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Accelerated succession in Himalayan alpine treelines under climatic warming

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30 **Abstract**

31 Understanding how climate change influences succession is fundamental for predicting future
32 forest composition. Warming is expected to accelerate species succession at their cold thermal
33 ranges, such as alpine treelines. Herein we examined how interactions and successional
34 strategies of the early-successional birch and the late-successional fir affected treeline
35 dynamics by combining plot data with an individual-based treeline model at Himalayan
36 treelines. Fir showed increasing recruitment and a higher upslope shift rate ($0.11 \pm 0.02 \text{ m y}^{-1}$)
37 compared to birch ($0.06 \pm 0.03 \text{ m y}^{-1}$) over the last 200 years. Spatial analyses indicated strong
38 interspecies competition when trees were young. Model outputs from various climatic
39 scenarios indicate that fir will likely accelerate its upslope movement with warming while birch
40 recruitment would decline drastically, forming stable or even retreating treelines. Our findings
41 point to accelerating successional dynamics with late-successional species rapidly
42 outcompeting pioneer species, offering insight into future forest succession and its influences
43 on ecosystem services.

44 **Key words:** climatic warming, succession, Himalayas, interspecies interactions, treeline
45 dynamics.

46 **1. Introduction**

47 Understanding the processes and mechanisms that determine species coexistence and
48 succession is a fundamental ecological challenge¹. Spatiotemporal changes in community
49 composition in response to environmental variability are crucial yet insufficiently understood
50 components of vegetation dynamics, particularly in mixed forests^{2,3}. A special case in this
51 regard are tree communities located at their climatic distribution limits where temperature
52 and/or drought stress is high, the establishment rate is low, and several abiotic and biotic factors
53 co-determine tree-to-tree interactions⁴. Interactions between tree species can benefit forest
54 productivity and modify ecological succession by enhancing resource use efficiency to
55 minimize the impacts of harsh climatic conditions on trees^{5,6}. At the same time, the spatial
56 distribution patterns of tree species reflect their ability to withstand adverse environmental
57 conditions and provide insights into their interactions and processes, including dispersal,
58 regeneration, competition, survival, and growth⁷⁻⁹.

59 Climate-driven alpine treelines are among the most sensitive terrestrial ecosystems due to
60 the extreme climatic conditions and a short growing season¹⁰. Alpine treelines are thus
61 considered natural indicators to monitor the impacts of a warming climate on the structure and
62 function of mountain ecosystems^{9,11}. Climatic warming is expected to enhance tree growth and
63 recruitment, and thus favors an upward expansion of treelines¹²⁻¹⁶. The responses of treelines
64 to climatic warming at the global scale, however, are heterogenous and differ among sites or
65 species, depending on treeline compositions¹⁷⁻²⁰. This variability indicates that non-climatic
66 factors such as intra- and interspecific interactions and microsite features influence treeline
67 dynamics^{13,15,21-23}.

68 Species interactions mediated by climatic factors affect ecosystem functions²⁴. For
69 instance, facilitative effects among neighboring plants in harsh environments may ameliorate
70 microclimatic conditions and enhance resource allocation that benefits the establishment and

71 survival of tree recruits^{5,25}. In contrast, competition between neighboring recruits may reduce
72 their growth and resource acquisition, which in turn increases their mortality rate¹¹. As such,
73 the functioning of coexisting tree species is driven by species-specific successional strategies
74 or traits^{26,27}, whereby species succession is strongly accelerated by climatic warming²⁸. In
75 general, fast-growing, early-successional broadleaf species show a lower drought resistance
76 than slow-growing, late-successional species and may be more vulnerable to warming-induced
77 moisture stress^{29,30}. Interspecific competition is thus considered a crucial factor that determines
78 forest structure by influencing the establishment, growth, and survival of new recruits^{26,31}.
79 Species with a higher growth potential under climatic warming are likely to outcompete stress-
80 intolerant species with a lower growth potential^{23,32-34}. Studying successional dynamics in
81 forests and at treelines is challenging due to the long lifespan of trees, but analyzing the
82 distribution of stand age and spatial patterns of trees can offer valuable insights into
83 successional dynamics³⁵. The influences of intra- and interspecific interactions and
84 successional strategies on the shift rates of mixed treelines under climatic warming remain
85 unknown, despite their crucial role in influencing mountain ecosystems.

86 The central Himalayas are among the largest and most continuous areas of alpine treelines
87 in the world¹². These treelines are dominated by the early-successional Himalayan birch
88 (*Betula utilis*), the late-successional Himalayan fir (*Abies spectabilis*), or both. Himalayan
89 mountain ecosystems rank among the most rapidly warming ecosystems in the world and are
90 highly sensitive model areas to study climate change impacts^{36,37}. Recent studies have shown
91 that the response of tree growth to climate in treeline ecotones is species-specific, exemplified
92 by the sensitivity of birch to moisture^{37,38}, and the sensitivity of fir to temperature^{38,39}.
93 Increasing tree recruitment and the upslope movement of Himalayan treelines have been
94 consistently observed with warming^{12,40}. However, these rates also depended on precipitation
95 during early growing season¹² or intraspecies interactions²¹. Comparatively little is known

96 about how climatic change drives Himalayan fir and birch range limits by modulating species
97 interactions and succession at mixed treelines.

98 This study examined how climatic warming has affected recruitment patterns and treeline
99 shifts of early- and late-successional tree species in the central Himalayas. By combining field
100 observations with an individual-based treeline model, we aimed to answer whether species
101 interactions and successional strategies are influencing shift of the early- and late-successional
102 species under continued climatic warming. Despite early successional species typically
103 establishing in open areas, due to the divergent climate sensitivity and successional strategies
104 of the two species, we anticipated that fir would advance uphill more rapidly by outcompeting
105 birch. To test this hypothesis, we explored three Shared Socio-Economic Pathway (SSP)
106 scenarios of future climatic changes and their influence on successional dynamics in mixed
107 treelines.

