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1 **TITLE: Early outcomes adults hospitalized with severe COVID-19 receiving**
2 **tocilizumab - The Vall d'Hebron COVID-19 prospective cohort study.**
3
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45 Early administration of host-directed therapies may improve patient outcome.

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52

53 **CONTRIBUTION STATEMENT:**

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56 SEE, ASG, AMP, PBM, SA, JS, AGdC.

57 • Supervised the findings: ASM, JEP, JSN, NFH, BA

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65

66 **ABSTRACT**

67 **Background:** Modulation of the immune system to prevent lung injury is being widely
68 used against the new coronavirus disease (COVID-19) despite the scarcity of evidence.
69 **Methods:** We report the preliminary results from the Vall d'Hebron prospective cohort
70 study at Vall d'Hebron University Hospital, in Barcelona (Spain), including all consecutive
71 patients who had a confirmed infection with the severe acute respiratory syndrome
72 coronavirus-2 (SARS-CoV-2) and who were treated with tocilizumab until March 25th. The
73 primary endpoint was mortality at 7 days after tocilizumab administration. Secondary
74 endpoints were admission to the intensive care unit, development of ARDS and respiratory
75 insufficiency among others.

76 **Results:** 82 patients with COVID-19 received at least one dose of tocilizumab. The mean
77 (\pm SD) age was 59.1 (19.8) years, 63% were male, 22% were of non-Spanish ancestry, and
78 the median (IQR) age-adjusted Charlson index at baseline was 3 (1-4) points. Respiratory
79 failure and ARDS developed in 62 (75.6%) and 45 (54.9%) patients, respectively. Median
80 time from symptom onset to ARDS development was 8 (5-11) days. The median time from
81 symptom onset to the first dose of tocilizumab was 9 (7-11) days. Mortality at 7 days was
82 26.8%. Hazard ratio for mortality was 3.3; 95% CI, 1.3 to 8.5 (age-adjusted hazard ratio for
83 mortality 2.1; 95% CI, 0.8 to 5.8) if tocilizumab was administered after the onset of ARDS.

84 **Conclusion:** Time from lung injury onset to tocilizumab administration may be critical to
85 patient recovery. Our preliminary data could inform bedside decisions until more data from
86 clinical trials becomes available.

87

88

89 **INTRODUCTION:**

90 Coronavirus disease 2019 (COVID-19) is a novel illness caused by severe acute respiratory
91 syndrome coronavirus-2 (SARS-CoV-2). It was first reported in December 2019 in Hubei
92 province, China.(1) Since then, SARS-CoV-2 has rapidly spread worldwide.

93 According to the World Health Organization (WHO), as of April 13th, 2021, there have
94 been 135.057.587 laboratory-confirmed cases and 2.919.932 deaths.(2) The crude case
95 fatality rate, estimated to be between 2.3% and 3.3%, is highly dependent on age and
96 underlying conditions.(3,4) Death is mainly due to respiratory failure caused by an acute
97 respiratory distress syndrome (ARDS). As the pathophysiology behind lung injury is
98 progressively elucidated, several therapies have been proposed on the basis of pre-clinical
99 studies and the previous experience with the severe acute respiratory syndrome coronavirus
100 (SARS-CoV) and the Middle East Respiratory Syndrome Coronavirus (MERS-CoV).(5,6)
101 Many of them are being used off-label in a desperate attempt to improve patient outcomes,
102 including antiviral therapies, coagulation-modifying drugs and immune-modulating
103 therapies.(7–11)

104 In COVID-19, an excessive immune response inducing disproportionate release of
105 cytokines and hyperinflammation has been proposed as a cause for the lung damage,
106 mimicking a secondary haemophagocytic lymphohistiocytosis.(12) Host-directed therapies
107 have immune-modulating properties with higher precision than steroids and other immune-
108 modulating therapies.(13) Tocilizumab is a humanized monoclonal antibody that inhibits
109 interleukin-6 (IL-6) receptor with a well-known safety profile and is approved for the
110 treatment of rheumatoid arthritis and, since 2017, the treatment of chimeric antigen receptor
111 (CAR) T cell-induced severe or life-threatening cytokine release syndrome (CRS).(14,15) It
112 has been used with promising results in clinical trials.(16,17)

113 However, a proper characterization of the subset of patients who will benefit most from
114 host-directed therapy and defining the precise timing for host-directed therapies
115 administration has not yet been performed and is critical to allocate limited drug stocks and
116 reduce COVID-19 associated mortality. We aim to describe a prospective cohort of SARS-
117 CoV-2 infected patients treated with tocilizumab and define risk factors associated with 7-
118 days mortality.

119 **METHODS**

120 **Study setting and population**

121 The Vall d'Hebron COVID-19 Prospective Cohort Study includes all consecutive adult
122 patients (≥ 18 years old) treated for COVID-19 at Vall d'Hebron University Hospital, a
123 1100-bed public tertiary care hospital in Barcelona, Spain. For this study we selected the
124 subgroup of patients with laboratory-confirmed COVID-19 and radiologically confirmed
125 pneumonia who received at least one dose of tocilizumab. Identification and inclusion of
126 patients receiving tocilizumab was performed from the Pharmacy Department registry.

