# THE PATTERSON-SULLIVAN EMBEDDING AND MINIMAL VOLUME ENTROPY FOR OUTER SPACE

## ILYA KAPOVICH AND TATIANA NAGNIBEDA

ABSTRACT. Motivated by Bonahon's result for hyperbolic surfaces, we construct an analogue of the Patterson-Sullivan-Bowen-Margulis map from the Culler-Vogtmann outer space  $CV(F_k)$  into the space of projectivized geodesic currents on a free group. We prove that this map is a topological embedding. We also prove that for every  $k \geq 2$  the minimum of the volume entropy of the universal covers of finite connected volume-one metric graphs with fundamental group of rank k and without degree-one vertices is equal to  $(3k-3)\log 2$  and that this minimum is realized by trivalent graphs with all edges of equal lengths.

## 1. Introduction

A geodesic current on a word-hyperbolic group G is a positive G-invariant Borel measure on the space  $\partial^2 G := \{(x,y): x,y \in \partial G, x \neq y\}$ , where  $\partial G$  is the hyperbolic boundary of G endowed with the canonical boundary topology. The study of geodesic currents on free groups is motivated by investigating geometry and dynamics of individual automorphisms, as well as of groups of automorphisms of a free group. A similar program proved to be successful in the case of fundamental groups of hyperbolic surfaces. Bonahon's foundational work [4,5] showed the relevance of geodesic currents to the study of the geometry of the Teichmüller space and of the dynamical properties of surface homeomorphisms. Results about geodesic currents in the hyperbolic surface case can be also found in [6,7,20,38,36] and other sources. Interesting applications of geodesic currents to the study of free group automorphisms were recently obtained in [24,25,26,23].

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Patterson-Sullivan measures were introduced by Patterson [34] and Sullivan [41] in the context of a Kleinian group acting on the boundary of a hyperbolic space. The notion was extended by Coornaert [10] to the case of a group G acting geometrically (that is isometrically, properly discontinuously and cocompactly) on a Gromov-hyperbolic geodesic metric space. Let us remind here the definition in the case of a non-elementary group G acting geometrically on a CAT(-1) space X. For s>0 an s-conformal density is a G-equivariant family of regular Borel measures  $(\mu_x)_{x\in X}$  on  $\partial X$  that are pairwise absolutely continuous and with the property that their mutual Radon-Nykodim derivatives satisfy

$$\frac{d\mu_x}{d\mu_y}(\xi) = e^{-sB_\xi(x,y)}, \quad \text{ for every } x,y \in X,$$

where for a point  $\xi \in \partial X$  and for  $x, y \in X$ ,  $B_{\xi}(x, y)$  is a Busemann function defined by

$$B_{\xi}(x,y) := \lim_{z \to \xi, z \in X} [d(x,z) - d(y,z)].$$

It turns out that there is a unique s > 0 such that a nonzero s-conformal density exists, namely the critical exponent h(X) of X (see Section 3 below for definition). Moreover, when G acts on X geometrically, there is a unique nonzero h(X)-conformal density up to scalar multiplication. Any of these proportional families  $(\mu_x)_{x \in X}$  is said to be a family of Patterson-Sullivan measures on  $\partial X$ . In this setting h(X), which is defined in terms of the Poincaré series, is equal to the Hausdorff dimension of  $\partial X$ .

Furman [16] proved that in this case (as well as in the more general situation of a geometric action on a Gromov-hyperbolic space) there is a unique up to scalar multiple nonzero G-invariant measure  $\nu$  on  $\partial^2 X := \{(x,y)|x,y\in\partial X,x\neq y\}$  in the same measure class as  $\mu_x^2$ , where  $(\mu_x)_{x\in X}$  is a family of Patterson-Sullivan measures on  $\partial X$ . Any of the nonzero scalar multiples of  $\nu$  is called an X-Patterson-Sullivan current. Via the identification between  $\partial^2 G$  and  $\partial^2 X$ , this measure  $\nu$  pulls back to a canonical, up to a scalar multiple, geodesic current on G, any nonzero scalar multiple of which is called a G-Patterson-Sullivan current.

In the case of closed hyperbolic surfaces Patterson-Sullivan currents admit several other equivalent characterizations. Let S be a closed surface with a fixed hyperbolic metric  $\rho$ , so that  $(S, \rho) = \mathbb{H}^2$ . Thus  $G = \pi_1(S)$  acts on  $\mathbb{H}^2$  geometrically and  $\mathbb{H}^2/G = S$ . In this situation there is a natural identification between the space of G-invariant measures on  $\partial^2 \mathbb{H}^2$  and the space of shift-invariant measures on the unit tangent bundle  $\mathbb{U}S$ , where the  $\mathbb{R}$ -shift action is given by the geodesic flow on  $(S, \rho)$ . As shown by

Kaimanovich [22], under this identification Patterson-Sullivan currents correspond precisely to Bowen-Margulis measures (or maximal entropy measures) on  $\mathbb{U}S$ , that is the only shift-invariant measures on  $\mathbb{U}S$  whose entropy is equal to the topological entropy of the geodesic flow on  $(S, \rho)$ . For this reason Furman suggests, even in the general setting of a group acting geometrically on a Gromov-hyperbolic space, to call Patterson-Sullivan currents Bowen-Margulis or Patterson-Sullivan-Bowen-Margulis currents.

For closed hyperbolic surfaces  $\mathbb{H}^2$ -Patterson-Sullivan currents coincide with *Liouville currents* corresponding to the hyperbolic structure  $\rho$ . Bonahon [4, 5] proved that the map sending a marked hyperbolic structure to the corresponding projective class of Liouville currents provides a topological embedding  $L: \mathcal{T}(S) \to \mathbb{P}Curr(G)$  of the Teichmüller space  $\mathcal{T}(S)$  to the projectivized space of geodesic currents  $\mathbb{P}Curr(G)$ . The space  $\mathbb{P}Curr(G)$  is compact and, as was also proved by Bonahon, the closure of  $L(\mathcal{T}(S))$  turns out to be homeomorphic to the Thurston compactification  $\widehat{\mathcal{T}(S)}$  of  $\mathcal{T}(S)$ .

The Culler-Vogtmann outer space [13] is a free group analogue of the Teichmüller space. For a free group F of finite rank  $k \geq 2$  the outer space CV(F) consists of equivalence classes of free, discrete and minimal isometric actions of F on  $\mathbb{R}$ -trees for which the quotient metric graph has volume one. Two such actions are equivalent if there is an F-equivariant isometry between the two trees in question.

Let F be a free group of finite rank  $k \geq 2$ , let  $\Gamma$  be a finite connected graph with no degree-one and degree-two vertices, and let  $\alpha: F \to \pi_1(\Gamma, p)$  be an isomorphism. Every choice  $\mathcal{L}$  of a volume-one metric graph structure on  $\Gamma$  (that is, assignment of positive lengths to non-oriented edges of  $\Gamma$ , so that the sum of the lengths of all edges is equal to 1) defines an action of F via  $\alpha$  on the  $\mathbb{R}$ -tree  $\widetilde{\Gamma}$ , and hence defines a point in CV(F). Varying the lengths of edges of  $\Gamma$  gives an open simplex  $W_{\alpha}$  in CV(F) of dimension N-1, where N is the number of non-oriented edges of  $\Gamma$ . Thus the outer space CV(F) is a union of open simplices of arbitrary large dimension.

There is a natural map  $\tau: CV(F) \to \mathbb{P}Curr(F)$  that takes a point of CV(F) represented by the action of F on a tree X, to the projective class of F-Patterson-Sullivan currents corresponding to this action. We call  $\tau$  the Patterson-Sullivan map or the Patterson-Sullivan-Bowen-Margulis map.

Our main result is the following statement, which parallels the above mentioned theorem of Bonahon for hyperbolic surfaces:

**Theorem A.** The Patterson-Sullivan map  $\tau : CV(F) \to \mathbb{P}Curr(F)$  is a topological embedding. The Hausdorff dimension map  $h : CV(F) \to \mathbb{R}$  is continuous and, moreover, the restriction of h to any open simplex in CV(F) is real-analytic.

Injectivity of  $\tau$  follows from a general result of Furman [16] proved in the context of geometric actions on Gromov-hyperbolic spaces. The main work in the present paper is in proving the continuity of  $\tau$ . Any isomorphism  $\alpha: F \to \pi_1(\Gamma, p)$  provides a sort of "coordinate system" for  $\mathbb{P}Curr(F)$ . We give an essentially explicit computation of  $\tau|_{W_{\alpha}}$  in this coordinate system. The continuity of h can also be derived by a direct argument using the volume entropy interpretation of h given in Lemma 3.9 below. The key point is that if  $\mathcal{L}$  and  $\mathcal{L}'$  are two "nearby" metric structures on a finite graph  $\Gamma$  defining the metrics d and d' on  $X = \widetilde{\Gamma}$  then the identity map  $Id: (X, d) \to (X, d')$  is bi-Lipschitz with a bi-Lipshitz constant close to 1.

As we mentioned earlier, in the case of a closed hyperbolic surface S with  $G = \pi_1(S)$  Bonahon proved that the Liouville map  $L: \mathcal{T}(S) \to \mathbb{P}Curr(G)$  extends to a homeomorphism from Thurston's compactification  $\widehat{\mathcal{T}(S)}$  of  $\mathcal{T}(S)$  to the closure of the image of L. It is well-known that  $\widehat{\mathcal{T}(S)}$  coincides with the length-function compactification of  $\mathcal{T}(S)$ . We expect that, unlike the map L in the surface case, the map  $\tau: CV(F) \to \mathbb{P}Curr(F)$  does not extend to a homeomorphism from the length function compactification of CV(F) to the closure of  $\tau(CV(F))$  in  $\mathbb{P}Curr(F)$ . We intend to pursue this nontrivial question in future work. Reiner Martin [30] constructed a different family of continuous Out(F)-equivariant embeddings from CV(F) to  $\mathbb{P}Curr(F)$ . Unlike the Patterson-Sullivan embedding  $\tau$ , Martin's embeddings are not based on a natural geometric construction and use an ad-hoc procedure, where a point of CV(F) is sent to an explicitly defined infinite linear combination of "counting" currents determined by conjugacy classes of elements of F.

It is well-understood that in a fairly general negatively curved setting the Hausdorff dimension of the boundary coincides with the volume entropy. If (M, g) is a closed connected Riemannian manifold, then the *volume entropy* of g is defined as

$$h(g) := \liminf_{R \to \infty} \frac{\log Vol_{\tilde{g}}(B(x,R))}{R},$$

where  $x \in \widetilde{M}$  is a base-point and B(x,R) is the ball of radius R and center x in  $\widetilde{M}$ , equipped with the pullback  $\widetilde{g}$  of the Riemannian metric g. This definition does not depend on the choice of  $x \in \widetilde{M}$ , and h(g) > 0 if and only if the group  $\pi_1(M)$  has exponential growth. If g has strictly negative sectional curvature, then  $(\widetilde{M}, \widetilde{g})$  is a CAT(-1) space and the Hausdorff dimension of its boundary (which is also equal to the critical exponent of  $(\widetilde{M}, \widetilde{g})$ ) is equal to the volume entropy h(g). A similar statement is true for the universal cover of a compact locally CAT(-1)-space K, as stated in

Lemma 3.9 below. In that case volume has to be interpreted as counting the number of  $\pi_1(K)$ -orbit points in the ball of radius n around the basepoint in  $\widetilde{K}$ .

For a compact connected Riemannian manifold M it is natural to ask what the infimum of h(g) is when g varies over metrics with  $Vol_g(M)=1$  and whether this infimum is achieved. This is known as the *minimal entropy problem* (see discussion in [1]). A famous theorem of Besson, Courtois and Gallot [1] shows that if M admits a locally symmetric volume-one metric  $g_0$  of negative curvature, then  $g_0$  minimizes volume entropy among all volume-one metrics (see an earlier paper of Katok [27] for the case of surfaces.)

A particular case of their theorem (see [2], Section 5) says that if  $(M, g_0)$  and (M', g) are homotopically equivalent negatively curved compact connected Riemannian manifolds of the same dimension  $n \geq 3$ , and if  $(M, g_0)$  is locally symmetric, then  $h^n(g)Vol(M', g) \geq h^n(g_0)Vol(M, g_0)$ . Besson, Courtois and Gallot also show that  $h(g) = h(g_0)$  and  $Vol(M', g) = Vol(M, g_0)$  if and only if (M', g) is isometric to  $(M, g_0)$ .

