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Meaning of words, meaning of sentences. Building the meaning of n-words¹

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Abstract

This article addresses the general question of what the meaning of words, namely n-words, is and how this meaning may contribute to the meaning of sentences. We hypothesize that this contribution is not conceptual, but it is fundamentally underspecified. An underspecified value can be attributed both to an inherent semantic feature and to a syntactic feature with which a lexical root merges during the derivation. In the specific case of n-words, we postulate a semantic feature that guarantees their behavior as polarity items, and a syntactic feature that guarantees their occurrence in Negative Concord structures. The Principle of Compositionality is guaranteed by checking both semantic features and syntactically uninterpretable ones.

Keywords: underspecified meaning, compositionality, n-words, Romance languages

1. Introduction

The question we will address in this chapter is what the meaning of words, more precisely the meaning of lexical roots, is and how their meaning may contribute to the meaning of sentences, mainly within a minimalist semantics approach.

A widespread assumption within classical lexical semantics (Cruse 1986, Levin & Pinker 1991, Geeraerts 2010, *inter alia*) is that the lexical meaning is conceptual, which suggests that, for each word, its lexically encoded (context-independent) meaning is associated with either a concept or a set of concepts organized in specific ways and relationships. An alternative view, within the philosophical realism tradition, is that words denote things in the world (Frege 1892, Tarski 1944, Davidson 1967). These two views may also be conceived as complementary: words can be said to correspond to mental representations that represent entities in the world (Fodor 1983). The idea of a conceptualist approach, as pointed out by Carston (2012: 607-8) is that: “We use sentences to express/communicate thoughts (truth-conditional contents) and we use words to express/communicate concepts, which are constituents of thoughts (hence contribute to truth-conditional contents)”. But, as pointed out by this author, it is now quite widely accepted that the meaning (or semantic content) that a word is used to express or communicate on an occasion of utterance is often distinct from the meaning it has as an expression type in a language system (that is, its standing or encoded meaning). This view is claimed to be shared by ‘contextualist’ philosophers of

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language, by some linguists, and by pragmaticists working within a cognitive framework such as Relevance Theory.

Furthermore, as discussed by Carston (2012), in spite of the fact that “word type meanings might be concepts, hence contentful entities that can be constituents of thought. (...) there is an equally widely held view that word meanings are ‘underspecified’; that is, that they cannot contribute directly, without modification or transformation of some sort, to the thoughts/propositions that utterances in which they occur are used to express” (p. 608).

In this chapter we follow the latter approach, and we take seriously the idea that words, not only closed-class words (indexicals, determiners, quantifiers, prepositions and other function words, such as connectives), but also open-class words (nouns, verbs, adjectives and adverbs), may not encode concepts or map directly to contentful entities, but rather come with meaning-relevant components that are underspecified with respect to content. It is our aim to investigate how this underspecification can be understood, how it can be formalized, and how it can interact with other meaning components at the time of composing the meaning of sentences. It should be pointed out that this view not only makes specific predictions with regard to the meaning of words, but it also has specific consequences in the way we understand how the various types of meanings of words combine and compose to build the meaning of sentences.

In this respect, we will have to evaluate to what extent the Principle of Compositionality (PoC; Frege 1892, Werning et al. 2012, Pelletier forthcoming) might be accommodated when the meaning of words is highly underspecified. The PoC, which has been largely assumed by philosophers and semanticists to be a universal mode of composition of the meaning of a complex expression (e.g., a sentence), postulates that this meaning is determined by or is a function of the meaning of its constituent words and the rules used to combine them. This principle has been presented in the literature under different forms, depending on the degree of determinism that is postulated from the lexical meaning of words and the syntactic structure in which these words appear. More precisely, strong conceptual compositionality has been postulated in those circumstances where a full conceptual meaning for words directly combines with either the conceptual or functional meaning of other words, to build the conceptual representation of a sentence (Jackendoff 1972). Strong compositionality of meaning has also been postulated in those circumstances where a syntactic algebra is interpreted through a meaning-assignment m , a function from $E(\text{xpression})$ to $M(\text{odel})$, and the set of available meanings for the expressions of E , in order to build a logical representation for an expression E . This is the view developed in formal semantics (Montague 1974). Within the Principles and Parameters model of Generative Grammar a syntactic level of representation has been postulated, namely the Logical Form (LF), which corresponds to the meaning of a sentence at the syntax-semantics interface, as determined by lexical items occurring in specific positions within a syntactic structure (May 1985, Higginbotham 1985, Hornstein 1995). The PoC has been supplemented in the theory of language by various operations and processes that aim at accounting for the meaning of expressions that do not directly compose following the schema of function application.