127 **Standard of care and tocilizumab administration criteria**

128 At admission, all patients were initially evaluated with chest radiography and blood tests
129 including complete cell count, coagulation studies, biochemistry and inflammatory
130 parameters. Treatment with lopinavir/ritonavir, azithromycin and hydroxychloroquine was
131 initiated according to Vall d'Hebron University Hospital protocol. Tocilizumab was
132 considered as additional treatment in patients with the following criteria: 1) respiratory
133 failure defined as a ratio of arterial oxygen tension to fraction of inspired oxygen
134 ($\text{PaO}_2/\text{FiO}_2$ ratio) of <300 , a ratio of arterial oxygen saturation measured by pulse
135 oximetry to fraction of inspired oxygen ($\text{SpO}_2/\text{FiO}_2$ ratio) of <315 or $\text{pO}_2 <60\text{mmHg}$ or
136 oxygen saturation measured by pulse oximetry less than 90% when breathing room air or
137 rapidly progressive clinical worsening according to treating physician and 2) interleukin-6
138 (IL-6) levels $>40\text{pg/mL}$ (reference 0-4.3 pg/mL) or a D-dimer levels $> 1500 \text{ ng/mL}$
139 (reference 0-243 pg/mL). Two dosing regimens based on weight were considered for
140 tocilizumab. Patients over 75kg received 600mg, otherwise 400mg was the preferred dose.
141 A second dose was considered in patients with a poor early response. Patients with liver
142 enzymes (aspartate aminotransferase and alanine aminotransferase) 5 times over the upper

143 limit of normality or concomitant severe bacterial infection were not eligible for
144 tocilizumab treatment.

145 **Data sources**

146 Data were collected retrospectively from the medical charts of patients from the 13th of
147 March, 2020 to the 18th of March, 2020, when the protocol was submitted to the
148 institutional review board, and prospectively thereafter. Inclusion and follow-up are still
149 ongoing. The cut-off data for inclusion in this sub-study was the 25th of March, 2020. All
150 patients included were followed for at least 7 days. The institutional review board provided
151 ethical clearance (local review board code number: PR(AG)183/2020). Patients were asked
152 for an oral consent. The institutional review board granted an informed consent waiver if
153 patients were unable to give oral consent. Written consent was waived because of the crisis
154 context and concerns about safety when introducing a physical support for the consent in
155 the isolation areas.

156 A Laboratory-confirmed case was defined as a patient with a real-time reverse-
157 transcriptase-polymerase-chain-reaction (RT-PCR) SARS-CoV-2 positive result in any
158 respiratory sample (nasopharyngeal swab, sputum, bronchoalveolar lavage or aspirate,
159 tracheal aspirate).

160 We collected sociodemographic characteristics, past medical history, Charlson comorbidity
161 score, concomitant medication, current therapy, adverse drug events, blood test results,
162 imaging studies, microbiological tests other than SARS-CoV-2 RT-PCR on respiratory
163 samples when available, and supportive measures needed. Vital signs, symptoms and
164 physical examination were evaluated on admission, at 48h and weekly during hospital
165 admission. Laboratory, microbiology and imaging studies were performed on admission
166 and thereafter according to the clinical care needs of each patient. Laboratory assessments

167 consisted of a complete blood count, coagulation testing including D-dimer measurement,
168 liver and renal tests, electrolyte profile, and inflammatory profile including C-reactive
169 protein, fibrinogen, ferritin and IL-6. All radiographs were reviewed by the investigators
170 and computed tomography (CT) scans were recorded according to the radiology department
171 reports. The COVID-19 severity was measured with the CURB-65 scale for community
172 acquired pneumonia and other scales.(18,19) Data was recorded in the Research Electronic
173 Data Capture software (REDCap, Vanderbilt University).

174 **Laboratory confirmation**

175 From the onset of the outbreak until 15th of March the microbiological diagnosis was based
176 on a homebrew RT-PCR assay targeting two viral targets (N1 and N2) in the viral
177 nucleocapsid (N) gene and one in the envelope (E) gene of SARS-CoV-2, as well as the
178 human RNase P (RP) gene as an internal control of the whole process, according to the
179 CDC and ECDC Real-Time RT-PCR Diagnostic Panels with minor
180 modifications.(20) Since March 15th, commercial Allplex™ 2019-nCoV multiplex RT-PCR
181 (Seegene, South Korea) were used for the detection of three viral targets (E; N; and, RNA-
182 dependent RNA polymerase, RdRp) and an internal control. First SARS-CoV-2 laboratory-
183 confirmations were confirmed by RdRp sequencing.(21,22) Total nucleic acids
184 (DNA/RNA) were extracted from respiratory specimens using NucliSENS easyMAG
185 (BioMerieux, France) and STARMag Universal Cartridge Kit (Seegene, South
186 Korea) according to the manufacturer's instructions. All microbiological procedures were
187 carried out in the laboratory under Biosafety Level 2 conditions.

188 **Study outcomes**

189 The primary simple endpoint was defined as death at 7 days after first dose of tocilizumab.
190 Secondary outcomes were admission to Intensive Care Unit (ICU), acute Respiratory
191 Distress Syndrome (ARDS) and respiratory insufficiency. We also assessed acute
192 myocardial infarction, septic shock, acute kidney injury and secondary infections. Berlin
193 criteria for the ARDS were adapted, as many of the patients did not have an available
194 arterial O₂ pressure due to the overwhelming volume of admitted patients that precluded us
195 from performing arterial blood samples on all patients. Instead, we used oxygen saturation
196 by pulse oximetry and its correlation to the inspired fraction of oxygen (SpO₂/FiO₂ ratio <
197 315).(23,24) One patient died a few hours after receiving tocilizumab and was excluded
198 from the primary endpoint analysis.

199 **Statistical analysis**

200 Continuous variables were expressed as mean and standard deviation or medians and
201 interquartile range, as appropriate. Categorical variables were summarized as absolute
202 number and percentages. Comparisons among groups was performed with Chi squared test
203 and Fisher's test for categorical variables; and ANOVA, Student's T test and Mann-
204 Whitney U test for continuous variables. Box plots and bar plots are also provided for some
205 associations. Mortality in the cohort was described with the use of Kaplan-Meier analysis.
206 Tests were considered significant when the two-tailed p-value was <0.05. We did not
207 correct for multiple comparisons; hence, the widths of the confidence intervals should not
208 be interpreted as definitive for the associations with the outcomes. Association between
209 time to tocilizumab administration and mortality were assessed by means of Cox
210 proportional hazards regression. Missing urea and bilirubin levels on admission were
211 assumed normal for CURB-65 and SOFA calculation; no other imputation was made for
212 missing data. Analysis was performed with Stata 15.1 software (StataCorp).

