, and a diversity of crop types make the quantification of cropland NEE challenging under the assumption of a single crop type. Given the complex European agricultural context, accurate estimation of the cropland  $CO_2$  fluxes requires detailed crop-type parametrization instead of a generic crop parametrization. However, a parametrization of VPRM per crop type expects fine spatial resolution satellite data capturing the details of crop development and photosynthetic activity with minimal interference of other land cover types. Here we opted for the S2 optical sensor from the Copernicus program which provides vegetation indices at a fine spatial resolution (between 10 and 60 m) with multispectral resolution (13 bands) and a temporal resolution reaching 5 days in Europe. To the best of our knowledge, the aspect of performing a crop-specific parametrization of the VPRM has not yet been explored.

Another limitation of the VPRM described by Mahadevan et al., (2008) was the inability of remotely sensed Land Surface Water Index (LSWI) to detect the water stress conditions and its effect on the plant photosynthesis and therefore the GEE and the  $R_{ECO}$  fluxes. They reported that the lack of a soil moisture function in the VPRM equations represented the principal source of unaccounted variance in the fluxes' simulations. Therefore, we proposed to include an additional soil moisture function for both GEE and  $R_{ECO}$  equations with the aim to better capture the effect of water stress on the photosynthetic activity.

In this study, we present a crop-specific optimization of the VPRM parameters to simulate NEE, GEE and  $R_{ECO}$  at eddy covariance mea-

surement sites from ten flux towers across Europe covering 11 different crop types between 2018 and 2020. Several scenarios for parameters optimizations were evaluated and compared including the use of MODIS or S2 vegetation indices, a generic set of parameters for all crop types vs. a different set of parameters per crop type and a set of parameters per individual site. In addition, two versions of VPRM were tested, the standard version developed by Mahadevan et al. (2008), and a modified version, proposed in this study, with an additional soil moisture stress function of GEE and a new equation for  $R_{ECO}$ . The objectives of this study are the following:

- 1- Compare the performances of the standard and the new VPRM versions using MODIS and S2 vegetation indices for simulating  $CO_2$  fluxes over croplands sites in Europe.
- 2- Compare the performances using a default generic parameterization for all crop types vs. crop-specific parameterization of the VPRM.
- 3- Propose new crop specific VPRM parameterization for simulations of the  $CO_2$  fluxes for different crop types.

## 2. Materials and methods

### 2.1. Vegetation photosynthesis and respiration model (VPRM)

The model first described by Mahadevan et al. (2008) estimates the surface fluxes (NEE, GEE and  $R_{ECO}$ ) at hourly time steps from remote sensing observations and meteorological data. NEE is divided into light-dependent photosynthesis (GEE) and light-independent ecosystem respiration ( $R_{ECO}$ ). Since several studies have demonstrated a close correlation between satellite-based vegetation indices such as the Enhanced Vegetation Index (EVI) and photosynthesis (Xiao, 2004; Xiao et al., 2004), GEE in VPRM is represented as:

$$GEE = \lambda \times T_{scale} \times P_{scale} \times W_{scale} \times EVI \times \left( \frac{1}{1 + \frac{PAR}{PAR_0}} \right) \times PAR \quad (1)$$

EVI is calculated using the near infrared, the red, and the blue bands of multispectral satellite reflectance observations (see equation A.1 in Appendix A).  $\lambda$  is the light use efficiency, PAR is the Photosynthetically Active Radiation, and  $PAR_0$  the half saturation value. Since PAR values are not generally available in meteorological data as continuous spatial maps, the PAR is replaced by the shortwave radiation (SWRad) considering the close correlation between SWRad and PAR.

$P_{scale}$  and  $W_{scale}$  are factors that account for the leaf age and water stress effects on GEE respectively and are derived from the satellite-based Land Surface Water Index (LSWI). The LSWI is calculated using the infrared and the short-wave infrared bands (see equation A.2 in Appendix A).  $T_{scale}$  represents a temperature modifier to photosynthesis. Detailed equations of  $T_{scale}$ ,  $P_{scale}$  and  $W_{scale}$  are presented in Appendix A (see equations A.3, A.4 and A.5 respectively).

In the standard VPRM version,  $R_{ECO}$  is modeled as a linear function of air temperature ( $T$ ) given that plant and soil respiration rates increase as temperature rises as given by:

$$R_{ECO} = \alpha \times T + \beta \quad (2)$$

NEE represents the difference between uptake (photosynthesis) and loss (respiration) of  $CO_2$ . Following the negative sign convention that  $CO_2$  uptake by the plant is negative, NEE is written as

$$NEE = GEE - R_{ECO} \quad (3)$$

The NEE equation proposed by Mahadevan et al. (2008) has four model parameters ( $\lambda$ ,  $SWRad_0$ ,  $\alpha$  and  $\beta$ ) that can be calibrated against flux tower data. Unit of  $\lambda$  is  $\mu mol \cdot m^{-2} s^{-1} (W m^{-2})^{-1}$ , of  $SWRad_0$  is  $W m^{-2}$ , of  $\alpha$  is  $\mu mol m^{-2} s^{-1} / ^\circ C$ , and of  $\beta$  is  $\mu mol m^{-2} s^{-1}$ .

### 2.2. Modified vegetation photosynthesis and respiration model (MVPRM)

In this study, we propose a new version of the VPRM model with the aim to improve the model-data fitting of the fluxes NEE, GEE, and  $R_{ECO}$ . The first difference concerns the GEE equation where the effect of the soil moisture on water stress is explicitly considered. In the initial model version, this effect was accounted for implicitly through LSWI ( $W_{scale}$ ). The modified version of the GEE equation includes a soil moisture function  $f(\theta)$  such as:

$$GEE = f(\theta) \times \lambda \times T_{scale} \times P_{scale} \times W_{scale} \times EVI \times \left( \frac{1}{1 + \frac{SWRad}{SWRad_0}} \right) \times SWRad \quad (4)$$

where  $f(\theta)$  is expressed as:

$$f(\theta) = \begin{cases} q \times (\theta - \theta^*) + 1 & \text{if } \theta \leq \theta^* \\ 1 & \text{if } \theta > \theta^* \end{cases} \quad (5)$$

$f(\theta)$  in the GEE is set to a value of 1 when the soil moisture value ( $\theta$ ) is above the critical soil moisture threshold of water stress ( $\theta^*$ ). Below this threshold,  $f(\theta)$  is a linear function of the soil moisture deficit from  $\theta^*$ , which reduces GEE under water stressed conditions. Both  $q$  and  $\theta^*$  are unitless parameters to be estimated. The soil water content is expressed in relative units (relative soil water content) and is calculated by normalizing the volumetric soil moisture to values between 0 and 1 using the minimum–maximum normalization at each flux site.

The second modification of VPRM concerns the  $R_{ECO}$  equation following Migliavacca et al. (2011) who demonstrated that climatic drivers are not sufficient to describe the temporal variability of  $R_{ECO}$  and proposed to express  $R_{ECO}$  as a function of GEE, temperature, and soil moisture as follows:

$$R_{ECO} = f(T) \times f_2(\theta) \times f(GEE) \quad (6)$$

The temperature function  $f(T)$  is formulated as an exponential function of air temperature (equation (7) following the soil respiration temperature function proposed by Lloyd and Taylor (1994) with an activation energy parameter  $E_0$  in  $^\circ K$ , a reference temperature  $T_{ref}$  fixed at 288.15  $^\circ K$  (15  $^\circ C$ ), and  $T_0$  fixed at 227.13  $^\circ K$  (-46.02  $^\circ C$ ). Air temperature is a simplification of soil and canopy temperatures and is probably justified by the fact that the air temperature is between the canopy and the soil temperature in most cases.

$$f(T) = e^{\frac{E_0}{T_{ref} - T_0} \left( \frac{1}{T} - \frac{1}{T_{ref}} \right)} \quad (7)$$

Migliavacca et al. (2011) used precipitation as a proxy of the soil water content. However, we replaced here the precipitation function by a soil moisture function  $f_2(\theta)$  since soil moisture is considered a more accurate driver of soil microbial processes affecting soil heterotrophic respiration, and plant stress affecting autotrophic respiration. Following Reichstein et al. (2002) and Reichstein (2003), the soil moisture dependency  $f_2(\theta)$  of  $R_{ECO}$  is formulated as in equation (8) using the commonly applied saturation function (Bunnell et al., 1977):

$$f_2(\theta) = \frac{\theta}{\theta_{max} + \theta} \quad (8)$$

where  $\theta$  is the relative soil water content and  $\theta_{max}$  is a soil moisture threshold parameter to be optimized.  $\theta$  is expressed in relative units as proposed by Reichstein (2003) and calculated by normalizing the volumetric soil water content using the minimum–maximum normalization at each flux site.

Plant (shoot and roots) respiration increases with plant biomass, thus  $R_{ECO}$  dependency to GEE is modeled with a linear relationship given by:

$$f(GEE) = R_0 + k \times GEE \tag{9}$$

$R_0$  ( $\mu\text{molm}^{-2}\text{s}^{-1}$ ) is the reference respiration which refers to the ecosystem respiration at the reference temperature ( $T_{\text{ref}} = 15 \text{ }^\circ\text{C}$  in equation (7) and under ideal soil moisture conditions (Migliavacca et al., 2011; Reichstein et al., 2002). It serves as an indicator of the site’s ecosystem respiration, which is influenced by the unique site conditions, historical factors, and inherent characteristics. The parameter  $k$  (unitless) expresses the assumed response of the ecosystem respiration to GEE.  $R_0$  and  $k$  are both parameters to be optimized.

Finally,  $R_{\text{ECO}}$  is expressed as:

$$R_{\text{ECO}} = (R_0 + k \times GEE) \times \left( \frac{\theta}{\theta_{\text{max}} + \theta} \right) \times e^{E_0 \left( \frac{1}{T_{\text{ref}} - T_0} - \frac{1}{T - T_0} \right)} \tag{10}$$

The new equations of GEE and  $R_{\text{ECO}}$  include a higher number of parameters. While the original version included four parameters ( $\lambda$ ,  $SWRad_0$ ,  $\alpha$  and  $\beta$ ), the new version includes eight parameters: four parameters for GEE ( $\lambda$ ,  $SWRad_0$ ,  $q$  and  $\theta^*$ ) and four parameters for  $R_{\text{ECO}}$  ( $R_0$ ,  $k$ ,  $E_0$  and  $\theta_{\text{max}}$ ).

### 2.3. Dataset preparation and model-data fitting

The flowchart in Fig. 1 summarizes the dataset extraction (eddy covariance and satellite data), the VPRM and MVPRM data fitting procedure as well as the assessments performed. The use of either MODIS or S2 satellite data in the VPRM and MVPRM was tested. The VPRM and MVPRM were optimized in different scenarios considering different spatial scales using both MODIS and S2 satellite products (SN1 to SN12). The evaluation of the model-data fitting using conventional accuracy metrics was also carried out at different spatial and temporal levels. Each part of the process is explained more in details in the following subsections.

#### 2.3.1. Eddy covariance data over croplands in Europe

Across Western and Central European countries, ten crop flux towers, part of the ICOS, were used (Fig. 2). These sites are distributed across France (4 sites), Germany (3 sites), Switzerland (1 site), Czech Republic

(1 site), and Belgium (1 site). Half-hourly flux data were obtained from the FLUXNET website (<https://fluxnet.org>) covering a three-year period from January 2018 to December 2020 (Table A.0 in Appendix A). The standard flux data processing methodologies for correction, gap-filling, and partitioning were applied for all the sites (Pastorello et al., 2020). In addition to NEE, GEE, and  $R_{\text{ECO}}$ , the FLUXNET sheets of the 10 sites included the meteorological data (Temperature and shortwave radiation) and the volumetric soil water content ( $\theta$ ) at a half hourly time scale. The measured volumetric soil water content provided by the FLUXNET sheets corresponds to the topsoil layer between 0 and 20 cm depending on the sensor depth at each site.

In the standard processing of the eddy-covariance flux data, GEE and  $R_{\text{ECO}}$  are partitioned from measured NEE using either the nighttime (NT) or the daytime (DT) partitioning methods. In this study, the NT partitioning method of the  $R_{\text{ECO}}$  computed using variable Ustar ( $u^*$ ) (dimensionless friction velocity threshold) threshold (VUT) was used. For NEE, a variable  $u^*$  threshold for each year was considered. Quality control filters were applied to eliminate unreliable half hourly observations. GEE values greater than 0 ( $GEE > 0$ ), usually resulting from slight underestimation of the temperature response partitioned  $R_{\text{ECO}}$ , were set to zero and the observed NEE flux was all transferred to  $R_{\text{ECO}}$  only if  $u^* > 0.1$  and the quality flag of NEE was either measured (0) or very good gap filling (1) (Schaefer et al., 2012). Otherwise, half hourly measurements with GEE values greater than 0 were removed. Half hourly observations with zero GEE values at day-time measurements were also removed. Finally, half hourly values, where the quality flag (QC) of the NEE gap filling was poor ( $QC = 3$ ), were removed. Removing a half hourly observation from one of the three  $\text{CO}_2$  fluxes implied removing it from the three fluxes simultaneously to maintain a consistent dataset for the optimization of parameters.

In addition to the flux data, the ICOS sites investigators provided detailed information about the crop type grown each year, agricultural management, and crop calendars (Table 1). Eleven different crop types were grown across all the studied sites from 2018 to 2020. Wheat was the dominant crop type (11 cycles across all sites and years) followed by maize and rapeseed (3 cycles). Only one cycle with sugar beet and sunflower was found. Two sites (CZ-KRP and CH-OE2) included rotations with a temporary Ley for which parameters were also optimized even though it is not a conventional crop. In addition, Table 1 shows the