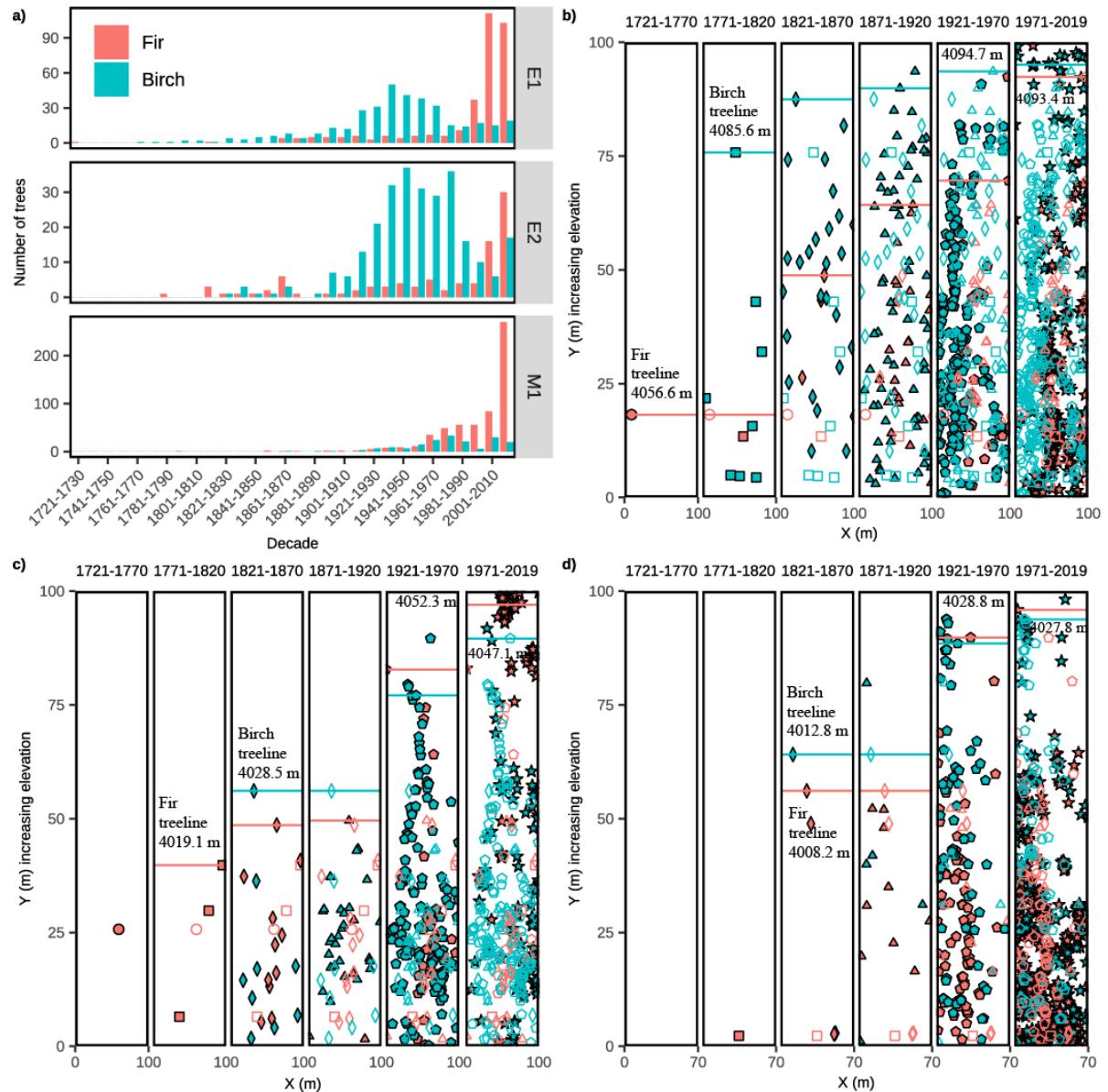
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109 **2. Results**

110 ***2.1. Recruitment patterns and treeline dynamics***

111 Recruitment patterns differed between the two study tree species. Fir showed increasing
112 recruitment in recent decades, whereas the highest recruitment peak for birch was found
113 between the 1920s and the 1970s, with a lower recruitment rate in recent decades (Fig. 1a).
114 Decadal recruitment patterns did not significantly differ between plots at the intraspecific level
115 but did it at the interspecific level (Kolmogorov-Smirnov tests, plot E1, $D = 0.44$; plot E2, $D =$
116 0.56 ; plot M1, $D = 0.26$; $P < 0.05$).

117 Both fir and birch treelines shifted upslope but at different rates. The rate over the last
118 200 years was much higher for fir than birch (0.11 ± 0.02 vs 0.06 ± 0.03 m y^{-1} ; $t = 2.16$, $P <$
119 0.05) (Fig. 1b-d).



