

# COEFFICIENTS OF DRINFELD MODULAR FORMS AND HECKE OPERATORS

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**ABSTRACT.** Consider the space of Drinfeld modular forms of fixed weight and type for  $\Gamma_0(\mathfrak{n}) \subset \mathrm{GL}_2(\mathbf{F}_q[T])$ . It has a linear form  $b_n$ , given by the coefficient of  $t^{m+n(q-1)}$  in the power series expansion of a type  $m$  modular form at the cusp infinity, with respect to the uniformizer  $t$ . It also has an action of a Hecke algebra. Our aim is to study the Hecke module spanned by  $b_1$ . We give elements in the Hecke annihilator of  $b_1$ . Some of them are expected to be nontrivial and such a phenomenon does not occur for classical modular forms. Moreover, we show that the Hecke module considered is spanned by coefficients  $b_n$ , where  $n$  runs through an infinite set of integers. As a consequence, for any Drinfeld Hecke eigenform, we can compute explicitly certain coefficients in terms of the eigenvalues. We give an application to coefficients of the Drinfeld Hecke eigenform  $h$ .

## 1. INTRODUCTION

Drinfeld modular forms are certain analogues over  $\mathbf{F}_q[T]$  of classical modular forms, introduced by D. Goss [12, 13]. A Drinfeld modular form  $f$  has a power series expansion with respect to a canonical uniformizer  $t$  at the cusp infinity. If  $f$  has type  $m$ , this expansion is  $\sum_{n \geq 0} b_n(f) t^{m+n(q-1)}$ . On the space of Drinfeld modular forms of fixed weight and type, we have the linear form  $b_n: f \mapsto b_n(f)$  and an action of a Hecke algebra. In the present work, we investigate the Hecke module spanned by  $b_1$ .

Our interest in the problem comes from the torsion of rank-2 Drinfeld modules. In a previous work, we established a uniform bound on the torsion under an assumption on the latter Hecke module in weight 2 and type 1 (see [1, 2]). This condition was required for studying a Drinfeld modular curve at a neighborhood of the cusp infinity, namely for showing that the map from the curve (or rather a symmetric power) to a quotient of its Jacobian variety is a formal immersion at this cusp in a special fiber.

Before stating the main results, we fix some notations. Let  $A = \mathbf{F}_q[T]$  be the ring of polynomials over a finite field  $\mathbf{F}_q$  in an indeterminate  $T$ ,  $K = \mathbf{F}_q(T)$  the field of rational functions,  $K_\infty = \mathbf{F}_q((1/T))$  and  $\mathbf{C}_\infty$  the completion of an algebraic closure of  $K_\infty$ . For an ideal  $\mathfrak{n}$  of  $A$ ,  $k \in \mathbf{N}$  and  $0 \leq m < q - 1$ , we consider the  $\mathbf{C}_\infty$ -vector space  $M_{k,m}(\Gamma_0(\mathfrak{n}))$  of Drinfeld modular forms of weight  $k$  and type  $m$  for the congruence subgroup  $\Gamma_0(\mathfrak{n})$  of  $\mathrm{GL}_2(A)$  (see Section 4.1

for the definition). These are rigid analytic  $\mathbf{C}_\infty$ -valued functions on  $\mathbf{C}_\infty - K_\infty$  which have an interpretation as multi-differentials on the Drinfeld modular curve attached to  $\Gamma_0(\mathfrak{n})$ .

Let  $\mathbf{T} = \mathbf{T}_{k,m}(\Gamma_0(\mathfrak{n}))$  be the Hecke algebra, that is the commutative subring of  $\text{End}_{\mathbf{C}_\infty}(M_{k,m}(\Gamma_0(\mathfrak{n})))$  spanned over  $\mathbf{C}_\infty$  by all Hecke operators  $T_P$  for  $P$  monic polynomial in  $A$  (see Section 4.2). Its restriction  $\mathbf{T}' = \mathbf{T}'_{k,m}(\Gamma_0(\mathfrak{n}))$  to the subspace  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$  of doubly cuspidal forms (with expansion vanishing at order  $\geq 2$  at all cusps) stabilizes this subspace. As Goss first observed, doubly cuspidal Drinfeld modular forms play a role similar to classical cusp forms.

In this work, we are interested in the pairing between the space  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$  and the Hecke algebra  $\mathbf{T}'$  given by the coefficient  $b_1$  of the expansion. More precisely, the dual space  $\text{Hom}_{\mathbf{C}_\infty}(M_{k,m}(\Gamma_0(\mathfrak{n})), \mathbf{C}_\infty)$  has a natural right action of  $\mathbf{T}$  (given by composition) and contains the linear form  $b_n: f \mapsto b_n(f)$ . Let  $u = u_{k,m,\mathfrak{n}}: \mathbf{T}' \rightarrow \text{Hom}_{\mathbf{C}_\infty}(M_{k,m}^2(\Gamma_0(\mathfrak{n})), \mathbf{C}_\infty)$  be  $\mathbf{C}_\infty$ -linear map defined by  $s \mapsto b_1 s$ . Our main results concern the kernel  $\mathbf{I}$  and the image  $b_1 \mathbf{T}'$  of  $u$ .

Let  $A_{d+}$  be the set of monic polynomials of degree  $d$  in  $A$ . The first statement gives a family of elements of  $\mathbf{I}$ .

**Theorem 1.1.** *The following elements of  $\mathbf{T}'$  belong to  $\mathbf{I}$ :*

- (1)  $\sum_{P \in A_{1+}} P^{1-m} T_P + T_1$  if  $m \in \{0, 1\}$ .
- (2)  $\sum_{P \in A_{d+}} C_{P,0}^{i_0} \cdots C_{P,d-1}^{i_{d-1}} T_P$  if  $d \geq 1$  and  $(i_0, \dots, i_{d-1}) \in \mathbf{N}^d$  is such that
  - (1)  $0 \leq i_j \leq q - m$  for all  $j \in \{0, \dots, d-1\}$
  - (2)  $i_0 + \dots + i_{d-1} \leq (d-1)(q-1) - m$ .

Here,  $C_{P,j} \in A$  stands for the  $j$ th coefficient of the Carlitz module at  $P$  (see Section 3.1 for its definition).

- (3)  $\sum_{P \in A_{d+}} P^l T_P$  if  $0 \leq l \leq q - m$  and  $d \geq \frac{l+m}{q-1} + 1$   
 $\sum_{P \in A_{d+}} T_P$  if  $d \geq 2$ , or if  $d = 1$  and  $m = 0$ .

These elements actually belong to the span over  $A$  of all Hecke operators. Moreover, they are universal in the sense that, for a given type  $m$ , they do not depend on the weight  $k$  nor on the ideal  $\mathfrak{n}$ .

In most cases, we believe that  $\mathbf{I} \neq 0$ , that is at least one element of Theorem 1.1 is a nontrivial endomorphism of  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$ , hence the pairing is not perfect. Over the space  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$  with  $\mathfrak{n}$  prime, the situation is as follows. If  $\mathfrak{n}$  has degree 3, we prove that  $\mathbf{I} = 0$  (Theorem 7.7). If  $\mathfrak{n}$  has degree  $\geq 5$ , numerical experiments suggest that  $\mathbf{I} \neq 0$  (Conjecture 6.9). Moreover, it may happen that some elements of Theorem 1.1 are zero in  $\mathbf{T}'_{2,1}(\Gamma_0(\mathfrak{n}))$ : examples of such a situation are explored in Section 6.3.

For the rest of the introduction, we restrict our attention to Drinfeld modular forms of type 0 or 1. Our second statement gives an infinite family of coefficients of Drinfeld modular forms in  $b_1 \mathbf{T}'$ .

**Theorem 1.2.** *Assume  $q$  is a prime and  $m \in \{0, 1\}$ . Let  $\mathcal{S}$  be the set of natural integers of the form  $c/(q-1)$ , where  $c \in \mathbf{N}$  is such that the sum of its base  $q$  digits is  $q-1$ . For every  $n \in \mathcal{S}$ , there exists  $s_n \in \mathbf{T}'$ , independent of  $k$  and  $\mathbf{n}$ , satisfying*

$$b_n = b_1 s_n \in b_1 \mathbf{T}'.$$

Moreover,  $b_1 \mathbf{T}'$  is the  $\mathbf{C}_\infty$ -vector space spanned by  $b_n$  for all  $n \in \mathcal{S}$ .

The primality assumption on  $q$  is not essential (see Remark 7.3). As for the set  $\mathcal{S}$ , it is infinite of natural density zero and the first integer not belonging to  $\mathcal{S}$  is  $q+1$ . For example, if  $q=3$ , the first elements of  $\mathcal{S}$  are

$$1, 2, 3, 5, 6, 9, 14, 15, 18, 27, 41, 42, 45, 54, 81.$$

Theorem 1.2 relies on an explicit version, Theorem 7.2 (the elements  $s_n$  that we produce depend on whether the type is 0 or 1). The expression for  $s_n$  is rather natural: it is a  $A$ -linear combination of Hecke operators  $T_P$ , with  $P$  of fixed degree, involving Carlitz binomial coefficients in  $A$ .

Suppose now that  $\mathbf{I} \neq 0$ . Then the map  $u$  fails to be surjective (see Lemma 6.2). In particular,  $b_1 \mathbf{T}'$  does not contain all linear forms  $b_n$  for  $n \geq 1$ . It is then natural to ask what is the smallest integer  $n$  such that  $b_n \notin b_1 \mathbf{T}'$ . Theorem 1.2 suggests that  $n = q+1$  might be a good candidate.

Both theorems bring new insight on Drinfeld Hecke eigenforms. Consider a Drinfeld modular form  $f$  which is an eigenform for the Hecke algebra  $\mathbf{T}$ . Theorem 1.1 translates into linear relations among the eigenvalues of  $f$ , provided that  $b_n(f) \neq 0$  for some  $n \in \mathcal{S}$  (Proposition 6.5 and Corollary 7.5). Similarly, Theorem 7.2 gives explicit formulas for coefficients  $b_n(f)$  ( $n \in \mathcal{S}$ ) in terms of eigenvalues of  $f$  and  $b_1(f)$ . From Theorem 7.2, we also derive:

- multiplicity one statements in some spaces of Drinfeld modular forms of small dimension (Theorem 7.7); as far as we know, these are the only known results of this kind for Drinfeld modular forms.
- explicit expressions for some coefficients of the Drinfeld modular form  $h$  (Proposition 8.1). This extends previous work of Gekeler.

As a side remark, we give a brief account of the multiplicity one problem for Drinfeld modular forms. Since there exist two Hecke eigenforms for  $\mathrm{GL}_2(A)$  with different weights and same system of eigenvalues (Goss [12]), the question of multiplicity one should be stated as: do eigenvalues and weight determine the Hecke eigenform, up to a multiplicative constant? (see Gekeler [8], Section 7). Böckle and Pink showed that this does not hold for doubly cuspidal forms of weight 5 for the group  $\Gamma_1(T)$  when  $q > 2$  by means of cohomological techniques (Example 15.4 of [4]). Except for Theorem 7.7 mentioned above, the question remains open for  $\Gamma_0(\mathbf{n})$ .

We now compare our results with their analogues for classical modular forms. Consider the space  $S_k(\Gamma_0(N))$  of cuspidal modular forms of weight  $k$  for the subgroup  $\Gamma_0(N) \subset \mathrm{SL}_2(\mathbf{Z})$  ( $N \geq 1$ ). Let  $(c_n(f))_{n \geq 1}$  be the Fourier coefficients

of such a modular form  $f$  at the cusp infinity. Computing the action of the  $n$ th Hecke operator  $T_n$  on the Fourier expansion of  $f$  gives the well-known relation, for any  $n \geq 1$

$$(3) \quad c_n(f) = c_1(T_n f).$$

In particular, the Hecke module spanned by the linear form  $c_1$ , which now contains all coefficients  $c_n$ , is the whole dual space of  $S_k(\Gamma_0(N))$  and the coefficient  $c_1$  gives rise to a perfect pairing over  $\mathbf{C}$  between  $S_k(\Gamma_0(N))$  and the Hecke algebra. Conjecture 6.9 and Theorem 1.2 thus suggest a phenomenon specific to the function field setting. For Drinfeld modular forms, the reason for not having straightforward statements about the kernel and image of  $u$  is that the action of Hecke operators on the expansion is not well understood. Goss [12, 13, 11] and subsequently Gekeler [8] wrote down this action using Goss polynomials. But such polynomials are difficult to handle (see also Remark 5.3). In particular, a relation as general as (3) is lacking.

We now sketch the proofs of Theorems 1.1 and 1.2, which involve rather elementary techniques.

- We first compute the coefficient  $b_1(T_P f)$ , for any  $f$  and  $P$ , using Goss polynomials (Proposition 5.5). Note that the formula we get is more intricate than (3): it is a  $A$ -linear combination of several coefficients of  $f$ . For the next step, the crucial point is that the index of these coefficients depends only on the degree of  $P$ . This already proves that  $b_1 \mathbf{T}'$  is contained in the  $\mathbf{C}_\infty$ -vector space spanned by  $b_n$ , for  $n \in \mathcal{S}$  when  $m \in \{0, 1\}$  (Corollary 5.8).
- We take advantage of characteristic  $p$ . For power sums of polynomials of a given degree in  $A$ , vanishing properties and closed formulas are well-known (see for instance [19, III] for a survey). Here we use a variant consisting of power sums of coefficients of the Carlitz module. Such sums are studied in Section 3 and closed formulas are given in Proposition 3.5. In Section 3.4, we also explain their connection with Carlitz binomial coefficients and special values of Goss zeta function at negative integers.
- By taking adequate linear combinations of  $b_1(T_P f)$ , for  $P$  of fixed degree, and using results of Section 3, we obtain elements in the kernel  $\mathbf{I}$  (Theorem 1.1, Section 6) and in the image  $b_1 \mathbf{T}'$  (Theorems 7.2 and 1.2).

