Public Policy Analysis and Management 2016/2017

Code: 42271
ECTS Credits: 10

Degree	Type	Year	Semester
4313335 Political Science	OB	0	1

Contact

Name: Margarita Leon

Email: Margarita.Leon@uab.cat

Teachers

Raquel Gallego Calderón

Use of languages

Principal working language: english (eng)

Prerequisites

As a minimum level, students should hold a Bachellor's Degree or equivalent, in any knwoledge area within social sciences.

Students should have read, prior to the start of the course the following readings:

Colebatch, H. 2002. Policy. London: Open University Press. 2nd edition.

Hill, M. ed. 1997. The policy process. A reader. Hertfordshire. Prentice Hall/Harvester Wheatsheaf. 2nd edition.

Kingdon, J. 1995. Agendas, alternatives, and public policies. New York. HarperCollins. 2nd edition.

Sabatier, P. ed. 1999. Theories of the policy process. Oxford. Westview Press.

Stone, D. 2002. Policy paradox. The art of political decision making. London. Norton. 2nd edition.

Objectives and Contextualisation

To understand the main theoretical debates in públic policy and methodological analysis and management.
To provide with an overview of the main issues currently alive in debates on social policy, public administration reform and in public management
To learn how to apply those theoretical and methodological models in order to carry out research in this field.
To understand how to apply that knowledge to the analysis of and intervention in complex social and political problems.
To comprehend how similar issues and public policy dilemmas are expressed differently in different national contexts
To acquire the knowledge and the necessary skills to apply it within organizational environments in the field of politics and public management.
To be able to have an informed opinion of the current tensions between fiscal and social policies that in the current context of austerity many countries are faced with.

Skills

- Analyse public policies in different sectors.

- Applied theoretical knowledge acquired from the analysis of real situation and using political analysis generate useful orientations for decision-making.
- Demonstration reading comprehension for specialist texts in English.
- Possess and understand knowledge that provides a basis or opportunity for originality in the development and/or application of ideas, often in a research context.
- Recognise the complexity of politics today, its diversity and the tensions to which it is exposed, with special emphasis on the Spanish and European contexts.
- Student should possess the learning skills that enable them to continue studying in a way that is largely student led or independent.
- Work in international and interdisciplinary teams whose members have different origins and backgrounds.

Learning outcomes

1. Analyse public policies independently of their sectorial specialisation.
2. Anticipate the consequences of designing a specific public policy.
3. Apply the main theories for the analysis of processes for drawing up, implementing and evaluating public policies.
4. Demonstration reading comprehension for specialist texts in English.
5. Design instruments to evaluate a specific public policy.
6. Identify the different agents involved in the definition of a policy, its scope for action, interests and resources.
7. Identify the different factors and variables that may be involved in the definition and results of public policy.
8. Identify the importance of specific political contexts when designing policies.
9. Identify the main agents involved in the generation and design of policies, with special emphasis not only on their particular complexity but also that of the interrelated network environments.
10. Possess and understand knowledge that provides a basis or opportunity for originality in the development and/or application of ideas, often in a research context.
11. Student should possess the learning skills that enable them to continue studying in a way that is largely student led or independent.
12. Work in international and interdisciplinary teams whose members have different origins and backgrounds.

Content

Public Administration Sessions

1. Bureaucracies and post bureaucracies
Dr. Xavier Ballart
Required reading:
De Vries, M.; Nemec, J. 2013 "Public Sector Reform: An Overview of Recent Literature and Research on NPM and Alternative Paths", International Journal of Public Sector Management, 26(1):4-16.
Optional reading:
Olsen, J. P. 2005 "Maybe It is Time to Rediscover Bureaucracy", Journal of Public Administration Research and Theory, 16:1-24
Pollit, C. 2009 "Bureaucracies Remember; Post-Buraucratic Organizations Forget", Public Administration, 82 (2): 198-218
Brewer, G. A., Walker, R.M. 2009 "The Impact of Red tape on Governmental Performance" Journal of Public Administration Research and Theory, 20:233-25

2. Public leadership and strategic management
Dr. Xavier Ballart
Required reading:
Heifetz R. A. Linsky, M. 2002 Leadership on the Line. Boston, Mas: Harvard Business School Press. Pages 9 - 30
Kotter, J.P. 2001 "What Leaders Really Do". Harvard Business Review, December

Optional reading:
Bryson, J.M. Crosby B.C. Bryson J.K. 2013 "Understanding Strategic Planning and the formulation and implementation of Strategic Plans as a Way of Knowing: the Contributions of Actor-Network Theory" International Public Management Journal, 12(2) 172-207