120 **Fig. 1.** Long-term variation in decadal tree recruitment in the mixed treeline plots sampled in
121 the central Himalayas (a) and spatiotemporal changes in tree and treeline position in the three
122 treeline plots (E1, Everest plot 1; E2, Everest plot 2; and M1, Manang plot 1) located in the
123 central Himalayas (b-d). Treeline elevation is indicated by a horizontal line when tree height is
124 > 2 m. Each solid symbol represents a tree recruited in the period indicated at the top of each
125 plot, whereas hollow symbols represent living trees germinated in previous periods. Cyan and
126 red symbols and lines represent birch and fir, respectively. X and Y represent horizontal and
127 vertical distances from the left bottom corner ($x, y = 0, 0$) of the plot, respectively.
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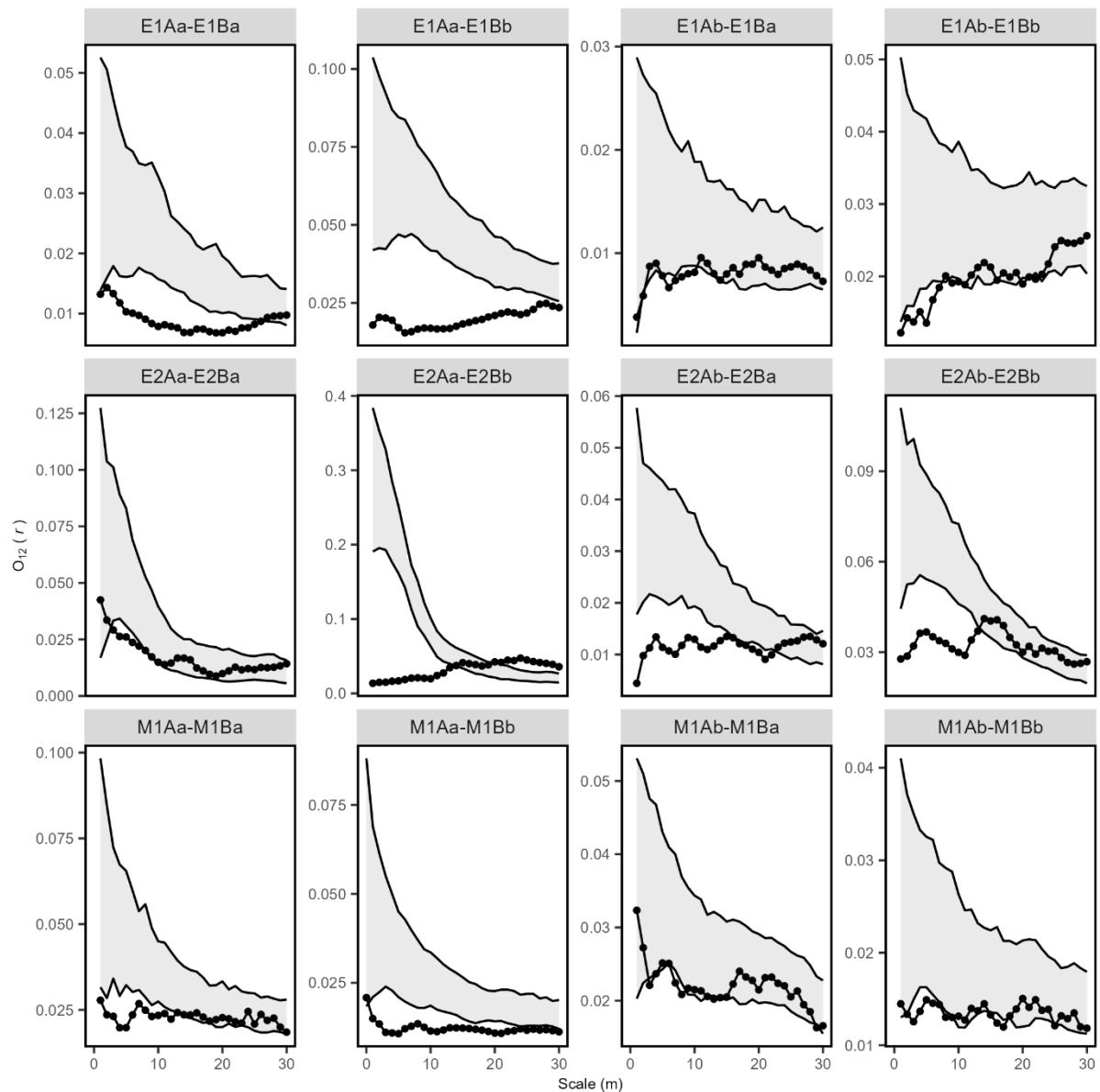
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130 **2.2. Spatial patterns: Intra- and interspecific interactions**

131 The establishment of both species indicated conspecific associations (Fig. S1). The
132 intraspecific univariate analysis of point patterns of two age classes indicated clustered spatial
133 patterns among young trees (age < 50 years) for both species at all sites at spatial scales from
134 1 to 6 m (goodness-of-fit tests, GoF, $P < 0.05$). By contrast, old trees (age ≥ 50 years) showed
135 random distribution patterns except old birches in plots E1 and E2 (Fig. S2). Most of the
136 bivariate analyses between the two age classes indicated random spatial distributions (Fig. S3).

137 At the interspecific level, spatial segregation was detected between the young and old fir
138 and birch individuals in all plots (Fig. 2). In plot E1, a strong segregation was observed between
139 young fir trees and both young (up to 26 m) or old birch trees (up to 30 m) (GoF, $P < 0.001$).
140 Similarly, adult fir and young birch trees were segregated at spatial scales of 1-2 and 4-9 m
141 (GoF, $P < 0.001$), whereas adult fir and birch trees were also segregated at scales of 1-7 and
142 22-23 m. Young fir and birch trees in plot E2 were negatively associated at spatial scales of 3-
143 7 m, whereas young fir and adult birch trees were spatially segregated up to 10 m. Adult fir
144 and young birch trees were also segregated at spatial scales of 1, 3-4 and 6-7 m, and adult fir
145 and birch trees did not show significant spatial interactions. Young fir and birch trees in plot
146 M1 were also negatively associated at spatial scales of 1-12 m, whereas young fir and adult
147 birch trees were spatially segregated up to 30 m, and adult fir and birch trees were also spatially
148 segregated at 3-9 and 15-17 m.

149



150

151 **Fig. 2.** Bivariate analysis of spatial point patterns for young and old birch and fir trees based
 152 on the bivariate $O_{12}(r)$ -ring statistic. The continuous lines represent the upper and lower 99%
 153 simulation envelopes. Lines with symbols represent the $O_{12}(r)$ -ring statistics calculated at
 154 different spatial scales (x-axes), and values beyond the upper and lower envelopes indicate
 155 significant ($P < 0.01$) aggregation and repulsion, respectively. E1, Everest plot 1; E2, Everest
 156 plot 2; and M1, Manang plot 1; A, fir; B, birch; a, age < 50 years; b, age ≥ 50 years. The
 157 distances were calculated from firs to birches and old trees to young trees.