In this chapter we will explore the relevance of the PoC under the view that postulates that the meaning of words is not genuinely conceptual and that, on the contrary, it is (syntactically or semantically) underspecified. If we assume that lexical items (i.e., roots), no matter whether they correspond to open-class or closed-class words, contribute indirectly to the meaning of sentences by providing syntactic or

semantic features whose contents will be valued in context by some process of enrichment that can either take place at the syntax-semantics interface (by means of a grammatically-driven operation) or beyond grammar (by some process of pragmatic adjustment), we will have to seriously investigate what sort of features are involved in an underspecified characterization, and decide whether they are purely semantic, syntactic or both.²

Our working hypothesis is that an underspecified meaning can be attributed both to an inherent semantic feature and to a syntactic feature with which a lexical root merges during a derivation. This suggests that an underspecified meaning, either caused by an underspecified semantic feature or by an underspecified syntactic feature is fully interpreted only when this feature is checked by an abstract operator, usually in a local domain. As we will discuss, a way to solve the compositionality of meaning at the syntax-semantics interface is to rely on the grammatical operation of Agree and the Principle of C-command (see below). The idea we will explore is that lexical items with underspecified meanings have underspecified features that must be submitted to highly constrained grammatical operations that guarantee their syntactic well-formedness and interpretation, before these items can be claimed to contribute to a more complex meaning (that is, to the meaning of sentences) and, therefore, satisfy the PoC.³

In the rest of this introduction (Section 1.1) we will sketch the relevance of this approach at the time of building the contribution of various types of pronouns and complementizers to the meaning of complex sentences. In Section 2 we will focus on the central topic of this chapter: the compositionality of the meaning of n(egative)-words (Laka 1990) to the meaning of sentences. In order to achieve this goal, we will focus on their features, their distribution and the formal requirements for building either a negative reading, distributed over multiple negative items (both in Strict and Non-Strict Negative Concord –NC– Romance languages), or a non-negative reading (in interrogative, comparative, conditionals and expletive contexts).⁴ In this section we will limit the data discussed to Catalan, French, Romanian and Spanish.⁵

1.1. Pronouns and complementizers

² To our knowledge the antecedents of a theory of underspecification in linguistics have their origin in studies in phonology (Jakobson 1984a, b), but have been extended to synchronic studies of morphology and syntax (Lumsden 1992, Farkas 1990, Rooryck 1994), as well as to diachronic studies on lexical change (Martins 1998).

³ Beyond grammar, the enrichment of underdetermined meanings up to the comprehension of a thought is not regulated by the PoC, which applies within the grammatical domain, but is pragmatically regulated by non-linguistic principles, such as the Principle of Relevance (Sperber & Wilson 1986/1995), which attempts to account for the interaction between linguistically encoded meaning and non-linguistically accessible information.

⁴ It should be remarked that in Romance a Double Negation (DN) reading (Horn 1989), by which a positive interpretation can be inferred from the co-occurrence of two or more negative expressions, is compositionally driven only under very restricted syntactic contexts: when two negative markers are distributed in a main and a subordinate clause and when a negative marker co-occurs with a negative prefix (see Bosque 1980, Sánchez 1999 for Spanish; and Solà 1973, Espinal 2002 for Catalan). In addition, recent studies show the possibility of DN interpretation at the syntax-prosody and prosody-gesture interfaces (see Espinal & Prieto 2011, Prieto et al. 2013, and Espinal et al. to appear for studies on Catalan and Spanish), and at simple transitive sentences (see Déprez et al. 2013 for French, and Déprez et al. forthcoming for Catalan).

⁵ Italian and European Portuguese are expected to work like Spanish (Zanuttini 1997, Matos 1999). Brazilian Portuguese is expected to work like Catalan (Teixeira de Sousa 2012). See also De Swart (2010).

A classical example to illustrate the notion of underspecified meaning might be to consider the characterization of pronouns in relation to their distribution within a clausal structure.

Traditional grammars already put forward the distinction between reflexive and third person clitics that any native speaker of a language is supposed to be well aware of. For example, if we consider the following data, from Spanish, a native speaker of this language realizes that, in spite of the fact that the occurrence of reflexive pronouns and the occurrence of third person clitics within sentences do show a strong parallel, the meaning conveyed by the two types of pronouns is different, and also that this depends on the distribution and licensing conditions of formal features that characterize these linguistic objects.

- (1) a. *Los Hermanos Musulmanes_i se_i reprimen (a si mismos_i).* (Spanish)
 the brothers muslim REFL. refrain to themselves
 ‘The Muslim Brothers control themselves.’
- b. *Los Hermanos Musulmanes_i los_j reprimen.*
 the brothers muslim them repress
 ‘The Muslim Brothers repress them.’
- c. *Los Hermanos Musulmanes_i afirman que los jefes del ejército_j*
 the brothers muslim claim that the leaders of.the army

se_j reprimen (a si mismos_j).
 REFL. refrain to themselves
 ‘The Muslim Brothers claim that the army leaders control themselves.’
- d. *Los Hermanos Musulmanes_i afirman que los jefes del ejército_j*
 the brothers muslim claim that the leaders of.the army

los_i reprimen.
 them repress
 ‘The Muslim Brothers claim that the army leaders repress them.’

Identity of indices represents identity of reference, while disjoint indices represent disjoint reference. Thus, whereas in (1a) the reflexive is interpreted as sharing reference with the only possible nominal antecedent that occurs in subject position in the sentence, in (1c) the reflexive is interpreted as constraining identity of reference with the structurally most immediate nominal antecedent, that is, the subject of the subordinate clause. Similarly, whereas in (1b) the third person plural accusative clitic is interpreted as having disjoint reference with the only possible nominal antecedent that occurs in subject position in the sentence, in (1d) the same pronoun is interpreted as constraining disjoint reference with the structurally most immediate nominal antecedent that occurs in subject position of the subordinate clause (Chomsky 1981, Reinhart 1983). This structural constraint makes it possible for the clitic pronoun to be interpreted as co-referent to the subject of the main clause or to a third set of individuals

(neither *los Hermanos Musulmanes* ‘Muslim brothers’ nor *los jefes del ejército* ‘the leaders of the army’). The question is: what is the meaning of a clitic pronoun that makes this possible?