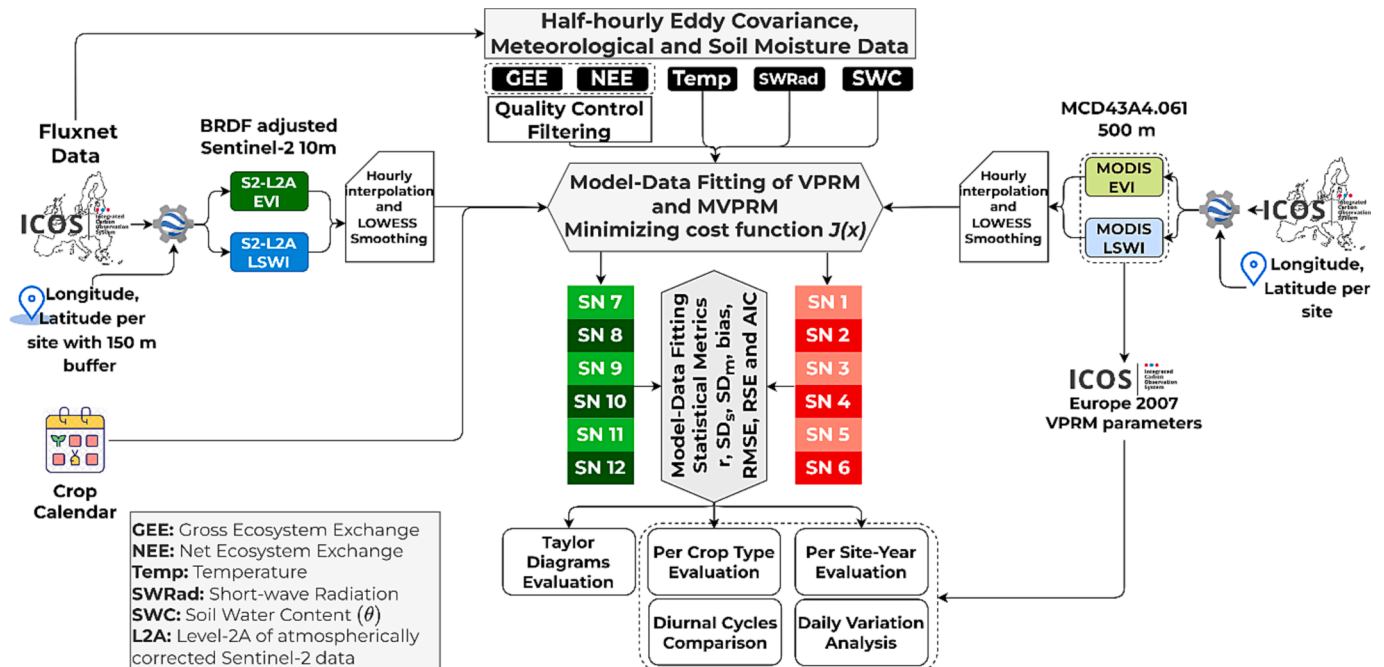
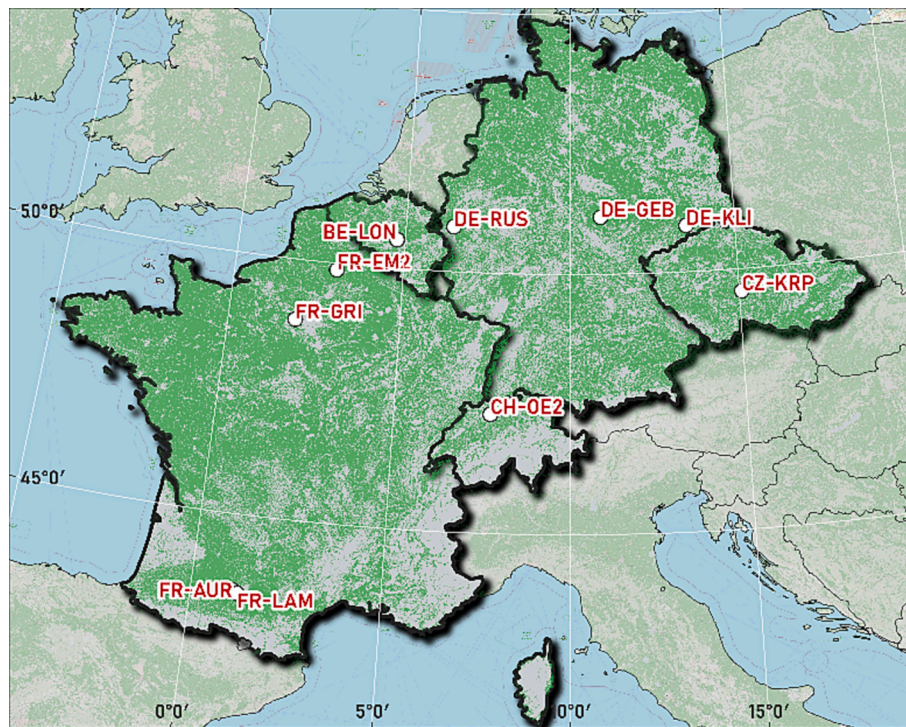


Fig. 1. Flow chart summarizing the input data preparation, the VPRM and MVPRM model-data fitting and the performed assessments.





**Fig. 2.** Location of the studied crop flux towers. Green areas represent the cropland cover derived from Corine Land Cover Map 2018. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

**Table 1**

Description of the ten flux tower sites with their corresponding crop calendar between 2018 and 2020.

Site	Lat, Lon (°)	Elevation (m)	Slope (%)	%Cropland in 500 m	Crop 2018	Crop 2019	Crop 2020
FR-LAM	43.4964°, 1.2378°	181 m	Flat	67.6 %	Wheat	Maize	Wheat
FR-AUR	43.5496°, 1.1061°	250 m	(5 – 10 %)	82.6 %	Rapeseed	Wheat	Sunflower
FR-GRI	48.8442°, 1.9519°	125 m	< 2 %	90.3 %	Wheat	Maize	Wheat
FR-EM2	49.8721°, 3.0206°	85 m	Flat	100 %	Wheat/Oat	Barley/Oat	Maize
BE-LON	50.5516°, 4.74623°	170 m	< 2 %	96.1 %	Potato	Wheat/Bean	Sugar beet
DE-RUS	50.8659°, 6.4471°	103 m	Flat	100 %	Wheat	Potato	Wheat
DE-GEB	51.0997°, 10.91463°	161 m	Flat	100 %	Wheat	Wheat	Potato
DE-KLI	50.8930°, 13.5224°	478 m	Flat	87.3 %	Maize	Bean	Rapeseed
CZ-KRP	49.5732°, 15.0787°	535 m	(5 – 10 %)	69.5 %	Ley	Grass	Wheat
CH-OE2	47.2864°, 7.7337°	452 m	Flat	89.4 %	Rapeseed	Barley	Ley

percentage of cropland areas within 500 m zone (corresponding to one MODIS pixel) around each flux site extracted using the Sentinel-2 dynamic land cover map (Brown et al., 2022) (Table A.0 in Appendix A). The cropland percentage within 500 m around the eddy covariance sites ranges between 67.6 % (FR-LAM) and 100 % (pure cropland) for different sites.

### 2.3.2. Satellite vegetation indices

The two vegetation indices used by both VPRM versions are the EVI and the LSWI. A time series of S2 EVI and LSWI (see equations in Appendix A) were calculated for each site using all available cloud free S2 images at Level-2A atmospheric correction between 2018 and 2020 (Table A.0 in Appendix A). Bi-Directional reflectance correction (BRDF) was applied to the S2 surface reflectance bands following the BRDF adjustment of S2 multispectral images proposed by Roy et al. (2017). The extraction of the S2 EVI and LSWI was performed using the Google Earth Engine (<https://earthengine.google.com/>). For S2 images at 10 m spatial resolution, the average pixel values of a buffer zone of 150 m around the flux tower, corresponding to the typical spatial footprint of each eddy covariance site, was considered to calculate the corresponding EVI and LSWI at each S2 image. The 150 m buffer distance also guarantees the spatial crop-type purity of the averaged pixels within the

buffer zone. MODIS EVI and LSWI at 500 m spatial resolution were also extracted for each site using the MCD43A4 product available on Google Earth Engine (Table A.0 in Appendix A). The MCD43A4 MODIS provides daily Nadir Bidirectional Reflectance Distribution Function Adjusted Reflectance (NBAR) at 500 m spatial resolution. Both S2 and MODIS indices were linearly interpolated at daily scales to obtain complete yearly time series, and then smoothed using the locally weighted least square algorithm (LOWESS) to reduce atmospheric noise in satellite data. For half-hourly scale optimization, the vegetation indices were also interpolated at half-hourly scale to match the eddy covariance measurements.

### 2.3.3. Optimization scenarios

In this study, the parameters were optimized for the VPRM or MVPRM versions using MODIS or S2 vegetation indices. We considered optimizing parameters for all the sites-years grouped together, for sites-years grouped per crop type (e.g., all wheat, all maize), and for each site-year (site-year notation corresponds to data at one site for one year and for each crop cycle in this year in case of more than one cycle in the year). For naming simplicity, the standard Initial and Modified VPRM are represented as (IV) and (MV) respectively. Optimization for each site-year is denoted by “Site”, grouping data per crop type is denoted by

“CropT”, and grouping all sites and all years is represented by “ALL”. The combination of these options produced twelve different optimization scenarios (2 models x 2 sensors x 3 grouping of observations) summarized in Table 2. The number of optimized parameters in each scenario differed according to the used VPRM version (IV or MV) and the number of independent groups considered in the scenario (number of crop types or number of sites-years).

### 2.3.4. Bayesian optimization

Parameters were optimized using a Bayesian inversion framework taking into consideration observation errors and prior parameter uncertainties. This framework was implemented in previous CO<sub>2</sub> flux estimations, using flux tower data, since it accounts for the uncertainty in the eddy covariance measurements in the optimization (Kuppel et al., 2012; Lasslop et al., 2010; Santaren et al., 2007). It assumes a Gaussian distribution for the observation errors and the parameter uncertainties (Tarantola, 1987). The set of optimized parameters corresponds thus to a minimum of a cost function denoted by  $J(x)$  and expressed as:

$$J(x) = \frac{1}{2} [(y_{meas} - H(x))^T \mathbf{R}^{-1} (y_{meas} - H(x)) + (x - x_b)^T \mathbf{B}^{-1} (x - x_b)] \quad (11)$$

The first part of  $J(x)$  corresponds to the mismatch between the estimated (modeled) flux  $H(x)$  and the measured (observed) flux ( $y_{meas}$ ), whereas the second part of the cost function represents the mismatch between the optimized parameters ( $x$ ) and the prior mean values of the parameters ( $x_b$ ).  $\mathbf{R}$  is a diagonal matrix including the variances of the observations, in which we assume that the observation errors are independent. Similarly,  $\mathbf{B}$  is a diagonal matrix describing the prior variances of the parameters, assuming that they are also independent. Considering that  $\mathbf{R}$  and  $\mathbf{B}$  are two diagonal matrices, the cost function can be expressed as a weighted least square function expressed as:

$$J(x) = \frac{1}{2} \left( \sum_{i=1}^n \frac{(y_{meas,i} - y_{mod,i(x)})^2}{\sigma_{meas,i}^2} + \sum_{j=1}^p \frac{(x_j - x_{b,j})^2}{\sigma_{x_b,j}^2} \right) \quad (12)$$

where  $y_{meas}$  is the observed value,  $y_{mod}$  is the modeled value dependent on the parameters ( $x$ ),  $\sigma_{meas,i}$  is the observation uncertainty (observation error), and  $\sigma_{x_b}$  is the error standard deviation of the prior parameter values ( $x_b$ ).  $n$  and  $p$  are the numbers of observations and the number of parameters respectively.

Since NEE is the difference of GEE and  $R_{ECO}$ , we choose to optimize only NEE and GEE assuming that we have only two degrees of freedom, and that the sum relation would directly optimize the third flux (here  $R_{ECO}$ ). To apply the weighted least square cost function, the half hourly observations variances on NEE and GEE were calculated. For NEE, the FLUXNET data provide an observation uncertainty value computed as the quadratic mean from the combination of the uncertainty from multiple  $u^*$  thresholds and the random observation uncertainty calculated by the method proposed by Hollinger and Richardson (2005). Since the FLUXNET sheet does not provide any information about the

total GEE uncertainty, the uncertainty of GEE is assumed to include the uncertainty of the gap filling algorithm, the partitioning uncertainty, the random measurement uncertainty of NEE, as well as the threshold friction velocity ( $u^*$ ) uncertainty (Schaefer et al., 2012). The random uncertainty of GEE was estimated using the same method for that of NEE, following Hollinger and Richardson (2005), given as the standard deviation of the measured fluxes in a sliding window of  $\pm 7$  days and  $\pm 1$  h of the time-of-day of the current timestamp sharing the same meteorological conditions (MDS gap-filling method). The GEE gap filling uncertainty was considered as a relative error (8 %) derived from the standard deviation of multiple gap filling algorithms reviewed by Moffat et al. (2007) and applied only to gap filled GEE values. The partitioning uncertainty was considered as a 10 % relative error of the GEE value (Desai et al., 2008). The  $u^*$  threshold uncertainty was provided by the FLUXNET data and calculated for 40 different  $u^*$  values. The four GEE uncertainties were summed in quadrature (Schaefer et al., 2012).

The prior values of the parameters (8 parameters for the MVPRM and 4 for the VPRM) were defined from the previous studies of Mahadevan et al. (2008) and Migliavacca et al. (2011) and expert knowledge. Nevertheless, a relative error of 40 % of prior parameters values was considered as a magnitude of the prior parameter uncertainty (matrix  $\mathbf{B}$ ) (Kuppel et al., 2012). This high relative uncertainty (40 %) was chosen to provide higher leverage of the observations' misfit in the cost function and lesser influence of the prior knowledge on parameter values in the optimization.  $J(x)$  was minimized using a gradient-based method and the L-BFGS-B algorithm (Byrd et al., 1995). To account for the mismatch between the units of the parameter values and facilitate the convergence, each parameter was normalized for a value between 0 and 1 before optimization using the minimum–maximum normalization.

### 2.3.5. Model-data fitting evaluation

For each of the twelve scenarios in Table 2 the quality of model-data fit was assessed using the correlation coefficient between observations and simulations ( $r$ ), the Root Mean Square Error (RMSE) of the simulations, the standard deviation of the measured ( $SD_m$ ) and simulated data ( $SD_s$ ), the Relative Squared Error (RSE) of the simulations, and the Akaike information criterion (AIC) (see Appendix A, equations A.6 to A.11). Since scenarios may have different numbers of optimized parameters, the AIC helps us to analyze the gain in performance between scenarios taking into consideration the different degrees of freedom (total number of optimized parameters in the scenario in Table 2).

To facilitate the comparative assessment of the twelve scenarios, the Taylor diagram (Taylor, 2001) was selected to represent the degree of correspondence for each scenario with observed data for the three fluxes (NEE, GEE and  $R_{ECO}$ ). Taylor diagrams provide a summary of  $r$ ,  $SD_m$ ,  $SD_s$ , and finally the RMSE of the simulations. Assessment was first performed for all site-years together and then by grouping sites-years with the same crop type. The crop-type based assessment helps understand if some crop types are better fitted than others and allows the inter-scenario comparison between crop types. In addition, inter-scenarios

**Table 2**

Description of the optimization scenarios assessed in the study.

Scenario Number (SN)	Scenario Name	Satellite	VPRM Version	Optimization Configuration	Number of Parameters
(1)	MODIS_IV_ALL	MODIS	Initial Standard VPRM	All crops together	4
(2)	MODIS_MV_ALL	500 m	Modified VPRM	All crops together	8
(3)	MODIS_IV_CropT		Initial Standard VPRM	Per crop type	44
(4)	MODIS_MV_CropT		Modified VPRM	Per crop type	88
(5)	MODIS_IV_Site		Initial Standard VPRM	Per site-year	128
(6)	MODIS_MV_Site		Modified VPRM	Per site-year	256
(7)	S2_IV_ALL	S2	Initial Standard VPRM	All crops together	4
(8)	S2_MV_ALL	10 m	Modified VPRM	All crops together	8
(9)	S2_IV_CropT		Initial Standard VPRM	Per crop type	44
(10)	S2_MV_CropT		Modified VPRM	Per crop type	88
(11)	S2_IV_Site		Initial Standard VPRM	Per site-year	128
(12)	S2_MV_Site		Modified VPRM	Per site-year	256

assessment among each site-year was also presented. To enrich the quantitative assessment per crop-type and per site-year, we added to the 12 tested scenarios the results obtained using the previously performed optimization of the initial standard VPRM for Europe (Kountouris et al., 2018, 2015) which is currently used by ICOS to provide hourly NEE maps (Gerbig and Koch, 2021). The latter scenario was applied using MODIS data (the same used for optimization in 2007) and referred to as “EUR\_2007”. Finally, half monthly diurnal cycles and daily variations of selected scenarios were analyzed for some common crop types. It is worth mentioning that the optimizations performed with a separate set of parameters at each site-year could not be generalized for further large-scale extrapolation of the model, yet they were included as a reference representing the best possible optimization for each site.