3. Organizational configurations. From hierarchy to networks
Dr. Xavier Ballart

Required reading:
Koffijberg, J. De Bruin, H. Priemus, H. 2012 "Combining Hierarchical and Network Strategies: Successful Changes in Dutch Social Housing" Public Administration,90 (1):262-275
Optional reading:
Isett, K.R. Mergel, I. A. LeRoux K. Mischen, P.A. Rethemeyer, R.K. 2011 "Networks in Public Administration Scholarship"Journal of Public Administration Research and Theory, 21:157-173
McGuire, M Agranoff, R. 2011 "The Limitations of Public Management Networks" Public Administration, 89 (2):265-284
Menahem, G. Stein, R. 2013 "High-Capacity and Low-Capacity Governance Networks in Welfare Services Delivery: A Typology and Empirical Examination of the Case of Israeli Municipalities" Public Administration, 91 (1):211-231

4. Objectives and performance information
Dr. Xavier Ballart

Required reading:
Moynihan, D. P. Pandey, S. K. 2010 "The Big Question for Performance Management: Why Do Managers Use Performance Information" Journal of Public Administration Research and Theory, 20:849-866
Optional reading:
Taylor, J. (2011) "Factors Influencing the Use of Performance Information for Decision making in Australian State Agencies" Public Administration, 89 (4):1316-1334
Moynihan, D.P. 2005 "What Do We Talk About When We Talk About Performance? Dialogue Theory and Performance Budgeting" Journal of Public Administration Research and Theory, 16: 151-168

5. Human Resources Management
Dr. Xavier Ballart

Required reading:
Truss, C. 2008 "Continuity and Change: The Role of the HR Function in the Modern Public Sector" Public Administration, 86 (4):1071-1088
Optional reading:
Weibel A., Rost, K., Osterloh, M. "Pay for Performance in thePublic Sector-Benefits and Hidden Costs" Journal of Public Administration Research and Theory 20: 387-412

Latham, G.P., Borgogni, L., Petitta, L. 2008 "Goal Setting and Performance Management in the Public Sector" International Public Management Journal, 11(4) 385-403
Page, E. Hood, C. Lodge, M. 2005. "Conclusion; Is Competency Management a Passing Fad" Public Administration, 83 (4): 853-860

6. Contract like relations and bringing in the third sector
Dr. Xavier Ballart

Required reading:
Askim, J. Christensen, T. Fimreite, Laegrid, P. 2010 "How to Assess Administrative Reform. Investigating the Adoption and Preliminary Impacts of the Norwegian Welfare Administrative Reform" Public Administration, 88 (1):232-246
Optional reading:
Kelly, J. 2007 "Reforming Public Services in the UK: Bringing in The Third Sector" Public Administration, 85 (4):1003-1022
Milward, H.B. Provan. K.G. (2000) "Governing the Hollow State" Journal of Public Administration Research and Theory 10 (2) 359-379

7. Public Service Motivation

Dr.   Xavier   Ballart
Required   reading:
Perry, J. Hondeghem, A. Wise L.R. 2008. "Revisiting the Motivational Bases of Public Service: Twenty Years of Research and an Agenda for the Future" Public Administration Review 70 (5): 6 8 1 - 6 9 0
Optional   reading:
Vandenabeele, W. 2008 "Government calling: Public Service Motivation as an Element in Selecting Government as an Employer of Choice", Public Administration 86 (4): 1089-1105

8.   Publicservice   use   and   perceived   performance
Dr.   Xavier   Ballart
Required   reading:
Van Ryzin, G. G. Charbonneau 2010 "Public Service Use and Perceived Performance; an Empirical Note on the Nature of the Relationship" Public Administration, 88 (2): 551-563
Van Ryzin, G. G. Immerwahr, Altman, S. 2008 "Measuring Street Cleanliness: A Comparison of New York City's Scorecard and Results from a Citizen Survey" Public Administration Review March -April: 295-303

9.   Public   management   and   innovation
Dr.   Xavier   Ballart
Required   reading
Borins, S. 2014 The persistance of innovation in government. Ash Center and Brookings Institution Press: Washington   DC
Optional   reading