In the last section of our paper we prove an analogue of the first of these two statements in the outer space setting. Theorem A implies that the volume entropy function h (which again coincides with the Hausdorff dimension of the boundary and with the critical exponent) factors to a continuous function on the moduli space  $\mathcal{M} = CV(F)/Out(F)$ 

$$\overline{h}: \mathcal{M} \to \mathbb{R}_{>0}$$
.

A point in  $\mathcal{M}$  is a finite connected graph  $\Gamma$  without degree-one and degree-two vertices and with  $\pi_1(\Gamma) \cong F$ , endowed with the structure  $\mathcal{L}$  of a volume-one metric graph. Then  $\overline{h}(\mathcal{L})$  is the volume entropy of the tree  $\partial \widetilde{\Gamma}$ , where the metric on  $\widetilde{\Gamma}$  is given by the lift of  $\mathcal{L}$ . The analogue of a locally symmetric manifold is a regular graph (i.e., such that all vertices are of the same degree) with all edges of equal length. The volume entropy of a regular tree with all edges of the same length is easy to compute explicitly. In particular, assigning the length 1/(3k-3) to each of (3k-3) non-oriented edges in a trivalent graph with the fundamental group free of rank k gives a volume-one metric graph with volume entropy of its universal cover equal to  $(3k-3)\log 2$ . We prove that this is precisely the minimum of the volume entropy over all finite connected metric volume-one graphs without vertices of degree one or two and with fundamental group free of rank k.

**Theorem B.** For the function  $\overline{h}: CV(F)/Out(F) \to \mathbb{R}$  we have

$$\min \overline{h} = (3k - 3) \log 2.$$

This minimum is realized by any regular trivalent connected graph  $\Gamma$  with  $\pi_1(\Gamma) \cong F$ , (so that  $\Gamma$  has 3k-3 non-oriented edges), where each edge of  $\Gamma$  is given length 1/(3k-3).

Moreover,

$$\sup_{\mathcal{M}} \overline{h} = \infty.$$

As an intermediate step in proving Theorem B we establish that among all the volume-one metric structures on an m-regular graph  $\Gamma$  with  $m \geq 3$ , the volume entropy is minimized by assigning all the edges of  $\Gamma$  equal lengths. Gilles Robert [37] had earlier proved this fact under an extra assumption that  $\Gamma$  has a highly transitive automorphism group, by different methods.

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#### 2. Geodesic currents

Convention 2.1. For the remainder of the paper let F be a finitely generated free group of rank  $k \geq 2$ . We will denote by  $\partial F$  the space of ends of F with the standard ends-space topology. Thus  $\partial F$  is a topological space homeomorphic to the Cantor set. We shall also think about  $\partial F$  as the hyperbolic boundary of F, endowed with the canonical boundary topology, in the sense of the theory of word-hyperbolic groups (see, for example [17]).

We will also denote

$$\partial^2 F := \{ (\zeta, \xi) : \zeta, \xi \in \partial F \text{ and } \zeta \neq \xi \}.$$

**Definition 2.2** (Geodesic currents). A geodesic current on F is a positive locally finite (that is, finite on compact subsets) F-invariant Borel measure on  $\partial^2 F$ . We denote the space of all geodesic currents on F by Curr(F).

The space Curr(F) comes equipped with a weak topology: for  $\nu_n, \nu \in Curr(F)$  we have  $\lim_{n\to\infty} \nu_n = \nu$  iff for every two disjoint closed-open sets  $S, S' \subseteq \partial F$  we have  $\lim_{n\to\infty} \nu_n(S\times S') = \nu(S\times S')$ .

**Definition 2.3** (Projectivized geodesic currents). For two nonzero geodesic currents  $\nu_1, \nu_2 \in Curr(F)$  we say that  $\nu_1$  is equivalent to  $\nu_2$ , denoted  $\nu_1 \sim \nu_2$ , if there exists a nonzero scalar  $r \in \mathbb{R}$  such that  $\nu_2 = r\nu_1$ . We denote

$$\mathbb{P}Curr(F) := \{ \nu \in Curr(F) : \nu \neq 0 \} / \sim$$

and call it the space of projectivized geodesic currents on F. The space  $\mathbb{P}Curr(F)$  is endowed with the quotient topology. We will denote the  $\sim$ -equivalence class of a nonzero geodesic current  $\nu$  by  $[\nu]$ .

Convention 2.4. For a (finite or infinite) graph  $\Delta$ , denote by  $V\Delta$  the set of all vertices of  $\Delta$ , and denote by  $E\Delta$  the set of all oriented edges of  $\Delta$  (i.e., the set of all ordered pairs (u, v) where u and v are adjacent vertices in  $\Delta$ .) A path  $\gamma$  in  $\Delta$  is a sequence of oriented edges which connects a vertex  $o(\gamma)$  (origin) with a vertex  $t(\gamma)$  (terminus). A path is called reduced if it does not contain a back-tracking (a path of the form  $(e, e^{-1})$ ). We denote by  $\mathcal{P}(\Delta)$  the set of all finite reduced paths in  $\Delta$ . For a vertex  $x \in V\Delta$ , we denote by  $\mathcal{P}_x(\Delta)$  the collection of all  $\gamma \in \mathcal{P}(\Delta)$  that begin with x. For  $\gamma \in \mathcal{P}(\Delta)$ , we denote by  $a(\gamma)$  the set of all  $e \in E\Delta$  such that  $e\gamma \in \mathcal{P}(\Delta)$  and we denote by  $b(\gamma)$  the set of all  $e \in E\Delta$  such that  $\gamma \in \mathcal{P}(\Delta)$ .

**Definition 2.5** (Simplicial charts). Let F be a free group of rank  $k \geq 2$  and let  $\Gamma$  be a finite connected graph without degree-one vertices such that  $\pi_1(\Gamma) \cong F$ . Let  $\alpha : F \to \pi_1(\Gamma, p)$  be an isomorphism, where p is a vertex of  $\Gamma$ . We call such  $\alpha$  a simplicial chart for F.

Convention 2.6. Let  $\alpha: F \to \pi_1(\Gamma, p)$  be a simplicial chart. We consider  $X:=\widetilde{\Gamma}$ , a topological tree, and denote the covering map from X to  $\Gamma$  by  $j: X \to \Gamma$ . For  $\gamma \in \mathcal{P}(X)$  we call the reduced path  $j(\gamma)$  in  $\Gamma$  the label of  $\gamma$ . As there is only one reduced path connecting two arbitrary vertices in a tree, we will often write [x, y] for a path in X with origin x and terminus y. Let  $\partial X$  denote the space of ends of X with the natural ends-space topology. Then we obtain a canonical  $\alpha$ -equivariant homeomorphism  $\hat{\alpha}: \partial F \to \partial F$  $\partial X$ , as follows. Suppose we endow  $\Gamma$  with the structure of a metric graph, that is, we assign a positive length to each edge of  $\Gamma$ . This turns X into an  $\mathbb{R}$ -tree with a discrete isometric action of  $\pi_1(\Gamma, p)$ . Moreover, X is quasiisometric to F and, if F is equipped with a word metric and  $x_0$  is a lift of p to X then the orbit map  $\tilde{\alpha}: F \to X, f \to \alpha(f)x_0$ , is a quasi-isometry. This quasi-isometry extends to a homeomorphism  $\hat{\alpha}:\partial F\to\partial X$ . A crucial feature of this construction is that  $\hat{\alpha}$  does not depend on the choice of a metric structure on  $\Gamma$ . If  $\alpha$  is fixed, we will usually suppress explicit mention of  $\hat{\alpha}$  and also of the map  $\alpha$  itself when talking about the action of F on X and on  $\partial X$  arising from this situation. We also denote by  $\partial^2 X$  the set of all pairs  $(\zeta_1, \zeta_2)$  such that  $\zeta_1, \zeta_2 \in \partial X$  and  $\zeta_1 \neq \zeta_2$ . For  $(\zeta_1, \zeta_2) \in \partial^2 X$ we denote by  $[\zeta_1, \zeta_2]$  the simplicial (non-parameterized) geodesic from  $\zeta_1$ to  $\zeta_2$  in X. Thus  $[\zeta_1, \zeta_2]$  is a subgraph of X isomorphic to the simplicial line, together with a choice of direction on that line. We also have the identification  $\hat{\alpha}: \partial^2 F \to \partial^2 X$ .

**Definition 2.7** (Cylinder sets). For every reduced path  $\gamma$  in X denote

$$Cyl_X(\gamma) := \{(\zeta_1, \zeta_2) \in \partial^2 X : \gamma \subseteq [\zeta_1, \zeta_2]$$
 and the orientations on  $\gamma$  and on  $[\zeta_1, \zeta_2]$  agree $\}$ 

Also, for  $x = o(\gamma) \in X$  denote

$$Cyl_x(\gamma) := \{ \zeta \in \partial X : \gamma \text{ is an initial segment of } [x, \zeta] \}$$

The collection of all sets  $Cyl_X(\gamma)$ , where  $\gamma$  varies over  $\mathcal{P}(X)$ , gives a basis of closed-open sets for  $\partial^2 X$ . Similarly, for any  $x \in X$ , the collection of all sets  $Cyl_x(\gamma)$ , where  $\gamma$  varies over  $\mathcal{P}_x(X)$ , gives a basis of closed-open sets for  $\partial X$ . Let us denote  $Cyl_\alpha(\gamma) := \hat{\alpha}^{-1}Cyl_X(\gamma)$ , so that  $Cyl_\alpha(\gamma) \subseteq \partial^2 F$ . It is easy to see that:

**Lemma 2.8.** For  $\nu_n, \nu \in Curr(F) \lim_{n \to \infty} \nu_n = \nu$  iff  $\lim_{n \to \infty} \nu_n(Cyl_{\alpha}(\gamma)) = \nu(Cyl_{\alpha}(\gamma))$  for every  $\gamma \in \mathcal{P}(X)$ . Moreover, for  $\nu, \nu' \in Curr(F)$  we have  $\nu = \nu'$  iff  $\nu(Cyl_{\alpha}(\gamma)) = \nu'(Cyl_{\alpha}(\gamma))$  for every  $\gamma \in \mathcal{P}(X)$ .

**Remark 2.9.** Note that for any  $f \in F$  and  $\gamma \in \mathcal{P}(X)$  we have  $fCyl_{\alpha}(\gamma) = Cyl_{\alpha}(f\gamma)$ . Since geodesic currents are, by definition, F-invariant, for a geodesic current  $\nu$  and for  $\gamma \in \mathcal{P}(X)$  the value  $\nu(Cyl_{\alpha}(\gamma))$  only depends on the label  $j(\gamma)$  of  $\gamma$ .

## 3. Patterson-Sullivan measures

We recall here the basics regarding Patterson-Sullivan measures in the context of CAT(-1) spaces. As already mentioned in the Introduction, the original theory of Patterson-Sullivan measures for Kleinian groups was developed by Patterson [34] and Sullivan [41]. This theory was generalized to groups acting on CAT(-1) spaces by Burger and Mozes [9], Coornaert-Papadopoulos [11, 12] and other authors (see, for example [21, 35]). The general case of Gromov-hyperbolic spaces was given careful treatment by Coornaert [10]. Later Furman [16], also working in the general Gromov-hyperbolic context, obtained length spectrum rigidity results and explained the connection between Patterson-Sullivan measures and the analogues of Bowen-Margulis currents. The Patterson-Sullivan measures on the universal covers of finite simplicial graphs were considered by Lyons [29] and by Coornaert and Papadopoulos [11].

Although all of the above-mentioned articles are relevant to our work, the primary references for us are Burger-Mozes [9], Coornaert-Papadopoulos [11], Coornaert [10] and Furman [16]. The work of Furman is particularly important in our context since there Patterson-Sullivan currents (as opposed to Patterson-Sullivan measures or conformal densities) are treated in great

generality. Moreover, the injectivity of the Patterson-Sullivan map in Theorem A is an immediate corollary of Furman's results [16].