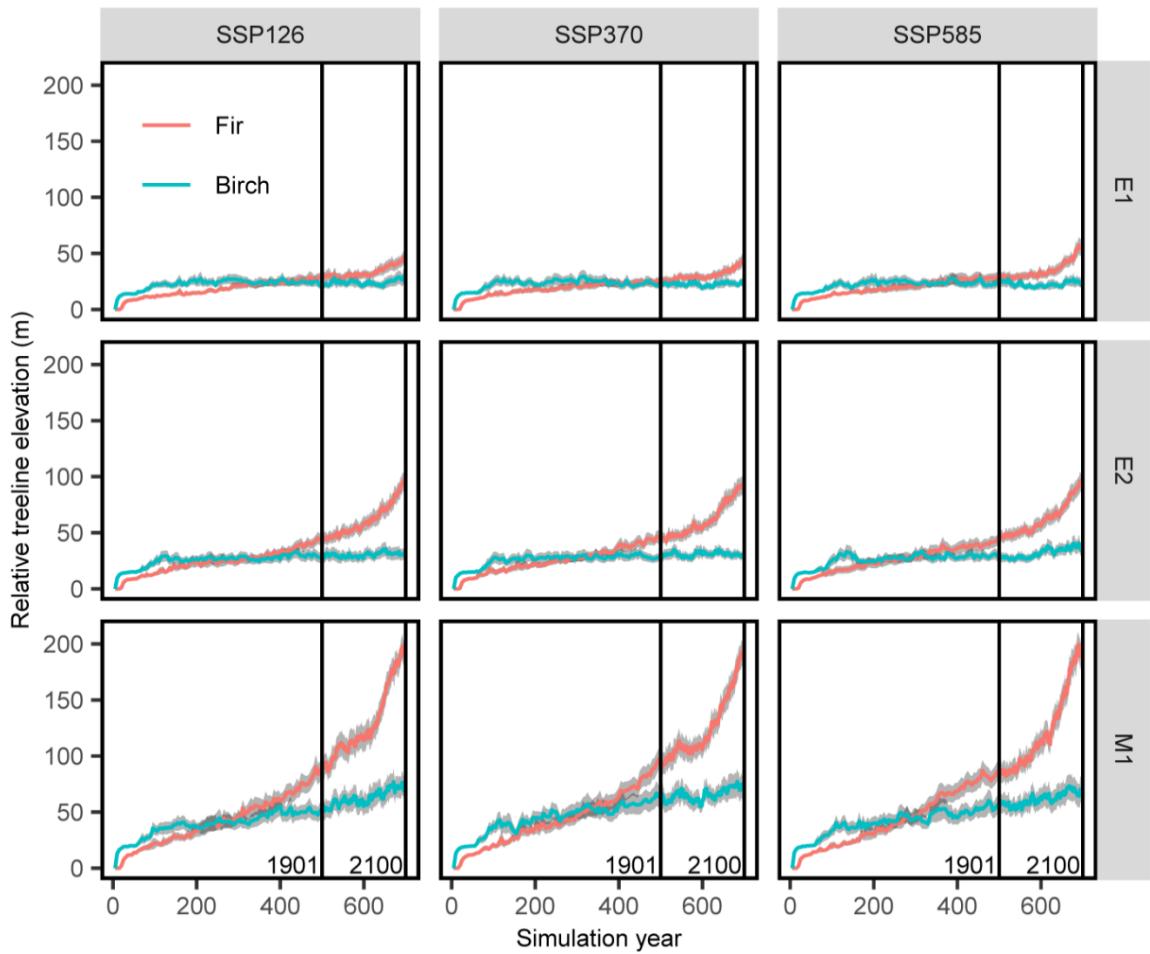
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159 **2.3 Simulated treeline dynamics**

160 We simulated changes in tree density and treeline dynamics under three SSP scenarios
161 (SSP126, SSP370, and SSP585) during 2015-2100 to forecast the responses of treelines to
162 increasingly warmer conditions. Firstly, we found that fir responded better than birch to both
163 warmer and drier conditions (Figs. S4, S5). Secondly, the simulations indicated that birch was
164 the first colonizing species forming a treeline, and then fir started to colonize 21 years later.
165 Stable treelines formed in all scenarios after 50 annual simulations. Fir treelines advanced
166 rapidly with increasing warming, whereas birch treelines were stable or even receded during
167 the last 50 years (Figs. 3, 4).

168 Climatic warming continuously accelerated the advance of fir treelines, whereas birch
169 treelines initially advanced and then retreated after reaching a peak shift rate in the SSP126
170 climatic scenario. During the spin-up period (stabilization phase), birch density consistently
171 remained higher than fir density (Fig. S6). Under SSP585, the density of adult birch trees in
172 the plots increased rapidly during the first 50 years and then gradually stabilized or even
173 declined. The adult density of both species decreased with increasing warming rates in the
174 considered SSP scenarios. However, changes in adult density differed between the two species
175 ($P < 0.001$) under all scenarios with the upward shift rate of fir accelerating in response to
176 warmer SSP scenarios (Fig. 4).

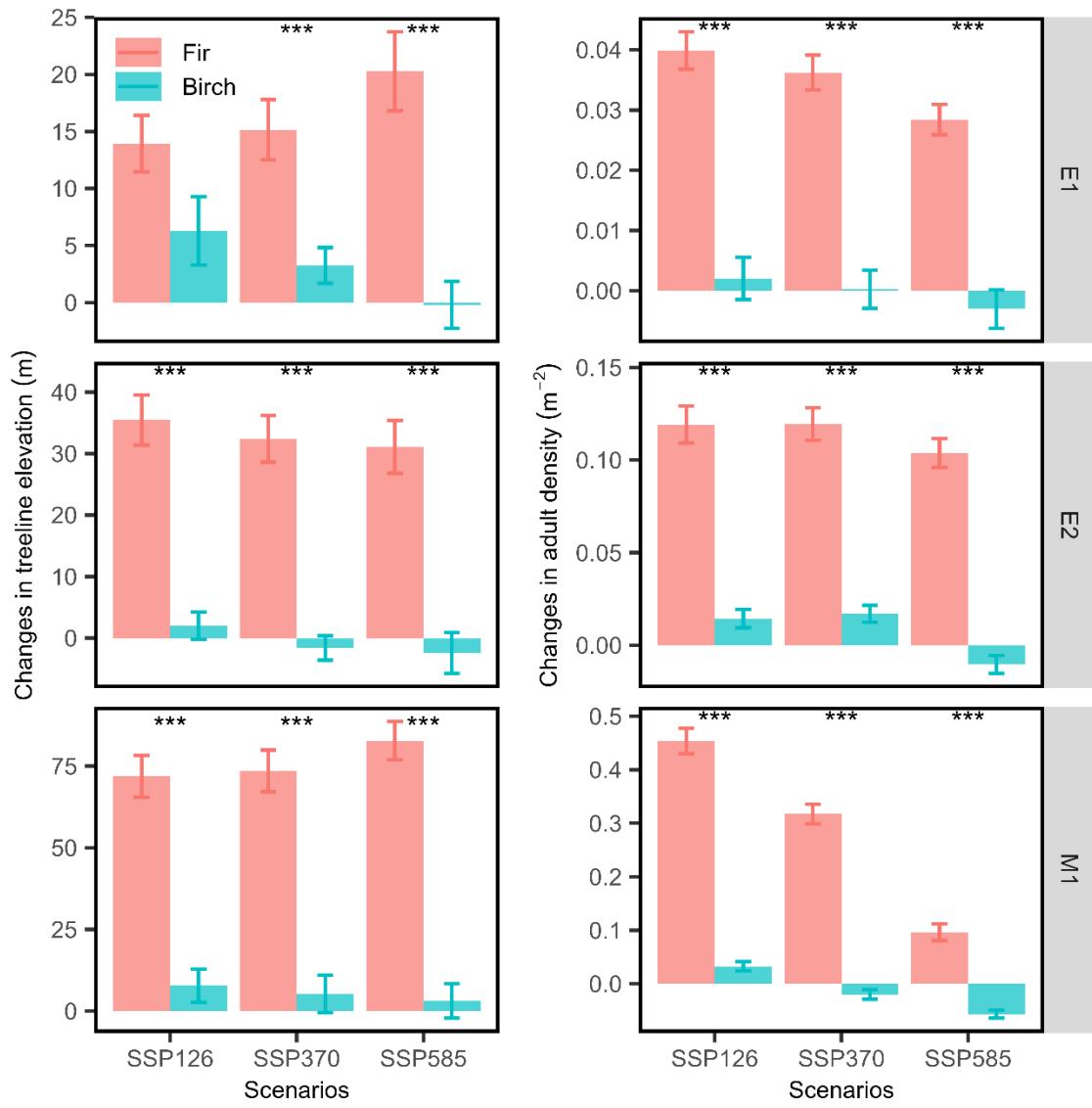
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178

