

Barcelona, 14th february 1959

Prof. A.J. Macintyre  
Cincinnati

Dear Professor Macintyre,

In reply to the questions you asked me in your two kind letters of the 11th January I have the pleasure to inform you as follows:

(1) At present I should not be able to speak English, but as I translate it easily I think that if I strived hard for it I could before long give a course in this language.

(2) I should have no inconvenience at all in leaving Barcelona for one year.

(3) My task in Barcelona is merely research work and therefore of a thoroughly personal kind.

(4) The greatest difficulty is that the Spanish University Authorities are unable to accept an interchange of professors as they are in lack of funds for it.

(5) In view of this difficulty I have made no enquiries from the Consulate of U.S.A. on whether I should be able to get a visa, but I think there would be no difficulty.

(6) If in spite of the foresaid you were interested in knowing my publications, age, etc. etc. I should be only too glad to send you the same.

(7) I have been known - among other ones - by the professors Mandelbrojt and Milloux, and I suppose it would not be difficult in having some statement from them.

Regarding the lecture you would like to develop, the result you want to show is quite interesting. Moreover, of this result it follows that: If  $D$  is a multiply-connected open domain such that  $z=0 \in D$  and  $z=\infty \notin D$ , and if

$$R = \min_{z \in c} |z|$$

where  $c$  is the component of the complement of  $D$  such that  $z=\infty \in c$ , then there exists a  $\lambda = \lambda(D)$  such that any function ~~which~~

$$f(z) = \sum_{n=0}^{\infty} a_n z^n,$$

where  $a_n = 0$  for  $n_k < n < \lambda n_k$  and where the radius of convergence

*[Handwritten signature]*



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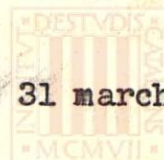
$$r = \sqrt[n]{\lim_{n \rightarrow \infty} |a_n|^{1/n}}$$

satisfies the condition  $r < R$ , has a singular point in  $D$ .

Thanking you for the interest shown I beg to be

yours sincerely

Barcelona 31 march 1959



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Prof. A. J. Macintyre  
Cincinnati

Dear Professor Macintyre,

I received your letter of the 7th inst. and thank you very much for your kind interest. Regarding the contents of the same I have the pleasure to inform you of the following:

(i) As I already told you I am suffering from a paralysis which disables me from walking so that I am compelled to ride in a small bath chair, the dimensions of which and the circumstances of its being collapsible allow me to go by car (railway, etc.) as well as to use a lift. I can not manage a staircase. Therefore I should like to live near the University where I had to lecture.

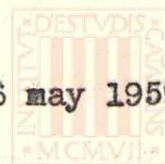
(ii) I am 47 years old (on a sheet enclosed I am informing you of my publications and any other details).

(iii) Your suggestion to send you a lecture recorded by magnetophone is unfortunately impracticable at present as my English pronunciation is not correct. Therefore if you could arrange for my visit to the United States I ought to know it 9 or 10 months before so as to be able to improve my pronunciation.

Reiterating my thanks for your kind interest. I remain

Yours sincerely

Barcelona 26 May 1959



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Prof. A. J. Macintyre  
Cincinnati

Dear Professor Macintyre,

In my possession your kind letter of the 4 May and according to your indication I have the pleasure to submit you in the following the demonstration of the general case of your conjecture. The result I demonstrate may be enunciated as follows:

Let  $D$  be any open domain, and let  $f(x)$  be a regular function in  $D$  such that

$$f(z) = \sum c_n z^n$$

converge in  $\{ |z| < r \} \subset D$ , and where  $c_n = 0$  if  $n_k < n \leq \lambda n_k$ . For any bounded closed domain  $D_1$  such that  $D_1 \subset D$  it exist a number  $\lambda_0(D, D_1)$  such that if  $\lambda > \lambda_0(D, D_1)$ , then there is overconvergence in  $D_1$

Proof.- We shall denote by  $CD$  the complement of  $D$ , by  $CD_1$  the complement of  $D_1$  and by  $\{h_i\}$  the components of  $CD_1$ . Evidently there exists only a finite number of ~~infinite~~  $h_i$  such that  $h_i \cap CD \neq \emptyset$ .