### 3. Results

#### 3.1. Model-data fitting over all crop species

Fig. 3 shows three Taylor Diagrams obtained for the three fluxes in

the 12 different tested scenarios calculated for half hourly data for all sites-years together. An optimal model would be located in the bottom-right corner. The correlation coefficients across the 12 scenarios vary between 0.77 and 0.90 for NEE, between 0.80 and 0.92 for the GEE, and between 0.65 and 0.86 for  $R_{ECO}$ . Although all scenarios had a good correlation coefficient for the three fluxes, we noticed from the GEE and NEE Taylor diagrams (Fig. 3a and 3b) that scenarios using the MODIS vegetation indices (light and dark red) tended to have higher RMSE and lower correlation than those using the S2 vegetation indices (light and dark green). For example, the MODIS scenarios showed a RMSE for GEE ranging between 4.1 and 5.2  $\mu\text{molm}^{-2}\text{s}^{-1}$  depending on the optimization configuration (ALL, CropT, Site), whereas S2 scenarios had lower RMSE for GEE that ranged between 3.4 and 4.2  $\mu\text{molm}^{-2}\text{s}^{-1}$ . A similar decrease in RMSE between MODIS and S2 scenarios is shown for NEE in Fig. 3a. In addition, for both NEE and GEE, the scenarios using S2 had standard deviation values closer to the observed standard deviation than the MODIS scenarios. The scenario with the initial standard VPRM, MODIS data, and a generic parameter optimization for all crops together (ALL) (SN 1 Fig. 3), as currently used for the ICOS CO<sub>2</sub> fluxes product (Gerbig

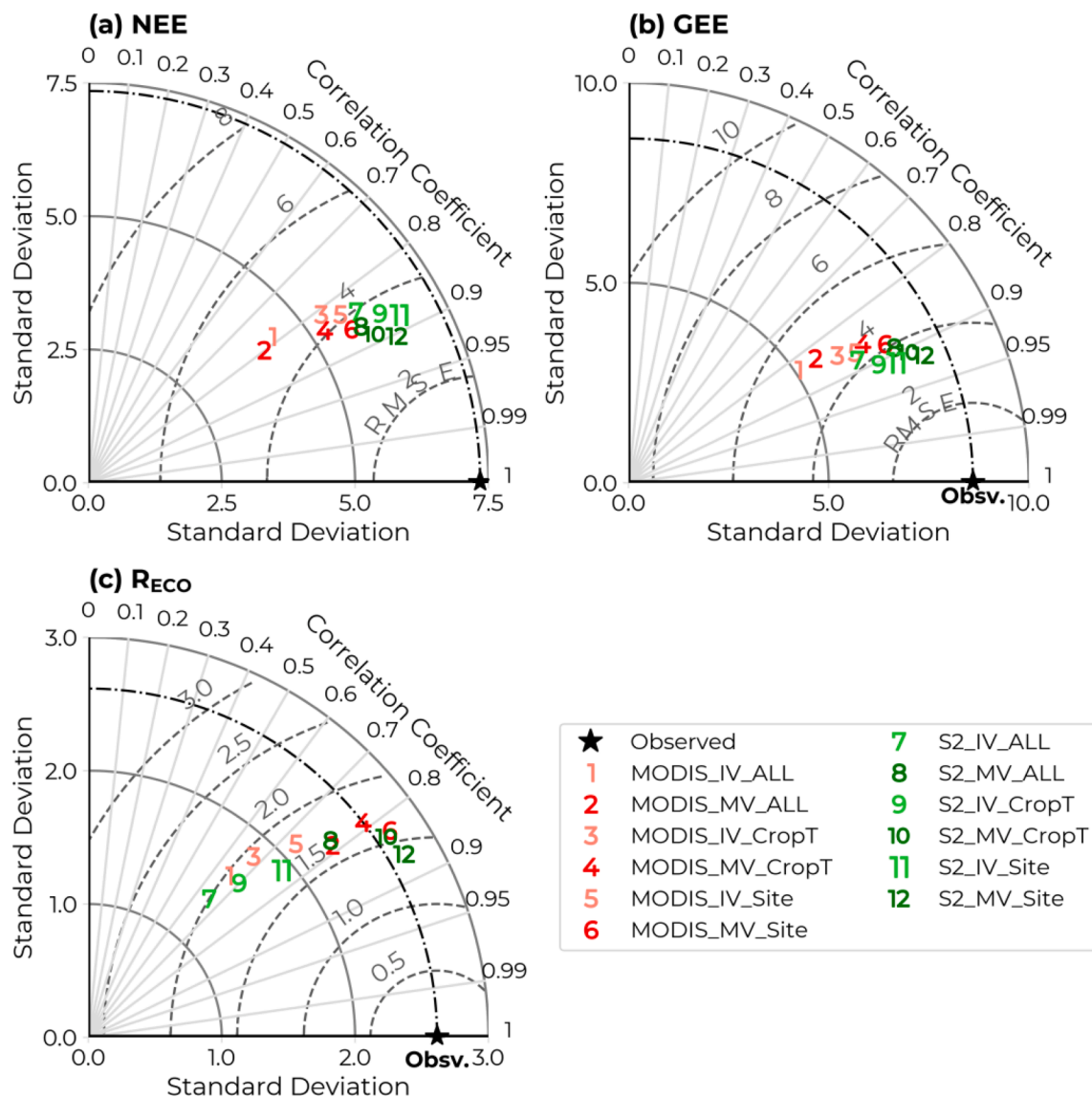


Fig. 3. Taylor Diagram for the 12 tested scenarios using half hourly data for (a) NEE, (b) GEE and (c)  $R_{ECO}$ . Green color presents S2 derived scenarios (light and dark for VPRM and MVPRM respectively). Light red and dark red colors represent MODIS derived scenarios with VPRM and MVPRM respectively. Numbers (1 to 12) represents the scenario numbers in Table 2 for different configurations. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



and Koch, 2021), failed to reproduce the observed standard deviation in both GEE and NEE for croplands. For scenario 1, the estimated SD<sub>s</sub> of GEE reached 5 μmolm<sup>-2</sup>s<sup>-1</sup> (4.4 μmolm<sup>-2</sup>s<sup>-1</sup> for NEE) compared to 8.5 μmolm<sup>-2</sup>s<sup>-1</sup> for observed GEE (7.3 μmolm<sup>-2</sup>s<sup>-1</sup> for NEE).

The comparison between scenarios from MV and IV for NEE and GEE showed a slight enhancement in both fluxes' simulations with less RMSE obtained by MV compared to the IV across scenarios using either MODIS or S2. Nevertheless, the performances of the different scenarios for R<sub>ECO</sub> (Fig. 3c) clearly demonstrated that the IV (SN 1, 3, 5, 7, 9 and 11) showed a considerably less quality of model-data fit than the MV (SN 2, 4, 6, 8, 10 and 12). The RMSE of the six scenarios using IV varied between 1.7 (optimal SN 11 at site- year scale using S2) and 2 μmolm<sup>-2</sup>s<sup>-1</sup> (SN 1, 7 and 3). The MV had lower RMSE values for the different scenarios and reached 1.55 μmolm<sup>-2</sup>s<sup>-1</sup> for a S2 crop type optimization (SN 10) with an optimal RMSE at site-year optimization (Site) reaching 1.39 μmolm<sup>-2</sup>s<sup>-1</sup>.

For both NEE and GEE, the Taylor diagrams show that the MODIS-based scenarios optimized for each crop type using IV and MV (SN 3 and 4) performed better than those optimized for all crops together and were closer to the MODIS best possible optimization at each site-year (SN 5 and 6). The modeled standard deviation became closer to the observed one and the RMSE decreased as we moved from "ALL" to "CropT. Similarly, using the S2 data, the correlation coefficient increased and the RMSE decreased as we moved from the generic scenario for all crops together (SN 7 and 8) to scenarios per crop type (SN 9 and 10). However, using S2 data, the scenarios driven by IV (SN 7, 9 and 11) had lower correlation and higher RMSE than those driven by the modified VPRM version (MV) (SN 8, 10 and 12). For both NEE and GEE, the closest scenario to the best potential optimization (SN 12) in terms of (r), SD<sub>s</sub> and RMSE was the crop-type based scenario using S2 and MV (SN 10). This scenario showed an estimated SD<sub>s</sub> of GEE equals to 7.70 μmol.m<sup>-2</sup>s<sup>-1</sup> (6.03 μmolm<sup>-2</sup>s<sup>-1</sup> for NEE), thus closer to observed standard deviation. The best possible optimization at site-year using the MV (SN 12) attained a GEE SD<sub>s</sub> of 8.02 μmolm<sup>-2</sup>s<sup>-1</sup> (6.39 μmolm<sup>-2</sup>s<sup>-1</sup> for NEE). Regarding the R<sub>ECO</sub>, the S2 based optimization per crop type using the MV (SN 10), with a correlation coefficient reaching 0.83, showed a better fitting of R<sub>ECO</sub> than the S2 optimization for all crop types together using MV (SN 8) with a correlation of 0.77. The S2 based MV per crop type also showed a slightly better standard deviation (2.69 μmolm<sup>-2</sup>s<sup>-1</sup>)

than that of the generic optimization of all crops together (2.33 μmolm<sup>-2</sup>s<sup>-1</sup>), and its SD<sub>s</sub> was closer to the observed value.

In addition to the Taylor diagram, Fig. B.1 in Appendix B presents the Mean squared deviation (MSD = RMSE<sup>2</sup>) for each scenario decomposed as a sum of three components: squared bias (SB), squared difference between measured and simulated standard deviation (SDSD), and lack of correlation weighted by standard deviations (LCS). A full description of the MSD decomposition is detailed in Kobayashi and Salam (2000) (see equations A.12 to A.14 in Appendix A). For NEE, the bias SB was negligible across the 12 scenarios and moderately low for the GEE where LCS was the major component of the MSD for these two fluxes. Fig. B.1 shows that the better fit of data using S2 compared to MODIS in both NEE and GEE was related to the smaller values in the lack of regression fit (LCS) indicating that S2 better simulated the pattern of the fluxes' fluctuations than MODIS. For GEE, the (MV), among all scenarios, decreased the SDSD component compared to the IV thus showing better simulation of the fluctuation magnitudes of the GEE using the MV. For the R<sub>ECO</sub>, scenarios with IV represented high SB values compared to the MV whereas using the MV, both the SB and the SDSD were largely reduced which implies that the main gain in model-data fit using the MV corresponded to better fitting of both the R<sub>ECO</sub> magnitudes and fluctuations.

To better appreciate the improvement of the model-data fit between scenarios, Fig. 4 shows the AIC calculated for each scenario following the AIC of weighted least squares (Akaike, 1974; Banks and Joyner, 2017). In general, lower AIC indicates a better performance. Fig. 4 shows that the AIC gradually decreased as we moved from a generic optimization for all crops (ALL) to a per crop type optimization (CropT) and finally to a per site-year optimization (Site) for both MODIS and S2, and the IV or MV model versions. From the AIC variation, we notice that scenarios with higher degrees of freedom maintained lower AIC scores. The crop-type parameterization having 88 degrees of freedom in the MV (8 MV parameters/crop-type x 11 crop types) showed lower AIC values than the generic parameterization for all crops (only 8 parameters for all crop types). This indicates that using a per crop type optimization remains significant regardless of the increase in the degrees of freedom which confirms the absence of overfitting in the optimization and guarantees that the improvement in model-data fit between a generic and a crop type parameterization is not an artifact of increasing the degrees of freedom. These results are valid for both MODIS and S2 optimization

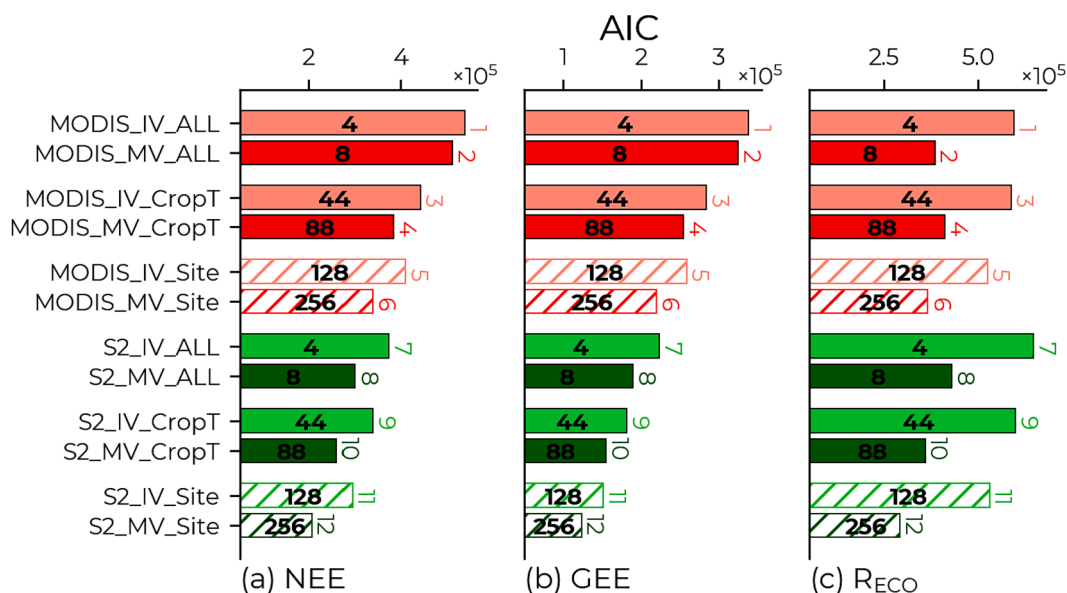


Fig. 4. AIC for the 12 scenarios calculated using half hourly data for (a) NEE, (b) GEE and (c) R<sub>ECO</sub>. Hatched bars represent AIC of models where a distinct set of parameters was adjusted for each site-year. Numbers inside each bar correspond to the number of parameters optimized for each scenario while numbers above bars represent the scenario number (SN).



scenarios.

Regarding the model-data fit across different time scales, Fig. 5 presents the relative squared error (RSE) calculated at half hourly, daily averaged, and weekly scales. In addition, Appendix B presents the Taylor diagrams of daily (Fig. B.2) and weekly (Fig. B.3) averaged output data for the 12 scenarios. Fig. 5 and the two Taylor diagrams in Appendix B allow us to compare the ability of each scenario to capture the daily/weekly dynamics of fluxes which is mainly related to weather and weekly/seasonal variability associated to phenology, management events (such as fertilizations, pesticide and irrigation), and drought events, etc. In general, from Fig. 5 and the Taylor diagrams of Appendix B, we noticed similar inter-scenarios differences for daily and weekly scales, as for half-hourly scales, showing (1) the superiority of S2 on MODIS for both NEE and GEE, (2) the better performance of the MV compared to the IV model for  $R_{ECO}$  and (3) the better fit when the parameters are optimized per crop type instead of grouping all crop types together. Among the three timescales, Fig. 5 shows that all scenarios driven by MODIS data had higher RSE values (lower model-data fit quality) for daily and weekly scales than for half hourly scale for both NEE and GEE. This indicates the poor capacity of such scenarios driven by MODIS to capture the daily and weekly variability in the cropland  $CO_2$  fluxes which could be associated to weak representation of the phenological variability. On the other hand, S2 derived simulations averaged at daily and weekly time scales for both NEE and GEE were capable of maintaining similar quality of model-data fit than that of half-hourly data. For  $R_{ECO}$ , the daily and weekly variabilities for all scenarios were similar to that at the half-hour data with a clear superiority of the MV versus the IV at the three timescales. The three time-scales evaluations for the three fluxes validate the possibility of passing from hourly to daily and weekly scales using the same half hour parameterization while maintaining an acceptable estimation of the three fluxes especially using the S2 data and the MV. Fig. B.4 in Appendix B, shows the RSE calculated at each season separately (winter, spring, summer and autumn). The models' performances per season also follow the same pattern as hourly, daily and weekly time scales with better data-model fitting for S2 compared to MODIS and enhanced simulation of  $R_{ECO}$

using the MV model. However, it is noted from Fig. B.4 that spring and summer seasons (usually growing seasons of winter and summer crops) attain higher simulation accuracies in terms of RSE, for the three fluxes, than that obtained in winter and autumn periods (having bare soil conditions corresponding to sowing and harvesting) with lower RSE values than spring and summer for all scenarios.