179 **Fig. 3.** Simulated relative treeline elevation changes ($n = 50$) of birch and fir for the E1, E2 and
 180 M1 plots including projected temperature and precipitation under three SSP scenarios (SSP126,
 181 SSP370, and SSP585). During the first 50 years of spin-up, 200 seeds (100 birches and 100
 182 firs) entered the plots every year. Various biotic and abiotic species-specific variables were
 183 considered in the model simulation (see Table S2 for detail). The treeline position is calculated
 184 as the uppermost elevation of individuals with tree height is > 2 m. The vertical lines represent
 185 the years 1901 and 2020 of the real simulation phase. The cyan and red lines represent birch
 186 and fir, respectively. Shaded grey areas around cyan and red lines represent the standard error.

187



188

189 **Fig. 4.** Changes in treeline elevation (left panel) and adult (height > 2.0 m) tree density (right
 190 panel) of birch and fir under projected temperature and precipitation in three SSP scenarios
 191 (SSP126, SSP370, and SSP585) during 2015-2100 in the three study plots (E1, E2 and M1).
 192 The error bars represent standard errors. The cyan and red lines represent birch and fir,
 193 respectively. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$.

194

195 **3. Discussion**

196 The spatiotemporal patterns of tree recruitment at mixed treelines drive changes in their
197 elevational position. Divergent recruitment patterns of fir (increasing) and birch (decreasing)
198 were observed during the recent decades in response to climatic warming. Birch recruitment in
199 the treeline ecotone has likely exceeded optimal climatic thresholds, as has been observed in
200 other treelines in response to climatic warming and drying^{20,41}. It is important to note, however,
201 that the impacts of climatic stresses may partially be buffered by species interactions. This
202 includes facilitation, which enhances tree establishment and protects recruits from harsh
203 climatic conditions^{5,21}. We identified increasing fir recruitment in recent decades, which
204 indicates that fir is benefitting from the warming conditions^{11,14,42}. Conversely, declining
205 recruitment of the moisture-sensitive pioneer species birch could be caused by warming-
206 induced moisture stress. This is in line with the results of studies on alpine shrublines⁴³, and
207 alpine forbs and herbs⁴⁴ in the Himalayas. In addition, reduced birch recruitment suggests that
208 factors other than temperature, such as biotic interactions, could limit establishment of
209 seedlings as in other treelines^{45,46}. Such interactions may intensify competition between tree
210 species for favorable microsites, sunlight, soil nutrients and moisture. Therefore, further
211 densification of fir is expected in the coming decades due to its superior physiological
212 performance under warmer temperatures³⁸. However, this response will depend on availability
213 of microsites for fir regeneration and its interactions with shrubs¹³.

214 Tree recruitment and survival are fundamental processes driving treeline dynamics.
215 Increasing recruitment due to a warming climate will likely trigger upslope treeline shifts^{13,17}.
216 In comparison to birch, higher recruitment rates and upslope shifts in fir suggest a greater
217 acclimation of this species to a warmer climate. Birch thus has a narrower thermal tolerance
218 for reproductive success than fir. Late-successional fir can establish at shady sites^{47,48}, whereas
219 the pioneer, early-successional birch establishes at open sites or along forest margins^{21,39}. This

220 is also supported by their establishment performance in response to light availability (Fig. S7).
221 In addition, thick snow cover may hinder seedling recruitment¹¹; however, a warm spring
222 conditions could accelerate snowmelt and provide soil moisture in spring. Birch survival is
223 highly dependent on the supply of water from melting snow during the early growing season⁴⁹.
224 Rapid warming and frequent pre-monsoon drought may thus increase soil moisture deficits
225 with potential negative impacts on birch establishment. On the other hand, the increasing
226 intensity of disturbances such as early snowmelt, snow fungi, insect outbreaks, landslides,
227 avalanches, fires and hotter droughts will likely hinder the recruitment and survival of less
228 resilient tree species¹¹.

229 The species-specific variations in recruitment and treeline shift rate may be due to their
230 different responses to climate change. For instance, birch growth at treelines is mainly limited
231 by low soil moisture availability in spring^{37-39,50}, whereas fir growth is enhanced by warming
232 temperatures during the growing season^{38,39}. Warmth-demanding species can readily colonize
233 upwards, while others are struggling for survival under a warming climate and lagged shifts in
234 range²⁷. A higher upslope shift rate of late-successional fir compared to the early-successional
235 birch indicates that fir will likely rapidly outcompete birch in response to projected future
236 warming. As high Himalayan regions are warming and drying (Fig. S8), fir can overcome
237 moisture stress thanks to its higher water-use efficiency³⁸. By contrast, drought-induced xylem
238 cavitation and production of very narrow or even missing growth rings in birch renders this
239 species vulnerable to ongoing aridification^{37,50}. The decoupling between temperature
240 variability and range shifts of mountain vascular plants at the global scale^{17,18,23} and diverse
241 thermal thresholds for cambial reactivation between coexisting species at treelines⁵¹ also
242 support our findings. Likewise, controlled experiments have also suggested that range
243 expanders outperformed pioneer native species in both normal and dry conditions³⁰.