10.   Citizen   participatory   instruments   and   public   consultation
Dr.   Xavier   Ballart
Required   reading:
Font, J., Navarro. C. 2013 "Personal Experience and the Evaluation of Participatory Instruments in Spanish Cities",   Public   Administration,   91   (3):616-631
Optional   reading:
Van Damme, J. Brans, M. 2012 "Managing Public Consultation: a Conceptual Framework and Empirical Findings from Belgian Case Studies" Public Administration, 90 (4):1047-1066

Public   Policy   sessions

Session 1. Concepts and instruments: defining Public Policy, and the approaches to the study of Public Policy

Readings:
Smith, K. y C. Larimer (2009), The Public Policy Theory Primer, Westview Press, Chapter   1   pp.   1   to   25.   Optional   chapter
Chapter 20 by Knill and Tosun "policymaking" in Caramani, D. Comparative Politics 2008 OUP
Sabatier,   Paul   (ed.)   2001   Theories   of   the   Policy   Process
Lindblom, C. 1980. The policy-making process. Engle-woods Cliffs: Prentice-Hall

Questions:
1   What   is   "public   policy"?
2 What does it mean to study the "policy process" vs. "policy impact"?
3 Think of a public problem and the policy that tries to do something about it. What is its basic rationality?

Session   2   and   3:   Methodologies   in   Public   Policy

Readings:
Landman, T., Issues and methods in Comparative Politics: An Introduction. London: Routledge, 2003, cap. 2
(or   2008   edition),   23-48
Keman, H. "Comparative research methods" in Caramani, D. 2008 Comparative Politics,
Chapter 4 "Economic development and democracy" in Landman Issues and Methods in Comparative Politics
2000   London   &   NY   Routledge
Lipset, S.M. 1994 "The Social Requisites of Democracy Revisited" Americal Sociological Review, 59

(February):   1 - 2 2
Chapter 2 Rueschemeyer, Stephens and Stephens Capitalist Development and Democracy, Cambridge: Polity
P   r   e   s   s

Question for discussion: Is there a relationship between economic development and democracy?

Session   4   and   5:   Democracy   and   the   Welfare   State

R e a d i n g s :
Dwyer, P. (2000) Welfare rights and responsibilities. Contesting social citizenship. Cambridge University Press.
Huber, Rueschemeyer and Stephens 1997 "The paradoxes of contemporary democracy: formal, participatory
and   social   dimensions".   Comparative   Politics   vol.   29,   n.   3   (available   at   JSTOR)

Film   and   Short   readings   for   class   discussion:
K e n   L o a c h   T h e   S p i r i t   o f   4 5 '
V. Schmidt and M. Thatcher - Neoliberal policy as the dominant approach to policymaking http://bit.ly/18B7Rtk
A.   Larsen   -The   Rise   and   Fall   of   Social   Cohesion   bit.ly/1aMsEe2
D. Binder - Attitudes towards welfare and welfare recipients are hardening http://bit.ly/1ot5xPh

Session   6   and   7.Social   Investment   and   the   Welfare   State   (Margarita   León)

R e a d i n g s :
The   Heckman   Equation: www.heckmanequation.org
Morabito, Vandenbroeck and Roose (2013)'The Greatest of Equalisers': A Critical Review of International
Organisations' Views on Early Childhood Care and Education, Journal of Social Policy Vol. 42 (3) 451-467
(a v a i l a b l e   a t   J S T O R)
Della Porta, Donatella (2013) Can Democracy Be Saved?: Participation, Deliberation and Social Movements.
P o l i t y   P r e s s .

S h o r t   r e a d i n g s   f o r   c l a s s   d i s c u s s i o n :
T h e   H e c k m a n   E q u a t i o n :   www.heckmanequation.org

Session   8:   Policy   changes: retrenchment,   resilience   and   recalibration

To analyse comparatively the trajectories of change: resilience (Pierson 1998); retrenchment (Streeck and
Thelen, 2005) and recalibration (Ferrera et al. 2000, Bonoli and Natali, 2012 Looking at differences in the types
o f   i n s t i t u t i o n a l   d e s i g n
Level of influence of the EU through formal instruments (EuropeanSemester "adjustment processes") and also
i n f o r m a l   p r o c e d u r e s   (b a c k r o o m   d i p l o m a c y)

Session 9: From Austerity to Permanent Strain (2) : Italy, Spain, Greece and the Troika (2)