### 3.2. Evaluation per crop type

In this analysis, only scenarios driven by the modified version of VPRM were considered since the previous results demonstrated better performance of the MV compared to the standard version. Fig. 6 represents three heat maps of the relative squared error (RSE) calculated for each crop type independently, for the 6 scenarios including EUR\_2007 (currently used optimization for ICOS flux maps), MODIS\_MV\_ALL, MODIS\_MV\_CropT, S2\_MV\_ALL, S2\_MV\_CropT, and the S2\_MV\_Site. These scenarios are considered as the key scenarios to be analyzed. The EUR\_2007 and per site-year scenario (S2\_MV\_Site) were separated from the four other scenarios for comparison as the first represents an already existing and used optimization and the second has the best fit to the data but is not applicable for upscaling.

The heatmaps of NEE and GEE (Fig. 6a and 6b) clearly show moderate to high RSE values for both MODIS scenarios (ALL and CropT) across all crop types. For example, NEE in MODIS\_MV\_ALL had high RSE values for maize, oat, potato, barley, and radish (between 0.54 and 0.61) and moderate RSE values of 0.41 and 0.36 for wheat and rapeseed respectively. GEE also showed the same trend with moderate to high RSE values as that of NEE for both MODIS scenarios. The similarity obtained between the EUR\_2007 scenario and the two MODIS derived scenarios was expected as the three scenarios (EUR\_2007, MODIS\_MV\_ALL and MODIS\_MV\_CropT) are based on MODIS vegetation indices and the slight positive difference between our two MODIS scenarios and EUR\_2007 could be related to the enhancement provided using the modified VPRM.

For the S2 driven scenarios, the difference in the model-data fit between an optimization mixing all crops (ALL) and a per crop type

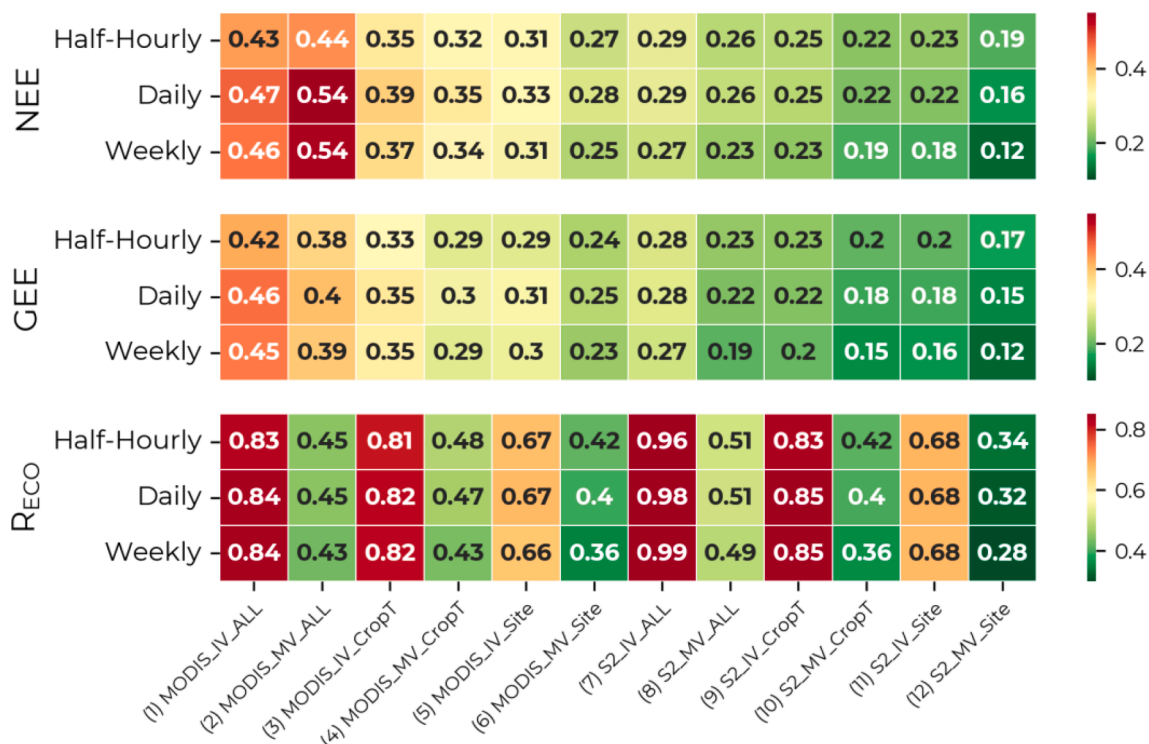


Fig. 5. Heatmap of the variation of the relative squared error (RSE) for the 12 scenarios at half-hourly, daily aggregated and weekly aggregated data.

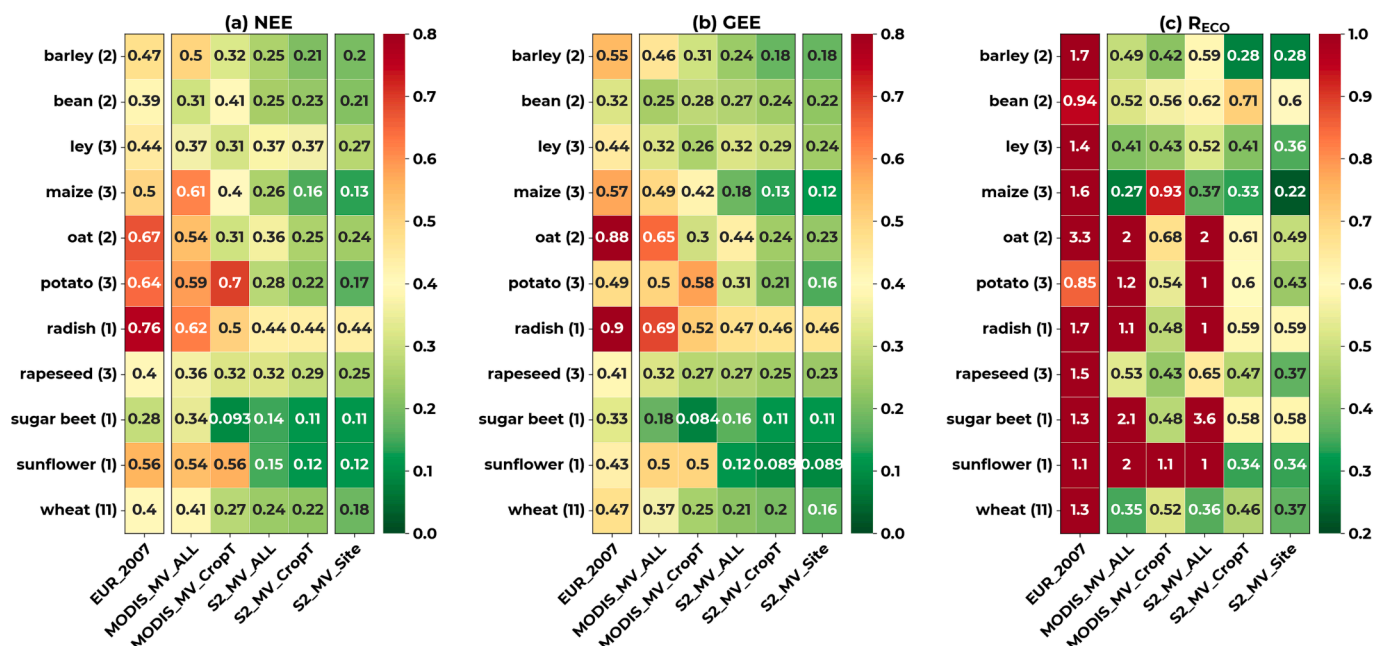


Fig. 6. Heatmap of the variation of the relative squared error (RSE) per crop type for 6 tested scenarios for (a) NEE, (b) GEE and (c) RECO. The number between parentheses next to each crop type represents the number of available sites-years for this crop type used to calculate the RSE value.

optimization (CropT) was variable according to the crop type. It was noticed from the heatmaps of NEE and GEE (Fig. 6a and 6b) that crop types as barley, maize, oat, sugar beet, potato, and sunflower had significant improvement when applying a specific crop-type based parameterization instead of a generic set of parameters for all crops. For example, the RSE value for maize NEE decreased from 0.26 (0.18 for GEE) using a S2 generic optimization (ALL) to 0.16 (0.13 for GEE) using S2 maize crop parameters. Similarly, the two sites-years with oat crop cover showed a NEE RSE value decreasing from 0.36 (0.44 for GEE) using generic “ALL” optimization to 0.25 (0.24 for GEE) using an oat-crop optimization. On the other hand, wheat crop did not show a significant improvement when using a specific crop type optimization (CropT), and RSE values for both NEE and GEE remained close to those of a generic optimization of all crops (ALL). Despite this limited enhancement between “ALL” and “CropT” for some crops such as wheat, the improvement was variable across different sites-years and could be significant in some cases. For example, Fig. C.1 in Appendix C, representing the RSE values calculated for each site-year for the same scenarios of Fig. 6, shows that for the DE-Rus site having wheat crop in 2018, the RSE of NEE and GEE decreased from 0.22 to 0.15 and from 0.18 to 0.1 respectively when using the wheat-based optimization instead of the generic “ALL” optimization. Similarly, CZ-KrP site in 2020 showed a comparable decrease of from 0.32 to 0.27 in NEE RSE value when using the wheat-based optimization instead of the “ALL”.

In general, in the case of S2, the per crop-type optimization (CropT) tends to be closer to the case of a per site-year optimization (Site) than the all-crop optimization (ALL). The current EUR\_2007 model used to generate the CO<sub>2</sub> fluxes maps using MODIS and IV by ICOS seems to be significantly less explicit than our S2 “ALL” or “CropT” scenarios. A critical point to consider in this assessment is the variation of the accuracy in the estimation of the ecosystem respiration between S2\_MV\_ALL and S2\_MV\_CropT. Generally, Fig. 6c shows that for almost all crop types, the estimation of RECO was less accurate using ALL than CropT. This can be noticed from the RSE values of the barley, ley, oat, potato, radish, rapeseed, sugar beet, and sunflower where the RSE values largely decreased when using the “CropT” parametrization compared to that using the “ALL” parametrization. Therefore, despite of having acceptable results for both NEE and GEE using the generic optimization (ALL) driven by S2 data and using the MV, the generic

parameterization of all crops did not produce a good model-data fit of the RECO and the per crop-type optimization was more efficient for estimating the three fluxes simultaneously.

### 3.3. Diurnal cycles

Fig. 7 shows half-monthly composite diurnal cycles (averaged for each hour) for three different crop types including maize in FR-Lam 2019 (Fig. 7a), wheat in DE-RuS 2018 (Fig. 7b), and rapeseed in FR-Aur 2018 (Fig. 7c) calculated for EUR\_2007, S2\_MV\_ALL, S2\_MV\_CropT and S2\_MV\_Site key scenarios. For the three site-years, the scenarios showed considerable variations in the models’ performances regarding the diurnal variability of net carbon uptake, with a significant variation in the overall magnitude of the diurnal cycle, although they all follow the diurnal trend between day and night. First, the EUR\_2007 scenario considerably underestimated the day-time diurnal cycle magnitudes during the whole growing season of maize and wheat with a maximum uptake reaching  $-12 \mu\text{molm}^{-2}\text{s}^{-1}$  and  $-15 \mu\text{molm}^{-2}\text{s}^{-1}$  compared with the observed daytime diurnal magnitude reaching  $-40 (\pm 7.95) \mu\text{molm}^{-2}\text{s}^{-1}$  and  $-30 (\pm 5.7) \mu\text{molm}^{-2}\text{s}^{-1}$  for maize (July – August) and wheat (April – May) respectively. For the rapeseed example, the EUR\_2007 scenario overestimated the observed daytime diurnal magnitudes especially in the maximum vegetation development stage (between April and June). The EUR\_2007 scenario showed low positive and underestimated night-time NEE values indicating lower ecosystem respiration estimation mainly caused by the linear respiration-temperature relation of the IV version, whereas MV based scenarios (ALL or CropT) showed better estimation of the nighttime NEE (positive NEE values) mainly due to better estimation of the ecosystem respiration.

The “CropT” scenario (S2\_MV\_CropT) in the three examples outperformed the generic scenario (S2\_MV\_ALL) with daytime NEE values approaching the extreme values of the observed peak daily magnitudes, with less underestimation than the MODIS derived scenarios and the EUR\_2007. The closest performance to the observed diurnal cycles was found for the scenario calibrated per crop type (S2\_MV\_CropT). The green line representing the latter extremely approached, and sometimes laid, within the observational error shaded area for most of the half-monthly diurnal cycles in the three sites especially in the peak

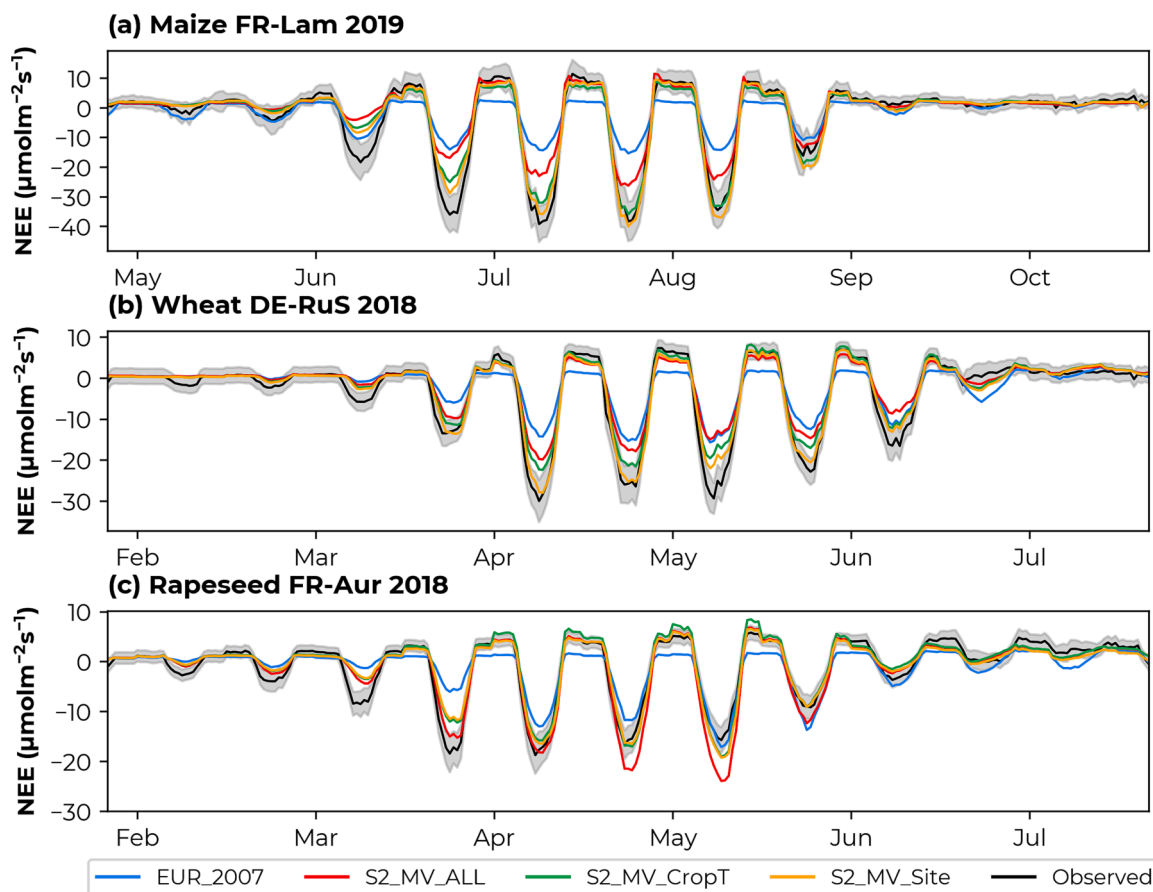


Fig. 7. Examples of half-monthly diurnal cycles during the growing season for (a) maize in FR-Lam 2019, (b) wheat in DE-RuS 2018 and rapeseed in FR-Aur 2018. The shaded region around the observed NEE represents the average observational uncertainty of the measured NEE.

growing season (diurnal cycles of August for maize, April and May for wheat and rapeseed). This reflects the successful reproduction of the diurnal cycle magnitudes using the “CropT” optimization and the superiority of the crop-type based scenario to the generic “ALL” scenario. The peak growing season diurnal cycle presented by Fig. D.1 in Appendix D also guaranteed the same outperformance of the “CropT” with satisfactory predictions of the NEE diurnal cycles.