244 The different spatial patterns at the intra- and interspecific levels and among age classes
245 indicate that changes in the age and size structures of stands may also buffer the impacts of
246 warming climatic conditions on treeline dynamics. Spatiotemporal variations in age are due to
247 either distance- and/or density-dependent seed dispersal, seedling recruitment, growth,
248 mortality^{52,53}, or to the availability of microsites that together determine the regeneration
249 niche^{45,54}. In addition, climatic stress and facilitation both affect the growth and survival of
250 recruits at treelines^{5,21}. Spatial patterns in such harsh environments mainly depend on
251 competition for soil nutrients, water and temperature⁵⁵. Conspecific aggregation (positive
252 interactions) of young trees likely attenuates the negative impacts of resource limitation and
253 climatic stress on their recruitment, growth and survival⁵. Shifts from clustered to random
254 spatial distributions with increasing tree ages, however, result from stronger competition for
255 light as succession advances^{42,56}. This was further shown by the preferential establishment of
256 birch seedlings in canopy gaps²¹.

257 Strong competition between young trees, and random spatial patterns between young and
258 old trees, despite conspecific clustering, imply that interspecific competition in recent decades
259 has played an important role in determining treeline dynamics. Different recruitment patterns
260 of tree species in mixed treelines are driven by interspecific competition in addition to climate.
261 Birch is highly sensitive to competition for light and moisture⁴⁹. In contrast, fir seedlings can
262 establish and survive in more closed-sites⁴⁷. The taller fir with larger crown coverage (Table
263 S1) indicates that fir may pose a threat to the establishment of birch seedlings by shading
264 sunlight if treeline ecotones rapidly encroach, as was observed in our model simulations (Fig.
265 4). These findings concur with the resource-ratio hypothesis of secondary succession⁵⁷. The
266 architecture of conifer crowns, however, is helpful for adapting to harsh environmental
267 conditions, even though birch may also regenerate through sprouting⁵⁸. Seed germination in
268 early-successional species is strongly influenced by resource availability and competition,

269 particularly in late-successional communities^{33,59}. Due to the higher drought tolerance and
270 water-use efficiency of late-successional fir compared to early-successional birch^{38,60}, the latter
271 is likely to be rapidly outcompeted, if there are no significant disturbances in the coming years.
272 Recently, it is well recognized that rapid climatic warming and biotic interactions are major
273 drivers of ecological succession⁶¹, as they alter the environmental filtering and dimension of
274 plant-soil interactions⁶². Thus, despite declining recruitment of both species under higher SSP
275 scenarios, the significant difference in treeline elevation and changes in adult density of the
276 two study species during the real simulation period is likely due to accelerated warming-
277 induced interactions, rather than a natural process. This has resulted in an increasing seedling
278 establishment and the upslope shift rate of fir under past climate warming that will likely
279 continue in the future. The shift of dominant plant functional types from deciduous to evergreen
280 may also alter ecological functions such as the rate of litter decomposition, carbon and nitrogen
281 cycling, water-use efficiency, interactions with animals and fungi, and energy fluxes²⁸, with
282 further impacts on the composition of alpine plant communities³².

283

284 **4. Conclusions**

285 Aiming to improve our limited understanding of changes in species interactions and their
286 impacts on successional dynamics at mixed treelines, this study showed how warming
287 accelerates succession and treeline shifts of fir, a late-successional species. Fir has been
288 recruiting at a higher rate than birch and has moved upslope at a faster pace in recent decades.
289 Fir is thus likely to outcompete birch at mixed treelines and is poised to becoming the dominant
290 treeline species in the Himalayas. This trend is expected to continue under scenarios of future
291 climate warming. The divergent patterns of tree recruitment and treeline shift rates of early-
292 (birch) and late-successional (fir) species were mainly mediated by climate variability. The
293 reconstructed fine-scale spatiotemporal patterns offer vital insights into the mechanisms

294 driving ecological succession, such as species interactions and in community structure changes
295 (species replacement or succession) over time. These insights enhance our ability to
296 ecologically forecast the impacts of warming on alpine treeline ecotones. Our findings also
297 shed light on the ecological threats of tree encroachment by switching from treelines dominated
298 by deciduous broadleaves to others where evergreen conifers are the most abundant tree
299 species.

300

301 **5. Materials and methods**

302 ***5.1. Study area***

303 The study areas are located in the Sagarmatha (Mt. Everest) National Park and Annapurna
304 Conservation Area (Manang), Nepal. Sagarmatha National Park is one of the world natural
305 heritage sites listed by UNESCO and the oldest national park in high mountainous areas in
306 Nepal. It extends from a temperate region (2445 m a.s.l.) to the top of the world, Mt. Everest
307 (8848.86 m a.s.l.). Our sampling sites were located about 20 km southwest of Mt. Everest and
308 about 10 km from the Pyramid meteorological station (5050 m a.s.l.). Climatic data from the
309 Pyramid station indicated that this region had been characterized by warming and drying
310 climatic conditions during recent decades (Fig. S8). The Manang Valley, situated within the
311 Annapurna Conservation Area, is part of the trans-Himalayan region. This conservation area
312 ranges from the subtropical region (at 790 m) above sea level to the peak of Mt. Annapurna I
313 (at 8091 m) above sea level. The valley receives less precipitation than nearby areas due to the
314 rain shadow effect of the Annapurna massif and has experienced increasing temperatures and
315 declining precipitation in recent decades¹². These regions are strongly influenced by the Indian
316 summer monsoon with about 80% of rain falling during the summer.