For the study of the Hecke module  $b_1 \mathbf{T}'$ , our method has reached its limit and improving our results would require new ideas. Our approach might be used to tackle other Hecke modules  $b_i \mathbf{T}'$ : however, computing  $b_i(T_P f)$  for any  $i \geq 2$  is a harder combinatorial problem.

## 2. NOTATIONS

A tuple will always be a tuple of nonnegative integers. For such a tuple  $\underline{i} = (i_0, \dots, i_s)$ ,  $\binom{i_0 + \dots + i_s}{\underline{i}}$  denotes the generalized multinomial coefficient  $\frac{(i_0 + \dots + i_s)!}{i_0! \dots i_s!}$ .

Let  $q$  be a power of a prime  $p$  and  $\mathbf{F}_q$  (resp.  $\mathbf{F}_p$ ) be a finite field with  $q$  (resp.  $p$ ) elements. We will use repeatedly the following theorem of Lucas:  $\binom{i_0+\dots+i_s}{i}$  is nonzero in  $\mathbf{F}_p$  if and only if there is no carry over base  $p$  in the sum  $i_0 + \dots + i_s$ .

We keep the same notations as in the introduction. On  $A = \mathbf{F}_q[T]$ , we have the usual degree  $\deg$  with the convention  $\deg 0 = -\infty$ . By convention, any ideal of  $A$  that we will consider is nonzero. We will often identify an ideal  $\mathfrak{p}$  of  $A$  with the monic polynomial  $P \in A$  generating  $\mathfrak{p}$ . Accordingly,  $\deg \mathfrak{p}$  stands for  $\deg P$ .

Let  $K_\infty = \mathbf{F}_q((1/T))$  be the completion of  $K$  at  $1/T$  with the natural nonarchimedean absolute value  $|\cdot|$  such that  $|T| = q$ . We write  $\mathbf{C}_\infty$  for the completion of an algebraic closure of  $K_\infty$ : it is an algebraically closed complete field for the canonical extension of  $|\cdot|$  to  $\mathbf{C}_\infty$ .

For  $P, Q$  in  $A$ ,  $(P)$  denotes the principal ideal generated by  $P$ ,  $P \mid Q$  means  $P$  divides  $Q$  and  $(P, Q)$  is the g.c.d. of  $P$  and  $Q$ . The integer part is denoted by  $\lfloor \cdot \rfloor$ .

### 3. POWER SUMS OF CARLITZ COEFFICIENTS

**3.1. The Carlitz module.** Let  $A\{\tau\}$  the noncommutative ring of polynomials in the indeterminate  $\tau$  with coefficients in  $A$  for the multiplication given by  $\tau a = a^q \tau$  ( $a \in A$ ). By the map  $\tau \mapsto X^q$ ,  $A\{\tau\}$  can be identified with the subring of  $\text{End}_{\mathbf{C}_\infty}(\mathbf{G}_a)$  consisting of additive polynomials of the form  $\sum a_i X^{q^i}$  (where the multiplication law is given by composition). The Carlitz module is the rank-1 Drinfeld module  $C: A \rightarrow A\{\tau\}$  defined by  $C_T = T\tau^0 + \tau$ . For  $a \in A$ , we put  $C_a$  for the image of  $a$  by  $C$ , as usual, and  $C_a = \sum_{k=0}^{\deg a} C_{a,k} \tau^k$  with  $C_{a,k} \in A$ . In particular,  $C_{a,0} = a$  and  $C_{a,d} = 1$  if  $a$  is monic of degree  $d$ .

**3.2. Deformation of the Carlitz module.** We study the dependence of  $C_{a,k}$  in the coefficients of  $a$ , when  $a$  is viewed a polynomial in  $T$ . For this purpose, we need a formal version of the Carlitz module. Let  $\mathbf{F}_q[T, \mathbf{a}] = \mathbf{F}_q[T, \mathbf{a}_0, \mathbf{a}_1, \dots]$  be the polynomial ring in  $T$  and an infinite set of indeterminates  $\{\mathbf{a}_i\}_{i \geq 0}$ . Consider the ring homomorphism

$$\mathbf{C}: \mathbf{F}_q[T, \mathbf{a}] \longrightarrow \mathbf{F}_q[T, \mathbf{a}]\{\tau\}$$

defined by

$$\mathbf{C}_T = T\tau^0 + \tau, \quad \mathbf{C}_{\mathbf{a}_i} = \mathbf{a}_i \tau^0 \quad \text{for all } i \geq 0$$

where the noncommutative ring  $\mathbf{F}_q[T, \mathbf{a}]\{\tau\}$  is defined in the obvious way. Let  $P$  be an element of  $\mathbf{F}_q[T, \mathbf{a}]$  and  $d$  its degree as a polynomial in  $T$ . We define  $\mathbf{C}_{P,0}, \dots, \mathbf{C}_{P,d}$  in  $\mathbf{F}_q[T, \mathbf{a}]$  by  $\mathbf{C}_P = \sum_{i=0}^d \mathbf{C}_{P,i} \tau^i$ . These coefficients satisfy the following recursive formulas.

**Lemma 3.1.** *Let  $P \in \mathbf{F}_q[T, \mathbf{a}]$  monic of degree  $d$  in  $T$ . Write  $P = Tb + c$ , with  $c \in \mathbf{F}_q[\mathbf{a}]$  and  $b \in \mathbf{F}_q[T, \mathbf{a}]$  monic of degree  $d - 1$  in  $T$ . Then*

$$\begin{aligned} \mathbf{C}_{P,0} &= T\mathbf{C}_{b,0} + c = P \\ \mathbf{C}_{P,i} &= T\mathbf{C}_{b,i} + \mathbf{C}_{b,i-1}^q \quad (1 \leq i \leq d-1) \\ \mathbf{C}_{P,d} &= \mathbf{C}_{b,d-1}^q = 1. \end{aligned}$$

*Proof.* Since  $\mathbf{C}$  is additive, we have  $\mathbf{C}_{P,i} = \mathbf{C}_{Tb,i} + \mathbf{C}_{c,i}$ . Moreover,  $\mathbf{C}_{c,i}$  is  $c$  if  $i = 0$  and 0 otherwise. It remains to compute  $\mathbf{C}_{Tb,i}$  in terms of  $\mathbf{C}_{b,i}$ . We have the following equalities in  $\mathbf{F}_q[T, \mathbf{a}]\{\tau\}$ :

$$\mathbf{C}_{Tb} = \mathbf{C}_T \mathbf{C}_b = (T\tau^0 + \tau) \left( \sum_{i=0}^{d-1} \mathbf{C}_{b,i} \tau^i \right) = T \left( \sum_{i=0}^{d-1} \mathbf{C}_{b,i} \tau^i \right) + \sum_{i=0}^{d-1} \mathbf{C}_{b,i}^q \tau^{i+1}.$$

By identification, we get our claim.  $\square$

**Lemma 3.2.** *Let  $d \geq 1$  and  $P \in \mathbf{F}_q[T, \mathbf{a}]$  monic of degree  $d$  in  $T$ . Write  $P = T^d + n_{d-1}T^{d-1} + \dots + n_0$  with  $n_0, \dots, n_{d-1} \in \mathbf{F}_q[\mathbf{a}]$ . For all  $0 \leq j \leq d-1$ , one has*

$$\mathbf{C}_{P,j} = n_j^{q^j} + TQ_j \quad \text{with } Q_j \in \mathbf{F}_q[T, n_k \mid k > j].$$

*In particular, if  $P = T^d + \mathbf{a}_{d-1}T^{d-1} + \dots + \mathbf{a}_0$ , the polynomial  $\mathbf{C}_{P,j}$  is independent of  $\mathbf{a}_0$  for  $j \geq 1$ .*

*Proof.* For  $j = 0$ , we have  $\mathbf{C}_{P,0} = P = n_0 + T(n_1 + \dots + n_{d-1}T^{d-1})$  which has the expected form. For other coefficients, we proceed by induction on  $d$ . The statement is already proven for  $d = 1$ . Suppose the property satisfied for all monic polynomials of degree  $< d$  in  $T$ . Let  $P = T^d + n_{d-1}T^{d-1} + \dots + n_0$  and write  $P = Tb + n_0$  with  $b \in \mathbf{F}_q[T, n_1, \dots, n_{d-1}]$  monic of degree  $< d$  in  $T$ . Let  $1 \leq j \leq d-1$ . By Lemma 3.1, we have

$$(4) \quad \mathbf{C}_{P,j} = T\mathbf{C}_{b,j} + \mathbf{C}_{b,j-1}^q.$$

By hypothesis, there exists  $R_{j-1} \in \mathbf{F}_q[T, n_k \mid k > j]$  and  $R_j \in \mathbf{F}_q[T, n_k \mid k > j+1]$  such that  $\mathbf{C}_{b,j} = n_{j+1}^{q^j} + TR_j$  and  $\mathbf{C}_{b,j-1} = n_j^{q^{j-1}} + TR_{j-1}$ . Substituting in (4), we get  $\mathbf{C}_{P,j} = n_j^{q^j} + T(n_{j+1}^{q^j} + TR_j + T^{q-1}R_{j-1}^q)$ . Since  $n_{j+1}^{q^j} + TR_j + T^{q-1}R_{j-1}^q$  belongs to  $\mathbf{F}_q[T, n_k \mid k > j]$ , the coefficient  $\mathbf{C}_{P,j}$  has the expected form. The property is then established for any monic polynomial  $P$  of degree  $d$ .  $\square$

### 3.3. Power sums of Carlitz coefficients.

**Notation 3.3.** Let  $d \geq 1$ . Recall that the set of monic polynomials of degree  $d$  in  $A$  is denoted by  $A_{d+}$ . For  $P \in A_{d+}$  and  $\underline{i} = (i_0, \dots, i_{d-1})$ , let

$$C(P)^{\underline{i}} = C_{P,0}^{i_0} \cdots C_{P,d}^{i_d} = C_{P,0}^{i_0} \cdots C_{P,d-1}^{i_{d-1}}$$

(the last equality follows from  $C_{P,d} = 1$ ). By convention,  $0^0 = 1$ . Let

$$S_d(i_0, \dots, i_{d-1}) = \sum_{P \in A_{d+}} C(P)^{\underline{i}} \in A.$$

Note that for  $d = 1$ , the sum is just  $S_1(i) = \sum_{P \in A_{1+}} P^i$ . We will compute  $S_d(i_0, \dots, i_{d-1})$  for small  $i_0, \dots, i_{d-1}$ .

**Lemma 3.4.** *Let  $0 \leq i \leq 2(q-1)$  and  $P \in A$ . Then*

$$\sum_{a \in \mathbf{F}_q} (P+a)^i = \begin{cases} -1 & \text{if } i = q-1 \text{ or } 2(q-1) \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* The vanishing case is merely an application of Lemma 3.1 of Goss [10]. Since we need to compute the remaining cases, we give a full proof. Let  $R_i(P) = \sum_{a \in \mathbf{F}_q} (P+a)^i$ . Then by the binomial formula,

$$R_i(P) = \sum_{k=0}^i \binom{i}{k} P^{i-k} \left( \sum_{a \in \mathbf{F}_q} a^k \right).$$

Recall that  $\sum_{a \in \mathbf{F}_q} a^k$  equals  $-1$  if  $k > 0$  and  $k \equiv 0 \pmod{q-1}$ , and  $0$  otherwise. Thus  $R_{q-1}(P) = -1$  and  $R_i(P) = 0$  if  $0 \leq i < q-1$ . Now let  $i = q+j$  with  $0 \leq j \leq q-2$ . Then

$$R_i(P) = \sum_{a \in \mathbf{F}_q} (P^q + a)(P+a)^j = P^q R_j(P) + \sum_{a \in \mathbf{F}_q} a(P+a)^j.$$

Since  $j \leq q-2$ ,  $R_j(P)$  is zero. Moreover, by the binomial formula,

$$\sum_{a \in \mathbf{F}_q} a(P+a)^j = \sum_{k=0}^j \binom{j}{k} P^{j-k} \left( \sum_{a \in \mathbf{F}_q} a^{k+1} \right)$$

which is  $0$  if  $j < q-2$  (resp.  $-1$  if  $j = q-2$ ). □

**Proposition 3.5.** *Let  $i_j \in \{0, \dots, 2(q-1)\}$  for all  $j \in \{0, \dots, d-1\}$ . Then*

$$S_d(i_0, \dots, i_{d-1}) = \begin{cases} (-1)^d & \text{if } i_j = q-1 \text{ or } 2(q-1) \text{ for all } j \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* The sum  $S_d(i_0, \dots, i_{d-1})$  is equal to

$$\sum_{a_0, \dots, a_{d-1} \in \mathbf{F}_q} C_{T^d + a_{d-1}T^{d-1} + \dots + a_0, 0}^{i_0} \cdots C_{T^d + a_{d-1}T^{d-1} + \dots + a_0, d-1}^{i_{d-1}}.$$

By Lemma 3.2, the polynomials  $C_{T^d + \dots + a_0, 1}, \dots, C_{T^d + \dots + a_0, d-1}$  do not depend on  $a_0$ , so we can rewrite the sum as

$$\sum_{a_1, \dots, a_{d-1} \in \mathbf{F}_q} C_{T^d + \dots + a_1 T, 1}^{i_1} \cdots C_{T^d + \dots + a_1 T, d-1}^{i_{d-1}} \left( \sum_{a_0 \in \mathbf{F}_q} (T^d + \dots + a_1 T + a_0)^{i_0} \right).$$

Let  $\epsilon_j$  be  $-1$  if  $i_j = q-1$  or  $2(q-1)$ , and  $0$  otherwise. Since  $0 \leq i_0 \leq 2(q-1)$ , Lemma 3.4 gives  $\sum_{a_0 \in \mathbf{F}_q} (T^d + \dots + a_1 T + a_0)^{i_0} = \epsilon_0$ . Then, again by Lemma 3.2,

