

Institutional Open Access Policy to Research Data at Universitat Autònoma de Barcelona

(Resolution of the Governing Council of December 14th, 2022)

Preamble

The Universitat Autònoma de Barcelona (UAB) recognizes the importance of research data¹ and justifies the creation and adoption of a policy to support its visibility and reuse.

This policy was drafted by the Open Access Commission and approved by the Governing Council on December 14th, 2022.

1. Scope of application

The following policy applies to all active research personnel of the university.² In case of research activities are externally funded and have other conditions regarding the management of research data than those stipulated in this policy, those of the funding institution will prevail.

2. Rights

By default, the rights that may derive from the generation and use of the data will be regulated by the current legislation, by the applicable UAB regulations and the labor regulations of the research staff.

3. Publication of the research data

The final research data derived from both international and national research projects that have been financed with public funds and provided that this is established in the call for proposals. The program or plan for which they are governed, will be deposited and disseminated through the [CORA Repositori de Dades de Recerca](#) or any other trusted thematic or multidisciplinary repository.

It is recommended that academic and research staff and PhD students who work with research data during their research make them public when they finish the research through Cora Repositori de Dades de Recerca or any other trusted thematic or multidisciplinary repository.

¹ We understand as research data the numerical, textual, image or sound records that are used as primary sources for scientific research, and that are commonly accepted to validate research results in the scientific community.

² This includes all active research staff at the Universitat Autònoma de Barcelona, including contract researchers and doctoral students. [Individuals or research centers/groups not directly affiliated with the UAB and project partners with other universities](#), but who use or are physically present to develop research activities are also considered members of the institution in relation to this policy.

The data is stored in a correct, complete, accountable and integrity compliant manner. When data is made public it must be findable, accessible, interoperable and reusable (this implies that it follows the FAIR principles).

Except in cases where it is necessary to restrict access to the data for confidentiality, security, privacy or agreements of third parties, the data published will be published in an open manner, i.e. with a license that allows broad reuse, such as CC0 or CC BY licenses from Creative Commons.³

You can consult the conditions of preservation, data management and control, file size, etc. at: [CORA Repositori de Dades de Recerca characteristics](#)

4. Responsibilities

The responsibility for publication of research data rests with the research staff and the institution:

4.1 The research staff should

- a) Publish the latest data management plan (DMP)⁴ in the UAB Digital Document Repository (DDD), indicating how the data has been managed, prepared in accordance with the requirements of the funding institutions, if applicable.
- b) Manage the data obtained in a research activity in accordance with this policy, the applicable regulations and legislation, ethical principles, and any requirement determined in the contractual relationship.
- c) We understand as research data the numerical, textual, image or sound records that are used as primary sources for scientific research, and that are commonly accepted to validate research results in the scientific community.
- d) Provide for the sustainability of data storage when the maximum capacity established in the CORA Research Data Repository or in the trusted repository where the data have been deposited is exceeded.
- e) In the case of personal data, it will be necessary to follow the protocol established by the UAB, including consultation with the Data Protection Delegate and, if appropriate, the approval of the bioethics committee of the UAB.

³ [Creative Commons licenses recommended at UAB](#)

⁴ A data management plan (DMP) is a structured guide (document or online tool), which describes the entire life cycle of research data and needs to be updated, if necessary. The data management plan must ensure that the research data is traceable, available, authentic, citable, and properly stored and that it adheres to legal parameters and appropriate security measures for its subsequent use. It is recommended that PGDs be delivered in a machine-readable format.

4.2 The institution, represented by the Research Management Area, the Research Technology Office, the Library Service, and the Information Technology Service, should

- a) To have the resources and means to make this policy viable: the maintenance of the DDD and CORA Repositori de Dades de Recerca.
- b) Collaborate, within the scope of the Consorci de Serveis Universitaris de Catalunya (CSUC), to develop support materials for data management plans and research data.
- c) Provide training, support and advice to research staff for data management in accordance with legislation, the requirements of funding institutions, and any other relevant regulations.
- d) To offer the DDD to publish the Data Management Plans of the research staff and to preserve them, ensuring their permanent access to the public.
- e) To offer the CORA Research Data Repository for the publication of research data of research personnel in accordance with the *Encàrrec de gestió de la UAB al CSUC per a la participació en el repositori de dades de recerca*. (January, 13th 2021)
- f) Periodically review the recommendations for licensing research data.
- g) To watch over the rights of the institution and of the research staff.
- h) Promote open data in other academic fields such as teaching, management and cultural heritage.

5. Validity

This policy will come into effect the day after approval by the Governing Council and will be reviewed by the UAB Open Access Commission every 5 years or sooner at the initiative of the Commission.

The Government Council will ensure compliance with this policy.