

# Ethical Requirements Guidelines

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BY

SA



**Traction**  
Opera co-creation  
for a social  
transformation



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# Table of Content

1	Why
2	When
3	What
4	Who
5	How



# Why

[Traction Grant Agreement + H2020]



# TRACTION Grant Agreement

Article 34 page 52



# Docs from H2020

<https://publications.europa.eu/es/publication-detail/-/publication/0f37f142-c333-40a8-90a7-bba25c314720/language-en>

[http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/guide\\_research-refugees-migrants\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/other/hi/guide_research-refugees-migrants_en.pdf)



# When

Period 1 Month 7



# When

Testing/Focus Groups/Interviews/System requirements etc.



# How

What to do





# Before

## Recruitment

- Consider including researchers with a **refugee or migrant background**, or from the same culture. This may mitigate potential risks of coercion or power differentials between researchers and participants.
- Be aware that such people could also act as gatekeepers or could even amplify power differentials.



# Before

- Prepare the three forms in the language of participants.

<https://drive.google.com/drive/folders/1JiuC-FZKyWaWFTRvzVH92D8jxGqvUFW>

- Make sure people are able to read the document, or prepare alternative communication:

Easy-to-Read

Translation

Read aloud

Interpretation



# Data protection

- A detailed strategy to ensure all personal data collected will be kept confidential and anonymous. This will be explained thoroughly to the participants in the Information Sheets or orally.
- TRACTION procedures for data collection, storage, protection, retention, transfer, destruction or re-use (including: collection methodology, digital recording, picture, etc.), exchange (LAN, cloud, etc.), data structure and preservation (encryption, anonymisation, etc.), data merging or exchange plan, commercial exploitation of data sets etc.
- TRACTION data safety procedures (protective measures to avoid unforeseen usage or disclosure, including mosaic effect, i.e. enabling identification by merging multiple sources).
- The specific procedures that TRACTION will implement to ensure the confidentiality and anonymisation/pseudonymisation of personal data about the relocation of participants (especially for participants defecting or leaving their country for political reasons).



# During

- treat them with care and sensitivity
- be objective and transparent
- avoid ethnocentricity: show respect for their ethnicity, language, religion, gender and sexual orientation
- rigorously safeguard the dignity, wellbeing, autonomy, safety and security of their family & friends
- respect their values and right to make their own decisions



# During

Each participants needs to sign and understand two/three forms:

- 1) Information
- 2) Consent
- 3) Visual Rights



# After

Will you inform participants of results?

How?

All the signed documents are stored in VICOMTECH

5 years after the end of the project → 2027



# Self report

## INO

[https://drive.google.com/drive/folders/1fEesTOWF\\_VXv68akxQ-5fKJK-xuP1jbG](https://drive.google.com/drive/folders/1fEesTOWF_VXv68akxQ-5fKJK-xuP1jbG)

## Liceu

<https://drive.google.com/drive/folders/1PWnv1KJKPNGrHqD250bQS56uLFGNySWP>

## SAMP

<https://drive.google.com/drive/folders/1hBN7ukX-r7f6eCsz2vjNRyIT2TznxQm0>



# What

Ethical Requirements Forms





# Information

## Storage

<https://drive.google.com/drive/folders/16b-69l80Dk8JEBkXpJG56hx3cTX-yDc9>

Form in English to be translated/adapted

[https://drive.google.com/file/d/1Vi2\\_eEvtLch3xhHJa3vTRKzBm4mKzrur/view?usp=sharing](https://drive.google.com/file/d/1Vi2_eEvtLch3xhHJa3vTRKzBm4mKzrur/view?usp=sharing)



# Consent

Form:

[https://drive.google.com/file/d/1xfwFJQNfmJcHAm\\_qSb79ENnqJtDnyNSB/view?usp=sharing](https://drive.google.com/file/d/1xfwFJQNfmJcHAm_qSb79ENnqJtDnyNSB/view?usp=sharing)

Storage

[https://drive.google.com/drive/folders/1\\_JiuC-FZKyWaWFTRvzVH92D8jxGqvUFW](https://drive.google.com/drive/folders/1_JiuC-FZKyWaWFTRvzVH92D8jxGqvUFW)



# Visual Rights

Form:

[https://drive.google.com/file/d/13RsIImdySOWf4iwmKm2FEe\\_HFPWXfhm8/view?usp=sharing](https://drive.google.com/file/d/13RsIImdySOWf4iwmKm2FEe_HFPWXfhm8/view?usp=sharing)

To store: each partner has its folder

<https://drive.google.com/drive/folders/1YirdbjQp5HsInqqiE97Ch292iGAPDlub>



# Thank you for your attention

Pilar Orero, UAB, 24<sup>rd</sup> March 2020

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