$S_d(i_0, \dots, i_{d-1})$  is equal to

$$\epsilon_0 \sum_{a_2, \dots, a_{d-1} \in \mathbf{F}_q} C_{T^d + \dots + a_2 T^2, 2}^{i_2} \cdots C_{T^d + \dots + a_2 T^2, d-1}^{i_{d-1}} \left( \sum_{a_1 \in \mathbf{F}_q} (TQ_1 + a_1^q)^{i_1} \right).$$

Since  $0 \leq i_1 \leq 2(q-1)$ , Lemma 3.4 yields  $\sum_{a_1 \in \mathbf{F}_q} (TQ_1 + a_1^q)^{i_1} = \sum_{a_1 \in \mathbf{F}_q} (TQ_1 + a_1)^{i_1} = \epsilon_1$ . Continuing in this fashion, we obtain  $S_d(i_0, \dots, i_{d-1}) = \epsilon_0 \cdots \epsilon_{d-1}$ .  $\square$

**3.4. Connection with Carlitz binomial coefficients and special zeta values.** We recall Carlitz's analogue  $\left\{ \begin{smallmatrix} a \\ k \end{smallmatrix} \right\}$  in  $\mathbf{F}_q[T]$  of the binomial coefficient  $\binom{n}{k}$  (the reader may consult Thakur's article [19] for examples of such analogies). Let  $a \in A$  and  $k \in \mathbf{N}$  with base  $q$  expansion  $\sum_{i=0}^w k_i q^i$  ( $0 \leq k_i < q$ ). We put  $\left\{ \begin{smallmatrix} a \\ k \end{smallmatrix} \right\} = \prod_{i=0}^w C_{a,i}^{k_i}$  (if  $i > \deg a$ ,  $C_{a,i} = 0$  by convention). In particular,  $\left\{ \begin{smallmatrix} a \\ q^i \end{smallmatrix} \right\} = C_{a,i}$ . Note that if  $0 \leq i_j < q$ , then

$$C(P)^i = C_{P,0}^{i_0} \cdots C_{P,d-1}^{i_{d-1}} = \left\{ \begin{smallmatrix} P \\ i_0 + i_1 + \dots + i_{d-1} q^{d-1} \end{smallmatrix} \right\}.$$

In general ( $i_j \geq q$ ), it is still possible to write  $C_{P,0}^{i_0} \cdots C_{P,d-1}^{i_{d-1}}$  in terms of several Carlitz binomials. We now explain how Proposition 3.5 might be proved using this formalism.

If  $x$  is an indeterminate,  $\left\{ \begin{smallmatrix} x \\ k \end{smallmatrix} \right\}$  is a polynomial in  $K[x]$  with degree  $k$  (because  $\left\{ \begin{smallmatrix} x \\ k \end{smallmatrix} \right\}$  is also the exponential function of a finite lattice, see Equation 2.5 of [19] or [14]). Any polynomial  $f$  in  $K[x]$  may therefore be written as a linear combination of  $\left\{ \begin{smallmatrix} x \\ k \end{smallmatrix} \right\}$ . Moreover, the coefficients of this combination can be recovered, in terms of  $\left\{ \begin{smallmatrix} x \\ k \end{smallmatrix} \right\}$ , by a Mahler inversion type formula due to Carlitz (Theorem 6 in [5], Lemma 3.2.14 in [14] or Theorem XIV in [19]). For  $f = 1$ , the coefficients in the binomial basis are easily computable and, by the inversion, we obtain for  $d \geq 0$  and  $0 \leq i < q^d$  with base  $q$  expansion  $\sum_{j=0}^{d-1} i_j q^j$ ,

$$S_d(i_0, \dots, i_{d-1}) = \sum_{P \in A_{d+}} \left\{ \begin{smallmatrix} P \\ i \end{smallmatrix} \right\} = \begin{cases} (-1)^d & \text{if } i = q^d - 1 \\ 0 & \text{otherwise.} \end{cases}$$

This is precisely a special case of Proposition 3.5 (see also [19] p. 14 and Theorem 3.2.16 in [14] for similar statements). It seems likely that Proposition 3.5 can be proved by Mahler inversion.

Finally, we explain how, by the previous observations,  $S_d(i_0, \dots, i_{d-1})$  is related to special zeta values of Goss zeta function at negative integers. Consider the Carlitz zeta function  $\zeta: \mathbf{N} \rightarrow K_\infty$  defined by  $\zeta(k) = \sum_{P \in A, P \text{ monic}} P^{-k}$ . In [10] Goss proved that  $\zeta$  can be extended to  $\mathbf{Z}$  by summing over fixed degree:  $\zeta(-k) = \sum_{i=0}^\infty (\sum_{P \in A_{i+}} P^k) \in A$  for  $k \geq 0$ . Now, let  $\mathfrak{p}$  be a prime ideal of  $A$  and  $A_{\mathfrak{p}}$  the ring



of integers of the completion of  $K$  at  $\mathfrak{p}$ . Following Thakur [19], one can attach to  $\zeta$  an  $A_{\mathfrak{p}}$ -valued zeta measure  $\mu$  determined by its  $k$ th moment:

$$\int_{A_{\mathfrak{p}}} x^k d\mu = \begin{cases} \zeta(-k) & \text{if } k > 0 \\ 0 & \text{if } k = 0. \end{cases}$$

By Wagner's Mahler-inversion formula for continuous functions on  $A_{\mathfrak{p}}$  ([14] or Theorem VI in [19]), the measure  $\mu$  is uniquely determined by the coefficients of its divided power series i.e. the sequence  $\mu_k = \int_{A_{\mathfrak{p}}} \left\{ \frac{x}{k} \right\} d\mu$  ( $k \geq 0$ ). Thakur has computed explicitly  $\mu_k$  ([19], Theorem VII). It follows from his proof that, when  $0 \leq i_j < q$  and  $i = i_0 + \dots + i_{d-1}q^{d-1}$ ,

$$S_d(i_0, \dots, i_{d-1}) = \mu_{i+q^d}.$$

#### 4. DRINFELD MODULAR FORMS AND HECKE OPERATORS

We collect some basic facts, and set up notation and terminology as well, for Drinfeld modular forms and Hecke operators.

**4.1. Drinfeld modular forms.** The first occurrence of Drinfeld modular forms goes back to the seminal work of D. Goss [12, 13]. Subsequent developments in the 1980s are due to Gekeler [6, 8].

The so-called Drinfeld upper-half plane is  $\Omega = \mathbf{C}_{\infty} - K_{\infty}$ , which has a rigid analytic structure. For an ideal  $\mathfrak{n}$  of  $A$ , the Hecke congruence subgroup  $\Gamma_0(\mathfrak{n})$  is the subgroup of matrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(A)$  such that  $c \in \mathfrak{n}$ . Fix an integer  $k \geq 0$  and a class  $m$  in  $\mathbf{Z}/(q-1)\mathbf{Z}$ . A *Drinfeld modular form* (for  $\Gamma_0(\mathfrak{n})$ ) of weight  $k$  and type  $m$  is a rigid holomorphic function  $f: \Omega \rightarrow \mathbf{C}_{\infty}$  such that

$$(5) \quad f\left(\frac{az+b}{cz+d}\right) = (ad-bc)^{-m} (cz+d)^k f(z) \quad \text{for any } \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(\mathfrak{n})$$

and  $f$  is holomorphic at the cusps of  $\Gamma_0(\mathfrak{n})$ . We will not detail the second assumption and rather refer to [6] (V, Section 3) and [15] (Section 2). For our purpose, we need only the behaviour at the cusp infinity, which we now recall.

Let  $\bar{\pi}$  be the period of the Carlitz module (well-defined up to an element in  $\mathbf{F}_q^{\times}$ ). The Carlitz exponential  $e$  is the holomorphic function  $\mathbf{C}_{\infty} \rightarrow \mathbf{C}_{\infty}$  defined by

$$e(z) = z \prod_{\lambda \in \bar{\pi}A - \{0\}} \left(1 - \frac{z}{\lambda}\right).$$

It is surjective and  $\mathbf{F}_q$ -linear with kernel  $\bar{\pi}A$ . For  $z \in \mathbf{C}_{\infty} - A$ , let

$$t(z) = \frac{1}{e(\bar{\pi}z)} = \frac{1}{\bar{\pi}} \sum_{\lambda \in A} \frac{1}{z - \lambda}.$$

The function  $t$ , invariant by translations  $z \mapsto z + a$  ( $a \in A$ ), is then a uniformizer at the cusp infinity. Since any  $f$  satisfying (5) is invariant under such translations, it has a Laurent series expansion  $f(z) = \sum_{i \geq i_0} a_i(f) t(z)^i$  with  $i_0 \in \mathbf{Z}$  (the series

does not converge on all  $\Omega$ , but only for  $|t(z)|$  small enough). Such a function is said to be *holomorphic at the cusp infinity* if the expansion has the form  $\sum_{i \geq 0} a_i(f)t^i$ . We call it the *t-expansion of f* (at infinity). Since  $\Omega$  is a connected rigid analytic space, any Drinfeld modular form is uniquely determined by its *t-expansion*.

Let  $M_{k,m}(\Gamma_0(\mathfrak{n}))$  be the space of Drinfeld modular forms of weight  $k$  and type  $m$  for  $\Gamma_0(\mathfrak{n})$ . It is a finite-dimensional vector space over  $\mathbf{C}_\infty$  whose dimension may be calculated explicitly thanks to Gekeler [6]. If  $a_0(f) = 0$  (resp.  $a_0(f) = a_1(f) = 0$ ) and similar conditions at other cusps,  $f$  is *cuspidal* (resp. *doubly cuspidal*) and the subspace of such functions is denoted by  $M_{k,m}^1(\Gamma_0(\mathfrak{n}))$  (resp.  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$ ). Goss observed that doubly cuspidal forms play a role similar to classical cusp forms. For an interpretation of Drinfeld modular forms as differentials on a Drinfeld modular curve, one may refer to Section V.5 in [6].

Type and weight are not independent: namely, if  $k - 2m \not\equiv 0 \pmod{q-1}$ , the space  $M_{k,m}(\Gamma_0(\mathfrak{n}))$  is zero. Therefore, from now on we assume  $k \equiv 2m \pmod{q-1}$ . Moreover, we choose the representative  $m$  in the class with  $0 \leq m < q-1$ .

Since  $\Gamma_0(\mathfrak{n})$  contains matrices of the form  $\begin{pmatrix} \lambda & 0 \\ 0 & 1 \end{pmatrix}$  for  $\lambda \in \mathbf{F}_q^\times$ , (5) implies  $a_i(f) = 0$  when  $i \not\equiv m \pmod{q-1}$ . Thus any  $f \in M_{k,m}(\Gamma_0(\mathfrak{n}))$  has *t-expansion* of the form

$$\sum_{j \geq 0} a_{m+j(q-1)}(f)t^{m+j(q-1)}.$$

For  $j \geq 0$ , let

$$b_j(f) = a_{m+j(q-1)}(f).$$

Later on, we will use both notations for coefficients. A Drinfeld modular form of type  $> 0$  (resp.  $> 1$ ) is automatically cuspidal (resp. doubly cuspidal). If  $f$  is doubly cuspidal, the coefficient  $b_0(f)$  may not vanish in general (it does when  $m \in \{0, 1\}$ ).

**4.2. Hecke algebra.** We define a formal Hecke algebra  $\mathbf{R}_\mathfrak{n}$  which acts on the different spaces  $M_{k,m}(\Gamma_0(\mathfrak{n}))$ . In this section, we adopt the notation  $\Gamma = \Gamma_0(\mathfrak{n})$ .

Let  $\Delta = \Delta_0(\mathfrak{n})$  be the set of matrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with entries in  $A$  such that  $ad - bc$  is monic,  $c \in \mathfrak{n}$  and  $(a) + \mathfrak{n} = A$ . Let  $\mathbf{R}_\mathfrak{n}$  be the  $\mathbf{C}_\infty$ -vector space spanned by formal sums of double cosets  $\Gamma g \Gamma$  for  $g \in \Delta$ . One can make  $\mathbf{R}_\mathfrak{n}$  a commutative algebra over  $\mathbf{C}_\infty$  (see Section 3.1 of [16] for a general treatment or Section 6.1 of [4] for Drinfeld modular forms).

For an ideal  $\mathfrak{p}$  of  $A$ , let  $\Delta^\mathfrak{p} = \{g \in \Delta \mid (\det g) = \mathfrak{p}\}$ . The Hecke operator  $T_\mathfrak{p}$  is then defined as the formal sum of all double cosets  $\Gamma g \Gamma$  with  $g \in \Delta^\mathfrak{p}$  in  $\mathbf{R}_\mathfrak{n}$ . For example, when  $\mathfrak{p}$  is prime,  $T_\mathfrak{p} = \Gamma \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \Gamma$  where  $P$  is the monic generator of  $\mathfrak{p}$ .

As elements of  $\mathbf{R}_\mathfrak{n}$  have coefficients in a field of characteristic  $p$ , the usual relation for the product gives

$$T_\mathfrak{p} T_{\mathfrak{p}'} = T_{\mathfrak{p}\mathfrak{p}'} \quad \text{for any ideals } \mathfrak{p}, \mathfrak{p}'$$

(see [11]). This is very different from Hecke operators for classical modular forms, where the above relation only holds for relatively prime ideals. One can check that  $\mathbf{R}_{\mathbf{n}}$  is the polynomial ring over  $\mathbf{C}_{\infty}$  spanned by  $T_{\mathbf{p}}$  for  $\mathbf{p}$  prime (such elements are algebraically independent over  $\mathbf{C}_{\infty}$ ).

As for the notation,  $T_{\mathbf{p}}$  depends on the subgroup  $\Gamma_0(\mathbf{n})$  but from the context, there will be no confusion on which Hecke algebra (or space of endomorphisms of Drinfeld modular forms) it belongs to.