In accordance with a minimalist approach to semantics the most reasonable answer is that its meaning is grammatically underspecified, which makes it susceptible to some process of enrichment, either grammatically (when it is possible to postulate a formal checking relationship between the antecedent and the pronoun) or pragmatically (when this is not possible, but the pronoun still constrains the proposition expressed by providing instructions on the procedures required to find an appropriate referent for the pronoun; Wilson & Sperber 1993, Espinal 1996). Consider the lexical representations in (2).⁶

- (2) a. *se*: [REFLEX, uREF]
 b. *los*: [-IP, -IIP, +PL, -FEM, uREF]

In these schemas [uREF] stands for an uninterpretable reference formal feature, which means that the linguistic object that has this feature has no reference by itself; [uREF] must be checked by an [iREF] formal feature, which most characteristically characterizes proper names and definite descriptions of the sort exemplified in (1). For reflexive pronouns the formal condition is that the pronoun is dependent on an antecedent [iREF] that is a constituent of the same clause and, furthermore, the antecedent must c-command the anaphor. For third person clitic pronouns the requirement is that the pronoun does not have its antecedent in the same clause; in other words, [uREF] is not dependent on an antecedent that occurs in the same structural domain, but in a higher structure; again the antecedent must c-command the pronoun. Within the Principles and Parameters model of Generative Grammar (Chomsky 1981 and subsequent work) these constraints on anaphor and pronoun binding are subsumed within the well-known Binding principles, the general idea being that a linguistic theory of pronoun interpretation needs to bring together the ability to distribute and restrict the meaning of these linguistic objects within clausal domains.

A second phenomenon suitable to illustrate how a minimalist semantics approach can explain the contribution of word meaning to the meaning of sentences has to do with modality and syntax, and more specifically with the distribution and meaning of various moods and complementizers. Let us consider the data in (3).

- (3) a. *Desitjo que sigui* puntual. (Catalan)
 hope.1SG that be.3SG.SUBJ puntual
 ‘I hope s/he is punctual.’
 b. *Em pregunto si serà* puntual.
 me ask.1SG if be.3SG.FUT puntual
 ‘I wonder whether s/he will be punctual.’

⁶ [REFLEX] stands for Reflexive, [uREF] for uninterpretable reference, [-IP, -IIP] for third person, [+PL] for plural, and [-FEM] for masculine.

These examples illustrate a dependency relationship between the type of modal domain of the subordinate clause (subjunctive (SUBJ) vs. indicative (IND)), the type of complementizer introducing the subordinate clause (*que* ‘that’ vs. *si* ‘whether’), and the type of verb of the main clause of which the subordinate clause is an argument (modal vs. interrogative).⁷ These examples show that, the modal flavor of a specific mood in the subordinate clause is indirectly dependent on the modal anchor provided by the complementizer that heads the object argument of the verb of the main clause (Rigau 1984, Quer 1998). This observation supports the line of research developed by Kratzer (1981, 2012, 2013) and Hacquard (2006), according to which a hypothesis is developed on the projection, restriction and syntactic representation of modal domains: “different types of modals select different types of anchors” and “modal anchors should be provided by the arguments of their modals” (Kratzer 2013: 191). The dependency we are postulating for (3) can also be formalized in terms of underspecified features, as illustrated in (4).

- (4) a. $V_{modal} [iMOOD] [que [uMOOD] \dots V_{[uMOOD]}\dots]$
 b. $V_{interr} [iMOOD] [si [uMOOD] \dots V_{[uMOOD]}\dots]$

What these rough representations make explicit is that the mood of the subordinate clause is underspecified and is dependent on the type of complementizer head, which, in turn, is dependent on the mood specification triggered by the verb of the main clause. This suggests that the underspecified mood feature of the verb in the subordinate clause will be checked and interpreted under a grammatical relation of Agree, similar to the one required for the checking of uninterpretable formal features in the case of pronouns.

To sum up, in these two examples we have briefly sketched that the meaning of pronouns, as well as the meaning of complementizers and moods, is neither conceptual nor straightforwardly referential, but is underspecified for some features. The way we understand this underspecification is that some features formally characterizing lexical items have a value that is context-dependent. In the two cases here considered these features are checked locally and they get an interpretable content via a formal relationship of Agree defined on the basis of the Principle of C-command.

In the next section we will present with more detail how this sort of analysis allow us to account for the meaning of n-words and its contribution to the meaning of negative sentences in various NC languages. We will focus on how an account of the meaning of words in non-conceptual terms can be extended to explain the meaning contribution of n-words and even the contribution of negative markers. The challenge of the characterization we aim to achieve is how to account for the distribution and meaning of n-words, and the compositionality of their meaning in both negative (concord) contexts as well as in non-negative ones. The ingredients of this minimalist semantics approach are the following: (i) lexical items (or roots) are defined by underspecified semantic or syntactic features, (ii) some syntactic operations guarantee the formal interpretability and instantiation of formal features at morphophonology, and (iii) some semantic operations guarantee the compositionality of meaning at LF.