In general, all the scenarios showed limited capability to reproduce the start of the growing season but then fitted well in the rest of the season. This inadequacy in the beginning of the season could be attributed to the limits in the EVI and LSWI determination (including

Pscale and Wscale) when the canopy is not fully covering the soil. In addition, we noticed that even the best data fitting scenario per site-year (S2\_MV\_Site) could not reach the extreme values of the diurnal cycle in the maximum vegetation development (Fig. 7 and Fig. D.1 in Appendix D). This limitation could be attributed to two main reasons. First, the extremely large flux magnitudes have the highest observational uncertainty values, given that the observational uncertainty increases with the value of the observation, which means less influence of these observations in the weighted least square optimization. Second, this limitation could be due to the possible saturation of the EVI and the incapability to reflect the extreme photosynthetic activity during the full

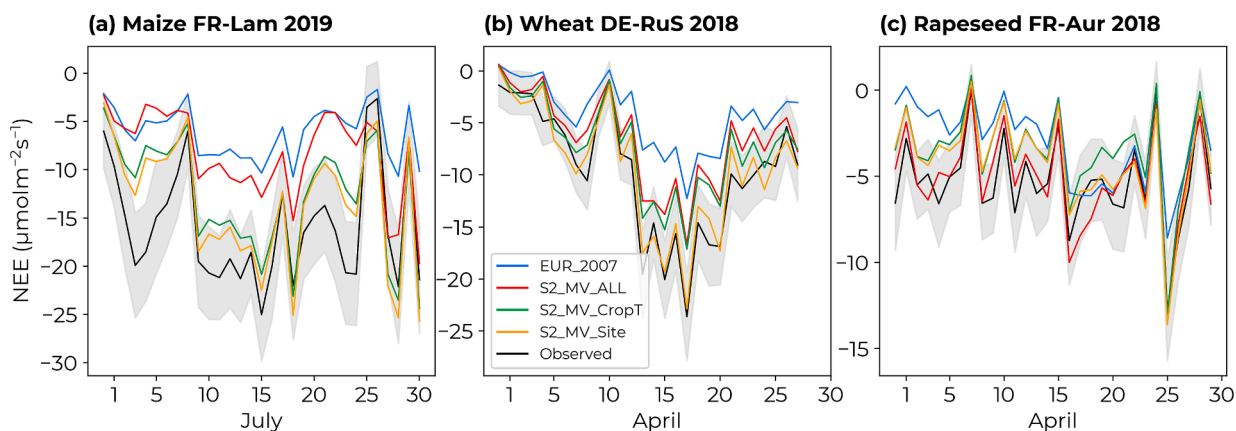


Fig. 8. Daily NEE during the peak growing season of (a) maize crop in FR-Lam 2019, (b) wheat crop in DE-RuS 2018 and rapeseed crop in FR-Aur 2018. The shaded region around the observed NEE represents the average observational uncertainty of the measured NEE.

vegetation development.

Fig. 8 presents the daily mean NEE variability during the peak growing season for the three sites-years above, in July for maize and in April for wheat and rapeseed. For maize, the EUR\_2007 and the generic scenarios from S2 (S2\_MV\_ALL) failed to accurately reproduce the daily variation especially in the peak uptake period between 10 and 20 July 2019 and showed high underestimation of the flux magnitudes. The scenario optimized per crop-type (S2\_MV\_CropT) had better simulation of the daily variability. This scenario was also capable of capturing the sudden drop in NEE values between 25 and 28 July 2019, which was most probably related to a drought event since temperatures recorded for the period between 23 and 28 July at the flux tower reached its maximum value of July (35 °C) accompanied with the maximum recorded VPD (Vapour-Pressure Deficit) of the month. At the wheat site-year, both the S2\_MV\_ALL and the S2\_MV\_CropT captured the daily changes in NEE, similar to that of the rapeseed site-year except for the period between 15 and 20 April where a slight overestimation was observed for S2\_MV\_ALL compared to S2\_MV\_CropT for the rapeseed site-year (Fig. 8c).

### 3.4. Variability in the estimated parameters values

The results presented in the previous sections showed better model-data fit of scenarios calibrated per crop type compared to the generic ALL parameterization using S2. To understand the variability in the performance, Fig. 9 presents the optimized parameters per crop type (CropT) compared to that obtained by the generic (ALL) scenario using S2 data and the modified VPRM (4 GEE parameters and 4 R<sub>ECO</sub> parameters). Although the average values of all the crop-specific parameters were quite similar to that of the generic (ALL) scenario, the parameters differed significantly between the crop types.

For the GEE, the light use efficiency  $\lambda$  had an average value of 0.32  $\mu\text{mol m}^{-2}\text{s}^{-1}(\text{Wm}^{-2})$  for different crop types compared to 0.31  $\mu\text{mol m}^{-2}\text{s}^{-1}(\text{Wm}^{-2})$  for “ALL”, with a variation between 0.21 (maize) and 0.67 (oat). The variation of the light use efficiency value across crop types was expected as this parameter is a plant functional-type dependent parameter that relies on temperature and vapor pressure deficit (VPD).  $\text{SWRad}_0$  values ( $\text{Wm}^{-2}$ ) were less varying across the crop types,

except for maize, and attaining a value between 155  $\text{Wm}^{-2}$  (ley) and 393  $\text{Wm}^{-2}$  (sunflower). Higher  $\text{SWRad}_0$  value estimated for maize (579  $\text{Wm}^{-2}$ ) is consistent with the findings of Mahadevan et al. (2008) for maize sites in the USA. The two soil moisture parameters in the GEE ( $q$  and  $\theta^*$ ) had larger variability across the crop types. We noticed that the critical soil moisture  $\theta^*$  for crops such as beans, oat and sugar beet were generally higher than the average value (0.29) and ranged between 0.33 (sugar beet) and 0.63 (oat). Winter crops including wheat, rapeseed, and barley had lower  $\theta^*$  values than summer crops, ranging between 0.09 (wheat) and 0.24 (rapeseed). The dependency of the R<sub>ECO</sub> on GEE (unitless parameter k) had an average value of 0.36 among different crops (0.37 for ALL) and varying between 0.23 and 0.57. This average value was slightly higher than that obtained over crop sites in the study of Migliavacca et al. (2011) which was 0.244. The sensitivity of the ecosystem respiration to temperature ( $E_0$ ) attained an average value of 216.6 (°K) with an “ALL” value reaching 224.3 (°K). However, crops such as radish, bean, barley, and potato showed higher  $E_0$  values compared to the average crop value. The high value of  $\theta_{\text{max}}$  for radish compared to other crops denotes the dependency of this crop on the soil surface wetness. The high variation of all optimized parameters between the “ALL” and the “CropT” confirms that a generic optimization of all crops together could not always generate satisfactory estimation of NEE, GEE and R<sub>ECO</sub> for all the crop types since each crop attains different crop dependent optimized values.

## 4. Discussion

### 4.1. Modified and default VPRM

The modified VPRM presented an improved performance for simulating all three CO<sub>2</sub> fluxes. The evaluation of the IV showed that a simple model with a linear relation between ecosystem respiration and temperature underestimates the amplitude of the R<sub>ECO</sub> at crop sites and is not appropriate for further applications concerned by the seasonal cycle of global CO<sub>2</sub> and annual carbon balance. Adding both a productivity dependence (GEE) and a soil water content dependence to the R<sub>ECO</sub> equation in VPRM enhanced the description of R<sub>ECO</sub>. However, even with the MVPRM, the general moderate accuracy of the R<sub>ECO</sub>, compared

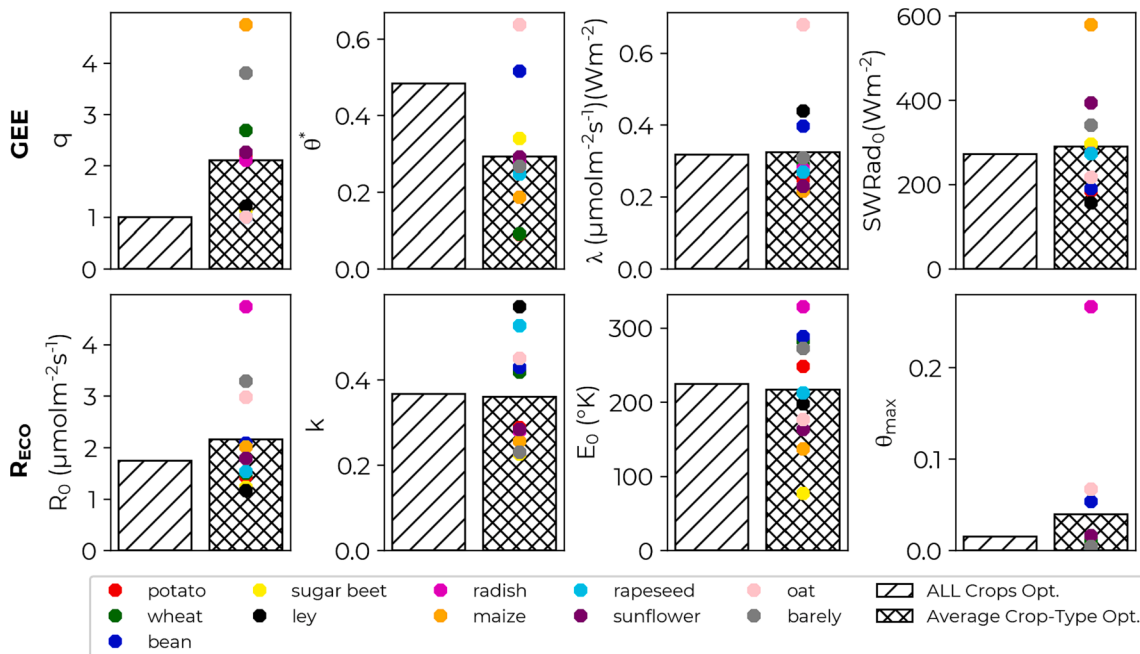


Fig. 9. Optimized parameters for all-crop optimization (ALL) and crop type optimization (CropT) using the S2 vegetation indices and the modified VPRM. Parameters with no units shown on the y-axis are unitless.



to the NEE and GEE, could be related to management impacts in croplands which are not yet explained by the  $R_{ECO}$  equation (tillage, fate of crop residues, irrigation etc.). In addition, the low estimation accuracy of  $R_{ECO}$  using a generic scenario for all crops together (Fig. 5c and 6c) compared to that obtained using a per crop-type optimization (CropT) could be assigned to the specific crop management associated to each crop type that can be hardly expressed using a generic parametrization for all crops together. A better estimation of  $R_{ECO}$  for croplands could be obtained by including crop management drivers especially during the non-growing season such as tillage, cover crops, and the management of crop residues.

The addition of the soil water content function as a driver in the GEE enhanced both GEE and NEE estimates. In fact, the effect of water availability on GEE and  $R_{ECO}$  depend on and the magnitude of water stress and the sensitivity of related biotic processes. The modified VPRM for GEE mainly decreased the difference between the measured and simulated standard deviation which implies that the MV better captured the fluctuation in the GEE, which in return could be mainly related to the daily/weekly variations caused by drought events and water stress for croplands. This ensures that with the additional soil moisture driver, the modified VPRM can better simulate GEE values at water stress conditions which was adjusted by the proposed water stress parameter ( $\theta^*$ ) and (q). The limitation in accurate fluxes' estimations in the water stress periods was one of the systematic errors reported by Mahadevan et al. (2008) where sites affected by water stress were less accurately captured by the initial VPRM using only the LSWI as a water stress descriptor.

Nevertheless, for large scale applications, the integration of the soil water content as a driver for both GEE and  $R_{ECO}$  requires accurate large scale spatial estimations of the soil moisture at acceptable spatial and temporal resolutions. Current remote sensing-based soil moisture products providing satisfactory soil moisture estimations at several spatial and temporal resolutions include, and are not limited to, the microwave L-band Soil Moisture Active Passive (SMAP) at ~9–30 km spatial resolution (Entekhabi et al., 2010), the enhanced version of

SMAP combined with Sentinel-1 product (SMAP-S1) at ~1–3 km spatial resolution (Das et al., 2019) and the Soil Moisture and Ocean Salinity (SMOS) product at ~25 km spatial resolution (Kerr et al., 2001) etc. The global dataset for land components of the European ReAnalysis product (ERA5) (Hersbach et al., 2020, p. 5) provides, in addition to the temperature and shortwave radiation products, the hourly soil moisture estimations at ~9 km spatial resolution which can be used for the large-scale application of the modified VPRM proposed in this study.

#### 4.2. Spatial upscaling using Sentinel-2 vs MODIS

The S2 derived vegetation indices showed considerable improvement in the simulation of the GEE and NEE for crops, especially when using a per crop-type optimization instead of a generic crop optimization for all crop types together. The improvement of GEE and NEE could be mainly related to the finer spatial resolution of S2 which helped reducing the effect of combined reflectance of mixed land cover classes at 500 m scale thus providing an accurate description of the plant phenology independent of the surrounding land cover. Although all sites had approximately pure cropland areas within 500 m around the site according to the S2 dynamic land cover (Table 1), the MODIS derived fluxes showed less model-data fitting accuracy than S2 at site level. To understand this difference between MODIS and S2 in fluxes simulations for croplands, we analyzed the crop-type distribution within the 500 m MODIS pixels for four French sites using the OSO French Land Cover Map (OSO for Occupation des Sols Opérationnelle in French) at 10 m spatial resolution which provides detailed crop-type classification (Inglada et al., 2017) (Table A.0 in Appendix A). These sites could be representative of other European sites. Fig. 10 shows the distribution of land cover classes, including crop types, for the MODIS pixels of the four flux sites in 2018, 2019 and 2020. From Fig. 10 we noticed that most of the flux tower sites had a mixture of different crop types within the 500 m pixel of MODIS. In FR-Aur, FR-Lam and FR-EM2, a mixture of more than 3 crop types are identified in the 500 m MODIS as well as other land

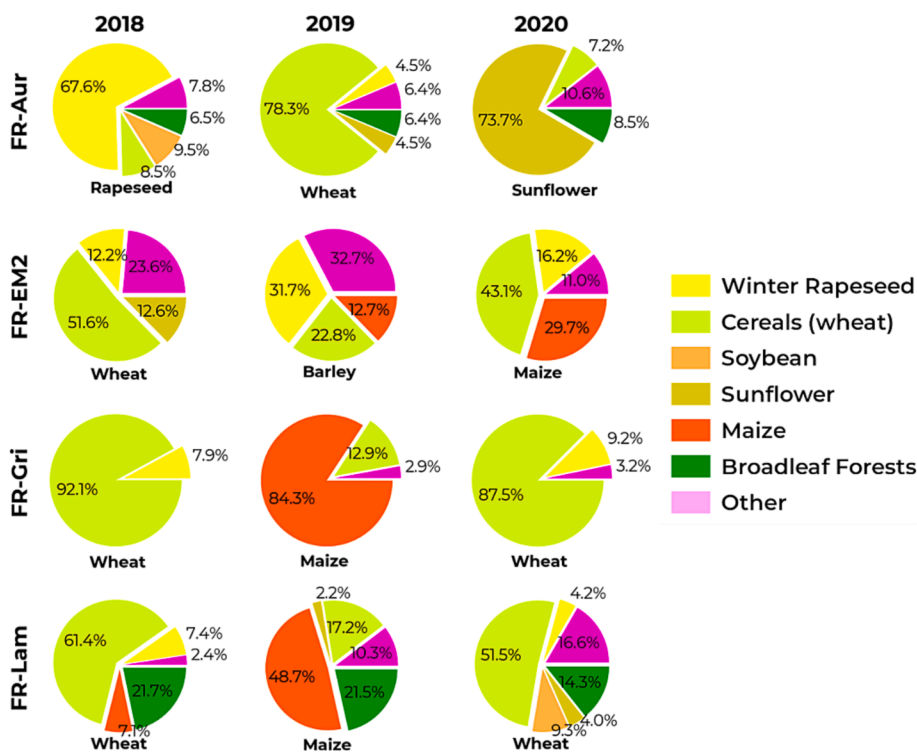


Fig. 10. Distribution of land cover classes within the 500 m pixel of MODIS for four French flux tower sites. Land cover classes are extracted from the yearly OSO French land cover map. Class “Other” includes urban areas, orchards, natural grassland etc. Crop type under each pie chart is the true crop type of the site-year (Table 1).

cover classes (other class stands for urban areas, orchards, natural grassland etc.). The case of FR-Gri showed a dominance of one crop type (more than 85 % single crop) with less heterogeneity but not complete purity. This analysis validates the assumption that the complex heterogeneous features of the cropping system in Europe necessitate fine spatial resolution data for crop CO<sub>2</sub> fluxes and that MODIS vegetation indices may have a mixture of different land cover reflectance that affects the estimation of the cropland CO<sub>2</sub> fluxes in MODIS based scenarios.