For  $\mathbf{n} = A$ , let us consider the formal Hecke algebra  $\mathbf{R}_A$  attached to the sets  $\mathrm{GL}_2(A)$  and  $\Delta_0(A)$ . Let  $\tilde{T}_{\mathbf{p}}$  temporarily denotes the  $\mathbf{p}$ th Hecke operator in  $\mathbf{R}_A$ . The map  $\tilde{T}_{\mathbf{p}} \mapsto T_{\mathbf{p}}$ , for  $\mathbf{p}$  prime, defines an algebra homomorphism  $\mathbf{R}_A \rightarrow \mathbf{R}_{\mathbf{n}}$ . We regard  $\mathbf{R}_A$  as a universal formal Hecke algebra, independent of  $\mathbf{n}$ . Any algebraic relation among the Hecke operators in  $\mathbf{R}_A$  can be translated to the corresponding relation in  $\mathbf{R}_{\mathbf{n}}$  for any  $\mathbf{n}$ .

**4.3. Hecke operators on Drinfeld modular forms.** For  $v = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with entries in  $A$  and  $f: \Omega \rightarrow \mathbf{C}_{\infty}$ , let

$$f_{|[v]_k}: z \mapsto (ad - bc)^{k-1} (cz + d)^{-k} f\left(\frac{az + b}{cz + d}\right).$$

Fix  $g \in \Delta$ . The group  $\Gamma$  acts on the left on the double coset  $\Gamma g \Gamma$ . Let  $\{g_i\}_i$  be a finite system of representatives such that  $g_i$  has monic determinant. We define an action of  $\Gamma g \Gamma$  on  $f \in M_{k,m}(\Gamma_0(\mathbf{n}))$  by

$$f_{|[\Gamma g \Gamma]_k} = \sum_i f_{|[g_i]_k}$$

(independently of the choice of  $\{g_i\}_i$ ). It extends, in a unique way, to a nonfaithful action of  $\mathbf{R}_{\mathbf{n}}$  on  $M_{k,m}(\Gamma_0(\mathbf{n}))$ . Let  $\mathbf{T} = \mathbf{T}_{k,m}(\Gamma_0(\mathbf{n}))$  be the commutative sub- $\mathbf{C}_{\infty}$ -algebra of  $\mathrm{End}_{\mathbf{C}_{\infty}}(M_{k,m}(\Gamma_0(\mathbf{n})))$  induced by  $\mathbf{R}_{\mathbf{n}}$ .

For any  $g \in \Delta^{\mathbf{p}}$ , a set of representatives of  $\Gamma \backslash \Gamma g \Gamma$  with monic determinant is given by

$$\begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix}, \quad \alpha, \delta \text{ monic in } A, (\alpha\delta) = \mathbf{p}, (\alpha) + A = \mathbf{n}, \beta \in A/(\delta).$$

Therefore, the action of  $T_{\mathbf{p}}$  on the Drinfeld modular form  $f$  can be written more concretely as

$$(6) \quad T_{\mathbf{p}}(f)(z) = P^{k-1} \sum_{\substack{\alpha, \delta \text{ monic in } A \\ \beta \in A, \deg \beta < \deg \delta \\ \alpha\delta = P, (\alpha) + \mathbf{n} = A}} \delta^{-k} f\left(\frac{\alpha z + \beta}{\delta}\right) = \frac{1}{P} \sum_{\alpha, \beta, \delta} \alpha^k f\left(\frac{\alpha z + \beta}{\delta}\right)$$

where  $P$  is the monic generator of  $\mathbf{p}$ . This formula slightly differs from other references. Gekeler [8] (resp. Böckle [4], Section 6) considered  $PT_{\mathbf{p}}$  (resp.  $P^{m+1-k}T_{\mathbf{p}}$ ). In particular, our operator coincides with Böckle's when  $k = m - 1$  (for instance, when  $k = 2$  and  $m = 1$ ). In general, these variously normalized Hecke operators have the same eigenforms, however with different eigenvalues.

The Hecke algebra  $\mathbf{T}$  stabilizes the subspaces  $M_{k,m}^1(\Gamma_0(\mathbf{n}))$  et  $M_{k,m}^2(\Gamma_0(\mathbf{n}))$  (see for example Proposition 6.9 of [4]). We denote by  $\mathbf{T}' = \mathbf{T}'_{k,m}(\Gamma_0(\mathbf{n}))$  the restriction of  $\mathbf{T}$  to  $M_{k,m}^2(\Gamma_0(\mathbf{n}))$ .

## 5. HECKE ACTION ON THE FIRST COEFFICIENT OF DRINFELD MODULAR FORMS

We recall some results on Goss polynomials for finite lattices and their role in the  $t$ -expansion of Drinfeld modular forms. Then we give an explicit formula for the action on the first coefficient of this expansion.

**5.1. Goss polynomials.** Let  $\Lambda$  be a  $\mathbf{F}_q$ -lattice in  $\mathbf{C}_\infty$ , i.e. a  $\mathbf{F}_q$ -submodule of  $\mathbf{C}_\infty$  having finite intersection with each ball of  $\mathbf{C}_\infty$  of finite radius. We assume  $\Lambda$  to be *finite* of dimension  $d$  over  $\mathbf{F}_q$ . The exponential corresponding to  $\Lambda$

$$e_\Lambda(z) = z \prod_{\lambda \in \Lambda - \{0\}} \left(1 - \frac{z}{\lambda}\right) \quad (z \in \mathbf{C}_\infty)$$

is an entire  $\Lambda$ -periodic  $\mathbf{F}_q$ -linear function. Since  $\Lambda$  is finite, it is a polynomial in  $z$  of the form

$$e_\Lambda(z) = \sum_{i=0}^d \lambda_i z^{q^i}$$

with coefficients  $\lambda_i \in \mathbf{C}_\infty$  depending on  $\Lambda$ . Goss has considered Newton's sums associated to the reciprocal polynomial of  $e_\Lambda(X - z) = e_\Lambda(X) - e_\Lambda(z) \in \mathbf{C}_\infty[z][X]$ , namely

$$\begin{aligned} N_0 &= 0 \\ N_j(z) &= N_{j,\Lambda}(z) = \sum_{\lambda \in \Lambda} \frac{1}{(z+\lambda)^j} \quad (j \geq 1, z \in \mathbf{C}_\infty - \Lambda). \end{aligned}$$

Let

$$t_\Lambda(z) = e_\Lambda(z)^{-1} = \sum_{\lambda \in \Lambda} \frac{1}{z - \lambda} \quad (z \in \mathbf{C}_\infty - \Lambda).$$

**Proposition 5.1** (Section 2 of [13], 3.4–3.9 in [8]). *Let  $j \geq 1$ . There exists a unique polynomial  $G_j = G_{j,\Lambda}(X) \in \mathbf{C}_\infty[X]$  such that the following equalities hold:*

- (1) *if  $j \leq q$  then  $G_j(X) = X^j$*
- (2)  *$G_j(X) = X \sum_{i \geq 0, j - q^i \geq 0} \lambda_i G_{j - q^i}(X)$ .*

*The polynomial  $G_j(X)$  is monic of degree  $j$  and satisfies  $N_j = G_j(t_\Lambda)$ . Moreover,*

$$(7) \quad G_j(X) = \sum_{n=0}^{j-1} \sum_{\underline{i}} \binom{n}{\underline{i}} \lambda^{\underline{i}} X^{n+1}$$

*for  $\underline{i} = (i_0, \dots, i_d)$  running through  $(d+1)$ -tuples such that*

$$\begin{aligned} i_0 + \dots + i_d &= n \\ i_0 + i_1 q + \dots + i_d q^d &= j - 1 \end{aligned}$$

and  $\lambda^i$  denotes  $\lambda_0^{i_0} \cdots \lambda_d^{i_d}$ . The polynomial  $G_j(X)$  is divisible by  $X^u$  where  $u = \lfloor j/q^d \rfloor + 1$ .

Gekeler provided the explicit formula (7) using a generating function. We further put  $G_{0,\Lambda}(X) = 0$ .

**5.2. Hecke algebra and Goss polynomials.** Let  $\mathfrak{p}$  an ideal of  $A$  of degree  $d \geq 0$  with monic generator  $P$ . Recall that  $C$  denotes the Carlitz module over  $\mathbf{C}_\infty$  (Section 3.1). As usual, for an indeterminate  $X$ , put  $C_P(X) = \sum_{i=0}^d C_{P,i} X^{q^i}$ . For our purpose, we consider the  $\mathbf{F}_q$ -lattice of dimension  $d$

$$\Lambda_P = \text{Ker}(C_P) = \{x \in \mathbf{C}_\infty \mid C_P(x) = 0\}$$

whose  $j$ th Goss polynomial is denoted by  $G_{j,P}$ . Let

$$t_P(z) = t(Pz) = \frac{1}{e(\pi Pz)} \quad (z \in \mathbf{C}_\infty - A).$$

Then, if  $f_P(X)$  is the  $P$ th inverse cyclotomic polynomial  $C_P(X^{-1})X^{q^d}$ , one has

$$(8) \quad t_P = \frac{t^{q^d}}{f_P(t)}.$$

The following statement mildly extends Gekeler's formula 7.3 in [8] (which was established for  $\text{GL}_2(A)$  and  $\mathfrak{p}$  prime) to  $\Gamma_0(\mathfrak{n})$  and any  $\mathfrak{p}$ .

**Proposition 5.2.** *Let  $f \in M_{k,m}(\Gamma_0(\mathfrak{n}))$  with  $t$ -expansion  $\sum_{i \geq 0} a_i t^i$ . We have*

$$(9) \quad T_{\mathfrak{p}} f = P^{k-1} \sum_{i \geq 0} \sum_{\substack{\delta \text{ monic in } A \\ \delta | P, (\frac{P}{\delta}) + \mathfrak{n} = A}} \delta^{-k} a_i G_{i,\delta}(\delta t \frac{P}{\delta})$$

Moreover, for fixed  $j$ , only a finite number of terms in the right-hand side contribute to  $t^j$  in the  $t$ -expansion of  $T_{\mathfrak{p}} f$ .

*Proof.* Let  $\delta$  be a monic divisor of  $P$ . Recall that  $e$  is the Carlitz exponential. We write  $F(z)$  for  $\sum_{\beta \in A, \deg \beta < \deg \delta} f((Pz/\delta + \beta)/\delta)$ . For  $|t(z)|$  small enough,  $F(z)$  is

$$\begin{aligned} & \sum_{\beta \in A, \deg \beta < \deg \delta} \sum_{i \geq 0} a_i t \left( \frac{\frac{P}{\delta} z + \beta}{\delta} \right)^i = \sum_{i \geq 0} a_i \sum_{\beta \in A, \deg \beta < \deg \delta} e \left( \pi \frac{\frac{P}{\delta} z + \beta}{\delta} \right)^{-i} \\ & = \sum_{i \geq 0} a_i \sum_{\beta \in A, \deg \beta < \deg \delta} \left( e \left( \frac{\pi Pz}{\delta^2} \right) + e \left( \frac{\pi \beta}{\delta} \right) \right)^{-i} \end{aligned}$$

by additivity of  $e$ . According to the analytic theory of Drinfeld modules, the finite set  $\{e(\pi \beta / \delta) \mid \beta \in A, \deg \beta < \deg \delta\}$  is in bijection with the lattice  $\Lambda_\delta = \text{Ker}(C_\delta)$ . Let  $w = Pz/\delta^2$ . Then, by Proposition 5.1,  $F(z)$  is

$$\sum_{i \geq 0} a_i \sum_{\lambda \in \Lambda_\delta} (e(\pi w) + \lambda)^{-i} = \sum_{i \geq 0} a_i N_{i, \Lambda_\delta}(e(\pi w)) = \sum_{i \geq 0} a_i G_{i, \Lambda_\delta}(e_{\Lambda_\delta}(e(\pi w))^{-1}).$$

Observe that  $e_{\Lambda_\delta}(z) = C_\delta(z)/\delta$  (both polynomials have the same set of zeros and the multiplicative constant is obtained by comparing the terms in  $z$ ). By property of the Carlitz exponential, we also have  $C_\delta(e(\bar{\pi}w)) = C(\bar{\pi}zP/\delta) = t(zP/\delta)^{-1}$ . Substituting, we get

$$F(z) = \sum_{i \geq 0} a_i G_{i, \Lambda_\delta} \left( \delta t \left( \frac{zP}{\delta} \right) \right) = \sum_{i \geq 0} a_i G_{i, \Lambda_\delta} (\delta t_{\frac{P}{\delta}}(z)).$$

Our last claim follows from (6) and the last statement of Proposition 5.1.  $\square$

**Remark 5.3.** To obtain the  $t$ -expansion of  $T_{\mathfrak{p}}f$  from Equation (9), it would suffice to compose the  $t$ -expansions of  $t_{P/\delta}$  and Goss polynomials  $G_{i, \delta}$ . However, making this explicit seems to be a difficult problem. Indeed, a similar question arises when trying to make explicit the  $t$ -expansion of Drinfeld-Eisenstein series (see Section 6 of [8]) since it involves the  $t$ -expansion of  $G_{i, \bar{\pi}A}(t_P)^1$ . This is quite different from the classical situation where coefficients of Eisenstein series are well-known arithmetic functions.

### 5.3. Hecke module spanned by $b_1$ .

**Notation 5.4.** The dual space of  $M_{k,m}(\Gamma_0(\mathfrak{n}))$  has the natural right action of  $\mathbf{T}$ , given by composition, and contains the following linear forms, for any  $n \geq 1$ :

$$a_{m+n(q-1)} = b_n : f \mapsto a_{m+n(q-1)}(f) = b_n(f).$$

Let  $u = u_{k,m,\mathfrak{n}} : \mathbf{T}' \rightarrow \text{Hom}_{\mathbf{C}_\infty}(M_{k,m}^2(\Gamma_0(\mathfrak{n})), \mathbf{C}_\infty)$  be the  $\mathbf{C}_\infty$ -linear map  $s \mapsto b_1 s$ . We write  $b_1 \mathbf{T}'$  for the image of  $u$ .