317 ***5.2. Treeline plots and field sampling***

318 Himalayan birch (*Betula utilis* D. Don) and Himalayan fir (*Abies spectabilis* (D. Don)
319 Mirb.) are the dominant tree species across the central Himalayan treelines. Several valleys
320 have pure stands of these species forming treelines, but some areas have mixed, conifer-
321 broadleaf treeline ecotones (Fig. S9). However, if treeline is formed by birches, birch
322 followed by fir within several meters. We selected sites that were far from settlements,
323 minimizing negligible anthropogenic disturbances and were also away from landslide or
324 avalanche areas. Both sampled tree species are unpalatable to livestock and wildlife. Two
325 permanent 100 m × 100 m plots in the Everest region (E1; 4096 m and E2; 4054 m, north
326 facing slope) and one 70 m × 100 m plot in Manang (M1; 4031 m, south-west facing slope)
327 were established on a topographically uniform area (slope < 20°) of the mixed treeline (Fig.
328 S10). Each plot contained the uppermost tree species line (upper limit of seedlings, height <
329 0.5 m), the treeline (upper limit of trees 2 m tall) and the forest limit (upper limit of stands
330 with tree covers $\geq 30\%$). The vertical side (y-axis) of each plot was set parallel to the
331 elevational gradient, including the forest limit and the treeline¹²⁻¹⁴. The lower left corner of
332 each plot was considered as the origin of a local coordinate system $(x, y) = (0, 0)$. The
333 latitude, longitude and elevation of the upper and lower points of the plots were recorded to
334 the nearest 0.1 m using GPS. The positions of all trees of the two target species within the
335 plots were determined based on the (x, y) reference points, and the diameters at the base and
336 at breast height (Dbh, measured at 1.3 m) and the crown diameters for all trees along the x
337 and y directions were measured. Tree height was measured using a measuring stick if tree
338 height was ≤ 2 m or using a clinometer if tree height was > 2 m. Average height and
339 horizontal crown projections of the oldest age-classes (older than 100 years) were larger for
340 fir than birch (Table S1). We did not find any cut stumps, dead trees or snags within the plots
341 or beyond the current treelines and no fire scars were observed in the stems and tree-ring
342 samples. Thus, existing trees were established during the last 200-300 years.

343 A core was collected from the base of each living tree with a Dbh > 5 cm using a Pressler
344 increment borer to reconstruct the age structure of trees located within the plots ($n = 216$ birch
345 trees and $n = 141$ fir trees). Cores were extracted as close to the ground as possible to minimize
346 errors when estimating tree age. We also collected cores at a height of 2 m corresponding to
347 the treeline definition ($n = 22$ birch trees and $n = 20$ fir trees) to estimate the changes in position
348 of the treeline. The ages of seedlings and saplings (height < 2.5 m) were nondestructively
349 estimated by counting bud scars and internodes along the main stem^{13,14}. Basal wood cross-
350 sections of the seedlings ($n = 9$ birch and $n = 7$ fir) were also collected to validate the age
351 estimates. Estimated ages of fir were very close (± 1.5 years), while birch ages were
352 overestimated by about 4 years to their germination dates¹². All cores were processed and
353 analyzed using standard dendrochronological methods including mounting, sanding and cross-
354 dating. If the pith was missing from the cores, pith offset was calculated by applying a
355 geometric pith-locator method⁶³ and was corrected using age-height relationship if coring was
356 not possible close to the ground. Germination dates of the sampled trees were assigned, and
357 age-diameter relationships were then developed for both species and sites (Fig. S11). These
358 relationships were used to estimate the ages of trees with a rotten/missing pith or trees that
359 were not cored.

360 We reconstructed recruitment dynamics on a decadal scale for each species and site based
361 on the age structure of the trees within the plots. We used a two-sample Kolmogorov-Smirnov
362 test to compare the temporal patterns of tree regeneration between species and sampling site.
363 The spatiotemporal variabilities of treeline position and tree density were calculated for 50-
364 year intervals starting in 1721 and ending in 2021¹²⁻¹⁴.

365 **5.3. Analyses of spatial point patterns**

366 To quantify the spatial interactions of Himalayan birch and Himalayan fir at treeline
367 ecotones, point-pattern analyses were applied. We used the Wiegand-Moloney's *O*-ring statistic

368 estimated using Programita software for univariate ($O_{11}(r)$) or bivariate ($O_{12}(r)$) point-pattern
369 analysis⁶⁴. This statistic is derived from the pair-correlation function ($g_{11}(r)$ or $g_{12}(r)$) and can
370 distinguish between aggregation or overdispersion and attraction or repulsion in univariate and
371 bivariate patterns, respectively. The $O_{11}(r)$ and $O_{12}(r)$ statistics were calculated at several scales
372 or distances (r) based on the likelihood of density functions. Heterogeneous Poisson models
373 for complete spatial randomness (CSR) were used as null models. We used smoothing
374 algorithms based on kernel estimators to estimate the null models, because the intensity
375 function affects heterogeneous Poisson processes. To correct for edge effects, we used a scale
376 of 20 m for smoothing and set 30 m for the maximum scale of analysis.

377 The univariate $O_{11}(r)$ statistic was used to analyze the spatial patterns of two age classes
378 [a, young class (age < 50 years), b, old class (age \geq 50 years)], and the bivariate $O_{12}(r)$ statistic
379 was used to analyze the spatial interactions between the age classes and tree species. We
380 randomized the locations of young trees, keeping the locations of old trees fixed when
381 calculating null models, assuming that old trees influence the location of young trees but not
382 vice versa⁶⁴. The distances were calculated from firs to birches. We calculated $O(r)$ statistics
383 at 1-m resolution for both analyses and assessed the divergence of the patterns from CSR
384 considering scales from 1 to 30 m. Simulation envelopes, which define the significance of
385 observed univariate (random, aggregated or overdispersed) and bivariate (attraction, repulsion
386 or independence) patterns were calculated. The data were compared with the upper or lower
387 99% simulation envelopes created from 999 Monte Carlo simulations. Finally, we also
388 calculated a goodness-of-fit (GoF) test for assessing the significance of the overall spatial
389 pattern⁶⁴.

390 **5.4 Simulating treeline dynamics**

391 We collected data for the occurrence of Himalayan birch (296 sites) and Himalayan fir
392 (204 sites) in the Himalayas and surrounding mountains using Global Biodiversity Information

393 Facility (GBIF) database (<https://www.gbif.org/>) to obtain the ranges of temperature and
394 moisture for these two species (Fig. S4). The occurrence data were filtered following the
395 method by Kindt⁶⁵. After removing duplicates, we only kept data with accurate elevation
396 records for temperature interpolation. Monthly temperature and precipitation were derived
397 from the CRU TS v4.07 data set (1901–2022) with a spatial resolution of 0.5°⁶⁶ due to a lack
398 of long-term observed climatic data. Gridded climatic data were interpolated using the delta
399 downscaling method⁶⁷ to obtain site-specific data set of temperature and precipitation near the
400 treelines. The interpolated temperature and precipitation align well with the observed data at
401 treeline ecotones⁵³. The ‘SPEI’ package (v. 1.7)⁶⁸ was used to calculate potential
402 evapotranspiration (PET) based on the Thornthwaite and Mather⁶⁹ method which is widely
403 used in individual-based forest gap models^{53,70} and allowed us to identify a distribution range
404 of species adapted to an annual degree-days (*uDD*)⁷⁰ and to a drought index:

$$405 \quad uDD = \sum_{m=Jan}^{Dec} \max(T_m - kDTT, 0) \times kDays \quad (1)$$

406 where T_m is the monthly temperature from January to December, $kDTT$ is the threshold
407 temperature of degree-days, which was >0 °C in this model, and $kDays$ is 30.5. The drought
408 index is the ratio between yearly PET and precipitation, i.e. PET/P.