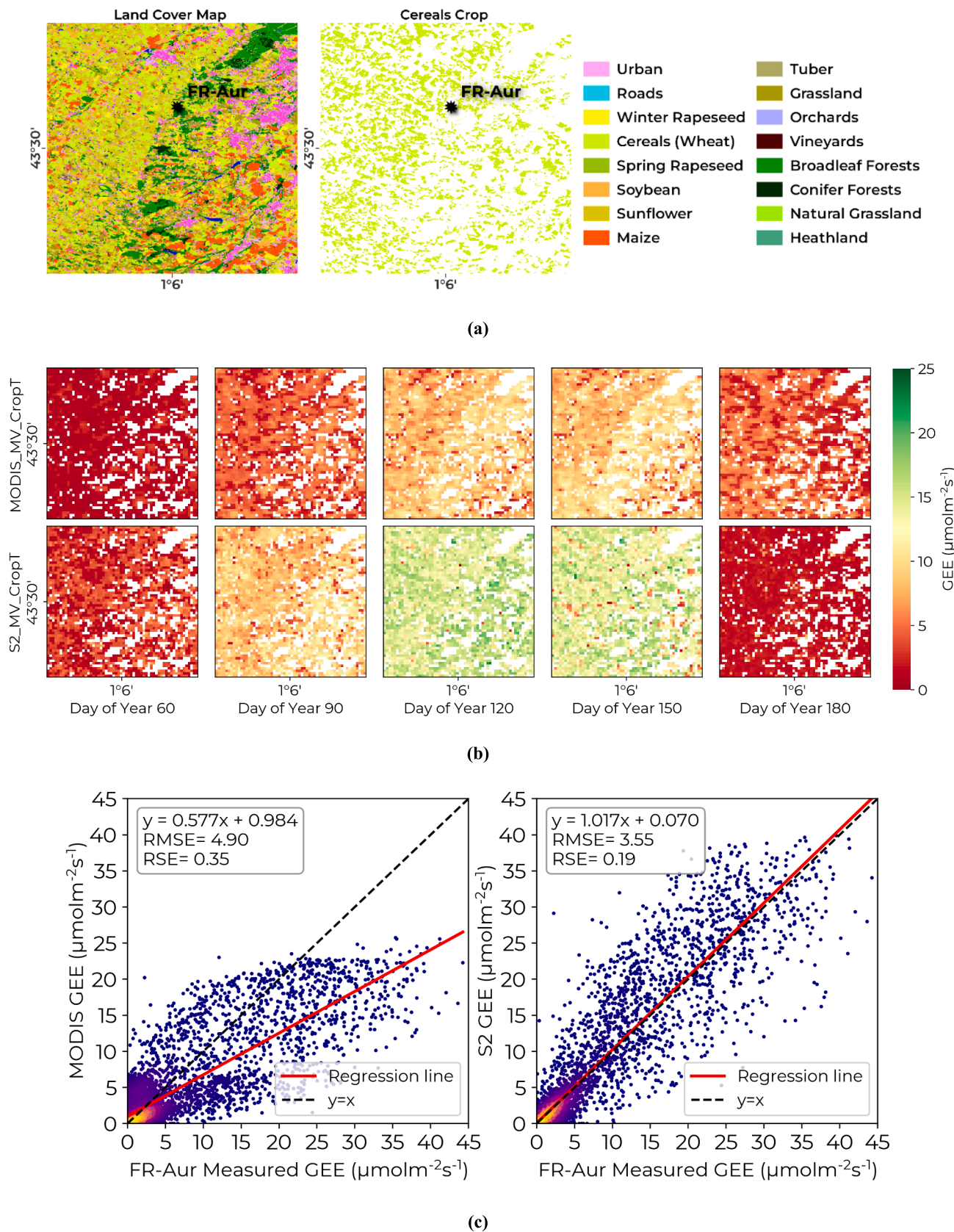
To emphasize the importance of using S2 instead of MODIS, and to show the ability to spatially generalize the proposed crop-type parametrization of our propose modified VPRM, we conducted a spatial representation of the CO<sub>2</sub> fluxes over a 30 km x 30 km study site located in the south of France (near FR-Aur flux tower site) at 500 m spatial resolution. We considered the example of wheat crops (common winter crop) where we performed two different CO<sub>2</sub> flux mapping for the year 2019, one using the wheat crop parameters of the MODIS\_MV\_CropT scenario and the other using the wheat crop parameters of the S2\_MV\_CropT scenario. MODIS vegetation indices were calculated for the year 2019 for the study site using the MCD43A4 product (same product used for optimization). S2 vegetation indices were extracted only for wheat crops by averaging, within each 500 m pixel, the 10 m S2 pixels corresponding to wheat crops using a wheat crop (cereals) mask from the 10 m French Land Cover Map of the same year (2019). For meteorological data and soil water content, we used the ERA5 dataset that provides hourly temperature, shortwave radiation and soil water content (top first soil layer 0 – 7 cm) at 9 km spatial resolution (see Table A.0 in Appendix A). Finally, GEE was calculated for both MODIS and S2 scenarios using their corresponding wheat parameters and the results were compared with the FR-Aur flux measurements in 2019 (FR-Aur measurement field in 2019 had wheat crop). Besides the objective of showing the difference between S2 and MODIS for CO<sub>2</sub> fluxes upscaling, the second objective here is to test whether the use of spatially continuous meteorological and soil moisture datasets for large scale applications, such as that of ERA5, would affect the estimations accuracies.

Fig. 11 shows examples of daily averaged GEE over a study site near FR-Aur flux tower (Fig. 11a) calculated using S2\_MV\_CropT and MODIS\_MV\_CropT (Fig. 11b) for winter wheat (cereals) crops. Daily examples are taken at day of year (DOY) 60, 90, 120, 150 and 180 during the wheat growing season. The GEE maps of MODIS and S2 clearly showed the underestimation of the MODIS derived GEE compared to that estimated from S2 especially in the peak growing season (DOY 120 and DOY 150). While MODIS GEE saturated in the peak growing season at an order of 10 to 15  $\mu\text{molm}^{-2}\text{s}^{-1}$ , S2 provided higher estimation reaching an order of 20–25  $\mu\text{molm}^{-2}\text{s}^{-1}$ . This underestimation of MODIS GEE is most likely due to lower magnitude of vegetation indices of MODIS pixels having a mixture of either other crop types or bare soil fields within the 500 m resolution (summer crops are usually bare soil in winter. At the end of the wheat crop cycle (DOY 180), MODIS GEE estimations showed higher values than that for S2 having lower GEE values representing the possible harvest (end of cycle). These high values by MODIS at the end of the wheat growth cycle could probably be due to the contribution of the summer crops in the MODIS EVI and LSWI values within the 500 m spatial resolution of MODIS pixels (starting season of summer crops in May-June). The scatter plots of the comparison of hourly estimated GEE for both MODIS and S2 with FR-Aur flux measurements (Fig. 11c) show that MODIS GEE underestimated the measured GEE values with an RMSE reaching 4.90  $\mu\text{molm}^{-2}\text{s}^{-1}$  compared to 3.55  $\mu\text{molm}^{-2}\text{s}^{-1}$  for the S2 derived GEE. The comparison between the spatially upscaled S2 GEE and the measured GEE in Fig. 11c demonstrates that the use of ERA 5 data for temperature, shortwave radiation and soil water content in the spatial upscaling did not highly affect the estimation accuracy. Indeed, the flux site FR-Aur in 2019 had an optimization accuracy reaching 0.17 by means of RSE (using flux meteorological data and soil moisture) which slightly decreased to 0.19

using the ERA-5 forced estimations. This guarantees the capacity of generalizing the crop-type optimized MVPRM at large scales using spatially continuous meteorological and soil moisture data such as that provided by the ERA5.

To understand the lower GEE values simulated by MODIS compared to S2 in the peak growing season and higher values at the end of the wheat crop cycle, Fig. E.1. in Appendix E presents the time series of both EVI and LSWI derived from S2 and MODIS and averaged over all 500 m sub-pixels in the study zone. The EVI time series shows that MODIS EVI reached a maximum value of  $0.47 \pm 0.10$  less than that of S2 that reached  $0.61 \pm 0.12$  in the peak growing season. Moreover, MODIS derived EVI and LSWI showed higher values than S2 for the period between June and August 2019. Fig. E.2a in Appendix E presents the distribution of the land cover classes within the study area while Fig. E.2b shows the percentage of MODIS pixels as a function of the wheat area percentage within each 500 m pixel. While wheat is the dominant crop type in the study site (29 %), sunflower crop represents about 17 % of the land cover classes followed by urban areas (15 %) and grassland (12 %). Sunflower and urban areas contributing to the MODIS 500 m spectral reflectance probably reduced EVI values in the peak growing season (in spring) where sunflower (summer crops) are bare soil and urban areas usually have very low EVI values. In summer (end of wheat crop cycle), the sunflower crop cycle starts and higher EVI values for MODIS was obtained probably due to the contribution of the sunflower crop cover in the MODIS surface reflectance. Analyzing the wheat area percentage within the 500 m pixels (Fig. E.2b), we noticed that about 36 % of the 500 m pixels in the study site had less than 20 % of wheat areas whereas only 33 % of the pixels had more than 40 % pure wheat area. These findings confirm the assumption that the 500 m spatial resolution of MODIS could not be always adequate for European cropping systems with heterogeneous features, complex rotations and small farmland properties. In an estimation of the global distribution of the agricultural fields sizes, Lesiv et al. (2019) showed that in the European agricultural areas, fields' sizes are either small (between 0.64 and 2.56 ha) or medium (between 2.56 and 16 ha) which is less than the size of one MODIS pixel (25 ha). In addition, according to the European statistics of farmland, about 63.8 % of the European agricultural holdings are less than 5 ha (Farms, nd). Consequently, the diversity of the land cover types, and the small sized European farms compared to the 500 m spatial resolution of MODIS led to less accurate representation of the vegetation phenology and inadequate estimation of the GEE and NEE.

For larger scale applications of crop-type optimized MVPRM (i.e., European scale), crop type land cover maps at fine spatial resolution are required to distinguish between different crop types. Where at the French scale we used the French land cover map which includes detailed recognition of several crop types (as shown in Figs. 10 and 11), at the European scale the current advances in machine learning demonstrated the capability of obtaining quite accurate crop type classification maps at fine spatial resolution. A recent study by d'Andrimont et al. (2021) proposed the first 10-m crop type map for the European Union for nineteen (19) crop types developed using the RF classification and based on the Sentinel-1 time series data. Such dataset is sufficient to produce CO<sub>2</sub> flux maps for each crop type using the crop-type parameterization provided in this study at the European Union scale. With the near-real-time availability of Sentinel-2 data, future work will concentrate on establishing a continuous monitoring system for cropland CO<sub>2</sub> fluxes at national and continental scales, enabling quasi-real-time tracking at high spatial resolution. A detailed and accurate spatial representation of cropland CO<sub>2</sub> fluxes would aid in the precise monitoring of crop health in near real-time, the analysis and assessment of the impact of extreme events such as droughts and heatwaves on crop yields, and the forecasting of crop yields during the growing season. Future work will also focus on enhancing the VPRM equation to consider more drivers for cropland CO<sub>2</sub> fluxes, including crop management practices such as tillage, harvest, fertilization, and irrigation. Despite optimizing the



**Fig. 11.** Example of the application of the S2\_MV\_CropT and MODIS\_MV\_CropT optimizations on wheat (cereals) in south France using the ERA-5 meteorological and soil moisture data. (a) the study site near FR-Aur flux tower (30 km x 30 km) with the corresponding land cover map and cereals mask in 2019. (b) Daily aggregated examples of estimated GEE for wheat using MODIS and S2 at 500 m spatial resolution for selected days of years during the wheat cycle. White areas in GEE maps represent 500 m pixels having no cultivated wheat in 2019 according to the land cover map. (c) Density scatter comparing the hourly estimated and measured GEE at the FR-Aur flux tower in 2019 for both S2\_MV\_CropT and MODIS\_MV\_CropT optimizations.



VPRM and MVPRM using site scale meteorological and soil moisture data, the use of the ERA5 data for meteorological data as well as the soil moisture estimates proved to be efficient for large scale mapping of CO<sub>2</sub> fluxes as shown in the upscaling example. However, the use of the SWRad in the GEE equations instead of the PAR can induce potential uncertainties. In the VPRM as well as the MVPRM equations for GEE, PAR was replaced by SWRad since, unlike PAR, the SWRad is usually available operationally at large scales through meteorological datasets such as the ERA5. Although PAR is proportional to the SWRad with PAR being about 45 % of the SWRad (Howell et al., 1983), this value can vary from 42 % to 59 % depending on the weather conditions (cloudiness and solar angles). Under cloudy conditions, Zhang et al. (2020) showed that larger GEE could be observed in the morning and afternoon mainly due to higher diffuse PAR fraction whereas larger GEE in midday could be due to lower temperature and VPD. In such cloudy conditions, shaded leaf photosynthesis could be limited by light, but the diffuse light can increase GEE. Therefore, the assumption of a direct linear relationship between PAR and SWRad can be limited for such cloudy conditions and may induce potential uncertainty in the upscaling.