213

214 **Study oversight**

215 The study was designed and conducted by the investigators from the Vall d'Hebron
216 COVID-19 Prospective Cohort Study. No specific funding was provided to conduct the
217 study. Data were collected, debugged, analysed and interpreted by the authors. All the
218 authors reviewed the manuscript and vouch for the accuracy and completeness of the data
219 and for the adherence of the study to the protocol.

220

221 **RESULTS:**

222 **Demographic and clinical characteristics**

223 Since the onset of the COVID-19 outbreak until March 25th, 3242 respiratory-derived
224 samples have been requested from our institution for COVID-19 diagnosis. Samples were
225 requested from the emergency room and hospital wards, as well as from the health care
226 worker surveillance strategy plan. From them, 941 were positive (29%). During this period,
227 82 SARS-CoV-2 infected patients received at least one dose of tocilizumab. The mean
228 (\pm SD) age was 59.1 (\pm 19.8) years. Fifty-two patients were male (63.5%). Eighteen (21.9%)
229 patients were born abroad, 13 (16.1%) in Latin America, 3 (3.7%) in Eastern Europe and 2
230 (2.4%) in North Africa. The mean (\pm SD) duration of symptoms before hospital admission
231 was 6.7 (\pm 4.4) days. Fever and cough were the main symptoms on admission, occurring in
232 75 (91.5%) and 71 (86.6%) cases respectively.

233 Thirty-three (40.3%) patients were former or active tobacco smokers. Coexisting conditions
234 were as follows: 32 (39.0%) had hypertension, 19 (23.5%) had lung diseases (2 (2.4%)
235 asthma, 6 (7.3%) chronic obstructive pulmonary disease among others), 17 (20.7%) had
236 obesity, 16 (19.5%) had diabetes mellitus, 11 (13.6%) had chronic kidney disease, 5 (6.1%)
237 a history of cardiac failure, 1 (1.2%) had cirrhosis. Ten (12.5%) patients were
238 immunosuppressed because of different conditions. Seventy-seven (95.1%) patients had a
239 Barthel scale index of 100 points previous to hospital admission. Table 1 shows
240 demographic and clinical characteristics at baseline.

241 **Laboratory and Radiologic findings**

242 On admission, mean (\pm SD) white cell count was 9.2 (10.4) with 17 (21.3%) patients having
243 more than 10000 per cubic millilitre white cells. Lymphocytopenia (<1000 cells per cubic
244 millilitre) was present in 46 (57.5%) patients. Interleukin-6 median (IQR) plasma level on

245 admission was 74.8 (49.4-120.0) ng/ml. Liver enzymes were below five times the upper
246 normal value in all patients. Pneumonia was radiologically proven in all patients on
247 admission or during follow up. Tables 2 and 3 describe laboratory and radiologic findings
248 on admission and during follow up.

249 **Microbiologic results**

250 All included patients had a positive RT-PCR for SARS-CoV-2 in a respiratory-derived
251 sample. On admission, 2 patients out of 56 had a positive pneumococcal urinary antigen
252 result. Sputum samples from 13 patients were sent on admission, bacterial growth was
253 demonstrated in 3 samples, two with *Haemophilus influenzae* that were considered
254 clinically significant and one was deemed contamination with oral bacteria. During the first
255 7 days follow up, 2 more positive results were retrieved: one Extended-Spectrum Beta-
256 Lactamase (ESBL)-producing *Escherichia coli* (considered clinically significant) and one
257 *Staphylococcus epidermidis* (considered non-clinically significant). Blood cultures from 65
258 patients were sent, and one positive bacterial growth (coagulase-negative *Staphylococcus*)
259 was observed, although considered a contamination. Detailed microbiologic data are shown
260 in Table 3.

261 **Oxygen supplementation and secondary outcomes.**

262 Table 4 shows oxygen saturation, oxygen supplementation and ventilation support. On
263 admission, mean FiO₂ oxygen supplementation was 0.36 (± 0.26) and mean oxygen
264 saturation was 94% (± 4.39). Regarding oxygen supplementation devices on admission, 34
265 (41.5%) patients were on oxygen supplementation: two (5.8%) patients were on nasal
266 cannulas, 22 (64.7%) were using face masks, 9 (26.5%) patients were using high oxygen
267 supplementation devices and 1 (2.9%) patient required endotracheal intubation with
268 mechanical ventilation. Over time, SpO₂/FiO₂ ratio deteriorated from a median (IQR) of

269 428 (316.1-454.8) on admission, 271.4 (158.3-361.5) at 48 hours and 230.2 (118.8-346.4)
270 at 7 days follow up ($p<0.001$). Fifty-five (69.6%) patients required intensive oxygen
271 therapy, including high flow oxygen delivery systems, high flow nasal cannula, non-
272 invasive mechanical ventilation or invasive ventilation during the study period. Median
273 (IQR) days on high flow oxygen delivery systems, high flow nasal cannula, non-invasive
274 mechanical ventilation or invasive ventilation before progression to other intensive oxygen
275 therapy, outcome attainment or data censoring were 2.0 (1.0-3.0), 4.0 (2.0-6.0), 3.0 (2.0-
276 4.0) and 9.0 (9.0-9.0) respectively. The median (IQR) days from admission to first intensive
277 oxygen therapy was 2.0 (1.0-4.5). Only one (1.2%) patient required vasopressor therapy
278 due to hypotension. No patient required renal replacement therapy. Respiratory failure and
279 ARDS developed in 62 (75.6%) and 45 (54.9%) patients, respectively. Median (IQR) days
280 from symptoms to respiratory failure and ARDS were 8 (6.0-11.0) and 8 (5.0-11.0)
281 respectively. Median (IQR) days from admission to respiratory failure and ARDS were 1
282 (0.0-3.0) and 2 (1.0-4.0) respectively. Secondary outcomes can be found in Table 5.