**Definition 3.1** (Metric and semi-metric graph structures). A semi-metric graph structure  $\mathcal{L}$  on a (finite or infinite) graph  $\Gamma$  is an assignment of the length  $L(e) \geq 0$  to each edge  $e \in E\Gamma$  of  $\Gamma$  in such a way that  $L(e) = L(e^{-1})$  for every  $e \in E\Gamma$ . We say that such a structure  $\mathcal{L}$  is non-singular if there is a maximal tree T in  $\Gamma$  such that L(e) > 0 for every  $e \in E(\Gamma - T)$ . We define the volume of  $\mathcal{L}$  as  $vol(\mathcal{L}) := \frac{1}{2} \sum_{e \in E\Gamma} L(e)$ . Thus  $vol(\mathcal{L})$  can be thought of as the sum of the lengths of the non-oriented edges of  $\Gamma$ .

A semi-metric graph structure  $\mathcal{L}$  is called a *metric graph structure* if L(e) > 0 for each  $e \in E\Gamma$ . If  $\mathcal{L}$  is a semi-metric graph structure on  $\Gamma$ , let  $\Gamma'$  be the graph obtained from  $\Gamma$  by contracting to points all edges of  $\Gamma$  of  $\mathcal{L}$ -length zero. Then  $\Gamma'$  comes equipped with a canonical metric graph structure  $\mathcal{L}'$  coming from  $\mathcal{L}$ . We call  $(\Gamma', \mathcal{L}')$  the metric graph associated to  $(\Gamma, \mathcal{L})$ .

Convention 3.2. Let  $\mathcal{L}$  be a nonsingular semi-metric graph structure on a finite graph  $\Gamma$ . Let  $(\Gamma', \mathcal{L}')$  be the metric graph associated to  $(\Gamma, \mathcal{L})$  and let  $q: \Gamma \to \Gamma'$  be the canonical projection map.

Let  $X = \widetilde{\Gamma}$  and let  $j: X \to \Gamma$  be the covering map. Then  $\mathcal{L}$  canonically lifts to a semi-metric graph structure  $\widetilde{\mathcal{L}}$  on X defined as  $\widetilde{L}(e) := L(j(e))$  for every  $e \in EX$ . Similarly let  $X' = \widetilde{\Gamma}'$  and let  $j': X' \to \Gamma'$  be the associated covering map. Again,  $\mathcal{L}'$  lifts to a metric graph structure  $\widetilde{\mathcal{L}}'$  on X'.

It is easy to see that both j and j' preserve edge-lengths and that X' is obtained from X by contracting all edges of length zero in X to points. Thus  $(X', \widetilde{\mathcal{L}}')$  is the metric graph associated to  $(X, \widetilde{\mathcal{L}})$ . We denote by  $\widetilde{q}: X \to X'$  the canonical projection map.

The semi-metric structure  $\widetilde{\mathcal{L}}$  defines a semi-metric  $d = d_{\mathcal{L}}$  on X and  $\widetilde{\mathcal{L}}'$  defines a metric  $d' = d'_{\mathcal{L}}$  on X'. Moreover,  $\widetilde{q}: (X,d) \to (X',d')$  is distance-preserving. Note that for both (X,d) and (X',d') there are obvious notions of geodesic edge-paths. In both cases we can metrize  $\partial X$  and  $\partial X'$  by setting

$$d_x(\xi,\zeta) := e^{-d(x,[\xi,\zeta])}$$
 where  $\xi,\zeta \in \partial X$ 

$$d'_{x'}(\xi',\zeta') := e^{-d'(x',[\xi',\zeta'])} \quad \text{where } \xi',\zeta' \in \partial X',$$

where  $x \in X, x' \in X'$ . Note that  $d_x$  is a metric on  $\partial X$ , although  $\mathcal{L}$  was just a semi-metric structure. Moreover, if  $x' = \widetilde{q}(x)$  then the map  $\widetilde{q} : (\partial X, d_x) \to (\partial X', d'_{x'})$  is a homeomorphism and an isometry.

Convention 3.3. For the remainder of this section we will fix G, X and the notations below to be one of the following:

(1) We denote by G a finitely generated group acting properly discontinuously and cocompactly by isometries on a CAT(-1) space X. If  $x \in X$  is a base-point, the boundary  $\partial X$  is metrized as follows: for two points  $\xi, \zeta \in \partial X$  put

$$d_x(\xi,\zeta) = \begin{cases} 0, & \text{if } \xi = \zeta, \\ exp(-d(x,[\xi,\zeta])), & \text{if } \xi \neq \zeta. \end{cases}$$

(2) We consider G=F a free group of finite rank  $k\geq 2$  and  $\alpha:F\to \pi_1(\Gamma,p)$  a simplicial chart for F, as well as a non-singular semimetric structure  $\mathcal L$  defining a semi-metric d on  $X=\widetilde{\Gamma}$ . Thus F acts on X via  $\alpha$  by d-preserving transformations. In this case let  $q:\Gamma\to\Gamma',\widetilde{q}:X\to X',\mathcal L',\ d'$  and the metrics on  $\partial X$  and  $\partial X'$  be as in Convention 3.2. Thus  $q_\#\circ\alpha:F\to\pi_1(\Gamma',p')$  is another simplicial chart for F, where p'=q(p) and the map  $\widetilde{q}:X\to X'$  is F-equivariant.

**Definition 3.4** (Busemann Functions). Let X be a CAT(-1) space as in part (1) of Convention 3.3. For a point  $\xi \in \partial X$  and for  $x, y \in X$  put

$$B_{\xi}(x,y) := \lim_{z \to \xi} \left( d(x,z) - d(y,z) \right).$$

where  $z \in X$ .

We will need the following simple but useful fact regarding the explicit form of the Busemann functions in this context which is an immediate corollary of the definitions.

**Lemma 3.5.** Let  $x, y \in X$  and  $\xi \in \partial X$  be such that  $y \in [x, \xi]$ . Then  $B_{\xi}(x, y) = d(x, y)$ .

**Convention 3.6.** We will denote by  $M(\partial X)$  the space of all positive regular Borel measures on  $\partial X$ . The space  $M(\partial X)$  is endowed with the weak topology.

If  $\mu \in M(\partial X)$  and  $g \in G$  then the measure  $g_*\mu \in M(\partial X)$  on  $\partial X$  is defined as  $(g_*\mu)(A) = \mu(g^{-1}A)$  for a Borel subset  $A \subseteq \partial X$ .

**Proposition-Definition 3.7** (Critical Exponent). Let X and G be as in Convention 3.3 and let  $x \in X$  be a base-point. The *Poincaré series* of X with respect to x is

$$\Pi_x(s) := \sum_{g \in G} e^{-sd(x,gx)}$$

Then for every  $x \in X$  there exists a unique number  $h \ge 0$  such that  $\Pi_x(s)$  converges for all s > h and diverges for all s < h. This number h does not depend on  $x \in X$  and is called the *critical exponent*. We denote it by h = h(X) = h(G, X).

**Remark 3.8.** Coornaert discusses this definition in [10], and shows in particular that under assumptions of Convention 3.3  $\Pi_x(h)$  diverges for every  $x \in X$ .

Coornaert's results [10] also imply that the critical exponent coincides with the *volume entropy* of X defined by the right-hand side of the equality:

**Lemma 3.9.** Let G, X be as in Convention 3.3 and let  $x \in X$ . Then

$$h(X) = \lim_{R \to \infty} \frac{1}{R} \log \# \{ g \in G : d(x, gx) \le R \}$$

**Definition 3.10** (Conformal density). Let G, X be as in Convention 3.3 and let  $s \geq 0$ . A continuous map  $X \to M(\partial X), \ x \mapsto \mu_x$  is called an s-dimensional conformal density on  $\partial X$  for G if:

- (1) The family  $(\mu_x)_x$  is G-equivariant, that is  $\mu_{gx} = (g^{-1})_* \mu_x$  for every  $x \in X, g \in G$ .
- (2) We have

$$\frac{d\mu_x}{d\mu_y}(\xi) = e^{-sB_{\xi}(x,y)}$$

for every  $x, y \in X$ .

(3) We have  $\mu_x = \mu_y$  if d(x, y) = 0.

In particular, we see that for each  $x, y \in X$  the measures  $\mu_x, \mu_y$  are absolutely continuous with respect to each other with bounded Radon-Nikodym derivatives.

**Remark 3.11.** Let G = F, X be as in part (2) of Convention 3.3.

Then h(F, X) = h(F, X') since for every  $g \in F$  and for every  $x \in X$  with  $x' = \widetilde{q}(x) \in X'$  we have d(x, gx) = d'(x', gx'). We shall denote this critical exponent by  $h_{\mathcal{L}}(X)$ .

Moreover, suppose  $(\mu_x)_x$  is a conformal s-density on  $\partial X$ . Then for any  $x,y\in X$  with d(x,y)=0 we have  $\mu_x=\mu_y$  and hence  $(\mu_x)_x$  canonically factors to a conformal s-density  $(\mu'_{x'})_{x'}$  on  $\partial X'$ . Similarly, if  $(\mu'_{x'})_{x'}$  is a conformal s-density on  $\partial X'$ , then it canonically pulls back to a conformal s-density  $(\mu_x)_x=((\tilde{q}^{-1})_*\mu'_{q(x)})_x$  on  $\partial X$ .

The following two statements follow from the basic results established in [10, 11, 9].

**Proposition-Definition 3.12** (Patterson-Sullivan measures). Let G, X be as in Convention 3.3 and let h = h(X) be the critical exponent of X.

Then s = h(X) is the only value of  $s \ge 0$  such that there exists a nonzero s-dimensional conformal density on  $\partial X$ . Moreover, up to scalar multiplication, the nonzero h-dimensional conformal density  $(\mu_x)_x$  is unique. The measures  $(\mu_x)_x$  are called Patterson-Sullivan measures on  $\partial X$ .

**Proposition 3.13.** Let  $(\mu_x)_x$  be a family of Patterson-Sullivan measures on  $\partial X$ . Then:

- (1) The measures  $\mu_x$  belong to the same measure class for all  $x \in X$ . Each  $\mu_x$  has no atoms, has full support on  $\partial X$ , and  $\mu_x(A) > 0$  for every nonempty open subset  $A \subseteq \partial X$ .
- (2) For every  $x \in X$  the critical exponent h is equal to the Hausdorff dimension of  $(\partial X, d_x)$ . In particular,  $0 < h(X) < \infty$ .
- (3) Let  $x, y \in X$  and let  $m_y$  be the h-dimensional Hausdorff measure on  $(\partial X, d_y)$ . Then  $m_y$  and  $\mu_x$  are absolutely continuous with respect to each other and their mutual Radon-Nikodym derivatives are bounded.

Here is another useful characterization of Patterson-Sullivan measures (see, for example [16]):

**Proposition 3.14.** Let G, X be as in Convention 3.3 and let h = h(X) be the critical exponent. Let  $(\mu_x)_x$  be a family of Patterson-Sullivan measures on  $\partial X$ . Then for every  $x \in X$  the measure  $\mu_x$  is, up to a scalar multiple, the weak limit as  $s \to h+$ , of the probability measures

$$\frac{1}{\Pi_x(s)} \sum_{g \in G} e^{-sd(x,gx)} \text{Dirac}(gx) .$$

### 4. Patterson-Sullivan measures and metric graphs

In this section we will concentrate our attention on the case when the acting group is a nonabelian free group F of finite rank  $k \geq 2$ . Therefore, for the remainder of this section, we assume that F,  $\Gamma$ ,  $\Gamma'$ , X, X',  $\mathcal{L}$  are as in part (2) of Convention 3.3.

**Remark 4.1.** Let  $\gamma \in \mathcal{P}(X)$  be an edge-path from a vertex x to a vertex y in X. Let  $s \geq 0$ . Lemma 3.5 shows that the function  $e^{-sB_{\xi}(x,y)}$  is constant and equal to  $e^{-sd(x,y)}$  on the cylinder  $Cyl_x(\gamma) \subseteq \partial X$ . Hence, when restricted to  $Cyl_x(\gamma)$ , condition (2) of Definition 3.10 simplifies to

$$\mu_x = e^{-sd(x,y)}\mu_y$$
 on  $Cyl_x(\gamma)$ 

and, in particular,

$$\mu_x(Cyl_x(\gamma)) = e^{-sd(x,y)}\mu_y(Cyl_x(\gamma)).$$

This shows that an s-conformal density  $(\mu_x)_x$  is uniquely determined by the values  $\mu_x(Cyl_x(f))$ , where x varies over the vertices of X and f varies over all edges of X with origin x. Moreover, in view of F-equivariance of  $(\mu_x)_x$ , it suffices to take x from a bijective lift of the vertex set of  $\Gamma$  to X.