## 5. Conclusion

We proposed a modification of the VPRM model to improve the simulation of cropland CO<sub>2</sub> fluxes in Europe. Modifications of the VPRM included first the replacement of the commonly used MODIS vegetation indices at 500 m spatial resolution by the Sentinel-2 vegetation indices at 10 m spatial resolution to address the complex heterogenic feature of the European cropping system. Second modification incorporated the addition of soil moisture functions to the GEE and R<sub>ECO</sub> equations to account for water stress effects on both fluxes. Contrary to the conventional assumption of having one set of parameters for all crop types in the initial VPRM, the study proposed to optimize crop-type specific parameters for common crops in Europe. Modified VPRM parameters were optimized using eddy covariance measurements at selected European cropland flux sites comprising 11 different crop types. Main results showed that the use of S2 vegetation indices in the modified VPRM better simulated the three CO<sub>2</sub> fluxes (NEE, GEE and R<sub>ECO</sub>) especially in the peak growing season whereas MODIS underestimated the CO<sub>2</sub> fluxes for most crop types. Compared to a single parameterization of all crops together, the crop-specific parameterization of the modified VPRM enhanced the simulations of CO<sub>2</sub> fluxes obtaining lower RMSE and RSE values for several crops including maize, oat, sugar beet and barley. The addition of the soil moisture functions in both GEE and R<sub>ECO</sub> allowed better simulations of the CO<sub>2</sub> fluxes in drought periods illustrated by better fitting of the daily/weekly fluxes' fluctuations caused by drought events. The modified R<sub>ECO</sub> equation better fitted the ecosystem respiration than the simple respiration-temperature linear function used in the initial VPRM for all crop types. An example of a spatial upscaling of the CO<sub>2</sub> fluxes for wheat crops, using the modified VPRM, detailed crop type land cover map and ERA 5 data for soil moisture, demonstrated the superiority of S2 over MODIS. Using MODIS data, the mixture of different land cover classes in the 500 m spatial resolution of MODIS revealed less accurate fluxes' estimations than the high resolution S2

## Appendix A

Summary table of data used in this study with their corresponding data source

Data	Description	Source
Eddy Covariance Data	Half hourly CO <sub>2</sub> flux measurements at flux towers (footprint ~ 150 m)	<a href="https://www.icos-cp.eu/data-products">https://www.icos-cp.eu/data-products</a> <a href="https://fluxnet.org/data/fluxnet2015-dataset/fullset-data-product/">https://fluxnet.org/data/fluxnet2015-dataset/fullset-data-product/</a>
S2 Dynamic Land Cover	10 m near-real-time (NRT) Land Use/Land Cover (LULC) dataset that includes class probabilities and label information for nine classes	<a href="https://developers.google.com/earth-engine/datasets/catalog/GOOGLE_DYNAMICWORLD_V1">https://developers.google.com/earth-engine/datasets/catalog/GOOGLE_DYNAMICWORLD_V1</a>

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data. With less accuracy obtained for R<sub>ECO</sub> compared to NEE and GEE, future work will concentrate on better improving the cropland R<sub>ECO</sub> equation by considering crop management effects on the vegetation respiration. Future work will also concentrate on producing high resolution cropland CO<sub>2</sub> flux maps over the European continent using S2 and the crop-type parameterized modified VPRM.

## Funding

This work has benefited from the French State aid managed by the National Research Agency (ANR) under the Program of "Investments of the Future" with the reference ANR-16-CONV-0003. The work received support of ATOS France and ANR, via the contract S40072 under the "Plan France Relance".

## CRedit authorship contribution statement

**Hassan Bazzi:** Conceptualization, Data curation, Formal analysis, Methodology, Software, Writing – original draft. **Philippe Ciaï:** Conceptualization, Data curation, Formal analysis, Methodology, Writing – review & editing. **Ezzeddine Abbessi:** . **David Makowski:** . **Diego Santaren:** Methodology, Validation, Writing – review & editing. **Eric Ceschia:** Data curation, Resources. **Aurore Brut:** Data curation, Resources. **Tiphaine Tallec:** . **Nina Buchmann:** . **Regine Maier:** Resources. **Manuel Acosta:** Resources, Writing – review & editing. **Benjamin Loubet:** Resources, Writing – review & editing. **Pauline Buysse:** Resources. **Joël Léonard:** Resources. **Frédéric Bernet:** Resources. **Ibrahim Fayad:** Writing – review & editing. **Jinghui Lian:** . **Nicolas Baghdadi:** Writing – review & editing. **Ricard Segura Barrero:** Resources, Data curation. **Christian Brümmer:** . **Marius Schmidt:** Data curation, Resources. **Bernard Heinesch:** Data curation, Resources. **Matthias Mauder:** Data curation, Resources. **Thomas Gruenwald:** Data curation, Resources.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

## Acknowledgements

The authors would like to thank the CLAND convergence institute and the French National Research Agency (ANR) for supporting this work. Authors would like also to thank the Integrated Carbon Observation System (ICOS) and FLUXNET for providing eddy covariance data. The Czech site would like to thank the Ministry of Education, Youth and Sports of Czech Republic within the CzeCOS program for the funding support, grant number LM2023048.



(continued)

Data	Description	Source
MCD43A4.061 MODIS	Daily Nadir Bidirectional Reflectance Distribution Function Adjusted Reflectance (NBAR) product at 500 m spatial resolution. Combines data from both the Terra and Aqua spacecrafts, choosing the best representative pixel from the 16-day period	<a href="https://developers.google.com/earth-engine/datasets/catalog/MODIS_061_MCD43A4">https://developers.google.com/earth-engine/datasets/catalog/MODIS_061_MCD43A4</a>
Sentinel-2 Level-2A	~ 5 to 10 days cloud free optical images at 10 m spatial resolution. BRDF corrected using (Roy et al., 2017) adjustment	<a href="https://developers.google.com/earth-engine/datasets/catalog/COPERNICUS_S2_SR">https://developers.google.com/earth-engine/datasets/catalog/COPERNICUS_S2_SR</a>
ERA5-Reanalysis	Half hourly meteorological data and soil moisture estimations at ~ 9 km spatial resolution	<a href="https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset">https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset</a>
French Land Cover Map	Classified land cover map of France including 23 different classes and 11 different crop types	<a href="https://www.theia-land.fr/en/product/land-cover-map/">https://www.theia-land.fr/en/product/land-cover-map/</a>

where  $\rho_{NIR}$ ,  $\rho_{Red}$ ,  $\rho_{Blue}$  and  $\rho_{SWIRed}$  are the near infrared, red, blue and the shortwave infrared bands respectively. For Sentinel-2, the  $\rho_{NIR}$ ,  $\rho_{Red}$ ,  $\rho_{Blue}$  and  $\rho_{SWIRed}$  correspond to bands B8, B4, B2 and B11 respectively.

$$\text{Enhanced Vegetation Index (EVI)} \quad EVI = \frac{2.5 \times (\rho_{NIR} - \rho_{Red})}{(\rho_{NIR} + 6 \times \rho_{Red} - 7.5 \times \rho_{Blue} + 1)} \quad A.1$$

$$\text{Land Surface Water Index (LSWI)} \quad LSWI = \frac{\rho_{NIR} - \rho_{SWIRed}}{\rho_{NIR} + \rho_{SWIRed}} \quad A.2$$

$$\text{Temperature scale}(T_{scale}) \quad T_{scale} = \frac{(T - T_{min}) \times (T - T_{max})}{[(T - T_{min})(T - T_{max}) - (T - T_{opt})^2]} \quad A.3$$

$T_{min}$  (5 °C),  $T_{max}$  (40 °C) and  $T_{opt}$  (22 °C) are the minimum, maximum and optimal temperatures for photosynthesis in croplands respectively and fixed at literature values.

$$\text{Water Scale } (W_{scale}) \quad W_{scale} = \frac{1 + LSWI}{1 + LSWI_{max}} \quad A.4$$

$$\text{Phenology Scale } (P_{scale}) \quad P_{scale} = \frac{1 + LSWI}{2} \quad A.5$$

$P_{scale}$  was calculated as in equation A.5 from bud burst to leaf full expansion, then considered as a value of "1" after the leaf full expansion until the senescence phase where equation A.5 is reapplied. The three phenology phases were identified using a simple EVI seasonal thresholding.

$$\text{Correlation coefficient } (r) \quad r = \frac{\sum_{i=1}^n (y_i - \bar{y})(\hat{y}_i - \bar{\hat{y}})}{\sqrt{\sum_{i=1}^n (y_i - \bar{y})^2} \sqrt{\sum_{i=1}^n (\hat{y}_i - \bar{\hat{y}})^2}} \quad A.6$$

$$\text{Standard deviation of the measured fluxes } (SD_m) \quad SD_m = \sqrt{\frac{\sum_{i=1}^n (y_i - \bar{y})^2}{N}} \quad A.7$$

$$\text{Standard deviation of the simulated fluxes } (SD_s) \quad SD_s = \sqrt{\frac{\sum_{i=1}^n (\hat{y}_i - \bar{\hat{y}})^2}{N}} \quad A.8$$

$$\text{Root Mean Squared Error (RMSE)} \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{N}} \quad A.9$$

$$\text{Relative Squared Error (RSE)} \quad RSE = \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad A.10$$

$$\text{AIC} \quad AIC = N \ln \left( \frac{\sum_{i=1}^n w_j^{-2} (y_i - \hat{y}_i)^2}{N} \right) + 2(p + 1) \quad A.11$$

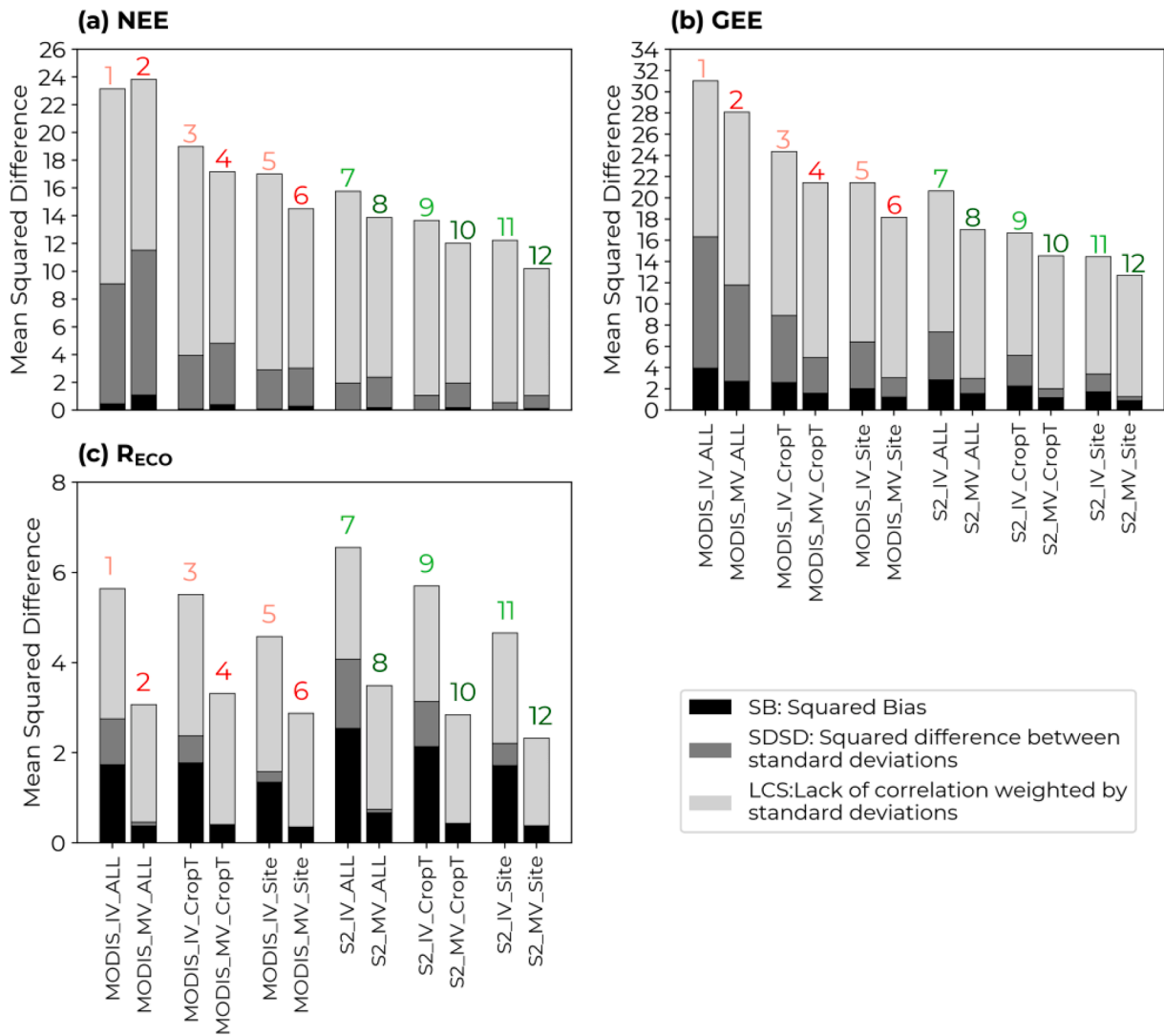
$$\text{Squared Bias (SB)} \quad SB = (\bar{y} - \bar{\hat{y}})^2 \quad A.12$$

$$\text{SDSD} \quad SDSD = (SD_s - SD_m)^2 \quad A.13$$

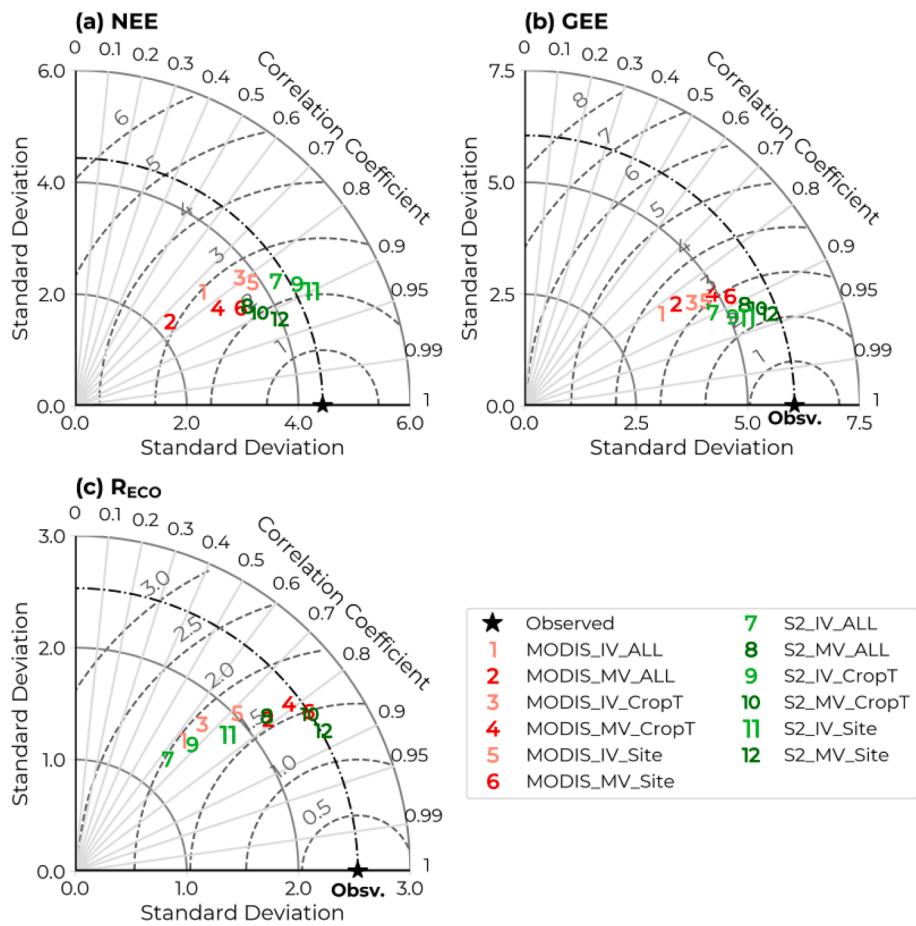
$$\text{LCS} \quad LCS = 2SD_s SD_m (1 - r) \quad A.14$$

where  $y_i$  is the measured flux value,  $\hat{y}_i$  is the simulated flux value,  $\bar{y}$  is the mean of the measured flux values,  $\bar{\hat{y}}$  is the mean of the simulated flux values,  $N$  is the total number of simulated flux values,  $w_j$  is the flux observation uncertainty and  $p$  is the number of optimized parameters.