⁷ Furthermore, these examples show a temporal correlation between the Tense and Aspect of the subordinate clause and the ones specified in the main clause. We leave this topic aside.

2. The distribution and meaning of n-words

2.1. Definition and distribution of n-words

N-words are indefinite expressions that may encode a negative meaning, as shown in (5a, b) for Spanish. Notice that the preverbal n-word in (5a) or the isolated n-word used as answer in (5b) contribute a negative meaning either to the sentence (in (5a)), or to the fragment answer (in (5b)).

- (5) a. *Nadie* ha llamado. (Spanish)
 nobody has called
 ‘Nobody called.’
- b. Q: ¿Quién ha llamado?
 who has called
 ‘Who called?’
 A: *Nadie*.
 nobody
 ‘Nobody.’

N-words also seem to behave as polarity items (PIs), as shown in (6a-c) for Catalan.⁸

- (6) a. *(*No*) he vist *res*. (Catalan)
 not have.1SG seen anything
 ‘I haven’t seen anything.’
- b. Has vist *res*?
 have.2SG seen anything
 ‘Have you seen anything?’
- c. Si veus *res*, avisa’m.
 if see.2SG anything warn.me
 ‘If you see anything, let me know.’

In this set of sentences the n-word needs to be licensed by a suitable operator (a sentential negation in (6a), an interrogative operator in (6b) and a conditional operator in (6c)).

The term *n-word*, originally coined by Laka (1990), captures the fact that in Romance languages such as Spanish, Italian and Portuguese, most –but not all– lexical items that participate in NC structures are spelled with an initial *n-* (e.g. Spanish *nadie*

⁸ In Catalan n-words can also be used as fragment answers to questions, as illustrated in (5b) for Spanish. That is, *res* lit. thing is also legitimate as a fragment answer with a negative meaning ‘nothing’. It should be noted that in Spanish n-words are less likely to be found in polarity contexts (Bosque 1980, Sánchez 1999).

‘nobody/anybody’, *nada* ‘nothing/anything’; Italian *nessuno* ‘nobody/anybody’, *niente* ‘nothing/anything’; Portuguese *ninguém* ‘nobody/anybody’, *nada* ‘nothing/anything’).⁹

A formal definition of n-word is given in (7). The property in (7a) is illustrated by (6a), whereas the property in (7b) is exemplified in (5b).

- (7) An expression α is an *n-word* iff: (Giannakidou 2006: 328)
- a. α can be used in structures containing sentential negation or another α -expression yielding a reading equivalent to one logical negation;
 - b. α can provide a negative fragment answer.

NC is commonly defined as the possibility that n-words have to combine with several manifestations of negation, although negation is logically computed just once. That is, multiple occurrences of negative constituents express a single negation (Labov 1972; Muller 1991; Van der Wouden 1994; Acquaviva 1996, 1997; Déprez 1997; Giannakidou 2000; de Swart & Sag 2002; Corblin & Tovenca 2003; Floricic 2005; Corblin et al. 2004; Tubau 2008; among others).

In NC languages, n-words –some of which are not spelled with an initial *n-* (e.g. French *personne* ‘nobody/anybody’, *rien* ‘nothing/anything’; Catalan *res* ‘nothing/anything’, *cap* ‘no/any’)— need to be licensed, in negative contexts, by the sentential negative marker (SNM) (8a), or by another n-word in pre-verbal position (8b). Without an appropriate licenser the sequence is ungrammatical (8c).

- (8) a. *No* ha visto *nada*. (Spanish)
not has seen anything
‘S/he didn’t see anything.’
- b. *Nadie* ha visto *nada*.
nobody has seen anything
‘Nobody saw anything.’
- c. **Ha* visto *nada*.
has seen anything

While all NC languages require post-verbal n-words to be licensed by a negative expression in negative contexts –either a SNM or a pre-verbal n-word– a crucial difference exists among them when pre-verbal n-words are taken into account: while languages like Spanish and Italian are defined as having a system of Non-Strict NC, languages like Romanian or Modern Greek have a system of Strict NC (Giannakidou 1998, 2000). In Non-Strict NC languages, the SNM is not compatible with n-words occurring pre-verbally if a single negation meaning is to be expressed. Conversely, in Strict NC languages, pre-verbal n-words and the SNM always co-occur. This is shown in (9) for Spanish (a typical Non-Strict NC language) and in (10) for Romanian (a typical Strict NC language).

- (9) *Nadie* (**no*) ha visto *nada*. (Spanish, Non-Strict NC)

⁹ The initial *n-* is not an indication of negative morphology, though. Etymologically, the Spanish n-words *nadie* and *nada* can be traced back to a Latin adjective: (*homines*) *natī* ‘(men) born’ and (*res*) *nata* ‘(thing) born’.

nobody not has seen anything
 ‘Nobody saw anything.’

- (10) *Nimeni* *(*nu*) *sună*. (Romanian, Strict NC)
 nobody not calls
 ‘Nobody calls.’

Catalan is somewhat special with regards to the Strict vs. Non-Strict NC distinction, as for some speakers (including the authors of this chapter), the SNM can optionally co-occur with pre-verbal n-words with no difference in meaning. This is why the SNM *no* is in parentheses in (11).

- (11) *Ningú* (*no*) *ha vist res*. (Catalan)
 nobody not has seen anything
 ‘Nobody saw anything.’