Convention 4.2. Let e be an oriented edge of  $\Gamma$  and let  $(\mu_x)_x$  be an s-conformal density for X with s > 0. Let f be a lift of e to X and let x be the origin of f. We denote

$$w_e = w_{e,\mathcal{L}} := \mu_x(Cyl_x(f))$$
.

Note that because of F-equivariance of  $(\mu_x)_x$  the value  $w_e$  does not depend on the choice of the lift f of e.

**Proposition 4.3.** Let s > 0. Let  $(\mu_x)_x$  be an s-conformal density for X. Then:

- (1) We have  $w_e > 0$  for every  $e \in E\Gamma$ .
- (2) For every  $e \in E\Gamma$  we have

(\*) 
$$w_e = \exp(-sL(e)) \sum_{e' \in b(e)} w_{e'}.$$

Moreover, if  $(w_e)_{e \in E\Gamma}$  satisfy conditions (1), (2) above, then there exists a unique s-conformal density  $(\mu_x)_x$  such that for every  $e \in E\Gamma$  and for every lift f of e to X with origin x we have  $w_e = \mu_x(Cyl_x(f))$ .

Proof. Suppose  $(\mu_x)_x$  is an s-conformal density for X. Let e be an edge of  $\Gamma$  and let f be a lift of e to X with origin x. Since  $Cyl_x(f) \subseteq \partial X$  is a nonempty open set, Proposition 3.13 implies that  $w_e = \mu_x(Cyl_x(f)) > 0$  so that condition (1) holds. Let g be the terminal vertex of g. For every edge  $e' \in b(e)$  there is a unique lift g' of g' to g' with origin g. Then

$$Cyl_x(f) = \bigsqcup_{f'} Cyl_x(ff')$$

and hence

$$\mu_x(Cyl_x(f)) = \sum_{f'} \mu_x(Cyl_x(ff')).$$

Remark 4.1, applied to  $Cyl_x(ff') = Cyl_y(f') \subseteq \partial X$ , implies that

$$\mu_x(Cyl_x(ff')) = e^{-sd(x,y)}\mu_y(Cyl_y(f')) = e^{-sL(e)}\mu_y(Cyl_y(f')).$$

Therefore

$$w_e = e^{-sL(e)} \sum_{e' \in b(e)} w_{e'},$$

and condition (2) is verified.

If  $(w_e)_{e \in E\Gamma}$  satisfy conditions (1) and (2), then it is not hard to check that the formulae from Remark 4.1 can be used to define an s-dimensional conformal density  $(\mu_x)_{x \in X}$ , as required. We leave the details of this verification to the reader.

We conclude this section with a short note on Hausdorff measures. It follows from the definitions that for any  $x,y\in X$  the metrics  $d_x$ ,  $d_y$  on  $\partial X$  are Lipschitz-equivalent to each other and hence have the same Hausdorff dimension. Let s>0 and let  $\mathcal{H}^s_x$  be the s-dimensional Hausdorff measure on  $(\partial X, d_x)$ .

Let  $\gamma = [x, y]$  be a geodesic segment in X. The definitions of  $d_x$  and  $d_y$  imply that

$$d_x = e^{-d(x,y)}d_y$$
 on  $Cyl_x(\gamma) \subseteq \partial X$ .

Therefore, by definition of Hausdorff measures,

$$\mathcal{H}_x^s = e^{-sd(x,y)} \mathcal{H}_y^s \text{ on } Cyl_x(\gamma).$$

In view of Remark 4.1, this can be used to show that for s equal to the Hausdorff dimension of  $\partial X$ , the family  $(\mathcal{H}_x^s)_x$  is a nonzero s-dimensional conformal density and thus provides a family of Patterson-Sullivan measures on  $\partial X$ . However, we will not use this fact in our arguments.

**Remark 4.4.** Note also, that if for every  $e \in E\Gamma$  and  $s \geq 0$  we take a lift f of e to X with origin x and denote  $\theta_{e,s} := \mathcal{H}_x^s(Cyl(f))$ , then the numbers  $\theta_e$  satisfy the system of equations (\*) from Proposition 4.3:

$$\theta_{e,s} = \sum_{e' \in b(e)} \exp(-sL(e))\theta_{e',s} \quad e \in E\Gamma.$$

## 5. Perron-Frobenius theory for metric trees

Systems of equations of the type (\*) appearing in Proposition 4.3 arise in various contexts and can be studied by the theory of Perron-Frobenius-Ruelle. The matrix  $A_{\mathcal{L}}(s)$  of the system of equations (\*) in part (2) of Proposition 4.3 is a transfer operator, and the statements of Lemma 5.3 and Corollary 5.4 below are standard facts about transfer operators (see for example the article of Guillopé [18] where dynamics on metric trees is studied in detail.) In the probabilistic setting, Perron-Frobenius theory can be applied to study random walks on trees with finitely many cone types (among them trees with finite quotients). In particular it allows the computation of the rate of escape of a random walk and of the spectral radius of its transition operator, see [32, 33].

Below we shall give a self-contained exposition of the basic facts from the Perron-Frobenius theory that we need. We shall adapt to our situation the approach of Edgar [15] to the study of self-similar fractals through so-called Mauldin-Williams graphs [31]. In particular, the proof of Lemma 5.3 below follows closely the proof of Theorem 6.6.6 in [15].

First we need to recall some basic facts of the classical Perron-Frobenius theory (see [28, 40] for a detailed exposition). If A is a matrix with real

coefficients, we will denote by r(A) the spectral radius of A. Recall that a nonnegative matrix A is called *irreducible* if for every position ij there exists an integer n > 0 such that  $(A^n)_{ij} > 0$ . If A is a matrix, the notation  $A \ge 0$  means that all entries of A are nonnegative and the notation A > 0 means that all entries of A are positive. If A and B are matrices of the same size, we write  $A \le B$  if  $B - A \ge 0$  and A < B if B - A > 0.

**Proposition-Definition 5.1** (Perron-Frobenius Theorem). Let  $A \ge 0$  be an irreducible nonnegative  $n \times n$ -matrix,  $n \ge 1$ . Then:

- (1) The number r(A) > 0 is an eigenvalue of A of multiplicity 1.
- (2) There exists a (unique up to a scalar multiple) column vector Y > 0 such that AY = r(A)Y.
- (3) If  $Y = \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix} \ge 0$ , a nonzero column vector, and  $\lambda \in \mathbb{R}$  are such that  $AY = \lambda Y$ , then  $\lambda = r(A)$ .
- (4) Suppose that  $Y \geq 0$ , a nonzero column vector and  $\lambda \in \mathbb{R}$  are such that  $AY \leq \lambda Y$  and such that for some coordinate i we have  $(AY)_i < \lambda y_i$ . Then  $r(A) < \lambda$ .
- (5) Suppose that  $Y \geq 0$ , a nonzero column vector, and  $\lambda \in \mathbb{R}$  are such that  $AY \geq \lambda Y$ . Then  $r(A) \geq \lambda$ .

The number r(A) is called the *Perron-Frobenius eigenvalue* of A. A column eigenvector Y > 0 such that AY = r(A)Y is called a *(right) Perron-Frobenius eigenvector* of A.

In this situation the transposed matrix  $A^T$  is also irreducible and  $r(A) = r(A^T)$ , so that A and  $A^T$  have the same Perron-Frobenius eigenvalue. If U is a right Perron-Frobenius eigenvector of  $A^T$ , the row-vector  $U^T$  is called a left Perron-Frobenius eigenvector of A.

Convention 5.2. For the remainder of this section let  $F, \Gamma, X, \mathcal{L}, d$  be as in part (2) of Convention 3.3. Let  $n = \#E\Gamma$  be the number of oriented edges of  $\Gamma$  and let us fix an ordering  $e_1, \ldots, e_n$  on  $E\Gamma$ .

Let  $H(\Gamma)$  denote the reduced line graph of  $\Gamma$ . Thus the vertex set of  $H(\Gamma)$  is  $E\Gamma$ . The set of oriented edges of  $H(\Gamma)$  consists of those  $\gamma \in \mathcal{P}(\Gamma)$  which contain exactly two edges of  $\Gamma$ . If  $\gamma = ee'$  is an edge of  $H(\Gamma)$ , then the origin of  $\gamma$  in  $H(\Gamma)$  is e and the terminus of  $\gamma$  in  $H(\Gamma)$  is e'. The inverse edge of  $\gamma$  in  $H(\Gamma)$  is the path  $(e')^{-1}e^{-1}$  in  $\Gamma$ . Let M be the adjacency matrix of  $H(\Gamma)$ . Thus M is an  $n \times n$ -matrix where the entry in the position ij is defined as:

$$m_{ij} := \begin{cases} 1, & \text{if } e_i e_j \in \mathcal{P}(\Gamma) \\ 0, & \text{otherwise.} \end{cases}$$

Denote  $A_{\mathcal{L}}(s) := Diag(e^{-sL(e_1)}, \dots, e^{-sL(e_n)})M$ . The system (\*) from part (2) of Proposition 4.3 rewrites as the matrix equation:

$$A_{\mathcal{L}}(s) \begin{bmatrix} w_{e_1} \\ \vdots \\ w_{e_n} \end{bmatrix} = \begin{bmatrix} w_{e_1} \\ \vdots \\ w_{e_n} \end{bmatrix}.$$

Let  $\Phi_{\mathcal{L}}(s)$  denote the spectral radius of  $A_{\mathcal{L}}(s)$ .

# Lemma 5.3. The following hold:

- (1) The matrices  $A_{\mathcal{L}}(s)$  and  $A_{\mathcal{L}}(s)^T$  are nonnegative and irreducible for every  $s \in \mathbb{R}$ .
- (2) The function  $\Phi_{\mathcal{L}}(s)$  is continuous and strictly monotone decreasing on the interval  $0 \le s < \infty$ .
- (3) We have  $\Phi_{\mathcal{L}}(0) > 1$ .

*Proof.* Recall that  $\Gamma$  is finite, connected, has no degree-one vertices and  $\pi_1(\Gamma)$  is a free group of rank  $k \geq 2$ . Therefore the graph  $H(\Gamma)$  is strongly connected and hence its adjacency matrix M is nonnegative irreducible and the same is true for its transpose  $M^T$ . The matrix  $A_{\mathcal{L}}(s)$  is obtained from M by multiplying the i-th row of M by a positive number  $e^{-sL(e_i)}$  for each  $i=1,\ldots,n$ . Hence  $A_{\mathcal{L}}(s)$  and  $A_{\mathcal{L}}(s)^T$  are nonnegative and irreducible.

The continuity of  $\Phi_{\mathcal{L}}(s)$  follows from its definition.

Suppose now that 
$$0 \le s < s'$$
. Let  $Y = \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix}$  be a positive Perron-

Frobenius eigenvector of  $A_{\mathcal{L}}(s)$ , so that  $A_{\mathcal{L}}(s)Y = \Phi_{\mathcal{L}}(s)Y$ . Since  $L(e_i) \geq 0$ , the functions  $e^{-sL(e_i)}$  are monotone non-increasing for each i. Hence component-wise  $a_{ij}(s) \leq a_{ij}(s')$  and therefore  $A_{\mathcal{L}}(s')Y \leq A_{\mathcal{L}}(s)Y = \Phi_{\mathcal{L}}(s)Y$ . Moreover, there is some edge  $e_i$  with  $L(e_i) > 0$  and hence  $[A_{\mathcal{L}}(s')Y]_i < [A_{\mathcal{L}}(s)Y]_i = \Phi_{\mathcal{L}}(s)y_i$ . Therefore  $\Phi_{\mathcal{L}}(s') < \Phi_{\mathcal{L}}(s)$ , as claimed.

Finally, note that  $A_{\mathcal{L}}(0) = M$  and  $\Phi_{\mathcal{L}}(0)$  is the Perron-Frobenius eigenvalue of M. The fundamental group of  $H(\Gamma)$  is free of rank at least two. Hence the universal cover of  $H(\Gamma)$  has exponential growth, that is, the spectral radius of M is bigger than 1.