Let  $h_1$  be the component such that  $\infty \in h_1$  and we suppose that  $h_2, h_3, \dots, h_n$  are all the bounded components such that  $h_i \cap CD \neq \emptyset$

Then we write

$$D_2 = D_1 + \bigcup_{i=n+1}^{\infty} h_i$$

and it follows that  $D_2$  is a bounded closed domain and that  $D_2 \subset D$ . Evidently we may suppose that the boundary of  $D_2$  is composed by polygons

Obviously there exists  $2(n-1)$  polygonal arcs

$$L_1, L_2, \dots, L_{n-1}$$

$$L'_1, L'_2, \dots, L'_{n-1}$$

such that  $L_k \cap L'_k = \emptyset$  and  $D_2 - \bigcup_{k=1}^{n-1} L_k$  and  $D_2 - \bigcup_{k=1}^{n-1} L'_k$  are simply connected.

On the other hand we shall denote by  $C(s, R)$  the open circle  $|z-s| < R$  and by  $S(L, R)$  the strip

$$S(L, R) = \bigcup_{s \in L} C(s, R)$$

then for R sufficiently small

$$S(L_k, R) \cap S(L'_k, R) = \emptyset$$

Hence, for R sufficiently small we may define two closed simply connected domains

$$D_3 = D_2 - \bigcup_1^{n-1} S(L_k, R) \quad D'_3 = D_2 - \bigcup_1^{n-1} S(L'_k, R)$$

such that  $D_3 + D'_3 = D_2$

The proof of the theorem is now immediate. Evidently we may define a open bounded domain  $\Delta = \Delta(D, D_3)$  simply connected and such that

$$D_3 \subset \Delta \quad \{ |z| < r \} \subset \Delta \quad \bar{\Delta} \subset D$$

where  $\bar{\Delta}$  is the closure of  $\Delta$ . ~~Moreover it is known~~ Moreover it is known that if  $F(z)$  is regular in  $\Delta$  and

$$M(\Delta) = \text{l.u.b.}_{z \in \Delta} |F(z)| \quad M(d) = \text{l.u.b.}_{|z| < r/2} |F(z)| \quad M(D_3) = \text{l.u.b.}_{z \in D_3} |F(z)|$$

we have

$$(1) \quad M(D_3) \leq [M(\Delta)]^\theta [M(d)]^{1-\theta}$$

where  $\theta$  depend only of  $\Delta, D_3$  and  $d$ .

Obviously if

$$s_{n_k}(z) = \sum_0^{n_k} c_n z^n$$

we have

$$\text{l.u.b.}_{|z| < r/2} |f(z) - s_{n_k}(z)| < H(1/2)^{\lambda n_k} \quad \text{l.u.b.}_{z \in \Delta} |f(z) - s_{n_k}(z)| \leq H_1^{n_k}$$

where  $H$  and  $H_1$  are two constants that only depends of  $\Delta$ . Therefore

by (1)

$$\text{l.u.b.}_{z \in D_3} |f(z) - s_{n_k}(z)| \leq H^{1-\theta} [H_1^\theta (1/2)^{\lambda(1-\theta)}]^{n_k}$$

hence if  $\lambda > \lambda_0(\Delta, D_3)$  there is overconvergence in  $D_3$ . And since, similarly, we may proof that if  $\lambda > \lambda_0(\Delta', D'_3)$  there is overconvergence in  $D'_3$ , by

$$D_3 + D'_3 = D_2 \supset D_1$$

it follow the theorem

Believe me to be

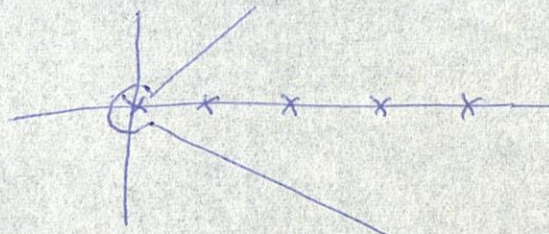
yours sincerely

*F. Sunyer Balaguer*

Lengths of gaps and region of overconvergence.

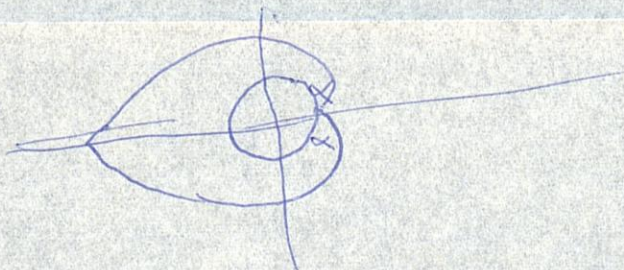
This report is based on the method which has proved so useful for related problems. If  $f(z) = \sum_0^{\infty} c_n z^n$  is regular for  $|z| < 1$  and for part but not the whole of  $|z| = 1$  then the  $c_n$  can be interpolated by an entire function  $G(z)$  with  $G(n) = c_n$ , and the growth properties of  $G(z)$  are related to the region into which  $f(z)$  may be analytically continued. In the converse direction the representation