We collect some remarks on the dimension of the  $\mathbf{C}_\infty$ -algebra  $\mathbf{T}'$ . The map  $u$  is not necessarily an isomorphism, therefore the dimension of  $\mathbf{T}'$  is unknown *a priori*. In the case  $\mathbf{T}' = \mathbf{T}'_{2,1}(\Gamma_0(\mathfrak{n}))$ , one can prove that its dimension coincides with  $\dim_{\mathbf{C}_\infty} M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ , using results from automorphic forms and work of Gekeler and Reversat [15].

We keep Notation 3.3. The next statement gives a first description of  $b_1 \mathbf{T}'$ .

**Proposition 5.5.** *Let  $f \in M_{k,m}(\Gamma_0(\mathfrak{n}))$  with  $t$ -expansion  $\sum_{i \geq 0} a_i(f)t^i$ . Let  $\mathfrak{p}$  an ideal of  $A$  of degree  $d$  with monic generator  $P$ . Then  $a_{m+(q-1)}(T_{\mathfrak{p}}f)$  is*

$$(10) \quad \sum_{\underline{n}} \binom{m+q-2}{\underline{n}} C(P)^{\underline{n}} a_{1+n_0+n_1q+\dots+n_dq^d}(f) + \varepsilon \sum_{\substack{Q|P, Q \in A_1+ \\ (Q)+\mathfrak{n}=A}} Q^{k-1} a_1(f)$$

where  $\underline{n} = (n_0, \dots, n_d)$  is such that  $n_0 + \dots + n_d = m + q - 2$  and  $\varepsilon$  is defined by

$$\varepsilon = \begin{cases} 1 & \text{if } m = 1 \\ 0 & \text{otherwise.} \end{cases}$$

<sup>1</sup>The lattice  $\bar{\pi}A$  is not finite but Goss polynomials can be defined in that more general setting (see [13, 8]).

**Remark 5.6.** (1) In Example 7.4 of [8], Gekeler treated  $a_i(T_{\mathfrak{p}}f)$  for  $\mathfrak{p}$  of degree 1,  $i \geq 0$ , and  $f$  modular for  $\mathrm{GL}_2(A)$ . Proposition 5.5 supplements Gekeler's statement.

(2) Actually, the proof only uses the subgroup  $\left\{\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \mid a \in A\right\}$  of  $\Gamma_0(\mathfrak{n})$ . Let  $\mathcal{O}$  be the ring of holomorphic functions  $f: \Omega \rightarrow \mathbf{C}_\infty$  which are  $A$ -periodic ( $f(z+a) = f(z)$ ,  $a \in A$ ) and holomorphic at the cusp infinity (in particular, this ring contains the space  $M_{k,m}(\Gamma_0(\mathfrak{n}))$ ). As recalled in Section 4, such functions have a  $t$ -expansion. Equation (6) still defines a function  $T_{\mathfrak{p}}f: \Omega \rightarrow \mathbf{C}_\infty$ . Then Propositions 5.2 and 5.5 are more generally valid for  $f \in \mathcal{O}$  such that  $T_{\mathfrak{p}}f \in \mathcal{O}$ .

*Proof.* By Proposition 5.2, we have to find the coefficient of  $t^{m+(q-1)}$  in the  $t$ -expansion of  $G_{i,\delta}(\delta t_{P/\delta})$ . First, if  $i = 0$ , then  $G_{0,\delta}(X) = 0$  so the expansion of  $G_{0,\delta}(\delta t_{P/\delta})$  has no  $t^{m+(q-1)}$ -term.

Assume  $i > 0$ . By (8) the  $t$ -expansion of  $t_{P/\delta}$  is divisible by  $t^{q^{d-\deg \delta}}$ . Moreover, it follows from the definition of Goss polynomials that  $G_{i,\delta}(X)$  has  $X$  as a factor. Hence, the  $t$ -expansion of  $G_{i,\delta}(\delta t_{P/\delta})$  is divisible by  $t^{q^{d-\deg \delta}}$ . Since  $m < q-1$ ,  $G_{i,\delta}(\delta t_{P/\delta})$  has no  $t^{m+(q-1)}$ -term when  $d - \deg \delta \geq 2$ . Now assume  $0 \leq d - \deg \delta \leq 1$ . Put  $s = \deg \delta$ . Recall that  $e_{\Lambda_\delta}(z) = C_\delta(z)/\delta = \sum_{i=0}^s C_{\delta,i} z^{q^i}/\delta$ . The explicit formula for Goss polynomials gives

$$G_{i,\delta}(X) = \sum_{j=0}^{i-1} \delta^{-j} \sum_{\underline{n}} \binom{j}{\underline{n}} C(\delta)^{\underline{n}} X^{j+1}$$

where  $\underline{n} = (n_0, \dots, n_s)$  are such that  $n_0 + \dots + n_s = j$  and  $n_0 + n_1 q + \dots + n_s q^s = i-1$ .

Suppose that  $s = d$ , i.e.  $\delta = P$ . Then the corresponding partial sum in (9) is

$$\frac{1}{P} \sum_{i \geq 0} a_i G_{i,P}(Pt) = \frac{1}{P} \sum_{i \geq 0} a_i \sum_{j=0}^{i-1} P^{-j} \sum_{\underline{n}} \binom{j}{\underline{n}} C(P)^{\underline{n}} (Pt)^{j+1}.$$

The  $t^{m+(q-1)}$ -term corresponds to  $j = m + q - 2$ ; namely, it is

$$\sum_{\underline{n}} \binom{m+q-2}{\underline{n}} C(P)^{\underline{n}} a_{1+n_0+n_1 q+\dots+n_d q^d}(f)$$

with  $\underline{n} = (n_0, \dots, n_d)$  such that  $n_0 + \dots + n_d = m + q - 2$ .

Next, suppose that  $s = d-1$ . Using Equation (8), we get

$$(11) \quad G_{i,\delta}(\delta t_{\frac{P}{\delta}}) = \sum_{j=0}^{i-1} \delta^{-j} \sum_{\underline{n}} \binom{j}{\underline{n}} C(\delta)^{\underline{n}} \left( \delta \frac{t^q}{1 + \frac{P}{\delta} t^{q-1}} \right)^{j+1}$$

where  $(n_0, \dots, n_{d-1})$  with  $n_0 + \dots + n_{d-1} = j$  and  $n_0 + n_1 q + \dots + n_{d-1} q^{d-1} = i-1$ . If  $j \geq 1$ , then  $q(j+1) \geq 2q > m + q - 1$ , thus there is no  $t^{m+(q-1)}$ -term in the expansion of (11). Finally, we assume  $j = 0$ , in other words  $n_0 = \dots = n_{d-1} = 0$

and  $i = 1$ . We have

$$G_{1,\delta}(\delta t_{\frac{P}{\delta}}) = \delta \frac{t^q}{1 + \frac{P}{\delta} t^{q-1}} = \delta t^q \sum_{n=0}^{+\infty} (-1)^n \frac{P^n}{\delta^n} t^{n(q-1)}.$$

This series has a  $t^{m+(q-1)}$ -term if and only if  $q-1$  divides  $m-1$ . This happens only if  $m = 1$ , and in that case the corresponding coefficient is  $\delta$ . To summarize, we obtain (10) where  $\underline{n} = (n_0, \dots, n_d)$  satisfies  $n_0 + n_1 + \dots + n_d = m + q - 2$ .  $\square$

Assume  $m \in \{0, 1\}$ . By (10), the linear form  $b_1 T_{\mathfrak{p}} = a_{m+(q-1)} T_{\mathfrak{p}}$  is a  $A$ -linear combination of  $a_i$ , where  $i$  runs through the set of natural integers satisfying the condition: the expansion of  $i$  in base  $q$  has at most  $d+1$  digits, whose sum is equal to  $m+q-1$ . In particular, the set of such  $i$ 's only depends on the degree  $d$  of  $\mathfrak{p}$ . This observation, also communicated to the author by D. Goss, will be used in Section 7. For the moment, we derive the following statement for  $b_1 \mathbf{T}'$ .

**Notation 5.7.** Let  $\mathcal{S}$  be the set of natural integers of the form  $c/(q-1)$  where  $c \in \mathbf{N}$  is such that the sum of its base  $q$  digits is  $q-1$ .

**Corollary 5.8.** *If  $m \in \{0, 1\}$  then  $b_1 \mathbf{T}'$  is contained in the  $\mathbf{C}_{\infty}$ -vector space spanned by  $b_n$  for  $n \in \mathcal{S}$ .*

The reverse inclusion will be proved in Section 7. Finally, we state another straightforward application of Proposition 5.5.

**Notation 5.9.** For  $d \geq 1$  and  $\underline{i} = (i_0, \dots, i_{d-1})$ , let

$$\Theta_d(i_0, \dots, i_{d-1}) = \sum_{P \in A_{d+}} C(P)^{\underline{i}} T_P = \sum_{P \in A_{d+}} C_{P,0}^{i_0} \cdots C_{P,d-1}^{i_{d-1}} T_P \in \mathbf{R}_A.$$

**Corollary 5.10.** *Let  $f \in M_{k,m}(\Gamma_0(\mathbf{n}))$ . With the notations of Proposition 5.5 and Section 3, the coefficient  $a_{m+(q-1)}(\Theta_d(i_0, \dots, i_{d-1})f)$  is*

$$\begin{aligned} & \sum_{\substack{\underline{n}=(n_0, \dots, n_d) \\ n_0 + \dots + n_d = m+q-2}} \binom{m+q-2}{\underline{n}} S_d(n_0 + i_0, \dots, n_{d-1} + i_{d-1}) a_{1+n_0+n_1q+\dots+n_dq^d}(f) \\ & + \varepsilon \sum_{P \in A_{d+}} C(P)^{\underline{i}} \sum_{\substack{Q|P, Q \in A_1+ \\ (Q)+\mathbf{n}=A}} Q^{k-1} a_1(f). \end{aligned}$$

## 6. ANNIHILATOR OF $b_1$ FOR THE HECKE ACTION

**Notation 6.1.** Let  $\mathbf{I} = \mathbf{I}_{k,m,\mathbf{n}}$  be the kernel of  $u$  i.e. the ideal of elements  $s \in \mathbf{T}'$  such that  $b_1 s = 0$  in the dual space of  $M_{k,m}^2(\Gamma_0(\mathbf{n}))$ .

In particular,  $\mathbf{I}$  is a sub- $\mathbf{C}_{\infty}$ -algebra of  $\mathbf{T}'$  which maps doubly cuspidal forms to Drinfeld modular forms  $f$  satisfying  $a_0(f) = b_0(f) = b_1(f) = 0$ .

**Lemma 6.2.** *If the map  $u: \mathbf{T}' \rightarrow \text{Hom}_{\mathbf{C}_{\infty}}(M_{k,m}^2(\Gamma_0(\mathbf{n})), \mathbf{C}_{\infty})$  is surjective, then it is an isomorphism.*



*Proof.* Since  $u$  is surjective, we take an element  $t_n$  in the preimage of  $b_n$  for any  $n \geq 1$ . If  $s$  belongs to the ideal  $\mathbf{I}$ , so does  $t_n s$ . Hence, for any  $f \in M_{k,m}^2(\Gamma_0(\mathbf{n}))$ , the  $n$ th coefficient  $b_n(sf)$  is zero for any  $n \geq 1$ . As the  $t$ -expansion uniquely determines a Drinfeld modular form,  $sf$  must be zero. Therefore  $s$  is zero as an endomorphism of  $M_{k,m}^2(\Gamma_0(\mathbf{n}))$ .  $\square$

### 6.1. Proof of Theorem 1.1.

*Proof of Theorem 1.1.* Actually we prove a slightly more general statement: all the following equalities of linear forms will take place in the dual of  $M_{k,m}(\Gamma_0(\mathbf{n}))$  if  $m \neq 1$  (resp. of  $M_{k,m}^2(\Gamma_0(\mathbf{n}))$  if  $m = 1$ ).

- (1) Without any assumption on  $m$ , we apply Corollary 5.10 to  $d = 1$ . For  $i \geq 0$  we get

$$b_1 \left( \sum_{P \in A_{1+}} P^i T_P \right) = \sum_{n=0}^{m+q-2} \binom{m+q-2}{n} S_1(n+i) a_{1+n+q(m+q-2-n)}.$$

This follows also from Gekeler's example 7.4 in [8], although stated there for  $\mathrm{GL}_2(A)$  and with a different normalization of Hecke operators.

Assume  $m = 0$ . The sum  $S_1(n+1) = \sum_{Q \in A_{1+}} Q^{n+1}$  is nonzero if and only if  $n = q-2$ , and  $S_1(q-1) = -1$  (by Lemma 3.4 for instance). Taking  $i = 1$ , our expression simplifies as  $b_1 \left( \sum_{P \in A_{1+}} P T_P \right) = -b_1$ .

Assume  $m = 1$ . Since the sum  $S_1(n)$  is nonzero if and only if  $n = q-1$ , taking  $i = 0$ , we get  $b_1 \left( \sum_{P \in A_{1+}} T_P \right) = -b_1$ .