Corollary 5.4. For every non-singular semi-metric structure  $\mathcal{L}$  on  $\Gamma$  there exists a unique s > 0 such that  $\Phi_{\mathcal{L}}(s) = 1$ , namely  $s = h_{\mathcal{L}}(X)$ .

*Proof.* Lemma 5.3 implies that there is at most one s>0 such that  $\Phi_{\mathcal{L}}(s)=$ 1. The existence of Patterson-Sullivan measures (Proposition-Definition 3.12) and Proposition 4.3 guarantee that when  $s = h_{\mathcal{L}}(X)$ , the Perron-Frobenius eigenvalue of  $A_{\mathcal{L}}(s)$  is equal to 1, that is, that  $\Phi_{\mathcal{L}}(h_{\mathcal{L}}(X)) = 1$ .

We will now rewrite the system (\*)  $A_{\mathcal{L}}(s)Y = Y$  in the form allowing to apply the Implicit Function Theorem. This system is equivalent to the following n equations:

$$e^{-sL(e_i)}(m_{i1}y_1 + \dots + m_{in}y_n) - y_i = 0, \quad i = 1,\dots, n.$$

To express  $y_1, \ldots, y_n, s$  as implicit functions of  $L(e_1), \ldots, L(e_n)$  we need an extra normalizing equation:  $y_1^2 + \cdots + y_n^2 = 1$ .

**Proposition 5.5.** Let  $L_1 = L(e_1), \ldots, L_n = L(e_n)$  be a non-singular semimetric structure  $\mathcal{L}$  on  $\Gamma$ . Put

$$F_i(L_1, \dots, L_n, y_1, \dots, y_n, s) := e^{-sL_i}(m_{i1}y_1 + \dots + m_{in}y_n) - y_i$$

for  $i = 1, \ldots, n$ , and

$$F_{n+1}(L_1,\ldots,L_n,y_1,\ldots,y_n,s) := y_1^2 + \cdots + y_n^2 - 1.$$

Consider the following system of n + 1 equations in 2n + 1 variables:

(!) 
$$F_i(L_1, \dots, L_n, y_1, \dots, y_n, s) = 0, \quad i = 1, \dots, n+1$$

Let J be the Jacobian of this system, that is the  $(n+1) \times (n+1)$ -matrix consisting of the partial derivatives of  $F_1, \ldots, F_{n+1}$  with respect to  $y_1, \ldots, y_n, s$ :

$$J_{ij} = \begin{cases} & \frac{\partial F_i}{\partial y_j} & 1 \le i \le n+1, 1 \le j \le n \\ & \frac{\partial F_i}{\partial s} & 1 \le i \le n+1, j = n+1. \end{cases}$$

Suppose  $s > 0, y_i > 0$ , for i = 1, ..., n, are such that the point z = $(L_1,\ldots,L_n,y_1,\ldots,y_n,s)$  satisfies the system (!). Then  $\det J|_z\neq 0$ .

*Proof.* Let us compute the matrix J at z, using the information that z

satisfies (!). We will denote  $a_{ij} = (A_{\mathcal{L}}(s))_{ij} = e^{-sL_i}m_{ij}$ . For  $i \neq j, 1 \leq i, j \leq n$  we get  $\frac{\partial F_i}{\partial y_j} = e^{-sL_i}m_{ij} = a_{ij}$ . For i = j we get  $\frac{\partial F_i}{\partial y_i} = e^{-sL_i}m_{ii} - 1 = a_{ii} - 1$ . Thus in the upper left corner of J we see the  $n \times n$  matrix  $A_{\mathcal{L}}(s) - I_n$ . Let us compute  $\frac{\partial F_i}{\partial s}$ . We have

$$\frac{\partial F_i}{\partial s} = -L_i e^{-sL_i} (m_{i1}y_1 + \dots + m_{in}y_n) = -L_i y_i$$
 for  $i = 1, \dots, n$ ,

where the last equality holds since  $F_i(z) = 0$ .

Finally, the last row of J obtained by differentiating  $F_{n+1} = y_1^2 + \cdots + y_n^2 + \cdots +$  $y_n^2 - 1$  along  $y_1, ..., y_n, s$  is  $[2y_1 \ 2y_2 \ ... \ 2y_n \ 0]$ .

Thus

$$J = \begin{bmatrix} a_{11} - 1 & a_{12} & a_{13} & \dots & a_{1n} & -L_1 y_1 \\ a_{21} & a_{22} - 1 & a_{23} & \dots & a_{2n} & -L_2 y_2 \\ \vdots & \vdots & \vdots & \dots & \vdots & \vdots \\ a_{i1} & a_{i2} & a_{i3} & \dots & a_{in} & -L_i y_i \\ \vdots & \vdots & \vdots & \dots & \vdots & \vdots \\ a_{n1} & a_{n2} & a_{n3} & \dots & a_{nn} - 1 & -L_n y_n \\ 2y_1 & 2y_2 & 2y_3 & \dots & 2y_n & 0 \end{bmatrix}$$

We claim that the rows of the matrix J are linearly independent and hence

$$\det J \neq 0$$
. The column vector  $Y = \begin{bmatrix} y_1 \\ \vdots \\ y_n \end{bmatrix}$  satisfies  $(A_{\mathcal{L}}(s) - I_n)Y = 0$ . This

implies that the last row of J is perpendicular to the first n rows.

Since Y > 0, it therefore suffices to show that the first n rows of J are linearly independent.

Note that  $\det(A_{\mathcal{L}}(s) - I_n) = 0$ . However the matrix  $A_{\mathcal{L}}(s) - I_n$  has rank n-1 since 1 is the Perron-Frobenius eigenvalue of  $A_{\mathcal{L}}(s)$  and hence has multiplicity one. Thus, up to a scalar, there is only one nontrivial linear relation between the rows of  $A_{\mathcal{L}}(s) - I_n$ . This relation is given by the left Perron-Frobenius eigenvector  $Q = [q_1, \ldots, q_n]$  of  $A_{\mathcal{L}}(s)$ . Indeed  $QA_{\mathcal{L}}(s) = Q$  and  $Q[A_{\mathcal{L}}(s) - I_n] = 0$ . Note that  $q_i > 0$  for all  $i = 1, \ldots, n$ .

Suppose that the first n rows of J are linearly dependent and that we have a nonzero row vector Z of length n such that  $ZJ_n=0$  where  $J_n$  is the  $n\times (n+1)$  matrix consisting of the first n rows of J. Then Z is also a relation between the first n rows of  $A_{\mathcal{L}}(s)-I_n$  and hence Z is a multiple of Q. Thus  $QJ_n=0$ .

However, when we multiply Q by the last column of  $J_n$  to compute the (n+1)-st entry in  $QJ_n$ , we get  $-L_1y_1q_1 - L_2y_2q_2 - \cdots - L_ny_nq_n$ .

This number is strictly negative since  $L_i \geq 0, y_i > 0, q_i > 0$  for all  $i = 1, \ldots, n$  and there is some i such that  $L_i > 0$ . This gives us a contradiction with the fact that  $QJ_n = 0$ .

For the remainder of this section we will denote an *n*-tuple  $(p_1, \ldots, p_n) \in \mathbb{R}^n$  by  $\overline{p}$ .

Corollary 5.6. Let  $L_1^{(0)} = L^{(0)}(e_1), \ldots, L_n^{(0)} = L^{(0)}(e_n)$  be a non-singular semi-metric structure  $\mathcal{L}^{(0)}$  on  $\Gamma$ . Suppose  $s^{(0)} > 0, y_i^{(0)} > 0$ , where  $i = 1, \ldots, n$ , are such that the point  $z^{(0)} = (\overline{L}^{(0)}, \overline{y}^{(0)}, s^{(0)}) \in \mathbb{R}^{2n+1}$  satisfies the system (!). Then there exist an open neighborhood U of  $\overline{L}^{(0)}$  in  $\mathbb{R}^n$  and

real-analytic functions  $s = s(\overline{L}), y_i = y_i(\overline{L})$  on U such that for every  $\overline{L} \in U$ the point

$$(\overline{L}, y_1(\overline{L}), \dots, y_n(\overline{L}), s(\overline{L})) \in \mathbb{R}^{2n+1}$$

satisfies (!) and such that  $y_i(\overline{L}^{(0)}) = y_i^{(0)}$ ,  $s(\overline{L}^{(0)}) = s^{(0)}$ . Moreover, whenever  $\overline{L} \in U$  defines a non-singular semi-metric structure  $\mathcal{L}$  on  $\Gamma$ , then s(L) is equal to the critical exponent of  $(\Gamma, d_{\mathcal{L}})$  and  $(y_1(\overline{L}), \ldots, y_n(\overline{L}))$  is a scalar multiple of  $(w_{e_1}, \mathcal{L}, \ldots, w_{e_n}, \mathcal{L})$ .

Proof. Proposition 5.5 implies that the Implicit Function Theorem is applicable at the point  $z^{(0)}$ . Thus there exists an open neighborhood U of  $z^{(0)}$ and real-analytic functions  $s = s(\overline{L}), y_i = y_i(\overline{L})$  on U such that for every  $\overline{L} \in U$  the point

$$(\overline{L}, y_1(\overline{L}), \dots, y_n(\overline{L}), s(\overline{L})) \in \mathbb{R}^{2n+1}$$

satisfies (!) and such that  $y_i(\overline{L}^{(0)})=y_i^{(0)},\,s(\overline{L}^{(0)})=s^{(0)}.$ 

Moreover, since  $y_i^{(0)} > 0$ , we can choose U so that  $y_i = y_i(\overline{L}) > 0$ on U. Let  $\overline{L} \in U$  define a non-singular semi-metric structure  $\mathcal{L}$  on  $\Gamma$ . By Proposition 4.3 the critical exponent  $h = h_{\mathcal{L}}$  of  $(\tilde{\Gamma}, d_{\mathcal{L}})$  satisfies the property that  $\Phi_{\mathcal{L}}(h) = 1$ . Also, by construction,  $\Phi_{\mathcal{L}}(s(\overline{L})) = 1$ . Corollary 5.4 now implies that  $h = s(\overline{L})$ . Moreover, Proposition 4.3 and the definition of the functions  $y_i(L_1, \ldots, L_n)$  imply that both

$$(w_{e_1,\mathcal{L}},\ldots,w_{e_n,\mathcal{L}})$$

and

$$(y_1(\overline{L}),\ldots,y_n(\overline{L}))$$

are Perron-Frobenius eigenvectors of the matrix  $A_{\mathcal{L}}(h)$ . Therefore they are scalar multiples of each other, as required. 

# 6. Patterson-Sullivan currents

The following is essentially a corollary of Proposition 1 of Furman [16].

**Proposition-Definition 6.1** (Patterson-Sullivan current). Let G, X be as in Convention 3.3. Let  $(\mu_x)_{x\in X}$  be a family of Patterson-Sullivan measures on  $\partial X$  and let  $\mu = \mu_y$  for some  $y \in X$ . Then there exists a unique, up to a scalar multiple, G-invariant and flip-invariant nonzero locally finite measure  $\nu$  on  $\partial^2 X$  in the measure class of  $\mu \times \mu$ .

Moreover, this measure  $\nu$  is of the form

$$d\nu(\xi,\zeta) = e^{2hf_{\mu}(\xi,\zeta)}d\mu(\xi)d\mu(\zeta),$$

where  $f_{\mu}: \partial^2 X \to \mathbb{R}_+$  is a symmetric Borel function which is within bounded distance from the function  $d(x, [\xi, \zeta])$ , and h is the critical exponent of X.

Such a measure  $\nu$  is called an X-Patterson-Sullivan current for this action of G on X. Since  $\nu$  is unique up to a scalar multiple, its projective class  $[\nu]$  is called the projective X-Patterson-Sullivan current.

For the remaining part of this section let  $F, \Gamma, \mathcal{L}, X, d, \alpha$  be as in Part 2) of Convention 3.3.

**Definition 6.2.** Recall that the choice of simplicial chart  $\alpha$  defines a homeomorphism  $\hat{\alpha}: \partial^2 F \to \partial^2 X$ . Let  $\nu$  be an X-Patterson-Sullivan current. Then its pull-back  $\hat{\alpha}_*(\nu)$  is an F-invariant measure on  $\partial^2 F$  which is called an F-Patterson-Sullivan current for the pair  $(\alpha, \mathcal{L})$ . Its projective class  $[\nu]$  is called the projective F-Patterson-Sullivan current for the pair  $(\alpha, \mathcal{L})$ .