In the case of Standard French, n-words always co-occur with the negative scope marker *ne*, thus reproducing the pattern already illustrated in (10) for Romanian. This is shown in (12).

- (12)a. *Personne n’a mangé*. (French)
 nobody not.has eaten
 ‘Nobody ate.’
- b. *Jean n’a rien vu*.
 Jean not.has anything seen
 ‘Jean didn’t see anything.’

However, if the SNM that combines with French n-words is *pas*, Double Negation (DN) arises, as shown in (13).

- (13)a. *Personne n’a pas mangé*. (French)
 nobody not.has not eaten
 ‘Nobody didn’t eat.’ (= Everybody ate)
- b. *Jean n’a pas rien vu*.
 Jean not.has not anything seen
 ‘Jean didn’t see nothing.’ (= Jean saw something)

In short, what the examples above show is that n-words may obtain a final negative reading, as in (5a, b), participate in NC structures, as in (6a), (8)-(12), or convey a non-negative meaning, as in (6b, c). In NC structures, the SNM is sometimes overt with pre-verbal n-words (in Strict NC languages, as in (10)), but sometimes it is not (in Non-Strict NC languages, as in (9)). Other times it is truly optional (as in (11)). In view of this variation it is our aim to disentangle (i) what exactly the contribution of n-words to the meaning of sentences is, (ii) what the feature specification of n-words is, and (iii) how the licensing of the features we will postulate in Section 2.2 will guarantee

the interpretation of n-words both in NC (Strict and Non-Strict) contexts and in non-negative ones.

In the next section, we argue that n-words can be formally characterized with reference to two main kinds of features: the semantic feature [+σ] (Chierchia 2006) and the syntactic feature [uNeg] (Zeijlstra 2004).¹⁰ This characterization will be required in order to discuss in Section 3 how the meaning of n-words contributes to the meaning of sentences. Special emphasis will also be put in explaining how the semantic composition of negation crucially diverges in Strict and Non-Strict NC languages, and is dependent on the characterization of negative markers.

2.2. Underspecified meaning of PIs and n-words

We claimed in relation to (6) that when n-words are postverbal they behave like PIs, which can be licensed both in negative and non-negative contexts. PIs, as defined by Giannakidou (2000), are linguistic expressions that bear a semantic requirement with respect to the kinds of contexts where they can appear. As stated in the definition in (14), PIs need to occur in contexts with a non-veridical operator, defined as in (15).

(14) A linguistic expression α is a *polarity item* iff: (Giannakidou 2000: 464)

- (i) the distribution of α is limited by sensitivity to some semantic property β of the context of appearance; and
- (ii) β is (non)veridicality.

(15) *(Non)veridicality* (Zwarts 1995: 287)

Let O be a monadic sentential operator. O is said to be *veridical* just in case $Op \Rightarrow p$ is logically valid. If O is not veridical, then O is *non-veridical*. A non-veridical operator O is called *a[nti]veridical* iff $Op \Rightarrow \sim p$ is logically valid.¹¹

If we consider again the data in (6), it should be noted that n-words in Romance may qualify as PIs, as they can be licensed by negation (an anti-veridical operator, a subset of the non-veridical class of operators), as well as interrogative and conditional operators (both non-veridical).¹²

According to Chierchia (2006), the semantics of PIs makes them felicitous only in downward-entailing contexts (Ladusaw 1980), because they are scalar items that

¹⁰ It was already argued in Tubau and Espinal (2012) that Catalan n-words, namely *res* ‘anything’, being a PI, carry the semantic feature [+σ]. However, Labelle and Espinal (2014) is, to our knowledge, the first study that postulates a combination of the semantic feature [+σ] and the morphosyntactic feature [uNeg] to account for the diachronic changes that affected French negative expressions: the semantic feature is postulated to be responsible for the interpretation of an expression as a PI, and a morphosyntactic feature is postulated to be responsible for the n-word behaviour of an expression. See this study for the proposal that, independently of having or not an initial *n-*, words may change from less negative to more negative or conversely, a process that is explained in terms of feature changes that affected lexical items one by one. See also Déprez’s (2011) microparametric approach.

¹¹ We follow Giannakidou (1998) in substituting Zwarts’s (1995) *averidicality* for *antiveridicality*, since the meaning intended is ‘opposite to veridicality’ not ‘without veridicality properties’.

¹² Not all Romance languages, however, show the same sort of distribution. Thus, n-words in Romanian can only occur in negative contexts. They cannot be used in other polar contexts such as conditional and interrogative sentences, for which other lexical items are required. We thank E. Ciutescu (p.c.) for this observation. See below, the summary chart.

activate alternatives within smaller domains. That is, PIs must be interpreted within the largest relevant pragmatic context while also activating alternatives within smaller domains. Take, for instance, the PI *any* in a sentence like (16).

(16) The students *didn't* read *any* books.

In (16), the relevant pragmatic context is not restricted to the books included in a course bibliography, for example, but it extends to a much larger set of books, namely all kinds one can think of. With respect to the activation of alternatives, the indefinite item *any*, in *any books*, implicates that the students did not read math books, poetry books, history books, and so on.