- (2) Consider  $(i_0, \dots, i_{d-1})$  as in the statement. By Corollary 5.10, we get that  $b_1(\Theta_d(i_0, \dots, i_{d-1}))$  is

$$\sum_{\substack{\underline{n} \\ n_0 + \dots + n_d = m+q-2}} \binom{m+q-2}{\underline{n}} S_d(n_0 + i_0, \dots, n_{d-1} + i_{d-1}) a_{1+n_0+n_1q+\dots+n_dq^d}.$$

We have  $0 \leq n_j + i_j \leq 2(q-1)$ , hence we can evaluate  $S_d(n_0 + i_0, \dots, n_{d-1} + i_{d-1})$  thanks to Proposition 3.5. This sum is nonzero if and only if  $n_j + i_j = q-1$  or  $2(q-1)$  for all  $j \in \{0, \dots, d-1\}$ . If this happens, we have

$$d(q-1) \leq \sum_{l=0}^{d-1} (n_l + i_l) \leq i_0 + \dots + i_{d-1} + m + q - 2$$

which contradicts  $i_0 + \dots + i_{d-1} \leq (d-1)(q-1) - m$ . Accordingly, the sum always vanishes and  $b_1(\Theta_d(i_0, \dots, i_{d-1})) = 0$ .

- (3) Apply the statement proved before to  $i_0 = l$  and  $i_1 = \dots = i_{d-1} = 0$ .  $\square$

It is worth pointing out that the elements of  $\mathbf{I}$  given in Theorem 1.1 are universal in the sense that, for a given type, they do not depend on the weight  $k$  nor the ideal  $\mathbf{n}$ . Some of them, as  $\sum_{P \in A_{d+}} T_P$  for  $d \geq 2$  for instance, are also independent of the type  $m$ . This means that, in the universal formal Hecke algebra  $\mathbf{R}_A$ , such an element is independent of  $k$ ,  $m$  and  $\mathbf{n}$ .

**Remark 6.3.** This phenomenon does not occur for classical modular forms of weight 2 as we now explain. Let  $S_2(\Gamma_0(N))$  be the complex space of weight-2 cusp forms for  $\Gamma_0(N)$  ( $N \geq 1$ ). We write  $(c_n)_{n \geq 1}$  for the linear forms given by Fourier coefficients of such modular forms at the cusp infinity. The Hecke algebra  $\mathbf{T}_c$  of weight 2 for  $\Gamma_0(N)$  is the subring of  $\text{End}(S_2(\Gamma_0(N)))$  spanned over  $\mathbf{C}$  by all Hecke operators  $T_n$  for  $n \in \mathbf{N}$ . Let  $u_c$  be the  $\mathbf{C}$ -linear map  $\mathbf{T}_c \rightarrow \text{Hom}_{\mathbf{C}}(S_2(\Gamma_0(N)), \mathbf{C})$  given by  $s \mapsto c_1 s$ . Relation (3) gives  $c_n = u_c(T_n)$  for all  $n \geq 1$ , thus  $u_c$  is bijective. We claim that if there exists a  $\mathbf{C}$ -linear combination  $s = \lambda_1 T_{i_1} + \dots + \lambda_j T_{i_j}$ , with  $j, \lambda_1, \dots, \lambda_j, i_1, \dots, i_j$  independent of  $N$ , such that  $s = 0$  as an endomorphism of  $S_2(\Gamma_0(N))$ , then the coefficients  $\lambda_1, \dots, \lambda_s$  must be zero. In fact, when  $N$  is prime, the Hecke operators  $T_1, \dots, T_{g(N)}$  are  $\mathbf{C}$ -linearly independent in  $\text{End}(S_2(\Gamma_0(N)))$  for  $g(N) = \dim S_2(\Gamma_0(N))$  (this follows from the cusp infinity not being a Weierstrass point on the modular curve  $X_0(N)$ ). Choosing  $N$  prime such that  $g(N)$  is large enough yields  $\lambda_1 = \dots = \lambda_j = 0$  and proves our claim.

In Section 7.2, we will further our investigation of the ideal  $\mathbf{I}$  and prove that it vanishes in some cases (Theorem 7.7).

## 6.2. Linear relations for eigenvalues.

**Notation 6.4.** Let  $\mathfrak{p}$  an ideal of  $A$  with monic generator  $P$ . A *Hecke eigenform*  $f$  is a Drinfeld modular form which is an eigenform for all Hecke operators. We write  $\lambda_P(f)$  for its eigenvalue for  $T_P = T_{\mathfrak{p}}$ .

For a Hecke eigenform  $f$  such that  $b_1(f) \neq 0$ , Theorem 1.1 yields linear relations among its eigenvalues. It seems rather remarkable that these relations are universal in the sense that, for a fixed type, they do not depend on the weight  $k$  nor on the level  $\mathfrak{n}$ .

**Proposition 6.5.** *Let  $f \in M_{k,m}(\Gamma_0(\mathfrak{n}))$  be a Hecke eigenform with  $b_1(f) \neq 0$ . If  $m = 1$ , we assume further  $f \in M_{k,m}^2(\Gamma_0(\mathfrak{n}))$ .*

(1) *If  $m \in \{0, 1\}$ , then*

$$\sum_{P \in A_{1+}} P^{1-m} \lambda_P(f) + 1 = 0.$$

(2) *Let  $d \geq 1$  and  $i_0, \dots, i_{d-1}$  satisfying (1) and (2). Then*

$$\sum_{P \in A_{d+}} C(P)^{i_0} \lambda_P(f) = 0.$$

(3) *Let  $l$  and  $d$  be integers such that  $0 \leq l \leq q-m$  and  $d \geq (l+m)/(q-1)+1$ . Then*

$$\sum_{P \in A_{d+}} P^l \lambda_P(f) = 0.$$

In particular, if  $d \geq 2$ , or  $f$  has type 0 and  $d = 1$ , then

$$\sum_{P \in A_{d+}} \lambda_P(f) = 0.$$

**6.3. Linear relations for Hecke operators.** We explain how some relations of Proposition 6.5 follow from a more general statement, namely linear relations among Hecke operators in characteristic zero or  $p$ . In other words, we prove that certain elements of  $\mathbf{I}$  given in Theorem 1.1 are zero in  $\mathbf{T}'$ .

**Notation 6.6.** For an ideal  $\mathfrak{n}$  of  $A$ , let  $\mathbf{H}_{\mathfrak{n}}$  be the abelian group of  $\mathbf{Z}$ -valued cuspidal harmonic cochains for  $\Gamma_0(\mathfrak{n})$  on the Bruhat-Tits tree  $\mathcal{T}$  of  $\mathrm{PGL}(2, K_{\infty})$  (we refer to Section 3 of [15] for the relevant definitions and properties). The group  $\mathrm{GL}_2(K)$  acts on the left on the set of oriented edges  $Y(\mathcal{T})$  of  $\mathcal{T}$ . We define an endomorphism  $\theta_{\mathfrak{p}}$  of  $\mathbf{H}_{\mathfrak{n}}$  by

$$(\theta_{\mathfrak{p}}F)(e) = \sum_{\substack{\alpha, \delta \text{ monic} \in A \\ \beta \in A, \deg \beta < \deg \delta \\ (\alpha\delta) = \mathfrak{p}, (\alpha) + \mathfrak{n} = A}} F\left(\begin{pmatrix} \alpha & \beta \\ 0 & \delta \end{pmatrix} e\right)$$

for  $F \in \mathbf{H}_{\mathfrak{n}}$  and  $e \in Y(\mathcal{T})$ .

After scalar extension to the complex numbers  $\mathbf{C}$ ,  $\mathbf{H}_{\mathfrak{n}}$  is identified with a space of cuspidal automorphic forms on  $\mathrm{GL}(2)$  of the adèles of  $K$  (by the strong approximation theorem). Moreover, using Teitelbaum's residue map [17], Gekeler and Reversat [15] gave an isomorphism between  $\mathbf{H}_{\mathfrak{n}}/p\mathbf{H}_{\mathfrak{n}}$  and a subspace of Drinfeld modular forms, namely the subspace  $M_{2,1}^2(\Gamma_0(\mathfrak{n}), \mathbf{F}_p)$  of  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$  consisting of such forms with residues in  $\mathbf{F}_p$ . It turns out that this isomorphism is Hecke-equivariant, with the normalizations we have adopted here for  $T_{\mathfrak{p}}$  and  $\theta_{\mathfrak{p}}$ . Finally,  $M_{2,1}^2(\Gamma_0(\mathfrak{n}), \mathbf{F}_p)$  is an  $\mathbf{F}_p$ -vector space which, after scalar extension to  $\mathbf{C}_{\infty}$ , gives the whole space  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ . Put differently, the Hecke operator  $T_{\mathfrak{p}}$  acting on  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$  can be thought of as the mod  $p$  reduction of  $\theta_{\mathfrak{p}}$ .

**Lemma 6.7.** *Let  $\mathfrak{n}$  be a prime. Assume  $d \geq \deg(\mathfrak{n}) - 1$ . Then  $\sum_{\deg \mathfrak{p}=d} \theta_{\mathfrak{p}} = 0$ . In particular,  $\sum_{\deg \mathfrak{p}=d} T_{\mathfrak{p}} = 0$  on  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ .*

*Proof.* Let  $F \in \mathbf{H}_{\mathfrak{n}}(\mathbf{C}) = \mathbf{H}_{\mathfrak{n}} \otimes_{\mathbf{Z}} \mathbf{C}$  be an eigenform for  $(\theta_{\mathfrak{p}})_{\mathfrak{p}}$  with eigenvalues  $(\lambda_{\mathfrak{p}})_{\mathfrak{p}}$ . It is well-known, and follows from elementary estimates on the  $L$ -function of  $F$ , that  $\sum_{\deg \mathfrak{p} \leq d} \lambda_{\mathfrak{p}} = 0$  if  $d > \deg(\mathfrak{n}) - 3$  (details can be found in Section 2.1.2 of [1] for instance). Since  $\mathfrak{n}$  is prime, there exists a basis of  $\mathbf{H}_{\mathfrak{n}}(\mathbf{C})$  consisting of normalized eigenforms for  $(\theta_{\mathfrak{p}})_{\mathfrak{p}}$ . Hence we have  $\sum_{\deg \mathfrak{p} \leq d} \theta_{\mathfrak{p}} = 0$  if  $d > \deg(\mathfrak{n}) - 3$ . An equivalent formulation is:  $\sum_{\deg \mathfrak{p} \leq \deg(\mathfrak{n})-2} \theta_{\mathfrak{p}} = 0$  and  $\sum_{\deg \mathfrak{p}=d} \theta_{\mathfrak{p}} = 0$  if  $d \geq \deg(\mathfrak{n}) - 1$ . This completes the proof.  $\square$

Therefore, from the theory of automorphic forms, we know that certain elements of  $\mathbf{I}$  given in Theorem 1.1 are zero on  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ , because so they are on  $\mathbf{H}_{\mathfrak{n}}$ : this is the case for  $\sum_{\deg \mathfrak{p}=d} T_{\mathfrak{p}}$  if  $\mathfrak{n}$  is prime and  $d \geq \deg(\mathfrak{n}) - 1$ .

It is now natural to ask whether some elements of  $\mathbf{I}$  in Theorem 1.1 can act nontrivially on  $\mathbf{H}_{\mathbf{n}}$  and be zero in  $\mathbf{T}'$  (i.e. in characteristic  $p$ ). We suggest that this happens.

**Conjecture 6.8.** *Assume  $\mathbf{n}$  is prime. We have the following relations among Hecke operators on  $M_{2,1}^2(\Gamma_0(\mathbf{n}))$ :*

- (1) *If  $\mathbf{n}$  has degree 4, then  $\sum_{\deg \mathfrak{p} \leq 1} T_{\mathfrak{p}} = 0$ .*
- (2) *If  $\mathbf{n}$  has degree  $\geq 4$ , then  $\sum_{\deg \mathfrak{p} = \deg(\mathbf{n})-2} T_{\mathfrak{p}} = 0$ .*

We have numerical evidences supporting the conjecture. We computed Hecke operators on  $\mathbf{H}_{\mathbf{n}}/p\mathbf{H}_{\mathbf{n}}$ , for  $\mathbf{n}$  prime, using Teitelbaum's modular symbols for  $\mathbf{F}_q(T)$  [18, 3]. The first relation has been checked for  $q \in \{2, 3, 5\}$  and the second one for all primes  $\mathbf{n}$  of degree 5 and 6 in  $\mathbf{F}_2[T]$ . Note that, when  $\deg \mathbf{n} = 4$ , both relations are equivalent: indeed, we have  $\sum_{\deg \mathfrak{p} \leq 2} \theta_{\mathfrak{p}} = 0$  (see proof of Lemma 6.7).

Conjecture 6.8 predicts that some elements of  $\mathbf{I}$  would be zero in  $\mathbf{T}'$  but may be nonzero on the automorphic level, more precisely:

- $\sum_{\deg \mathfrak{p} \leq 1} T_{\mathfrak{p}} = 0$  in  $\mathbf{T}'_{2,1}(\Gamma_0(\mathbf{n}))$  for  $\mathbf{n}$  prime of degree 4;
- $\sum_{\deg \mathfrak{p} = \deg(\mathbf{n})-2} T_{\mathfrak{p}} = 0$  in  $\mathbf{T}'_{2,1}(\Gamma_0(\mathbf{n}))$  for  $\mathbf{n}$  prime of degree  $\geq 4$ .

In the next paragraph, we are interested in the reverse problem: finding nonzero elements in the ideal  $\mathbf{I}$ .

**6.4. Nonzero elements in the annihilator.** The following conjecture suggests that, in general, the Hecke annihilator  $\mathbf{I}$  of  $b_1$  is nonzero.

**Conjecture 6.9.** *Assume  $\mathbf{n}$  is prime of degree  $\geq 5$ . Then  $\sum_{\deg \mathfrak{p} \leq 1} T_{\mathfrak{p}} \in \mathbf{I}$  is nonzero as an endomorphism of  $M_{2,1}^2(\Gamma_0(\mathbf{n}))$ . In particular, the map*

$$\begin{aligned} u: \mathbf{T}' &\longrightarrow \operatorname{Hom}_{\mathbf{C}_{\infty}}(M_{2,1}^2(\Gamma_0(\mathbf{n})), \mathbf{C}_{\infty}) \\ s &\longmapsto b_1 s \end{aligned}$$

*is not surjective.*

The last statement follows from Lemma 6.2. As in Section 6.3, we were able to compute the action of  $\sum_{\deg \mathfrak{p} \leq 1} T_{\mathfrak{p}}$  on  $M_{2,1}^2(\Gamma_0(\mathbf{n}))$  on some examples. We checked Conjecture 6.9 for all primes  $\mathbf{n}$  of degree 5, 6, 7 and 8 in  $\mathbf{F}_2[T]$  and  $\mathbf{F}_3[T]$ .