We now proceed to give an explicit formula for the X-Patterson-Sullivan current associated with the action of F on X.

**Proposition 6.3.** Let  $z \in X$ , and let  $h = h_{\mathcal{L}}(X)$  be the critical exponent of X. Let  $(\mu_x)_x$  be a family of Patterson-Sullivan measures on  $\partial X$  and let  $w_e$  be defined as in Convention 4.2.

Then the measure  $\nu$  on  $\partial^2 X$  given by the formula

$$d\nu(\xi,\zeta) = e^{2hd(z,[\xi,\zeta])}d\mu_z(\xi)d\mu_z(\zeta)$$

is an X-Patterson-Sullivan current.

Moreover, for any path  $\gamma = [x, y] \in \mathcal{P}(X)$  with label  $j(\gamma) \in \mathcal{P}(\Gamma)$  we have

$$(\dagger) \qquad \qquad \nu(Cyl_X(\gamma)) = e^{-hL(\gamma)} \Big(\sum_{e \in b(e')} w_e\Big) \Big(\sum_{e \in b(e'')} w_e\Big)$$

where  $(e')^{-1} \in E\Gamma$  is the label of the first edge of  $\gamma$  and  $e'' \in E\Gamma$  is the label of the last edge of  $\gamma$ .

*Proof.* We will first show that  $(\dagger)$  defines a geodesic current on  $\partial X$ . That is, we claim that there exists a unique geodesic current  $\nu'$  such that for every  $\gamma$  as in the statement of the proposition

$$\nu'(Cyl_X(\gamma)) = e^{-hL(\gamma)} \left( \sum_{e \in b(e')} w_e \right) \left( \sum_{e \in b(e'')} w_e \right).$$

In view of the definition of  $w_e$ 's the above formula is equivalent to

$$(\ddagger) \qquad \nu'(Cyl_X(\gamma)) = e^{-hL(\gamma)}\mu_x(Cyl_y(\gamma^{-1}))\mu_y(Cyl_x(\gamma)).$$

The uniqueness of  $\nu'$  is obvious. Also, by construction  $\nu'$  is F-invariant, provided that  $\nu'$  is a measure. Thus it remains to show that the above formula does define a measure on  $\partial^2 X$ .

To do this we need to check (see, for example, [25]) that for every  $\gamma$  as above

$$\nu'(Cyl_X(\gamma)) = \sum_{f \in b(\gamma)} \nu'(Cyl_X(\gamma f))$$

and

$$\nu'(Cyl_X(\gamma)) = \sum_{f \in a(\gamma)} \nu'(Cyl_X(f\gamma)).$$

We will verify the first formula, as the second one is completely analogous. By  $(\ddagger)$  applied to each of the paths  $\gamma f$ , where  $f \in b(\gamma)$ , we have

$$\begin{split} \nu'(Cyl_X(\gamma f)) &= e^{-hL(\gamma f)} \mu_x(Cyl_{t(f)}(f^{-1}\gamma^{-1})) \mu_{t(f)}(Cyl_x(\gamma f)) = \\ &e^{-hL(\gamma f)} \mu_x(Cyl_y(\gamma^{-1})) \mu_{t(f)}(Cyl_x(\gamma f)) = \\ &e^{-hL(\gamma f)} \mu_x(Cyl_y(\gamma^{-1})) \mu_{t(f)}(Cyl_y(f)). \end{split}$$

Since

$$Cyl_x(\gamma) = \bigsqcup_{f \in b(\gamma)} Cyl_x(\gamma f),$$

it follows that

$$\mu_y(Cyl_x(\gamma)) = \sum_{f \in b(\gamma)} \mu_y(Cyl_x(\gamma f)).$$

Therefore

$$\begin{split} \nu'(Cyl_X(\gamma)) &= e^{-hL(\gamma)}\mu_x(Cyl_y(\gamma^{-1}))\mu_y(Cyl_x(\gamma)) = \\ &e^{-hL(\gamma)}\mu_x(Cyl_y(\gamma^{-1}))\big(\sum_{f\in b(\gamma)}\mu_y(Cyl_x(\gamma f))\big) = \\ &e^{-hL(\gamma)}\mu_x(Cyl_y(\gamma^{-1}))\big(\sum_{f\in b(\gamma)}\mu_y(Cyl_y(f))\big) = \\ &\text{by Remark 4.1} \\ &e^{-hL(\gamma)}\mu_x(Cyl_y(\gamma^{-1}))\big(\sum_{f\in b(\gamma)}e^{-hL(f)}\mu_{t(f)}(Cyl_y(f))\big) = \\ &\sum_{f\in b(\gamma)}e^{-hL(\gamma f)}\mu_x(Cyl_y(\gamma^{-1}))\mu_{t(f)}(Cyl_y(f)) = \\ &\sum_{f\in b(\gamma)}\nu'(Cyl_X(\gamma f)). \end{split}$$

Thus  $\nu'$  is indeed a geodesic current. We will now show that  $\nu' = \nu$ , where the measure  $\nu$  on  $\partial^2 X$  is defined by  $(\clubsuit)$ . It suffices to show that  $\nu(Cyl_X(\gamma)) = \nu'(Cyl_X(\gamma))$  for every  $\gamma \in \mathcal{P}(X)$ . Let  $\gamma = [x, y] \in \mathcal{P}(X)$ . We need to consider the following two cases.

$$\begin{split} \mathbf{Case} \ \mathbf{1:} \ d(z,[x,y]) &> 0. \\ \mathbf{Let} \ z' \in [x,y] \ \mathbf{be} \ \mathbf{such} \ \mathbf{that} \ d(z,z') = d(z,[x,y]). \ \mathbf{Then} \\ & \nu(Cyl_X(\gamma)) = e^{2hd(z,z')} \mu_z(Cyl_z([z,x])) \mu_z(Cyl_z([z,y])) = \\ & e^{2hd(z,z')} e^{-hd(z,z')} \mu_{z'}(Cyl_{z'}([z',x])) e^{-hd(z,z')} \mu_{z'}(Cyl_{z'}([z',y])) = \\ & \mu_{z'}(Cyl_{z'}([z',x])) \mu_{z'}(Cyl_{z'}([z',y])) = \\ & e^{-hd(z',x)} \mu_x(Cyl_{z'}([z',x])) e^{-hd(z',y)} \mu_y(Cyl_{z'}([z',y])) = \\ & e^{-hd(x,y)} \mu_x(Cyl_{z'}([z',x])) \mu_y(Cyl_{z'}([z',y])) = \\ & e^{-hd(x,y)} \mu_x(Cyl_y([y,x])) \mu_y(Cyl_x([x,y])) = \\ & e^{-hL(\gamma)} \mu_x(Cyl_y(\gamma^{-1})) \mu_y(Cyl_x(\gamma)) = \nu'(Cyl_X(\gamma)). \end{split}$$

**Case 2:** d(z, [x, y]) = 0 (so that  $z \in [x, y]$ ).

We will assume that  $z \neq x, z \neq y$ . The argument is easily adapted for the cases z = x or z = y.

Then

$$\begin{split} \nu(Cyl_X(\gamma)) &= e^{2hd(z,[x,y])} \mu_z(Cyl_z([z,x])) \mu_z(Cyl_z([z,y])) = \\ &\quad \mu_z(Cyl_z([z,x])) \mu_z(Cyl_z([z,y])) = \\ &e^{-hd(z,x)} \mu_x(Cyl_z([z,x])) e^{-hd(z,y)} \mu_y(Cyl_z([z,y])) = \\ &e^{-hd(x,y)} \mu_x(Cyl_z([z,x])) \mu_y(Cyl_z([z,y])) = \\ &e^{-hd(x,y)} \mu_x(Cyl_y([y,x])) \mu_y(Cyl_x([x,y])) = \\ &e^{-hL(\gamma)} \mu_x(Cyl_y(\gamma^{-1})) \mu_y(Cyl_x(\gamma)) = \nu'(Cyl_X(\gamma)). \end{split}$$

Therefore  $\nu = \nu'$ , which completes the proof of Proposition 6.3

# 7. THE CULLER-VOGTMANN OUTER SPACE

The Culler-Vogtmann outer space, introduced by Culler and Vogtmann in a seminal paper [13], is a free group analogue of the Teichmüller space of a closed surface of negative Euler characteristic. We refer the reader to [13, 8, 3, 19] for a detailed discussion and the proofs of the basic facts that are listed in this section.

**Definition 7.1** (Outer space). Let F be a free group of finite rank  $k \geq 2$ . A marked metric graph structure on F is a pair  $(\alpha, \mathcal{L})$ , where  $\alpha : F \to \pi_1(\Gamma, p)$  is a simplicial chart for F and  $\mathcal{L}$  is a metric graph structure on  $\Gamma$ . A marked

metric graph structure is minimal if  $\Gamma$  has no degree-one and degree-two vertices.

Two marked metric graph structures  $(\alpha_1 : F \to \pi_1(\Gamma_1, p_1), \mathcal{L}_1)$  and  $(\alpha_2 : F \to \pi_1(\Gamma_2, p_2), \mathcal{L}_2)$  are *equivalent* if there exist an isometry  $\iota : (\Gamma_1, \mathcal{L}_1) \to (\Gamma_2, \mathcal{L}_2)$  and a path v from  $\iota(p_1)$  to  $p_2$  in  $\Gamma_2$  such that

$$(\iota_{\#} \circ \alpha_1)(f) = v\alpha_2(f)v^{-1}$$

for every  $f \in F$ . Clearly, minimality is preserved by equivalence of marked metric graph structures.

The Culler-Vogtmann outer space CV(F) consists of equivalence classes of all volume-one minimal marked metric graph structures on F.

**Definition 7.2** (Elementary charts). Let  $\alpha: F \to \pi_1(\Gamma, p)$  be a simplicial chart for F, where  $\Gamma$  has no degree-one and degree-two vertices.

For each non-singular semi-metric structure  $\mathcal{L}$  on  $\Gamma$  let  $\Gamma'$ ,  $\mathcal{L}'$  and q be as in Convention 3.2. Then  $q_{\#} \circ \alpha : F \to \pi_1(\Gamma', q(p))$  is a simplicial chart for F and  $(q_{\#} \circ \alpha, \mathcal{L}')$  is a minimal marked metric graph structure on F.

Denote by  $S(\Gamma)$  the set of all volume-one non-singular semi-metric structures on  $\Gamma$ . Note that if  $\Gamma$  has N non-oriented edges, then  $S(\Gamma)$  is embedded as a subset of  $\mathbb{R}^n$ . We topologize  $S(\Gamma)$  accordingly.

It is not hard to see that for two non-singular semi-metric structures  $\mathcal{L}_1, \mathcal{L}_2$  on  $\Gamma$  the pairs  $(q_\# \circ \alpha, \mathcal{L}'_1)$  and  $(q_\# \circ \alpha, \mathcal{L}'_2)$  are equivalent if and only if  $\mathcal{L}_1 = \mathcal{L}_2$ . Thus  $\alpha$  defines an injective map  $\lambda_\alpha : S(\Gamma) \to CV(F)$ ,  $\lambda_\alpha : \mathcal{L} \mapsto (q_\# \circ \alpha, \mathcal{L}')$ . This map  $\lambda_\alpha$  is called the *elementary chart in* CV(F) corresponding to  $\alpha$ .

Let now  $S_+(\Gamma)$  denote the set of all metric structures on  $\Gamma$ . If  $\Gamma$  has n oriented edges then  $S_+(\Gamma)$  is an open simplex of dimension n/2-1 in  $\mathbb{R}^n$  and  $S_+(\Gamma)$  is dense in  $S(\Gamma)$ .

**Definition 7.3** (Topology on the outer space). The outer space CV(F) is endowed with the weakest topology for which every elementary chart is a topological embedding.

As explained in [13], the outer space CV(F) is a union of open simplices of the form  $\lambda_{\alpha}(S_{+}(\Gamma))$ , where  $\lambda_{\alpha}$  is as in Definition 7.2. One can also view CV(F) as the space of projectivized hyperbolic length functions on F corresponding to free and discrete isometric actions of F on  $\mathbb{R}$ -trees.

**Definition 7.4** (Projectivized length functions). Let FLen(F) denote the space of all hyperbolic length functions  $\ell: F \to \mathbb{R}$  on F corresponding to free and discrete isometric actions of F on  $\mathbb{R}$ -trees. The space FLen(F) is endowed with the weak topology of pointwise convergence.