$$f(-z) = \frac{1}{2i} \int \frac{G(\xi) z^{\xi} d\xi}{\sin \pi \xi}$$



is useful. Its validity is due to the fact that the integrand tends to zero along arcs  $|\xi| = n + \frac{1}{2}$  if  $|z| < 1$  and the calculus of residues may be exploited to give the power series expansion. If however  $G(\xi)$  was sufficiently small along special sequences of arcs  $|\xi| = n + \frac{1}{2}$  the same procedure would give overconvergence. This conclusion could be anticipated for instance from the existence of groups of zeros of  $c_n$  of a greater density than is normally possible.

The submeridian  $G(\xi)$  is regular and of minimum type in an angle  $|\arg \xi| \leq \alpha$  is associated with continuation of  $f(z)$  into a region outside  $|z| = 1$  bounded by logarithmic spirals



$f(z)$  will be regular for  $|z| < 1$  and between two spirals meeting in  $z = 1$  making angles  $\alpha$  with  $|z| = 1$ .

*where they make*



If we further suppose  $G(n) = 0$  for  $n_k \leq n \leq (1+\Delta)n_k$

*Diagram*

then  $G(z)/\sin \pi z$  is regular in  $n_k \leq |z| \leq n_k(1+\Delta)$  for  $|\arg z| \leq \alpha$ .

On the radial parts of the boundary  $G(z)/\sin \pi z$  is  $O\{\exp[-(\pi \sin \alpha + \epsilon)|z|]\}$

From this we can infer that it is small in the interior. An exact harmonic majorant could be exhibited in terms of elliptic functions.

Approximations using the two constants inequality are enough to establish an overconvergence region

*Diagram*

(i) whose boundary touches the spirals at  $z = 1$  (in accordance with Bourion's theorem.

(ii) which approaches the known region of regularity as  $\Delta$  tends to infinity.

Similar arguments are available if  $G(n) = 0$  for a sufficiently dense set of integers in the gap. In this way Fabry's gap theorem and the gap theorem of Polya can both be exhibited as immediate corollaries of overconvergence theorem. The larger the

~~are on~~ on which  $f(z)$  is regular the smaller the proportion of zero  $G(n)$  needed to establish overconvergence. The length of the gap is more associated with radial extent of overconvergence.

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A THEOREM ON OVERCONVERGENCE

F. SUNYER I BALAGUER

The conjecture announced by A. J. Macintyre [2; 3] is equivalent to the theorem stated and proved below.

THEOREM. Let  $D$  be an open domain containing the origin and let  $f(z)$  be a function regular in  $D$  with the expansion  $f(z) = \sum_0^\infty c_n z^n$ . Let  $D_1$  be a bounded closed domain contained in  $D$ . Then there exists a positive number  $\lambda_0 = \lambda_0(D, D_1)$  such that if  $c_n = D$  for a sequence of intervals  $n_k \leq n \leq \lambda n_k$  with  $\lambda > \lambda_0$ , then the subsequence of partial sums  $s_{n_k} = \sum_0^{n_k} c_n z^n$  converges uniformly to  $f(z)$  in  $D_1$ .

PROOF. Let  $CO$  and  $CD_1$  denote the complements of  $D$  and  $D_1$  respectively and let  $k_i, i = 1, 2, \dots$  be the components of  $CD_1$ . The components can be considered as disjoint and there exists only one unbounded component. The one unbounded component will be denoted as  $h_1$ .

One can assert that there exists only a finite number of components  $k_i$  such that

$$(1) \quad h_i \cap CD \neq \emptyset,$$

where  $\emptyset$  is the empty set. This assertion is proved as follows. Assume that there exists an infinite number of components  $h_i, i \geq 2$ , such that (1) is valid. A bounded sequence of points  $a_i$  can be formed where  $a_i \in h_i \cap CO, i \geq 2$ . Every  $a_i$  is an element of  $CD$  and hence the distance  $d$  from  $D_1$  is at least  $\delta > 0$ . The limit point  $a$  of the sequence then must be such that  $d(a, D_1) \geq \delta > 0$ . Thus  $a$  is an element of  $CD_1$  and all points  $z$  in  $|z - a| < \delta$  must be in the same component.