R e a d i n g s   a n d   v i d e o s :
h t t p s : / / w w w . y o u t u b e . c o m / w a t c h ? v = P e e _ w 3 R w H h s
De la Porte and Heins "The sovereign debt crisis, the EU and welfare state reform" Comparative European
P o l i t i c s   I S S N :   1 4 7 2 - 4 7 9 0
Petmesidou and Guillén (2014) Economic crisis and Austerity in Southern Europe: Threat or opportunity for a
sustainable   welfare   state?   South   European   Society   and   Politics
http://www.tandfonline.com/doi/full/10.1080/13608746.2014.950369#abstract
Pavolini, E.; León, M.; Guillén, A.M.; and Ascoli, U. (2014) "From Austerity to Strain: welfare state reform in
Italy   and   Spain"   Comparative   European   Politics   ISSN: 1472-4790

Session 10: concluding session

Methodology

Learning is based on the reading and discussion about theoretical and empirical knowledge. The aim of learning is to acquire the capacity to formulate questions and answers -always provisional ones. To this end, students will have to be active and autonomous in searching and selecting relevant information, in reading and reflecting in order to create a rich and informed dialogue with the lecturer.

In this course, this autonomous effort will be required previously to each session, via reading and essay writing. This work will then be complemented with seminar discussions and oral presentations, which will help to better understand both theory and practice-based knowledge as well as to question it.

Class teaching will combine lectures and seminar/case discussions on theoretical and empirical knowledge -always trying to find the applicability of theory to empirical cases. Tutorial teaching will focus on the preparation of essays and presentations.

Activities

Title	Hours	ECTS	Learning outcomes
Type: Directed			
Seminars and tutorials	50	2	2, 5, 6, 7, 8, 10, 11, 12
Type: Supervised			
Reading, essay writing and solving exercises	70	2.8	2, 4, 5, 6, 7, 8, 10, 11, 12
Type: Autonomous			
Lectures -attendance and participation	125	5	2, 4, 5, 6, 7, 8, 10, 11, 12

Evaluation

Evaluation will be an ongoing process and will be based on the outputs of the different activities in which students will have to engage to show whether they have achieved the expected competencies. Such activities and outputs include:

1. 4 practical exercises proposed in class (public management sessions) (25% of the final grade).
2. One critical essay (from public policy sessions (25% of the final grade).
3. Take home exam -48 hours (50 % of the final grade).

Evaluation activities

Title	Weighting	Hours	ECTS	Learning outcomes
Participation in class	10%	0	0	4, 10, 12
Practical exercises and critical essay	50%	2.5	0.1	1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12
Synthesis tests	40%	2.5	0.1	2, 4, 5, 6, 7, 8, 10, 11, 12

Bibliography

Albi, E.; González-Páramo, J.; López Casasnovas, G. 1997. Gestión pública. Barcelona. Ariel.

Aguilar, L. 1992. La hechura de las políticas públicas. México. M.A. Porrua. 4 vols.

Ballart, X. 1992 ¿Cómo evaluar programas y servicios públicos? Madrid. Ministerio para las Administraciones Públicas.

Barzelay, M.; Gallego, R. 2006. 'From "new institutionalism" to "institutional processualism": Advancing knowledge about public management policy change'. Governance, 19, 4:531-557.

Brugué, Q.; Subirats, J. 1996. Lecturas de gestión pública. Selección de textos. Madrid. Ministerio para las Administraciones Públicas.

Colebatch, H. 2002. Policy. London: Open University Press. 2nd edition.

Hill, M. ed. 1997. The policy process. A reader. Hertfordshire. Prentice Hall/Harvester Wheatsheaf. 2nd edition.

Kingdon, J. 1995. Agendas, alternatives, and public policies. New York. HarperCollins. 2nd edition.

Knoepfel, P.; Larrue, C.; Varone, F. 2001. Analyse et pilotage des politiques publiques. Basel. Helbing and Lichtenhahn.

Lane, J. 1993. The public sector. Concepts, models and approaches. London. Sage.

Loseke, D. 2003. Thinking about social problems. New Jersey. Aldine Transaction. 2nd edition.

Mény, Y.; Thoenig, J. 1989. Las políticas públicas. Barcelona. Ariel. (traducció 1992)

Moore, M. 1995. Gestión estratégica y creación de valor en el sector público. Paidós. Barcelona. (traducció 1998).

Pressman, J.; Vildavsky. A. 1984. Implementation. Berkeley. University of California Press. 3rd edition.

Sabatier, P. ed. 1999. Theories of the policy process. Oxford. Westview Press.

Stone, D. 2002. Policy paradox. The art of political decision making. London. Norton. 2nd edition.

Subirats, J. 1989. Análisis de políticas públicas y eficiacia de la administración. Madrid. Ministerio para las Administraciones Públicas.