Appendix B



**Fig. B1.** Mean squared deviation (MSD) and its components squared bias (SB), squared difference between measured and simulated standard deviations (SDSD) and lack of correlation weighted by standard deviation (LCS) for the 12 scenarios calculated using half hourly data. Numbers over bars represent the scenario numbers (SN) shown in Table 2. (a) NEE, (b) GEE and (c) RECO.



**Fig. B2.** Taylor Diagram for the 12 tested scenarios for aggregated daily data. (a) NEE, (b) GEE and (c).REco

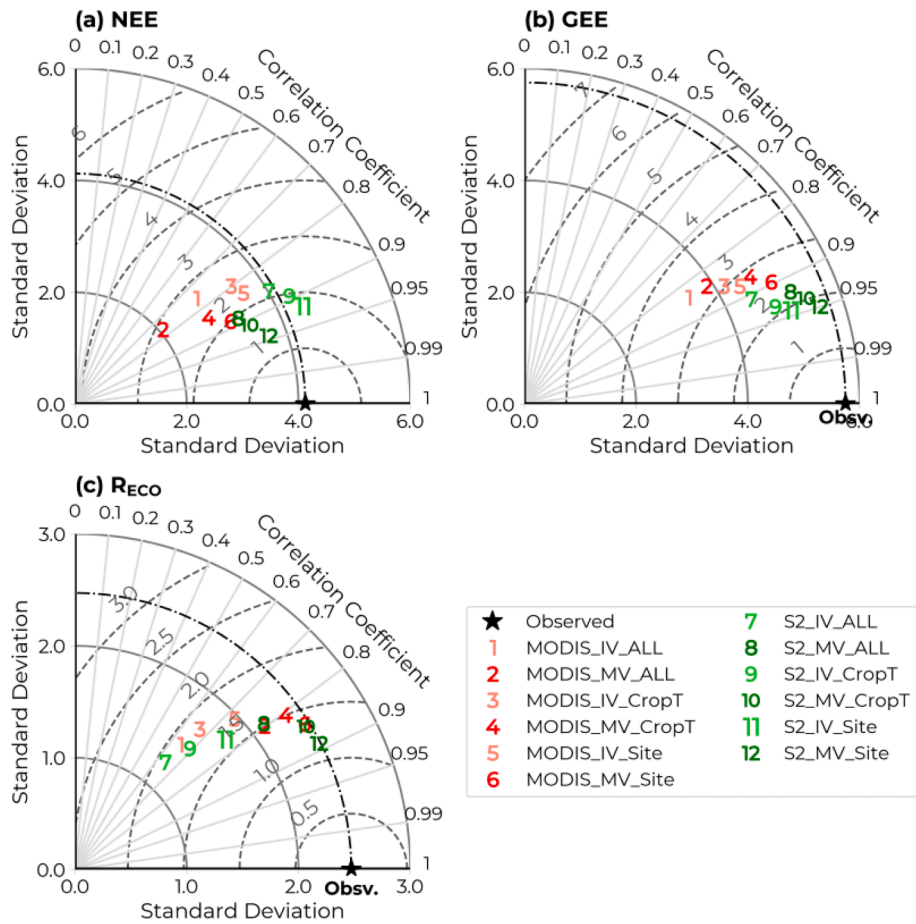


Fig. B3. Taylor Diagram for the 12 tested scenarios for aggregated weekly data. (a) NEE, (b) GEE and (c).RECO

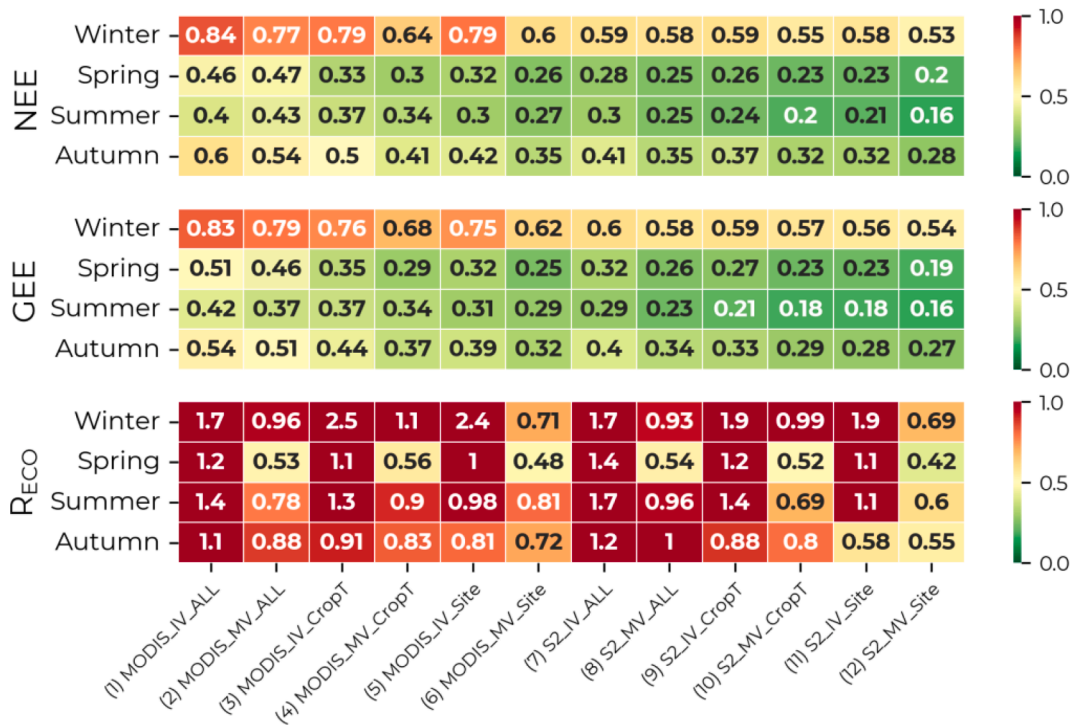


Fig. B4. Heatmap of the variation of the relative squared error (RSE) per season for the 12 scenarios. Winter: December-January-February, Spring: March-April-May, Summer: June-July-August, Autumn: September-October-November).



Appendix C

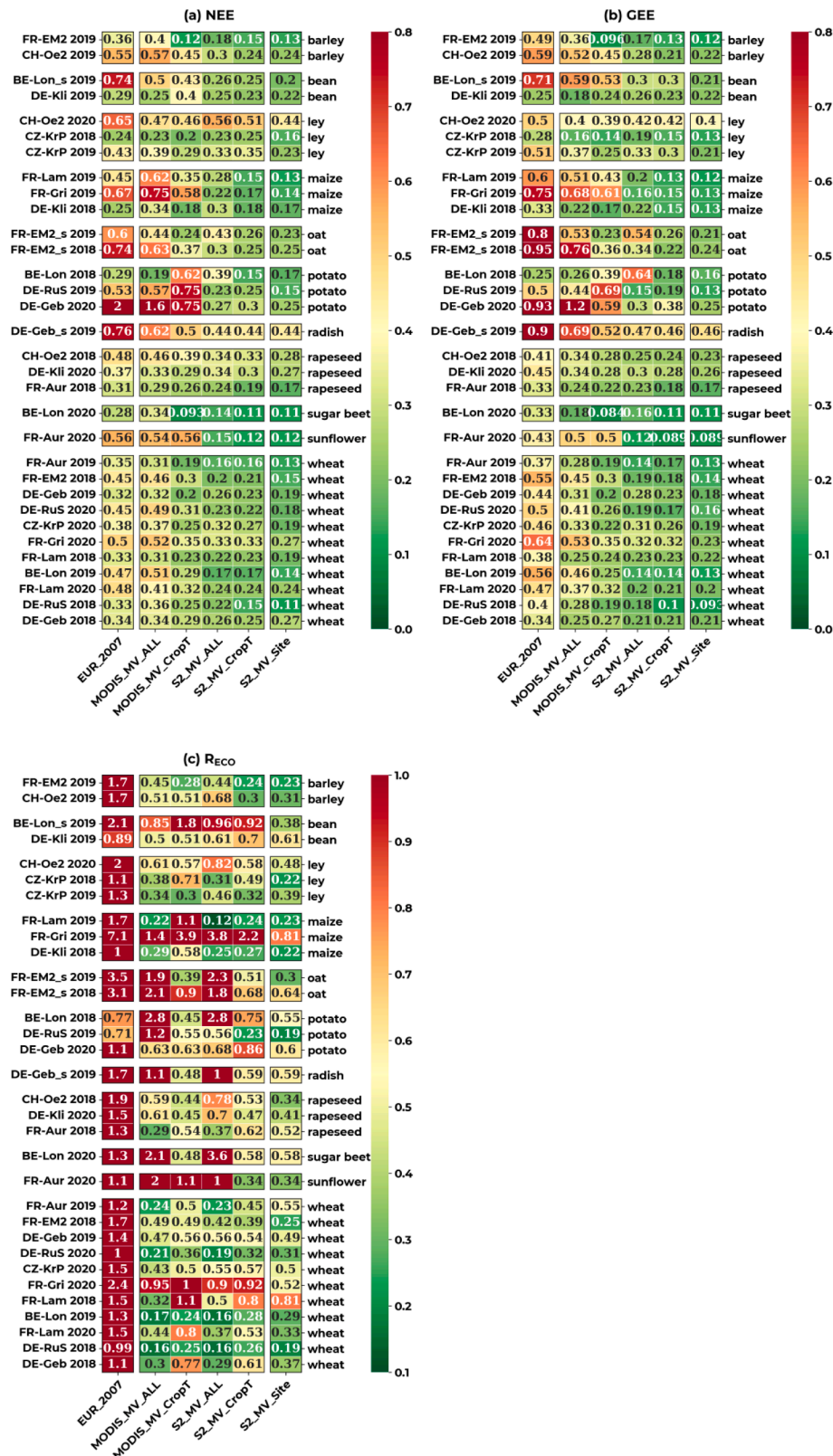


Fig. C1. Heatmap of the variation of the relative squared error (RSE) per site-year for 6 tested scenarios. (a) NEE, (b) GEE and (c).RECO

Appendix D

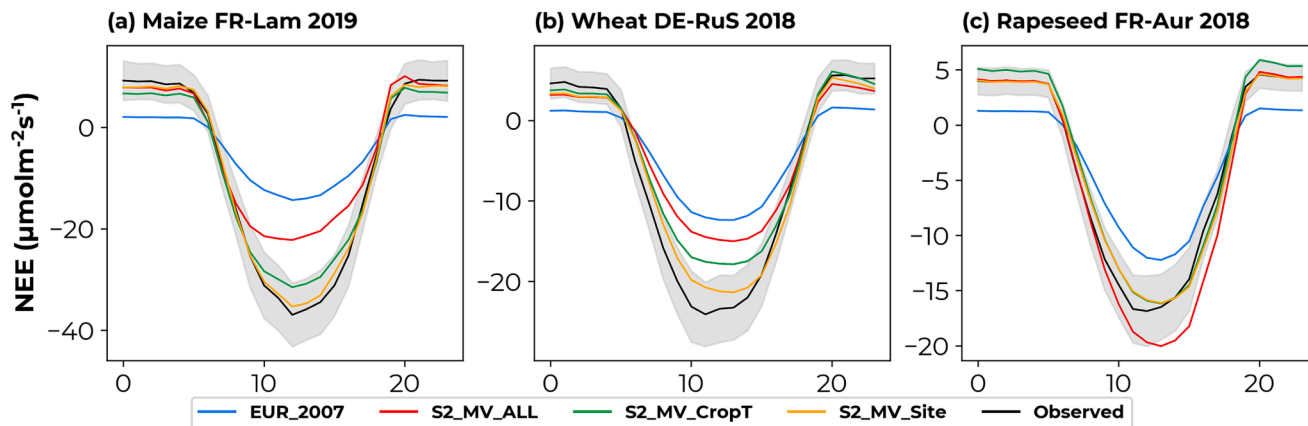


Fig. D1. Peak growing season diurnal cycles of (a) maize crop in FR-Lam 2019, (b) wheat crop in DE-RuS 2018 and rapeseed crop in FR-Aur 2018. x-axis represents the hour.

Appendix E

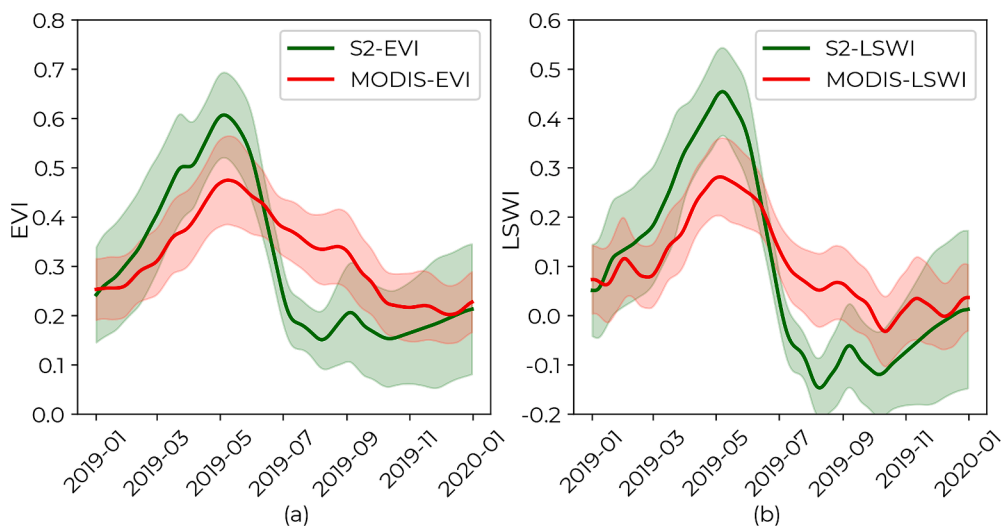


Fig. E1. Time series of the average EVI (a) and LSWI (b) for 500 m pixels derived using S2 (green) and MODIS (red) data at the upscaling study site (30 km x 30 km). Shaded region corresponds to the standard deviation of the calculated average of all 500 m pixels.

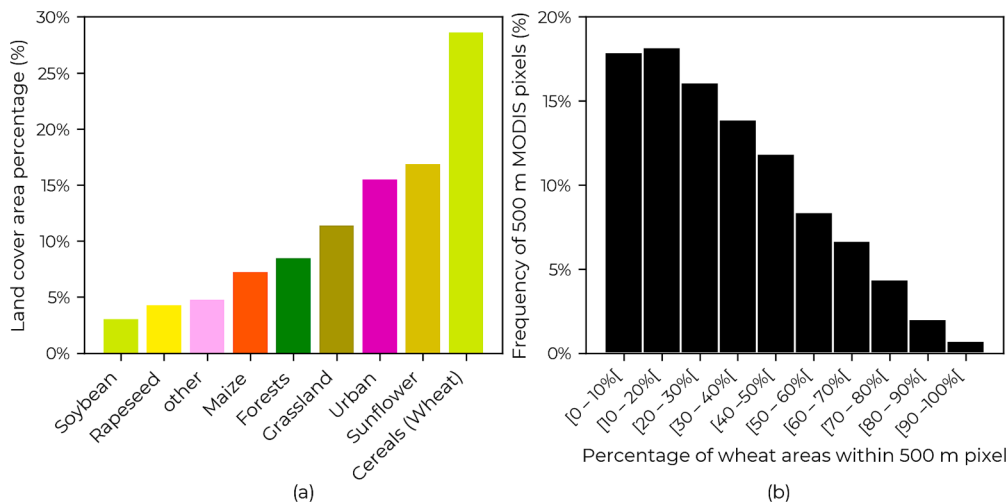


Fig. E2. (a) Percentage of the land cover classes within the upscaling study site (30 km x 30 km) and (b) the frequency of the MODIS pixels as a function of the wheat area percentage within the 500 m pixel.

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