283 **Tocilizumab treatment and concomitant treatment**

284 Eighty-one (98.9%) patients received hydroxychloroquine, 63 (76.8%) lopinavir/ritonavir,
285 21 (25.61%) darunavir/cobicistat, and 79 (96.34%) azithromycin. All patients were initially
286 treated with antibiotic therapy, mainly ceftriaxone (77 (93.9%) patients). As expressed
287 before, all patients received at least one dose of tocilizumab. Median (IQR) time in days
288 from symptom onset to tocilizumab administration was 9.0 (6.0-11.0) and from admission
289 to tocilizumab administration was 2.0 (1.0-3.0)). Other treatments include cytokine
290 hemoadsorption therapy in 2 (2.4%) patients in ICU.

291 **Primary outcome and mortality risk factors**

292 Table 6 summarizes primary outcome in the study population. At the end of the follow up
293 period, of the 82 patients 34 (41.5%) had been discharged, 22 (26.8%) had died, 14 (17.1%)
294 were hospitalized in ICU, 9 (11.0%) were hospitalized in medical wards, and 3 (3.7%) had
295 been transferred to another institution. In the univariate analysis age, age-adjusted Charlson
296 comorbidity index, medical history of active or former solid cancer, hypertension, history
297 of heart failure, chronic kidney disease and worse age-adjusted Charlson index at baseline
298 were associated with increased risk of mortality (Table 7). By 7-day follow-up, the
299 mortality rate was 4.0% per person-day (95% confidence interval [CI], 2.4% to 6.2%) by
300 Kaplan-Meier analysis. Mortality was more frequent in patients receiving tocilizumab once
301 ARDS was present (hazard ratio for mortality 3.3; 95% CI, 1.3 to 8.5; age-adjusted hazard
302 ratio for mortality 2.1; 95% CI, 0.8 to 5.8)(Figure 1) or respiratory failure was present
303 (hazard ratio for mortality 3.13; 95% CI, 1.3 to 7.8; age-adjusted hazard ratio for mortality
304 2.4; 95% CI, 0.9 to 6.4)(Figure 2). When dividing patients according to the nearest
305 SpO₂/FiO₂ ratio to tocilizumab administration, mortality was higher among patients with
306 lower SpO₂/FiO₂ ratio (SpO₂/FiO₂ ratio<200, 46.2%; SpO₂/FiO₂ ratio 200-300, 16.7%;
307 SpO₂/FiO₂ ratio >300, 20.6%; p=0.03)(Figure 3). Distribution of the nearest SpO₂/FiO₂
308 ratio to tocilizumab administration depending on outcome groups was not statistically
309 significant (mean (SD) SpO₂/FiO₂ ratio: 321.3 (154.7) dead, 343.1 (132.7) ICU, 396.9
310 (96.2) alive; p=0.2)(Figure 4). No correlation was observed between nearest IL-6 levels to
311 tocilizumab administration and main outcome (median (IQR) IL-6 levels: 79.7 (48.2-128.1)
312 dead, 77.5 (55-120) ICU admission, 71.4 (49.4-116) alive; p=0.92). Basal characteristics of
313 patients stratified by ARDS and respiratory failure can be found in the Supplementary
314 Appendix.

315 **Safety**

316
317 Twelve (14.63%) out of 82 patients reported a total of 14 adverse events during the follow
318 up. Thirteen (92.9%) adverse events were considered related to lopinavir/ritonavir, 9
319 (75.0%) patients discontinued lopinavir/ritonavir treatment due to gastrointestinal
320 symptoms. Diarrhoea was the most common reported adverse event. Other adverse events
321 included nausea and dysuria. There were no adverse events attributed to tocilizumab. No
322 serious adverse events were reported during follow up, and only 2 (14.3%) were considered
323 moderate. Eleven (91.7%) patients recovered without medical sequelae and one patient had
324 an unknown outcome. No tocilizumab discontinuation was reported due to adverse events.

325

326 **DISCUSSION**

327 This preliminary report from the Vall d'Hebron COVID-19 Prospective Cohort Study
328 describes the characteristics and clinical outcomes of patients who were hospitalized in
329 non-ICU wards and received treatment with tocilizumab. Our results show that a timely
330 administration of immune-modulating therapies, before the onset of respiratory
331 insufficiency or ARDS, may improve severe COVID-19 patients' outcomes.
332 Therapies to improve outcomes of patients with COVID-19 focus on viral-directed
333 therapies and host-directed therapies. There is still lack of evidence about the efficacy of
334 any of these therapies, although this does not prevent physicians to use all sorts of off-label
335 therapies despite the risk of serious adverse events.(25) Therapies to curb uncontrolled
336 cytokine release have been proposed and are being widely used. Randomized controlled
337 trials with tocilizumab have shown promising results, although the proper timing of
338 administration and the subpopulation with the best risk-benefit ratio is still
339 unknown.(16,17) Besides, data from prospective studies can help to improve COVID-19
340 patient management.(26) In our study, the 7-day mortality was 26.8%, slightly higher than
341 a recent experience with remdesivir and similar to the mortality of 22.1% reported in
342 another study with lopinavir/ritonavir.(27,28) However, the patients in our prospective
343 cohort had more coexisting conditions, including potential mortality risk factors such as
344 hypertension, other cardiovascular and metabolic diseases, chronic kidney disease and
345 cancer.
346 The understanding of mortality risk factors in patients with COVID-19 is an evolving
347 matter. Age, specific coexisting conditions and laboratory parameters may help identify
348 patients with poor outcome.(29) As expressed before, in our cohort of patients treated with
349 tocilizumab, hypertension, history of cardiac failure and chronic kidney disease were