We will say that two length functions in FLen(F) are equivalent if they are scalar multiples of each other, and will denote by  $\mathbb{P}FLen(F)$  the space

of equivalence classes of elements of FLen(F), endowed with the quotient topology. The equivalence class of  $\ell \in FLen(F)$  is denoted  $[\ell]$ . For each  $\ell \in FLen(F)$  there exists a free discrete minimal isometric action of F on an  $\mathbb{R}$ -tree  $X_{\ell}$  such that  $\ell$  is the hyperbolic length function for this action. Moreover, the tree  $X_{\ell}$  and the corresponding action of F are unique up to an equivariant isometry. Let  $\Gamma_{\ell}$  denote the metric graph  $X_{\ell}/F$ .

Let  $FLen_1(F)$  denote the set of all  $\ell \in FLen(F)$  such that  $\Gamma_{\ell}$  has volume one. Note that every equivalence class  $[\ell] \in \mathbb{P}FLen(F)$  has a unique representative in  $FLen_1(F)$ . For each  $\ell \in FLen(F)$  the action of F on  $X_{\ell}$  defines an isomorphism  $\alpha_{\ell} : F \to \pi_1(\Gamma_{\ell}, p)$ , where  $p \in V\Gamma_{\ell}$ . Let  $\mathcal{L}_{\ell}$  denote the metric graph structure on  $\Gamma_{\ell}$  inherited from  $X_{\ell}$ . Note that the equivalence class of the marked metric graph structure  $(\alpha_{\ell}, \mathcal{L}_{\ell})$  on F does not depend on the choice of p.

The following result is well-known [13]:

- **Proposition 7.5.** (1) The restriction of the quotient map  $[]: FLen(F) \rightarrow \mathbb{P}FLen(F)$  to  $FLen_1(F)$  is a homeomorphism whose image is  $\mathbb{P}FLen(F)$ . Thus  $FLen_1(F)$  is canonically homeomorphic to  $\mathbb{P}FLen(F)$ .
  - (2) Let  $\varrho : FLen_1(F) \to CV(F)$  be the map that takes each  $\ell \in FLen_1(F)$  to the equivalence class of the marked structure  $(\alpha_\ell, \mathcal{L}_\ell)$  on F. Then  $\varrho : FLen_1(F) \to CV(F)$  is a homeomorphism.

Thus the outer space CV(F) is homeomorphic to the spaces  $FLen_1(F)$  and  $\mathbb{P}FLen(F)$ .

# 8. Proof of the main result

If  $(\alpha_1: F \to \pi_1(\Gamma_1, p_1), \mathcal{L}_1)$  and  $(\alpha_2: F \to \pi_1(\Gamma_2, p_2), \mathcal{L}_2)$  are two equivalent pairs representing the same point  $\eta \in CV(F)$ , then  $\mathbb{R}$ -trees  $X_1 = \widetilde{\Gamma}_1$  and  $X_2 = \widetilde{\Gamma}_2$  are F-equivariantly isometric and the corresponding hyperbolic length functions are equal. Hence it follows from Proposition 2 of Furman [16] (and it is also easy to see this directly) that the projective F-Patterson-Sullivan currents corresponding to  $(\alpha_1, \mathcal{L}_1)$  and  $(\alpha_2, \mathcal{L}_2)$  coincide (see Definition 6.2). Hence the following map is well-defined:

**Definition 8.1** (Patterson-Sullivan map and Hausdorff dimension map). Let F be a free group of finite rank  $k \geq 2$  and let CV(F) denote the outer space.

Let  $\eta \in CV(F)$ . Thus  $\eta$  is represented as an equivalence class of  $(\alpha, \mathcal{L})$ , where  $\alpha : F \to \pi_1(\Gamma, p)$  is a simplicial chart on F such that  $\Gamma$  is a finite connected graph without degree-one and degree-two vertices and where  $\mathcal{L}$  is a volume-one metric graph structure on  $\Gamma$ . Let  $X = \widetilde{\Gamma}$  and let d be the

metric on X induced by  $\mathcal{L}$ . Define  $\tau(\eta)$  to be the projective F-Patterson-Sullivan current on F corresponding to  $(\alpha, \mathcal{L})$ . Also define  $h(\eta)$  to be the Hausdorff dimension of  $\partial X$  (which, as we have seen, is equal to the critical exponent  $h_{\mathcal{L}}(X)$ .)

This defines a map  $\tau: CV(F) \to \mathbb{P}Curr(F)$ , which we will call the Patterson-Sullivan map, and a map  $h: CV(F) \to \mathbb{R}$ , which we will call the Hausdorff dimension map.

**Theorem 8.2.** The Patterson-Sullivan map  $\tau: CV(F) \to \mathbb{P}Curr(F)$  is a topological embedding. The Hausdorff dimension map  $h: CV(F) \to \mathbb{R}$  is continuous and, moreover, the restriction of h to any open simplex in CV(F) is real-analytic.

*Proof.* Since CV(F) is locally compact, in order to prove that  $\tau$  is a topological embedding it suffices to show that  $\tau$  is continuous and injective.

Injectivity follows from Theorem 2 of Furman [16]. Recall the identification of CV(F) with  $\mathbb{P}FLen(F)$  from Proposition 7.5. If  $\tau([\ell_1]) = \tau([\ell_2])$  for  $\ell_1, \ell_2 \in FLen(F)$  then Theorem 2 of [16] implies that there is r > 0 such that  $r\ell_1 = \ell_2$  and hence  $[\ell_1] = [\ell_2]$ .

We now establish that  $\tau$  and h are continuous. Since every point of the outer space is contained in finitely many elementary charts, it suffices to prove that  $\tau$  and h are continuous on the image of every elementary chart in CV(F).

Let  $\alpha: F \to \pi_1(\Gamma, p)$  be a simplicial chart for F, where  $\Gamma$  has no degreeone and degree-two vertices. Let  $\lambda_{\alpha}$  be the elementary chart in CV(F)determined by  $\alpha$ . Recall that the image  $Im(\lambda_{\alpha})$  of  $\lambda_{\alpha}$  consists of all points of CV(F) corresponding to volume-one semi-metric structures on  $\Gamma$  where all the edges with zero length are contained in a (possibly empty) subtree of  $\Gamma$ . Corollary 5.6 and formula ( $\dagger$ ) in Proposition 6.3 imply that  $\tau|_{Im(\lambda_{\alpha})}$  and  $h|_{Im(\lambda_{\alpha})}$  are continuous and, moreover, the restriction of h to the interior of  $Im(\lambda_{\alpha})$  is real-analytic.

Remark 8.3 (Out(F)-Equivariance). It is easy to see that the Patterson-Sullivan map  $\tau$  is equivariant with respect to the left action of Out(F) and, in fact, a similar statement holds in the general word-hyperbolic context considered by Furman [16]. It is even easier to see that h is constant on each Out(F)-orbit and thus factors to a continuous map on the moduli space  $\overline{h}: CV(F)/Out(F) \to \mathbb{R}$ .

Indeed, suppose  $(\alpha : F \to \pi_1(\Gamma, p), \mathcal{L})$  represents a point  $\eta \in CV(F)$  and let  $\phi \in Aut(F)$ . Let  $X = \widetilde{\Gamma}$ , equipped with the metric d induced by  $\mathcal{L}$ .

By definition of the left action of Aut(F) (and of Out(F)) on CV(F), the point  $\phi\eta \in CV(F)$  is the equivalence class of  $(\phi^{-1} \circ \alpha, \mathcal{L})$ . For both

 $\eta$  and  $\phi\eta$  the metric graph  $(\Gamma, \mathcal{L})$  is the same. This already implies that  $h(\eta) = h(\phi\eta)$ .

The action of F on X corresponding to  $\phi\eta$  is obtained from the F-action on X corresponding to  $\eta$  by a pre-composition with  $\phi^{-1}$ . The definitions imply that if  $(\mu_x)_x$  is a family of Patterson-Sullivan measures on  $\partial X$  corresponding to the action of F on X via  $\alpha$ , then  $(\mu_x)_x$  is also a family of Patterson-Sullivan measures on  $\partial X$  corresponding to the action of F on X via  $\phi^{-1} \circ \alpha$ . Hence if  $\nu$  is an X-Patterson-Sullivan current corresponding to the action of F on X via  $\alpha$ , then  $\nu$  is also an X-Patterson-Sullivan current corresponding to the action of F on X via  $\phi^{-1} \circ \alpha$ .

Denote  $\nu_1 := \hat{\alpha}_*(\nu)$  and  $\nu_2 := [\hat{\phi}^{-1} \circ \hat{\alpha}]_*(\nu)$ , so that  $\tau(\eta) = [\nu_1]$  and  $\tau(\phi\eta) = [\nu_2]$ . Definitions then imply that  $\nu_2 = (\hat{\phi}^{-1})_*\nu_1$ , that is, for any Borel subset  $A \subseteq \partial^2 F$  we have  $\nu_2(S) = \nu_1(\hat{\phi}^{-1}(A))$ . By definition of the left action of Aut(F) on Curr(F) (see [25]) we have  $(\phi\nu_1)(A) = \nu_1(\hat{\phi}^{-1}(A))$ . Thus  $\nu_2 = \phi\nu_1$  and hence  $\tau(\phi\eta) = \phi(\tau\eta)$ , as claimed.

# 9. The minimal volume entropy problem

Our goal in this section is to prove Theorem B from the Introduction.

Convention 9.1. For the remainder of this section let  $k \geq 2$ , and let  $\Gamma$  be a finite connected graph whose fundamental group  $F = \underbrace{\pi_1(\Gamma, p)}$  with respect to a base vertex  $p \in V\Gamma$  is free of rank k. Let  $X = (\Gamma, p)$ , and let  $x_0 \in VX$  be a fixed lift of p. For  $R \geq 0$  put

$$b_R = b_{R,\mathcal{L}} = \#\{x \in VX | d_{\mathcal{L}}(x_0, x) \le R\}.$$

Since the action of F on X is cocompact, Lemma 3.9 implies:

Corollary 9.2. Let  $\mathcal{L}$  be a metric graph structure on  $\Gamma$  and let  $d_{\mathcal{L}}$  be the corresponding metric on X. Then

$$h_{\mathcal{L}}(X) = \lim_{r \to \infty} \frac{\log b_r}{r}.$$

Let  $w \in \mathcal{P}(\Gamma)$  and  $e \in E\Gamma$ . We denote by  $\langle e, w \rangle$  the number of occurrences of e in w. The following is an obvious but useful fact:

**Lemma 9.3.** Let  $w \in \mathcal{P}(\Gamma)$  be a reduced path. Then

$$L_{\mathcal{L}}(w) = \sum_{e \in E\Gamma} \langle e, w \rangle L_{\mathcal{L}}(e).$$

The key step in the proof of Theorem B is the following statement, which provides a sharp bound for the volume entropy of (regular) m-valent metric graphs. Note that an m-valent graph with the fundamental group of rank k has m(k-1)/(m-2) non-oriented edges.

**Proposition 9.4.** For  $m \geq 3$  suppose  $\Gamma$  is a finite regular m-valent graph (i.e., a graph in which every vertex has degree m). Let  $\mathcal{L}$  be a volume-one metric structure on  $\Gamma$ . Then

$$h_{\mathcal{L}}(X) \ge \frac{m(k-1)}{m-2}\log(m-1).$$

*Proof.* We consider the simple non-backtracking random walk on  $\Gamma$ . This walk can be thought of as a finite state Markov process with the state set  $E\Gamma$  and with transition probabilities defined as

$$p(e, e') = \begin{cases} \frac{1}{m-1}, & \text{if } ee' \in \mathcal{P}(\Gamma), \\ 0, & \text{otherwise,} \end{cases}$$

where  $e, e' \in E\Gamma$ .

This Markov process is irreducible since for any  $e, e' \in E\Gamma$  there exists a reduced path in  $\Gamma$  with initial edge e and terminal edge e'. The graph  $\Gamma$  has (mk-m)/(m-2) nonoriented edges and (2mk-2m)/(m-2) oriented edges. The uniform distribution  $\mu_0$  on  $E\Gamma$ , given by  $\mu_0(e) = \frac{m-2}{2mk-2m}$  for every  $e \in E\Gamma$ , is obviously invariant with respect to our Markov process. Since the process is irreducible,  $\mu_0$  is the only invariant distribution on  $E\Gamma$ .