Let the finite number of components be enumerated as  $h_i, i = 1, 2, \dots, N$ . Considering now

$$(2) \quad D_2 = D_1 + \bigcup_{i=N+1}^\infty h_i,$$

then  $D_2$  is a bounded closed domain and  $D_2 \subset D_1$ . Since  $\sum_{i=N+1}^\infty h_i$  is bounded and  $D_1$  is bounded by hypothesis,  $D_2$  is bounded. Also, since  $h_i \cap CD = \emptyset, i \geq N+1$ , then  $h_i \subset D$  and  $D_2$  is contained in  $D$ . To prove that  $D_2$  is closed note that its complement is  $\sum_{i=1}^N h_i$  and is open.

Now  $N-1$  polygonal arcs  $L_1, L_2, \dots, L_{N-1}$  can be chosen such that  $D_2 - \sum_{k=1}^{N-1} L_k$  is simply connected. Also  $N-1$  other polygonal arcs  $L'_1, L'_2, \dots, L'_{N-1}$  can be so chosen that  $L_k \cap L'_j = \emptyset$  and  $D_2 - \sum_{j=1}^{N-1} L'_j$  is simply connected. Consider now the open circle  $C(s, R)$  or  $|z - s| < R$  and let  $S(L, R) = \bigcup_{s \in L} C(s, R)$ . Thus  $S(L, R)$  is a strip enclosing the polygonal arc  $L$ . For  $R$  sufficiently small,

$$(3) \quad S(L_k, R) \cap S(L'_j, R) = \emptyset.$$

Hence for  $R$  sufficiently small two closed simply connected domains can be defined,  $D_3 = D_2 - \bigcup_{k=1}^{N-1} S(L_k, R)$  and  $D_3' = D_2 - \bigcup_{j=1}^{N-1} S(L'_j, R)$  such that  $D_3 + D_3' = D_2$ . This follows from

$$D_3 + D_3' = D_2 - \left\{ \bigcup_{k=1}^{N-1} S(L_k, R) \right\} \cap \left\{ \bigcup_{j=1}^{N-1} S(L'_j, R) \right\} = D_2$$

by (3).

The proof of the theorem follows. An open bounded simply connected domain  $\Delta = \Delta(D, D_3)$  can now be defined such that  $D_3 \subset \Delta, \{ |z| < R \} \in \Delta, \bar{\Delta} \subset D$  where  $R$  is the radius of convergence of  $f(z) = \sum_0^\infty c_n z^n$  and  $\bar{\Delta}$  is the closure of  $\Delta$ . From the Nevanlinna two-constant theorem, if  $F(z)$  is regular in  $\Delta$

$$M(\Delta) = \text{l.u.b.}_{z \in \Delta} |F(z)|, \quad M(d) = \text{l.u.b.}_{|z| < r/2} |F(z)|,$$

then [1]

$$(4) \quad M(D_3) = \text{l.u.b.}_{z \in D_3} |F(z)| \leq \{M(\Delta)\}^\theta \{M(d)\}^{1-\theta}$$

where  $\theta > 0$  depends on  $D_3$  and  $\Delta$ . Using the majorization of  $r_{n_k}$ , where  $r_{n_k} = f(z) - s_{n_k}, s_{n_k} = \sum_0^{n_k} c_n z^n$  we get  $\text{l.u.b.}_{|z| < r/2} |r_{n_k}| < H(3/4)^{\lambda n_k}$  and  $\text{l.u.b.}_{|z| < r/2} |r_{n_k}| < H_1^{n_k}$  where  $H$  and  $H_1$  are two constants depending on  $\Delta$  and  $f(z)$ . Thus by (4),

$$\text{l.u.b.}_{z \in D_3} |r_{n_k}| \leq H^{1-\theta} \{H_1(3/4)^{\lambda(1-\theta)}\}^{n_k}.$$

Thus if  $\lambda > \lambda_0(\Delta, D_3)$  there is overconvergence in  $D_3$ . Similarly there is overconvergence in  $D_3'$  if  $\lambda > \lambda_0(\Delta, D_3')$ . Now since  $D_3 + D_3' = D_2 \supset D_1$  the theorem is proved.

REMARK. By the same method similar results are proved for the series of Dirichlet and for the integral of Laplace.

REFERENCES

1. G. Bourion, *L'ultraconvergence dans les séries de Taylor*, Paris, Hermann, 1937.
2. A. J. Macintyre, *Length of gaps and size of region of overconvergence*. Preliminary report, Abstract 557-27, Notices Amer. Math. Soc. vol. 6 (1959) pp. 186.
3. ———, *Size of gaps and region of overconvergence*, Collectanea Mathematica vol. 11 (1959) pp. 165-174.

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