350 associated with higher mortality in the univariate analysis. Antihypertensive agents, such as
351 angiotensin-converting enzyme inhibitors (ACEI) and angiotensin receptor blockers
352 (ARB), have been suggested to be associated with the increased mortality observed in this
353 subset of patients. Angiotensin converting enzyme 2 plays an important role in SARS-CoV-
354 2 viral entry as co-receptor.(30) Hypothesis outline that the interactions between these
355 drugs and co-receptors may increase viral spreading in the lung and increase risk of death.
356 However, the evidence is limited and no specific recommendations could be drawn from
357 current evidence, especially when ACEI and ARB have shown to reduce mortality in this at
358 risk population.(31) The Vall d'Hebron COVID-19 Prospective Cohort Study has among
359 its main objectives to analyse the role of these and other drugs used to treat chronic
360 conditions in the prognosis of patients with COVID-19.

361 Host-directed therapies aiming at blocking an unrestrained immune response and an
362 excessive inflammation have been proposed as potential therapies to prevent acute lung
363 injury and subsequent ARDS. SARS-CoV-2 infection severity has been associated with an
364 increase in IL-6 and D dimer levels, and the cytokine profile resembles that of other
365 conditions in which host-directed therapies have been successfully used.(13,29,32) Timely
366 use of host-directed therapies may curb uncontrolled cytokine release and prevent damage
367 inflicted by hyperinflammation. Tocilizumab has shown to be safe in multicentre clinical
368 trials. In the RECOVERY study participants were eligible if they have hypoxia and levels
369 of C-reactive protein higher than 75mg/dl, 28-day mortality in the tocilizumab group was
370 29% vs 33% in the control arm, with an incidence ratio of 0.86 (95%CI 0.77-0.96). Another
371 clinical trial (REMAP CAP) also showed mortality reduction in critically ill hospitalized
372 patients with COVID19 pneumonia treated with IL-6 receptors antagonists.(17) Although,
373 other clinical trials in hospitalized patients with COVID19 pneumonia did not show

374 mortality reduction or clinical improvement when receiving tocilizumab.(33,34) it is
375 important to highlight the heterogeneity in time of initiation of the intervention, since tocilizumab
376 may be more active at the initial stage of the inflammatory cascade and the lack of IL-6 guided
377 therapy.

378 As in other infections and inflammation-driven diseases, timely initiation of precise therapy
379 is the mainstay of patient management and directly affects mortality and morbidity. Our
380 study showed that patients receiving prompt treatment with tocilizumab before lung injury
381 is established have less 7-day mortality, a benefit that may be sustained in the long-
382 term.(35)

383 The safety profile of tocilizumab has been extensively studied in clinical trials with patients
384 suffering autoimmune diseases and recently in COVID-19 patients. The most common
385 adverse events of intravenous tocilizumab in a pooled analysis of 3 clinical trials were
386 upper respiratory infections, nasopharyngitis, headache, hypertension and increase in liver
387 enzymes. Serious adverse events occurred in 12% of the patients, infectious diseases being
388 the most common.(36) In our study we did not report any serious adverse events, although
389 the symptoms of systemic viral infections may mimic any adverse event and make its
390 identification difficult. Tozilizumab-related bacterial infections were not reported in our
391 study. Two factors may have contributed to this: first, many patients were under antibiotic
392 treatment, and second, the short follow up period precludes us from any further analysis.
393 Nevertheless, the low cumulative dose administered in this subset of patients may diminish
394 the likelihood of infectious adverse events.

395 In a time of scarce medical resources, including limited stock of host-directed therapies,
396 hard medical decisions have to be done by front-line physicians. Allocation of therapies to
397 patients with the highest chances of a favourable outcome should be encouraged,

398 maximizing the benefit of the intervention.(37) Evidence-based decision-making and
399 benefit-maximizing allocation of the available resources should be promoted. In this regard
400 our study can help physicians to better allocate host-directed therapy in patients with
401 COVID-19 prioritizing moderate-to-severe ill patients over critically ill patients.
402 This preliminary exploratory study has several limitations. First, there is no control group
403 and the minimum follow up period was 7 days. Therefore, at this point it is not possible to
404 evaluate the differences between patients receiving tocilizumab or not and, consequently, it
405 is not possible to evaluate solidly what is the overall benefit of administering this drug.
406 However, the urgency of obtaining data on new therapies justifies the early communication
407 of these results. Second, ICU admission is not a very robust endpoint since it depends on
408 the attitudes of the treating physicians as well as the availability of beds at times of resource
409 scarcity and overwhelming demand. For this reason, mortality was selected as the main
410 outcome in our study. Besides, the subsequent analysis of all patients included in the Vall
411 d'Hebron COVID-19 Prospective Cohort Study may solve this limitation and inform results
412 with a longer follow up period. Finally, our data is limited to a single centre. While our
413 results may not be extrapolated to other populations or other standards of care, the
414 management of patients was homogeneous avoiding the centre effect of multicentric
415 studies. Multivariate analysis is limited by sample size.

416 **CONCLUSION**

417 In summary, we found a mortality of 26.8% in this subset of patients with COVID-19
418 receiving tocilizumab for the treatment of inflammatory-related lung injury. Time from
419 lung injury onset to tocilizumab administration may be critical to patient recovery. Our
420 results may help front-line physician to make evidence-based decisions in times of scarce
421 resources and operationalized fair and transparent allocation procedures, maximizing the
422 benefit of the intervention. Future and current host-directed clinical trials for patients with
423 COVID-19 should consider our preliminary data in their design. All our patients were
424 treated with a combination of antiviral drugs whose efficacy is yet to be demonstrated.
425 Host-directed therapies in the absence of antiviral drugs needs further investigation.