409 On this basis, we used a modified individual-based treeline model, the “Sygera Treeline
410 Model”⁵³ to simulate treeline dynamics under various climatic scenarios (SSP126, SSP370,
411 and SSP585). The species-specific biotic (competition, growth, seed production and dispersal,
412 germination and mortality) and abiotic parameters were used in the model simulation under
413 various scenarios of future climatic changes (see Table S2, Fig. S12 and model description in
414 SI for details).

415 Birch and fir exhibited contrasting performance in the model due to their distinct growth
416 patterns and environmental adaptations. Fir demonstrated faster growth and greater height
417 potential compared to birch, supported by its higher maximum tree diameter increment,
418 maximum tree height, and maximum diameter at breast height (Table S2). Additionally, fir
419 showed superior adaptation to warmer and drier conditions, resulting in higher growth rates
420 under simulated warming scenarios. Finally, given their shade-intolerant and shade-tolerant
421 traits respectively, birch and fir were subjected to different light availability functions in our
422 model (*availableL*) on their establishment performance (f_{shadow})⁷⁰:

$$423 f_{shadow} = \begin{cases} 1 - e^{-4.64(availableL-0.05)}, & \text{Fir (2)} \\ 2.24 * (1 - e^{-1.136(availableL-0.08)}), & \text{Birch (3)} \end{cases}$$

424 A 500-year spin-up (stabilization) phase was carried out for each simulation year to reach
425 a stabilized initial state of the population dynamic before the real simulation. Annual climatic
426 data for this period were randomly selected for 1901–1930 to force the model simulation. In
427 the model, 200 seeds (100 birch and 100 fir) were randomly introduced on a hypothetical
428 mountain slope every year for the first 50 years where the temperature gradually decreased
429 with elevation and interacted with each other (Fig. S13). We then performed a real simulation
430 using field data from 1901–2020. All trees passed through five stages (environmental update,
431 seed production and dispersal, establishment, growth and mortality). Firstly, we updated the
432 climatic variables and evaluated the competition index based on neighboring trees for each grid
433 cell (0.5 m × 0.5 m). Secondly, adult trees (> 2 m height) produced seeds that were coupled
434 with tree size⁷¹ and randomly dispersed based on seed-dispersal functions^{72,73}. Thirdly, each
435 seed germinated at a rate depending on the current abiotic and biotic conditions. Fourthly, the
436 diameters measured at the base or at breast height (1.3 m) were used to represent radial growth.
437 The actual increase in diameter was an optimal growth in diameter which was modified by
438 environmental factors. Height and canopy size were calculated based on the current diameter.

439 Finally, the mortality rate was calculated for each tree, and dead trees were removed from the
440 plot, based on the current environmental conditions and inherent mortality. We assumed that
441 both the birch and fir treelines were in the center of the plot (i.e. at a relative elevation of 100
442 m) in 2020. We therefore trained the free parameters at all sites (E1, E2 and M1). We used the
443 final value of each parameter after 5000 simulations.

444 We used projected temperature and precipitation data from three SSP scenarios computed
445 in the Coupled Model Intercomparison Project Phase 6 (CMIP6) (<https://data.isimip.org/>) to
446 simulate treeline dynamics (Table S3 and Fig. S14). Linear relationships between historical
447 and projected climate data were established, based on the common period from 2015 to 2022.
448 Temperature and precipitation data were corrected using historical data. From SSP126 to
449 SSP585, there are increasing warming rates; however, precipitation shows negligible changes
450 with only slight increases in SSP585 (Table S3). These data were successfully used in
451 climatological study in this region and have reported similar patterns⁷⁴. Historical data (1901-
452 2022, derived from the CRU TS v4.07) was interpolated using the delta downscaling method⁶⁷
453 to obtain site-specific monthly temperature and precipitation. Projected monthly temperature
454 and precipitation (2015-2100) were derived from multiple models (CanESM5, CESM2-
455 WACCM, EC-Earth3, GFDL-ESM4, IITM-ESM, IPSL-CM6A-LR, KACE-1-0-G, MIROC6,
456 and TaiESM1). The model was operated under three SSP scenarios (SSP126, SSP370, and
457 SSP585) during 2015-2100. The dynamics of the birch and fir treelines and adult density were
458 simulated for each warming scenario across all three sites with 50 replications. All the analysis,
459 except for point pattern analysis, were performed in R 4.3.1⁷⁵.

460

461 **Data availability**

462 The CRU TS v4.07 climate dataset was obtained from <https://crudata.uea.ac.uk/cru/data/hrg/>.
463 The SSP scenarios in the CMIP6 were downloaded from <https://data.isimip.org/>. Data were

464 achieved in the National Tibetan Plateau Data Center:

465 <https://doi.org/10.11888/Terre.tpdc.301173>.

466

467 **Code availability**

468 Statistical analysis in this study was performed with publicly available packages in R (version

469 4.3.1)⁷⁵ and Progamita software (<https://programita.org/>)⁶⁴. The custom codes for the analysis

470 of the data and the modified Sygera Treeline Model were archived in the National Tibetan

471 Plateau Data Center: <https://doi.org/10.11888/Terre.tpdc.301173>.

472

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485

486 **Author contributions**

487 E.L. designed the research; S.R.S. and J. Pandey collected data; S.R.S. and Z.X. analyzed
488 data; S.R.S. drafted the paper with intensive input from E.L., F.B., J.J.C. and J. Peñuelas. All
489 authors contributed ideas, interpreted the results and were involved in the editing and writing
490 of the paper.

491

492 **Competing interests**

493 The authors declare no competing interests.

494

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