Following the work by Kadmon & Landman (1993), Krifka (1994), and Lahiri (1998), Chierchia (2006: 559) postulates a [+σ] feature to account for the fact that PIs induce the process of domain widening described above. Given that, as shown in (6a-c), Romance n-words behave like PIs, they can be assumed to be scalar terms that bear the semantic feature [+σ]. Thus, similar to *any* in (16), the n-word *res* ‘anything’ in a sentence like (6a), activates alternatives (e.g. a specific individual object, something, many things, etc.) and introduces the implicature that *res* ‘anything’ is the pragmatically strongest alternative in this context (i.e., if I haven’t seen anything, then I haven’t seen something, I haven’t seen many things, etc.). The [+σ] feature, associated with the scalar item, is uninterpretable and has to be checked in the syntactic representation of meaning by an interpretable abstract σ operator that can attach to negation as well as to other kinds of non-veridical operators, the idea being that the feature [+σ] linguistically encodes the need for an enriched interpretation (Chierchia 2006: 553-4). If the abstract σ operator attaches to a negative operator the PI bearing a [+σ] feature will be interpreted as a Negative PI, while if the abstract σ operator attaches to a non-negative operator the PI bearing a [+σ] feature will be interpreted as a Positive PI.

Notice that a [+σ] feature is also found in PIs that are not n-words (i.e., that cannot be used as negative fragment answers), such as Catalan *gaire* ‘much’/ ‘many’, French *qui/quoi que ce soit* (lit. who/what it may be) ‘anybody’/ ‘anything’, Romanian *cine știe ce* (lit. who knows what) ‘much’, and the Spanish post-nominal indefinite (e.g., *persona alguna* lit. person some ‘anybody’), as shown in (17)-(20).

(17)a. *(No) he menjat *gaire*. (Catalan)
not have.1SG eaten anything
‘I haven’t eaten much.’

b. Has menjat *gaire*s pomes?
have.2SG eaten many apples
‘Have you eaten many apples?’

(18)a. Daniel *(n’)a *(pas) rencontré *qui que ce soit*. (French)
Daniel NEG.has not meet anybody
‘Daniel did not meet anybody.’

b. Si *quoi que ce soit* vous dérange faites-les nous savoir.
if anything you bothers let.them us know
‘If anything bothers you, let us know’ (adapted from Tovená et al. 2004: 398)

- (19)a. *(*Nu*) am mâncat *cine știe ce* la prânz. (Romanian)
 not have.1SG eaten who knows what at lunch
 ‘I haven’t eaten much at lunch.’
- b. Dacă spui *cine știe ce*, le vei cauza probleme.
 if say.2SG who knows what them FUT make trouble
 ‘If you say anything, you will get them into trouble.’ (Elena Ciutescu, p.c.)
- (20)a. *(*No*) he visto *persona alguna*. (Spanish)
 not have.1SG seen person some
 ‘I haven’t seen anybody.’
- b. ¿Quién ha dicho *cosa alguna*?
 who has said thing some
 ‘Who said anything?’

These data show that PIs are dependent on a negative, interrogative or conditional operator, and we can formally represent this dependency by saying that PIs are encoded by a [+σ] semantic feature that must be c-commanded by an abstract σ operator adjoined to another operator, usually in the C(omplementizer) domain.

For the set of examples given in (17) to (20) we postulate that the non-negative reading is compositionally driven after an operation of checking between a PI semantically characterized with an inherent [+σ] feature that encodes enrichment under local conditions that can be formally represented as in (21). In (21) Op_{σ} c-commands the linguistic item specified [+σ], where Op is negative ((17a), (18a), (19a), (20a)), interrogative ((17b), (20b)), conditional ((18b), (19b)), and the operator freezes the enrichment constraints encoded by [+σ].

(21) $Op_{\sigma} \dots PI_{[+\sigma]}$

In this chapter we put forward the hypothesis that the [+σ] feature is also inherent in the French negative marker *ne* (cf. the data in (12) above), which has been claimed to be a scope marker when it occurs as part of a negative dependency (Godard 2004).¹³ We entertain the idea that French *ne* indicates that the SNM *pas* has sentential scope, which means that *ne* itself is not a SNM but a mere marker of the limits of the scope of sentential negation. Since the real SNM *pas* behaves as the negative operator in Standard French a sentence such as (22a) is assigned the structure in (22b), which represents the freezing operation of a [+σ] feature mentioned above. In the eventual situation that *pas* were not explicit, as in (12), a covert abstract negative operator would do the work. This is represented in (22c). Both (22b,c) contain the ingredients to compose a negative proposition expressing single negation.

- (22)a. Jean *ne* mange *pas*. (French)
 Jean NEG eats not
 ‘Jean doesn’t eat.’

¹³ *Ne* is argued to be a PI in Zeijlstra (2009).

b. [TP Jean_i [NegP pas_σ [Neg^o ne_[+σ]] [vP t_i mange]]]

c. [TP Jean_i [NegP Op^{¬σ} [Neg^o ne_[+σ]] [vP t_i mange]]]

We also postulate that Romanian, being a Strict NC language, requires also that *nu* is not a SNM, but a mere marker of the limits of the scope of sentential negation. In accordance with this hypothesis, our analysis for a sentence such as (23a) points at the presence of a covert abstract negative operator that takes sentential scope, to which the σ operator that binds the PI *nu* is adjoined. The relevant structure for this sentence is given in (23b).