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539

540 **FIGURES**

541 Figure 1. 7-day mortality curves according to the moment patients received tocilizumab:
542 before or after developing ARDS. ARDS: acute respiratory distress syndrome.

543 Figure 2. 7-day mortality curves according to the moment patients received tocilizumab:
544 before or after developing respiratory insufficiency.

545 Figure 3. Outcome according to nearest SpO₂/FiO₂ ratio to tocilizumab administration

546 Figure 4. Nearest SpO₂/FiO₂ ratio to tocilizumab administration distribution according to
547 7-days outcome

548

550 **Table 1.** Demographic and clinical characteristics of the patients at baseline*

Characteristics	Patients (n=82)
Age, mean - yr	59.1 ±19.8
Sex – no (%)	
Male	52 (63.4%)
Female	30 (36.6%)
Origin	
Spain	63 (77.8%)
Latin America	13 (16.1%)
East Europe	3 (3.7%)
North Africa (Magreb)	2 (2.4%)
Coexisting condition – no (%)	
Active tobacco smoker	5 (6.1%)
Former tobacco smoker	28 (34.2%)
Never smoke	49 (59.8%)
Active daily alcohol consumption	1 (1.2%)
Former daily alcohol consumption	2 (2.4%)
Never drink daily	79 (96.3%)
Cognitive impairment	1 (1.2%)
Diabetes Mellitus	16 (19.5%)
Immunosuppression	10 (12.2%)
Solid organ transplant	5 (6.1%)
Drug induced immunosuppression	3 (3.7%)
Bone marrow transplant	1 (1.2%)
Other	1 (1.2%)
Former cancer (includes any solid cancer)	9 (11.1%)
Active cancer (includes any solid cancer)	2 (2.4%)
Former haematological condition (includes leukemia and lymphoma)	3 (3.7%)
Active haematological condition (includes leukemia and lymphoma)	2 (2.4%)
Hypertension	32 (39%)
Hystory of cardiac failure	5 (6.1%)
Atrial fibrillation	10 (12.2%)
Lung diseases	19 (23.5%)
Chronic obstructive pulmonary disease	6 (7.3%)
Obstructive sleep apnea syndrome	3 (3.7%)
Insterstitial lung disease	2 (2.4%)
Asthma	2 (2.4%)
Bronchiectasis	2 (2.4%)
Lung restrictive disease	2 (2.4%)
Lung transplant	2 (2.4%)
Pulmonary hypertension	1 (1.2%)

Controlled pulmonary tuberculosis	1 (2.4%)
Chronic kidney disease	11 (13.6%)
GFR>50	3 (27.3%)
GFR 30-50	4 (36.4%)
GFR<30	4 (36.4%)
Renal supportive therapy (hemodialysis)	2 (18.2%)
Cirrhosis	1 (1.2%)
Central nervous system disease	2 (2.4%)
Obesity	17 (20.7%)
Mean duration of symptom before admission (days)	6.7 ±4.4
Mean days from symptom onset to dyspnea	1.14 ±3.6
Mean duration of dyspnea before admission	3.48±3.2
Symptoms	
Fever	75 (91.5%)
Cough	71 (86.6%)
Shortness of breath	54 (65.9%)
Sore throat	1 (1.2%)
Sputum production	8 (9.8%)
Rhinorrhea	1 (1.2%)
Headache	1 (1.2%)
Lost of weight	4 (4.9%)
Malaise	46 (56.1%)
Hemoptysis	5 (6.1%)
Chest pain	21 (25.6%)
Anosmia	4 (4.9%)
Cacosmia	3 (3.7%)
Muscle and joint pain	14 (17.1%)
Nauseas	3 (3.7%)
Vomits	36 (43.9%)
Diarrhea	8 (9.8%)
Profuse sweating	2 (2.4%)
Barthel scale 100 previous to symptoms onset[†]	77 (93.9%)
ECOG ≤ 1 previous to symptoms onset [‡]	78 (95.1%)
Median (IQR), age-adjusted Charlson index at baseline - points [§]	3 (1-4)
Median (IQR), SOFA index at admission – points [¶]	1 (0-3)
CURB-65 ≥ 3 [§]	18 (22%)

551 *Plus-minus values are means (±SD). Rounding has been applied to percentages. Total may no be
 552 100 because of rounding.

553 [†]Barthel index total scores range from 0 to 100, with higher scores indicating a better
 554 performance of 10 basic daily self-care activities. [‡] The Eastern Cooperative Oncolgy Group (ECOG)
 555 performance scale range from 0 (fully active) to 4 (completely disabled). [§] The Charlson risk index

556 score ranges from 0 to 37 with higher scores indicating a higher risk of death. ¶ Sequential Organ
557 Failure Assessment (SOFA) score ranges from 0 to 24 with higher ranges indicating a higher risk or
558 morbidity; individuals with a score of 15 or more have a mortality rate of 90%. Its calculation is
559 missing in two patients. § Community acquired pneumonia severity index assessing Confusion,
560 Urea, Respiratory rate, Blood pressure and age over 65 years (CURB-65) ranges from 0 to 5
561 depending on the number of risk factors present in the same patient.

562 GFR: glomerular filtration rate.

563 – One patient had interstitial lung disease and pulmonary hypertension and another patient had
564 obstructive sleep apnea syndrome and lung restrictive disease.