## 7. PROOF AND APPLICATIONS OF THEOREM 1.2

### 7.1. Explicit version of Theorem 1.2.

**Notation 7.1.** We call a *decomposition* of  $c \in \mathbf{N}$  a tuple  $\underline{c} = (c_0, \dots, c_d)$  such that  $c = \sum_{j=0}^d c_j q^j$  and  $0 \leq c_j < q$  for any  $j \in \{0, \dots, d\}$ , for some  $d \geq 0$ . The *length* of  $\underline{c}$  is  $d + 1$ . Note that we do not require  $c_d \neq 0$ . The base  $q$  expansion gives a decomposition of  $c$ . By putting zeros at the end of any decomposition of  $c$ , we obtain decompositions of larger length.

If  $\underline{i} = (i_0, \dots, i_d)$  is a decomposition of  $i \geq 0$ , let

$$l(i) = \sum_{P \in A_{d+}} C(P)^{\underline{i}} \sum_{\substack{Q|P, Q \in A_{1+} \\ (Q) + \mathbf{n} = A}} Q^{k-1} \in A.$$

We prove Theorem 1.2 by establishing the following explicit version.

**Theorem 7.2.** *Assume  $q$  is a prime.*

- (1) *Suppose  $m = 0$ . Let  $n = c/(q-1) \in \mathcal{S}$ . We fix a decomposition  $(c_0, \dots, c_d)$  of  $c$  of length  $d+1$  (therefore  $c_0 + \dots + c_d = q-1$ ). Let*

$$t_{c_0, \dots, c_d} = (-1)^d \binom{q-2}{c_0-1, c_1, \dots, c_d}^{-1} \sum_{P \in A_{d+}} \left\{ q^{d+1-c} \right\}^P T_P \in \mathbf{R}_A.$$

*Then, for any  $k$  and  $\mathbf{n}$ , we have  $b_n = b_1 t_{c_0, \dots, c_d}$  in the dual space of  $M_{k,0}(\Gamma_0(\mathbf{n}))$ .*

- (2) *Suppose  $m = 1$ . Let  $n = c/(q-1) \in \mathcal{S}$ . We fix a decomposition  $(c_0, \dots, c_d)$  of  $c$  of length  $d+1$  (therefore  $c_0 + \dots + c_d = q-1$ ) with  $c_d \neq q-1$ . Let*

$$t'_{c_0, \dots, c_d} = (-1)^d \binom{q-1}{c_0, \dots, c_d}^{-1} \sum_{P \in A_{d+}} \left\{ q^{d+1-c} \right\}^P T_P \in \mathbf{R}_A.$$

*Then, for any  $k$  and  $\mathbf{n}$ , we have*

$$b_n = b_1 t'_{c_0, \dots, c_d} + (-1)^{d+1} \binom{q-1}{c_0, \dots, c_d}^{-1} l(q^{d+1} - 1 - c) a_1$$

*in the dual space of  $M_{k,1}(\Gamma_0(\mathbf{n}))$ .*

- (3) *Assume  $m = 1$ . Let  $d \geq 1$*

$$t_d = (-1)^d \sum_{P \in A_{d+}} \left( \left\{ q^{d-1} \right\}^P - \sum_{i=0}^{d-1} \left\{ q^{d-1-(q-1)q^i} \right\}^P \right) T_P \in \mathbf{R}_A.$$

*Then, for any  $k$  and  $\mathbf{n}$ , we have*

$$(12) \quad b_{q^d} = b_1 t_d + (-1)^d \left( -l(q^d - 1) + \sum_{i=0}^{d-1} l(q^d - 1 - (q-1)q^i) \right) a_1$$

*in the dual space of  $M_{k,1}(\Gamma_0(\mathbf{n}))$ .*

**Remark 7.3.** (1) Since  $q$  is prime and  $\sum_{j=0}^d c_j = q-1$ , the multinomial coefficients  $\binom{q-1}{c_0, \dots, c_d}$  and  $\binom{q-2}{c_0-1, c_1, \dots, c_d}$  are nonzero in  $\mathbf{F}_p$ , by Lucas's theorem, hence invertible.

- (2) On doubly cuspidal forms,  $a_1$  vanishes and the expressions of Theorem 7.2 simplify and provide Theorem 1.2. Moreover, since  $b_1 \mathbf{T}'$  is contained in the  $\mathbf{C}_\infty$ -vector space spanned by  $b_n$  for  $n \in \mathcal{S}$  (Corollary 5.8), we get the equality provided that  $q$  is prime and  $m \in \{0, 1\}$ .

- (3) For a given  $n \in \mathcal{S}$ , we get infinitely many expressions  $s_n \in \mathbf{T}'$  such that  $b_n = b_1 s_n$ . The reason is that, in the first two items of Theorem 7.2, *any* decomposition of  $c = (q-1)n$  gives rise to a formula for  $s_n \in \mathbf{T}'$  satisfying the desired property. More generally, any element of  $s_n + \mathbf{I}$  would satisfy the same property.
- (4) The primality assumption on  $q$  is not essential: it is required to ensure that the multinomial coefficient  $\binom{q-1}{c_0, \dots, c_d}$  for  $m = 1$  (resp.  $\binom{q-2}{c_0-1, c_1, \dots, c_d}$  for  $m = 0$ ) is nonzero in  $\mathbf{F}_p$ . Hence, the assumption is unnecessary in (12). Moreover, if  $q$  is not a prime, the statement of Theorem 7.2 remains true for  $n$  in a subset of  $\mathcal{S}$ .

Before proving Theorem 7.2, we give an example.

**Example 7.4** ( $d = 1$ ). We put

$$s_n = -\binom{q-1}{n-1}^{-1} \sum_{P \in A_{1+}} P^{n-1} T_P \quad \text{for } 1 \leq n \leq q-1$$

$$s_q = - \sum_{P \in A_{1+}} (P^{q-1} - 1) T_P.$$

Then  $b_n(f) = b_1(s_n(f))$  for all  $f \in M_{k,1}^2(\Gamma_0(\mathbf{n}))$  and  $1 \leq n \leq q$ . This is valid for  $q$  a power of a prime, by Remark 7.3 and Lucas's theorem. Using these formulas, we can recover the first  $q$  coefficients of any Hecke eigenform  $f$  in  $M_{k,1}^2(\Gamma_0(\mathbf{n}))$  in terms of  $b_1(f)$  and the eigenvalues.

*Proof of Theorem 7.2.* (1) Assume that the type  $m$  is 0. We put  $n_0 = c_0 - 1$ ,  $n_1 = c_1, \dots, n_d = c_d$ , so that  $n_0 + \dots + n_d = q - 2$ . By Corollary 5.10,  $a_{q-1}(\Theta_d(q-1-n_0, \dots, q-1-n_{d-1}))$  is

$$\sum_{\underline{r}} \binom{q-2}{\underline{r}} S_d(r_0 + q - 1 - n_0, \dots, r_{d-1} + q - 1 - n_{d-1}) a_{1+r_0+r_1q+\dots+r_dq^d}$$

where  $\underline{r} = (r_0, \dots, r_d)$  satisfies  $r_0 + \dots + r_d = q - 2$ . From  $n_i \geq -1$ , we get  $0 \leq r_i + q - 1 - n_i \leq 2(q-1)$  for all  $i$ . We can thus evaluate the sum  $S_d(r_0 + q - 1 - n_0, \dots, r_{d-1} + q - 1 - n_{d-1})$  by Proposition 3.5: it is nonzero only if  $\underline{r}$  is such that  $r_i = n_i$  or  $q - 1 + n_i$ , for all  $i \in \{0, \dots, d-1\}$ . Since  $r_i \leq q - 2$ , we have  $r_0 = n_0, \dots, r_{d-1} = n_{d-1}$  and by Proposition 3.5,

$$a_{q-1}(\Theta_d(q-1-n_0, \dots, q-1-n_{d-1})) = \binom{q-2}{\underline{n}} (-1)^d a_{1+n_0+n_1q+\dots+n_dq^d}.$$

Finally,  $a_{1+n_0+\dots+n_dq^d} = a_{n(q-1)} = b_n$  and the conclusion follows.

- (2) Assume that the type  $m$  is 1. Since  $q^{d+1} - 1 - c$  has base  $q$  expansion  $\sum_{j=0}^d (q-1-c_j)q^j$ , we have

$$\begin{aligned} \sum_{P \in A_{d+}} \left\{ q^{d+1-c} P \right\} T_P &= \sum_{P \in A_{d+}} C_{P,0}^{q-1-c_0} \dots C_{P,d-1}^{q-1-c_{d-1}} T_P \\ &= \Theta_d(q-1-c_0, \dots, q-1-c_{d-1}). \end{aligned}$$

By Corollary 5.10,  $b_1(\Theta_d(q-1-c_0, \dots, q-1-c_{d-1}))$  is

$$\sum_{\underline{r}} \binom{q-1}{\underline{r}} S_d(r_0+q-1-c_0, \dots, r_{d-1}+q-1-c_{d-1}) a_{1+r_0+r_1q+\dots+r_dq^d} \\ + l(q^{d+1}-1-c) a_1$$

with  $\underline{r} = (r_0, \dots, r_d)$  such that  $r_0 + \dots + r_d = q-1$ . From  $c_i \geq 0$  and  $0 \leq r_i \leq q-1$ , we get  $0 \leq r_i + q-1-c_i \leq 2(q-1)$ . Thus the sum  $S_d(r_0+q-1-c_0, \dots, r_{d-1}+q-1-c_{d-1})$  can be evaluated thanks to Proposition 3.5: it is nonzero if and only if  $r_i = c_i$  or  $q-1+c_i$  for all  $0 \leq i \leq d-1$ .

Suppose there exists  $k \in \{0, \dots, d-1\}$  with  $r_k = q-1+c_k$ . Then, according to the previous remarks, we have

$$q-1-r_d = \sum_{j=0}^{d-1} r_j = q-1+c_k + \sum_{j=0, j \neq k}^{d-1} r_j \geq q-1 + \sum_{j=0}^{d-1} c_j = 2(q-1) - c_d$$

hence  $0 \leq q-1-c_d \leq -r_d$ . This implies  $r_d = 0$ , thus  $c_d = q-1$ , which is impossible. Therefore, we have  $r_j = c_j$  for  $0 \leq j \leq d-1$  and  $r_d = c_d$  as a consequence. Proposition 3.5 then provides

$$b_1(\Theta_d(q-1-c_0, \dots, q-1-c_{d-1})) = a_{1+c_0+c_1q+\dots+c_dq^d} \\ + (-1)^d \binom{q-1}{c_0, \dots, c_d}^{-1} l(q^{d+1}-1-c) a_1.$$

Finally,  $a_{1+c_0+c_1q+\dots+c_dq^d} = a_{1+n(q-1)} = b_n$ , thus the statement is proved.

- (3) Assume that the type  $m$  is 1. We first compute  $b_1(\Theta_d(q-1, \dots, q-1))$ . According to Corollary 5.10, it is

$$\sum_{\substack{\underline{r}=(r_0, \dots, r_d) \\ r_0+\dots+r_d=q-1}} \binom{q-1}{\underline{r}} S_d(r_0+q-1, \dots, r_{d-1}+q-1) a_{1+r_0+r_1q+\dots+r_dq^d} \\ + l(q^{d+1}-1) a_1.$$

By Proposition 3.5, the sum  $S_d(r_0+q-1, \dots, r_{d-1}+q-1)$  is nonzero if and only if  $r_i = 0$  or  $q-1$  for all  $0 \leq i \leq d-1$ . This means that  $(r_0, \dots, r_{d-1})$  is one of the following:

$$(q-1, 0, \dots, 0), (0, q-1, 0, \dots, 0), \dots, (0, \dots, 0, q-1), (0, \dots, 0).$$

Thus  $b_1(\Theta_d(q-1, \dots, q-1))$  equals

$$(13) \quad (-1)^d \left( a_{1+(q-1)} + \dots + a_{1+(q-1)q^{d-1}} + a_{1+(q-1)q^d} \right) + l(q^{d+1}-1) a_1.$$

Next, we compute  $b_1(\Theta(q-1, \dots, 0, \dots, q-1))$ , the only zero term being at the  $(j+1)$ th position ( $0 \leq j \leq d-1$ ). From Corollary 5.10, it is

$$\sum_{\substack{\underline{r}=(r_0, \dots, r_d) \\ r_0 + \dots + r_d = q-1}} \binom{q-1}{\underline{r}} S_d(r_0 + q - 1, \dots, r_j, \dots, r_{d-1} + q - 1) a_{1+r_0+r_1q+\dots+r_dq^d} \\ + l(q^{d+1} - 1 - (q-1)q^j) a_1.$$

Again by Proposition 3.5, the sum is only over  $\underline{r}$  satisfying the following two properties:

$$\begin{aligned} r_i &= 0 \text{ or } q-1 \quad \text{for all } i \in \{0, \dots, d-1\}, i \neq j \\ r_j &= q-1 \text{ or } 2(q-1). \end{aligned}$$

Since  $r_0 + \dots + r_d = q-1$ , we have necessarily  $r_j = q-1$ ,  $r_i = 0$  for all  $i \neq j$  and  $r_d = 0$ . Then

$$(14) \quad b_1(\Theta(q-1, \dots, 0, \dots, q-1)) = (-1)^d a_{1+(q-1)q^j} + l(q^{d+1} - 1 - (q-1)q^j) a_1$$

Combining (13) and (14), we get the claim.  $\square$

**7.2. Applications.** Theorem 1.2 has the following straightforward consequence.

**Corollary 7.5.** *Under the assumptions of Theorem 1.2, if  $f$  is a Hecke eigenform with  $b_n(f) \neq 0$  for some  $n \in \mathcal{S}$ , then  $b_1(f) \neq 0$ .*

In particular, in Proposition 6.5, one can replace the assumption  $b_1(f) \neq 0$  by: there exists  $n \in \mathcal{S}$  such that  $b_n(f) \neq 0$ .