(23)a. Elena *nu* sună. (Romanian)
 Elena NEG calls
 ‘Elena doesn’t call.’

b. [TP Elena_i [NegP Op^{¬σ} [Neg^o nu_[+σ]] [vP t_i sună]]]

So far it has been shown that PIs and negative heads like French *ne* and Romanian *nu* can be polar and, thus, bear a [+ σ] feature. We would now like to extend this hypothesis to so called expletive negation markers. In particular, we would like to postulate the [+ σ] feature as part of the semantic make-up of expletive *no* ‘not’ in those languages that allow the presence of an overt negative marker that does not modify the truth value of the proposition in which it appears.¹⁴ In cases of expletive negation, as shown in (24) and (25) for Catalan and Spanish, respectively, *no* does not logically negate the proposition, but induces to consider domains of states of affairs broader than what one would otherwise have considered within the scope of negation (Espinal 2007). Expletive negation is associated with linguistic expressions that constrain the non-veridicality of the context: non-affirmative verbs (*doubt*), adversative predicates (*be surprised*), negative prepositions (*without*), temporal propositions (*before*), comparatives and superlatives, etc. (Horn 2013). The consideration of a broader domain leads to a stronger and more informative proposition.

(24) Gasta *més* ell en tres mesos que (*no (pas)*) tu en tot l’any. (Catalan)
 spends more he in three months than not you in all the year
 ‘He spends more money than you in a year.’

(Espinal 1991: 42)

(25) *Preferiría* salir con vosotros que (*no*) estarme (Spanish)
 prefer+COND.1SG go-out with you than not be.me

en casa todo el fin de semana.
 at home whole the weekend

‘I would rather go out with you than stay at home the whole weekend.’

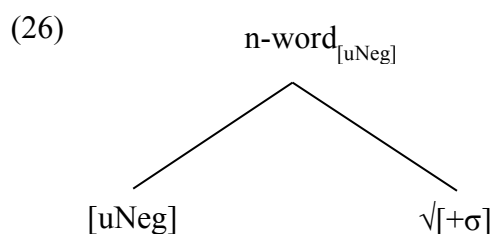
¹⁴ For references on the topic of expletive negation, see Jespersen (1917), Vendryes (1950), Bosque (1980), Martin (1984), Muller (1991), Espinal (1991, 1992, 2007), Horn (2010), among others. Expletive, pleonastic or paratactic negation is more residual in some languages than in others. This is the case of Spanish in comparison to Catalan.

(Espinal 1997: 76)

In these examples the expletive *no* behaves like a PI dependent on the comparative degree adverb *més* ‘more, better’ or the comparative verb *preferir* ‘to prefer’, to which an abstract σ operator is adjoined.

In contrast to PIs in general, n-words behave most characteristically as Negative PIs (i.e., licensed in the context of a c-commanding negative operator, and therefore dependent on an antiveridical operator; (6a), (8a)). But, they are also allowed as isolated fragment answers and in preverbal position. Thus, the Romance n-words that we introduced in Section 2.1 do not seem to behave as PIs in all contexts. Furthermore, “the fact that n-words may occur as fragment answers with a negative reading makes them look like genuine negative quantifiers, but this is not compatible with the fact that they do not yield a double negation reading when they are used in combination with the sentential negation marker or another n-word” (Labelle & Espinal 2014: 199).

N-words have a double-sided behaviour: they are PIs in some occasions, but are interpreted negatively in isolation and in pre-verbal position. In the model outlined here, this means that these lexical items (roots) bear an inherent semantic feature ($[+\sigma]$) that needs to be licensed at the level of abstract meaning representation (e.g., LF), which makes them PIs. However, during the course of the derivation these lexical items can also acquire a negative syntactic feature that will need to be checked in the syntax for a NC reading to be legitimated. The negative feature that n-words have been claimed to be associated to in negative contexts is defined as uninterpretable (henceforth $[uNeg]$) (Zeijlstra 2004, Biberauer & Zeijlstra 2012), as n-words are assumed to be semantically non-negative, but syntactically active to participate in NC structures. We assume that n-words start as roots defined $[+\sigma]$, and that in the course of the derivation these roots can merge with a $[uNeg]$ feature to build a complex item, as in (26).¹⁵



Being uninterpretable, a $[uNeg]$ formal feature needs to be checked by an interpretable matching feature, $[iNeg]$. The relation between these two is one of Agree, namely Reverse / Inverse Agree, as defined in (27), the main characteristic of which is that “the goal may have an uninterpretable feature checked against a higher probe” (Zeijlstra 2012: 491).¹⁶

¹⁵ We thus assume that the $[uNeg]$ feature is not inherent to lexical items, but part of syntax. Since pure PIs cannot stand on their own as negative fragment answers, we assume that the merge operation represented in (26) is not a possibility with these kinds of elements (e.g., Catalan *gaire*, Spanish *persona alguna*).

¹⁶ The classical definition of Agree (Chomsky 2000, 2001) is the one in (i). Notice that the crucial difference is the c-command relation between α and β (i.e., the Probe and the Goal).