Table 2. Status on admission and follow up*

	Admission (n=82) †	48 hours (n=79) †	7 days (n=51) †
Vital signs on admission – no. (%)			
Systolic blood pressure, mean - mmHg	128.3 ±18.3	120.8 ±17.7	125.0 ±19.4
Diastolic blood pressure, mean - mmHg	73 ±12.2	71.3 ±9.3	72.3 ±10.7
Temperature, mean - °C	37.7 ±0.9	36.8 ±0.8	36.4 ±0.7
Heart rate, mean - rates per minute	94.3 ±17.8	79.7 ±12.0	79.0 ±14.2
Respiratory rate, mean - breaths per minute	23.9 ±6.3	21.5 ±6.0	22.5 ±11.5
Temperature > 37.8°C	34 (42.5%)	9 (12.1%)	1 (1.6%)
Heart rate > 100 beats per minute	28 (65.4%)	3 (3.8%)	7 (11.5%)
Respiratory rate > 20 breaths per minute	39 (58.2%)	24 (40%)	23 (46.9%)
Oxygen saturation, mean	94.0 ±4.4	94.1 ±3.9	93.6 ±6.9
Physical examination – no. (%)			
Glasgow coma scale of 15	82 (100%)		
Abnormal lung sounds			
Crackles	62 (75.6%)	54 (67.5%)	39 (58.2%)
Hypophonesis	9 (11%)	7 (8.6%)	5 (7.5%)
Wheezing	5 (6.1%)	2 (2.5%)	0 (0%)
Rhonchus	5 (6.1%)	2 (2.5%)	2 (3%)
Imaging characteristics – no. (%)			
Type of chest radiography alteration†			
Unilateral or bilateral infiltrate	20 (24.4%)	17 (21.3%)	21 (31.3%)
Interstitial pattern	10 (12.2%)	4 (5%)	7 (10.5%)
Pleural effusion	2 (2.4%)	1 (1.3%)	0 (0%)
Atelectasis	1 (1.2%)	1 (1.3%)	0 (0%)
Extension of abnormality in chest radiography PA projection			
<33%	32 (39.0%)	4 (5%)	4 (5.97%)
33-66%	38 (46.3%)	6 (7.5%)	9 (13.43%)
>66%	10 (12.2%)	9 (11.25%)	13 (19.45%)

*Plus-minus values are means (\pm SD). Rounding has been applied to percentages. Total may no be 100 because of rounding.

†Total number of patients varies among variables and follow up as mortality increases.

‡Some patients have more than one radiologic abnormality.

PA, posteroanterior.

Table 3. Laboratory data at admission and follow up*

Laboratory data – no. (%)	Admission (n=82) †	48 hours (n=79) †	7 days (n=51) †
Red cell count			
Haemoglobin, mean – gr/dl	13.3 ±1.6	12.7 ±1.6	12.5 ±1.5
≥ 10 gr/dl – no. (%)	78 (96.3%)	41 (93.2%)	43 (95.6%)
White cell count			
Mean (SD) – per mm ³	9.2 ±10.4	6.7 ±3.8	7.1 ±3.5
Distribution – no. (%)			
≥ 10000/mm ³	17 (21.3%)	5 (11.4%)	9 (20%)
≤4000/mm ³	7 (8.8%)	10 (22.7%)	9 (20%)
Lymphocyte count			
Meidan (IQR) – per mm ³	868.9 (593.7-1205.5)	710.5 (491.5-1154.9)	1112.0 (575.1-1519.6)
Distribution – no. (%)			
≥ 1000/mm ³ – no. (%)	34 (42.5%)	15 (34.1%)	23 (51.1%)
Platelet count, mean – per mm ³	199 ±87.2	235.7 ±139.4	282.3 ±141.6
Prothrombin time, mean - %	77.9 ±23.8		
Activated partial thromboplastin time, mean - seconds	24.6 ±9.8		
Fibrinogen, mean – gr/dl	5.6 ±1.0		
D dimer, median (IQR) - ng/ml	295 (201-437)	565 (303-772)	738 (273.5-2963)
Glucose, mean - mg/dl	120.2 ±36.7		
Urea, median (IQR) – mg/dl	43 (38-72)		
Serum creatinine, mean - mg/dl	1.7 ±6.1	2.1 ±7.1	0.9 ±0.6
Glomerular filtrate, mean – CKD-EPI	73.5 ±23.8	73.1 ±26.5	77.25 ±19.9
Sodium, mean – mmol/L	136.0 ±3.7		
Potassium, mean – mmol/L	3.9 ±0.7		
Calcium, mean – mg/dl	8.9 ±0.5		
Total bilirubin, mean – mg/dl	0.7 ±0.5		
Aspartate aminotransferase, mean - U/litre	53.1 ±34.3	53.7 ±35.4	71.4 ±46.2
Aspartate aminotransferase > 40 U/litre	42 (53.9%)	25 (61%)	30 (66.7%)

Alanine aminotransferase, mean - U/litre	41.68 (34.4)	43.4 (31.7)	77.3 (71)
Alanine aminotransferase > 40 U/litre	28 (35.4%)	16 (39.0%)	30 (66.7%)
Alkaline phosphatase, mean - U/litre	69.80 \pm 21.7		
Gamma-glutamyl transferase, mean – U/litre	93 \pm 58.0		
LDH, mean - UI/L	446.61 \pm 79.5		
CRP, mean - mg/dl	17.98 \pm 11.7	17.5 \pm 10.0	6.3 \pm 9.2
Ferritin, mean - ng/ml	885.69 \pm 500.5	1505.4 \pm 1194.6	1241.6 \pm 789.2
Proteins, mean - gr/dl	7.38 \pm 0.7		
Albumin, mean - gr/dl	3.30 \pm 0.3		
IL-6, median (IQR) - pg/ml	74.8 (49.4-120.0)	184.1 (75.3-592.6)	501.2 (103.7-2361.0)
Infection analysis			
Positive blood cultures	1 (1.3%)	0 (0%)	1 (10%)
Positive sputum cultures	3 (4.3%)	0 (0%)	2 (22.2%)
Positive pneumococcal urinary antigen	2 (2.5%)	0 (0%)	0 (0%)

*Plus-minus values are means (\pm SD). Rounding has been applied to percentages. Total may no be 100 because of rounding.

†Total number of patients varies among variables and follow up as mortality increases.