We now provide multiplicity one statements in certain spaces of Drinfeld modular forms.

**Lemma 7.6.** (1) *Let  $d = \dim M_{k,m}(\mathrm{GL}_2(A))$ . The  $\mathbf{C}_\infty$ -linear map*

$$\begin{aligned} M_{k,m}(\mathrm{GL}_2(A)) &\longrightarrow \mathbf{C}_\infty^d \\ f &\longmapsto (b_0(f), \dots, b_{d-1}(f)) \end{aligned}$$

*is an isomorphism.*

(2) *Let  $d = \dim M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ . The  $\mathbf{C}_\infty$ -linear map*

$$\begin{aligned} M_{2,1}^2(\Gamma_0(\mathfrak{n})) &\longrightarrow \mathbf{C}_\infty^d \\ f &\longmapsto (b_1(f), \dots, b_d(f)) \end{aligned}$$

*is an isomorphism.*

*Proof.* The first assertion follows readily from a formula relating, for a nonzero  $f \in M_{k,m}(\mathrm{GL}_2(A))$ , the orders of vanishing of  $f$  at elliptic, non-elliptic points and the cusp infinity of  $\mathrm{GL}_2(A)$  (see Formula (5.14) in Gekeler's paper [8]). The second assertion is a consequence of the cusp infinity not being a Weierstrass point on the Drinfeld modular curve attached to  $\Gamma_0(\mathfrak{n})$  (see Proposition 4.47 of [1] for details).  $\square$



**Theorem 7.7.** *Let  $M$  be one of the following spaces of Drinfeld modular forms:*

- (1)  $M_{k,0}^1(\mathrm{GL}_2(A))$  with  $k < (q+1)^2(q-1)$
- (2)  $M_{k,1}^2(\mathrm{GL}_2(A))$  with  $k < q^2(q+1)$
- (3)  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$  with  $\mathfrak{n}$  prime of degree 3.

*Then:*

- *Any eigenform in  $M$  for the operators  $(T_{\mathfrak{p}})_{\deg \mathfrak{p}=1}$  is characterized in the space  $M$  by its eigenvalues, up to a multiplicative constant.*
- *The map  $u: \mathbf{T}' \rightarrow \mathrm{Hom}_{\mathbf{C}_\infty}(M, \mathbf{C}_\infty)$  is an isomorphism.*

*Proof.* Consider the first two cases for  $M$ . By the cuspidality (resp. doubly cuspidality) condition and the assumption on the type, we have  $b_0(f) = a_m(f) = 0$ . Therefore, any function  $f \in M$  is determined, in the space  $M$ , by its coefficients  $b_1(f), \dots, b_{d-1}(f)$ , according to Lemma 7.6. Now, if  $f$  is an eigenform for  $(T_{\mathfrak{p}})_{\deg \mathfrak{p}=1}$ , we know that  $b_1(f), \dots, b_q(f)$  are determined by the eigenvalues (up to a multiplicative constant), thanks to Example 7.4. Recall that the dimension of  $M_{k,m}(\mathrm{GL}_2(A))$  is  $d = \lfloor (k - (q+1)m)/(q^2 - 1) \rfloor + 1$  (this follows from Gekeler's formula (5.14) in [8]). Here, the assumptions on the weight ensure that  $d-1 \leq q$ . The conclusion follows.

The proof of the third case is similar, except that the dimension of  $M$  is  $q$ . Indeed, this dimension coincides with the genus of the Drinfeld modular curve attached to  $\Gamma_0(\mathfrak{n})$ , for which we know closed formulas thanks to Gekeler (see (2.10.3) in [15] and [6, 7]).

For the bijectivity of  $u$ , we need only to prove the surjectivity by Lemma 6.2. Consider the first two cases of  $M$ . As before,  $M$  has dimension  $d-1 \leq q$ . Moreover, the image of  $u$  contains  $b_1, \dots, b_{d-1}$  (by Theorem 7.2) which are linearly independent (by Lemma 7.6), hence the conclusion. The proof of the third case is similar.  $\square$

As a corollary, we get that the dimension of the  $\mathbf{C}_\infty$ -algebra  $\mathbf{T}'$  coincides with the dimension of the space of Drinfeld modular forms  $M$ , for  $M$  as in the statement.

**7.3. Comment on  $A$ -structures.** Although we worked with  $\mathbf{C}_\infty$ -structures, most of the results of this paper could be transferred to the ring  $A$ . For instance, one could work with the subspace  $M_{k,m}^2(\Gamma_0(\mathfrak{n}); A) \subset M_{k,m}^2(\Gamma_0(\mathfrak{n}))$  consisting of modular forms with expansion in  $A[[t]]$  and the Hecke algebra  $\mathbf{T}'_A$  spanned over  $A$  by Hecke operators. Using Proposition 5.2, one may check that the map

$$\mathbf{T}'_A \rightarrow \mathrm{Hom}_A(M_{k,m}^2(\Gamma_0(\mathfrak{n}); A), A)$$

induced by  $s \mapsto b_1 s$ , is well-defined. We expect that  $M_{k,m}^2(\Gamma_0(\mathfrak{n}); A)$  is a  $A$ -structure of  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$  (i.e. there exists a basis of  $M_{k,m}^2(\Gamma_0(\mathfrak{n}))$  consisting of modular forms with coefficients in  $A$ ). However, a general theory of such algebraic Drinfeld modular forms is still missing in the literature. Some instances

of such a theory can be found in [12] (Section 2, for  $M_{k,m}(\mathrm{GL}_2(A))$ ) and [1] (Section 4.2, for  $M_{2,1}^2(\Gamma_0(\mathfrak{n}))$ ).

## 8. COEFFICIENTS OF $h$

We use Theorem 7.2 to compute explicitly some coefficients of Gekeler's Drinfeld modular form  $h$ , defined in [8]. Recall that  $h$  has weight  $q + 1$  and type 1 for  $\mathrm{GL}_2(A)$ . It is defined as a certain Poincaré series and is also a  $(q - 1)$ th root of the Drinfeld discriminant form  $\Delta$ . Moreover, it is a cuspidal Hecke eigenform with  $T_{\mathfrak{p}}h = h$  for any  $\mathfrak{p}$  (Corollary 7.6 in [8] with a different normalization of Hecke operators). The first coefficients of  $h$  are  $a_1(h) = -1$  and

$$b_1(h) = a_q(h) = \begin{cases} 0 & \text{if } q > 2 \\ 1 & \text{if } q = 2 \end{cases}.$$

**Proposition 8.1.** *For  $P$  in  $A$ , let  $\sigma_P = \sum_{Q|P, Q \in A_{1+}} Q^q$ .*

- (1) *Assume  $q$  is a prime  $> 2$ . Let  $c \in \mathbf{N}$  such that  $c = \sum_{j=0}^d c_j q^j$  with  $0 \leq c_j < q$ ,  $\sum_{j=0}^d c_j = q - 1$  and  $c_d \neq q - 1$  (we do not necessarily assume  $c_d \neq 0$ ). Then*

$$(15) \quad b_{\frac{c}{q-1}}(h) = (-1)^d \binom{q-1}{c_0, \dots, c_d}^{-1} \sum_{P \in A_{d+}} \left\{ q^{d+1} P_{-1-c} \right\} \sigma_P.$$

Moreover, for  $d \geq 0$ ,

$$(16) \quad b_{q^d}(h) = (-1)^{d+1} \sum_{P \in A_{d+}} \left( -\left\{ q^d P_{-1} \right\} + \sum_{i=0}^{d-1} \left\{ q^{d-1-(q-1)q^i} P \right\} \right) \sigma_P.$$

- (2) *Assume  $q = 2$ . Then for every  $d \geq 0$ , one has*

$$b_{2^d}(h) = (-1)^d \sum_{P \in A_{d+}} \left( -\left\{ 2^d P_{-1} \right\} + \sum_{i=0}^{d-1} \left\{ 2^{d-1-2^i} P \right\} \right) (1 + \sigma_P).$$

**Remark 8.2.** We recover that the corresponding coefficients of  $h$  are polynomials in  $T^q - T$  with coefficients in  $\mathbf{F}_q$  (indeed, they are elements of  $A$  which are invariant under  $T \mapsto T + c$  for  $c \in \mathbf{F}_q$ ). More generally, Gekeler proved that this property holds for any coefficient of  $h$  (Theorem 2.4 of [9]).

Taking  $d = 1$  in Proposition 8.1, one can recover the first  $q$  coefficients of  $h$ . If  $q$  is a prime  $> 2$ , then  $b_i(h) = 0$  if  $1 \leq i \leq q - 2$ ,  $b_{q-1}(h) = -1$  and  $b_q(h) = T^q - T$ . They can also be obtained from the Taylor series  $h = -tU_1^{-1} + o(t^{1+(q-1)(q^3-q^2)})$  with  $U_1 = 1 - t^{(q-1)^2} + (T^q - T)t^{(q-1)q}$  (see Corollary 10.4 in [8]).

For  $i \in \mathbf{N}$ , let  $[i] = T^{q^i} - T$ . Using congruences and estimates on the degree of coefficients of  $h$ , Gekeler proved that for any  $d \geq 1$ ,

$$(17) \quad b_{q^d}(h) = \begin{cases} [d] & \text{if } q > 2 \\ 1 + [d] & \text{if } q = 2 \end{cases}$$

(see Corollary 2.6 of [9]; note that his  $b_i$  denotes our  $-b_i$ ). Equation (16) thus provides an alternative formula for  $b_{q^d}(h)$ . We have not been able to recover Gekeler's formulas from (16). Hence we derive some arithmetic identities in  $\mathbf{F}_q[T]$  which may be nontrivial and of some interest.

**Corollary 8.3.** *Let  $q$  a prime  $> 2$  and  $d \geq 1$ .*

(1)

$$[d] = (-1)^{d+1} \sum_{P \in A_{d+}} \left( -\left\{ \begin{smallmatrix} P \\ q^{d-1} \end{smallmatrix} \right\} + \sum_{i=0}^{d-1} \left\{ \begin{smallmatrix} P \\ q^{d-1-(q-1)q^i} \end{smallmatrix} \right\} \right) \sigma_P.$$

(2) For  $0 \leq i \leq d-1$ ,

$$(-1)^d [i] = \sum_{P \in A_{d+}} \left\{ \begin{smallmatrix} P \\ q^{d-1-(q-1)q^i} \end{smallmatrix} \right\} \sigma_P.$$

(3)

$$(-1)^d \sum_{i=1}^d [i] = \sum_{P \in A_{d+}} \left\{ \begin{smallmatrix} P \\ q^{d-1} \end{smallmatrix} \right\} \sigma_P.$$

*Proof.* The first one follows from (16) and (17). For the second one, we first apply (15) to  $c = (q-1)q^i$  with  $0 \leq i \leq d-1$  and get

$$(-1)^d b_{q^i}(h) = \sum_{P \in A_{d+}} \left\{ \begin{smallmatrix} P \\ q^{d+1-1-(q-1)q^i} \end{smallmatrix} \right\} \sigma_P = \sum_{P \in A_{d+}} \left\{ \begin{smallmatrix} P \\ q^{d-1-(q-1)q^i} \end{smallmatrix} \right\} \sigma_P$$

where the last equality follow from  $q^{d+1}-1-(q-1)q^i = (q-1)q^d + \sum_{j=0}^{d-1} (q-1)q^j - (q-1)q^i$  and  $\deg P = d$ . With (17), we get the second claim. The third one is obtained by combining the first two identities.  $\square$

In Table 1, we provide further examples of coefficients of  $h$  from Proposition 8.1. Observe that when  $i$  is even (resp. odd),  $b_i(h)$  is an even (resp. odd) polynomial in  $[1] = T^q - T$ . This is more generally true for any coefficient when  $q = 3$ : it follows from the coefficients of  $h$  being balanced, a property established by Gekeler (Theorem 2.4 of [9]). Note that, in our table, the constant term is  $-1$  when  $i$  is even: we wonder if such a statement holds more generally.

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TABLE 1.  $q = 3, d \leq 4$ 

$i$	$b_i(h)$
1	0
2	-1
3	[1]
5	-[1]
6	-[1] <sup>2</sup> - 1
9	[2] = [1] <sup>3</sup> + [1]
14	[1] <sup>4</sup> - 1
15	[1] <sup>5</sup> - [1] <sup>3</sup> + [1]
18	-[1] <sup>6</sup> + [1] <sup>4</sup> - [1] <sup>2</sup> - 1
27	[3] = [1] <sup>9</sup> + [1] <sup>3</sup> + [1]
41	-[1] <sup>13</sup> + [1] <sup>9</sup> - [1] <sup>7</sup> - [1]
42	-[1] <sup>14</sup> + [1] <sup>12</sup> - [1] <sup>10</sup> - [1] <sup>8</sup> - [1] <sup>2</sup> - 1
45	[1] <sup>15</sup> - [1] <sup>13</sup> + [1] <sup>11</sup> - [1] <sup>9</sup> + [1] <sup>3</sup> + [1]
54	-[1] <sup>18</sup> + [1] <sup>12</sup> + [1] <sup>10</sup> - [1] <sup>6</sup> + [1] <sup>4</sup> - [1] <sup>2</sup> - 1
81	[4] = [1] <sup>27</sup> + [1] <sup>9</sup> + [1] <sup>3</sup> + [1]

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