Let  $\mu$  be the distribution on  $E\Gamma$  which is uniformly distributed on the m oriented edges starting with the base-vertex p. In other words,  $\mu(e) = 1/m$  if o(e) = p and  $\mu(e) = 0$  if  $o(e) \neq p$ .

Let  $w_n = e_1, \ldots, e_n$  be a trajectory of our process of length n. Let  $\epsilon > 0$  and  $e \in E\Gamma$ . By the Large Deviation principle (see Ch.IV-V in [14]) we have

$$\lim_{n\to\infty} \mathbb{P}_{\mu}(\left|\frac{\langle e, w_n \rangle}{n} - \frac{m-2}{2mk-2m}\right| > \epsilon) = 0.$$

Let  $\epsilon > 0$  be arbitrary. Then there is  $n_0 \ge 1$  such that for every  $n \ge n_0$  and for every  $e \in E\Gamma$  we have

$$\mathbb{P}_{\mu}(|\frac{\langle e, w_n \rangle}{n} - \frac{m-2}{2mk-2m}| \leq \epsilon) \geq \frac{1}{2}.$$

Denote

 $R(n,\epsilon) = \{w \in \mathcal{P}(\Gamma) : w \text{ consists of } n \text{ edges, and for every } e \in E\Gamma$ 

$$\left|\frac{\langle e, w \rangle}{n} - \frac{m-2}{2mk - 2m}\right| \le \epsilon \}.$$

Thus for  $n > n_0$ 

$$\#R(n,\epsilon) \ge (1/2) \cdot m \cdot (m-1)^{n-1} \ge (m-1)^{n-1}.$$

The volume of  $\Gamma$  is equal to one and hence  $\sum_{e \in E\Gamma} L_{\mathcal{L}}(e) = 2$ .

Then for every  $w \in R(n, \epsilon)$  we have:

$$L_{\mathcal{L}}(w) = \sum_{e \in E\Gamma} \langle e, w \rangle L_{\mathcal{L}}(e) \le$$

$$\sum_{e \in E\Gamma} \left( \frac{n(m-2)}{2mk - 2m} + n\epsilon \right) L_{\mathcal{L}}(e) = \left( \frac{n(m-2)}{2mk - 2m} + n\epsilon \right) \sum_{e \in E\Gamma} L_{\mathcal{L}}(e) =$$

$$\left( \frac{n(m-2)}{2mk - 2m} + n\epsilon \right) \cdot 2 = \frac{n(m-2)}{mk - m} + 2n\epsilon.$$

Distinct paths  $w \in R(n, \epsilon)$  lift to distinct reduced paths with origin  $x_0$  in X with distinct terminal vertices. All of their terminal vertices are contained in the ball of radius  $\frac{n(m-2)}{mk-m} + 2n\epsilon$  around  $x_0$  in  $(X, d_{\mathcal{L}})$ .

Therefore for  $d_{\mathcal{L}}$ 

$$b_{\frac{n(m-2)}{mk-m}+2n\epsilon} \ge (m-1)^{n-1} = (m-1)^n/(m-1).$$

Hence

$$\begin{array}{lcl} h_{\mathcal{L}}(X) & \geq & \lim_{n \to \infty} \frac{\log b_{\frac{n(m-2)}{mk-m} + 2n\epsilon}}{\frac{n(m-2)}{mk-m} - 2n\epsilon} \geq \\ & \geq & \lim_{n \to \infty} \frac{n \log(m-1) - \log(m-1)}{\frac{n(m-2)}{mk-m} + 2n\epsilon} = \frac{\log(m-1)}{\frac{(m-2)}{mk-m} + 2\epsilon}. \end{array}$$

Since this is true for an arbitrary  $\epsilon > 0$ , it follows that

$$h_{\mathcal{L}}(X) \ge \frac{\log(m-1)}{\frac{(m-2)}{mk-m}} = \frac{mk-m}{m-2}\log(m-1),$$

as claimed.  $\Box$ 

The following easy computation shows that the bound in Proposition 9.4 is realized by the "uniform" volume-one metric structure, where all edges have equal lengths.

**Lemma 9.5.** Let  $\Gamma$  be as in Proposition 9.4.

Let  $\mathcal{L}_0$  be the "uniform" volume-one metric structure on  $\Gamma$ , that is  $L_{\mathcal{L}_0}(e)=\frac{m-2}{mk-m}$  for every  $e\in E\Gamma$ . Then

$$h_{\mathcal{L}_0}(X) = \frac{m(k-1)}{m-2}\log(m-1).$$

*Proof.* Let  $d_{\mathcal{L}_0}$  be the metric on X corresponding to  $\mathcal{L}_0$ . Let  $n \geq 1$ . There are precisely  $m \cdot (m-1)^{n-1}$  reduced paths with origin  $x_0$  in X containing exactly n edges. Their terminal vertices are at  $d_{\mathcal{L}_0}$ -distance n(m-2)/(mk-1)

m) from  $x_0$ . Therefore the number of vertices in the  $d_{\mathcal{L}_0}$ -ball of radius n(m-2)/(mk-m) around  $x_0$  is equal to

$$b_{n(m-2)/(mk-m)} = 1 + \sum_{i=1}^{n} m \cdot (m-1)^{i-1} = 1 + \frac{m((m-1)^{n} - 1)}{m-2}.$$

Therefore

$$\begin{split} h_{\mathcal{L}_0}(X) = \lim_{n \to \infty} \frac{\log b_{n(m-2)/(mk-m)}}{n(m-2)/(mk-m)} = \\ \lim_{n \to \infty} \frac{n \log(m-1)}{n(m-2)/(mk-m)} = \frac{mk-m}{m-2} \log(m-1), \end{split}$$

as required.

Note that for the case m=3, corresponding to regular trivalent graphs, we have  $\frac{mk-m}{m-2}\log(m-1)=(3k-3)\log 2$ . We are now ready to prove Theorem B from the Introduction.

**Theorem 9.6.** Let  $F_k$  be a free group of finite rank  $k \geq 2$ , and let  $\mathcal{M}_k := CV(F_k)/Out(F_k)$  be the moduli space. For the function  $\overline{h} : \mathcal{M}_k \to \mathbb{R}$  we have

$$\min \overline{h} = (3k - 3) \log 2.$$

This minimum is realized by any regular trivalent connected graph  $\Gamma$  with  $\pi_1(\Gamma) \cong F_k$  (so that  $\Gamma$  has 3k-3 non-oriented edges), where each edge of  $\Gamma$  is given length 1/(3k-3).

*Proof.* The moduli space  $\mathcal{M}_k$  is a union of finitely many open simplices, corresponding to taking all volume-one metric structures on all the possible minimal graphs with fundamental group free of rank k.

Let  $(\Gamma, \mathcal{L}) \in \mathcal{M}_k$ . Then  $(\Gamma, \mathcal{L})$  can be approximated in  $\mathcal{M}_k$  by trivalent metric graphs. By Proposition 9.4 for all of these trivalent graphs the volume entropy is  $\geq (3k-3)\log 2$ . Since  $\overline{h}$  is continuous on  $\mathcal{M}_k$ , it follows that  $\overline{h}(\mathcal{L}) \geq (3k-3)\log 2$  as well. Together with Lemma 9.5 this implies the conclusion of Theorem 9.6.

**Theorem 9.7.** Let  $F_k$  be a free group of finite rank  $k \geq 2$ , and let  $\mathcal{M}_k := CV(F_k)/Out(F_k)$  be the moduli space. Then

$$\sup_{\mathcal{M}_k} \overline{h} = \infty.$$

*Proof.* First note that it suffices to prove the statement of the theorem for k=2. Indeed, suppose we know that  $\sup_{\mathcal{M}_2} \overline{h} = \infty$  and let k>2 be arbitrary.

Let  $(\Gamma, \mathcal{L})$  be a finite volume-one connected metric graph with  $\pi_1(\Gamma) \cong F_2$ . Let  $X = (\Gamma, p)$ , where p is a vertex of  $\Gamma$ , and let X be endowed with a metric  $d_{\mathcal{L}}$  induced by  $\mathcal{L}$ . Denote by d the corresponding metric on  $\partial X$ .

Put  $\Gamma_1$  to be the wedge at p of the graph  $\Gamma$  with k-2 loop-edges. Let  $\mathcal{L}_1$  be the metric structure on  $\Gamma_1$  where each of the new loop-edges is given length  $\frac{1}{2(k-2)}$  and where  $\mathcal{L}_1$  restricted to  $\Gamma$  is  $\mathcal{L}/2$ . Then  $\mathcal{L}_1$  has volume one and  $\pi_1(\Gamma_1, p) \cong F_k$ .

Let  $X_1 = (\Gamma_1, p)$ , endowed with the induced metric  $d_{\mathcal{L}_1}$ . Denote by  $d_1$  the corresponding metric on  $\partial X_1$ . Then  $X_1$  contains an isometrically embedded copy of  $(X, \frac{d_{\mathcal{L}}}{2})$  and hence  $(\partial X_1, d_1)$  contains an isometrically embedded copy of  $(\partial X, d^{1/2})$ . Taking the square root of a metric doubles the Hausdorff dimension and therefore

$$h(X_1) \ge 2h(X)$$
.

In particular,  $h(X) \to \infty$  implies  $h(X_1) \to \infty$ .

Thus we may assume that k=2. Let  $\Gamma$  be the wedge of two loop-edges at a single vertex. Denote  $E\Gamma=\{g,\bar{g},f,\bar{f}\}$ . Let  $\mathcal L$  be a volume-one metric structure on  $\Gamma$  and denote x=L(g),y=L(f), so that x+y=1 and x,y>0. Then  $\overline{h}(\mathcal L)$  is the unique number s>0 such that  $\Phi_{\mathcal L}(s)=1$ . The condition  $\Phi_{\mathcal L}(s)=1$  is equivalent to the existence of a positive vector Y>0 such that  $A_{\mathcal L}(s)Y=Y$ .

The symmetry considerations imply that  $Y_g = Y_{\bar{g}}$  and  $Y_f = Y_{\bar{f}}$ . Denote  $a = Y_g = Y_{\bar{g}}$  and  $b = Y_f = Y_{\bar{f}}$ . Then the system  $A_{\mathcal{L}}(s)Y = Y$  is:

$$\begin{cases} e^{-sx}(a+2b) = a, \\ e^{-sy}(b+2a) = b, \end{cases}$$

Up to re-scaling we may assume b=1, so that the above system transforms into the equation

(!!) 
$$4 = (e^{sx} - 1)(e^{sy} - 1).$$

Since the volume is equal to one we have y = 1 - x. For 0 < x < 1 denote by s(x) the unique value s > 0 such that the equation (!!) holds.

We claim that  $s(x) \to \infty$  as  $x \to 0+$ . Indeed, suppose not. Then there exists a sequence  $x_n > 0$ , with  $\lim_{n \to \infty} x_n = 0$  such that for the corresponding values  $s_n = s(x_n)$  we have  $s_n \le M$ , where  $0 < M < \infty$ . Also, denote  $y_n = 1 - x_n$ . Then  $e^{s_n y_n} - 1 \le e^M - 1 =: K$ . Since  $0 < s_n \le M$  and  $\lim_{n \to \infty} x_n = 0$ , we have  $\lim_{n \to \infty} e^{s_n x_n} - 1 = 0$ . Therefore there exists m > 1 such that  $0 < e^{s_m x_m} - 1 < 1/K$ . Together with  $0 < e^{s_m y_m} - 1 \le K$  this implies

$$(e^{s_m x_m} - 1)(e^{s_m y_m} - 1) \le K \cdot (1/K) = 1 < 4,$$

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yielding a contradiction.

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Department of Mathematics, University of Illinois at Urbana-Champaign, 1409 West Green Street, Urbana, IL 61801, USA http://www.math.uiuc.edu/~kapovich/

E-mail address: kapovich@math.uiuc.edu

Section de mathématiques, Université de Genève, 2-4, rue du Lièvre, c.p.64, 1211 Genève, Switzerland

http://www.math.kth.se/~tatiana/

 $E\text{-}mail\ address: \verb|tatiana.smirnova-nagnibeda@math.unige.ch||}$