Table 4. Oxygen supplementation and supportive ventilation on admission and follow up*

	Admission (n=82) †	48 hours (n=79) †	7 days (n=51) †
Respiratory frequency, mean – rate per minute	23.9 \pm 6.3	21.6 \pm 6	22.5 \pm 11.5
Oxygen saturation, mean	94 \pm 4.4	94 \pm 3.9	93.6 \pm 6.9
SpO ₂ /FiO ₂ ratio, median (IQR)	428 (316.1-454.8)	271.4 (158.3-361.5)	230.2 (118.8-346.4)
Oxygen supplementation and supportive ventilation – no. (%)			
Nasal cannula	2 (5.9%)	6 (9%)	4 (7.0%)
Face masks	22 (64.7%)	33 (49.3%)	16 (28.1%)
High oxygen supplementation device	9 (26.5%)	11 (16.4%)	6 (10.5%)
High flow nasal cannulas	0 (0%)	10 (14.9%)	16 (28.1%)
Non-invasive mechanical ventilation	0 (0%)	2 (3%)	3 (5.3%)
Invasive mechanical ventilation	1 (2.9%)	5 (7.5%)	12 (21.1%)

*Plus-minus values are means (\pm SD). Rounding has been applied to percentages. Total may no be 100 because of rounding.

†Total number of patients varies among variables and follow up as mortality increases.

SpO₂/FiO₂ ratio: arterial oxygen saturation measured by pulse oximetry to fraction of inspired oxygen

Table 5. Secondary outcomes at 7-day follow up from tocilizumab administration.

Outcome – no. (%)	Patients
Vasopressor therapy	15 (18.3%)
Acute respiratory distress syndrome	45 (54.9%)
Respiratory failure	62 (75.6%)
Acute kidney injury	9 (10.9%)
Cardiac failure	1 (1.2%)
Septic shock	1 (1.2%)
Concomitant infection	1 (1.2%)

Table 6. Main outcome at 7-day follow up from tocilizumab administration.

Main outcomes – no. %	Patients
Discharge	34 (41.5%)
In-patient in conventional ward	9 (11.0%)
Intensive care unit	14 (17.1%)
Death	22 (26.8%)
Transferred	3 (3.7%)

Table 7. Comparison of risk factors by in-hospital mortality.

Characteristics	Alive (n=60)	Dead (n=22)	P-value
Demographics			
Age, mean (SD) - yr	53.3 (19.9)	75.2 (6.2)	<0.001
Sex – no (%)	36 (60.0)	16 (72.7)	0.289
Coexisting condition – no (%)			
Tobacco use			0.397
Active tobacco smoker	5 (8.3)	-	
Former tobacco smoker	19 (31.7)	9 (40.9)	
Never smoke	36 (60.0)	13 (59.1)	
Alcohol use			0.070
Active daily alcohol consumption	1 (1.7)	-	
Former daily alcohol consumption	-	2 (9.1)	
Never drink daily	59 (98.3)	20 (90.9)	
Barthel index at admission, median (IQR)	100 (100-100)	100 (100-100)	0.371
Dementia	1 (1.7)	-	1
Diabetes Mellitus	12 (20.0)	4 (18.2)	1
Immunosuppression	5 (8.3)	5 (22.7)	0.123
Solid tumor	3 (5.1)	6 (27.3)	0.012
Leukemia/Lymphoma	2 (3.3)	1 (4.6)	1
Hypertension	17 (28.3)	15 (68.2)	0.001
Chronic heart failure	1 (1.7)	4 (18.2)	0.017
Chronic lung disease	11 (18.6)	8 (36.4)	0.094
Chronic renal failure	4 (6.7)	7 (33.3)	0.005
Liver cirrhosis	1 (1.7)	-	1
Central nervous system disease	1 (1.7)	1 (4.6)	0.467
Obesity	14 (23.3)	3 (13.6)	0.539
Median (IQR), age-adjusted Charlson index at baseline - points	2 (1 – 3)	5 (3 – 6)	<0.001
Oxygenation previous to tocilizumab administration			

Oxygen saturation (pulse oximeter) at baseline, median (IQR)	95 (94 - 97.5)	93 (89 - 97)	0.061
FiO2 at baseline, median (IQR)	0.21 (0.21 - 0.28)	0.26 (0.21 - 0.50)	0.130
SpO2/FiO2 ratio at baseline, median (IQR)	440 (343-455)	393 (180-452)	0.134
High oxygen supplementation or ventilation at baseline* - no. (%)	-	1 (4.6%)	0.268
Oxygen saturation (pulse oximeter) at tocilizumab administration, median (IQR)	95 (93-97)	92 (89-94)	0.006
FiO2 previous to tocilizumab administration, median (IQR)	0.27 (0.21-0.40)	0.35 (0.21-1)	0.131
SpO2/FiO2 ratio previous to tocilizumab administration, median (IQR)	354 (228-438)	263 (95-423.8)	0.072
High oxygen supplementation or ventilation previous to tocilizumab administration*	3 (5%)	4 (18%)	0.79
Days from initial symptoms to tocilizumab administration, median (IQR)	9 (7 - 11)	7 (5 - 15)	0.372
Days from admission to tocilizumab administration, median (IQR)	2 (1 - 3)	3 (1 - 4)	0.064
Days from respiratory insufficiency to tocilizumab administration, median (IQR)	0 (0 - 1)	1 (0 - 2)	0.055
Days from ARDS to tocilizumab administration, median (IQR)	0 (-1 - 0)	0 (0 - 1)	0.132

*Plus-minus values are means (\pm SD). Rounding has been applied to percentages. Total may not be 100 because of rounding.

†Includes high flow oxygen delivery systems, high flow nasal cannula, non-invasive mechanical ventilation or invasive ventilation

ARDS: acute respiratory distress syndrome.