



Universitat Autònoma de Barcelona

UNIVERSITAT AUTÒNOMA DE BARCELONA OPEN ACCESS INSTITUTIONAL POLICY

Approved by the Governing Council on 25th April 2012, agreement 46/2012

The need to promote the dissemination of teaching and research in the information society context and the possibilities of electronic publishing have led to an Open Access (OA) policy to information. This policy states a permanent free unrestricted access to scientific and academic content to increase accessibility, visibility and impact to the Institution's scientific research.

In this regard, to foster the dissemination of scientific output through open access and following the recommendations of the European University Association Council adopted in Barcelona on 26th March 2008 and the recommendations in the Berlin Declaration, adopted by this University on 14th March 2012¹, and in compliance with national and international current legislation², the Universitat Autònoma de Barcelona agrees the official launch of an Open Access Institutional Policy that pursues to achieve the following objectives:

I.- PDI (academic and research staff) of the Universitat Autònoma de Barcelona will deposit their **academic and scientific publications** (journal articles, doctoral theses, proceedings, communications, scientific and technical documents, books, etc.) in the UAB repository, *Dipòsit Digital de Documents de la UAB (DDD)*, <http://ddd.uab.cat>.

Any conditions stipulated by the publishers regarding archiving documents in open access repositories will be taken into account if appropriate. If these conditions do not allow free access to the whole publication, this publication will be included with restricted access. Only the abstract or the complete metadata description will be accessible, depending on what is possible in each case.

The aim is that documents are stored immediately after its publication and in any case no later than 6 months after being published.

II. As regards educational resources, the Universitat Autònoma de Barcelona encourages academic staff to deposit these documents in the repository.

Additionally, the University recommends to the academic community the publication in open access journals whenever possible.

III. Universitat Autònoma de Barcelona doctoral students have to deposit in the repository their doctoral theses, no later than six months after their approval, as stated in Real Decreto 99/2011, de 28 de enero, which regulates official teaching of doctoral studies. PhD Students and other University students also have to deposit their research projects, Masters theses

¹ See Annex

² Llei 14/2011, d'1 de juny de 2011, de la ciència, la tecnologia i la innovació (*Law on Science, Technology and Innovation*) in its article 37 deals explicitly with *Open Access dissemination*, stating the requirement of publishing, under open access, the results of all the research financed by the general State budgets.

and final degree projects in the repository.

IV.- The inclusion of a document in the repository involves the non exclusive cession of intellectual property exploitation rights to the University for archiving purposes. In any case, the moral rights in a work belong to its author, are inalienable and cannot be transferred.

The incentive measures considered appropriate to encourage the correct implementation of this policy will be adopted by the University once is formally approved.

V. With respect to the documents of the UAB academic community included in the open access repositories in which the University takes part, and according to the Consell Interuniversitari de Catalunya (*Inter-University Council of Catalonia*) Recommendations of 1st July 2010, the University commits to:

- Ensure respect for the author rights, the intellectual property and the confidentiality.
- Follow international rules and criteria for open access repositories.
- Preserve and maintain perpetual access to deposited documents.
- Work in coordination with the rest of the University system to collect all scientific and academic output from universities at Catalan, Spanish, European and international level.
- Increase the visibility and the interoperability of the documents by incorporating the repository in academic search engines, gateways, directories or harvesters.
- Exempt teaching and research staff from handing the documents deposited in the institutional repository when applying for financial aid calls and internal calls.
- Guarantee the relation between the University's research management software system and the repository to ease the introduction of the document references and the storing of those documents' full text.
- Safeguard the integrity of the stored data.
- Coordinate with the Agència per a la Qualitat del Sistema Universitari de Catalunya (*Catalan University Quality Assurance Agency*) so that it has access to the documents deposited in the repository (*DDD*), to exempt teaching and research staff from handing in the documents for grant calls , assessments and accreditations.

Annex

ACORD 46/2012 de la sessió del Consell de Govern de data 25 d'abril de 2012. Política institucional de la UAB sobre accés obert. (AGREEMENT 46/2012 of the session of the Governing Council on the 25th of April 2012. UAB institutional policy on open access).

As it was announced in the session of the Governing Council on the 14th of March of 2012, there are many reasons that make the Governing Council think convenient and necessary for the UAB to have its own institutional policy on open access.

After seeing the recommendations by the Council of the European University Association approved in Barcelona on the 26th of March 2008³, those of the Berlin Declaration⁴ and those of the Consell Interuniversitari de Catalunya of 1st of July 2010⁵ to promote the dissemination of scientific output aiming to favour accessibility, visibility and impact of scientific research and considering too article 37 in law 14/2011, 1st of June, of Science, Technology and Innovation that states that public agents of the Spanish science, technology and innovation system will boost the development of open access repositories to their research personnel publications and will establish systems that allow their connectivity, as well as article 14.5 of Real Decreto 99/2011, 28th January, that regulates official doctorate teachings, according to which universities have an obligation to archive approved doctoral theses in an electronic format and in an institutional repository.

Taking into account all these circumstances, the Vicerector of Research produced in the mentioned session of the Governing Council on the 14th of March of 2012 a proposal document about the UAB open access institutional policy. In that session it was proposed that the UAB embraced the Berlin Declaration on open access, as a large proportion of Catalan universities have done.

Accordingly to article 16.4, parts c) and d) of the regulation on launching, composition and functions of the UAB Governing Council commissions, following the draft given on the 13th of July 2011, the Research Commission, at the session on 16th February 2012, informed favourably the approval of the UAB open access policy.

The Economic and Organization Commission at the session on 27th March 2012 also reported favourably the approval of the UAB open access policy.

³ <http://hdl.handle.net/2072/5385>

⁴ <http://oa.mpg.de/lang/en-uk/berlin-prozess/berliner-erklarung/>

⁵ <http://docs.cbuc.cat/web/BDC/MandatAccesObert100701.pdf>

In accordance with the content of article 60 of the UAB statutes that states, “the Governing Council is the supreme governing collegiate body of the University and as such it draws its strategic and programmatic lines as well as its guidelines, regulations and procedures for their application, in the fields of teaching organization, research, human and economic resources and budgeting.”

Seeing the agreement of the Governing Council n. 12/2012, 14th March, which embraces the Berlin Declaration on open access, approved on 22nd October 2003, and in which the document on the UAB open access policy was made known opening a deadline of 15 days so that members of the Governing Council sent their suggestions about the documents produced.

Seeing the Legal Department’s compliance.

Seeing all the previous considerations, at the proposal of the Vicerector or Research, the Governing Council has adopted the following

AGREEMENTS

FIRST.- To approve the document on the UAB open Access institutional policy stated in document 1.

SECOND.- To entrust the Vicerector of Reseach with the execution and monitoring of this agreement.

THIRD.- To order its publication in Butlletí Oficial de la UAB.

FOURTH.- To order its entry into force the day after its approval by the Governing Council.

Bellaterra (Cerdanyola del Vallès), 25th April 2012