Walking towards Plan S

Núria Álvarez, Carme Besson, Marta Jordan

Universitat Autònoma de Barcelona. Library Service
Open Access at UAB

2005 0 documents 2019 over 82,000 research documents available

Photo: Eric Meyer / Flickr / CC BY-NC-SA

Photo: George Hodan / PublicDomainPictures.net / CC 0
- UAB Institutional Repository
- Nearly 200,000 records
- Heritage, research and institutional documentation
- Collection management by Library Service
- UAB research groups’/centres’ customised collections
- Open access granted
- Publishers’ policies “taken into account”
- Well positioned in rankings
- Digital Preservation Policy
Institutional Open Access Policy

- Drawn up by the Library Service and approved by the Governing Council
- For academic-research staff and students.
- Academic and scientific publications and educational resources.

https://ddd.uab.cat/record/89641

Photo: jarmoluk / Pixabay

PKP 2019 Conference Barcelona
Open Access Commission

Stakeholders:

- Vice-Rector for Research and Transfer
- Vice-Rector for Institutional Relations and Culture
- Rector’s Commissioner for ICT
- Deputy Executive Administrator for Research
- Information and Communications Technologies Manager
- Publishing Service Director
- Library Services Director
- Teaching and Research Staff members
- Library Service members
- Members of Research Management Area

The Action Plan for Open Access at the UAB:

The plan is the Commission’s roadmap detailing the actions to perform at UAB to promote open access

Highlighted Actions:

- ORCID at the UAB
- CC licenses recommendations
- UAB open access journals
- CRIS-UAB connected to DDD
- DDD self-archiving forms
- Intellectual Property and Open Access Information Platform
Institutional Open Access web

Gathers together all information about OA:

advantages, legal framework, funding for publication, good practices, OA data, funding agencies, etc.

http://www.uab.cat/open-access
Open access monitoring

- 82,827 Research papers
- 10,643 Articles in UAB Research Database
- 80 UAB scientific journals
- 73 Research groups and centres in DDD

Open Research Thermometer
Open access monitoring

OA Observatory
Working towards Plan S

1. **All publications under a CC license**
   All UAB journals are published under CC licenses. UAB Publishing Service encourages use of the CC-BY license, in line with the Budapest Open Access initiative

   [UAB CC licenses recommendations](#)

4. **Publication fees covered by funders or research institutions.**
   Financial aid thanks to bonuses and discounts on APC prices with membership fee: MDPI, RSC...
6. **Aligned strategies, policies and practices of all stakeholders**

   *The Strategic plan of the Library Service* (2019-2022), within the framework of the 2018-2030 UAB Strategic Plan, sets two main objectives in this regard.

   - Make progress in the open science field.
   - Contribute actively to spotlighting all scientific output.

   The DDD has been implementing quality improvements according to the needs that arise (eg: hosting datasets and DMP) and Plan S criteria established.
Plan S and repositories

Mandatory criteria for compliance

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Compliance</th>
</tr>
</thead>
<tbody>
<tr>
<td>PIDs for deposited versions of publications</td>
<td>✔️</td>
</tr>
<tr>
<td>High quality article metadata / non proprietary format / CC0</td>
<td>✔️</td>
</tr>
<tr>
<td>Machine readable information on the OA status and license in the article</td>
<td>✔️</td>
</tr>
<tr>
<td>Continuous availability (uptime at least 99.7%)</td>
<td>✔️</td>
</tr>
<tr>
<td>Helpdesk</td>
<td>✔️</td>
</tr>
</tbody>
</table>
Plan S and repositories

Strongly recommended additional criteria for compliance

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Manuscript submission system: individual and bulk uploads</td>
<td>✔</td>
</tr>
<tr>
<td>Full text stored in a machine-readable community standard format</td>
<td>✔</td>
</tr>
<tr>
<td>Support for PIDs for authors, funders...</td>
<td>✔</td>
</tr>
<tr>
<td>Openly accessible data on citations in accordance with the standards set by the Initiative for Open Citations (I4OC)</td>
<td>✗</td>
</tr>
<tr>
<td>Open API to allow others to access the content</td>
<td>✔</td>
</tr>
<tr>
<td>OpenAIRE compliance of the metadata</td>
<td>✔</td>
</tr>
<tr>
<td>Link with authoritative bibliographic metadata from third party systems: PubMed, Crossref, Scopus...</td>
<td>✔</td>
</tr>
</tbody>
</table>
Institutional Research Data Policy

- Written by all stakeholders
- This policy will address the following points:
  - Who is affected
  - Intellectual property (or Copyright issues)
  - FAIR principles
  - Technical issues of publication: DOI for datasets, mandatory ORCID for authors, open licenses...
  - Stakeholders' responsibilities
  - Preservation period
  - Review of the policy
Contents
Future

- Approve the Institutional Open Access Research Data Policy
- Transform the current Institutional Open Access Policy into an Institutional Open Science Policy.
- Open Access Board → Open Science Board, and extension of new fields
- Improve the interconnection CRIS-DDD
- More financial incentives in the UAB, regarding:
  1. researchers (Phd students included) publishing in Open Access journals (Gold route)
  2. new open access memberships
- Set reward systems to deposit articles post-prints to DDD
- Negotiate, with our Consortia, transformative subscriptions with publishers
Thank you!