(i) Agree (Zeijlstra 2012: 493, after Chomsky (2000, 2001))
 α can agree with β iff:

	Polarity items	N-words	Negative marker	Can [uNeg] associate with a negative marker with [+σ]?
Spanish	[+σ] (e.g., <i>persona alguna</i>)	[+σ], [uNeg] (e.g., <i>nadie, nada</i>)	Two homophones: 1. <i>no</i> _[iNeg] (used in single negation and Non-Strict NC structures) 2. <i>no</i> _[+σ] (expletive negation). Residual	No.
Catalan	[+σ] (e.g., <i>gaire</i>)	[+σ], [uNeg] (e.g., <i>ningú, res</i>)	Two homophones: 1. <i>no</i> _[iNeg] (used in single negation and Non-Strict NC) 2. <i>no</i> _[+σ] (used in expletive negation)	Yes. It results in <i>no</i> _{[+σ], [uNeg]} (used in what look like Strict NC structures)
French	[+σ] (e.g., <i>qui/quoi que ce soit</i>)	[uNeg] (e.g., <i>personne, rien</i>)	1. <i>pas</i> . Semantic negation, Op ⁻ (used in single negation) 2. <i>ne</i> _[+σ] (used in expletive negation)	Yes. It results in <i>ne</i> _{[+σ], [uNeg]} (used in single negation and what look like Strict NC)
Romanian	[+σ] (e.g., <i>cine știe ce</i>)	[uNeg] (e.g., <i>nimeni, nimic</i>)	<i>nu</i> _[+σ] (used in expletive negation)	Yes. It results in <i>nu</i> _{[+σ], [uNeg]} (used in single negation and Strict NC)

To summarize, we suggest that [iNeg] and [+σ] are formal features that are inherent to some lexical items. While [iNeg] is syntactic and defines a word as

inherently negative, $[+\sigma]$ is a semantic feature associated to PIs and expletive negation. Unlike what has been proposed in the literature, we take the feature $[uNeg]$ to be a formal feature to which a root can merge in syntax to build an n-word. $[uNeg]$ can be merged to lexical items carrying a $[+\sigma]$ feature, resulting in the requirement that an Agree syntactic relationship is compulsory. In the case of n-words, the $[+\sigma]$ feature accounts for the possibility that they occur in non-negative contexts, while the combination of $[+\sigma]$ and $[uNeg]$ accounts for their ability to participate in NC structures. $[+\sigma]$ requires an operator σ that freezes the PI, and $[uNeg]$ requires a checking $[iNeg]$ feature that guarantees a NC interpretation. In both cases the relation is one of c-command.

3. Conclusions

We started this chapter asking what the meaning of words is and how they contribute to the meaning of sentences, and we introduced the hypothesis that the contribution of words to the meaning of sentences is not conceptual, but underspecified. An underspecified meaning can be attributed both to an inherent semantic feature and to a syntactic feature with which a lexical item might merge during a derivation. The Principle of Compositionality is guaranteed by checking both semantic features and uninterpretable syntactic features. This is how underspecification can be understood and formalized within a minimal approach to the compositionality of meaning.

PIs are defined as being $[+\sigma]$. A prediction that is borne out from this characterization is that PIs are predicted not to occur in pre-verbal position in declarative clauses, because there is no operator to which the σ operator can attach.

We have considered two classes of n-words. Those that can only appear in negative contexts (e.g., the situation in French and Romanian), which are claimed to merge with a $[uNeg]$ feature in the derivation, and those that may appear in non-negative as well as in negative contexts (e.g., the situation in Catalan and Spanish), which are items inherently defined with a $[+\sigma]$ feature that can merge with a $[uNeg]$ feature in the course of the derivation. We predict that languages that have n-words of the former group have an independent set of lexical items that encode a $[+\sigma]$ feature. This prediction is also borne out when we consider French and Romanian.

With regard to negative markers the situation we have described is the following. In the case of French *pas* is a SNM that encodes logical negation (i.e., $Op\bar{}$); this characterization predicts that this item cannot participate in NC structures because for this to be possible a syntactic correspondence between items characterized $[uNeg]$ and an item characterized $[iNeg]$ is required. By contrast, French *ne* is a scope marker defined $[+\sigma]$; this characterization predicts that it can be used in non-negative (e.g., expletive) contexts, and that in order to participate in NC structures a merge operation with a $[uNeg]$ feature is required. Romanian *nu* behaves as French *ne*.

Spanish has two homophonous lexical items: (i) *no*₁, defined $[iNeg]$, which is a SNM that is required to bind post-verbal n-words, as expected in a Non-Strict NC language; and (ii) *no*₂, defined $[+\sigma]$, which is residual in EN contexts.

Catalan also has two homophonous lexical items: (i) *no*₁, defined $[iNeg]$, which is a SNM that is required to bind post-verbal n-words, as expected in a Non-Strict NC language; and (ii) *no*₂, defined $[+\sigma]$. The difference between Spanish and Catalan is that in this language the possibility of EN is much more productive than in Spanish. Moreover, *no*₂ can merge with $[uNeg]$ in what look like Strict NC contexts, similar to

Romanian, although in Catalan but not in Romanian *no*₂ is optional. This different use of *no*₂ in the two languages predicts that those Catalan speakers with a scarce use of expletive negation are those that generally prefer Non-Strict NC structures, whereas those Catalan speakers with a broad use of expletive negation are those that generally prefer what look like Strict NC structures. We leave for future research to investigate more extensively whether it is the case that those languages that show a higher use of expletive negation readings correlate with those languages that allow negative readings in what like Strict NC contexts, and whether the fact that Catalan *no*₂ is optional, but Romanian *nu* is not, in spite of both being characterized as [+σ] is due to the coexistence of a SNM *no*₁ only in the former language.

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